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## Sorting of Dendritic and Axonal Vesicles at the Pre-axonal Exclusion Zone

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### Summary

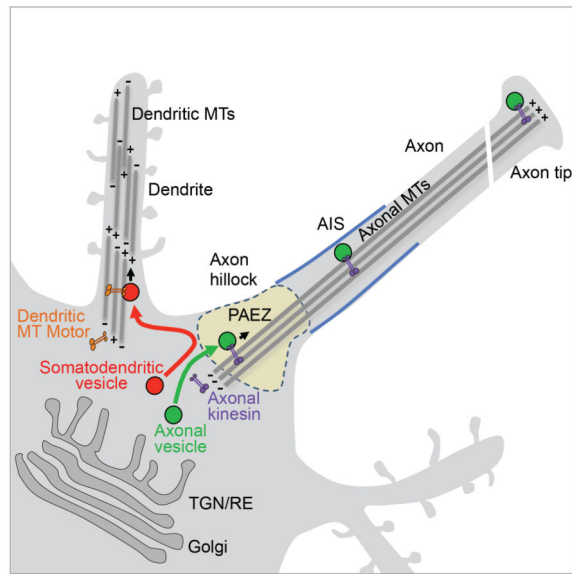
Polarized sorting of newly-synthesized proteins to the somatodendritic and axonal domains of neurons occurs by selective incorporation into distinct populations of vesicular transport carriers. An unresolved issue is how the vesicles themselves become sorted to their corresponding neuronal domains. Previous studies concluded that the axon initial segment (AIS) is an actin-based filter that selectively prevents passage of somatodendritic vesicles into the axon. We find, however, that most somatodendritic vesicles fail to enter the axon at a more proximal region in the axon hillock herein referred to as the “pre-axonal exclusion zone” (PAEZ). Forced coupling of a somatodendritic cargo protein to an axonally-directed kinesin is sufficient to drive transport of whole somatodendritic vesicles through the PAEZ toward the distal axon. Based on these findings, we propose that polarized sorting of transport vesicles occurs at the PAEZ and depends on the ability of the vesicles to acquire an appropriately directed microtubule motor.

### Graphical Abstract

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## Introduction

Neurons are highly polarized cells featuring distinct somatodendritic and axonal domains (reviewed by Lasiecka and Winckler, 2011). Biosynthetic sorting of transmembrane proteins to each of these domains occurs by selective incorporation of the proteins into different populations of somatodendritic and axonal carrier vesicles in the neuronal soma (Farías et al., 2012; Petersen et al., 2014). These vesicles subsequently move to their corresponding neuronal domains along cytoskeletal tracks (reviewed by Kapitein and Hoogenraad, 2011). The boundary between the somatodendritic and axonal domains has been located at the axon initial segment (AIS), a specialized region of the proximal axon comprising a high concentration of surface voltage-gated ion channels and cell-adhesion molecules that are anchored by a submembranous assembly of ankyrin G (AnkG) and  $\beta$ IV-spectrin (Kordeli et al., 1995; Berghs et al., 2000; Ango et al., 2004; Jenkins and Bennett, 2001; Garrido et al., 2003; Hedstrom et al., 2007). The voltage-gated ion channels perform the main function of the AIS, which is the generation of action potentials in response to somatodendritic inputs. Additionally, the highly ordered structure of the AIS constitutes a barrier for the diffusional mobility of plasma membrane proteins and lipids between the somatodendritic and axonal domains (Kobayashi et al., 1992; Winckler et al., 1999; Nakada et al., 2003). The AIS was also proposed to contain a cytoplasmic filter that allows passage of axonal, but not somatodendritic vesicles, toward the distal axon (Song et al., 2009). This vesicle-sorting function of the AIS was shown to depend on a network of polarized actin filaments that act as a physical barrier for entry of somatodendritic vesicles (Song et al., 2009) or as tracks for myosin-Va-dependent retrieval of somatodendritic vesicles that straggle into the AIS (Lewis et al., 2009; Watanabe et al., 2012; Al-Bassam et al., 2012). The notion of the AIS as an actin-based filter for segregation of somatodendritic and axonal vesicles, however, has been recently challenged by several findings. First, analysis by platinum replica electron microscopy revealed that the AIS cytoplasm does not contain polarized actin arrays or a dense actin network, but only exhibits sparse actin filaments with mixed orientation (Jones et

al., 2014). Furthermore, although treatment with drugs that disrupt filamentous actin abrogates the polarized distribution of some proteins (Winckler et al., 1999; Song et al., 2009), this has been shown to result from altered sorting into the corresponding transport vesicles at the soma (Petersen et al., 2014). Finally, live-cell imaging experiments showed that, during neuronal development in culture, polarized transport of somatodendritic and axonal vesicles is established before the appearance of the AIS (Petersen et al., 2014). These findings prompted us to reassess the role of the AIS in sorting of somatodendritic and axonal vesicles. In particular, we asked where sorting of somatodendritic and axonal vesicles takes place, and what prevents somatodendritic vesicles from entering the axon.

The results of our study show that sorting of most somatodendritic and axonal carrier vesicles in hippocampal neurons occurs not at the AIS but at a more proximal “pre-axonal exclusion zone” (PAEZ) located in the axon hillock or at the base of axons that emanate from dendrites. Most somatodendritic vesicles budding from the Golgi complex fail to enter the axon at the PAEZ. This structure excludes not only somatodendritic vesicles but also larger organelles such as the Golgi complex and the rough endoplasmic reticulum (ER), in effect constituting the cytoplasmic boundary for the somatodendritic and axonal domains. Fusion of a kinesin-light-chain-binding sequence (KBS) to a somatodendritic protein overcomes exclusion at the PAEZ, promoting transport of whole somatodendritic vesicles through the PAEZ and AIS, all the way to the distal axon. On the basis of these findings, we propose that sorting of somatodendritic and axonal vesicles at the PAEZ depends on the ability of the vesicles to acquire an appropriately directed microtubule motor.

## Results

### Identification of the pre-axonal exclusion zone (PAEZ)

To visualize the cytoplasmic boundary between the somatodendritic and axonal domains, we performed immunofluorescent staining of the endogenous transferrin receptor (TfR) (a somatodendritic protein) (Cameron et al., 1991) and AnkG (an AIS marker) (Kordeli et al., 1995) in day-*in-vitro* 10 (DIV10) cultured rat hippocampal neurons (Dotti et al., 1988; Kaech and Banker, 2006), followed by optical sectioning and z-stack reconstruction on a confocal microscope. This analysis revealed a region of the cytoplasm devoid of fluorescence between the soma and the proximal edge of the AIS (Figure 1A, arrowheads). This clear region occurred within the axon hillock (Figure 1B) or at the base of axons emanating from dendrites (Figure 1C). In contrast, the transition zone from the soma to the dendrites exhibited a continuous distribution of TfR (Figures 1B and 1C). The clear region thus represented a subdomain of the neuronal cytoplasm proximal to the AIS from which TfR-containing vesicles were excluded. Accordingly, we named this region the “pre-axonal exclusion zone” (PAEZ). During neuronal development in culture, the AIS (as visualized by AnkG staining) first appeared at DIV4 or DIV5 and subsequently grew in size until the final point of our experiment (DIV18) (Figures 1D and 1E). The PAEZ was already apparent at DIV5 and also became progressively larger until DIV18 (Figures 1D and 1E). Importantly, the endogenous TfR exhibited somatodendritic polarity (dendrite/axon “polarity index” ~9) from DIV3, before assembly of the AIS (Figures 1D–F), in agreement with previous work

using TfR-GFP expressed by transient transfection (Petersen et al., 2014). Thus, exclusion of the TfR occurs at a region that precedes, both spatially and temporally, the AIS.

### **Somatodendritic vesicles are excluded from the axon at the PAEZ**

To examine the dynamic behavior of TfR-containing vesicles in relation to the PAEZ and AIS, we performed live-cell imaging of DIV10 neurons expressing GFP-tagged TfR (TfR-GFP) that were surface-labeled with a CF555-conjugated antibody to the AIS adhesion protein neurofascin (NF) (Ango et al., 2004; Hedstrom et al., 2007) (Movie S1 and Figure 2A). Photobleaching (PB) of an area around the PAEZ was performed to facilitate visualization of vesicle movement over a dark background (Figure 2B). Time-lapse imaging (Movie S1) and kymographs (Figure 2C–F) showed that most TfR-GFP-containing vesicles were unable to enter the PAEZ (dark band between green and red in Figure 2C), in contrast to their unimpeded movement into a comparable region of a neighboring dendrite over a 120-s time span. Quantification of results from 15 neurons showed that of all the TfR-GFP vesicles proceeding from an area of the soma (Figure 2G), only 15.5% entered the PAEZ while 84.5% entered the neighboring dendrite (Figure 2H). Of those vesicles that penetrated the PAEZ, about half returned to the soma from within the PAEZ, while the other half reached the AIS and then either turned back or proceeded towards the distal axon (Figure 2H). These observations indicated that axonal exclusion of most anterograde, TfR-GFP-containing vesicles occurred at the PAEZ.

Because between one-third and two-thirds of TfR-GFP-containing vesicles observed under these conditions are endosomes (Petersen et al., 2014), we sought to limit our analysis to somatodendritic vesicles carrying newly-synthesized TfR-GFP that emanated from the Golgi complex. To this end, we used the RUSH system (Boncompain et al., 2012), a recently-developed procedure that allows retention of proteins in the ER followed by their synchronous release and transport through the secretory pathway (Figure 2I). We observed that 20 min after release from the ER in DIV7 neurons, most TfR-GFP became concentrated in the Golgi area (Figure 2J and Movie S2). Subsequent live-cell imaging over a 120-s period revealed that most vesicles budding from the Golgi entered an average dendrite but not the PAEZ (Figures 2K and 2L, Movie S2). Thus, *bona fide* somatodendritic carrier vesicles budding from the Golgi were also prevented from entering the axon at the PAEZ.

### **Axonal exclusion of somatodendritic vesicles occurs independently of the AIS**

To analyze further if the presence of the AIS was necessary for exclusion of somatodendritic vesicles from the axon, we prevented assembly of the AIS by treatment of DIV3 neurons with an shRNA to AnkG (Hedstrom et al., 2008) and examined the distribution of TfR-GFP and the movement of TfR-GFP-containing vesicles at DIV8. We observed that this treatment precluded the appearance of AnkG, but did not alter the overall somatodendritic polarity of TfR-GFP in fixed-permeabilized neurons (Figure 3A and 3C). Staining of live neurons, however, revealed a slight increase in surface TfR-GFP in the axon of AnkG-depleted neurons relative to control neurons (Figure 3B and 3C). Live-cell imaging showed that most TfR-GFP-containing vesicles remained unable to enter the axon in the absence of the AIS (Figure 3D, Movie S3). From these experiments, we concluded that blocking formation of

the AIS at early stages of neuronal development did not abolish the ability of neurons to exclude most TfR-GFP-containing carriers from the axon.

### **The PAEZ is a boundary for the distribution of various cytoplasmic organelles**

Staining for TfR-GFP of control neurons at the cell surface showed that this protein was not excluded from the plasma membrane but only from the cytoplasm of the PAEZ (Figure S1). To further characterize the properties of the PAEZ in comparison to the AIS, we examined the distribution of other organelle markers. Staining for AnkG was largely coincident with that for other AIS components including NF,  $\beta$ IV-spectrin and voltage-gated sodium channels detected with the PanNav antibody (Figure 4A). None of these proteins were present in significant amounts at the PAEZ, demonstrating that this structure is physically distinct from the AIS. Several other markers were excluded from both the PAEZ and AIS, including the somatodendritic AMPA-type glutamate receptor GluR1, the Golgi matrix protein GM130, the *trans*-Golgi network (TGN) protein TGN38, and the CLIMP-63 protein, which links the rough ER to microtubules (Figure 4A). In contrast, structures staining for the smooth ER protein reticulon (RTN), the mitochondrial dye MitoTracker (Mito), the lysosomal dye LysoTracker (Lyso) and the axonal cell-adhesion molecule NgCAM/L1 penetrated the PAEZ and AIS all the way toward the distal axon (Figure 4A). These observations indicated that the differential distribution of various cytoplasmic organelles between the somatodendritic and axonal domains (Raine, 1999) is established at the level of the PAEZ. The clathrin-associated AP-1 complex involved in cargo sorting into somatodendritic vesicles (Dwyer et al., 2001; Margeta et al., 2009; Farías et al., 2012; Mattera et al., 2014; Jain et al., 2015) was also excluded at the PAEZ, but the homologous AP-2 complex involved in endocytosis at both pre- and post-synaptic sites (Maycox et al., 1992; Lavezzari et al., 2003) was not excluded (Figure 4A). Filamentous actin was present, but not particularly concentrated, at the PAEZ and AIS (Figures 4A and S2), in line with recent observations (Jones et al., 2014). Tubulin, on the other hand, was abundant at the PAEZ (Figure 4A), consistent with the origin of axonal microtubules in this region of the neuron (Palay et al., 1968; Braun et al., 1993).

### **Binding to kinesin-1 missorts somatodendritic proteins to the axon**

We next addressed the question of what keeps somatodendritic vesicles from entering the PAEZ. In general, particles larger than 260 Å in radius are unable to diffuse in the cytoplasm (Luby-Phelps et al., 1987) unless driven by molecular motors. Indeed, anterograde transport of organelles such as axonal carrier vesicles (Kamal et al., 2000; Song et al., 2009) and mitochondria (Hurd and Saxton, 1996) along the axon occurs through association with the plus-end-directed microtubule motor KIF5 (*i.e.*, also known as kinesin heavy chain or kinesin-1) (Vale et al., 1985; Brady, 1985; Aizawa et al., 1992). The initial site of recruitment of KIF5 to axonal microtubules can be highlighted by expression of a “rigor” KIF5 mutant that binds to microtubules but cannot walk along them (Nakata and Hirokawa, 2003). We observed that a Rigor-KIF5A (neuron-specific A isoform of KIF5) construct decorated a track that spanned the PAEZ (Figure 4B). This track could be visualized as early as DIV3, simultaneously with the appearance of tubulin modifications typical of axonal microtubules (*e.g.*, acetylation; Reed et al., 2006; Hammond et al., 2010) (Figure 4C) and prior to the assembly of the AIS (Figures 1D–F and S3).

The above considerations led us to hypothesize that somatodendritic vesicles might be excluded from the PAEZ because they fail to bind an axonal kinesin such as KIF5. In most cases, KIF5 functions as part of an oligomeric complex comprising two KIF5 and two kinesin light chain (KLC) subunits (Vale et al., 1985; Brady, 1985; Hirokawa et al., 1989; DeBoer et al., 2008). KLC contains an amino-terminal heptad repeat domain that binds to KIF5 and a carboxy-terminal tetratricopeptide repeat (TPR) domain that participates in cargo recognition (Gauger and Goldstein, 1993; Gindhart and Goldstein, 1996). To test if conferring binding to KIF5 could overcome the exclusion of somatodendritic vesicles at the PAEZ, we fused three copies of the KLC-binding sequence (KBS) TNLEWDDSAI from the cargo adaptor protein SKIP (Pernigo et al., 2013; Pu et al., 2015) to the cytosolic tails of two somatodendritic cargo proteins, TfR and Nipah Virus F glycoprotein (NiV-F) (Mattera et al., 2014) (Figure 5A). We observed that fusion of the triple KBS, but not an inactive mutant having a substitution of WD to AA (Pernigo et al., 2013), redirected both cargo proteins from the somatodendritic domain to axons, particularly axon tips (Figures 5B, 5C and S4). Fusion of one or two copies of the KBS had a similar effect, although with less concentration at axon tips (Figure S5). Axonal mistargeting of KBS-TfR and NiV-F-KBS was prevented by co-expression of a dominant-negative KLC construct comprising only the KBS-binding TPR domain (Verhey et al., 2001) (Figure 5D). Expression of this construct not only precluded axonal localization of KBS-TfR and NiV-F-KBS but also restored their localization to dendrites (Figure 5D). These observations thus demonstrated that axonal mistargeting of KBS-TfR and NiV-F-KBS was mediated by interaction with kinesin-1, while also showing that dendritic localization of these cargos was independent of this kinesin. Axonal mistargeting of TfR and NiV-F appended with a streptavidin-binding peptide (SBP) was also achieved by co-expression of these proteins with a chimeric protein comprising the axonally directed motor domain of KIF13A (Huang and Banker, 2012) fused to streptavidin (Figure S6).

### **Redirection of whole somatodendritic vesicles to the axon by binding to kinesin-1**

To determine whether fusion of the triple KBS to a particular somatodendritic protein affected the polarity of other somatodendritic proteins, we examined the effect of expressing NiV-F-KBS on the distribution of co-expressed TfR-GFP (Figure 6A) and endogenous TfR (Figure S7). Indeed, we observed that expression of NiV-F-KBS caused missorting of both TfR forms to the axon, even though the latter proteins lacked the KBS. Similarly, expression of KBS-TfR missorted NiV-F-GFP to the axon (Figure 6B). These observations were consistent with the fact that NiV-F and TfR are transported in the same somatodendritic vesicles (Figures 6C and 6D). Moreover, we found that expression of KBS-TfR or NiV-F-KBS also caused axonal missorting of the glutamate receptor proteins mGluR1 and NR2A (Figure 6E), indicating that all of these proteins are transported in the same somatodendritic vesicles. These experiments thus demonstrated that endowing a somatodendritic cargo with the ability to bind kinesin-1 is sufficient to redirect whole somatodendritic vesicles, with their full complement of cargos, to the axon.

## Tubulin acetylation controls the ability of kinesin-1 to redirect somatodendritic cargos to the axon

The preference of kinesin-1 for axonal microtubules is likely specified by combinations of post-translational modifications of tubulin subunits, including acetylation of  $\alpha$ -tubulin at lysine-40 (Reed et al., 2006; Hammond et al., 2010). To determine if this latter modification influenced the ability of kinesin-1 to direct KBS-TfR and NiV-F-KBS to the axon, we examined the effects of overexpressing the acetylation-mimic K40Q mutant of  $\alpha$ -tubulin. We observed that overexpression of this mutant abrogated the preferential association of Rigor-KIF5A with axonal microtubules (Figures 7A) and reduced the axonal missorting of KBS-TfR and NiV-F-KBS (Figures 7B and 7C). Therefore, tubulin acetylation contributes to the specificity of kinesin-1 for cargo transport to the axon.

## Discussion

Our findings lead us to conclude that sorting of somatodendritic and axonal vesicles occurs at the PAEZ rather than the AIS (Figure 7D). It is well established that the AIS functions as a barrier for lateral diffusion of proteins and lipids at the plasma membrane (Winckler et al., 1999; Kobayashi et al., 1992; Nakada et al., 2003). In addition, long-term ablation of the AIS in rat hippocampal neurons by treatment with AnkG shRNA from DIV10 to DIV20 (Hedstrom et al., 2008) or in Purkinje neurons by a cerebellum-specific mutation of the AnkG gene in mice (Sobotzik et al., 2009) causes redistribution of somatodendritic proteins to the axon. However, studies of cortical neuron development in mouse embryos showed that initial axon specification and establishment of axonal-dendritic polarity occur independently of AnkG and the AIS (Galiano et al., 2012). These latter findings are consistent with our observations that TfR already exhibits somatodendritic polarity at DIV3 in cultured rat hippocampal neurons, well before assembly of the AIS (Figures 1D–F). Moreover, prevention of AIS assembly between DIV3 and DIV8 by AnkG-shRNA treatment does not abolish overall TfR somatodendritic polarity and exclusion of most TfR-containing vesicles from the axon, although some TfR begins to appear on the axonal surface (Figure 3). Taken together, these data suggest two phases in the mechanisms of neuronal polarity: an early establishment phase that is independent of AnkG and the AIS, and a later maintenance phase that requires AnkG and the AIS.

Previous studies showed that somatodendritic vesicles either stopped at the proximal edge of the AIS (Petersen et al., 2014) or entered the AIS but were retrieved by Myosin-Va-dependent transport (Lewis et al., 2009; Watanabe et al., 2012). At variance with these studies, we find that most somatodendritic vesicles fail to enter the axon at a more proximal region in the axon hillock or the base of axons that emanate from dendrites, which we define as the PAEZ. Several differences in experimental design could account for these discordant results. First, our initial visualization of the PAEZ was enabled by immunofluorescent staining of *endogenous* somatodendritic (TfR) and AIS (AnkG) markers, followed by optical sectioning and z-stack reconstruction on a confocal microscope (Figures 1A–D). Second, in our live-cell experiments, transgenic TfR-GFP and endogenous NF were always imaged *simultaneously* (Figure 2), so that the position and trajectory of somatodendritic vesicles relative to the PAEZ and AIS could be determined unambiguously. Finally, and perhaps

most importantly, we tracked somatodendritic vesicle movement toward the axon and an adjacent dendrite from a region *deeper* in the perikaryal cytoplasm (Figure 2G). This revealed a substantial difference in the number of somatodendritic vesicles entering the PAEZ (~12.1–15.5%) relative to those entering an adjacent dendrite (~84.5–87.9%) (Figures 2H and 2L). In previous studies, the starting region for imaging of somatodendritic vesicles may have included the PAEZ, in which case the behavior of the observed vesicles would correspond to that of the smaller fraction that had already entered this zone.

The PAEZ described here is likely a cytoplasmic region devoid of “Nissl bodies” or “tigroid substance” in the axon hillock that was observed by cytochemical staining as early as the 19<sup>th</sup> century (*e.g.*, Figures 1 and 2 in the article by Held, 1895; see also Braun et al., 1993). The Nissl dye stains a basophilic substance that mostly corresponds to RNA of polyribosomes associated with the rough ER (Palay and Palade, 1955). This substance is found throughout the soma and dendrites, but is absent from the axon hillock and axon (Braun et al., 1993). A similar pattern was observed by labeling of the Golgi complex with the lectin wheat germ agglutinin (WGA) (Braun et al., 1993). For both Nissl and WGA staining, an abrupt transition in the cytoplasm was evident between the perikaryon and the axon hillock (Braun et al., 1993). Electron microscopy studies confirmed the absence of rough ER and Golgi complex in the axon hillock, but showed the presence of other organelles such as smooth ER, mitochondria, multivesicular bodies (*i.e.*, late endosomes) and various vesicles (Palay et al., 1968; Braun et al., 1993). The axon hillock was also shown to be rich in cytoskeletal elements such as dense microtubule fascicles that funnel into the axon, as well as neurofilaments (Palay et al., 1968; Braun et al., 1993). Beyond the apex of the axon hillock, the plasma membrane acquired an electron-dense undercoating (Palay et al., 1968; Braun et al., 1993) that is now known to correspond to the submembranous ankyrin-G- $\beta$ IV-spectrin scaffold of the AIS (Kordeli et al., 1995; Berghs et al., 2000). The organelle composition of the axon hillock characterized in these earlier studies is clearly similar to that of the PAEZ defined here (Figure 4). We surmise that the proximal region of axons that arise from dendrites has a similar composition, since it also exhibits properties of the PAEZ (Figures 1A–C). The exclusion of the rough ER and Golgi complex, in addition to somatodendritic vesicles, at the PAEZ suggests that a common restriction mechanism may operate for all of these organelles.

We were initially interested in further exploring how an actin-based filter could segregate somatodendritic and axonal vesicles. However, confocal fluorescence microscopy of phalloidin-stained neurons did not show any dense F-actin cytoplasmic structure in the AIS or PAEZ (Figures 4A and S2). Higher resolution analyses by other researchers revealed periodic ring-like structures containing actin, spectrin and associated proteins under the axonal plasma membrane starting at the axon hillock (Xu et al., 2013), but no dense actin meshwork or polarized actin filaments in the cytoplasm of the AIS (Jones et al., 2014). These observations prompted us to seek alternative explanations for the mechanism of vesicle sorting at the PAEZ. We went back to an early notion that only vesicles that bind a specific microtubule motor might be able to enter the axon, while all others remain in the somatodendritic domain (Palay et al., 1968). Indeed, we found that appending a somatodendritic protein with a short peptide sequence (KBS) that binds to kinesin-1 (Pernigo et al., 2013) enabled passage of whole somatodendritic vesicles through the PAEZ



and AIS toward the distal axon (Figures 5 and 6). Kinesin-1 is the best known among several plus-end-directed kinesins that mediate preferential transport of carrier vesicles to the axon (Ferreira et al., 1993; Kamal et al., 2000; Song et al., 2009; Huang and Banker, 2012). The role of kinesin-1 in axonal transport may derive from its selective recruitment to microtubules having specific combinations of post-translational modifications of tubulin (Liao and Gundersen, 1998; Reed et al., 2006; Nakata et al., 2011) that are enriched in the axon beginning at the PAEZ. Indeed, we found that overexpression of an acetylation-mimic  $\alpha$ -tubulin mutant randomized the distribution of KIF5A to the axon and dendrites, and reduced the axonal missorting of KBS-tagged somatodendritic cargos (Figure 7A–C). Expression of a dominant-negative KLC mutant comprising only the cargo-recognition TPR domain abrogated the axonal, but not dendritic, transport of KBS-tagged somatodendritic proteins (Figure 5D). These findings imply that vesicles carrying the somatodendritic proteins examined in this study (TfR and NiV-F) do not depend on kinesin-1 for transport to the dendrites. Instead, these vesicles might acquire other plus-end directed kinesins (Setou et al., 2000; Chu et al., 2006; Song et al., 2009; Jenkins et al., 2012; Huang and Banker, 2012) or the minus-end directed dynein (Zheng et al., 2008; Kapitein et al., 2010) for movement within the somatodendritic domain. The potential use of both plus-end and minus-end directed microtubule motors for somatodendritic transport is supported by the existence of mixed-polarity microtubules in the dendrites of hippocampal neurons (Baas et al., 1988; Burton, 1988). Exactly which among the ~45 mammalian kinesins could mediate axonal *vs.* somatodendritic transport is currently a matter of debate, because the direction of transport may be influenced by interactions with specific cargos (Atherton et al., 2013). Finally, the actin motor myosin Va could also play a role in directing vesicles to the dendrites (Lewis et al., 2009), as it does in transport from the dendritic shaft to spines (Correia et al., 2008).

Our results are thus most consistent with a model in which polarized sorting of carrier vesicles (and likely other cytoplasmic organelles) at the PAEZ is microtubule-based and dependent on the differential acquisition of microtubule motors that mediate selective transport to the axon or dendrites (Figure 7D). Organelles that normally bind kinesin-1 or other axonal kinesins can traverse the PAEZ *en route* to the distal axon, while those that do not bind axonal kinesins cannot pass through the PAEZ and are instead directed to the dendrites by other kinesins or dynein.

## Experimental Procedures

### Cell culture and transfection

Primary hippocampal neuronal cultures were prepared as previously described (Kaeck and Banker, 2006). Briefly, hippocampi were dissected from Sprague-Dawley rats on embryonic day 18. Cells were dissociated and plated onto poly-L-lysine treated plates and maintained in DMEM supplemented with 10% horse serum for ~3 h at 37°C under a humidified atmosphere (95:5 air:CO<sub>2</sub>). The culture medium was substituted with Neurobasal medium supplemented with B-27 and Glutamax. After 4–6 days in culture, neurons were transfected with different constructs using Lipofectamine 2000, except for the localization of GFP-Rigor-KIF5A on DIV3 when transfection was performed on DIV2. NgCAM, smooth ER and rough ER were detected by transfection with plasmids encoding NgCAM (0.2  $\mu$ g), GFP-

RTN (25 ng) and RFP-CLIMP-63 (25 ng), respectively, and immunostaining with monoclonal anti-NgCAM or polyclonal anti-GFP that recognizes both GFP and RFP. Transfected and untransfected neurons were analyzed after different days in culture, as indicated in the legends to figures. The AIS was identified by immunostaining with antibody to AnkG for fixed neurons or CF488-, CF555 or CF640-conjugated antibody to neurofascin for live neurons.

### Immunofluorescence and confocal microscopy

Neurons were fixed with 4% paraformaldehyde and 4% sucrose in PBS for 20 min, permeabilized with 0.2% Triton X-100 for 15 min, blocked with 0.2% porcine gelatin for 30 min at 37°C and stained with primary antibodies for 30 min at 37°C followed by secondary antibodies for 30 min at 37°C. For TfR-GFP surface staining, we incubated live neurons with CF555-conjugated antibody to GFP for 30 minutes at 4°C. Cells were then fixed, permeabilized and stained with antibody to AnkG, followed by appropriate secondary antibodies. All fluorescence images were obtained using a confocal microscope (LSM710, Zeiss) equipped with 63x, 1.4 NA, and 40x, 1.3 NA objectives. A small pinhole and z-stacks were used to characterize the PAEZ. Z-stack reconstructions were performed using ImageJ version 1.44o (Wayne Rasband, NIH, <http://imagej.nih.gov>). All image analysis, including fluorescence line intensity plots and calculation of dendrite/axon polarity index, axon-tip/dendrite index and PAEZ and AIS areas, was also performed using ImageJ version 1.44o as detailed in the Supplemental Experimental Procedures section.

### Live-cell imaging

For imaging of TfR-GFP-containing vesicles (including both somatodendritic carriers and endosomes), neurons were transfected with plasmids encoding TfR-GFP on DIV4-5. At DIV10, neurons were stained with CF555-conjugated antibody to NF for 30 min at 37°C. Cells were imaged on a spinning-disc confocal microscope (Marianas, Intelligent Imaging) equipped with a 63x 1.4 NA objective. Digital images were acquired with an EM-CCD camera (Evolve, Photometrics). For dual-color videos, TfR-GFP and NF-CF555 channels were sequentially exposed for 200 ms and 100 ms, respectively. Neurons were recorded every 500 ms for 120 s. Photobleaching (PB) was performed after ~5 s of video-recording to facilitate identification of individual vesicle tracks.

For imaging of somatodendritic carrier vesicles emanating from the TGN, neurons were transfected with a bicistronic expression plasmid encoding Streptavidin-KDEL and TfR-SBP-GFP on DIV6-7 and analyzed after 16–24 h using the RUSH system (Boncompain et al., 2012). After transfection, neurons were maintained in Neurobasal medium without B27 supplement because the presence of D-biotin in B27 interferes with the RUSH system. DIV7 neurons were incubated with 40  $\mu$ M D-biotin immediately before imaging on the spinning-disc confocal microscope. The release of TfR-SBP-GFP from the ER-hook (Streptavidin-KDEL) was monitored under the microscope, and after accumulation of TfR-SBP-GFP at the TGN (15–20 min after D-biotin addition), dual-color live-cell imaging was performed by sequential capture of 200-ms images of TfR-SBP-GFP and NF-CF555 every second for 120 s. A total of 15 neurons were analyzed.

For imaging of TfR-GFP-containing vesicles on DIV3 neurons cotransfected with control shRNA or AnkG shRNA and mCherry-tubulin, neurons were stained at DIV8 with CF640-conjugated antibody to NF and imaged on a spinning disk confocal microscope. Neurons were recorded every 500 ms for 120 s.

Quantification of live-cell imaging data and statistical analyses were performed as described in the Supplemental Experimental Procedures section.

### Other methods

Additional methods and reagents are described in Supplemental Experimental Procedures.

### Supplementary Material

Refer to Web version on PubMed Central for supplementary material.

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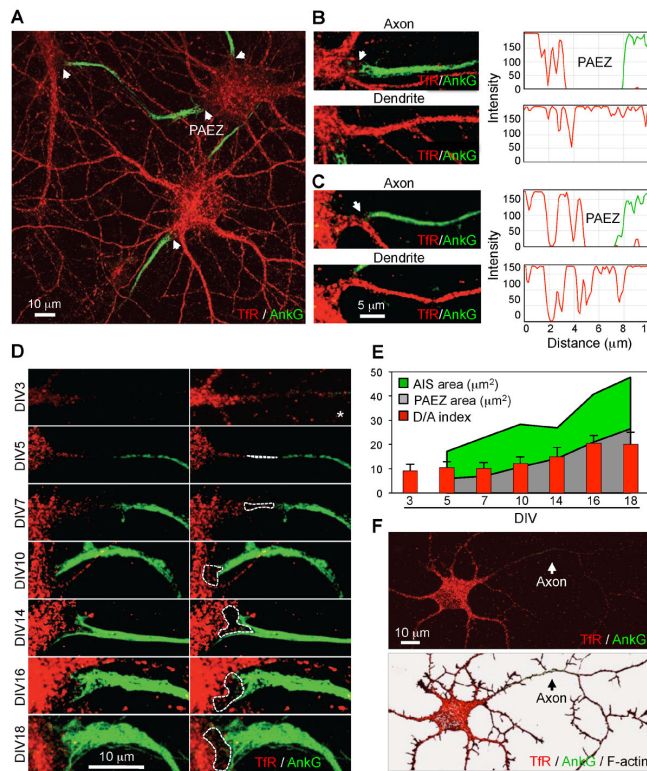
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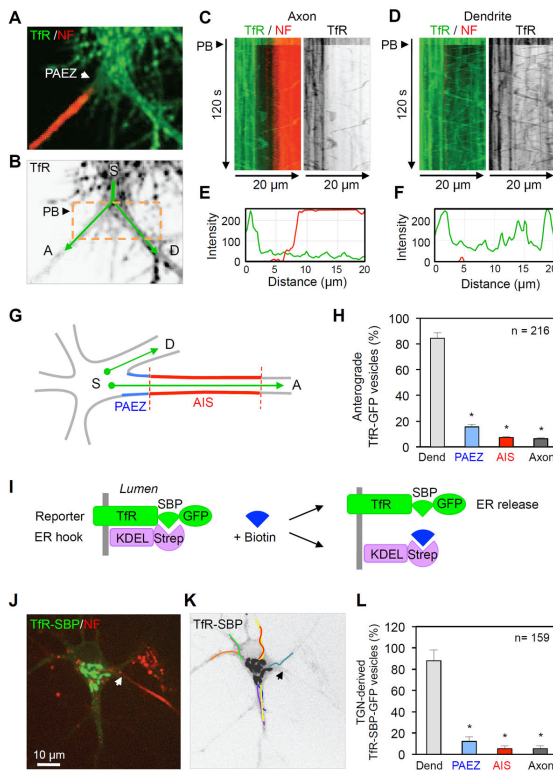
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**Figure 1. Identification of the pre-axonal exclusion zone**

(A) Z-stack reconstruction of confocal sections from DIV10 hippocampal neurons stained for endogenous Tfr (red) and AnkG (green). (B, C) Magnified views of axons emanating from the soma (B) or a dendrite (C), and their neighboring dendrites. Graphs on the right show the corresponding Tfr and AnkG intensity scans over a 10- $\mu$ m line running from the soma to the axons or dendrites. In A–C, arrows point to the pre-axonal exclusion zone (PAEZ). (D) Images of axons emanating from the soma in neurons stained as in panel A at different times in culture. The PAEZ is the area delimited by dashed lines (images on the right). The top right picture marked with an asterisk is a higher intensity image of the top left image. (E) Graph representing the AIS area (green), PAEZ area (gray) and dendrite/axon (D/A) polarity index (red bars), calculated from 25 neurons at different days of culture *in vitro*. D/A values are the mean  $\pm$  SD. (F) General view of the same DIV3 neuron shown in the top panels of D co-stained with phalloidin (grayscale, bottom) to highlight F-actin in the entire cytoplasm.



### Figure 2. Exclusion of somatodendritic vesicles at the PAEZ

(A) Dual-color image of an axon and adjacent dendrite emanating from a live DIV10 neuron expressing TfR-GFP (green) and surface-stained with CF555-conjugated antibody to the AIS marker NF (red). Arrow points to the PAEZ. (B) Grayscale image of the TfR-GFP channel in A showing a photobleaching (PB) box (orange) and tracks (green) running from the soma (S) to the axon (A) or dendrite (D) along which vesicle movement was quantified. (C,D) Dual-color (left) and grayscale (right) kymographs generated from straightened lines along the tracks shown in B. Particles moving in anterograde and retrograde directions appear as lines with negative and positive slopes, respectively. See also Movie S1. (E, F) Corresponding TfR (green) and NF (red) line intensity scans at the 120-s point of the kymographs. (G) Scheme of the neuronal regions in which anterograde transport of TfR-GFP from the soma (S) to the dendrites (D) or axons (A) was quantified along 30  $\mu\text{m}$ -long tracks. (H) Number of vesicles of TfR-GFP entering the neighboring dendrite, PAEZ and AIS over a 120-s period. A total of 216 vesicles in 15 neurons were analyzed. (I) Schematic representation of constructs used in the RUSH system (Boncompain et al., 2012): TfR fused to a streptavidin-binding peptide (SBP) and GFP (reporter), and streptavidin appended with the KDEL ER retention signal (ER hook). Biotin dissociates the reporter from the ER hook and allows for its transport through the secretory pathway. (J) DIV7 neuron co-expressing RUSH system constructs surface-stained for NF (red) and incubated for 20 min with biotin to release the TfR-SBP-GFP reporter from the ER and allow its concentration in the Golgi complex. (K) Grayscale image of the neuron in J showing trajectories of TfR-SBP-GFP vesicles budding from the TGN over a 120-s period from Movie S2. (L) Number of vesicles of TfR-SBP-GFP entering an average dendrite, PAEZ and AIS over a 120-s period. A total



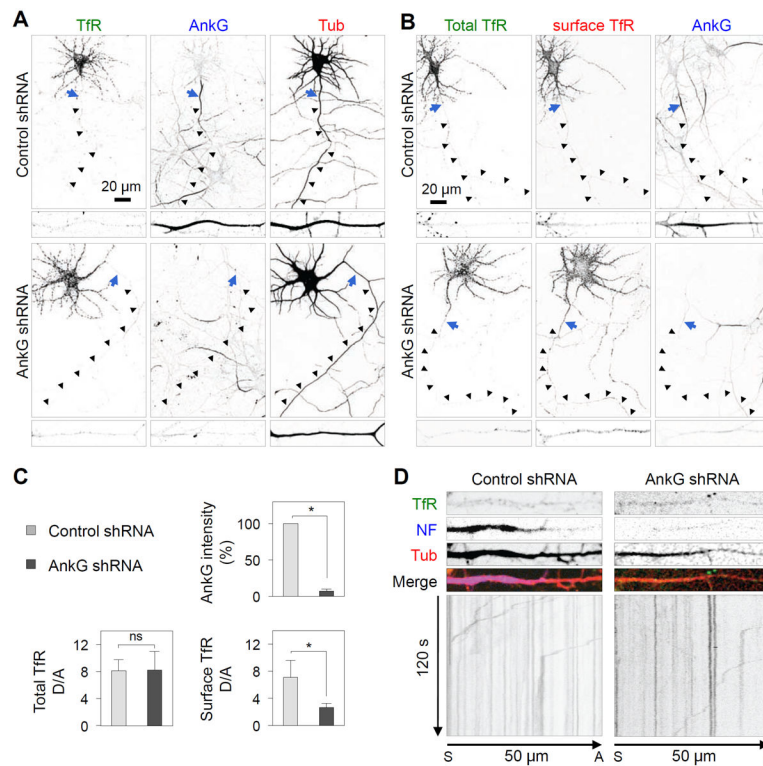
of 159 vesicles in 15 neurons were analyzed; values were expressed as percentage  $\pm$  SEM. \*  $P < 0.001$ .

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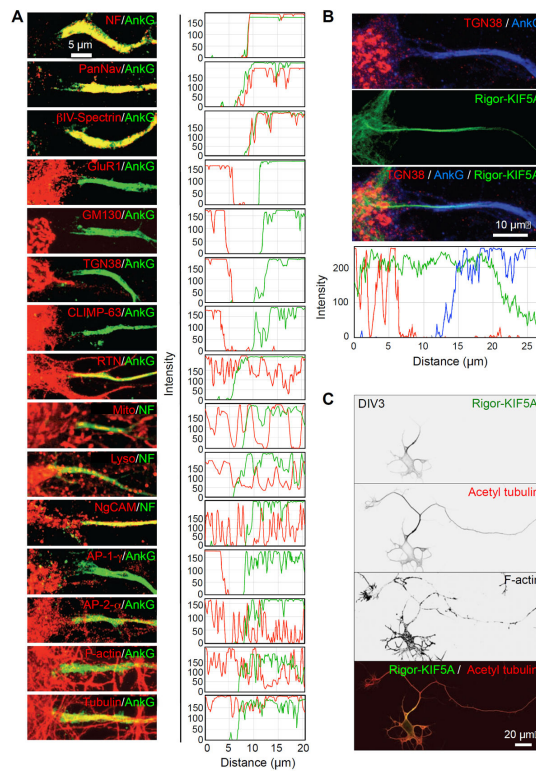
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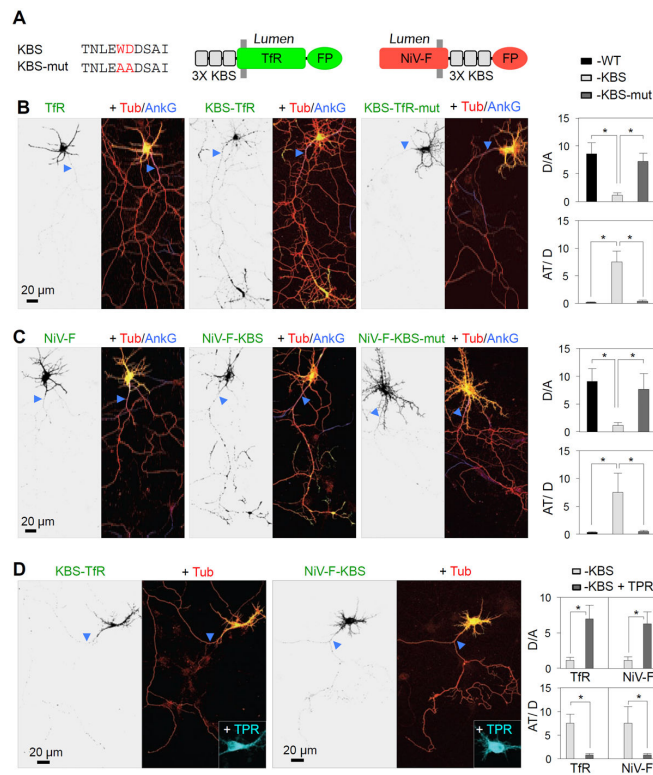
### Figure 3. Axonal exclusion of somatodendritic vesicles in the absence of the AIS

(A) DIV3 neurons were co-transfected with plasmids encoding control shRNA or AnkG shRNA, together with Tfr-GFP and mCherry-tubulin, and stained for AnkG on DIV8. (B) DIV3 neurons co-transfected with plasmids encoding control shRNA or AnkG shRNA, together with Tfr-GFP, were surface-stained live with CF555-conjugated antibody to GFP prior to fixation and staining for AnkG on DIV8. Enlarged regions of axon are shown at the bottom of each image. Arrows indicate the position of the AIS and arrowheads trace the trajectory of the axon. (C) Graph representing AnkG intensity (%) and dendrite/axon (D/A) ratio for total and surface Tfr-GFP quantified from 20 neurons and expressed as mean  $\pm$  SD. \*  $P < 0.001$ . n.s., not significant. (D) Images of axons from live DIV8 neurons transfected as in A and stained for NF. Grayscale kymographs for Tfr-GFP recorded every 500 ms for 120 s were generated from a straightened 30-pixel-wide line along a 50- $\mu$ m path of an axon. Particles moving in retrograde direction appear as lines with positive slopes. See also Movie S3.



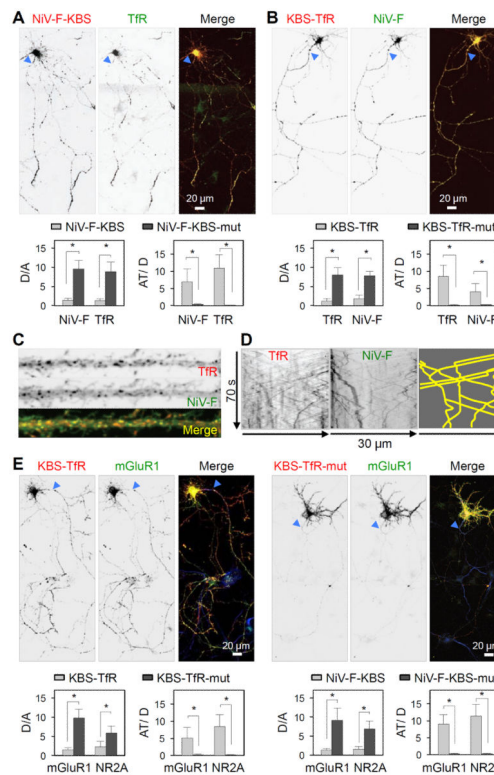
**Figure 4. Selective exclusion of somatodendritic organelles and presence of KIF5 microtubule tracks in the PAEZ**

(A) *Left*: Images of the soma-PAEZ-AIS transitional region from DIV10 neurons stained for AIS markers (AnkG or NF) and the indicated organellar markers (see Results section for description of these markers). *Right*: Corresponding fluorescence line intensity scans. (B) DIV10 neurons expressing GFP-Rigor-KIF5A (green) co-stained for AnkG (blue) and TGN38 (red), and corresponding line intensity scans. (C) DIV3 neurons expressing GFP-Rigor-KIF5A (green) co-stained for acetylated  $\alpha$ -tubulin (red) and F-actin (grayscale).

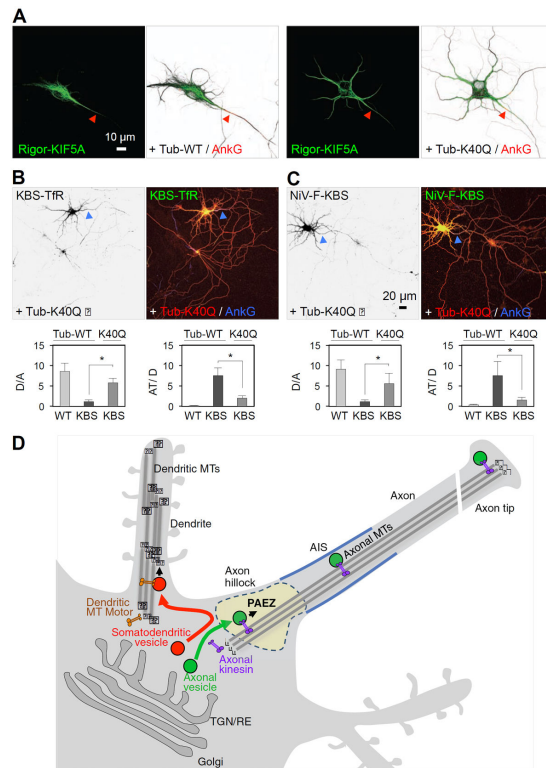


**Figure 5. Fusion of a KLC-binding sequence to somatodendritic proteins causes missorting of the chimeras to the axon**

(A) Three copies of the KLC-binding sequence (KBS) from SKIP (Pernigo et al., 2013) or an inactive WD-to-AA mutant of it (KBS-mut) were fused to the cytosolic N-terminus of TfR or C-terminus of NiV-F, both tagged with fluorescent proteins (FP) (GFP or mCherry). (B, C) DIV7 neurons co-expressing mCherry-tubulin (Tub) (red) with TfR-GFP (B) or NiV-F-GFP (C), WT (left) or fused to KBS (middle) or KBS-mut (right) were immunostained for AnkG (blue). Enlarged regions of the somatodendritic domain and axon tips are shown in Figure S3. Dendrite/axon (D/A) and axon tip/dendrite (AT/D) ratios were quantified from 25 neurons and expressed as mean  $\pm$  SD. \*  $P < 0.001$ . (D) DIV7 neurons co-expressing KBS-TfR-GFP (left) or NiV-F-KBS-GFP (right), mCherry-tubulin (Tub) (red) and the dominant-negative mutant KLC TPR-HA (cyan). Dendrite/axon (D/A) and axon tip/dendrite (AT/D) ratios were quantified from 25 neurons and expressed as mean  $\pm$  SD. \*  $P < 0.001$ . In all images, arrowheads point to the AIS.



**Figure 6. NiV-F-KBS and KBS-TfR chimeras redirect other somatodendritic proteins to the axon**  
 (A) Expression of NiV-F-KBS-mCherry (red) redirects TfR-GFP (green) to the axon in DIV7 neurons. NiV-F-KBS-mCherry also redirects endogenous TfR to the axon (Figure S7). Dendrite/axon (D/A) and axon tip/dendrite (AT/D) ratios of wild type NiV-F and TfR proteins in neurons expressing NiV-F-KBS-mCherry, or the corresponding NiV-F-KBS-mut constructs. (B) Expression of KBS-TfR-mCherry (red) redirects NiV-F-GFP (green) to the axon in DIV7 neurons. Dendrite/axon (D/A) and axon tip/dendrite (AT/D) ratios of TfR and NiV-F proteins in neurons expressing KBS-TfR-mCherry, or the corresponding KBS-TfR-mut constructs. (C) Dendrite from a DIV10 neuron showing co-localization of TfR-mCherry (red) and NiV-F-GFP (green) to the same vesicles. (D) Grayscale kymographs for TfR-mCherry (left panel) and NiV-F-GFP (middle panel) corresponding to a DIV10 neuron recorded every 500 ms for 70 s were generated from a straightened 20-pixel-wide line along a 30- $\mu$ m path of a dendrite. Co-movement of particles is indicated as yellow tracks on the right panel. (E) DIV7 neurons co-transfected with plasmids encoding mGluR1-GFP (grayscale in the middle and green in the right merged images) plus KBS-TfR-mCherry or KBS-TfR-mut-mCherry (grayscale in the left and red in the right merged images). Notice the redistribution of mGluR1-GFP to axons and axon tips upon KBS-TfR-mCherry but not KBS-TfR-mut expression. Dendrite/axon (D/A) and axon tip/dendrite (AT/D) ratios of mGluR1-GFP and NR2A-GFP proteins in neurons expressing KBS-TfR-mCherry, NiV-F-KBS-mCherry or the corresponding KBS-mut constructs. In all images, arrowheads point to the AIS. In all graphs the values are the mean  $\pm$  SD from 25 neurons. \*  $P < 0.001$ .



**Figure 7. Importance of tubulin acetylation and model for vesicle sorting at the PAEZ**  
 (A) DIV7 neurons co-expressing GFP-Rigor-KIF5A (green) with mCherry-tubulin (Tub-WT) (left panels) or acetylation-mimic K40Q mutant  $\alpha$ -tubulin (Tub-K40Q) (right panels). Notice that Tub-K40Q overexpression causes missorting of Rigor-KIF5A to dendrites. Arrowheads point to the AIS stained for AnkG (red). (B,C) Expression of mCh-Tub-K40Q (red) reduces axonal missorting of TfR-KBS-GFP (B, left panel, green on right panel) and NiV-F-KBS-GFP (C, left panel, green on right panel) in DIV7 neurons immunostained for AnkG (blue). Dendrite/axon (D/A) and axon tip/dendrite (AT/D) ratios were quantified from 25 neurons and expressed as mean  $\pm$  SD. \*  $P < 0.001$ . (D) Schematic representation of vesicle sorting at the PAEZ. We propose that polarized sorting of transport vesicles begins at the PAEZ and depends on the ability of vesicles to acquire an axonally-directed microtubule motor. Sorting of newly-synthesized proteins to the axonal or somatodendritic domains of neurons involves selective incorporation into distinct populations of vesicular transport carriers budding from the *trans*-Golgi network (TGN) or recycling endosomes (RE) (Fariás et al., 2012; Petersen et al., 2014). Axonal vesicles associate to axonally-directed microtubule (MT) motors such as kinesin-1 at the level of the PAEZ, resulting in movement of the vesicles towards the MT plus end in the distal axon. Somatodendritic vesicles, on the other hand, fail to enter the axon at the PAEZ because they lack the ability to bind axonal MT motors. Instead, these vesicles are driven to dendrites by association with other kinesins or dynein, which mediate transport along the mixed polarity MTs present in the dendrites.