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## MicroRNA-125a promotes resistance to BRAF inhibitors through suppression of the intrinsic apoptotic pathway

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### Summary

Melanoma patients with *BRAF*<sup>V600E</sup>-mutant tumors display striking responses to BRAF inhibitors (BRAFi); however, almost all invariably relapse with drug-resistant disease. Here we report that microRNA-125a (*miR-125a*) expression is upregulated in human melanoma cells and patient tissues upon acquisition of BRAFi resistance. We show that *miR-125a* induction confers resistance to *BRAF*<sup>V600E</sup> melanoma cells to BRAFi by directly suppressing pro-apoptotic components of the intrinsic apoptosis pathway, including BAK1 and MLK3. Apoptotic suppression and prolonged survival favor reactivation of the MAPK and AKT pathways by drug-resistant melanoma cells. We demonstrate that *miR-125a* inhibition suppresses the emergence of resistance to BRAFi and, in a subset of resistant melanoma cell lines, leads to partial drug re-sensitization. Finally, we show that *miR-125a* upregulation is mediated by TGF $\beta$  signaling. In conclusion, the identification of this novel role for *miR-125a* in BRAFi resistance exposes clinically relevant mechanisms of melanoma cell survival that can be exploited therapeutically.

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Conflict of Interest

The authors disclose no potential conflicts of interest.

## Keywords

melanoma; BRAF inhibitor; resistance; microRNA; apoptosis

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## Introduction

Melanoma is the leading cause of death from skin cancer, with incidence that continues to rise (Ferlay et al., 2015). Somatic, activating mutations in *BRAF*, predominantly *V600E*, occur in ~40-50% of cutaneous malignant melanomas (Akbani et al., 2015, Krauthammer et al., 2015); and BRAF inhibitors (BRAFi) have become important therapeutic agents in the treatment of metastatic *BRAF<sup>V600E</sup>* melanoma (Bollag et al., 2010, Chapman et al., 2011, Flaherty et al., 2010, Sosman et al., 2012). Initial responses to BRAFi are exceptional with metastatic tumors that routinely vanish in clinical imaging of treated patients; however, tumor cells are not completely eradicated and resistance of melanoma cells to these inhibitors occurs in nearly all patients, resulting in progression with treatment refractory disease. Numerous molecular mechanisms involved in the acquisition of BRAFi resistance have been reported. Most resistance mechanisms involve reactivation of the MAPK pathway, commonly through *NRAS* mutation (Nazarian et al., 2010), *BRAF* splicing changes (Poulikakos et al., 2011) or amplification (Shi et al., 2012), but also through less frequent alterations, such as *MEK1/2* mutation (Emery et al., 2009), COT hyperactivation (Johannessen et al., 2010), or RTK/EGF receptor upregulation (Girotti et al., 2013). Alternatively, the PI3K/AKT pathway (i.e. *PTEN* loss (Paraiso et al., 2011), AKT hyperactivation (Shao and Aplin, 2010), *NF1* loss (Whittaker et al., 2013), PIP3 loss (Ye et al., 2013), IGF1R upregulation (Villanueva et al., 2010)) or additional mechanisms (Haq et al., 2013b, Hilmi et al., 2008, Smith et al., 2014, Straussman et al., 2012, Shen et al., 2016) become hyper-activated in BRAFi-resistant melanoma. In addition to these described mechanisms, up to 40% of BRAFi-resistant tumors harbor unknown mechanisms of resistance (Rizos et al., 2014, Johnson et al., 2015), and not all can be explained by genetic/genomic changes (Hugo et al., 2015).

Common BRAFi resistance mechanisms, which reactivate MAPK or activate PI3K signaling, are typically thought to be acquired molecular alterations as opposed to selection of pre-existing tumor clones (Lackner et al., 2012). Development of such mechanisms likely requires activation of cellular survival pathways to evade BRAFi-induced cell death until permanent resistance mechanisms are acquired.

The involvement of non-genomic alterations in the acquisition of BRAFi resistance has not been fully explored. MicroRNA (miRNA), which are modulators of gene expression and molecular pathways, play central roles in a variety of normal and pathological cellular processes (Lujambio and Lowe, 2012). A few recent studies show involvement of miRNA in BRAFi resistance of melanoma. Vergani et al. demonstrate that a set of three miRNA (*miR-34a*, *miR-100* and *miR-125b*) confers BRAFi resistance downstream of the chemokine CCL2 (Vergani et al., 2016), whereas Liu et al. identify *miR-200c* as a sensitizer of melanoma cells to BRAFi treatment (Liu et al., 2015). *miR-514a* may contribute to BRAFi resistance, as its expression promotes survival of melanoma cells treated with BRAFi (Stark

et al., 2015); however, evidence of *miR-514a* modulation in clinical samples or models of BRAFi resistance has not been reported. Finally, Sun et al. found *miR-7* downregulation in a model of BRAFi resistance and reported that its re-expression sensitized resistant cells to BRAFi treatment (Sun et al., 2016).

We hypothesized that specific miRNA can directly confer BRAFi resistance or contribute to the establishment of other resistance mechanism(s) that lead to MAPK and/or PI3K/Akt activation. To identify miRNA candidates that may contribute to BRAFi resistance in melanoma, we performed miRNA expression profiling of BRAFi resistant cell clones and their respective parental cells. *miR-125a* was consistently overexpressed upon acquisition of resistance to BRAF inhibition. Upregulation of *miR-125a* was also observed in clinical BRAFi-treated tumors relative to paired, pre-treatment tumor samples, further supporting its potential contribution to BRAFi therapeutic resistance. Mechanistically, we show that *miR-125a* facilitates BRAFi resistance by suppressing the intrinsic apoptotic pathway. Our findings support the possibility to use anti-miRNA based approaches to prevent or overcome BRAFi resistance.

## Results

### ***MiR-125a* is overexpressed in BRAFi resistant melanoma**

To identify miRNA that may contribute to BRAFi resistance, we conducted miRNA expression profiling of *BRAF<sup>V600E</sup>* mutant SK-MEL-239 cells (BRAFi sensitive cells) treated with DMSO or Vemurafenib (Vem) for 24h, and a panel of BRAFi-resistant cell clones generated through prolonged exposure to 2 $\mu$ M Vemurafenib (Poulikakos et al., 2011). As previously reported, resistant clones universally reactivated the MAPK pathway (Fig. 1A) and exhibited higher IC<sub>50</sub> values compared to their parental counterpart (Fig. 1B). We conducted expression profiling of 800 miRNA by Nanostring of 5 BRAFi-resistant clones, DMSO-treated SK-MEL-239 cells, and SK-MEL-239 cells treated with Vemurafenib for 24 hours (Table S1) We observed *miR-125a-5p* consistently upregulated in all BRAFi-resistant clones analyzed (n=5, Fig. 1C), a finding confirmed by RT-qPCR (Fig. S1A). *MiR-125a-5p* induction was observed in multiple additional resistant SK-MEL-239 clones (Fig. 1D) and other resistant cell lines (Fig. S1B), as well as in BRAFi plus MEK inhibitor (MEKi) double resistant cell clones (Fig. S1C). Importantly, we evaluated *miR-125a* expression in 22 paired human melanoma clinical samples acquired pre-treatment and after BRAFi treatment. We observed *miR125a-5p* levels to be upregulated in 8 of 22 (36%) tumor samples while on therapy with BRAFi (Fig. 1E), supporting the potential relevance of *miR-125a* induction to development of therapeutic resistance to BRAFi in melanoma patients.

### ***MiR-125a* suppresses apoptosis in the presence of BRAFi and promotes BRAFi resistance**

We sought to determine if *miR-125a* upregulation functionally contributes to the acquisition of resistance to BRAFi by melanoma cells. We stably overexpressed *miR-125a* in SK-MEL-239 cells by lentiviral transduction (Fig. S2). *MiR-125a* overexpressing cells displayed increased viability in the presence of Vemurafenib at all tested doses (Fig. 2A). Moreover, *miR-125a* overexpression allowed melanoma cells to grow in the presence of BRAFi (Fig. 2B). Interestingly, we observed that this resistance phenotype was not immediately

accompanied by reactivation of the MAPK or AKT pathways (week 1, Fig. 2C), but resulted in enhanced reactivation of those pathways at later time points (weeks 2-4, Fig. 2C). Since Vemurafenib exerts its anti-tumor effect predominantly by triggering apoptosis (Lee et al., 2010), we examined the role of *miR-125a* on apoptosis induction of Vemurafenib-treated melanoma cells. Ectopic expression of *miR-125a* in Vemurafenib-treated cells significantly decreased the number of Annexin<sup>+</sup> apoptotic cells (Fig. 2D) and suppressed PARP cleavage (Fig. 2E). Moreover, *miR-125a* overexpression also conferred increased resistance to the combined treatment of BRAFi plus MEKi (Fig. S3A, B). Collectively, these data indicate that *miR-125a* aids melanoma cell survival in the presence of BRAFi and promotes BRAFi resistance, likely through suppression of apoptosis.

### ***MiR-125a* inhibition suppresses the emergence of resistance to BRAFi and partially restores sensitivity to BRAFi in a subset of resistant cell lines**

Because *miR-125a* promotes melanoma cell survival during BRAFi treatment and its up-regulation is maintained in BRAFi-resistant cells, we hypothesized that its inhibition may delay or prevent the emergence of resistance to BRAFi, suppress growth and survival of resistant cells, and/or re-sensitize resistant cells to BRAF inhibition. We stably downregulated *miR-125a* with a lentiviral vector expressing an antisense sequence (Fig. S4A). *MiR-125a* suppression in BRAFi-sensitive parental SK-MEL-239 cells did not have an effect on their viability *in vitro* (Fig. S4B, S4C), but yielded a significant reduction in the emergence of BRAFi resistant colonies after prolonged exposure to Vemurafenib (Fig. 3A). Next, we examined if the *miR-125a* upregulation in established BRAFi resistant cell clones is required to maintain resistance to BRAFi. Interestingly, *in vitro*, some resistant cell clones were partially re-sensitized to BRAF inhibition by *miR-125a* depletion (Fig. 3B, left panels), by increasing Vemurafenib induced apoptosis (Fig. 3B, right panels); however, other resistant clones, which also overexpress *miR-125a*, were not re-sensitized to BRAF inhibition by *miR-125a* suppression (Fig. 3C). *In vivo*, we observed that *miR-125a* depletion suppressed tumor growth, irrespective of Vemurafenib treatment, of a BRAFi resistant clone (Fig. 3D) that, *in vitro*, was 're-sensitized' to BRAF inhibition by *miR-125a* depletion (see Fig. 3B). In addition, *miR-125a* inhibition partially restored BRAFi sensitivity to non-clonal resistant A375 cells *in vitro*, further supporting the role of *miR-125a* in maintenance of BRAFi resistance (Fig. S4D). Collectively, these findings highlight that *miR-125a* is important for establishment and, in some cases, for maintenance of BRAFi resistance in melanoma, suggesting these cells have become partially addicted to *miR-125a* for their proliferation.

### ***MiR-125a* suppresses the apoptotic program in BRAFi treated melanoma cells. BAK1 and MLK3 are direct *miR-125a* targets**

To elucidate *miR-125a* effectors involved in BRAFi resistance, we performed RNA sequencing of SK-MEL-239 cells overexpressing *miR-125a* or a scrambled control, in the presence of Vemurafenib or vehicle. Gene Set Enrichment Analysis (GSEA) of deregulated genes ( $p < 0.05$ ) in Vemurafenib-treated *miR-125a* overexpressing cells versus Vemurafenib treated scramble control cells revealed apoptosis as the main differentially regulated gene category ( $p < 0.00001$ ) (Fig 4A, upper graph). Since miRNA are negative regulators of gene expression we conducted GO analysis (<http://david.abcc.ncifcrf.gov/>) specifically for genes

downregulated by *miR-125a* overexpression, which again identified ‘apoptotic regulation’ among the most significantly affected programs ( $p < 0.003$ ) (Fig. 4A, lower graph). In order to identify direct targets, which might mediate *miR-125a* pro-survival effects in the presence of BRAFi, we overlapped the list of 1999 downregulated genes ( $p < 0.05$ ,  $FC < 0.8$ ) with a combined list of 2018 putative targets from three publicly available databases (TargetScan (Friedman et al., 2009), Starbase (Li et al., 2014), and miRWalk (Dweep et al., 2011)). Within the overlapping list of 503 putative targets downregulated by *miR-125a* overexpression in the presence of Vemurafenib were several components of the intrinsic pro-apoptotic pathway (*TXNIP*, *MLK2*, *MLK3*, *BIK1*, *BAK1*, *TP53*; Fig. 4B). Validation through Western Blot analysis revealed regulation of MLK3 and BAK1 by *miR-125a* (Fig. 4C), which was particularly evident upon BRAFi treatment. 3'UTR luciferase reporter assays confirmed that *miR-125a* directly targets both MLK3 and BAK1 (Fig. 4D). Accordingly, resistant clones showed increased MLK3 and BAK1 protein levels after *miR-125a* inhibition (Fig. 4E). Interestingly, *miR-125a*-induced repression of MLK3 did not affect the apoptotic JNK/p38 pathway, which MLKs are known to regulate (Fig. S5A).

Individual and combined silencing of BAK1 and MLK3 in SK-MEL-239 cells was unable to mimic the ability of *miR-125a* to promote BRAFi resistance (Fig. S5B-C), suggesting that *miR-125a* effects require the combined suppression of multiple apoptosis suppressors. However, in resistant cells sensitized to BRAFi through *miR-125a* inhibition, depletion of either BAK1 or MLK3 restored BRAFi resistance (Fig. 4F, Fig S5D). To examine whether downregulation of BAK1 and/or MLK3 correlated with resistance to BRAFi therapy in clinical samples, we analyzed their expression in a previously published profile (Rizos et al., 2014) of paired biopsies isolated pre-treatment and at disease progression (BRAFi-resistance) from 21 metastatic melanoma patients. There was evidence of downregulation (relative to the patient-matched pre-treatment samples) of *BAK1* or *MLK3* in 6 (~29%) and 10 (~43%) of 21 patients, respectively (Fig. S5E). These findings support that *BAK1* and *MLK3* downstream of *miR-125a* might be involved in clinical resistance to BRAFi therapy. Overall, these results suggest that regulation of pro-apoptotic targets might critically mediate *miR-125a* effects on melanoma cell resistance to BRAFi.

### ***MiR-125a* expression is regulated by TGF $\beta$ signaling**

*MiR-125a* expression was induced after short-term Vemurafenib exposure of SK-MEL-239 cells (Fig. S6A) and rapidly returned to basal levels after drug removal (Fig. S6B), suggesting that it is likely regulated by transient, reversible mechanisms. To examine if this regulation occurs transcriptionally, we tested the effect of Vemurafenib on the expression of the *miR-125a* primary transcript, which includes *miR-125a*, *miR-99* and *let-7b*, and found it upregulated in resistant clones (Fig. S6C). Surprisingly, of the miRNA expressed in this cluster, only mature *miR-125a* was found significantly upregulated (Fig. S6D), suggesting that differential processing may add further specificity to the induction of *miR-125a* expression by BRAF inhibition.

To identify potential upstream regulators of *miR-125a* expression, we correlated *miR-125a* with coding mRNA abundance from a previously published gene expression profiling of a panel of melanoma cell lines (Rose et al., 2011). Strikingly, the most highly correlated

mRNA was TGF $\beta$ 1 ( $r^2 = 0.8$ ,  $p < 0.00001$ ) (Fig 4G), which has previously been implicated in acquisition of BRAFi resistance (Sun et al., 2014). Moreover, *in silico* analysis of the *miR-125a* promoter region revealed three putative binding sites of the TGF $\beta$  induced gene EGR-1 (Chen et al., 2006) and one putative Smad binding site. Treatment of BRAFi sensitive melanoma cells with recombinant TGF $\beta$ 1 protein induced *miR-125a* expression (Fig 4H). Importantly, TGF $\beta$  signaling is induced upon Vemurafenib treatment (Fig. 4I, left panel, Fig S7), and treatment with the TGF $\beta$  receptor I inhibitor Galunisertib suppressed *miR-125a* induced by BRAF inhibition (Fig. 4I, right panel). Overall, our data indicate that TGF $\beta$  signaling contributes to *miR-125a* induction in response to BRAF inhibition.

## Discussion

A major hurdle for targeted therapy in melanoma remains the widespread development of BRAFi resistance. Whereas most of the described resistance mechanisms are based on genomic alterations, the contributions of non-genomic alterations to resistance are only beginning to be explored (Hugo et al., 2015). Delineating the molecular mechanisms involved in the acquisition and maintenance of BRAFi resistance may provide valuable insights into novel therapeutic strategies to more effectively treat patients with *BRAF*<sup>V600E</sup>-mutant melanoma. Here, in a model that recapitulates clinical BRAFi resistance (Poulikakos et al., 2011) and additional cell line models, we consistently observed upregulation of *miR-125a* in BRAFi-resistant clones. Moreover, *miR-125a* was upregulated in human clinical melanoma samples from patients treated with BRAFi compared to their pre-treatment biopsies. In addition to these observations, we experimentally demonstrated that *miR-125a* expression favors acquisition of BRAFi resistance by suppressing the induction of apoptosis via direct targeting of the intrinsic apoptotic pathway. Finally, we demonstrate that TGF $\beta$  signaling is required for *miR-125a* induction during BRAFi treatment. Collectively, our data document a critical role of *miR-125a* supporting the acquisition of BRAFi resistance in melanoma.

*MiR-125a* is a member of the *miR-10* family, which also includes two homologs, *hsa-miR-125b-1*, *hsa-miR-125b-2*, with which *miR-125a* shares an identical seed region. *MiR-125* homologs play crucial roles in many different cellular and molecular processes, such as differentiation, proliferation, apoptosis, and regulation of matrix-metalloproteases (Bi et al., 2012, Xu et al., 2012, Balakrishnan et al., 2012, Bousquet et al., 2008, Sun et al., 2013, Ge et al., 2011).

For the different *miR-125* homologs, contrasting properties have been reported in different cancer types; they may contribute to the initiation and progression of cancers by acting as either tumor suppressors or oncogenes (Cowden Dahl et al., 2009, Jiang et al., 2011, Jiang et al., 2010). The opposing properties of the *miR-125* homologs in different solid tumors demonstrate that they can have context-dependent functions in cancer pathogenesis, progression and treatment resistance. The underlying direct target mediators and molecular mechanisms in different cell contexts require further investigation.

Although *miR-125a* has not yet been described as a mediator of acquired BRAFi resistance of melanoma cells, previous studies have documented the ability of *miR-125b* to increase

resistance of cancer cell models to anticancer agents (Sun et al., 2013, Zhou et al., 2010). For instance, Sun et al. demonstrated a role for *miR-125b* in Taxol resistance in breast cancer (Sun et al., 2013). Moreover, recently, Vergani et al. reported that *miR-125b* (and other miRNAs) was upregulated in response to CCL2 induction during development of BRAFi resistance in melanoma (Vergani et al., 2016). Similar to our findings for *miR-125a*, *miR-125b* blocked apoptotic induction, though the molecular mechanisms downstream of this phenotype are not yet elucidated. They did not observe *miR-125a* upregulation in their model of BRAFi resistance used for miRNA profiling, nor did we observe *miR-125b* induction in our models. It is tempting to speculate that context-dependent increase of one of the *miR-125* homologs is required in the early stages of BRAFi treatment.

To our knowledge, our study provides the first evidence of *miR-125a* promoting therapeutic resistance in melanoma. Importantly, our findings identify a targetable step that may improve the efficacy of BRAF inhibition and/or BRAFi/MEKi combination therapy by blocking a cell survival pathway activated early in the treatment of metastatic *BRAF* mutant melanoma patients.

The prevalent *BRAF*<sup>V600E</sup> mutation provides melanoma cells with enhanced proliferation and survival signals. The therapeutic effects of BRAF inhibition stem from the activation of intrinsic apoptosis mechanisms. Our data suggest a mechanism of BRAFi mediated cell death evasion, carried out by *miR-125a* and its direct targeting of the pro-apoptotic factors MLK3 and BAK1. MLKs commonly modulate apoptosis through the JNK/p38 pathway. In this study, we demonstrate that downregulation of the specific member MLK3 by *miR-125a* plays a role in resistance through increasing cell viability in a JNK/p38-independent manner. A possibility is that the low expression of MLK3 provides a survival advantage through the inactivation of the intrinsic apoptotic pathway via AMPK regulation (Luo et al., 2015) or other related factors. BAK1 functions as a pro-apoptotic regulator by localizing to mitochondria and accelerating the release of cytochrome c (Westphal et al., 2014). Downregulation of BAK1 by *miR-125a* therefore leads to a decrease in mitochondrial apoptotic signaling, effectively resulting in increased cell survival. Thus, the direct suppression of apoptosis by *miR-125a* provides a mechanism by which melanoma cells may evade BRAF inhibition. This general survival benefit enacted by *miR-125a* may permit tumor cells to acquire secondary, ‘permanent’ resistance mechanisms resulting in eventual reactivation of the MAPK and AKT pathways. Therefore, *miR-125a* upregulation likely coexists with other mechanisms of resistance, playing a supporting role for cell survival. This is supported by our observation that *miR-125a* inhibition suppressed tumor growth of BRAFi resistant cells independent of BRAFi treatment. Whether *miR-125a* upregulation favors a particular subsequent resistance mechanism remains an area of active investigation.

Since miRNA can have pleiotropic effects, suppression of apoptosis might not be the only mechanism by which *miR-125a* contributes to resistance to BRAFi. Cells overexpressing the miRNA and treated with BRAFi exhibited phenotypic changes, such as lipid-like accumulations (data not shown), suggesting that alterations in cell metabolism might also be involved. In support of this notion, IPA analysis of genes modulated by *miR-125a* overexpression in the presence of BRAFi revealed ‘Cholesterol metabolism’ among the top dysregulated categories. As cholesterol availability is altered in cancer cells and influences

oncogenic networks (Ura et al., 1994), investigating metabolic reprogramming of melanoma cells in relation to BRAFi resistance may provide further valuable insight. Accordingly, recent work has identified a metabolic shift towards oxidative phosphorylation as another response of melanoma cells to BRAFi (Haq et al., 2013a).

Due to *miR-125a* targeting of pro-apoptotic factors, uncovering upstream *miR-125a* regulators in human tissues will likely provide relevant therapeutic avenues and is an area that warrants further investigation. In our system, the quick and reversible change in *miR-125a* expression levels upon BRAFi modulation suggests that it results from transcriptional and/or post-transcriptional mechanisms (rather than genetic amplification or mutation). We observed that TGF $\beta$  signaling, induced by Vemurafenib treatment, contributes to the regulation of *miR-125a* expression and therefore to resistance. Previous reports have linked activated TGF $\beta$  signaling to the acquisition of BRAFi resistance (Sun et al., 2014) and *miR-125a* induction may critically contribute to those effects.

While the upregulation of *miR-125a* provides a mechanism for drug resistance, the ability to target this miRNA opens the possibility to interfere with development of resistance. Indeed, depletion of this miRNA during BRAFi treatment *in vitro* led to a decreased emergence of resistant clones and partially re-sensitized a subset of BRAFi resistant cells. These findings suggest potential benefit of establishing clinical approaches for miRNA suppression in combination with BRAFi or BRAFi/MEKi therapy in the treatment of *BRAF<sup>V600E</sup>* melanoma. Thus, the identification of *miR-125a*'s involvement in BRAFi resistance elucidates clinically relevant mechanisms of melanoma cell survival and has important therapeutic implications.

## Methods

### Cells and cell culture

SK-MEL-239 parental and some Vemurafenib-resistant cell lines (single clones) were a kind gift of Dr. Poulikos Poulidakos and Dr. Emily Bernstein. Vemurafenib-resistant A375R and 451LuR cells (pooled clones) cells were derived from their respective parental cell lines by treatment with 1 $\mu$ M Vemurafenib for several weeks. A375 and 451Lu cells were cultured in Dulbecco's modified Eagle's medium (DMEM), resistant single clones were derived from the SK-MEL-239 cell line through prolonged treatment with 2 $\mu$ M Vemurafenib (single resistance) or 1 $\mu$ M Vemurafenib + 2 $\mu$ M PD0325901 (double resistance) in RPMI-1640 medium, supplemented with 10% fetal bovine serum and penicillin/streptomycin. The resistant cell lines were cultured in the presence of 1 $\mu$ M Vemurafenib. For TGF $\beta$  experiments cells were treated with human TGF $\beta$ 1 protein (R&D Systems) or TGF $\beta$  receptor I inhibitor (Galunisertib, Selleckchem).

Cell line authentication by short tandem repeat (STR) analysis was performed for SK-MEL-239 at ATCC and confirmed that these cells didn't match with any of the cell lines deposited in the cell bank (this cell line isn't present in any of the main cell line repositories and thus there is no 'baseline' STR profile from which to compare). STR profiling is ongoing for A375 and 451Lu. Cell lines were previously analyzed by morphologic



assessment and gene expression profiling for lineage-specific gene expression (TYR, TRYP1, DCT, TRYP1B, MITF, EDNRB, KIT) by microarray.

### MiRNA expression profiling

Total RNA was extracted from SK-MEL-239 cells and derived resistant clones using QIAzol reagent (Qiagen). 100ng RNA per sample was used for miRNA profiling using the NanoString nCounter expression assay of 800 human miRNAs according to manufacturer's protocol (Nanostring nCounter® miRNA Expression Assay User Manual). Raw expression values were normalized to those of the 100 highest expressed miRNAs and expression profiles were compared to the sensitive parental cells applying a differential expression cut-off of 2-fold. Student's t-test analysis was conducted and miRNAs with p-values <0.05 were selected for further analyses.

### Pre-miRNA or anti-miRNA stable transduction

Lentiviral vectors carrying miRNA precursors (H miRNA) and antisense miRNA sequences (Zip miRNA) were purchased from Dharmacon. Pre-miR- and anti-miR-scramble sequences were used as negative controls. Lipofectamine 2000 (Invitrogen) was used for transfection of 293T cells with pre-miRNAs or Zip miRNAs for virus production. 48h after transfection virus was collected and titrated. Infection of melanoma cells was performed for 6h using 4µg/ml polybrene.

### Oligonucleotide transient transfection

miRIDIAN oligonucleotides mimics, anti-miRNAs or the SMARTpool siRNAs (mixture of 4 siRNA provided as a single reagent) (all Dharmacon) were transfected using Lipofectamine 2000 (Invitrogen) according to manufacturer's protocol. Transfection efficiency was monitored using Block-iT Fluorescent Oligo (Invitrogen).

### Real-time quantitative PCR

Total RNA was isolated from cultured cells using the miRNeasy Mini Kit (Qiagen). MiRNA and pri-miRNA expression analysis was performed using miRNA-specific TaqMan MicroRNA Assay Kits (Applied Biosystems). 12.5ng of total RNA were reverse-transcribed using the corresponding RT primer and the TaqMan microRNA Reverse Transcription Kit (Applied Biosystems). PCR was performed on 1.33µl of RT products by adding Taqman PCR primers and Taqman Universal Master Mix (Applied Biosystems). RNU44 small RNA was used for normalization of input RNA/cDNA levels.

*MLK3* and *BAK1* mRNA expression analysis was performed by synthesizing cDNA from 1µg of total RNA using Reverse Transcriptase cDNA Synthesis kit (Applied Biosystems). For quantitative PCR, cDNA was mixed with SYBR Green PCR Master Mix (Applied Biosystems) and various sets of gene-specific primers and then subjected to RT-PCR quantification by using the iQ5 real time PCR system (Bio-Rad). The sequences of the primers used were as follows: *MLK3* 5' primer (5'-ATGCCACTCGACTTCAAGCA-3') and 3' primer (5'-GACGTTTCTCCTCCGGTCAA-3'), *BAK1* 5' primer (5'-CGGCAGAGAATGCCTATGAGT-3') and 3' primer (5'-

AAACAGGCTGGTGGCAATCTT-3'). *GAPDH* was used for normalization of input RNA/cDNA.

### FACS analysis

Cells were pretreated with Annexin V Pacific Blue (BioLegend) and Propidium Iodide (PromoKine) and analyzed with a BD LSR II (BD Biosciences) and FACS Express Software (De Novo Software). After an initial gating on forward-versus-side scatter plots, apoptotic cells were identified through gating for the AnnexinV<sup>+</sup>/PI<sup>+</sup> and AnnexinV<sup>+</sup> cells.

### Western blotting

Cell lysates were harvested using a commercial cell lysis buffer (Cell Signaling) supplemented with protease and phosphatase inhibitors (Roche). Cell lysates (25-30µg) were resolved in Tris-/glycine or Bis-Tris SDS/PAGE gels (Invitrogen) and transferred to nitrocellulose or PVDF membranes (Invitrogen). Membranes were blocked with 5% non-fat milk or 5% BSA in TBS-T for 60min and probed with primary antibodies overnight at 4°C for BIK (Abcam), MLK2 (Abcam), MLK3 (Abcam), Tubulin (Sigma), TXNIP (VDUP-1) (Invitrogen), BAK1, TP53, JNK, pJNK (Thr183/Tyr185), p38, p-p38 (Thr180/Tyr182), ERK, pERK (Thr202/Tyr204), AKT, pAKT (Ser473) (all Cell Signaling). Membranes were exposed to HRP- or fluorescence-conjugated secondary antibodies (1:10,000) in TBS-T with 1% blocking agent for 60min. Following extensive washing with TBS-T, western membranes were digitally imaged (fluorescent, Licor) or developed with the ECL Western blotting detection kit (HRP, Merck Millipore) and exposed on film (Denville Scientific).

### Luciferase assay

A plasmid containing the luciferase cDNA conjugated to the 3'UTR of *MLK3* was purchased from SwitchGear. A plasmid containing the luciferase cDNA conjugated to the 3'UTR of *BAK1* was purchased from GeneCopeia. HEK293T cells were seeded into a 96-well plate and co-transfected with 3'UTR reporter vectors and indicated amounts of miRIDIAN *miR-125a* or miR-182 mimics or mimic negative control (Dharmacon). Luciferase activity was measured using the Dual Glo Luciferase Assay System (Promega). Renilla luciferase activity was normalized to corresponding firefly luciferase activity and plotted as a percentage of the control.

### Cell viability assay

Cells were seeded into a 96-well plate and treated with indicated concentrations of Vemurafenib (Plexxikon) (0.001µM-100µM). 96h after treatment cell viability was measured using the CellTiter Glo Luminescent Cell Viability Assay (Promega) according to manufacturer's instructions.

### Short-term proliferation assay

Cells were seeded into a 96-well plate and treated with 2µM Vemurafenib (Plexxikon) for indicated times. Cells were then fixed using 1% glutaraldehyde and stained with crystal violet. Dye was then solubilized using 15% acetic acid and read at OD590.

### Long-term proliferation assay

Cells were seeded in triplicate into 6 well plates and treated with DMSO or 2 $\mu$ M Vemurafenib (Plexxikon) for the indicated time points. At each time point cells were counted and cell numbers were referred to initial seeding at day 0.

### In vivo xenograft experiment with resistant cells

1 $\times$ 10<sup>6</sup> cells (resistant clone 4 transduced with Zscr or Z125a) were injected in 1 flank/mouse of 20 mice per group. Tumors began to form at 1 week after injection. Drug treatment was initiated at 100mm<sup>3</sup> tumor volume. 10 mice per group were injected with 25mg/kg Vemurafenib or DMSO through intraperitoneal injection twice per day for 24 days.

### Clinical specimens

Patients with BRAF<sup>V600</sup>-mutant metastatic melanoma were treated with a BRAFi. After obtaining appropriate Institutional Review Board approved protocol, 7 $\mu$ m formalin-fixed and paraffin-embedded (FFPE) sections were received from tumors biopsied before treatment or at the time of progression.

### FFPE RNA Isolation

Human metastatic melanoma areas were identified through H&E staining by an experienced pathologist (Dr. Farbod Darvishian). Tumor areas were micro-dissected using a precision scalpel. Depending on tumor size, 3 to 5 sections per sample, each 7 $\mu$ m thick were used per RNA extraction. RNA was extracted using the QIAGEN miRNeasy FFPE Tissue Kit (Qiagen) according to manufacturers protocol.

### RNA sequencing

Total RNA was extracted using the miRNeasy Mini Kit (Qiagen). Total RNA quality and quantity were determined using Agilent 2100 Bioanalyzer and Nanodrop ND-1000. Expression profiling of the duplicate experimental sample groups (SK-MEL-239 cells with lentiviral scramble or *miR-125a* constructs after 7 days of DMSO or 2 $\mu$ M Vemurafenib treatment) was performed using Illumina HiSeq 2500 System. Samples were subject to PolyA selection using oligo-dT beads and the resulting RNA samples were then used as input for library construction according to the manufacturer's instructions (Illumina TruSeq RNA Sample Prep Kit v2). RNA libraries were then sequenced on the Illumina HiSeq2500 using paired end sequencing with v4 technology.

### RNA sequencing data analysis

Sequencing results were demultiplexed and converted to FASTQ format using Illumina Bcl2FastQ software. Paired-end reads were aligned to the human genome (build hg19/GRCh37) using the splice-aware STAR aligner (Dobin et al., 2013). PCR duplicates were removed using the Picard toolkit (<http://broadinstitute.github.io/picard/>). HTSeq package (Anders et al., 2015) was utilized to generate counts for each gene based on how many aligned reads overlap its exons. These counts were then used to test for differential expression using negative binomial generalized linear models implemented by the DESeq2 R package (Love et al., 2014).

The functional annotations of the gene lists were performed using the NIH web based tool DAVID (Database for Annotation, Visualization and Integrated Discovery) (Huang da et al., 2009) and/or Gene Set Enrichment Analysis (GSEA, <http://www.broadinstitute.org/gsea/>) (Subramanian et al., 2008).

### Statistical methodologies

Unless otherwise indicated, mean values  $\pm$  SD are representative of one of three independent experiments. Statistical significance was determined by Student's t test (GraphPad Prism Software). Of note, \*P < 0.05; \*\*P < 0.001; and \*\*\*P < 0.0001, \*\*\*\*P < 0.00001.

### Supplementary Material

Refer to Web version on PubMed Central for supplementary material.

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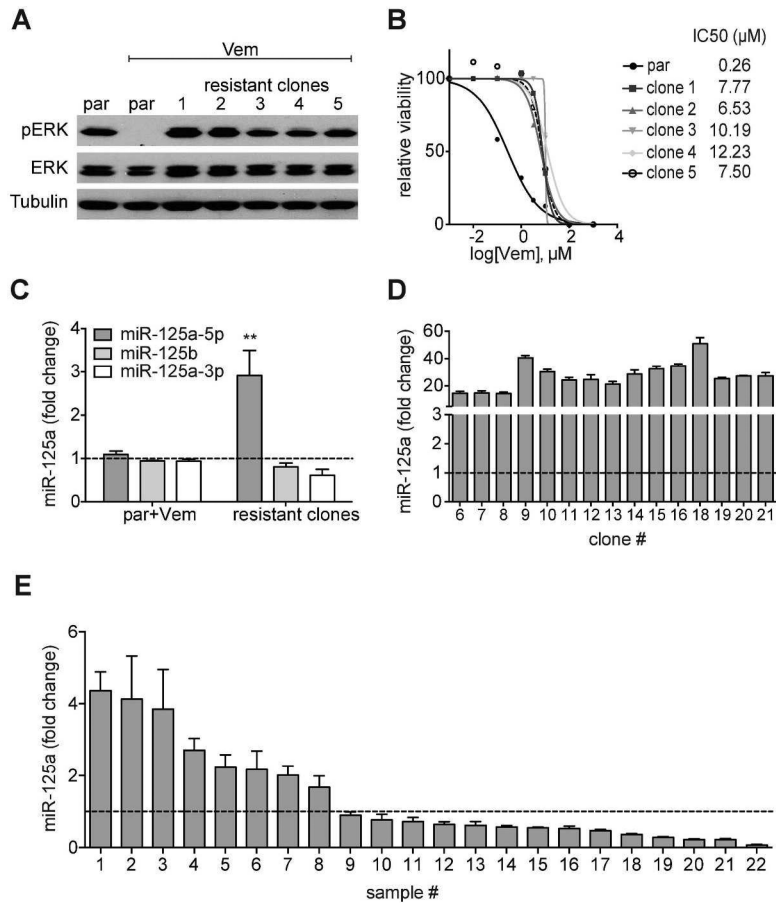
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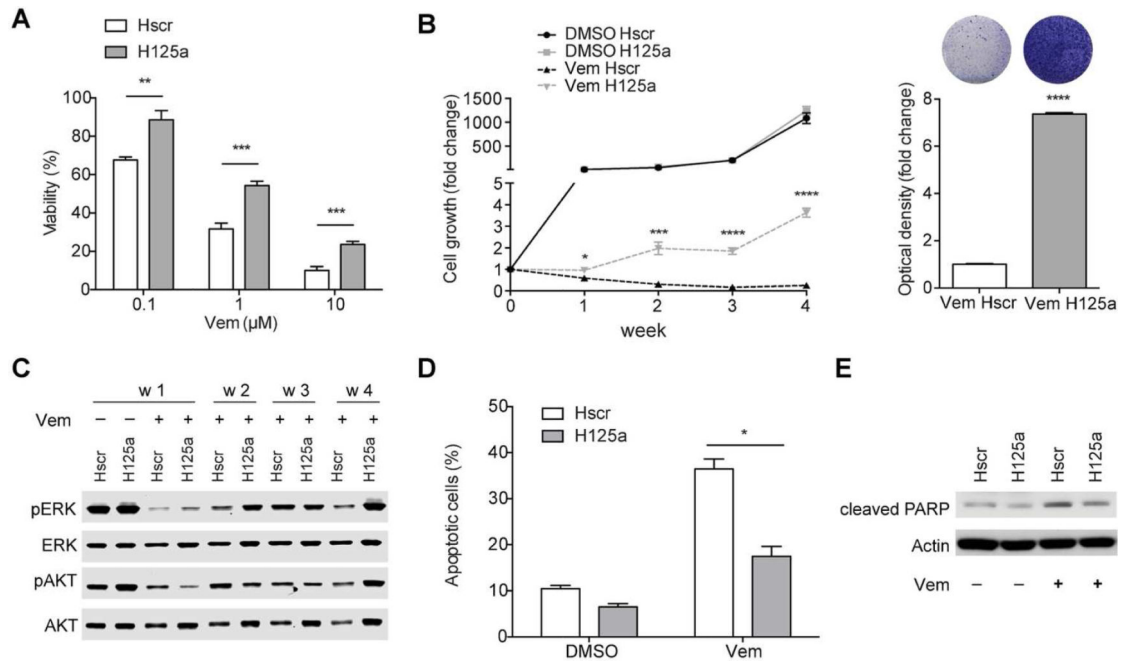


### Significance

Emergence of resistance to BRAFi therapy is a prevalent problem in the treatment of patients with metastatic BRAF<sup>V600E</sup> mutant melanoma. We document TGFβ-mediated upregulation of *miR-125a* as a pro-survival mechanism that favors the acquisition of BRAFi resistance. Our data support the possibility to target *miR-125a* or its upstream regulators to limit the emergence of BRAFi resistance in melanoma. Moreover, as a pro-survival mechanism, *miR-125a* upregulation could contribute to development of resistance to other therapeutic agents, thus our findings might be applicable to the broader cancer field.

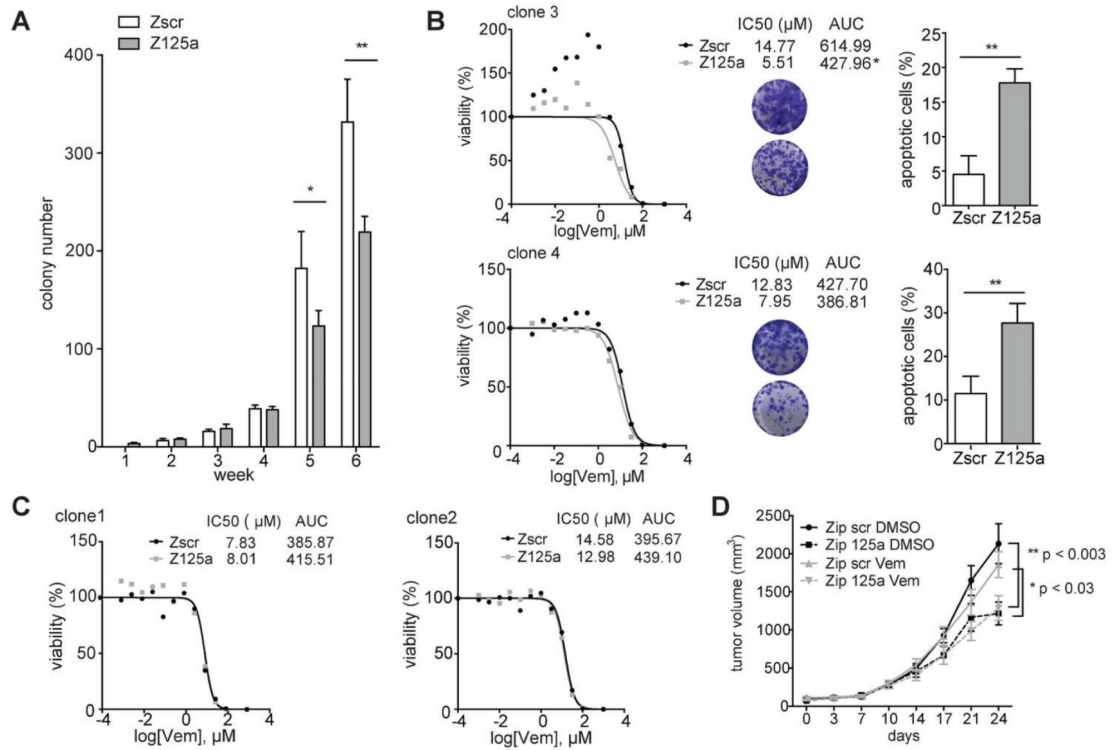


**Fig. 1. Increased *miR-125a* levels in BRAFi resistant melanoma cells and human tissues**  
**A.** Western Blot of pERK (Thr202/Tyr204) and ERK in parental SK-MEL-239 cells (par), parental cells treated with 2μM Vemurafenib for 24h and five resistant clones kept on 1μM Vemurafenib (representative of n=3). **B.** IC50 curves of SKMEL-239 cells and five resistant clones using increasing amounts of Vemurafenib (0.001μM-100μM) (representative of n=3) **C.** Average *miR-125a-5p*, *miR-125b* and *miR-125a-3p* measurements (normalized to untreated SK-MEL-239 cells (par)), as detected by the nCounter NanoString platform, of parental SK-MEL-239 cells treated with Vemurafenib (n = 2) or resistant clones (n = 5 independent clones). Error bars represent standard deviation of biological replicates. Statistical analysis performed by Student's T test. **D.** Fold change of *miR-125a-5p* expression (by RT-qPCR) in a panel of additional SK-MEL-239-derived resistant clones (n = 16) compared to untreated parental SK-MEL-239 cells. Error bars represent standard deviation of technical replicates. **E.** Fold change of *miR-125a-5p* (by RT-qPCR) in 22 human melanoma tissue samples post-Vemurafenib treatment compared to their respective paired pre-treated sample (dotted line).



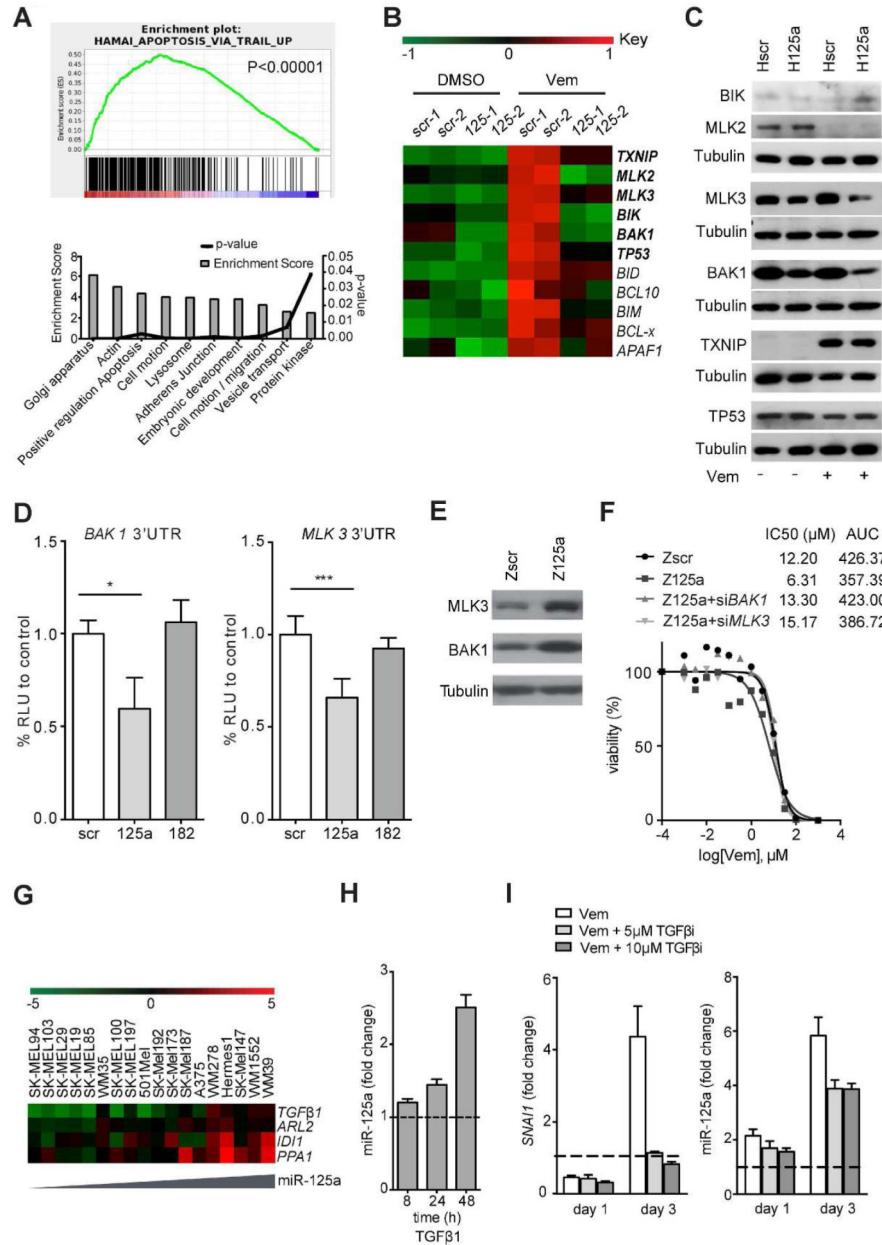
**Fig. 2. Ectopic expression of *miR-125a* confers resistance to SK-MEL-239 cells through evasion of apoptosis**

**A.** Viability of scrambled- (Hscr) or miR-125- (H125a) transduced SK-MEL-239 cells using increasing Vemurafenib concentrations measured after 4 days of drug treatment. **B.** Long term growth of Hscr or H125a SK-MEL-239 cells treated with DMSO or 2μM Vemurafenib for 4 weeks (left panel). Optical density of crystal violet staining after 4 weeks of Vemurafenib treatment (right panel). **C.** Western Blots for pERK (Thr202/Tyr204), ERK, pAKT (Ser473) and AKT of protein extracts from Hscr and H125a SK-MEL-239 cells treated with DMSO or 2μM Vemurafenib (week1-4). **D.** Apoptotic cells detected through FACS analysis of AnnexinV/PI staining after one week of DMSO or 2μM Vemurafenib treatment of Hscr and H125a SK-MEL-239 cells. **E.** Western Blot of cleaved PARP in Hscr and H125a SK-MEL-239 cells after one week of DMSO or 2μM Vemurafenib treatment. The results in **A** are an average of three independent experiments, **BE** are representative of three independent experiments ± standard deviation (SD). \*P<0.05, \*\*P<0.001, \*\*\*P<0.0001, \*\*\*\*P<0.00001.



**Fig. 3. Inhibition of *miR-125a* suppresses emergence of resistance and partially restores BRAFi sensitivity of some resistant clones**

**A.** Number of colonies formed by SK-MEL-239 cells transduced with Zip scr and Zip 125a constructs and treated with 2μM Vemurafenib for 6 weeks (average of quintuplicates). **B.** Viability curves of resistant clones transduced with Zip scr and Zip 125a and treated with increasing Vemurafenib concentrations (0.001μM-100μM) for 4 days (left panel). Tables indicate IC50 value and area under the curve (AUC). Photo inserts of crystal violet staining of Zip scr (upper circle) or Zip 125a (lower circle) transduced resistant clones after 8 days (clone 4) or 10 days (clone 3) of treatment with 2μM Vemurafenib. Apoptosis detected through FACS analysis of AnnexinV/PI staining after 8 days (clone 4) or 10 days (clone 3) in Zip scr and Zip 125a resistant clones treated with DMSO or 2μM Vemurafenib (right panel). **C.** Viability curves of resistant clones transduced with Zip scr and Zip 125a and treated with increasing Vemurafenib concentrations for 4 days. **D.** Tumor growth curves mock- (DMSO) or vemurafenib-treated (vem) BRAFi-resistant SK-MEL-239 clone transduced with Zip scr or Zip 125a. For every assay in **A-C**, a representative experiment of a triplicate is shown along with SD. AUC: area under the curve, clone3: p 0.05, clone 4, 1, 2: n.s. \*P 0.05, \*\*P<0.001.



**Fig. 4. Downstream targets and upstream regulators of *miR-125a***  
**A.** Gene Set Enrichment Analysis (GSEA) of deregulated genes (upper graph) and DAVID pathway analysis of downregulated genes (lower graph) based on RNA sequencing data of Hscr or H125a SK-MEL-239 cells treated with 2µM Vemurafenib for 1 week. **B.** Heatmap of apoptosis-related genes in Hscr and H125a cells treated with DMSO or 2µM Vemurafenib. In bold are predicted targets of *miR-125a*. **C.** Western Blot of predicted *miR-125a* apoptotic targets in Hscr and H125a cells treated with DMSO or 2µM Vemurafenib for one week. Results are representative of three independent experiments. Loading control (Tubulin) is shown for each blot performed (below experimental protein(s)). **D.** Luciferase activity of 293T cells transfected with 3'UTR luciferase construct of BAK1 and MLK3 and miR-scr, *miR-125a* or miR-182 mimic. Results show the average of three

independent experiments  $\pm$  SD. \* $P < 0.05$ , \*\*\* $P < 0.0001$ . **E.** Western Blot of BAK1 and MLK3 in a resistant clone transduced with Zip scr or Zip 125a and treated with Vemurafenib for one week. Results are representative of three independent experiments. **F.** Viability curves of a resistant clone transduced with Zip scr or Zip 125a and transfected with 100nM siBAK1 or siMLK3 for 4 days and treated with increasing Vemurafenib concentrations. IC50 and AUC values are indicated for each condition (0.001 $\mu$ M-100 $\mu$ M). **G.** Heatmap of transcription factors that show positive correlation with *miR-125a* expression in melanoma cell lines, based on gene expression profiling (GSE22306). **H.** *MiR-125a* levels in SK-MEL-239 cells treated with 5ng/ml recombinant TGF $\beta$ 1. **I.** *SNAIL* mRNA (left panel) and *miR-125a* levels (right panel) in SK-MEL-239 cells treated with 2 $\mu$ M Vemurafenib with or without indicated concentrations of Galunisertib, a TGF $\beta$  I receptor inhibitor, for indicated times compared to untreated parental cells (dashed line). The results in **D** are an average of three independent experiments, **F, H-I** are representative of three independent experiments  $\pm$  SD. \* $P < 0.05$ , \*\*\* $P < 0.0001$ .