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A trial of plerixafor adjunctive therapy in allogeneic hematopoietic cell transplantation with minimal conditioning for severe combined immunodeficiency

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Abstract

For infants with SCID, the ideal conditioning regimen before allogeneic HCT would omit cytotoxic chemotherapy to minimize short- and long-term complications. We performed a prospective pilot trial with G-CSF plus plerixafor given to the host to mobilize HSC from their niches. We enrolled six patients who received CD34-selected haploidentical cells and one who received T-replete matched unrelated BM. All patients receiving G-CSF and plerixafor had generally poor CD34⁺ cell and Lin⁻CD34⁺CD38⁻CD90⁺CD45RA⁻HSC mobilization, and developed donor T cells, but no donor myeloid or B-cell engraftment. Although well tolerated, G-CSF plus plerixafor alone failed to overcome physical barriers to donor engraftment.

Keywords

severe combined immunodeficiency; plerixafor; haploidentical; engraftment

SCID is a heterogeneous group of genetic disorders with the shared phenotype of profoundly deficient T cells and absent B lymphocyte function, which if untreated leads to early mortality from severe infections. Although progress has been made toward gene therapy for certain SCID genotypes, the vast majority of patients with SCID require an allogeneic HCT for curative therapy (1). When an HLA-matched relative is not available, T-cell-depleted haploidentical-related donors are often used due to their rapid availability (2). However, in

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Authors' contributions

All of the authors have made significant contributions to this study: Christopher Dvorak and Morton Cowan designed the trial, with input from Jennifer Puck and Biljana Horn. Jennifer Puck performed patient genotyping and TREC and spectratyping analysis. Agnieszka Czechowicz, Judith Shizuru, and Rose Ko performed and analyzed laboratory data. Christopher Dvorak collected and analyzed clinical data, and wrote the manuscript with input from all of the authors.

Conflict of interest

All the authors disclose they have no conflict of interest.

certain SCID subtypes, transplantation rarely results in significant myeloid engraftment or donor B-cell lineage chimerism unless myeloablative chemotherapy is administered, necessitating lifelong immunoglobulin replacement (2). In very young infants with non-malignant diseases, such as SCID, avoidance of alkylating forms of chemotherapy is desirable, as these agents can cause significant short- and long-term toxicities. These toxicities are magnified in patients with the T⁻B⁻NK⁺ forms of SCID associated with defects in genes required to repair breaks in DNA (3).

Plerixafor (also called AMD3100) is a reversible antagonist of CXCR4, a chemokine receptor on the surface of normal HSCs that binds to SDF-1 and is essential for HSC retention in the BM. Mouse models demonstrate that plerixafor prior to HCT can lead to donor myeloid engraftment (4). However, it has never been utilized in the absence of chemotherapy as conditioning for an HCT in humans.

To investigate whether these agents could allow HCT for SCID to be successful without chemotherapy, we performed a prospective pilot trial, which tested the hypothesis that G-CSF and plerixafor as the sole-conditioning agents prior to allogeneic HCT would facilitate donor myeloid and B-cell engraftment in children with “graft-permissive” SCID (those with an NK⁻ phenotype; an NK⁺ phenotype with a 10/10 HLA-matched related or URD; or an NK⁺ phenotype with maternal engraftment and a maternal haploidentical graft).

Patients and methods

Study population

Eligible patients presented to the UCSF Benioff Children’s Hospital between December 2010 and September 2011 with a new diagnosis of SCID, as defined by accepted criteria (5, 6), or previously transplanted SCID but with poor T-cell function (absolute CD4 count <200 × 10⁶/L and PHA <30%) and ongoing clinical problems more than a year following initial HCT, and who therefore met our institutional criteria for a “boost” HCT (7, 8). The initial HCTs for the patients undergoing boost HCT were previously reported: UPN237 (9) & UPN1056 & 1057 (2). All patients had genotypic confirmation of their diagnosis. All but one patient treated during this time period consented to enroll in one of the trials. Newly diagnosed patients had PBMCs tested for evidence of TME, using a quantitative PCR-based method involving amplification of STR sequences, as previously described (10, 11). The trial was approved by the UCSF Committee on Human Research, and informed consent was obtained from the related donors and the parents of the patients in accordance with the Declaration of Helsinki and was registered at www.clinicaltrials.gov as NCT01182675.

Donor hematopoietic stem cell collection and manipulation

Newly diagnosed patients and potential donors were tested for HLA compatibility at HLA-A, -B, -C, and -DR, with -DQ and -DP added in later years. If a patient was uninfected and had a readily available 10 of 10 matched URD, then stem cell source was utilized. Otherwise, for patients with evidence of TME, the mother was the preferred HSC donor due to presumed host tolerance toward maternal cells. Boost HCT was performed using cells from the original donor.

For matched donors, unmanipulated (excepting ABO depletion, if required) BM was the HSC source. For mismatched donors, mobilized PBSCs were prepared by CD34 selection using the CliniMACs (Miltenyi Biotec, Bisley, UK) System, as previously described (12). Cell counting and gating was as previously described (2). The goal for the infused cell dose was 5×10^8 total nucleated cells/kg of recipient body weight for matched BM, and $>10 \times 10^6$ CD34⁺ cells/kg with 6×10^4 CD3⁺ T cells/kg for haploidentical PBSC. Excess cells were cryopreserved.

Transplant regimen

Patients with graft-permissive SCID received G-CSF (5 mcg/kg/dose intravenously every 12 h for eight doses) beginning on Day -4 before HCT, and on Day -0, plerixafor (240 mcg/kg subcutaneous) was given 9–12 h prior to scheduled HSCs infusion, analogous to how these agents are used prior to stem cell mobilization for apheresis (13). Daily WBC counts were performed during the regimen to monitor for hyperleukocytosis. If the WBC count rose to $>50\,000$ cells/mcl, subsequent doses of G-CSF were lowered to 3 mcg/kg, and if the WBC rose to $>75\,000$ cells/mcl despite G-CSF dose modifications, G-CSF was discontinued, but no patients reached this safety threshold. Plerixafor was administered under IND exemption #110 775. For patients with pre-HCT, evidence of maternal GVHD or high-level TME (absolute T cells $1000 \times 10^6/L$), fludarabine (1 mg/kg/day intravenously for three days), was administered on Days -4 to -2 to treat or prevent GVHD flares, per our institutional practice (2). Patients who received unmanipulated BM were given GVHD prophylaxis with cyclosporine (Day -1 through four months post-HCT, tapering over the last month), mycophenolate mofetil (Day +1 through Day +30, then tapered over two wk), and rabbit ATG (Thymoglobulin®; 2.5 mg/kg/day for three days on Days -7 through -5). Patients who received CD34-selected PBSCs were not given pharmacologic GVHD prophylaxis.

Analysis of mobilization, engraftment, and immunologic parameters

The efficacy of the HSC mobilization was measured by daily WBC counts from prior to administration of G-CSF until Day +1 following HCT. In addition, in the four patients with newly diagnosed SCID, the percentage of CD34⁺ cells in the patient's peripheral blood was measured immediately prior to administration of plerixafor, 6 and 9 h after the dose, and immediately prior to HCT (12–14 h after the dose). In three of these patients, the mobilization of host Lin⁻CD34⁺CD38⁻CD90⁺CD45RA⁻ HSCs was measured by flow cytometry using previously established fluorescent antibodies to known HSC markers (14).

Post-transplant donor chimerism was determined using sorted CD3⁻, CD19⁻, and CD14/15⁻ positive cells and STR markers, as above (10, 11). NK cell chimerism was not tested. Sorted cells were tested for purity by flow cytometry, and the interassay variation was $\pm 1\%$.

Lymphocyte subsets, including naïve and memory markers CD45RA and CD45RO, were assessed by flow cytometry and compared to normal ranges for age (15, 16). T-cell function was assessed by ³H-thymidine incorporation in response to PHA, and reported as a percentage of stimulated immunologically competent control lymphocytes tested simultaneously (Mayo Medical Laboratories, Rochester, MN, USA). TRECs and T-cell receptor spectra-typing were performed on a subset of patients (17). B-cell function was

measured by the ability to produce IgM and IgA within the normal range for age, presence of appropriate IgM ISH at 1:8 dilution, and specific antibodies following vaccination, if performed.

Supportive care

Active infections at diagnosis were treated with appropriate antimicrobial therapies that continued until evidence of infection resolution. These included high-dose cotrimoxazole (5 mg/kg/dose of trimethoprim component four times daily) for patients with PCP. Rotavirus infections were managed with supportive care. Other anti-infective prophylaxis was administered as previously described (2). Acute and chronic GHVD was graded on standard criteria (18, 19).

Statistical considerations

EFS and OS were estimated by the Kaplan–Meier method using log-rank tests (NCSS8, Kaysville, UT, USA). Events were defined as a conditioned second HSC infusion or death.

Results

Patient characteristics

Seven patients were enrolled, four with newly diagnosed SCID and three had SCID with poor post-HCT T-cell function requiring boost transplants (Table 1). All but two of the newly diagnosed patients were born prior to the implementation of newborn screening for SCID (20) and were identified due to infections, including vaccine-strain rotavirus (21). One patient with X-linked SCID (UPN 1533) also had an unusually high number of transplacental maternally engrafted T cells that were primarily (89%) CD4/CD8 double negative. This was the only patient to receive fludarabine prior to HCT (Table 2).

Adverse events to plerixafor and G-CSF

No patient developed clinical signs of bone pain, injection site erythema, abdominal bloating, nausea, diarrhea, or perioral paresthesias following plerixafor and G-CSF. One patient (UPN 1533) had unusual post-HCT features that were not felt to be a side effect of G-CSF or plerixafor, including neutropenia, eosinophilia, eosinophilic enteritis, and failure to thrive. This patient had incomplete elimination of maternal double-negative CD3⁺ cells on the day of HCT (CD3 cells = $3049 \times 10^6/L$; CD4 = $79 \times 10^6/L$; CD8 = $277 \times 10^6/L$), which persisted for the next four months. The maternal donor did not have detectable circulating CD4/CD8 double-negative T cells. We concluded that the patient's double-negative T cells were derived from the maternal population present prior to HCT and responsible for his unusual symptoms and therefore performed a second HCT from a new matched URD with conditioning intended to eliminate this population of cells (see below).

Myelomobilation kinetics with plerixafor and G-CSF

Most patients had low absolute WBC counts prior to conditioning, with a median of $3.2 \times 10^9/L$ (range, $1.4\text{--}4.8 \times 10^9/L$), as shown in Fig. 1a. After treatment with G-CSF, the WBC count rose to a median of $19.5 \times 10^9/L$ by the day of HCT (range, $3.8\text{--}34.6 \times 10^9/L$) and

then promptly began to fall after the G-CSF was stopped; however, overall responses to G-CSF were variable. Interestingly, the percentage of CD34⁺ cells in the peripheral blood rose minimally in the four patients with newly diagnosed SCID, from a median baseline of 0.52% (range, 0.34–1.14%) of PBMCs to a median peak of 1.01% (range, 0.89–1.62%) at the time of HCT, with two patients showing a fall in peripheral blood CD 34% between 9 h post-plerixafor and time of HCT (Fig. 1b). Data were not available for the three boost patients. Furthermore, mobilization of HSCs (Lin⁻CD34⁺CD38⁻CD90⁺CD45RA⁻ cells) following plerixafor demonstrated a median increase of 3.9-fold (range, 2.55–4.31) from baseline to time of HCT, again with two patients showing a fall in circulating HSCs between 9 h post-plerixafor and time of HCT (Fig. 1c).

T-cell engraftment and reconstitution

As expected, the four newly diagnosed patients engrafted with donor T cells, and the three boost patients who started with adequate donor T-cell percentages had at least temporary improvement in their T-cell numbers and function (Table 3). Engraftment of donor T cells was accompanied by Grade II skin GVHD in only one patient (UPN 1509), who also received the highest dose of haploidentical T cells. The patient who received URD BM had evidence of donor cells by STRs (18% of CD 3% cells), but very low T-cell numbers for a prolonged period post-HCT, possibly due to the use of pre-HCT rATG. On Day +48, he received a boost of donor BM (4×10^8 total nucleated cells/kg) without GVHD prophylaxis and subsequently had a rise in his T-cell numbers and function (Table 3). Over time, TREC numbers improved and T-cell receptor V-beta diversity by spectratyping demonstrated less absent/oligoclonal peaks in the analyzed patients (Table 4).

Myeloid and B-cell engraftment and B-cell reconstitution

Significant myeloid engraftment was not seen in any patient (Table 3). Two patients who had BM aspirations for other indications had 5% (UPN1509) and 15% (UPN 1533) of the BM CD34⁺ selected cells of donor origin, but the purities of the bead-selected cells were low and these values may have therefore been falsely high due to contamination with donor T cells.

Although no detectable donor myeloid cells were present in the blood, it was still possible that a very small number of engrafted donor HSCs in the BM might be sufficient to produce donor B cells. Unfortunately, in all four patients with B⁻ SCID, including two who had received plerixafor, no B cells were detectable in the patient's blood post-HCT. In the five patients with B⁺ SCID, two had very low numbers of donor B cells detected; however, this was at the limit of detection of the assay.

All surviving patients continue to require routine immunoglobulin replacement, except one patient who received a conditioned second HCT (UPN1533) and one patient with IL-7R deficiency (UPN1535), both of whom have made specific antibody responses to vaccines.

Clinical outcomes

All seven patients are alive with follow-up time of 1.8–3.7 years. One patient required a conditioned second transplant for treatment of unusual eosinophilia and BM hypocellularity. This with the patient with unusual maternal double-negative T cells (UPN1533), who

received half-dose busulfan (1.1 mg/kg/dose every 12 h for eight doses, targeted to a CSS of 300 ng/mL), fludarabine (1.33 mg/kg/day \times four days), and alemtuzumab (0.5 mg/kg/day \times three days), followed by unmodified BM from a 9 of 10 (A- antigen) mismatched URD. He developed Grade III acute GVHD, which was eventually controlled with corticosteroids and MSCs. He achieved 100% engraftment from his second donor and is now thriving with normal T- and B-cell function.

Discussion

In our prospective pilot trial, the use of G-CSF plus plerixafor in children as the sole-conditioning agent prior to allogeneic HCT in children with graft-permissive SCID did not facilitate donor myeloid and B-cell engraftment. This is in contrast to the mouse model where a dose of 5 mg/kg of plerixafor followed by infusion of 16×10^8 total donor BM cells/kg 2 h later resulted in increased donor cell engraftment (from $\sim 1\%$ to $\sim 5\%$) (4). One possible explanation is that there may be preferential rebinding of host HSCs to unoccupied niches. Alternatively, it may be that the dose of plerixafor utilized was too low for the children enrolled on this trial or that the mouse biology does not fully translate to humans. We administered the FDA-approved dose for stem cell mobilization prior to collection via apheresis in adults, as pediatric-specific pharmacokinetics has not been published. However, with this dosing, we saw relatively poor CD34⁺ cell and Lin⁻CD34⁺CD38⁻CD90⁺CD45RA⁻HSC mobilization into the peripheral blood, as compared to what is normally seen in patients following chemotherapy and G-CSF (22). Preliminary data in mouse models suggest that much higher doses of plerixafor improve HSC engraftment (A. Czechowicz, unpublished data). Given the absence of apparent side effects at this dosage, a plerixafor dose-escalation trial would be a potential next step. Another possibility would be repeated dosages, either in multiple sequential days (up to four daily doses have been used for HSC mobilization before apheresis (23) prior to a single HSC infusion, or through repetitive weekly injections of plerixafor followed by rein-fusions of additional HSCs, as shown in the mouse model to improve donor engraftment (4). Alternatively, it is possible that the use of G-CSF in combination with the plerixafor directly stimulated HSC proliferation (24), so that any vacated niches were soon filled by a new host HSC, thereby preventing donor HSCs from attaching. Therefore, further attempt to utilize plerixafor for pre-HCT “myelomobilization” should likely be undertaken without concomitant G-CSF.

Ultimately, even an optimal dose of plerixafor in children with SCID may be insufficient to open enough BM niches to allow for adequate donor HSC engraftment to produce enough donor B cells to support production of protective antibodies. Future efforts utilizing plerixafor to enhance donor HSC engraftment may need to combine it with low doses of chemotherapy, which might enhance the effects of the chemotherapy by liberating the host HSCs from the anti-apoptotic effects of the BM stroma. Preliminary reports support the feasibility of this approach in patients with acute leukemia (25). Ideally, non-chemotherapeutic methods of HSC elimination may eventually be available, such as anti-CD45 (26) or anti-c-kit (27) monoclonal antibodies, and their efficacy may also be potentially enhanced via prior treatment with plerixafor.

In conclusion, “myelomobilization” of HSCs with plerixafor and G-CSF at these doses and timing is insufficient to create enough marrow niches to allow sufficient donor HSC engraftment for production of donor myeloid and B cells. However, these initial data demonstrate that the administration of one standard adult dose of plerixafor to young infants is safe, and should serve as the basis of future trials that combine plerixafor with other agents. Given the rarity of SCID, efforts to perform these trials via a multicenter consortium, such as the Primary Immune Deficiency Treatment Consortium (6), may generate more rapid results that improve the outcomes of these patients.

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Abbreviations

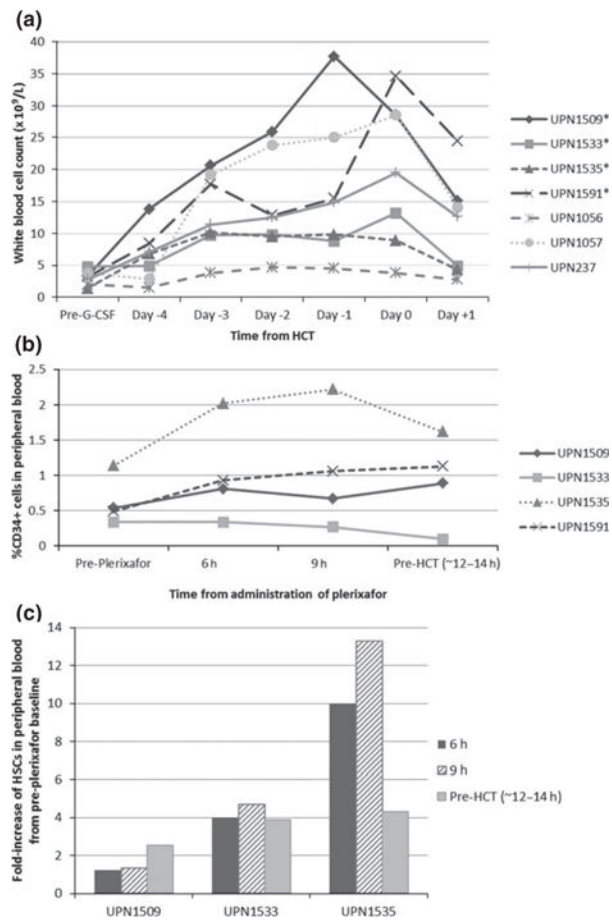
ATG	anti-thymocyte globulin
BM	bone marrow
CSS	concentration steady state
EFS	event-free survival
FDA	Food and Drug Administration
G-CSF	granulocyte-colony-stimulating factor
GVHD	graft-versus-host disease
HCT	hematopoietic cell transplantation
HSC	hematopoietic stem cells
IND	investigational new drug
ISH	isohemagglutinins
MSCs	mesenchymal stromal cells
OS	overall survival
PBMC	peripheral blood mononuclear cells
PBSC	peripheral blood stem cells
PCP	<i>Pneumocystis jiroveci</i> pneumonia
PHA	phytohemagglutinin
SCID	severe combined immunodeficiency
SDF-1	stromal cell-derived factor-1

STR	short tandem repeat
TME	transplacental maternal engraftment
TREC	T-cell receptor excision circles
UCSF	University of California San Francisco
UPN	unique patient number
URD	unrelated donor
WBC	white blood cell

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**Fig. 1.**

(a) WBC following G-CSF and plerixafor *Infants at time of treatment with G-CSF and plerixafor. (b) CD34⁺ cell% following G-CSF and plerixafor. (c) HSC (Lin⁻CD34⁺CD38⁻CD90⁺CD45RA⁻) fold increase following plerixafor. (b, c) Note: Analysis not performed in all patients.

Table 1

Patient characteristics at time of HCT

UPN	Age at HCT	Gender	Ethnicity	Gene mutation	CD3	CD4	CD8	CD19	CD16/56	PHA (%)	TME	Indication for HCT
Newly diagnosed												
1509	4 month	M	Hispanic	RAG-1 (c.1181G>A + c.1951T > C)	14	14	0	0	258	0	0	Rotavirus
1533	6 month	M	Caucasian	IL2RG (c.858 Splice Site)	8898 [*]	214	750	1822	214	0	45	PCP, rotavirus
1535	2 month	F	Hispanic	IL-7R (c.212T>C + c.539A > C)	111	95	0	340	308	0	1	None (NBS)
1591	2 month	M	Hispanic	IL2RG (c.822_840del119)	0	0	0	1096	45	0	0	None (NBS)
Boost transplants												
1056 [‡]	6 yr	M	Caucasian	IL2RG (c.31T > A)	334	188	127	103	28	43	NA	Severe molluscum
1057 [‡]	6 yr	M	Caucasian	IL2RG (c.31T > A)	437	90	325	106	17	51	NA	Severe molluscum
237	15 yr	F	Navajo	Artemis (c.597C > A)	451	209	242	0	99	34	NA	FTT

UPN, unique patient number; PHA, phytohemagglutinin; TME, transplacental maternal engraftment; RAG, recombination-activating gene; PCP, Pneumocystis jirovecii pneumonia; NBS, newborn screen; FTT, failure to thrive; NA, not applicable.

^{*} T cells primarily of maternal origin.

[‡]UPN 1056 and 1057 were identical twins.

Table 2

HCT features and clinical outcomes

UPN	Conditioning	Donor	HSC source	CD34 dose ($\times 10^6/\text{kg}$)	CD3 dose ($\times 10^7/\text{kg}$)	Additional cells	Clinical outcome	F/U (years)
Newly diagnosed								
1509	G-CSF + Plerixafor (240 mcg/kg \times 1)	6/10 Mother	PBSC (CD34 Selected)	30	3	None	aGVHD, now alive and well	3.7
1533	G-CSF + Plerixafor (240 mcg/kg \times 1) + Fludarabine (1 mg/kg \times 3)	5/10 Mother	PBSC (CD34 Selected)	20	0.8	Full HCT D+133	alive and well	2.5
1535	G-CSF + Plerixafor (240 mcg/kg \times 1)	5/10 Mother	PBSC (CD34 Selected)	40	0.1	None	alive and well	2.5
1591	G-CSF + Plerixafor (240 mcg/kg \times 1) + rATG (8 mg/kg)	12/12 URD	BM (RBC Depleted)	5.9	7200	Boost D+48	alive and well	1.8
Boost Transplants								
1056	G-CSF + Plerixafor (240 mcg/kg \times 1)	5/8 Mother	PBSC (CD34 Selected)	16.4	0.2	None	alive and improved	2.3
1057	G-CSF + Plerixafor (240 mcg/kg \times 1)	5/8 Mother	PBSC (CD34 Selected)	16.4	0.2	None	alive and improved	2.3
237	G-CSF + Plerixafor (240 mcg/kg \times 1)	6/8 Brother	PBSC (CD34 Selected)	10.1	0.5	None	alive and improved	2.0

UPN, unique patient number; HSC, hematopoietic stem cells; F/U, follow-up; G-CSF, granulocyte-colony-stimulating factor; PBSC, peripheral blood stem cells; BM, bone marrow; RBC, red blood cell; URD, unrelated donor; DLI, donor lymphocyte infusion; aGVHD, acute graft-versus-host disease.

Table 3

Immunologic outcomes of engrafted patients

UPN	Time to reach (months)		At last follow-up (or prior to second HCT*)						
	CD4 > 200 × 10 ⁶ /L	CD4 > 400 × 10 ⁶ /L	PHA >50%	CD4 (× 10 ⁶ /L)	CD4/CD45RA+ (%)	PHA (%)	CD19 (× 10 ⁶ /L)	Donor CD19 (%)	Donor CD14/15 (%)
Newly diagnosed									
1509	2.8	NR	5.8	65	6	81	0	0	0
1533	2.4	2.4	NR	404*	2*	56*	51*	1*	0*
1535	1	3.9	2	1114	51	100	845	0	0
1591	4.8	5.8	4.8	1535	96	100	205	0	0
Boost transplants									
1056	1	1	2	179	0	100	96	0	0
1057	8.5	NR	NA	347	56	100	143	1	0
237	NA	NR	3.8	227	21	100	0	0	0

UPN, unique patient number; PHA, phytohemagglutinin.

Table 4

Extended immunologic outcomes over time

UPN	Test	Baseline	+100 Days	+6 months	+1 yr	+2 yr
1509	TRECs (#/μL)	0	0	0	5	16
	TCR V-beta *	ND	ND	1/4/19	4/6/14	1/8/15
1535	TRECs (#/μL)	0	0	0	83	66
	TCR V-beta *	ND	2/5/17	1/2/21	0/1/23	2/0/22
1591	TRECs (#/μL)	0	0	0	58	ND
	TCR V-beta *	ND	4/3/17	3/1/20	0/3/21	2/1/21

TRECs, T-cell receptor excision circles; TCR V-beta, T-cell receptor V-beta spectratyping.

* Reported as # of monoclonal or absent/oligoclonal/polyclonal peaks (with normal 20/24 polyclonal peaks); ND, Not done; bold = normal.