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## **Perineural invasion and risk of lethal prostate cancer**

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## **Abstract**

**Background—**Prostate cancer has a propensity to invade and grow along nerves, a phenomenon called perineural invasion (PNI). Recent studies suggest that presence of PNI in prostate cancer has been associated with cancer aggressiveness.

**Methods—**We investigated the association between PNI and lethal prostate cancer in untreated and treated prostate cancer cohorts: the Swedish Watchful Waiting Cohort of 615 men who underwent watchful waiting, and the U.S. Health Professionals Follow-Up Study of 849 men treated with radical prostatectomy. One pathologist performed a standardized histopathologic review assessing PNI and Gleason grade. Patients were followed from diagnosis until metastasis or death.

**Results—**The prevalence of PNI was 7% and 44% in the untreated and treated cohorts, respectively. PNI was more common in high Gleason grade tumors in both cohorts. PNI was associated with enhanced tumor angiogenesis, but not tumor proliferation or apoptosis. In the Swedish study, PNI was associated with lethal prostate cancer (odds ratio, OR, 7.4, 95% confidence interval, CI, 3.6, 16.6;  $p<0.001$ ). A positive, though not statistically significant, association persisted after adjustment for age, Gleason grade, and tumor volume (OR 1.9, 95% CI

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0.8, 5.1;  $p=0.17$ ). In the U.S. study, PNI predicted lethal prostate cancer independent of clinical factors (HR 1.8, 95% CI 1.0, 3.3;  $p=0.04$ ).

**Conclusion—**These data support the hypothesis that perineural invasion creates a microenvironment that promotes cancer aggressiveness.

**Impact—**Our findings suggest that PNI should be a standardized component of histopathologic review, and highlights a mechanism underlying prostate cancer metastasis.

## **INTRODUCTION**

An important determinant of tumor behavior is the ability to breach basement membranes and spread outside the confines of the organ of origin. The classic paradigm of tumor metastasis is that of tumor spread via blood vessels and lymphatic channels. However, prostate cancer has long been recognized to show a propensity to invade and grow along prostatic nerves, which lead out of the prostate to the pelvic plexus (1). While this phenomenon, known as perineural invasion (PNI), has classically been thought to occur because nerves provide cancer cells a low resistance path out of the prostate, recent studies suggest a more dynamic interaction (2–4). Prostate cancer cells adjacent to nerves show increased proliferation compared to those located further away (5, 6). Capsular nerve count as assessed by image analysis has also been shown to be significantly higher in areas adjacent to prostate tumor than normal tissue, suggesting the induction of nerve growth (7). Thus, the perineural space may be a microenvironment that promotes both cancer spread and growth.

Despite the biologic plausibility of PNI being a potential determinant of prostate cancer behavior, an association between PNI and prostate cancer progression continues to be a subject of debate, and PNI is not routinely reported on prostate cancer pathology reports. While some studies have failed to find an association between PNI and prostate cancer outcomes (8–26), many others have demonstrated the clinical significance of PNI detection in both biopsy (27–37) and prostatectomy (38–43) samples. In Tables 1 and 2 we summarize previously published studies assessing the association between PNI in prostate biopsy (Table 1) and radical prostatectomy (Table 2) specimens and risk of recurrence and cancer-specific mortality.

Most prior studies looking at PNI as a prognostic factor in radical prostatectomy specimens have focused on biochemical recurrence as the outcome of interest. Only three studies (18, 26, 38) have examined PNI as a predictor of prostate cancer-specific mortality in men treated with surgery, with only one of them (38) showing PNI to predict mortality on multivariate analysis. This is critical as most men who develop a biochemical recurrence are not destined to develop lethal prostate cancer (44–46). Our work focuses on exploring the relationship between PNI and prostate cancer-specific deaths in a novel fashion: no prior study has explored this association among initially untreated men. Using two large population-based prostate cancer cohorts, we set out to determine whether PNI is an independent predictor of lethal prostate cancer in men treated with watchful waiting or radical prostatectomy, as well as to evaluate the association between PNI and tumor markers of disease aggressiveness.

#### **MATERIALS AND METHODS**

#### **Study Population**

The study was nested within two prostate cancer cohorts: the Swedish Watchful Waiting Cohort and the U.S. Health Professionals Follow-Up Study (HPFS) prostate tumor cohort. The Swedish cohort included men from the southeast region of Sweden diagnosed with clinically localized prostate cancer between 1977 and 1999 after undergoing transurethral resection of the prostate (TURP) for benign prostatic hyperplasia (BPH) (47, 48). As per the standard of care in Sweden at the time, these men were followed expectantly as part of a watchful waiting protocol. Men who went on to develop symptomatic locally advanced or metastatic disease were treated with androgen deprivation therapy. We retrieved archival TURP tissue specimens for 661 of the men who form the study cohort, as described in more detail elsewhere (49), with 615 of these specimens ultimately being evaluated for PNI.

In 1986, the HPFS enrolled 51,529 male health professionals aged 40 to 75 years, all of who were cancer-free at baseline. The men are followed every two years with detailed questionnaires to collect information on lifestyle factors, medications, and health-related information. For this project, we included men diagnosed with incident prostate cancer between 1986 and 2004 for whom archival prostatectomy (95%) or TURP (5%) tissue specimens were available. Our sample of 849 cases represents 49% of the prostate cancer cases in the cohort that were treated with radical prostatectomy. Details of the HPFS prostate tumor cohort are described elsewhere (50, 51). Importantly, men whose tumors were evaluated for PNI did not differ from those men who were not sampled in terms of their clinical characteristics.

Approval for the study was obtained from the Institutional Review Board of the Harvard School of Public Health, Partners Health Care and the Uppsala-Örebro and Linköping Ethical Review Board.

#### **Data Collection**

Data on age, clinical stage, and PSA level at diagnosis (for HPFS cases only) were abstracted from medical records and pathology reports. The vital status of all patients in the Swedish cohort was assessed using the Swedish Death Register and was up-to-date as of March 1st, 2006. In the HPFS, deaths were ascertained by periodic review of the U.S. National Death Index and through report from next of kin (last reviewed in December 2012). Cause of death was determined through review of medical records and autopsy reports by endpoint committees of physicians. Data on biochemical recurrence and distant metastases were tracked through patient questionnaires and review of medical records from treating physicians.

#### **Histopathologic and Tumor Biomarker Evaluation**

One dedicated genitourinary pathologist (MF) undertook a systematic histopathologic review of all available H&E slides for each case, blinded to patient outcome, for the presence or absence of PNI, Gleason grade, pathologic tumor stage (1997 American Joint Committee on Cancer TNM Classification), high-grade prostatic intraepithelial neoplasia

(hgPIN), acute and chronic inflammation, and patterns of atrophy (simple atrophy, simple atrophy cyst formation, partial atrophy, and post-atrophic hyperplasia). TURP specimens were also assessed for tumor volume (as measured by the percentage of the specimen involved with cancer). The presence or absence of PNI was assessed in each available tumor slide. PNI was defined as the presence of complete circumferential encirclement of nerve structures by malignant glands. Cases with non-circumferential PNI or a single focus of PNI among multiple tumor slides were deemed PNI-negative.

Tumor proliferation, apoptosis and angiogenesis were also examined in a subset of the patients in the HPFS. Ki67 immunostaining (N=399 cases) was performed on tumor tissue microarrays using a polyclonal antibody (Vector Labs), diluted 1:2,000 after citrate-based antigen retrieval, and scored by quantitative image analysis. A TUNEL assay (N=335) on tissue microarrays was used to assess apoptosis. For a subset of patients (N=339), whole section specimens were analyzed for morphologic markers of tumor angiogenesis (vessel density, area, diameter, and irregularity) by staining for the CD34 marker and using image analysis as described previously (52).

#### **Statistical Analysis**

Associations between PNI status and clinical and pathologic characteristics were assessed using the chi-square test for categorical variables and generalized linear models or the Wilcoxon rank sum test for continuous variables. Ki67 and TUNEL scores were categorized into quartiles to account for their non-normal distributions, while angiogenesis parameters were log-transformed.

Multivariable regression analyses were performed to determine whether PNI is an independent predictor of lethal prostate cancer. In the Swedish cohort, a nested case-control design was devised to maximize efficiency in the pathologic review and included men who died of prostate cancer at any point during follow-up as cases (N=228), and men who survived for 10 years or more as controls (N=387). Unconditional logistic regression was used to calculate odds ratios (OR) and 95% confidence intervals (CI).

The HPFS was analyzed using Cox proportional hazards regression to calculate hazard ratios (HR) and 95% CI. The event was defined as the development of bone or visceral metastases or death due to prostate cancer. Person-time was calculated from the date of diagnosis to development of metastases, death due to prostate cancer (whichever occurred earlier), death from other causes, or the end of follow-up (December 2011). Competing risks regression was used to assess the impact of death from other causes on the effect estimates.

Models were adjusted for age at diagnosis (continuous) and Gleason grade (ordinal;  $6, 3+4$ , 4+3, 8 and ≥9). Additionally, models for the Swedish cohort were adjusted for percentage of tumor involved with cancer (continuous), while the HPFS models were adjusted for pathologic TNM stage ( $pT2$ ,  $pT3$ ,  $pT4/N+$ ). Patients in the HPFS with TURP specimens were excluded from models that adjusted for pathologic TNM stage. We tested whether the relationship between PNI and lethal prostate cancer varied by Gleason grade and tumor volume/stage using interaction terms and nested log likelihood models.

Statistical analyses were performed using Statistical Analysis Software (SAS) version 9.1 (Cary, NC) and R version 3.2.2.

## **RESULTS**

Men in the Swedish cohort were, on average, older at the time of diagnosis than those in the HPFS (mean age 73.0 years vs. 66.0 years, respectively). Tumors in the Swedish cohort tended to be of lower grade: although the proportion of men with high grade (Gleason 8) tumors was similar (19% vs. 25% in the Swedish cohort and HPFS, respectively), the proportion of men with Gleason 7 tumors was significantly higher in the HPFS (58% vs. 34%). Most tumors (59%) in the Swedish cohort were staged as T1b (defined as >5% of resected tissue involved with cancer or Gleason grade  $\,$  7). In the HPFS, 72% of men had localized tumors at prostatectomy  $(pT2)$ . Figure 1 shows H&E slides with PNI adjacent to prostate cancer.

#### **Swedish Watchful Waiting Cohort**

PNI was present in 43 of 615 (7%) prostate cancer cases in the Swedish cohort. Patients with tumors harboring PNI tended to be slightly older (mean age 74.8 years vs. 72.9 years, Table 3). PNI was strongly associated with high Gleason grade; two-thirds of tumors with PNI were Gleason grade 8 tumors compared to only 16% of tumors without PNI ( $p_{\text{trend}}$ <0.001). PNI was also positively associated with tumor volume and presence of hgPIN, a precursor lesion of prostate cancer ( $p=0.01$ ). There was no association between the presence of PNI and chronic inflammation or any of the atrophy lesions.

PNI was strongly associated with risk of lethal prostate cancer (see Table 4). Men with evidence of PNI in tumor tissue were seven times more likely to die of cancer than men without PNI (crude OR 7.4, 95% CI 3.6, 16.6;  $p<0.001$ ). After adjustment for clinical factors, there remained a non-statistically significant higher risk of prostate cancer-related death in men with PNI (OR 1.9, 95% CI 0.8, 5.1;  $p=0.17$ ). This association was similar for men with high and low Gleason grade tumors as well as large and small volume tumors (data not shown).

#### **Health Professionals Follow-Up Study**

In the HPFS, which predominantly includes radical prostatectomy specimens (95%), PNI was present in 370 of 849 cases (44%), a higher proportion than in the Swedish cohort (Table 3). Men with tumors exhibiting PNI did not differ from those without PNI in age at diagnosis (mean 66.1 vs. 65.9 years,  $p=0.68$ ) nor preoperative PSA levels (median 6.6 vs. 6.1 ng/dL,  $p=0.12$ ). Similar to the Swedish cohort, there was a strong association between the presence of PNI and higher Gleason grade ( $p_{\text{trend}}$ <0.001). Moreover, PNI was significantly more common with higher pathologic TNM stage ( $p_{\text{trend}}$ <0.001). Tumors harboring PNI had a lower prevalence of post-atrophic hyperplasia (18% vs. 25%, p=0.01). No associations between PNI and hgPIN, acute or chronic inflammation, or other patterns of atrophy were found.

During a median follow-up of 10.7 years, 87 men developed distant metastases or died of prostate cancer. In the crude analysis, there was a strong positive association between PNI

and risk of lethal prostate cancer (HR 3.1, 95% CI 2.0, 4.9;  $p<0.001$ ). This association was attenuated but remained significant after adjusting for age at diagnosis and Gleason score (HR 1.8, 95% CI 1.1, 3.0;  $p=0.01$ ), as well as after further adjustment for pathologic TNM stage (HR 1.8, 95% CI 1.0, 3.3;  $p=0.04$ ). Estimates from competing risks models were similar to those from the Cox models. The association between PNI and lethal disease was similar among high and low Gleason grade tumors as well as by pathologic TNM stage (analyses not shown). However, many subgroups had a low number of events such that our analysis was likely underpowered to detect significant effect modification by Gleason grade and stage.

There was no association between presence of PNI and extent of tumor proliferation or apoptosis (Table 5). Tumors harboring PNI did, however, demonstrate increased tumor angiogenesis, as manifested by higher microvessel density  $(p=0.008)$  and smaller vessel diameter and area ( $p<0.001$ ). The differences in angiogenesis parameters were consistent across Gleason grade (analyses not shown).

#### **DISCUSSION**

In two independent prostate cancer cohorts, we found PNI to be rarely present in low Gleason grade tumors but much more common in high Gleason grade disease. Moreover, in both a watchful waiting and prostatectomy cohort, PNI conferred a twofold higher risk of lethal prostate cancer even after adjustment for other pathologic factors. The association between PNI and lethal prostate cancer was apparent even among men with high grade cancers, and may indicate an independent mechanism by which cancers cells can leave the prostate.

The prevalence of PNI among RP specimens in our study (44%) is lower than the prevalence reported by most prior studies, which was typically in the range of 50–80% (see Table 2). This is likely explained by the fact that we used a strict definition of PNI, that of circumferential encirclement of nerves by malignant glands. In contrast, prior studies reporting a higher PNI prevalence either did not describe how PNI was defined (14, 19, 20, 25, 39) or used vague descriptions such as presence of tumor cells "in the perineural space" (16, 18) or "along the perineural sheath" (21). Because the perineural space can often be difficult to identify using H&E stains alone, abutment of the perineural space by both malignant and benign glands can, in the absence of circumferential involvement, be misinterpreted as PNI (53, 54), resulting in misclassification. Use of a strict definition of PNI is further supported by studies showing that the extent of infiltration of the perineural space with malignant glands as measured by PNI diameter is associated with clinical recurrence, tumor proliferation as measured by Ki67, and increased expression of the antiapoptotic biomarker NFΚB (43, 55). The lower prevalence of PNI in our study may alternately be explained by the fact that we did not have access to all H&E slides for some of the HPFS cases.

Although the associations between PNI and lethal prostate cancer were similar, there was a profound difference in the prevalence of PNI by cohort (7% in the Swedish cohort and 44% in the HPFS). This difference can likely explained by differences in the zonal location of the

tumors. The HPFS prostatectomy cases were primarily peripheral zone tumors whereas tumors from the Swedish cases arose primarily in the transition zone. Indeed, among 40 HPFS TURP specimens, the PNI prevalence of 12% was on par with the Swedish TURP cohort. Although this suggests that there may be unique differences in the prostate microenvironment within these two zones, it is also possible that transition zone tumors may be smaller and therefore less likely to be diagnosed by a limited transurethral resection.

The novelty of our study is that it is one of the few studies, and the largest to date, to assess the association between PNI in radical prostatectomy (as opposed to biopsy) specimens and prostate cancer-specific mortality (as opposed to biochemical recurrence). Of the more than 30 studies that have examined the association between PNI in radical prostatectomy specimens and prostate cancer outcomes (see Table 2), only three (18, 26, 38) have assessed whether PNI predicts distant metastases or death, with the remainder focusing on biochemical recurrence as the outcome of interest. This is important given that most men who develop a biochemical recurrence are not destined to die of their disease (44–46). Two of these studies (18, 26) did not find PNI to be an independent predictor of cancer-specific mortality, although both were significantly smaller (361 and 273 patients) and had shorter follow-up (median 3.5 and 4.1 years) than our study (18, 26). The third study, of 535 Norwegian men who were followed for a median of 7.4 years after radical prostatectomy, showed the presence of PNI in the prostatectomy specimen to be associated with a worse cancer-specific survival even after adjustment for preoperative PSA, prostatectomy Gleason score, pathologic stage, and surgical margin status (38). In contrast, multiple prior studies have shown PNI discovered on needle core biopsy to predict adverse clinical outcomes, including mortality (see Table 1). A recent study of 451 men treated with radical prostatectomy at the Mayo Clinic and followed for a median of 13 years thereafter found PNI in the biopsy specimen to predict cancer-specific mortality independent of Gleason grade (29). The prognostic value of PNI in biopsy specimens may be related to its correlation with extraprostatic extension in prostatectomy specimens (56).

PNI, defined as the presence of cancer cells within any of the three connective tissue layers enveloping a nerve, was first described in the mid-1800's in head and neck cancers (3). PNI was initially thought to be a variant of lymphatic spread, until it was shown that the perineural space is devoid of lymphatics (57). Among 78 prostatectomy specimens with extraprostatic extension, Villers *et al* showed spread to occur exclusively along the prostatic nerves in half (2). This and similar studies were the basis for the prevalent belief that the interface between prostatic nerves and prostate tissue is a low-resistance plane that provides a convenient route for cancer spread out of the prostate.

In contrast, recent research increasingly suggests that PNI is a manifestation of a complex interaction between nerve and cancer cells (3). Ayala *et al* developed an *in vitro* model of PNI consisting of co-cultured mouse dorsal root ganglion (DRG) cells and DU-145 prostate cancer cells. This model shows that DRG neurite outgrowth is significantly increased in the presence of prostate cancer cells, with cancer cells subsequently migrating retrograde along the neurites towards the DRG cell bodies (5). Prostate cancer-induced neuronogenesis has since been confirmed in  $vivo(58)$ . More importantly, nerves have been shown to have a reciprocal effect on prostate cancer growth. On tissue microarrays, prostate cancer cells

located near nerves demonstrate a higher proliferative index and lower apoptotic index than cancer cells located further away (6). A phase I clinical trial is currently underway to determine whether periprostatic injection of botulinum toxin A can impair prostate cancer growth (59).

Two candidate mechanisms have been identified to account for the pro-survival effect of PNI. NFΚB and its targets PIM-2 and DAD-1, which together constitute part of an antiapoptotic pathway, have been shown to be overexpressed in prostate cancer cells associated with nerves compared to those located further away (6). The upstream activator of NFKB, NCAM, is upregulated in the setting of nerve injury (60). Caveolin-1, a scaffolding protein with anti-apoptotic properties that is expressed by perineural stromal cells during wound repair, has likewise been found to be overexpressed in PNI (61). It is therefore possible that prostate cancer invasion of nerves and subsequent nerve injury results in upregulation of these anti-apoptotic pathways. We did identify a potential novel biological mechanism by which PNI could influence prostate cancer aggressiveness. Our finding of an association between the presence of PNI and increased angiogenesis has not been previously reported in prostate cancer, nor in any other tumors with a propensity for perineural spread, and presents a promising avenue for future study.

The strength of our study lies in its large sample size, prospective data collection, additional tissue biomarker data, and complete follow-up. Unlike most previous studies, all specimens in both cohorts were reviewed systematically for the presence or absence of PNI by a single dedicated genitourinary pathologist. This likely increased the validity of information for our analysis as PNI is not considered a required component of the histopathologic evaluation of prostate cancer specimens by the College of American Pathologists, and is therefore likely underreported in clinical practice (62, 63). The difference in prevalence of PNI in the TURP tumors, which arise primarily in the transitional zone, and prostatectomy samples, which are primarily peripheral zone cancers, provides further evidence of these cancers representing distinct biological entities. Despite the large sample size and standardized pathologic review, the low prevalence of PNI in the Swedish cohort likely significantly decreased the precision of our effect estimates, and possibly accounts for why PNI did not reach statistical significance as an independent predictor in this cohort. Importantly, men in the HPFS cohort who underwent RP had predominantly low to intermediate-grade, organ-confined tumors. Given the increasing use of active surveillance for low-risk tumors and the expected reverse stage migration precipitated by declining rates of PSA screening, it is expected that an increasing number of patients undergoing RP in the contemporary era will have high-risk disease. Given the power limitations of our subgroup analyses, it is unclear whether our findings are therefore generalizable to a more contemporary population of men undergoing RP.

In summary, we present evidence that PNI is a strong predictor of lethal prostate cancer among men undergoing radical prostatectomy and describe for the first time an association between PNI and prostate cancer-related mortality in a cohort without definitive treatment. Our findings, in concert with those from previously published studies, suggest that PNI should be a standardized component of the pathologic review of radical prostatectomy specimens and that this finding may identify another group of high-risk patients who may

benefit from adjuvant therapy post-RP. Further research into PNI may shed light on key mechanisms underlying the metastatic potential of prostate cancer.

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#### **Figure 1.**

Hematoxylin & eosin-stained tissue slides showing presence of PNI adjacent to prostate cancer, Health Professionals Follow-Up Study.



Summary of selected studies evaluating the prognostic value of PNI in prostate biopsy specimens Summary of selected studies evaluating the prognostic value of PNI in prostate biopsy specimens

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PNI: perineural invasion; AS: active surveillance; RP: radical prostatectomy; EBRI: external-beam radiation therapy; BT: brachytherapy; NA: not applicable; NR: not recorded; PS: pathologic stage; EPE: PNI: perineural invasion; AS: active surveillance; RP: radical prostatectomy; EBRT: external-beam radiation therapy; BT: brachytherapy; NA: not applicable; NR: not recorded; PS: pathologic stage; EPE: extraprostatic extension; SVI: seminal vesicle invasion; PSM: positive surgical margin; GS: Gleason score extraprostatic extension; SVI: seminal vesicle invasion; PSM: positive surgical margin; GS: Gleason score

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**Table 1**



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Summary of selected studies evaluating the prognostic value of PNI in radical prostatectomy specimens Summary of selected studies evaluating the prognostic value of PNI in radical prostatectomy specimens



PNI: perineural invasion; AS: active surveillance; RP: radical prostatectomy; EBRI: external-beam radiation therapy; ADI: androgen-deprivation therapy; NA: not applicable; NR: not recorded; PNI: perineural invasion; AS: active surveillance; RP: radical prostatectomy; EBRT: external-beam radiation therapy; ADT: androgen-deprivation therapy; NA: not applicable; NR: not recorded;  $\mathbf{I}$ 

 ${}^{\rm 2}$  PNI diameter PNI diameter

Zareba et al. Page 15

Clinical and pathologic characteristics of prostate cancer cases in the Swedish Watchful Waiting Cohort and Health Professionals Follow-Up Study by presence of PNI



HPFS, Health Professionals Follow-Up Study; PNI, perineural invasion; SD, standard deviation; PSA, prostate-specific antigen; IQR, interquartile range; hgPIN, high-grade prostatic intraepithelial neoplasia; reported percentages correspond to the percentage of patients within each group with and without PNI

Association between PNI and lethal prostate cancer in the Swedish Watchful Waiting Cohort and US Health Professionals Follow-Up Study



a Odds ratios (OR) and hazard ratios (HR) used as measures of association for the Swedish Cohort and HPFS, respectively;

 $b$ <br>adjusted for age and Gleason grade;

c additionally adjusted for tumor volume (Swedish cohort), and pathologic tumor stage (HPFS); CI confidence interval

Prostate tumor biomarkers by PNI status in the Health Professionals Follow-Up Study. Measures expressed as medians (interquartile range).



 $\alpha$ <sup>a</sup>Higher values indicate increased tumor angiogenesis;

b<br>lower values indicate increased tumor angiogenesis