

# Development of a Novel AAV Gene Therapy Cassette with Improved Safety Features and Efficacy in a Mouse Model of Rett Syndrome

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**Rett syndrome (RTT), caused by loss-of-function mutations in the *MECP2* gene, is a neurological disorder characterized by severe impairment of motor and cognitive functions. The aim of this study was to investigate the impact of vector design, dosage, and delivery route on the efficacy and safety of gene augmentation therapy in mouse models of RTT. Our results show that AAV-mediated delivery of *MECP2* to *Mecp2* null mice by systemic administration, and utilizing a minimal endogenous promoter, was associated with a narrow therapeutic window and resulted in liver toxicity at higher doses. Lower doses of this vector significantly extended the survival of mice lacking MeCP2 or expressing a mutant T158M allele but had no impact on RTT-like neurological phenotypes. Modifying vector design by incorporating an extended *Mecp2* promoter and additional regulatory 3' UTR elements significantly reduced hepatic toxicity after systemic administration. Moreover, direct cerebroventricular injection of this vector into neonatal *Mecp2*-null mice resulted in high brain transduction efficiency, increased survival and body weight, and an amelioration of RTT-like phenotypes. Our results show that controlling levels of MeCP2 expression in the liver is achievable through modification of the expression cassette. However, it also highlights the importance of achieving high brain transduction to impact the RTT-like phenotypes.**

## INTRODUCTION

Rett syndrome (RTT; OMIM 312750) is a neurological disorder characterized by a constellation of clinical diagnostic and associated features and with overt onset occurring several months postnatally.<sup>1</sup> Typical RTT is almost exclusively caused by de novo germline mutations in the X-linked gene, *MECP2*<sup>2</sup> (as reviewed elsewhere<sup>3,4</sup>). Several mouse models of RTT have been generated that harbor *Mecp2* deletions<sup>5-7</sup> or knocked-in mutations.<sup>8-11</sup> Many of these models recapitulate the principal features that characterize RTT in humans, although there are differences that reflect the phenotypic variability seen in patients.<sup>12-14</sup> Despite the severity of RTT-like phenotypes, genetic reac-

tivation of silenced *Mecp2* in conditional knockout mice resulted in a robust and enduring reversal of phenotypes.<sup>15-17</sup>

This inherent reversibility of the phenotype, added to the lack of obvious targets for pharmacotherapy, makes gene therapy an obvious therapeutic strategy in RTT. However, there are significant challenges to a gene transfer approach, including the requirement to transduce sufficient numbers of neurons in the brain<sup>16</sup> and the avoidance of deleterious overexpression.<sup>18</sup>

Previous attempts at *MECP2* gene transfer using AAV9 vectors were confounded by limited brain transduction efficiency and toxicity,<sup>19,20</sup> while efficacy in other studies using self-complementary adeno-associated virus (AAV) (scAAV)<sup>21</sup> may have been compromised by the use of a construct exceeding the packaging capacity of the vector.

The aim of the present study was to assess the therapeutic impact of dose, route of administration, and expression cassette design in mice modeling RTT. Our results show that modification of the vector design by incorporating more regulatory elements is able to reduce peripheral expression of vector-derived MeCP2 and prevent liver toxicity. We also show that using the same vector design by direct brain injection in mouse neonates resulted in higher brain transduction and improved the RTT-like phenotype.

## RESULTS

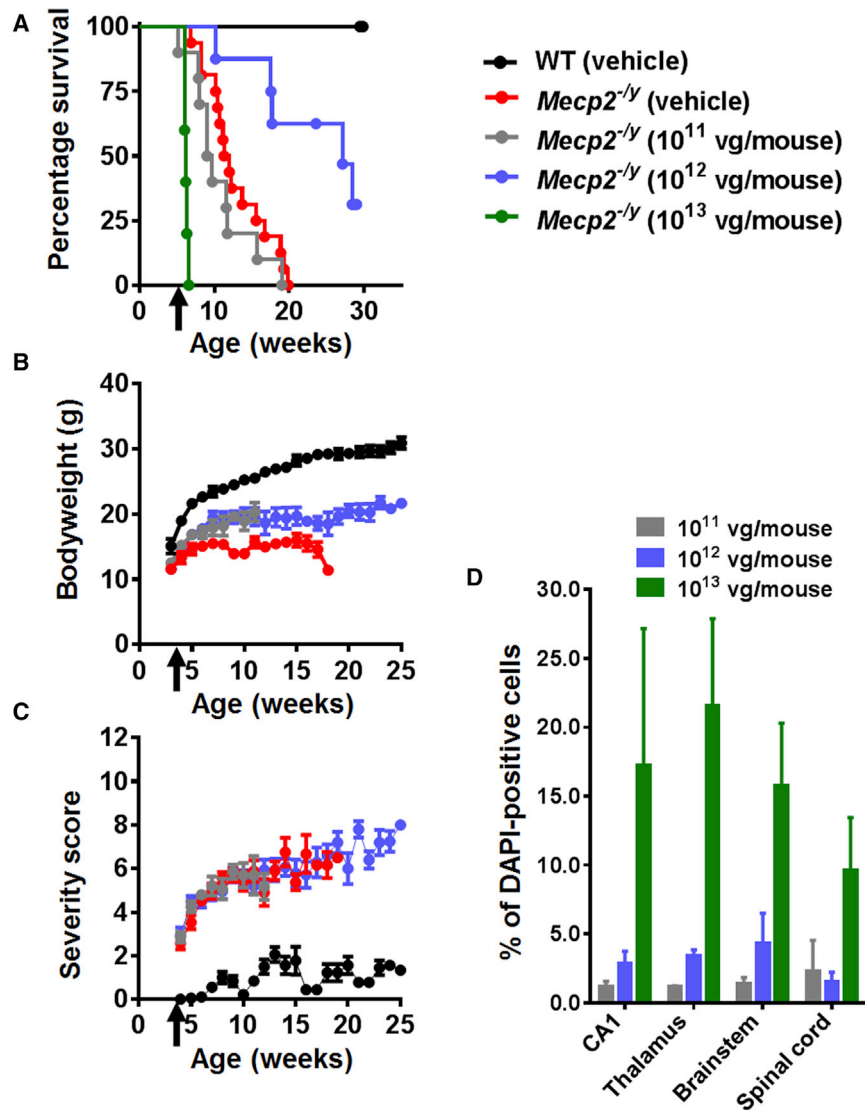
### Dose Escalation with AAV/*MECP2* Revealed a Narrow Therapeutic Window following Systemic Administration

In order to explore the relationship between vector dose and therapeutic benefits, we conducted a dose escalation experiment in which

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an scAAV2/9 vector was used to deliver a Myc-tagged human *MECP2\_e1* cDNA under the control of a short, 229-bp region of the murine *Mecp2* endogenous core promoter (MeP229)<sup>19,22</sup> herein after referred to as the “first-generation vector”. Juvenile male *Mecp2*<sup>-/-</sup> and wild-type (WT) mice were injected at the age of 4–5 weeks in the tail vein either with vehicle or with  $1 \times 10^{11}$  (low dose),  $1 \times 10^{12}$  (moderate dose), or  $1 \times 10^{13}$  (high dose) viral genomes (vg) per mouse (dose range,  $\sim 1 \times 10^{13}$ – $1 \times 10^{15}$  vg/kg). As expected from previous studies of this knockout line,<sup>6,7,15</sup> onset of RTT-like phenotypic signs in control vehicle-treated *Mecp2*<sup>-/-</sup> mice<sup>15</sup> was observed from 4 to 5 weeks of age, and severity progressively increased until death or censoring of all mice by 20 weeks of age (Figures 1A–1C). *Mecp2*<sup>-/-</sup> mice treated with the low dose were indistinguishable from vehicle-treated *Mecp2*<sup>-/-</sup> mice in terms of survival (median survival = 9.36 weeks versus 11.64 weeks, respectively;  $p = 0.2$ , Mantel-Cox test,) and severity score (Figures 1A and 1C).

and lethality at 10–15 days post-injection (Figure 1A). Overall, vehicle-treated WT mice differed from *Mecp2*<sup>-/-</sup> cohorts across all measures (all  $ps < 0.001$ ).

Patterns of transduction in treated *Mecp2*<sup>-/-</sup> mice were assessed within the CNS by anti-Myc antibody immunofluorescence labeling (Figure S1), which revealed vector-derived MeCP2 protein expression distributed in a punctate pattern within cell nuclei corresponding to that observed for endogenous MeCP2 in WT mice. Samples from the low-dose cohort revealed low transduction efficiencies across brain regions (0.5% to 1%). The moderate dose resulted in  $\sim 3\%$ – $5\%$  transduction efficiency, whereas the efficiency for the high dose was 10%–22% (Figure 1D).

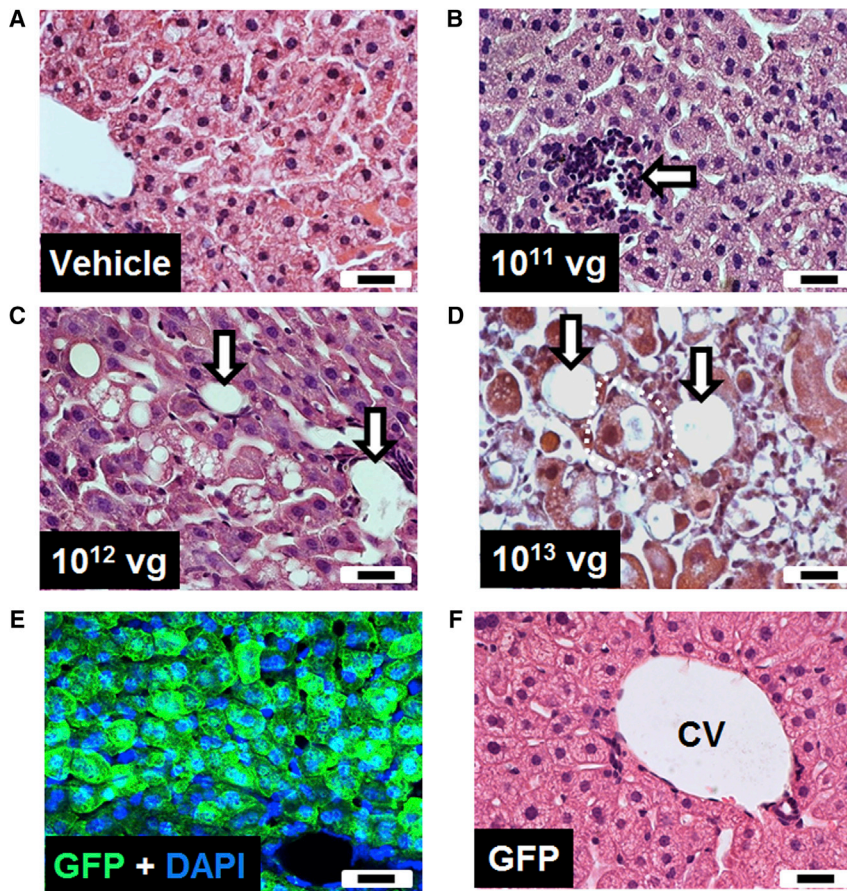
In order to measure cellular levels of vector-derived MeCP2 relative to native levels, WT mice were treated with vector as described

### Figure 1. Systemic Delivery of the First-Generation Vector to *Mecp2*<sup>-/-</sup> Mice Revealed Therapeutic Efficacy and a Narrow Therapeutic Window

(A) Kaplan-Meier survival plot for *Mecp2*<sup>-/-</sup> mice injected with different doses ( $1 \times 10^{11}$  [n = 10],  $1 \times 10^{12}$  [n = 8], and  $1 \times 10^{13}$  [n = 5] vg per mouse) of first-generation vector compared to vehicle-treated animals (WT; n = 9, *Mecp2*<sup>-/-</sup>; n = 16). The median survival period in *Mecp2*<sup>-/-</sup> mice treated with  $1 \times 10^{12}$  vg per mouse was significantly higher than that in vehicle-treated controls (27.14 versus 11.64 weeks;  $p = 0.001$ , Mantel-Cox test). (B and C) Plots showing mean (B) body weight and (C) aggregate severity scores for *Mecp2*<sup>-/-</sup> mice treated with  $1 \times 10^{11}$  and  $1 \times 10^{12}$  vg per mouse or vehicle. Arrows indicate age at injection; data are presented as mean  $\pm$  SEM. (D) Dose-dependent transduction efficiency (Myc-positive nuclei as a proportion of DAPI-positive nuclei) across different brain regions. Data are presented as mean  $\pm$  SEM (n = 3 mice per group). CA1 indicates hippocampal region CA1.

However, when measured at 11 weeks (the median survival time for the control vehicle-treated *Mecp2*<sup>-/-</sup> mice), the mean body weight of the treated *Mecp2*<sup>-/-</sup> mice was significantly ( $p < 0.05$ ) higher than that of the *Mecp2*<sup>-/-</sup> vehicle controls (Figure 1B).

In contrast, *Mecp2*<sup>-/-</sup> mice treated with the moderate dose ( $1 \times 10^{12}$ ) showed significantly increased survival and body weight compared to the vehicle controls (median survival = 27.3 weeks versus 11.64 weeks;  $p = 0.001$ , Mantel-Cox test [Figure 1A];  $p < 0.05$  for mean body weight measured at 11 weeks of age [Figure 1B]). However, there was no difference in the RTT-like phenotypic severity score at this dose (Figure 1C). Finally, the cohort receiving the highest dose showed acute toxicity



**Figure 2. Intravenous Injection of the First-Generation Vector Resulted in Pathological Changes in the Liver**

(A–D) Representative H&E-stained liver sections from WT mice injected with (A) vehicle or (B–D) different doses of vector. (E) Liver section from a mouse injected intravenously with a GFP control vector, counterstained with DAPI. (F) Representative H&E-stained liver section from a GFP vector-treated mouse. Arrows indicate mononuclear cell infiltration, vacuolation, and/or loss of hepatocytes. Dashed white line indicates cellular swelling. Scale bars indicate 20  $\mu\text{m}$ . CV, central vein.

derived MeCP2 levels in a subset of liver cells (using anti-Myc-immunolabeling) of treated WT mice were found to be higher than MeCP2 levels seen in neurons (Figures S4B and S4C) and were thus  $\sim 20$  times higher than levels found endogenously in such cells. Myc-positive cells were detected also in the heart, kidney, and other peripheral tissues in treated *Mecp2*<sup>-/-</sup> mice (data not shown).

Histological investigation of liver sections from mice injected with vehicle or a low dose of the vector showed a largely normal liver structure with occasional areas of mononuclear infiltration (Figures 2A and 2B). In contrast, mice injected with higher doses of the vector showed a dose-dependent increase in pathological features, including cellular destruction and vacuolation, loss of hepatocytes, and mononuclear cell infiltration (Figures 2C and 2D).

To address whether the observed liver pathology was due to the high copy number of viral particles per se or was a consequence of MeCP2 overexpression, we injected mice with a vector driving expression of GFP but otherwise identical to the first-generation vector. Despite detection of widespread GFP expression in the liver (Figure 2E), histological examination of liver sections revealed no evidence of cellular damage or immune cell infiltration (Figure 2F). In addition, no changes in RTT aggregate severity score were observed with this vector (data not shown).

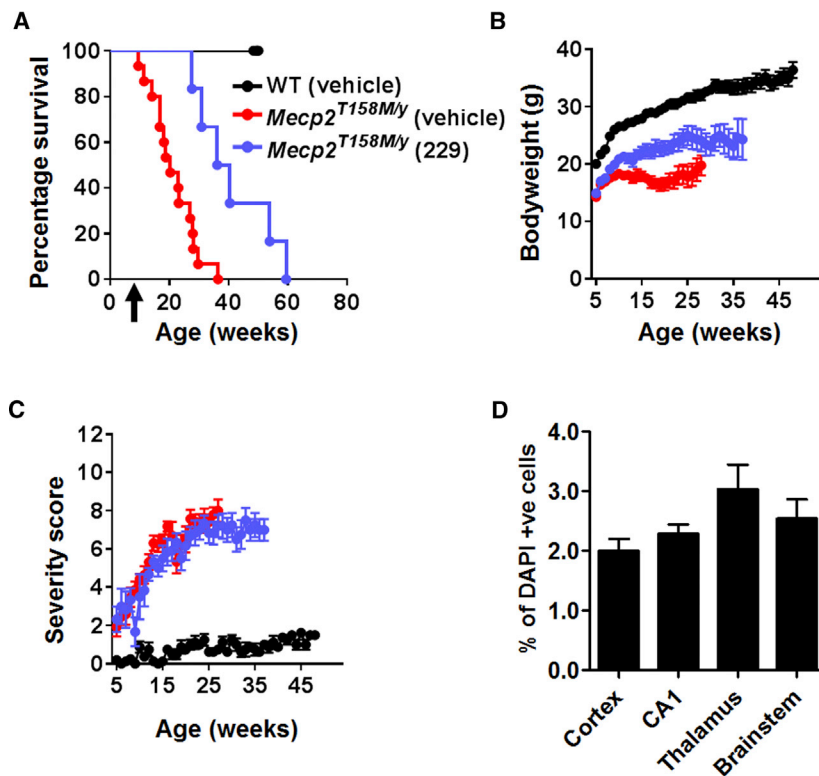
#### Systemic Administration of First-Generation Vector Improves Survival in *Mecp2*<sup>T158M/y</sup> Knockin Mice

An important question for gene transfer in RTT is whether the presence of endogenous mutant MeCP2 might reduce the therapeutic effect of vector-derived wild-type MeCP2. Male mice expressing native MeCP2 tagged with GFP as a fusion protein and harboring the common RTT-causing p.T158M mutation,<sup>9</sup> *Mecp2*<sup>T158M/y</sup>, display a phenotype very similar to that of *Mecp2* null mice (Figure S5), but with somewhat enhanced survival (median survival = 20.3 weeks and 12.4 weeks, respectively;  $p = 0.0016$ , Mantel-Cox test).

earlier. The low and moderate doses were tolerated and had no observable effect on body weight or phenotypic severity score (Figures S2A–S2C). However, WT mice treated with the high dose exhibited the acute toxicity and rapid lethality observed in the knockout mice (Figures S2A–S2C). Quantification of cellular levels of MeCP2 in mice given this high dose revealed that transduced hippocampal pyramidal cells expressed vector-derived MeCP2 at a mean level equivalent to 120% of the endogenous level, resulting in total cellular levels of MeCP2 just over 2-fold higher than normal for these cells (Figures S2D–S2F).

#### Systemic Delivery of First-Generation Vector Resulted in Liver Toxicity

To further investigate toxic effects encountered after systemic injection of the first-generation vector at high doses, levels of vector-derived MeCP2 expression were tested in a range of peripheral tissues. Bio-distribution of the vector genome in different organs was quantified using qPCR at the end of experiment (Figure S3) and revealed, along with immunohistochemistry, that the proportion of Myc-positive cells in the liver was high (Figure S4). Endogenous MeCP2 levels are known to be much lower in liver cells than in brain neurons<sup>23,24</sup> and are typically below the detection threshold for immunohistochemistry using available antibodies (Figure S4A). However, vector-



**Figure 3. Improved Survival and Body Weight of *Mecp2*<sup>T158M/y</sup> Mice after Systemic Delivery of the First-Generation Vector**

(A) Survival plot for treated *Mecp2*<sup>T158M/y</sup> mice. Arrow indicates age at injection. (B and C) Plots of (B) body weight and (C) aggregate severity score, respectively, for *Mecp2*<sup>T158M/y</sup> mice treated with  $1 \times 10^{12}$  vg per mouse of first-generation vector and control groups (*Mecp2*<sup>T158M/y</sup> and WT) treated with vehicle. Data presented as mean  $\pm$  SEM. (D) Transduction efficiency in the brain of treated mice (Myc-positive nuclei as a proportion of DAPI-positive nuclei;  $n = 3$  mice).

Intravenous delivery of a moderate dose ( $1 \times 10^{12}$  vg per mouse) of the first-generation vector to 4- to 5-week-old *Mecp2*<sup>T158M/y</sup> mice resulted in significantly increased survival (Figure 3A; median survival = 38.3 weeks in vector-treated mice versus 20.3 weeks in vehicle-treated mice;  $p = 0.0019$ , Mantel-Cox test,  $n = 8$ –15 per group). There was a modest increase in body weight in the vector-treated cohort (Figure 3B;  $p < 0.05$ , one-way ANOVA using data at 20 weeks of age). However, there was no difference in RTT-like aggregate severity score between groups (Figure 3C), consistent with a low brain transduction efficiency ( $\sim 2\%$ – $4\%$ ) as revealed by anti-Myc labeling (Figure 3D). Overall, vehicle-treated WT mice differed from *Mecp2*<sup>T158M/y</sup> cohorts across all measures (all  $p < 0.01$ ).

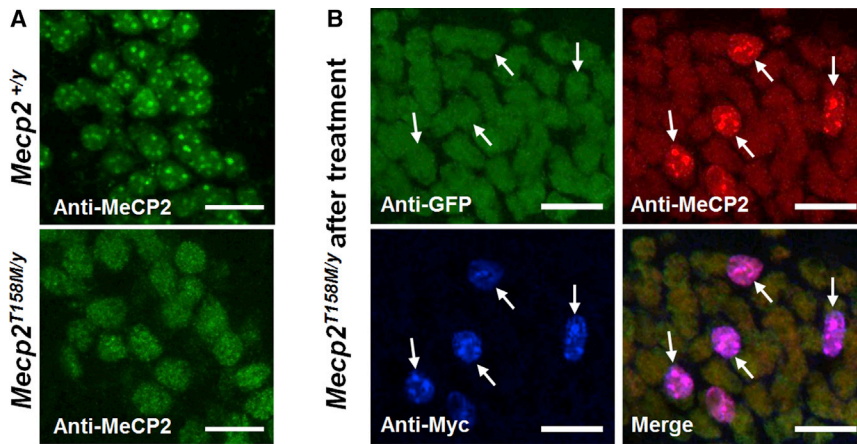
The p.T158M mutation affects the chromatin-binding capacity of MeCP2, leading to loss of the punctate element of MeCP2 labeling in the nucleus (Figure 4A).<sup>9</sup> Immunolabeling of hippocampal neurons from treated *Mecp2*<sup>T158M/y</sup> mice showed WT patterns of MeCP2 expression, with restored localization to DAPI bright spots, only in transduced (Myc-positive) cells (Figure 4B). This is consistent with vector-derived MeCP2 being able to localize normally to heterochromatin, despite the presence of mutant endogenous MeCP2 protein within the same nucleus.

#### Development of a Second-Generation Vector that Reduced Liver Toxicity after Systemic Administration

In light of the data described earlier, it was evident that a higher AAV vector dose is required to achieve therapeutically relevant levels of

brain transduction after systemic delivery. However, severe toxicity after delivery of high doses of our first-generation cassette necessitated a new design. We tested a range of modifications to the expression cassette and capsid that were predicted to result in lower cellular expression levels and/or reduce liver tropism. This included the use of expression cassettes utilizing (1) an alternative, compact, and, presumably, weaker JeT promoter<sup>25</sup>; (2) a short synthetic polyadenylation (SpA) signal (Figure S6A)<sup>26</sup>; and (3) the original first-generation expression cassette packaged in a scAAV9.47 capsid, which emerged from an in vivo screen for liver de-targeted capsid sequences relative to AAV9.<sup>27,28</sup> Systemic injection of these vectors at the moderate dose ( $1 \times 10^{12}$  vg per mouse) into 4- to 5-week-old *Mecp2*<sup>-/-</sup> mice resulted in significantly extended survival and improved body weight, but there was no impact on the RTT-like aggregate severity score (Figure S6B). In summary, none of these modifications resulted in any significant improvements over the first-generation vector ( $p > 0.05$  for all measures, ANOVA and Mantel-Cox tests). Importantly, these modified vectors all caused the development of liver pathology similar to that observed with the first-generation vector (as previously shown in Figure 2; Figure S6C).

The rationale for using an endogenous *Mecp2* core promoter fragment (MeP229) in the first-generation vector was that it had been shown largely to recapitulate the endogenous tissue-level pattern of MeCP2 expression.<sup>22</sup> However, this core promoter fragment is missing a number of predicted upstream regulatory elements that may be important in cell-type-specific regulation of MeCP2 expression.<sup>29–31</sup> Therefore, we designed a second-generation vector in which we used an extended promoter fragment (MeP426) incorporating additional promoter regulatory elements and a putative silencer element (Figure S7). We predicted that this might better enable the regulation of vector-derived MeCP2 levels in transduced cells. In addition to the extended promoter, we also incorporated a novel 3' UTR, consisting of a fragment of the endogenous *MECP2* 3' UTR together with a selected panel of binding sites for microRNAs (miRNAs) known to be involved in regulation of *Mecp2*<sup>32–35</sup> (Figure S7).



**Figure 4. Nuclear Localization of MeCP2 in Untreated and Treated *Mecp2*<sup>T158M/Y</sup> Mice**

Representative confocal images of the CA1 region of the hippocampus. (A) Endogenous MeCP2 exhibits heterochromatin-enriched localization in WT nuclei, while GFP-tagged MeCP2 exhibits decreased heterochromatin localization (i.e., more diffuse labeling) in nuclei from *Mecp2*<sup>T158M/Y</sup> mice. (B) Images demonstrating heterochromatin-enriched localization of vector-derived MeCP2 in nuclei of transduced cells in *Mecp2*<sup>T158M/Y</sup> mice treated with the first-generation vector. White arrows indicate transduced cells (Myc-positive). Scale bars indicate 20  $\mu$ m.

In order to test the therapeutic efficacy of the second-generation vector, a moderate dose ( $1 \times 10^{12}$  vg per mouse) was injected intravenously into 4- to 5-week-old *Mecp2*<sup>-/-</sup> mice. There was a significant extension of survival in the vector-treated mice compared to the vehicle-treated mice (median survival = 29.9 weeks and 11.6 weeks, respectively;  $p < 0.0001$ , Mantel-Cox; Figure 5B). There was also significant improvement in body weight at the age of 11 weeks ( $p < 0.05$ , one-way ANOVA, with Tukey's post hoc pairwise comparison test; Figure 5C). In contrast, there was no effect on RTT-like aggregate severity score (Figure 5D). The second-generation vector, thus, showed no therapeutic advantages over the first-generation vector after systemic delivery (Figures 5B–5D). Again, vehicle-treated WT mice differed from *Mecp2*<sup>-/-</sup> cohorts across all measures (all  $p < 0.001$ ). In order to compare this vector head-to-head with the first-generation vector in terms of liver safety, mice were injected intravenously with either the first- or the second-generation vector at a dose of  $1 \times 10^{12}$  vg per mouse. These mice were sacrificed after 30 days, and tissues were analyzed for vector-derived MeCP2 expression (using anti-Myc tag antibody) and signs of liver pathology (Figure 6). There was no significant difference in transduction efficiency between vector constructs (Figure 6B), but cellular levels of vector-derived MeCP2 (anti-Myc) in mice treated with first-generation vector were significantly higher than those in mice treated with second-generation vector (Figure 6C;  $p < 0.001$ , unpaired t test). Analysis of the distribution of cellular MeCP2 expression levels in transduced cells showed that MeCP2 expression was more tightly regulated in mice injected with the second-generation vector (Figure 6D), with fewer cells exhibiting very high expression levels. Moreover, there was none of the disrupted hepatic architecture or vacuolation previously observed with the first-generation vector (Figure 6E). The density of inflammatory foci was significantly higher in liver samples from mice injected with first-generation vector than from those injected with the second-generation vector (Figure 6F).

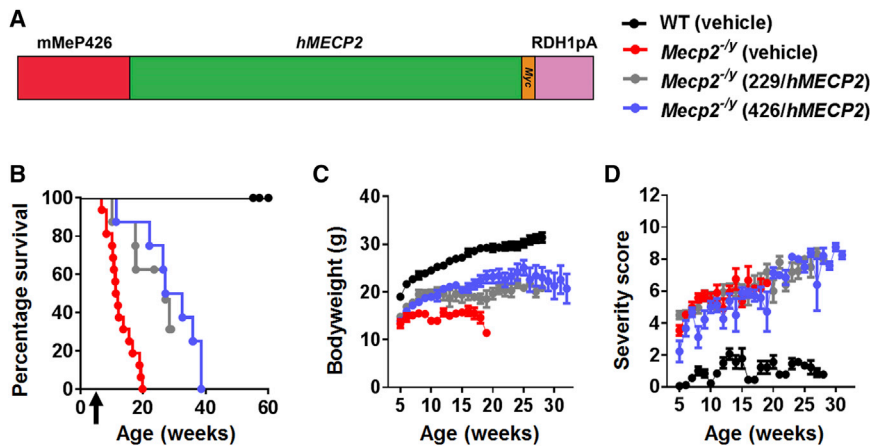
#### Neonatal Cerebroventricular Injection of the Second-Generation Vector Improved the RTT-like Aggregate Severity Score

The lack of impact on the phenotype after systemic administration is consistent with the low brain transduction efficiencies observed, as it

has been established that phenotype severity and degree of improvement after gene restoration correlate with the proportion of MeCP2-expressing cells in the brain.<sup>16</sup> Therefore, we decided to test the second-generation vector by direct cerebroventricular injection in mouse neonates, a delivery route that is known to afford widespread transgene expression.<sup>19</sup> When delivered at a dose of  $1 \times 10^{11}$  vg per mouse (Figure 7A), there was a pronounced extension in the survival of *Mecp2*<sup>-/-</sup> mice treated with the second-generation vector in comparison to vehicle-treated mice (median survival = 38.5 and 12.4 weeks, respectively;  $p < 0.0001$ , Mantel-Cox test; Figure 7B). While there was a negligible effect of vector on body weight (Figure 7C), an important observation was the clear improvement in the RTT-like aggregate severity score compared to that of vehicle-treated *Mecp2*-null mice (Figure 7D;  $p < 0.01$  at 11 weeks, one-way ANOVA, with Tukey post hoc pairwise comparison). Vector-derived MeCP2 (revealed by anti-Myc tag immunolabeling) was detectable in all brain regions, with transduction efficiencies across brain regions ranging from  $\sim 10\%$ – $40\%$  (Figures 7E and 7F). Distribution analysis revealed that the modal cellular MeCP2 level in transduced cells in cortex was approximately twice that of endogenous MeCP2 (consistent with a vector-derived expression level equal to the endogenous level), with some cells expressing higher levels of vector-derived MeCP2 (Figure 7G).

#### DISCUSSION

The reversal of a wide range of RTT-like phenotypes in mice following the delayed unsilencing of *Mecp2* provides a strong rationale for gene transfer as a therapeutic strategy in RTT.<sup>15,16</sup> There are likely to be a variety of barriers to translational success that will need to be identified and addressed in order to secure optimal outcomes in human clinical trials. In the present study, we identified particular challenges associated with the systemic delivery of a MECP2-bearing gene therapy vector in terms of a narrow therapeutic window driven by low brain transduction efficiency and the appearance of peripheral overexpression toxicity upon further dose escalation. However, peripheral overexpression can be reduced by refining the cassette design. We show that direct brain delivery of vector in neonatal mice can achieve therapeutically relevant levels of



**Figure 5. Therapeutic Efficacy of Second-Generation Vector after Systemic Delivery to *Mecp2*<sup>-/-</sup> Mice**

(A) Design features of our second-generation vector summarized (see Results and Figure S7 for details). (B) Survival plot for *Mecp2*<sup>-/-</sup> mice treated intravenously with  $1 \times 10^{12}$  vg per mouse of the second-generation vector (median survival = 29.9 weeks) or an identical dose of first-generation vector (median survival = 27.1 weeks) or vehicle (median survival = 11.6 weeks). Arrow indicates age at injection. (C and D) Plots showing (C) mean body weight and (D) aggregate severity scores, respectively, of *Mecp2*<sup>-/-</sup> mice treated as in (B). See also Figure S7.

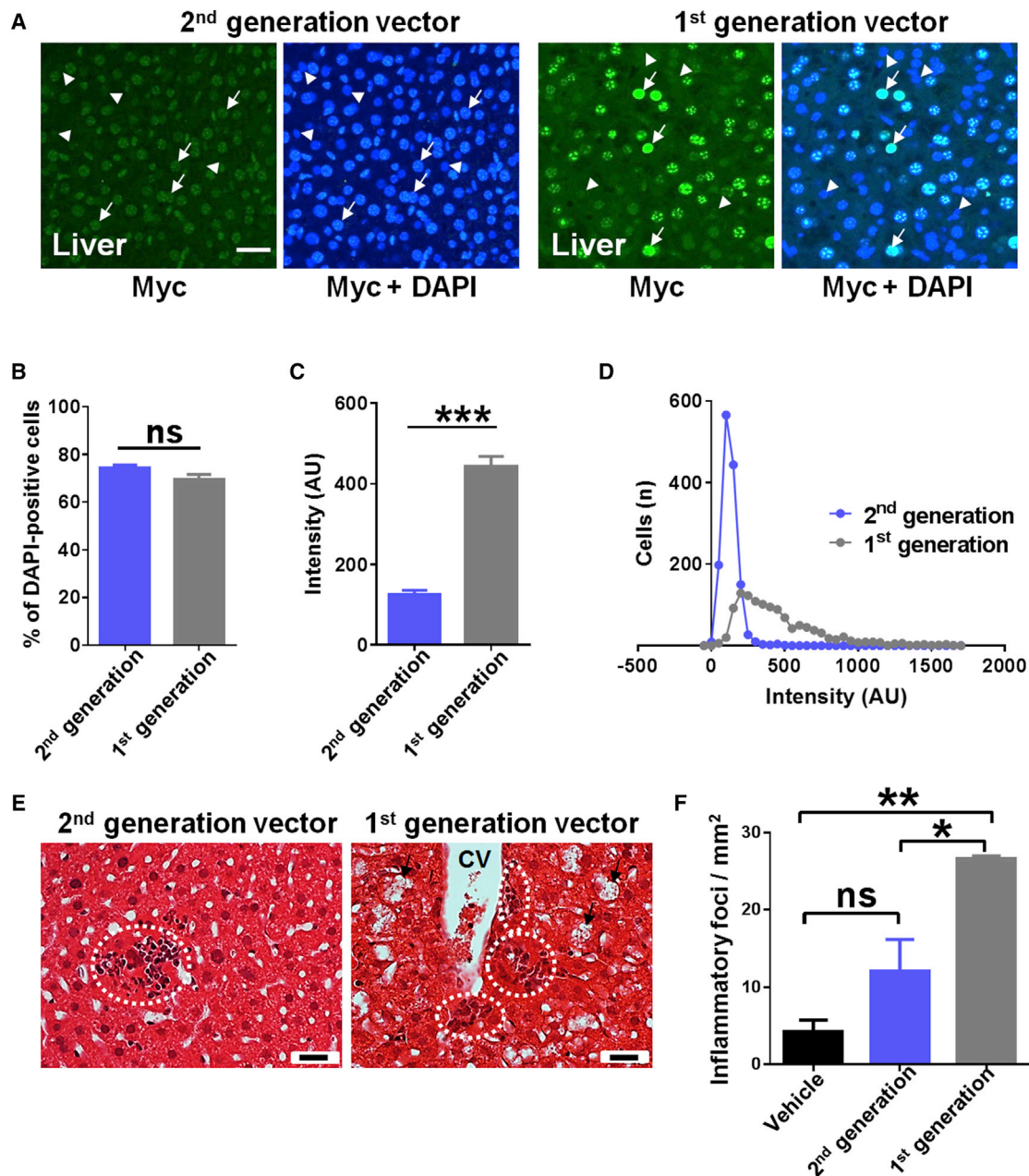
transduction that result in phenotype amelioration. We also show that the vector has similar effectiveness in mice expressing the most common RTT-causing mutation, suggesting that the presence of existing mutant forms of MeCP2 is unlikely to be an obstacle to translational success. These results are consistent with experiments in transgenic mice expressing both mutant and WT forms of the protein.<sup>36</sup>

Recent attempts to deliver *MECP2* exogenously in mouse models of RTT used widely varying vector doses but are difficult to compare based on additional differences in cassette design and other variables, including viral production, dosing protocol, and phenotype measures.<sup>19–21</sup> In the present study, we used our previously published cassette design (human *MECP2\_e1*, under the control of a MeP229 core promoter fragment)<sup>19</sup> to directly investigate the effect of dose in terms of efficacy and safety. A notable finding was the overall lack of efficacy across the range of doses tested in terms of an effect on RTT-like phenotype severity score. This is not due to such phenotypes being inherently resistant to reversal<sup>15,16</sup> but is instead most likely explained by the low levels of brain transgene expression afforded by this route of delivery. In contrast to the phenotype severity score, there was a clear dose-response relationship for survival, with the intermediate dose causing a modest increase in mean body weight and a significant extension in survival. It is not clear whether the survival and body weight effects are due to sufficient (if low) transduction levels in critical brain regions or to expression of MeCP2 in peripheral tissues relevant to mortality. Recent evidence suggests that MeCP2 levels in peripheral tissues can subtly affect body weight,<sup>23</sup> and it is possible that this may indirectly affect survival measures, as we are obliged to use the acute loss of body weight as an endpoint criterion. However, there was a clear divergence in survival between the  $10^{11}$ - and  $10^{12}$ -vg doses without overt differences in mean body weight between groups (Figure 1). Another potential explanation is that we were underestimating levels of transduction efficiency related to survival, based on the sensitivity of our immunohistochemical detection. However, vector biodistribution validation using qPCR was consistent with our measurements, confirming very modest transduction efficiencies following systemic delivery. Only the highest dose tested

produced appreciable levels of brain transduction (>10%–20%), and, unfortunately, the severe liver pathology and lethality associated with this dose precluded assessment of the potential for brain-specific therapeutic effects in this situation. Liver cells normally express relatively low levels of MeCP2 compared to neurons,<sup>23</sup> and identical doses of a GFP-expressing vector were not toxic, so the dose-dependent liver pathology is likely to be attributed to the overexpression of vector-derived MeCP2. The difference in the severity score observed in WT mice treated with the moderate dose (which showed no apparent toxicity) and with the high dose (which showed high levels of lethality) can potentially be explained in terms of the cellular levels of MeCP2 that can be tolerated by liver cells—this tolerability threshold may lie between the levels of MeCP2 achieved by the two vector doses.

Previous preclinical RTT gene therapy studies<sup>19–21</sup> have focused on using the *Mecp2*<sup>-/-</sup> model to screen for vector efficacy and potential toxicity. However, the presence of mutant endogenous MeCP2 could potentially produce a quasi-dominant negative action on the vector-derived MeCP2. We have shown here that, although this knockin line<sup>9</sup> exhibits RTT-like phenotype severity scores similar to those observed in *Mecp2*<sup>-/-</sup> mice, it also exhibits prolonged survival, thus indicating that the mutant allele may produce MeCP2 with some residual function. Interestingly, AAV-mediated systemic delivery of *MECP2* to these mice resulted in a therapeutic effect similar to that achieved in the *Mecp2*<sup>-/-</sup> mice treated with the same vector dose. Therefore, we conclude that presence of the mutant protein does not impede the functionality of vector-derived MeCP2. This finding supports the potential translational application of augmentation gene therapy in patients with missense *MECP2* mutations.

Our initial attempts to lower toxic MeCP2 expression and/or reduce liver tropism involved modifications to the expression cassette and capsid. However, the use of putative weaker synthetic promoters and polyadenylation signals was not sufficient to avoid liver toxicity. Surprisingly, the use of an AAV9.47 capsid, which is purported to de-target the liver relative to AAV9,<sup>27,28</sup> resulted in liver pathology similar to that seen with AAV9. Therefore, we focused efforts on a

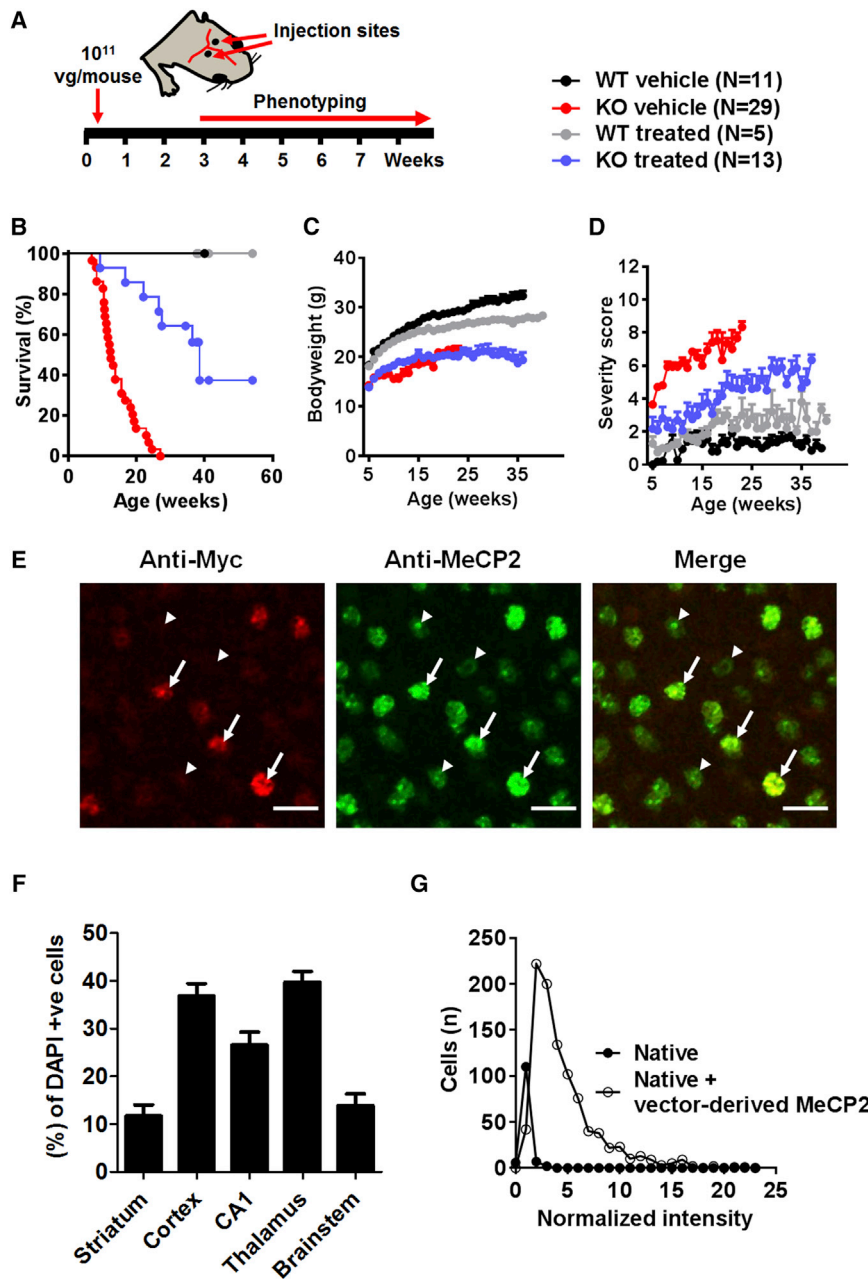


**Figure 6. Reduced Expression of Vector-Derived MeCP2 in the Livers of Mice Treated with Second-Generation Vector**

(A) Flattened confocal stack images from livers of mice 1 month after being injected intravenously at 5 weeks of age with the second-generation vector or first-generation vector at  $1 \times 10^{12}$  vg per mouse; confocal settings were the same in each case. Tissues were immunolabeled with anti-Myc and DAPI nuclear stain. Arrows indicate transduced cells (Myc-positive), and arrowheads indicate non-transduced cells. (B) Transduction efficiencies in the liver for both vectors. (C) Quantification of cellular levels of vector-derived MeCP2 measured as anti-Myc immunofluorescence in transduced cells in the liver ( $n = 3$  mice, 1,400 transduced cells). Data are presented as mean  $\pm$  SEM. (D) Frequency distribution of cellular levels of vector-derived MeCP2 in the liver, measured as in (C). (E) Liver sections stained with H&E showing vacuolation of hepatocytes (arrows) and sites of mononuclear cell infiltration (dashed circles). CV, central vein. White scale bar indicates 20  $\mu$ m. (F) Quantification of density of inflammatory foci in the livers of treated mice ( $n = 3$  per group). Data are presented as mean  $\pm$  SEM. \* $p < 0.05$ ; \*\* $p < 0.01$ ; \*\*\* $p < 0.001$ ; ns, not significant.

second-generation vector, whose design was based on the inclusion of endogenous regulatory elements that may better regulate levels of vector-derived MeCP2 in transduced cells. This included the incorpo-

ration of an extended endogenous promoter and an endogenous 3' UTR fragment. Studies analyzing the well-conserved human *MECP2* and mouse *Mecp2* promoter regions indicated the presence



**Figure 7. Direct Brain Delivery of Second-Generation Vector to Neonatal *Mecp2*<sup>-/-</sup> Mice Revealed Therapeutic Efficacy**

(A) Experimental design. KO, knockout. (B) Survival plot showing extended survival of neonatally treated *Mecp2*<sup>-/-</sup> mice (median survival = 38.6 weeks;  $p < 0.0001$ , Mantel-Cox test) compared with vehicle-treated animals (median survival = 12.4 weeks). (C and D) Plots showing mean (C) body weight and (D) aggregate severity scores, respectively, for the mice shown in (B). (E) Representative confocal images from the cortex of injected wild-type mice. White arrows indicate transduced cells; arrowheads indicate non-transduced cells; scale bars indicate 20  $\mu$ m. (F) Graph showing transduction efficiency in different brain regions ( $n = 3$  mice). (G) Frequency distribution of MeCP2 levels in transduced and non-transduced ("native") cells in the mouse cortex ( $n = 3$  mice; 954 transduced cells) data presented as mean  $\pm$  SEM.

miRNA binding sites in the 3' UTR of *MECP2*, using a number of bioinformatic tools,<sup>40-42</sup> and incorporated a compact sequence containing the binding sites of three highly conserved miRNAs known to be involved in regulation of MeCP2 in the brain; miR-22,<sup>32</sup> miR-19,<sup>33</sup> and miR-132.<sup>34</sup> Combined, these modifications significantly reduced MeCP2 expression in the liver, with subsequent reduction of the hepatotoxicity encountered with the first-generation vector. The relative importance of different modifications (elements within the extended promoter and novel 3' UTR) was not investigated. However, the efficacy of both vectors after systemic injection of moderate doses was not significantly different. The important advantage of the second-generation vector is the lack of prominent liver pathology at a dose that provides some therapeutic benefit (i.e.,  $1 \times 10^{12}$  vg per mouse). The improved survival after systemic injection, despite low brain transduction efficiency, could be due to restoration of MeCP2 levels in sufficiently numerous critical cells in the brain or due to restoration in important peripheral tissues.

of a number of putative regulatory elements within a 1-kb window immediately upstream of the transcription start site.<sup>29-31</sup> Consequently, our extended endogenous promoter (426 bp) in the second-generation vector comprised a putative silencer element at position -274 to -335, with respect to the RefSeq transcription start site (Figure S7).

An endogenous 3' UTR was also incorporated, containing the distal *MECP2* polyadenylation signal and a number of clustered putative regulatory elements.<sup>37-39</sup> In addition, we performed an analysis of

Targeting more cells in the brain through direct brain injection in mouse neonates, along with potentially greater impact via earlier intervention, led to pronounced survival enhancement at a dose ( $1 \times 10^{11}$  vg per mouse) approximately equivalent to the  $10^{12}$  systemic dose. Delivery by this direct brain injection route was associated with an improvement in body weight but, importantly, also with an improvement in RTT-like phenotype score. The improvement was not as profound as that reported in genetic reversal experiments,<sup>16</sup> and this is likely to be due to the combined effects of (1) the relative inefficiency of MeCP2 re-expression across the brain (10%-40%),



compared to genetic reversal experiments (up to 90%), and (2) the possible deleterious counteracting effects of overexpressing MeCP2 in a proportion of transduced cells. Analysis of MeCP2 levels, indeed, indicates a significant pool of cells overexpressing MeCP2, presumably transduced with multiple copies of vector delivering *MECP2*. This may also account for the slightly elevated severity score in vector-treated WT mice (Figure 7D) in the form of mild hindlimb clasping. We cannot rule out very subtle consequences of MeCP2 overexpression that may be revealed by fine-grained behavioral testing. Overall, the proof-of-concept experiments involving direct brain delivery in neonatal mice suggest that, if transduction efficiency across the brain can reach sufficiently high levels, then a behavioral improvement is conferred by this vector design.

### Conclusions

The results of the present study highlight the challenges associated with both systemic and direct brain delivery of *MECP2*. The findings suggest that achieving widespread brain expression, while at the same time maintaining cell-type appropriate control of MeCP2 levels, will be essential requirements for the successful development of a translational therapy. The development of expression cassettes capable of producing effective and sub-toxic levels of MeCP2 may overcome issues of cellular overexpression and enable direct delivery via the cerebrospinal fluid compartment. While AAV9 appears to be insufficiently efficient in terms of brain transduction after systemic delivery of *MECP2* to achieve the desired therapeutic benefit, combining the safer second-generation cassette together with capsids with improved brain penetrance<sup>43</sup> may effectively pair effective CNS gene transfer with safe levels of peripheral MeCP2 transgene expression. Such a combination would hold enhanced translational promise.

## MATERIALS AND METHODS

### Animals

All experiments were carried out in accordance with the European Communities Council Directive (86/609/EEC) and with the terms of a project license under the UK Scientific Procedures Act (1986). The *Mecp2* null, *Mecp2*<sup>tm1.1Bird</sup>, and *Mecp2*<sup>T158M</sup> mice, originally provided as a kind gift from Professor Adrian Bird, were maintained on a C57BL/6 background. Animals were maintained on 12-hr:12-hr light/dark cycles with free access to normal mouse food. Mice were genotyped as described previously.<sup>9,15</sup>

### Viral Vector Preparation

Recombinant AAV vector particles were generated at the University of North Carolina (UNC) Gene Therapy Center Vector Core facility. The scAAV particles (AAV2 ITR [inverted terminal repeat]-flanked genomes packaged into AAV9 or AAV9.47 serotype capsids) were produced from suspension HEK293 cells transfected using polyethyleneimine (Polysciences) with helper plasmids (pXX6-80 and pGSK2/9) and a plasmid containing the appropriate ITR-flanked transgene construct. All MeCP2-expressing constructs utilized the human *MECP2\_e1* coding region with a C-terminal Myc epitope tag unless stated otherwise. Virus production was performed as previously described,<sup>44</sup> and the vectors were prepared in a final formulation of

high-salt PBS (containing 350 mmol/L total NaCl) supplemented with 5% sorbitol.

### scAAV Vector Injection and Mouse Phenotyping

Frozen scAAV9 viral particle aliquots were thawed and diluted to 100  $\mu$ L in PBS/350 mmol/L NaCl containing 5% sorbitol. Control injections were made using the same diluent lacking vector (“vehicle control”). For direct brain injection into mouse neonates, littermates were sexed at birth, and direct bilateral injections of virus (3  $\mu$ L per site) were delivered into the neuropil of unanesthetized males at postnatal day (P)0–P3, as described previously.<sup>19</sup> The injected pups were returned to the home cage containing their non-injected female littermates. Genotyping was carried out at 3 weeks, at which time phenotyping was initiated. For injection into juvenile male mice, injections were made via the tail vein at 4–5 weeks of age. Following injection, all mice were weighed weekly. Phenotyping was carried out, blind to genotype and treatment, twice a week. Mice were scored on an aggregate severity scale using an established protocol (mice were scored for RTT-like phenotypes comprising mobility, gait, breathing, hindlimb clasping, tremor, and general condition).<sup>15,16,19,21</sup> For survival analysis, mice were censored after natural death or if body weight losses exceeded 20% of peak body weight.

### Vector Biodistribution Analysis

For these analyses, mice were sacrificed, blood was collected transcardially, and organs were harvested for DNA purification. Genomic DNA was recovered from tissues using the DNeasy Blood and Tissue Kit (QIAGEN). A Qiacube (QIAGEN) was used to carry out automated purifications. Genomic qPCR reactions and analysis were performed on a Roche Lightcycler 480, following the manufacturer’s instructions. For the quantification of vector biodistribution, the amount of vector genome present in each sample was standardized against an amplicon from a single-copy mouse gene, *Lmn2*, amplified from genomic DNA. *Lmn2* primers and “universal” *MECP2* primers (that amplify mouse and human *MECP2*) were published previously.<sup>19,45</sup>

### Immunohistochemistry

Mice were anesthetized with pentobarbitone (50 mg, intraperitoneally) and transcardially perfused with 4% paraformaldehyde (0.1 mol/L PBS). A vibrating microtome (Leica VT1200) was used to obtain 80- $\mu$ m sections of brain, spinal cord, and liver. Sections were dehydrated by incubation in 50% ethanol in distilled water (v/v) for 30 min and then were washed three times in 0.3 mol/L PBS, followed by incubation in 10 mM sodium citrate (pH 6, 85°C, 30 min) for antigen retrieval. Sections were then incubated in the blocking solution (5% normal goat serum in 0.3 mol/L PBS with 0.3% Triton X-100) for 1 hr at room temperature. Samples then were incubated for 48 hr on a shaker at 4°C with the following primary antibodies: rabbit anti-Myc (Abcam, ab9106), mouse monoclonal anti-MeCP2 (Sigma, WH0004204M1), and chicken anti-GFP (Abcam, ab13970). The primary antibodies were then washed off (3  $\times$  0.3 mol/L PBST), and secondary antibodies were applied to the sections overnight at 4°C: Alexa Fluor 488 goat anti-mouse/rabbit (Invitrogen; 1/500), Alexa Fluor 546 goat anti-mouse/rabbit (Invitrogen; 1/500), Alexa Fluor 649,

goat anti-mouse (Jackson ImmunoResearch Laboratories, 112-495-003JIR). Finally, sections were incubated with DAPI nuclear stain (Sigma; 1/1,000) for 30 min at room temperature before mounting with Vectashield (Vector Laboratories).

### H&E Staining

Liver samples were rinsed with 0.1 mol/L PBS and then dehydrated through ascending grades of ethanol, and they were then cleared in amyl acetate using an automated tissue processor. Specimens were embedded in Paraplast, and sections (10  $\mu$ m thick) were collected on APES (aminopropyltriethoxysilane)-coated slides and dried overnight in the oven at 37°C. Sections were then deparaffinized through two changes of Histo-Clear (Agar Scientific) for 15 min and rehydrated through descending grades of alcohol (100%, 90%, and 70%). The sections were stained with Mayer's hematoxylin for 8 min and then rinsed using tap water. The nuclei were stained blue by placing the slides into Scott's solution for 1 min and were then rinsed using tap water. Sections were then stained with 1% eosin for 2 min and washed by water. Finally, the sections were dehydrated through ascending grades of alcohol and Histo-Clear before being mounted with DPX. Images were captured using an AxioCam MRC (Zeiss) mounted on a light microscope (Zeiss).

### Image Analysis

Analysis of expression patterns, transduction efficiency, and quantification of vector-derived MeCP2 levels within nuclei was carried out on image stacks captured using a Zeiss LSM710 or Zeiss Axiovert LSM510 laser confocal microscope (Zeiss). The z series were taken at 1- $\mu$ m intervals through the section of interest using a 40 $\times$  objective. To account for antibodies' penetrability, stack images were taken close to the surface of sections to a maximum depth of 20  $\mu$ m. To estimate transduction efficiency, images were captured as described earlier, and the ratio of Myc-immunopositive nuclei to DAPI-stained nuclei was calculated for random fields (n = 12 images per region: 4 images from each of three mice) from sections of hippocampus (CA1 region), layer 5 of primary motor cortex, thalamus, hypothalamus, brain stem, and striatum. To quantify levels of vector-derived MeCP2 per nucleus in WT mice, confocal stacks (20  $\mu$ m thick) were obtained as described earlier, and ImageJ software (<http://rsbweb.nih.gov/ij/>) was used to determine mean MeCP2-channel fluorescence intensity within transduced (Myc +ve) and non-transduced (Myc -ve) cells. Fluorescence in the DAPI channel was used to define the nuclear boundary.

### Statistical Analysis

Tests for differences between treatment groups were carried out in GraphPad PRISM using one-way ANOVA, Student's t test, and the Mantel-Cox test (survival curves), as appropriate.  $p < 0.05$  was used to define statistical significance. In multi-group comparisons, multiple testing correction for pairwise tests among groups was applied using Tukey's post hoc analysis.

### SUPPLEMENTAL INFORMATION

Supplemental Information includes seven figures and can be found with this article online at <http://dx.doi.org/10.1016/j.omtm.2017.04.007>.

### AUTHOR CONTRIBUTIONS

Conceptualization, S.R.C., M.E.S.B., and S.J.G.; Methodology, S.R.C., K.K.E.G., N.G.B., and R.D.H.; Investigation, K.K.E.G., T.V., S.S., N.G.B., and R.D.H.; Writing – Original Draft, S.R.C., K.K.E.G., R.D.H., M.E.S.B., and S.J.G.; Funding Acquisition, S.R.C., S.J.G., M.E.S.B., and K.K.E.G.; Resources, R.D.H. and S.S.; Supervision, S.R.C. and M.E.S.B.

### CONFLICTS OF INTEREST

S.J.G. declares a conflict of interest with Asklepios Biopharma, from which he has received patent royalties for intellectual property that is not used in this study.

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