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The evolutionary origin of plant and animal microRNAs

Yehu Moran^{1,*}, Maayan Agron¹, Daniela Praher², and Ulrich Technau^{2,*}

¹Department of Ecology, Evolution and Behavior, Alexander Silberman Institute of Life Sciences, The Hebrew University Jerusalem, Jerusalem 91904, Israel

²Department of Molecular Evolution and Development, Centre of Organismal Systems Biology, University of Vienna, Althanstr. 14, 1090 Vienna, Austria

Abstract

microRNAs (miRNAs) are a unique class of short endogenous RNAs that became known in the last few decades as major players in gene regulation at the post-transcriptional level. Their regulatory roles make miRNAs crucial for normal development and physiology in several distinct groups of eukaryotes including plants and animals. The common notion in the field is that miRNAs have evolved independently in those distinct lineages, but recent evidence from non-bilaterian metazoans, plants, as well as various algae raise the possibility that already the last common ancestor of these lineages might have employed a miRNA pathway for post-transcriptional regulation. In this review we present the commonalities and differences of the miRNA pathways in various eukaryotes and discuss the contrasting scenarios of their possible evolutionary origin and their proposed link to organismal complexity and multicellularity.

Introduction

MicroRNAs (miRNAs) are short endogenous ~21-24 nucleotides long, single-strand RNAs derived from hairpin transcripts that regulate gene expression in both animals and plants¹⁻⁵. miRNAs repress gene expression by binding to a complementary mRNA target thereby mediating translational inhibition, degradation or cleavage³. They belong to a group of functional small RNAs, which in addition to miRNAs include short interfering RNAs (siRNAs) responsible for RNA interference (RNAi) and Piwi-interacting RNAs (piRNAs) (For review on piRNAs see⁶). While siRNAs and miRNAs share important features and are both produced by the ribonuclease Dicer⁷, they are responsible for different cellular tasks and can be discerned from one another by unique characteristics (Fig. 1). Since their discovery in *Caenorhabditis elegans* more than two decades ago^{8,9} it has become clear that miRNAs play a role in a broad variety of biological processes and are essential for normal development of animals and plants^{2,3,10,11}. The apparent rise in the number of miRNAs during the course of animal evolution and their involvement in regulating development

*Corresponding authors: Correspondence should be addressed to Yehu Moran (yehu.moran@mail.huji.ac.il) or Ulrich Technau (ulrich.technau@univie.ac.at).

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raised the possibility that they might have contributed to the evolution of animal complexity^{12,13}.

The lack of sequence homology between miRNA families in plants and animals as well as differences in miRNA biogenesis and mode of action have led to the notion that miRNAs have evolved independently in the two kingdoms from an ancient siRNA mechanism that already existed in the last common ancestor of all eukaryotes. However, recent studies relating plant and animal miRNAs unexpectedly raised again the old questions: Did the common ancestor of all animals have miRNAs? Do miRNAs of plants and animals share a common origin? How many times did miRNAs evolve? This review will attempt to re-address these questions.

Is the lack of miRNA sequence homology between distinct lineages sufficient to determine convergence of the miRNA pathway?

An early phylogenetic comparison of miRNAs revealed over 35 conserved families of miRNAs among bilaterian animals, and the pattern of conservation largely appeared to correlate with the accepted phylogeny. This led to the proposal that miRNAs are rarely lost once they have evolved^{14,15}. Consequently, since no sequence similarity was detected between animal and plant miRNAs, they were considered to have evolved independently¹⁶. This line of thought extends also to several other lineages (Fig. 2). For instance, none of the 8 miRNAs of the demosponge *Amphimedon queenslandica* is shared with other non-poriferan animals. Moreover, no conserved miRNAs could be detected between demosponge, calcareous and homoscleromorph sponges. This led to the extreme possibility that the miRNA pathway evolved convergently multiple times even within sponges^{13,17}. Further, the traditional view that sponges are the sister group to all other animal lineages is under debate as several studies point to comb jellies (Ctenophora), which lack miRNAs, being the first animal lineage to diverge^{18–20}. If Ctenophora was indeed the first animal lineage to diverge, an independent origin of animal miRNAs can be supported.

Similarly, until recently, no shared miRNAs were observed between plants and green algae, suggesting convergent origin of the miRNA pathway in these two main groups of Viridiplantae (Fig. 2)²¹. However, a new study annotating small RNAs of the liverwort *Pellia endiviifolia* revealed three miRNAs with high similarity to the green alga *Chlamydomonas reinhardtii* miRNAs^{22,23}. This emphasizes the great importance of sampling many species from each phylum in order to fully perceive the extent of miRNA sequence conservation but also highlights the inherent difficulty of annotating miRNAs with high confidence²⁴. Further, shallow sequencing depth as well as neglecting certain developmental stages and environmental conditions may mask the full miRNA repertoire of an organism and hence possible homology. Regardless of these caveats, the prevailing view is that the miRNA pathways of animals and plants evolved convergently^{14,16,21,25–28}. In fact, this would mean that the miRNA pathway evolved independently at least 9 times (in bilaterians, in cnidarians; twice in sponges; in land plants; in green algae; in brown algae, in slime molds and in excavates; Fig. 2)^{5,8,9,13,17,22,29–32}.

However, we suggest an alternative explanation: it might be possible that sequence turnover rates are high in plants and non-bilaterian animals, leaving no trace of shared miRNA sequences between contemporary lineages. In support of this scenario, plant miRNA genes are born and lost at high rates^{33,34}, hence only a handful of expressed miRNAs are conserved among distant plant lineages³⁵. Comparison of *Arabidopsis thaliana* and *Arabidopsis lyrata* small RNAs has shown that 33% of the miRNA families are not conserved between the two species, hence were gained or lost during the ~10 million years (Myr) since they diverged³³. Analysis based on genome data and small RNA sequencing from *Capsella rubella*, a very close relative of *Arabidopsis*, estimated that the net flux rate (birth–death) for miRNA genes in *Arabidopsis* is 1.2 - 3.3 genes per Myr³⁴. A high turnover rate of miRNAs is also suggested in green algae as only one miRNA is conserved between the two green algae *Chlamydomonas* and *Volvox* that separated about 200 million years ago (MYA)^{36,37}. Within Bilateria, a recent study demonstrates that despite impressive examples of conservation, miRNA loss is much more common than previously appreciated³⁸. Further, major losses of miRNAs have been reported within flatworms, a rapidly evolving lineage, perhaps as part of a morphological simplification trend³⁹. Regardless, while the turnover of most bilaterian miRNAs might be higher than initially estimated, it is still lower than that of plants and estimated at 0.8 to 1.6 genes or possibly even only 0.3 genes per Myr in *Drosophila*^{40,41}.

Insights into evolution of miRNAs from understudied eukaryotic groups

As bilaterians have preserved many conserved miRNA families in comparison to plants, it is useful to consider outgroups to bilaterians. Among the non-bilaterians, placozoans and ctenophores do not possess miRNAs¹⁸, while in the sponge *Amphimedon* only 8 miRNAs have been identified so far¹³. The phylum Cnidaria (corals, sea anemones, jellyfish and hydroids) represents the sister group of Bilateria, which has branched off about 600 MYA^{19,20}. A pioneering study of small RNAs in the sea anemone *Nematostella vectensis* indicated that this species possesses at least 40 miRNAs, yet only one of them, miR-100, is shared with Bilateria¹³. Remarkably, miR-100 is conserved almost over the full length of the miRNA, yet shifted by one nucleotide in the seed region, which was predicted to have significant consequences on the target specificity¹³. miR-100 function and its targets are not well conserved in Bilateria⁴² making its extreme sequence conservation in the sea anemone puzzling. However, lack of target conservation is true for most of the well-conserved bilaterian miRNAs, as they are frequently rewired into different genetic networks⁴³. Interestingly, sequencing of small RNAs of the cnidarian *Hydra magnipapillata* revealed 126 miRNAs with no sequence similarity to those of Bilateria (hence no evidence of miR-100) and only two shared miRNAs with *Nematostella*⁴⁴. These results suggest a rather fast turnover of miRNAs within Cnidaria and highlight the risk of misinterpreting lack of miRNA sequence homology as a lack of homology of the miRNA system.

In a recent study of *Nematostella* small RNAs obtained from several developmental stages the miRNA complement was expanded to 87 miRNAs⁴⁵. Interestingly, miR-9422 of *Nematostella* shares 16 of its positions, including the seed sequence with *Arabidopsis* miR-156a. This sequence similarity is unlikely to have occurred randomly as the chance of observing such a sequence identity between *Nematostella* and shuffled *Arabidopsis* miRNAs

is very small (random sampling P-value < 0.01)⁴⁵. As miR-156 is conserved from mosses to higher plants^{5,46,47}, its similarity to miR-9422 could be an evidence for a miRNA conserved between animals and plants, thus supporting the hypothesis that miRNAs were inherited from the last common ancestor of the two groups. Taken together, in light of the potential high turnover rate, it is questionable whether lack of sequence homology can serve as a proof for multiple cases of convergent evolution of this pathway. Hence, additional features must be taken into account.

Another indication for evolutionary homology of animal and plant miRNA pathways comes from sequencing the genome and the small RNA repertoire of the symbiotic unicellular dinoflagellate *Symbiodinium kawagutii*, an outgroup to both plants and animals (Fig. 2), which revealed many potential shared miRNAs with plants and animals⁴⁸. miRNAs have also been described in excavates, a group of unicellular eukaryotes that includes the parasites *Giardia lamblia* and *Trichomonas vaginalis*^{32,49}. Several putative miRNA families from excavates have sequence homology to conserved animal and plant miRNAs. However, unlike most plant and animal miRNAs those peculiar excavate miRNAs are produced from snoRNAs and tRNAs and exhibit some additional irregular features. Furthermore, the possibility of horizontal gene transfer from a host to its symbiont or parasite could be an alternative explanation for the potential homology in these cases. Thus, further confirmation of these data from dinoflagellate and excavates is required. Yet, if those small RNAs are verified as bona fide miRNAs, this will be of great importance because it will strongly support the placement of the pathway's origin before the split of plants and animals.

The biogenesis of miRNAs and its potential role in clarifying the pathway's evolutionary history

The discussion whether plant and animal miRNA pathways evolved in a common ancestor or independently from an ancient RNAi mechanism must include the differences and similarities in miRNA biogenesis (Fig. 3). miRNAs are synthesized as primary hairpins (pri-miRNAs) and are processed to pre-miRNAs by cutting the hairpin stem, followed by cleaving the hairpin loop^{3,7}. Next, they are loaded on to an Argonaute (AGO) protein and one RNA strand is selected for complementary target mRNA inhibition or cleavage^{3,7}. In animals, the first step is conducted by a specialized microprocessor complex comprised of the RNase III Drosha with the aid of the RNA binding protein Pasha (DGCR8 in vertebrates), while the second step of cleavage is performed by the RNase III Dicer (Fig. 3)⁷. In plants, the Dicer homolog, DICER-LIKE 1 (DCL1), is responsible for both processing events required for miRNA maturation, conducting the two exact same steps in the same order^{2,28}. In both plants and animals Dicer is crucial for processing the precursor miRNA (pre-miRNA) into mature miR/miR* dsRNA duplexes^{2,7,25,50}. However, Dicers are common in many eukaryotes and also take part in non-miRNA activities such as RNAi via endogenous siRNA biogenesis and viral defence^{25,51} and therefore cannot be used as an argument for the common origin of miRNAs. Phylogenetic and structural analyses indicate that animal Drosha proteins are related to plant and animal Dicers, suggesting that in animals Drosha may have evolved following a duplication of the common ancestor of Dicer and Drosha and further specialised in the first processing step of miRNAs^{25,52}. A very

recent study found that miRNAs in *Chlamydomonas* are processed by DICER-LIKE3 (DCL3), a Dicer homolog that exhibits a domain organization similar to that of Drosha⁵³. This finding hints at a possible evolutionary link between miRNA biogenesis in green algae and animals.

Both Drosha and Pasha homologs were found in Cnidaria^{13,54} and in two sponge lineages^{13,17}, for which independent evolution of the miRNA pathway has been proposed. Thus, Drosha and Pasha were already present in the common ancestor of all sponges, Cnidaria and Bilateria and likely served miRNA-related functions, although their involvement in the miRNA pathway in the first two lineages remains to be shown. If Drosha and Pasha have an ancestral function in miRNA processing in these lineages, the only explanation for the lack of homology of miRNA sequences in distinct sponge lineages would be high turnover rates of miRNA genes (see above). Nevertheless, it should be noted that Drosha and Pasha are known to be involved also in non-miRNA related functions in mammalian cells that range from ribosomal RNA maturation to cleavage of specific mRNAs (reviewed in⁵⁵). The tendency to associate the presence of the microprocessor components with the presence of miRNAs in basally-branching lineages may thus be misleading.

The site of miRNA biogenesis seems to differ between plants and animals. In plants, both processing steps by DCL1 take place in the nucleus, while in animals the first step performed by Drosha occurs in the nucleus and the second cleavage by Dicer occurs in the cytoplasm^{3,16}. However, several studies in animals reported the presence of Dicer in the nucleus (reviewed in⁵⁵). Whether the nuclear localization of Dicer in animals is a relic of an ancient miRNA-processing pathway or a secondary adaptation is an open question.

In plants and animals Dicer requires protein partners in order to accurately cleave pre-miRNAs (Fig. 3)^{2,7}. In plants, DCL1 is assisted by the RNA binding proteins SERRATE (SE) and HYPOPLASTIC LEAVES1 (HYL1), both crucial for miRNA biogenesis and development^{2,56,57}. While a SE homolog, Ars2, is known in animals as a partner of the microprocessor and Dicer⁵⁸, no HYL1 homologs were found in bilaterian animals. These differences in the biogenesis were taken as additional evidence for an independent evolution of plant and animal miRNAs. However, homologs for both SE and HYL1 were recently reported in the sponge *Amphimedon* and in several cnidarians including *Nematostella*, all possessing dsRNA binding motifs⁵⁴. This suggests that a HYL1-like protein was present in the last common ancestor of plants and animals and was lost in multiple lineages, including Bilateria⁵⁴. The lack of any known animal Dicer partners such as Loquacious (Loqs), TRBP or PACT^{7,59-61} in *Nematostella*⁵⁴ suggests that HYL1 may constitute a Dicer partner in Cnidaria.

The miR/miR* duplexes in both plants and animals are similar: they are ~22nt-long with imperfect complementarity between the two strands and a 2nt 3'-overhang^{2,3,7}. However, unlike in bilaterian animals, the stem-loop precursor in plants is long and variable¹⁶. Interestingly, sponges and slime molds present longer pre-miRNAs than their bilaterian counterparts^{13,30}. Those longer and more variable miRNA precursors are reminiscent of siRNA precursors. Interestingly, the miR-2024 family in *Nematostella* has members that are bona fide miRNAs whereas other members of the family show processing variability that is

typical for siRNAs (Fig. 1b and c)⁴⁵. This suggests that transformation of a miRNA into a siRNA or vice versa can happen in animals like in plants⁶² and that the siRNA-like features of some miRNAs are not sufficient in order to rule out a common origin for plant and animal miRNAs.

Differences can also be found in the genetic structure of miRNA genes in plants and animals. In animals, roughly 50% of miRNA genes are located in clusters, often comprised of different mature miRNAs^{16,63}. In plants, fewer cases of miRNA clusters are found, mostly encoding miRNAs of the same family with noticeable homology¹⁶⁶⁴. Nevertheless, a few clusters of non-homologous miRNAs were discovered in plants, predicted to target related proteins⁶⁴. Interestingly, the sea anemone *Nematostella* has only two repetitive clusters, both of miR-2024, similarly to plants⁴⁵. Hence, it seems that while having different frequencies, the same genomic topologies can be found in both kingdoms.

Another genomic pattern separating animal miRNAs from those of plants is the location of miRNA genes. Approximately 30% of animal miRNA genes are located in introns of pre-mRNAs^{16,65}. In contrast, only three tested examples of intronic miRNAs are known so far in plants^{66–68}. The hypothesis that this distinction supports an independent origin of miRNA is undermined by a recent study on the green alga *Chlamydomonas*. This study found that unlike in plants, 50% of the miRNAs in *Chlamydomonas* are embedded within introns of protein-coding genes, similar to animals⁵³. These results can support a common origin of the animal and plant miRNA pathways, assuming higher plants lost this genomic feature.

In both plants and animals, binding of small RNAs to extensively complementary target RNAs induces degradation of the small RNA by adenosine or uracil addition ('tailing') and 3'-to-5' exonucleolytic decay ('trimming')^{1,69}. siRNAs in flies and PIWI interacting RNAs (piRNAs) in germ cells of all animals are protected from these processes by 2'-*O*-methylation of their 3' ends performed by the methyltransferase Hua enhancer 1 (HEN1)^{1,27}. In plants, HEN1 is responsible for the methylation of both siRNAs and miRNAs^{1,2,70}, while bilaterian miRNAs do not undergo this modification²⁷. Interestingly, HEN1 was found to be expressed throughout the body in *Nematostella*⁵⁴ and not only in germ cells, suggesting a non-restricted function. Moreover, periodate treatment proves that a major fraction of the *Nematostella* miRNAs is methylated, similar to plants^{13,45}. One can speculate that the common ancestor of animals and plants possessed methylated miRNAs, a feature later lost in bilaterians possibly due to the loss of high complementarity between miRNAs and their targets (see next section).

The mode of action of miRNAs varies between plants and animals

In both plants and animals siRNAs and miRNAs require a class of AGO proteins to execute gene regulatory functions^{71–73}. The mature miRNA duplex is loaded onto AGO, a core component of the RNA induced silencing complex (RISC) (Fig. 3)^{3,74–76}. The guide RNA strand is selected by AGO and directs RISC to the complementary RNA transcript³. AGO proteins are conserved throughout all classes of life, from archaea and bacteria to eukaryotes, where they participate mostly in small non-coding RNA related

mechanisms^{25,71,77–80}. Furthermore, the four structural domains of AGO proteins and their endonuclease and RNA-binding activity are highly conserved^{71,74}. Target slicing by siRNA-guided AGO is used in a wide variety of organisms to protect cells from viruses and transposons⁸¹. In plants, in addition to those activities AGO proteins can cleave mRNA targets, thus controlling their expression. However, this gene regulation mechanism requires a miRNA guide loaded into the AGO protein³. Similar to siRNAs, plant miRNA target binding requires a nearly-full complementarity between the miRNA and its target, leading to the endonucleolytic cleavage of the target by AGO between position 10 and 11 of the miRNA, often resulting in a strong effect on a small number of targets^{1,82–84}. The AGO proteins of prokaryotes that usually target foreign DNA also cleave their targets at the same position as plant AGOs, suggesting this is an ancient mode of action^{77,85,86}. In contrast, in bilaterians, every miRNA potentially regulates a large number of targets because miRNA-mRNA recognition requires only a 7 nucleotides "seed" sequence, residing in positions 2-8 of the miRNA (Fig. 4)⁴. Unlike in plants, the vast majority of animal AGOs do not induce miRNA target cleavage. Instead, animal RISC induces translational repression of targets by blocking translation initiation or elongation or by deadenylation^{1,74}. This mode of action results in relatively weak modulation of less than 2-fold both at the RNA and protein levels^{87,88}. An advantage of translational repression is its reversibility that allows rapid expression of existing blocked mRNAs in a given condition, time or location^{89,90}. The destabilization and translational inhibition of targets is not performed directly by AGO, but by a partner protein known as GW182 in *Drosophila* or TNRC6 in vertebrates^{91–94}. Interestingly, GW182 proteins evolve very rapidly, making detection of homology even within bilaterians quite challenging⁹⁵.

The degree of complementarity between the miRNA and its mRNA target has been considered as a major factor in determining the mode of target repression. High complementarity, as seen in plants, promotes target cleavage by AGO, while seed-matching leads to translational inhibition and is the common mode of action of bilaterian miRNAs^{74,92,96}. The boundaries between plant and animal miRNA mode of action are becoming somewhat more blurry as there is more translation inhibition in plants than previously appreciated^{83,84,97,98}. However, even this type of inhibition requires nearly full complementarity as short matches limited to the seed region were shown to confer no target inhibition in plants^{83,84}. Surprisingly, miRNA-mediated translational inhibition in plants also depends on a GW-repeat protein called SUO⁹⁹. GW182 of animals and SUO of plants do not share detectable homology. However, it is tempting to consider the possibility of a common origin of those two proteins that cannot be detected anymore due to the extremely fast evolution of the GW182 family.

In Bilateria there are only a handful of examples for miRNA mediated target cleavage due to full complementarity of the miRNA to the target^{100,101}. For instance, in a genome-wide screen, only a single transcript was shown to be cleaved by a perfectly-matching miRNA in *C. elegans*⁶. Further, the majority of AGO proteins in Bilateria have lost their endonucleolytic activity^{74,102,103}. However, endonucleolytic activity of the vertebrate AGO2 protein was shown to be evolutionary conserved due to its role in processing a single miRNA, miR-451, which cannot be detected by Dicer^{43,104,105}. Thus, it is plausible that the conservation of this AGO activity in vertebrates is unrelated to target regulation. These are

strong indications that target cleavage is far from being a major mechanism of action of bilaterian miRNAs. Recently, *Nematostella* miRNAs were reported to induce target cleavage by nearly perfect complementarity, more resembling siRNAs and plant miRNAs than bilaterian miRNAs⁴⁵. Unlike in Bilateria, the target cleavage mechanism seems to be common in Cnidaria as it is also present in *Hydra*, a cnidarian that diverged from *Nematostella* at least 550 MYA⁴⁵. The fact that both Cnidaria and plants use target cleavage mechanism combined with the existence of siRNAs in fungi, plants and animals suggest that cleavage was the ancestral form of small RNA action. The presence of a cleavage mechanism in sponges, the only other non-bilaterian lineage with miRNAs, would strongly support this view. While experimental evidence is still missing, at least several of the eight miRNAs identified in *Amphimedon queenslandica* show high complementarity to putative target mRNAs. Additionally, the origin of the miRNA systems in the siRNA mechanism, which is based on slicing, by itself suggests that high complementarity is the ancestral state of the miRNA mode of action. This is also supported by the fact that seed matching does not promote target inhibition in plants^{83,84}. Those mechanistic differences indicate that AGO holds the guide strand very differently in plants and bilaterian animals leading to different binding characteristics¹⁰⁶. It seems that seed matching is a derived state that appeared in the last common ancestor of all bilaterians. It is likely that this mode of action allowed animals to expand their regulatory networks as one miRNA can potentially inhibit hundreds of targets (Fig. 4)^{4,32}. Those complex networks involving miRNAs might be a reason for the lower turnover rates of miRNAs in bilaterians since the loss of a single miRNA might affect the expression level of numerous targets.

miRNAs and the evolution of complex organisms

In both animals and plants gene regulation by miRNAs allowed organisms to develop more complex gene regulatory networks^{4,107}. Further, miRNAs were theorized by various authors to drive evolution to a multicellular state, making it possible for complex organisms to evolve^{3,12–15}. To assess this theory, one needs to look into the evolution of multicellularity and the correlation between the miRNA repertoire and the complexity of an organism. Multicellular life forms had evolved from a unicellular ancestor independently in multiple lineages leading to fungi, animals, plants and various algae^{108,109}. In all cases, it was speculated that this transition required the evolution of cell adhesion molecules, cell communication, co-operation between cells and cellular differentiation (division of labour)^{108,110,111}. miRNAs in both plants and animals are known to be involved in pathways related to multicellularity such as development timing^{112–114}, differentiation^{36,115–118} and morphogenesis¹⁰. Additionally, in multicellular organisms "fine tuning" of gene expression by miRNAs can lower phenotypic variation ("canalization") among individuals in a population and even among cells, thus reducing conflicts between cells of different genetic background^{12,110,119,120}. Nevertheless, their connection to the evolution of multicellularity is at best a mere correlation. Indeed, many multicellular organisms including plants and animals possess miRNA regulatory mechanisms. However, miRNAs were found in unicellular organisms such as the green alga *Chlamydomonas reinhardtii*^{22,29} and the reef-building coral dinoflagellate endosymbionts *Symbiodinium microadriaticum*¹²¹ and *Symbiodinium kawagutii*⁴⁸. This proves that the presence of

miRNAs does not necessarily predict multicellularity. It can be hypothesized that miRNAs may be part of the genetic toolkit allowing the transition to multicellularity under the right environmental conditions. For example, it was demonstrated that under strong artificial settlement selection *Chlamydomonas* can develop a multicellular life stage¹²², suggesting that ecological conditions are as important as genetic potential on the way to multicellularity.

miRNAs are not mandatory for multicellularity as there are multicellular organisms that do not possess miRNAs such as fungi¹²³, placozoans¹³ and ctenophores¹⁸. In the case of ctenophores, it is possible that their extraordinary large collection of RNA-binding proteins substitutes the functions that miRNAs cover in other animals, allowing this phylum to produce complex cell types such as muscles and neurons²⁰. Is the loss of complex, allegedly integral features feasible? For example, it was suggested that sponges and Placozoa might have lost their nervous system for an adaptive advantage⁷². A similar logic can explain the possible loss of miRNAs in organisms such as fungi, Placozoa or choanoflagellates. An example for advantageous loss of the RNAi components in fungi can be found in a study on the yeast *Saccharomyces cerevisiae*. The study demonstrated that several strains that had lost RNAi became more susceptible to killer virus infection when RNAi components were restored⁷³. If losing the entire RNAi machinery is possible, we propose that losing only miRNAs must be a possibility as well. Despite of all this, the alternative scenario arguing that miRNAs evolved independently in plants, animals and other clades cannot be ruled out.

It has been suggested that the relative number of miRNAs is in correlation with the relative level of organism morphological complexity. While it is generally difficult to define complexity, miRNA complements might have contributed to the complexity of gene regulation^{13–15,124–126}. For example, sea anemones and humans possess ~80 and ~500-1000 miRNAs, respectively (but see also a recent higher count for humans in¹²⁷)^{13,24,45}. The abundance of miRNAs in human in relation to the sea anemone may help explain how despite high similarity in their genome and protein-coding genes humans have evolved a much more complex body form and cell type diversity than the relatively simple sea anemone^{13,128}. However, on closer inspection it seems that no strong correlation actually exists between the number of miRNAs and organismal complexity. For example, sea anemones, annelids and fruit flies have a similar number of miRNA families (~80, ~105 and ~110, respectively) despite differences in morphological complexity^{14,45,126,129}. Moreover, the thalliform multicellular brown alga *Ectocarpus* possesses over 60 miRNA families despite its low complexity¹⁴. Yet, instead of the number of miRNAs, one should consider the number of targets per miRNA. We hypothesize that the "seed" target recognition approach in bilaterian animals, where each miRNA recognizes and regulates many targets, allowed the evolution of highly complex and interwoven regulatory networks (Fig. 4), which may have contributed to cell type diversification during evolution.

Conclusion and future prospects

The rapidly expanding field of non-coding RNA research allows us to constantly re-evaluate the evolutionary origin of miRNAs. This review presents claims for and against a common origin of miRNA in plants and animals. Given the fast turnover in miRNA sequences and significant loss of miRNAs in both plants and animals^{33,34,38}, we consider the lack of

miRNA sequence homology known today as not sufficient to conclude on convergent evolution. Instead, the available information provides support for the possibility that the last common ancestor of plants and animals did possess a miRNA system (Fig. 5).

Of course, we cannot rule out convergent evolution. If indeed miRNAs have evolved independently at least 9 times among eukaryotes (Fig. 2), it is intriguing to consider what is so unique about them that will support the evolutionary pressures required to allow convergence of such a magnitude. Ctenophores certainly demonstrate that an animal with a wide variety of cell types, including neurons and muscles can exist in the absence of this class of small RNAs¹⁸ and single-celled green algae demonstrate that miRNAs are not exclusive for “complex” multicellular organisms²⁹. Further, the lack of classic RNAi components in some fungi and the loss of DGCR8/Pasha in Placozoa^{13,105} suggests that losing the protein machinery of the miRNA system is possible (Fig. 5)¹³.

Taken together, we can suggest two alternative hypotheses regarding the evolution of miRNAs: 1) The miRNA pathways and their mode of action via slicing originated convergently from siRNA mechanisms in the plant and several animal lineages and a system based on seed-matching of miRNA to its targets evolved later, after bilaterians diverged from the rest of animals. 2) The common ancestor of plants and animals already had a miRNA system acting via slicing that was recruited from the siRNA system. Still, also in this scenario bilaterian animals have evolved a derived system based on seed-matching. In both scenarios the siRNA system evolved to protect cells from viruses and transposons and was adapted to post-transcriptional regulation of gene expression by targeting mRNAs.

Given the recent new findings, we favor the hypothesis of a common origin of miRNAs in plants and animals (Fig. 5). To further test this idea, biochemical and genetic methods are required to map the mode of action and the biogenesis pathway of miRNAs in groups beyond bilaterian animals and higher plants. We believe that some of the key points to study in the near future should be:

- Test whether miRNAs in basally-branching lineages such as sponges or algae cleave their targets.
- Test whether the cnidarian HYL1 proteins, known to be involved in miRNA biogenesis in plants, are also involved in biogenesis of miRNAs in Cnidaria.
- Assess the impact of the slicing versus the seed-matching mechanism on the gene regulatory networks in animals and plants.
- Test whether homologous miRNA-related proteins in algae are indeed functional in the miRNA pathway.
- Sequence small RNAs at an adequate depth from more eukaryotic lineages and annotate them as miRNAs according to widely accepted guidelines to reveal pattern of evolutionary turnover.

When those five points are met, we might get a better understanding of the evolution of the miRNA pathway in eukaryotes and answer the question of how ancient is this system.

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strand (miRNA*). **c**, Small RNA profiles along an siRNA precursor sequence, here exemplified by miR-2024c of *N. vectensis*. This siRNA locus was originally annotated as miRNA, but later determined to be an siRNA due to the fact it gives rise to multiple small RNAs⁴⁵. The x-axis in **b**) and **c**) indicates the position along the hairpin sequence with paired (brackets) and unpaired nucleotides (dots). ppm = parts per million. (**b** and **c**) modified from⁴⁵, with permission.

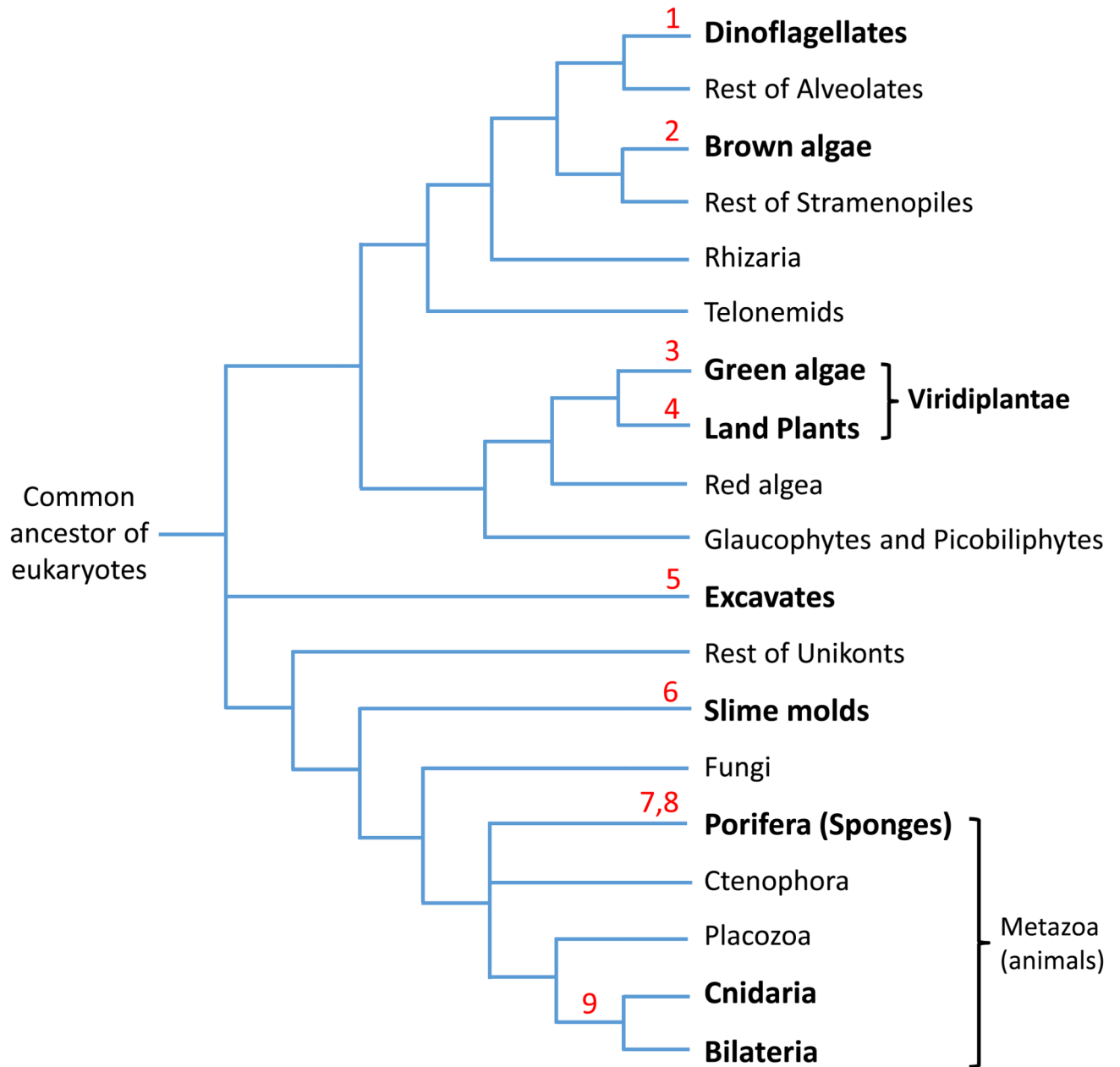


Figure 2. Phylogenetic tree of the major eukaryotic groups showing presence of miRNA systems. Groups known to possess miRNAs are depicted in bold. Numbers in red count from top to bottom the maximum number of times miRNA systems evolved convergently. The phylogeny is based on ⁸⁵.

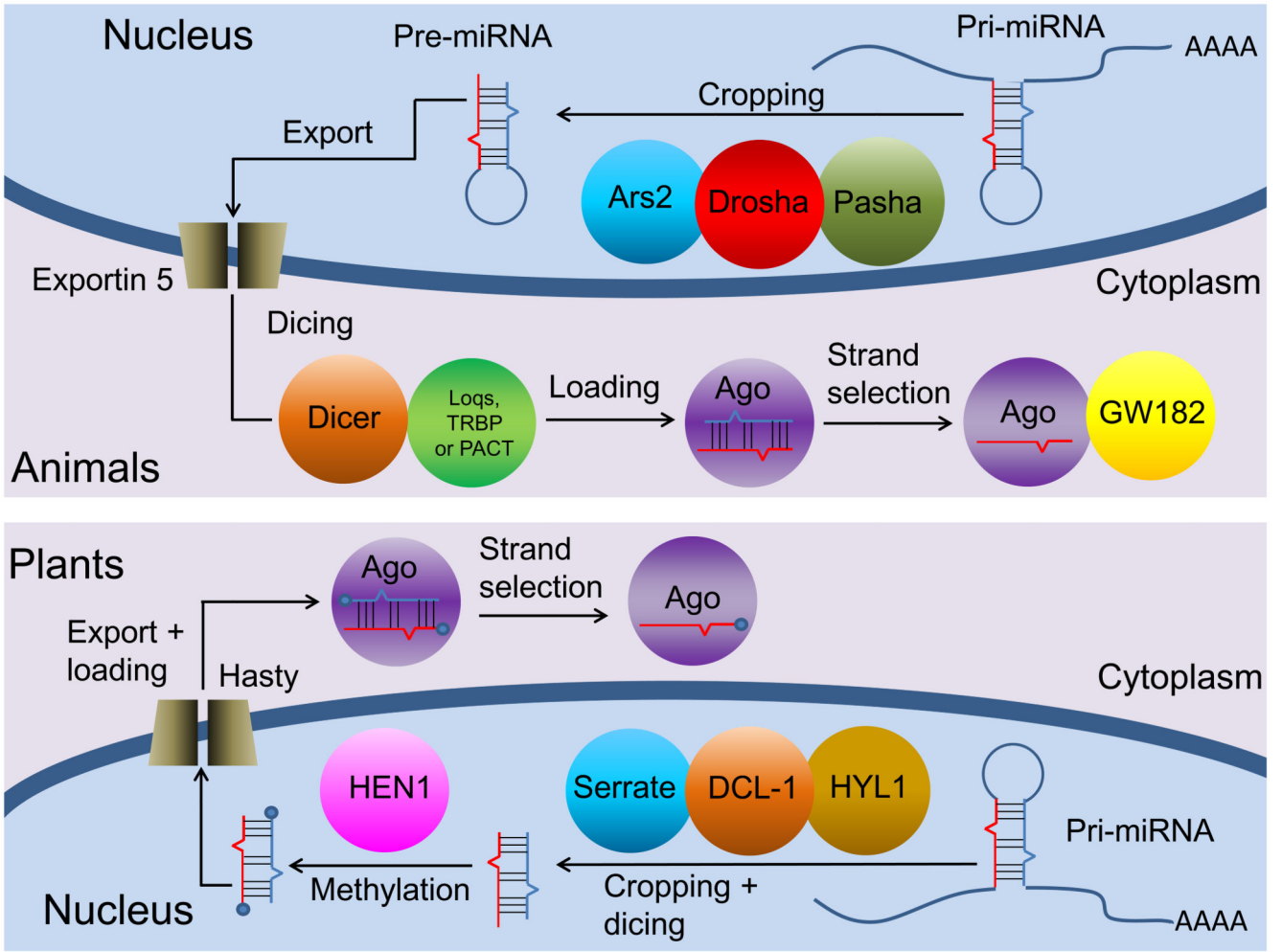


Figure 3. A scheme describing the plant and animal canonical miRNA biogenesis pathways. Homologs carrying similar functions such as Ars2 of animals and Serrate of plants are represented in the same color. This figure is modified after ⁵⁴ with permission.

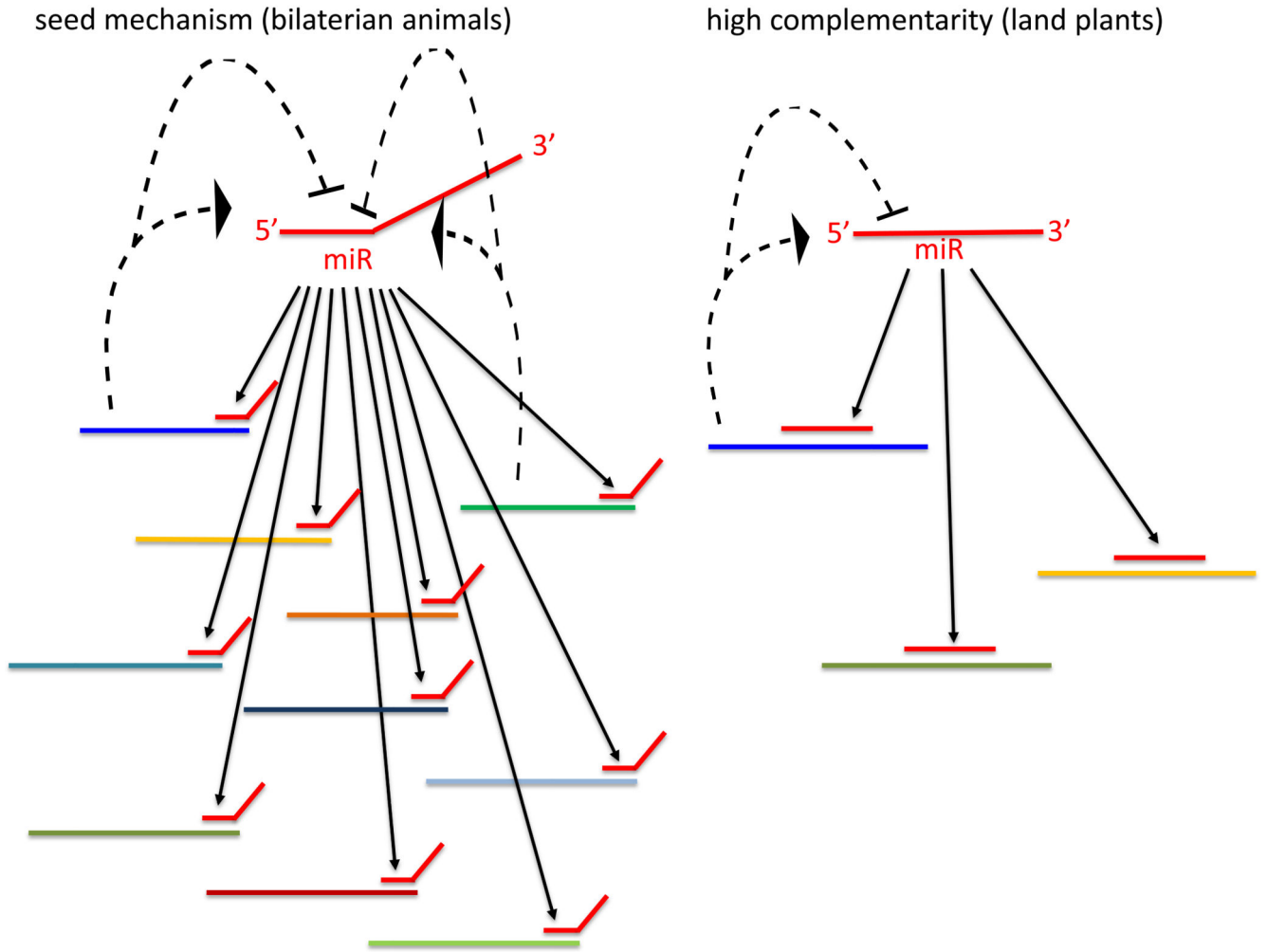


Figure 4. Schematic comparison of miRNA network topology in land plants and bilaterian animals. Solid lines represent inhibition of targets by miRNA either by seed match (bilaterian animals) or by nearly-full complementarity (land plants). Dotted lines represent reciprocal effect of targets on miRNAs.

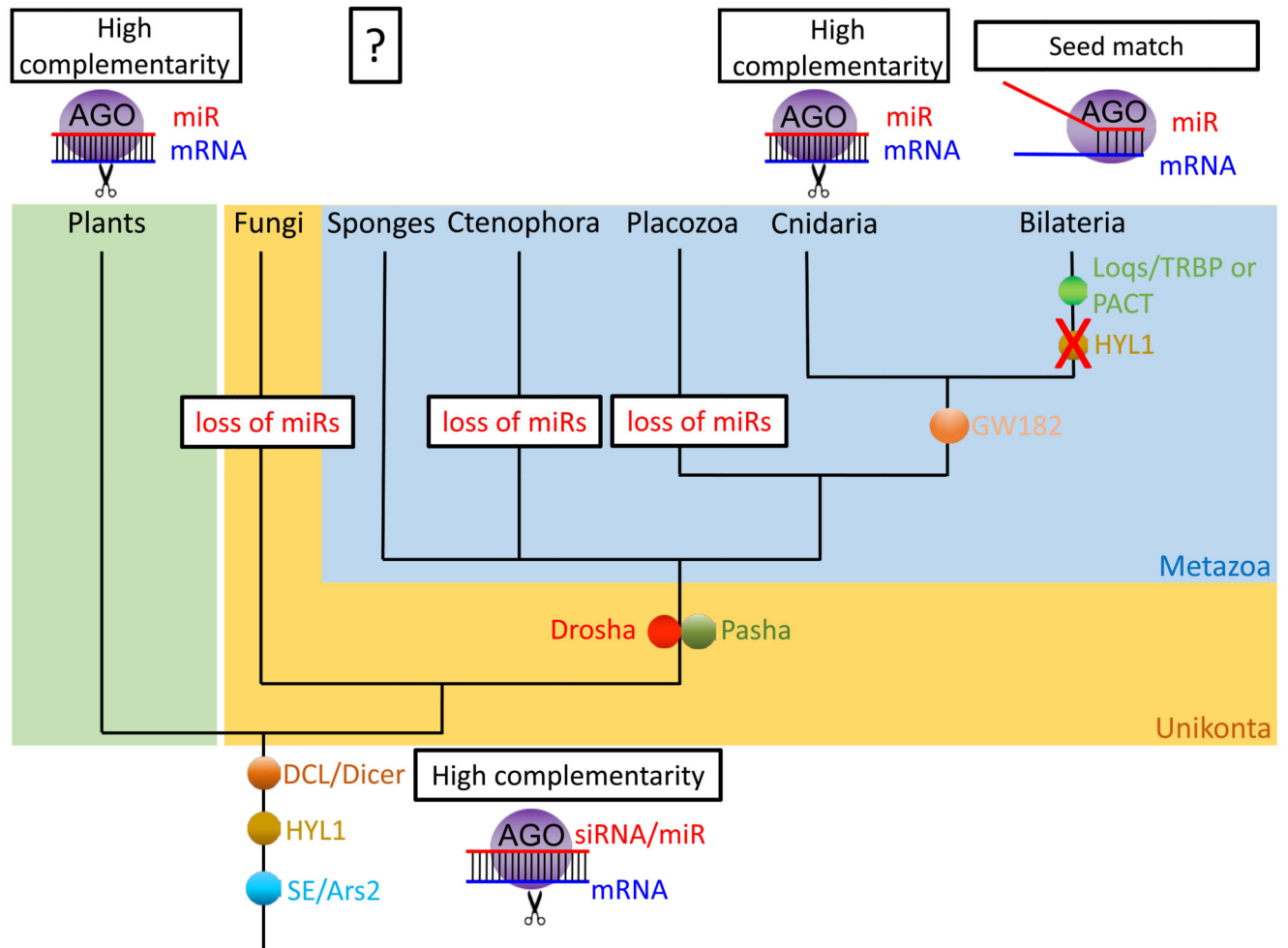


Figure 5.

A possible scenario of miRNA evolution in plants and animals where their last common ancestor possessed a miRNA system. Appearances and losses of proteins and traits are depicted on the relevant branches.