

ORIGINAL ARTICLE

Seasonal patterns in Arctic prasinophytes and inferred ecology of *Bathycoccus* unveiled in an Arctic winter metagenome

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Prasinophytes occur in all oceans but rarely dominate phytoplankton populations. In contrast, a single ecotype of the prasinophyte *Micromonas* is frequently the most abundant photosynthetic taxon reported in the Arctic from summer through autumn. However, seasonal dynamics of prasinophytes outside of this period are little known. To address this, we analyzed high-throughput V4 18S rRNA amplicon data collected from November to July in the Amundsen Gulf Region, Beaufort Sea, Arctic. Surprisingly during polar sunset in November and December, we found a high proportion of reads from both DNA and RNA belonging to another prasinophyte, *Bathycoccus*. We then analyzed a metagenome from a December sample and the resulting *Bathycoccus* metagenome assembled genome (MAG) covered ~90% of the *Bathycoccus* Ban7 reference genome. In contrast, only ~20% of a reference *Micromonas* genome was found in the metagenome. Our phylogenetic analysis of marker genes placed the Arctic *Bathycoccus* in the B1 coastal clade. In addition, substitution rates of 129 coding DNA sequences were ~1.6% divergent between the Arctic MAG and coastal Chilean upwelling MAGs and 17.3% between it and a South East Atlantic open ocean MAG in the B2 Clade. The metagenomic analysis also revealed a winter viral community highly skewed toward viruses targeting *Micromonas*, with a much lower diversity of viruses targeting *Bathycoccus*. Overall a combination of *Micromonas* being relatively less able to maintain activity under dark winter conditions and viral suppression of *Micromonas* may have contributed to the success of *Bathycoccus* in the Amundsen Gulf during winter.

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Introduction

Over half of global photosynthetic production occurs in the oceans, with picophytoeukaryotes (0.8–3 µm diameter) frequently accounting for much of this production (Jardiller *et al.*, 2010; Forest *et al.*, 2011), and phytoplankton biomass (Li, 1994; Worden *et al.*, 2004; Dasilva *et al.*, 2013). Picophytoeukaryotes are phylogenetically diverse and include heterokonts, haptophytes, cryptophytes and about a third are in the chlorophyte chlorophyll *b* lineages. Among these are the Mamiellophyceae within the polyphyletic marine prasinophytes (Vaulot *et al.*, 2008). The Arctic Ocean and shelf seas are unusual compared with more temperate seas, in that outside of spring

blooms, phytoplankton pigment studies have highlighted a surprisingly high proportion of chlorophyll *b* phototrophs (Vidussi *et al.*, 2004; Coupel *et al.*, 2015). While the heterokont *Phaeocystis* is reported in the picophytoeukaryote fraction of Atlantic influenced waters of Fram Strait in the European Arctic (Kilias *et al.*, 2014), over the summer and autumn throughout much of the Arctic, including at the North Pole, a single mamiellophyte represented by the cultured strain *Micromonas* sp. CCMP2099 (Lovejoy *et al.*, 2007; Balzano *et al.*, 2012; Zhang *et al.*, 2015) dominates picophytoeukaryotes. A second mamiellophyte, *Bathycoccus prasinus*, is also consistently reported from Arctic marine waters but as a minor community constituent (Lovejoy and Potvin, 2011; Balzano *et al.*, 2012). *Ostreococcus*, which is the smallest known photosynthetic microbial eukaryote is also in the Mamiellophyceae, but has never been reported from the Arctic.

Species occurrences are also influenced by differential loss processes, for example, grazability

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(Apple *et al.*, 2011), and viral burden (Mojica *et al.*, 2016). In particular, prasinoviruses (Phycodnaviridae) are thought to exert control over Mamiellophyceae (Clerissi *et al.*, 2014). Given the predominance of Mamiellophyceae in the Arctic, prasinoviruses could contribute to species sorting in Arctic waters, and the prevalence of a single *Micromonas* ecotype represent populations with resistance to prasinoviruses as described by Thomas *et al.* (2011).

Micromonas and *Bathycoccus* are often reported from other oceanic regions (Monier *et al.*, 2016; Simmons *et al.*, 2016) and the persistent co-occurrence of the two genera could be enigmatic. They are similar in size (1 to 2 μm), with a single mitochondrion and a single chloroplast. However, observations of live cells and electron microscopy reveal striking morphological differences, with *Micromonas* having a single distinct flagellum and no scales, whereas *Bathycoccus* has no flagella, but is covered in organic 'spider web' scales (Eikrem and Thronsen, 1990). There are also marked differences in the known phylogenetic diversity of the two genera; *Bathycoccus* is much less diverse than *Micromonas*, which could be an evidence of alternative evolutionary adaptive strategies. *Bathycoccus* consists of two clades (Simmons *et al.*, 2016), based on the internal transcribed spacer 2 region (ITS2) with clade B1 proposed to be adapted to coastal environments and B2 to the open ocean (Vaulot *et al.*, 2012; Monier *et al.*, 2013). The two clades could be separate species, as ITS2 variation can indicate sexual incompatibility (Amato *et al.*, 2007; Coleman, 2007; Kaczmarek *et al.*, 2009) and potential speciation (Isaka *et al.*, 2012). However, the genus remains monospecific with *B. prasinos* the sole valid species to date. Until recently *Micromonas* was also a monospecific genus, however phylogenies of 18S rRNA and other genes convincingly show that *Micromonas* consists of at least five well-supported clades and several subclades (Šlapeta *et al.*, 2006; Worden *et al.*, 2009). Recently, van Baren *et al.* (2016) compared the two *Micromonas* strains with complete genomes available RCC299 in Clade A and CCMP1545 in Clade D (Šlapeta *et al.*, 2006) and elevated clade A to species level, described as *M. commoda*. The remaining clades of *M. pusilla*, including the Arctic *Micromonas* in clade E2 (Simmons *et al.*, 2015) are in need of similar taxonomic treatment.

In the Arctic Ocean, winter darkness and early spring sea-ice cover precludes photosynthesis, but small algae persist in surface waters over winter even during the Polar Night (Marquardt *et al.*, 2016). In a study in Franklin Bay (Amundsen Gulf, Canada), *Micromonas*-like cells were detected via epifluorescence microscopy throughout the winter and began exponential growth under ice in late winter (Lovejoy *et al.*, 2007). Yet, it is often challenging to separate *Bathycoccus* and *Micromonas* using microscopy alone and the contribution of *Bathycoccus* to the Arctic winter phytoplankton remains unknown.

Here we mined high-throughput (HTS) amplicon tag libraries targeting the V4 region of the 18S rDNA gene (rDNA) and 18S rRNA (rRNA) to identify prasinophytes collected from November through July in Amundsen Gulf. Prasinophyte reads were identified to at least the level of genus, with *Micromonas* classified to the clade level using a curated 18S rRNA reference database (Lovejoy *et al.*, 2016). To gain additional insight into the winter prevalence of *Bathycoccus* and *Micromonas*, we interrogated a metagenome from a sample collected in the same region on 13 December 2007. To have a clearer perception of the genetic variability of this globally distributed genus, we compared *Bathycoccus* from the Arctic metagenome to the published *Bathycoccus* genome (strain Ban7, RCC1105; Moreau *et al.*, 2012) and to other available *Bathycoccus* metagenome assembled genomes (MAGs). To evaluate the viral community that could potentially influence the survival of Mamiellophyceae, we searched for prasinoviruses in the Arctic metagenome.

Materials and methods

Field sampling

Sampling was carried out during the International Polar Year Circumpolar Flaw Lead study in Amundsen Gulf, Canadian Beaufort Sea, from November 2007 to July 2008 (Figure 1). The samples were collected every 2–4 weeks as described in Terrado *et al.* (2011) from the surface Polar Mixed Layer (~10 m depth) and from the top of the halocline that separates the Polar Mixed Layer from Pacific Water (Supplementary Table S1), which is where the subsurface chlorophyll maximum layer forms in summer (Monier *et al.*, 2015). The samples for amplicon tag HTS were collected and preserved as in Terrado *et al.* (2011). For the metagenome, 7 liters of water from 10 m was collected on the 13 December 2007 (Supplementary Table S1).

Extraction, library preparation and sequencing

DNA and RNA were extracted from two size fractions (3–50 μm and 0.2–3 μm) as in Terrado *et al.* (2011). The V4 region of the 18S rRNA was targeted for HTS as in Comeau *et al.* (2011) using previously reported primers E572F (CYG CGG TAA TTC CAG CTC) and E1009R (CRA AGA YGA TYA GAT ACC RT). With an aim to retrieve the entire microbial eukaryotic community, small and large fractions were mixed based on the size-fractionated chlorophyll (Chl *a*) concentrations for a given date (Supplementary Table S2) and sequenced at the Université Laval Plate-forme d'Analyses Génomiques using the 454-GS-FLX (Roche, Branford, CT, USA). The resulting reads were processed using packages implemented in Quantitative Insights into Microbial Ecology (QIIME, Caporaso *et al.*, 2010a). The samples were demultiplexed, primers trimmed,

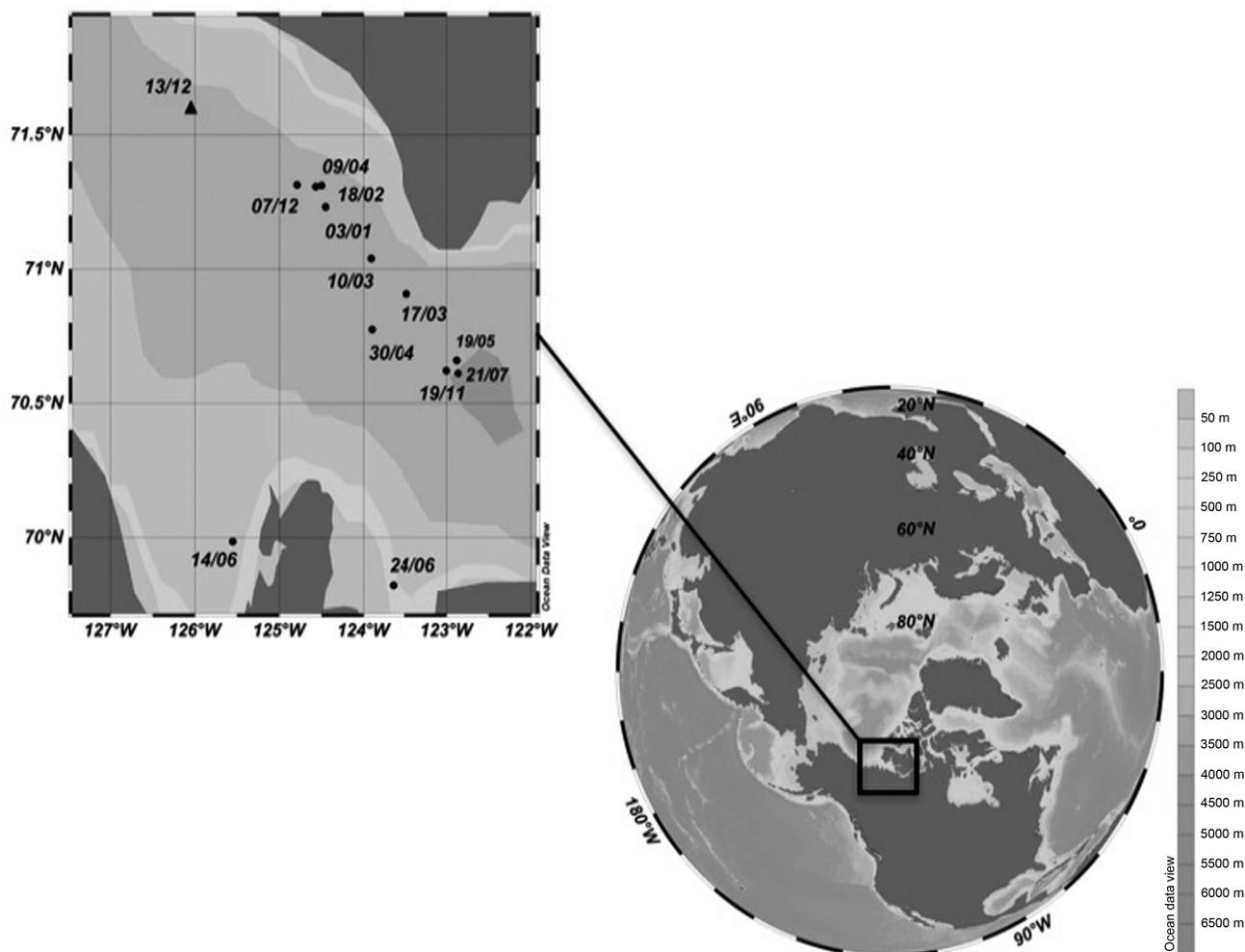


Figure 1 Map of the sampling sites of the overwintering study. The samples were collected from surface waters and halocline from 11 November to 21 July. The metagenomic sample was collected on 13 December. All the stations were located in the main basin of Amundsen Gulf with the exception of samples collected on 14 June from Darnley Bay and 24 June from Franklin Bay.

short reads removed using mothur (Schloss *et al.*, 2009), then denoised following Reeder and Knight (2010). Chimeras were checked using UCHIME (Edgar, 2004; Edgar *et al.*, 2011) by comparing *de novo* and against the SILVA (release 102) reference database (Pruesse *et al.*, 2007), and chimeric sequences removed. Operational Taxonomic Units (>98% similarity level using mothur) and representative sequences were picked by comparing with the same reference database using USEARCH (Edgar, 2010). Representative sequences were aligned in PyNast (Caporaso *et al.*, 2010b), manually curated in BioEdit v7.2.5 (Hall, 1999) and a phylogenetic tree was generated by FastTree version 2.1.3 (Price *et al.*, 2010). Taxonomic assignment was carried out using a curated 18S rRNA gene reference database (Comeau *et al.*, 2016; Lovejoy *et al.*, 2016). As our focus was on single-celled microbial eukaryotes, fungi and metazoans were removed from the data set. The raw reads are available at NCBI under Bioproject PRJNA283142. Tables of relative abundances of taxa are available on Zenodo (<http://doi.org/10.5281/zenodo.163540>;

<http://doi.org/10.5281/zenodo.163541>).

For the winter metagenome, DNA from the 0.2 to 3 μm fraction was extracted using a phenol–chloroform protocol (Diez *et al.*, 2001). The extracted DNA was fragmented with a Rapid Library Nebulizer (Roche), followed by TruSeq shotgun library preparation for Illumina sequencing. Library quality was checked using a DNA High Sensitivity chip on a BioAnalyzer 2100 (Agilent, Santa Clara, CA, USA) and paired-end sequenced using Illumina HiSeq 2000 system by the McGill University and Genome Quebec Innovation Center (Montreal, QC, Canada). Raw reads are available at NCBI with Biosample accession number SAMN05514161.

Metagenomic bioinformatics

The reads were assembled using Megahit (Li *et al.*, 2015), via succinct de Bruijn graphs and a multiple k-mer size strategy. Assembled contigs are available at NCBI under Biosample SAMN05514161. Assembly

quality and statistical analysis were carried out using the quality assessment tool for genome assemblies (QUAST) metaquast option (Gurevich *et al.*, 2013).

A BLASTn (Altschul *et al.*, 1990) of all Arctic metagenomic reads was carried out against the genomes of *Bathycoccus* Ban7 and *Micromonas* RCC299. The contigs and reads (paired-end mode) with BLASTn matches to the reference genomes were aligned against the two reference genomes using BWA mem algorithm (Li, 2013). Genome coverage was calculated using BEDtools (Quinlan, 2014) and statistics were performed using samtools flagstat (Li H *et al.*, 2009). In addition, contigs were aligned to other available genomes (*Micromonas* CCMP1545) and transcriptomes (*Bathycoccus* RCC716 and *Micromonas* CCMP2099; Supplementary Table S3).

The differences between Arctic *Bathycoccus* and Ban7 genomes were examined using a variant call approach in Platypus (Rimmer *et al.*, 2014). Variants with a minimum coverage of five reads were further examined for effects at the DNA level using SnpEff (Cingolani *et al.*, 2012). The genes with software-called high effects were mapped to KEGG pathways (Kanehisa and Goto, 2000; Kanehisa *et al.*, 2014; http://www.genome.jp/kegg/tool/map_pathway1.html).

To identify the dominant microbes in the Arctic metagenome, Metawatt (Strouss *et al.*, 2012) was used to bin and taxonomically classify all contigs using a curated metawatt reference database that included 2843 genomes including 58 microbial eukaryote reference genomes (see <http://doi.org/10.5281/zenodo.164419>). In Metawatt, each contig was fragmented (500 bp) and every fragment BLASTn searched (e-value $< 1.10^{-6}$) separately against the database. Then, for each contig the BLAST results were analyzed and the contig classified to the taxa most frequently encountered as the best BLAST hit. A new BLASTn was then carried out between Coding DNA Sequences (CDS) of Ban7 against the Arctic *Bathycoccus*-like contigs. The matching regions were aligned using a Smith–Waterman local alignment implemented in EMBOSS (Rice *et al.*, 2000) to determine the similarity between the two genomes.

Two MAGs from the Chilean coast, one South East (SE) Atlantic (Vaulot *et al.*, 2012; Monier *et al.*, 2013; Supplementary Table S4) and our Arctic *Bathycoccus* were blasted against CDS of Ban7 (Moreau *et al.*, 2012) to identify homologous sequences. CDS with 90% minimum query coverage in the five genomes were retained for similarity comparisons. The selected CDS were aligned using MUSCLE (Edgar, 2004) and distance matrices between each pair of sequences were generated using ‘distmat’ implemented in EMBOSS (Rice *et al.*, 2000), with Kimura correction (Kimura, 1980) determined after model selection in jModelTest v.2 (Darriba *et al.*, 2012). The substitution rates per 100 bp were summed and divided by the number of genes for a global overview of the similarity of the 129 CDS among the five data sets.

Gene phylogenies

The 18S rRNA gene and ITS2 sequences were recovered from the Arctic metagenome with a BLASTn search using a 10^{-6} e-value and 99% identity cut-offs. Reads and contigs were aligned *de novo* to reference sequences from Ban7, MAGs from the Chilean Coast and the MAG from the SE Atlantic (Supplementary Table S4) using MAFFT v7 (Katoh *et al.*, 2002). Phylogenetic trees were constructed using randomized accelerated maximum likelihood (RAxML v8, GTR+G model, 100 RAxML bootstrap replicates) in Geneious (Kearse *et al.*, 2012).

The presence of the functional gene-processing factor 8 protein (PRP8), which encodes the largest protein of the spliceosomal machinery, was determined first by predicting open-reading frames (ORF) on Arctic *Bathycoccus*-like contigs with a minimum ORF length of 60 amino acids. These ORFs were then searched for PRP8 sequences using hmmsearch (Eddy, 1998), in HMMer v3, with the Pfam (Punta *et al.*, 2012) PRP8 models (PF09092 and PF12134). Only two PRP8-like putative PRP8 ORFs originating from metagenomic contigs longer than 1000 nt were retained. Additional Mamiellophyceae PRP8 protein sequences from GenBank and the Marine Microbial Eukaryotic Transcriptome Sequencing Project (Keeling *et al.*, 2014) database, were identified using hmmsearch. PRP8 protein sequences were aligned using MAFFT and the multiple sequence alignment was then converted to nucleotides (back translated to a codon alignment based on gene information). ML inferences and nonparametric bootstrapping were carried out using RAxML v8 (Liu *et al.*, 2011) with the GTR+CAT model.

Families of genes implicated in meiosis were targeted based on *Ostreococcus* (Derelle *et al.*, 2006) and *Micromonas* (Worden *et al.*, 2009) genomes. These meiosis-related genes were searched for in the *Bathycoccus*-like contigs using BLASTn.

Viruses in the metagenome

Diversity of prasinoviruses in the Arctic metagenome was assessed from DNA polymerase B gene (*polB*) sequences. Environmental reads or contigs coding for *polB* were identified using HMM searches (after a six-frame translation to ORFs) with hmmer v3 (<http://hmmer.org/>; Eddy, 2011) and the corresponding Pfam model (<http://PFAM.sanger.ac.uk/>; Punta *et al.*, 2012), PF00136. ORFs that passed the HMM search gathering threshold and that were most similar to *polB* sequences of eukaryotic viruses after a BLASTp against UniProtKB were selected for subsequent phylogenetic analysis. Viral reference sequences and metagenomic ORFs with >200 amino-acid residues were aligned using MAFFT v7 (in local pair, iterative refinement mode), and sites with $\geq 50\%$ gaps were discarded using trimAl v1.4 (Capella-Gutiérrez *et al.*, 2009). The best ML tree was retrieved from 100 ML topological searches and bootstrap support was determined from 100

nonparametric replications using RAxML v8 with the LG+G+I+F model. The latter model was selected based on the Akaike Information Criterion using ProtTest v3 (Darriba et al., 2011). The tree was rooted using other non-prasinovirus as outgroups.

Results

Environmental conditions and temporal patterns

The physical oceanography over the 9-month sampling period have been reported elsewhere (Forest et al., 2011; Barber et al., 2012). The environmental conditions corresponding to the samples reported here are given in Supplementary Table S1. *In situ* chlorophyll *a* fluorescence was negligible throughout winter, and increased on 9 April, with a maximum in our samples on 19 May.

Overall, heterotrophs dominated the microbial eukaryotic reads for all samples over most of the sampling period, except during the diatom maxima in May (Figure 2). Prasinophytes accounted for 0.2 to 17% of whole community rDNA reads, and 0.8 to 42% of the rRNA reads over 9 months, with Arctic *Micromonas* (CCMP2099) and *Bathycoccus* always present. Pyramimonadales were also detected, with *Pyramimonas* prevalent in spring and summer and *Pterosperma* in July and December (Figure 3). A second *Micromonas* in Clade C (Šlapeta et al., 2006) accounted for 12% of the prasinophyte reads in December. Another Mamiellales, *Mantoniella*, occurred later in winter but was not detected in November or December (Supplementary Table S5B). Among prasinophytes, *Bathycoccus* dominated in winter with over half (54–72%) of prasinophyte rDNA reads at the surface from November to January and a third of the rRNA reads in November and December. From mid-February, the Arctic *Micromonas* predominated with highest proportions in June, with up to 90% of prasinophyte rDNA and

rRNA reads, while *Bathycoccus* accounted for 1%, except for the July surface with 41% of the rDNA reads (Figure 3, Supplementary Table S5B).

Winter metagenome

Approximately 157 million reads from the Arctic metagenome were assembled into 17 million contigs (Supplementary Table S6). After binning, taxonomic assignment indicated that although a marine bacterium *Pelagibacter* (12.9 Mb corresponding to 17 666 contigs binned) had high representation in the metagenome, the second largest bin was Bathycocaceae (12.5 Mb corresponding to 10 194 contigs binned). Because of differences in genome size, the 12.9 Mb of contigs assigned to ‘*Candidatus Pelagibacter ubique*’ potentially covered the genome 10× compared with closer to 1× coverage of the *Bathycoccus* genome. The remaining bins were <4 Mb and matched other *Pelagibacter*, Flavobacteria, Acidobacteria and Verrucomirobia (Table 1).

A much higher percentage of metagenomics contigs were aligned to the reference genome of *Bathycoccus* Ban7 (88.8%) compared with *Micromonas* RCC299 (21.2%). Using reads from the metagenome increased the percent alignment to 95.4% of Ban7, but was insignificant for *Micromonas* RCC299. Similarly when aligning our metagenomics contigs against *Bathycoccus* RCC716 and *Micromonas* CCMP2099 from the Marine Microbial Eukaryotic Transcriptome Sequencing Project database, metagenome contigs aligned with 53% of RCC716 transcripts but <4% of the CCMP2099 transcripts (Supplementary Table S3). At the level of individual *Bathycoccus* chromosomes; metagenomic reads covered 91.3 to 100% of the total length of 18 chromosomes, although less than half of chromosome 19 was covered. The GC content of the majority of individual Arctic chromosomes (47.7 to 49.3%;

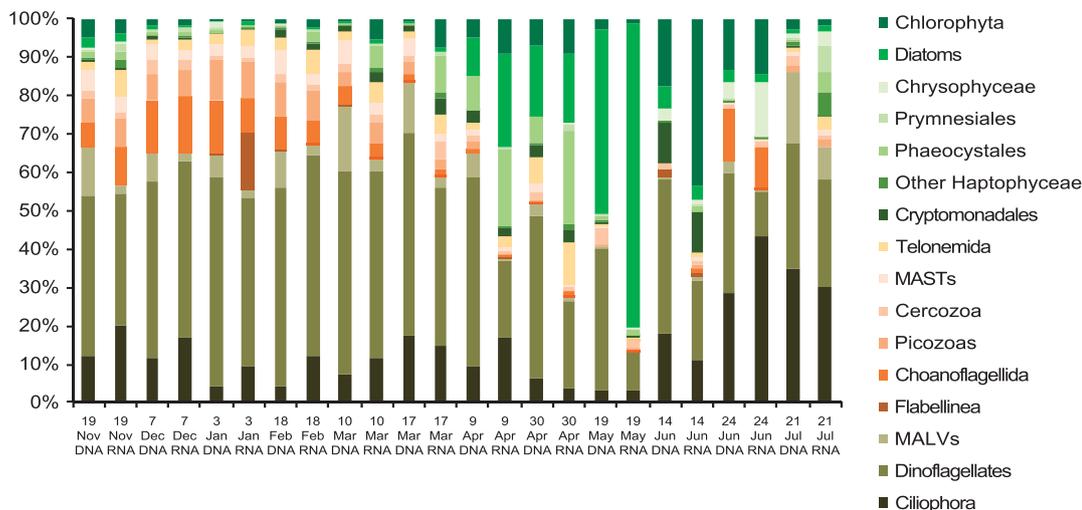


Figure 2 Relative abundance of the major taxonomic groups as percent (%) of the total reads from surface microbial eukaryotic. Dates of collection and template are given for 18S rRNA (RNA) and the 18S rRNA gene or rDNA (DNA). Taxonomic names follow NCBI, the phylogenetically diverse marine stramenopiles (MASTs) are grouped as are the marine alveolates (MALVs).

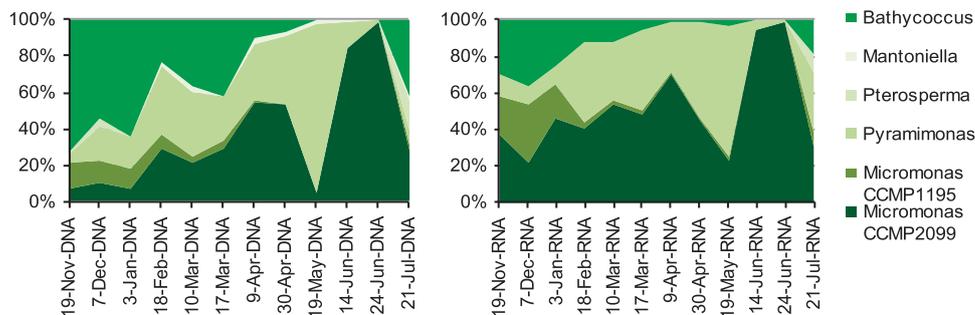


Figure 3 Proportion of prasinophyte taxa out of total prasinophyte reads (see above as Chlorophyta) from DNA (left) and RNA (right) templates.

Table 1 Metagenomic contigs taxonomically binned using a BLAST and contig fragmentation approach in Metawatt. Mb refers to the combined length of all contigs that were taxonomically classified to the corresponding species

Species	Mb
<i>Candidatus</i> Pelagibacter ubique HTCC1062	12.9
<i>Bathycoccus</i> (Bathycoccaceae)	12.5
<i>Polaribacter</i> sp. MED152 (Flavobacteriaceae)	3.6
<i>Candidatus</i> Pelagibacter sp. IMMCC9063	3.1
<i>Lacinutric</i> sp. 5H-3-7-4 (Flavobacteriaceae)	3.1
<i>Illumatobacter</i> (Acidimicrobiaceae)	3.1
<i>Opitius terrae</i> PB90-1 (Verrucomicrobia)	2.1
Alpha proteobacterium HIMB5 (Pelagibacteraceae)	1.6

Table 2) was comparable to Ban7, with an estimated GC content of 48% (Moreau *et al.*, 2012).

Bathycoccus genes

A single ~331 bp fragment of the 18S rRNA gene was recovered from a global search of the Arctic metgenome contigs. The 18S rRNA gene region had 100% sequence similarity with Ban7. Another contig containing the complete ITS2 sequence of *Bathycoccus* placed the Arctic *Bathycoccus* into the B1 coastal clade (Supplementary Figure S1). The phylogenetic analysis of the *PRP8* gene also placed the Arctic *Bathycoccus* into the B1 clade (Figure 4) along with most other available *Bathycoccus PRP8* genes. The SE Atlantic MAG and one isolate from the Indian Ocean (*Bathycoccus* RCC716) made up clade B2. Both the B2 clade *PRP8* genes contained inteins, but at a different insert locations. Because sexual stages have been suggested as a survival strategy in Mamiellophyceae, we also targeted meiosis-related genes in the contigs binned as Bathycoccaceae. All the targeted meiosis-related genes were found in the Arctic *Bathycoccus* (Supplementary Table S7).

A similarity search of Ban7 CDS against the Arctic *Bathycoccus*-like contigs identified 7255 putative genes with an average of 95% similarity in the two genomes. Among these were 129 CDS that were present in Ban7 and all of *Bathycoccus* MAGs (ours from the Arctic, two Pacific and one Atlantic). Based on this conserved set of genes, the Arctic *Bathycoccus* was 82.7% similar to the SE Atlantic

Bathycoccus and 98% similar to the two Pacific *Bathycoccus*, which were highly similar to each other (Table 3).

Bathycoccus variants

Global differences between Arctic and Ban7 *Bathycoccus* genomes were identified by variant call analysis, where a variant represents a Ban7 nucleotide position that differed from the sequence of the Arctic reads mapped to the same position. In total 141 795 variants were detected, with over 99% of the variants predicted to have little impact on the final protein. About 0.73% of the variants were CDS frame shift insertion/deletion (indels), splice acceptor/donor sites, non-start/stop codons substituted for start/stop codons and other modifications affecting final putative protein product. Within the pool of these higher impact variants, 200 had ≥ 5 occurrences (reads) and could be matched to KEGG (KO) numbers (Supplementary Table S8).

Viral diversity in the Arctic metagenome

Prasinovirus infection is common in Mamiellophyceae and for this reason, we looked for sequences of *polB*, which is a prasinovirus marker gene. We found that in the winter metagenome *Micromonas polB* gene was much more diverse than those associated with *Bathycoccus* (Figure 5). The *polB* phylogeny also showed a novel environmental cluster at the base of the Phycodnaviridae.

Discussion

Bathycoccus and *Micromonas*

In the summer Arctic, a single ecotype of *Micromonas* (CCMP2099), dominates picoeukaryote 18S rRNA gene surveys (Lovejoy and Potvin 2011; Terrado *et al.*, 2011; Balzano *et al.*, 2012). *Micromonas* (ecotype CCMP2099) has also been reported from DNA collected from Isfjorden, West Spitzbergen during the Polar night (Vader *et al.*, 2015; Marguardt *et al.*, 2016). However, data on the taxonomic makeup of Arctic winter and spring microbial eukaryote communities is rare. Here we expected to find *Micromonas* over the winter and the high

Table 2 Assignment of the Arctic metagenomic reads to individual chromosomes of *B. prasinos* Ban7 and associated statistics

Ref names	Ref length (bp)	# Reads	Alignment (%)	Depth cover	% ID	% GC
chrom_01	1 352 724	155 626	97.6	10.7	92.9	49.3
chrom_02	1 122 692	127 118	97.2	10.5	92.8	49
chrom_03	1 091 008	123 431	96.3	10.6	92.9	49.2
chrom_04	1 037 991	122 919	97	11	92.5	48.5
chrom_05	1 019 276	114 827	96.5	10.5	92.8	48.9
chrom_06	989 707	110 283	96.2	10.4	92.8	48.8
chrom_07	955 652	108 599	96.3	10.6	92.9	48.9
chrom_08	937 610	104 766	95.7	10.4	92.9	49
chrom_09	895 536	101 602	96.7	10.6	92.9	49
chrom_10	794 368	86 786	95.1	10.2	92.8	48.9
chrom_11	741 603	108 169	96.5	13.1	93.2	48.7
chrom_12	712 459	79 697	96.3	10.4	92.8	48.9
chrom_13	708 035	83 561	96.8	11	92.6	49
chrom_14	663 424	59 280	96.5	8.4	93	43.6
chrom_15	519 835	58 731	96.6	10.5	92.8	48.8
chrom_16	494 108	53 034	93.2	9.9	92.8	48.7
chrom_17	465 570	46 946	91.3	9.3	92.7	48.4
chrom_18	310 170	32 728	95.4	9.6	92.6	47.7
chrom_19	146 238	3740	41.9	2.2	92.1	42.4
Mito	43 614	21 546	100	39.6	95.5	45.5
Chloro	72 700	40 406	69.2	45.8	96.6	46.3

Reference names (Ref Names) lists the individual chromosomes (1 to 19), the mitochondria (Mito) and chloroplast (Chloro). Reference length (Ref Length) is the length of the reference sequences for the chromosomes in base pair (bp). Number of reads (# Reads) mapped to the reference sequence. Alignment is the percentage of the chromosome length with aligned reads. Depth of coverage (Depth Cover) is average number of reads aligning to a given position of the reference chromosome. Percent identity (% ID) is average pairwise identity over the alignment and the percent GC (%GC) is GC content of mapped Arctic reads.

representation of *Bathycoccus* 18S rRNA gene and 18S rRNA reads from November to December was surprising. Close investigation of a metagenome from early December also indicated that *Bathycoccus* was a major component of the microbial community at that time. As Bacteria usually dominate shotgun metagenomes (Piganeau *et al.*, 2008), the high proportion of *Bathycoccus* reads and contigs in the whole community metagenome was also unexpected, *Micromonas* contigs and reads were also found in the metagenome but at much lower levels, with only 20% of reads and contigs aligning to the *Micromonas* reference genome, compared with >90% for *Bathycoccus*. These results raise questions as to why *Bathycoccus*, which is usually relatively rare in the Arctic compared with the Arctic ecotype of *Micromonas*, was so abundant in early December in Amundsen Gulf.

Globally, *Micromonas* and *Bathycoccus* often co-occur (Not *et al.*, 2004, 2005). Outside the Arctic, *Bathycoccus* concentrations are reported to be less variable than *Micromonas*, for example, a seasonal study based on 16S rRNA chloroplast genes at the Bermuda Biological Time Series (BATS) station reported more variability in *Micromonas* compared with *Bathycoccus* (Treusch *et al.*, 2012). In the Monterey Bay Drift Study, *Bathycoccus* was found in all samples with *Micromonas* more variable (Simmons *et al.*, 2016). Finally, in a seasonal study off the coast of the Mediterranean, *Micromonas* became rare following deep winter mixing, while *Bathycoccus* persisted (Zhu *et al.*, 2005). These examples suggest that *Bathycoccus* could have an advantage under low-light conditions in more

temperate waters. However, this explanation does not fit well with the continuous presence of the *Micromonas* arctic ecotype over winter, both in our samples and around Svalbard (Vader *et al.*, 2015), during the Polar Night.

One mechanism for surviving during prolonged dark periods is the capacity to form cysts, which is associated with a sexual stage in many algae (Doucette and Fryxell, 1983; French and Hargraves, 1985). Similar to other Mamiellophyceae (Derelle *et al.*, 2006; Worden *et al.*, 2009; Grimsley *et al.*, 2010), the arctic *Bathycoccus* MAG contained meiosis-implicated gene families and low GC regions, consistent with potential for a sexual stage. We also noted the presence of a full intein inside the PRP8 protein in the Atlantic MAG that could also indicate sexuality (Monier *et al.*, 2013). However, no inteins were found in the Arctic clade. The genomic evidence now points to at least ancestral sexual reproduction in the Mamiellophyceae and the possibility of *Micromonas* and *Bathycoccus* having resistant resting stages, enabling survival when conditions are not suitable for active growth. As both share this trait, any advantage would need to be linked to environmental triggers.

Another survival strategy during prolonged darkness, could be related to alternative carbon and energy acquisition strategies. Many phytoflagellates are mixotrophic and survive in the dark when prey are available (Bell and Laybourn-Parry, 2003; Hartman *et al.*, 2012). Burns *et al.* (2015), using comparative genomics, found several genes associated with phagotrophic heterotrophs in the marine prasinophyte *Cymbomonas tetramitiformis*.

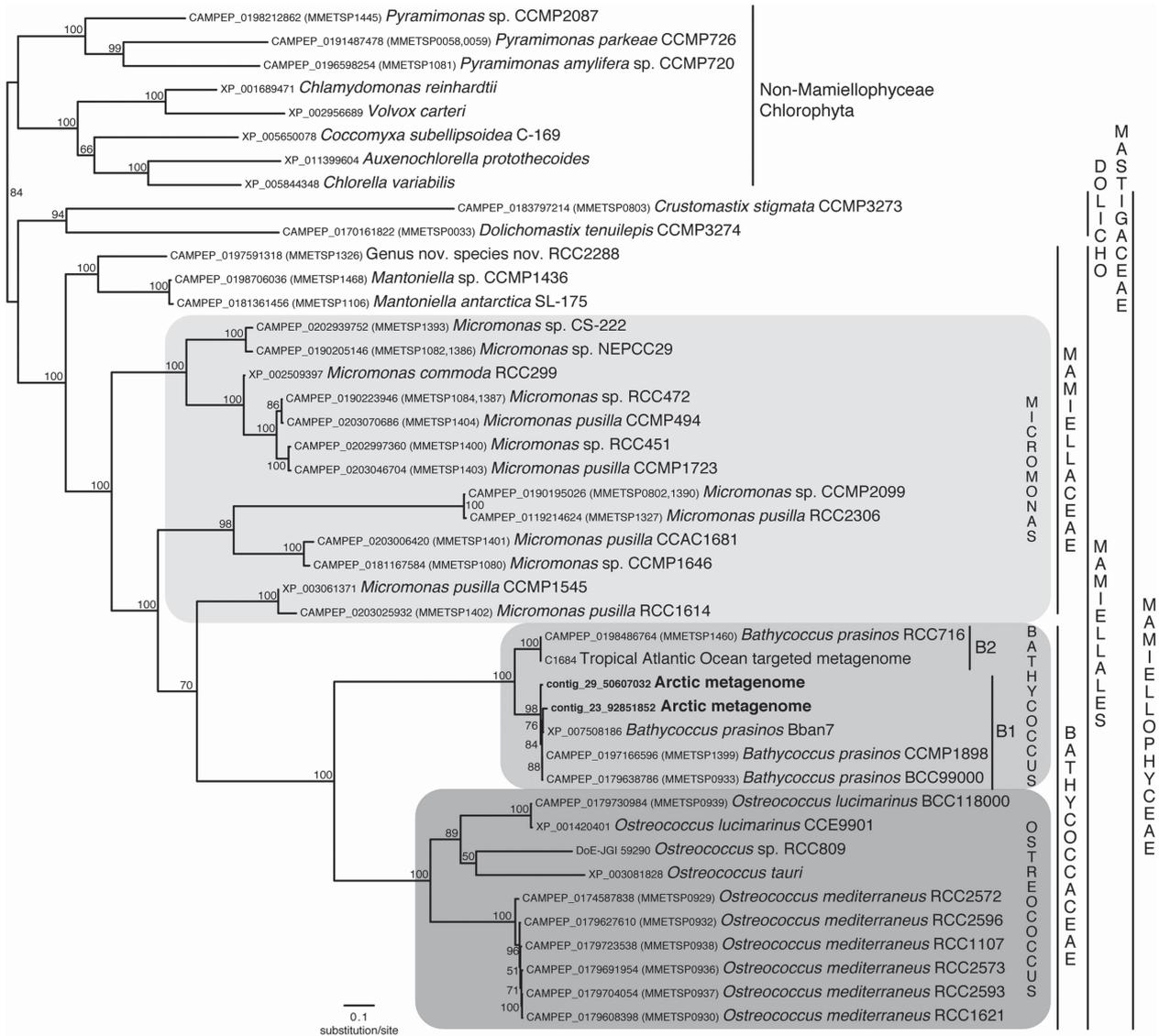


Figure 4 Phylogenetic tree of *PRP8* protein marker gene (see text). Maximum-likelihood (ML) reconstruction using 100 nonparametric bootstrap and gtr+cat model (marked at nodes). The two *Bathyococcus* clades are indicated as B1 and B2.

Table 3 Summary of the substitution rate per 100 bp of the 129 coding genes common to the five data sets

	<i>Atlantic</i>	<i>Pacific 1</i>	<i>Pacific 2</i>	<i>Arctic</i>	<i>Mediterranean</i>
Atlantic	0	17.34	17.36	17.33	17.36
Pacific 1		0	1.12	1.97	1.33
Pacific 2			0	1.84	1.32
Arctic				0	1.85
Mediterranean					0

The Atlantic refers to *Bathyococcus* metagenomic contigs from the South East (SE) Atlantic (Monier *et al.*, 2013). Pacific 1 and 2 refer to the two metagenomes from the upwelling zone of Pacific water off the Chilean coast (Vaulot *et al.*, 2012). Mediterranean refers to the reference genome of *Bathyococcus* Ban7 (Moreau *et al.*, 2012) and Arctic refers to *Bathyococcus*-like contigs from the metagenomic sample from the present study.

However, that alga grows best in the presence of bacteria under low light and short day lengths (Maruyama and Kim, 2013). In laboratory studies, bacterial ingestion rates by *Micromonas* CCMP2099 are greatest in the light and under low-nutrient conditions (McKie-Krisberg and Sanders, 2014).

Similarly, in the Arctic, *Micromonas* is reported to actively take up labeled beads or bacteria in summer when light is available and nitrogen limiting (Gonzalez *et al.*, 1993; Sherr *et al.*, 2003). These reports suggests that the Arctic *Micromonas* uses prey as a source of nutrients rather than for energy

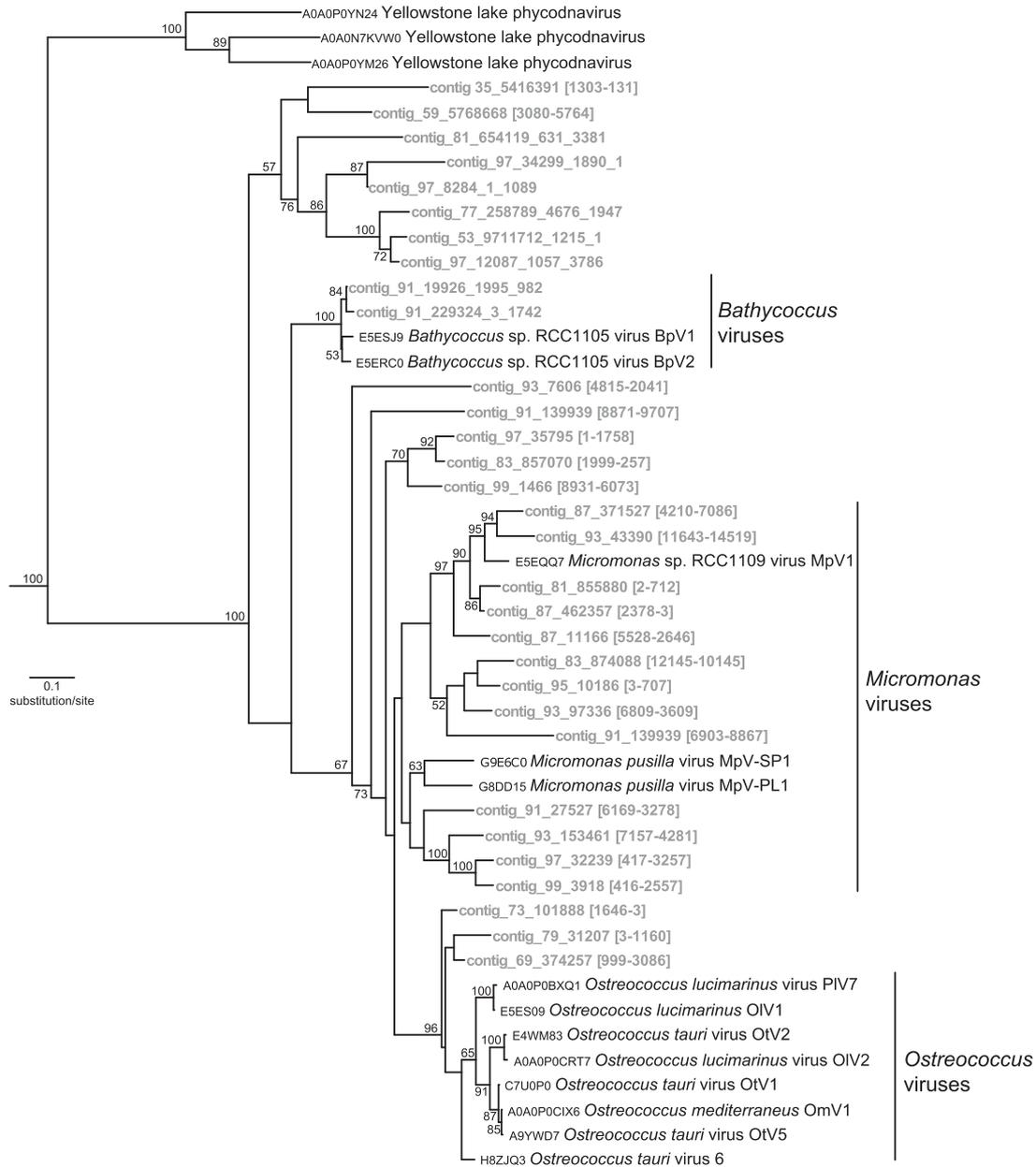


Figure 5 Phylogenetic tree of DNA polymerase B (*polB*) gene. ML reconstruction using model GTR+G based on a multiple sequence alignment of nucleotides (Boobstrap replicate=100). Only nodes with bootstrap values >50% are displayed. Contigs from the Arctic *Bathycoccus* MAG are in the lighter bold font.

acquisition (Mitra *et al.*, 2016). During our study, the winter (November–January) bacterial concentrations and production rates were low (Nguyen *et al.*, 2012) and *Micromonas* may have been unable to compete with true heterotrophs for bacterial prey. Monier *et al.* (2015) also noted that *Micromonas* did not sustain high relative populations under light-limiting conditions caused by surface shading from the McKenzie river plume, consistent with light as a primary factor limiting *Micromonas*. However, bacterial production was also relatively low in the subsurface chlorophyll maximum during that study (Ortega-Retuerta *et al.*, 2012) and bacterial prey may also have been limiting. Although phagotrophy has

not been reported in *Bathycoccus*, the possibility of using osmotrophy in the dark cannot be ruled out.

The predominance of *Bathycoccus* compared with *Micromonas* in November and December could also be consistent with different loss rates. In summer and autumn, *Bathycoccus* is normally rare in the Arctic, and would have lower encounter rates with potential predators (Cram *et al.*, 2016). However, in winter, both genera remain potential prey for microzooplankton and smaller zooplankton, which are active during the Polar Night (Berge *et al.*, 2015). Interestingly, the organic scales on the surface of *Bathycoccus* could act as a deterrent to grazers (Moreau *et al.*, 2012). Having scales could also

decrease viral attachment efficiency, making *Bathycoccus* a defense specialist.

Being rare would also reduce encounter rates with viruses (Winter *et al.*, 2010; Becket and Williams, 2013). *Micromonas*, which is normally more abundant compared with *Bathycoccus* in summer and autumn (Data from Comeau *et al.*, 2011; Genbank SRA029114), would have been more exposed to viral infection before November. Overall, there was an anomaly in the ratio of *Bathycoccus* reads to *Bathycoccus polB* genes compared with the ratio of *Micromonas* reads to *Micromonas polB* genes, which would not be consistent with all the *polB* genes being predominantly intercellular. Given that DNA viruses persist following infection, this leads to the prediction that a higher proportion of DNA viruses targeting *Micromonas* compared with those targeting *Bathycoccus* would be evidence for past viral activity. The high number of *Micromonas*-specific prasinovirus marker genes in the metagenome is consistent with such a scenario (c.f. Moreau *et al.*, 2010). In which case, this resembles a 'kill the winner' outcome (Thingstad, 2000) with *Micromonas* succumbing to viral infection, leaving *Bathycoccus* temporarily more abundant. A single *polB* gene phylotype of the *Bathycoccus* virus was also found (Figure 5) indicating ongoing dynamics between the viruses and their hosts.

Arctic-Boreal prasinophytes and *polB* diversity

Using HTS, we detected a second *Micromonas* phylotype with closest affinities to CCMP1195 (Clade C; Šlapeta *et al.*, 2006; Simmons *et al.*, 2015) in winter and in the July surface sample. Lovejoy and Potvin (2011) reported the same phylotype from an Amundsen Gulf Pacific Halocline clone library, and speculated that it was from Pacific waters flowing along the shelf break. CCMP1195 was originally isolated from a winter Gulf of Maine sample, and could represent a Boreal-Arctic cold-water form, analogous to *Thalassiosira* species with both Arctic and North Atlantic distributions (Luddington *et al.*, 2016). The phylotype may have also been a source for some of the prasinovirus genes found in the winter metagenome. Other potential hosts for the non-*Micromonas*, non-*Bathycoccus* prasinoviruses, could include *Pterosperma*, another Arctic-Boreal species detected in December and July. In addition, *Pyramimonas* spp. are common in the Arctic (Lovejoy *et al.*, 2002; Niemi *et al.*, 2011; Monier *et al.*, 2015) and although the maximum occurrence during our study was in March and April, *Pyramimonas* were present in winter. The diversity of prasinoviruses even when hosts may have been rare, is consistent with reports of persistence of phycodnaviruses, which could infect susceptible hosts when host populations reach a threshold level (Short *et al.*, 2011).

Seasonal recovery of the status quo

In our study, *Micromonas* re-established rapidly once surface irradiances reached $\sim 5 \text{ mol phot m}^{-2}$

per day (Nguyen *et al.*, 2015), which is equivalent to $\sim 60 \text{ } \mu\text{mol phot m}^{-2}$ per day or $8\text{--}10 \text{ } \mu\text{mol phot m}^{-2}$ per day at the depth of collection for our surface samples (assuming 1% light levels at 40 m). The relative increase in *Micromonas* in March and April despite low irradiance levels in the water column, due to low sun angles and ice cover, is consistent with the Arctic ecotype having the capacity to carry out photosynthesis at low temperatures under a wide range of light levels (Lovejoy *et al.*, 2007; Ni *et al.*, 2016). The Amundsen Gulf phytoplankton community abruptly changed in May, with longer days and higher surface temperatures promoting a diatom dominated spring phytoplankton bloom (Figure 2, Forest *et al.*, 2011; Terrado *et al.*, 2011). Following the bloom, the Arctic *Micromonas* again dominated phytoplankton reads, consistent with smaller cells with higher cell surface to volume ratios adapted to low surface nitrate concentrations (Raven, 1998; Li WKW *et al.*, 2009). The sudden higher proportion of *Bathycoccus* in July surface samples was enigmatic but may have been associated with offshore upwelling and spreading of winter waters in the Polar Mixed Layer (Garneau *et al.*, 2006), or more speculatively, a viral attack on *Micromonas* triggered by specific oceanographic conditions.

Ecotypes and phylogenetic placement of *Bathycoccus* from the Arctic

Compared to *Micromonas* and *Ostreococcus*, diversity of the 18S rRNA gene in *Bathycoccus* is genuinely low, which was consistent with the low rate of variance between the Arctic and Ban7 *Bathycoccus*. We examined potential variation in the hypervariable internal transcribed spacer 2 (ITS2), which is useful for separating populations or species (Kaczmarska *et al.*, 2009). Previous phylogeny of ITS2 and PRP8 sequences suggests two ecotypes of *Bathycoccus* (Vaulot *et al.*, 2012; Monier *et al.*, 2013), one adapted to coastal waters or more nutrient-rich environments (Clade B1) and the other to open ocean more oligotrophic environments (Clade B2). The MAGs from the upwelling zone of the Pacific (Vaulot *et al.*, 2012) and coastal Mediterranean belong to B1, and the SE Atlantic MAG belongs to B2 (Monier *et al.*, 2013). Occurrence of B1 in the Arctic is consistent with the Amundsen Gulf region dominated by cross shelf processes (Williams and Carmack, 2015). However, the distribution and identity of microbial eukaryotes in deeper Arctic waters is practically unknown (Pedrós-Alió *et al.*, 2015). The deeper Arctic Basins remain under-sampled and ITS2 or PRP8 gene surveys are needed to resolve the distribution of the two clades in the Arctic. Finally, at the genome level, the high similarity of the Arctic *Bathycoccus* to others in clade B1 contrasts with *Micromonas* and other eukaryotic phytoplankton that have representative species restricted to the Arctic. Ecophysiological studies and complete reference genomes, starting

with cultured Arctic *Bathycoccus* are needed to verify whether *Bathycoccus* is truly cosmopolitan across oceans.

Conflict of Interest

The authors declare no conflict of interest.

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