# c-Jun N-Terminal Kinase Contributes to Aberrant Retinoid Signaling in Lung Cancer Cells by Phosphorylating and Inducing Proteasomal Degradation of Retinoic Acid Receptor  $\alpha$

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**Retinoic acid (RA) is the ligand for nuclear RA receptors (RARs and RXRs) and is crucial for normal epithelial cell growth and differentiation. During malignant transformation, human bronchial epithelial cells acquire a block in retinoid signaling caused in part by a transcriptional defect in RARs. Here, we show that activation of c-Jun N-terminal kinase (JNK) contributes to RAR dysfunction by phosphorylating RAR and** inducing degradation through the ubiquitin-proteasomal pathway. Analysis of RAR $\alpha$  mutants and phos**phopeptide mapping revealed that RAR residues Thr181, Ser445, and Ser461 are phosphorylated by JNK. Mutation of these residues to alanines prevented efficient ubiquitination of RAR and increased the stability** of the protein. We investigated the importance of RAR<sub>Q</sub> phosphorylation by JNK as a mediator of retinoid **resistance in lung cancer. Mice that develop lung cancer from activation of a latent K-ras oncogene had high intratumoral JNK activity and low RAR levels and were resistant to treatment with an RAR ligand. JNK inhibition in a human lung cancer cell line enhanced RAR levels, ligand-induced activity of RXR-RAR dimers, and growth inhibition by RA. These findings point to JNK as a key mediator of aberrant retinoid signaling in lung cancer cells.**

Retinoids (vitamin A and its retinoic acid [RA] derivatives) mediate their biologic effects through two families of nuclear receptors, the RA receptors ( $\text{RAR}\alpha$ , - $\beta$ , and - $\gamma$ ) and the retinoid X receptors ( $\text{RXR}\alpha$ , - $\beta$ , and - $\gamma$ ) (50). The stereoisomers all-*trans* RA and 9-*cis* RA are ligands for RARs, whereas RXRs can bind only to 9-*cis* RA. These receptors function as dimers (RXR-RAR heterodimers and RXR homodimers) that bind to retinoic acid response elements (RAREs) in gene promoters (49). Because they bind to distinct RAREs, RXR-RAR heterodimers and RXR homodimers activate the transcription of distinct sets of target genes (49).

In the absence of ligand, RXR-RAR heterodimers associate with a multiprotein complex containing transcriptional corepressors that induce histone deacetylation, chromatin condensation, and transcriptional suppression (52). Ligand binding causes the receptors to dissociate from corepressors and then associate with coactivators that have histone acetyltransferase activity and induce local chromatin decondensation, recruitment of the RNA polymerase II holoenzyme, and activation of target gene transcription (51, 70). Other members of the nuclear receptor family, including those activated by vitamin D, thyroid hormone, estrogen, and progesterone, share this mechanism of activation. In addition to activating these nuclear receptors, ligand also mediates receptor destruction, in that ligand binding causes receptor ubiquitination and subsequently proteolysis through the proteasomal complex (14). Thus, the ligand tightly regulates nuclear receptor signaling through its effects on receptor function and abundance.

Ubiquitination of nuclear receptors requires ligand binding. Receptor conformational changes induced by ligand are recognized by the ubiquitination enzymes E1, E2, and E3, which transfer the ubiquitin polypeptide to the target protein by creating an isopeptide linkage between the carboxy terminus of ubiquitin and the lysine side chains of the target proteins (39, 48, 60). In contrast to nuclear receptors, other proteins require phosphorylation before ubiquitination, which creates docking sites recognized by specific E3 ligases (33, 58, 69). Most hormone nuclear receptors are, in fact, phosphoproteins, and phosphorylation is a potent regulator of nuclear receptor function. Depending on the receptor, phosphorylation regulates receptor DNA binding, dimerization, coactivator recruitment, and transactivation (7, 31, 72). For example, RAR phosphorylation at the N-terminal transactivation function (AF-1) domain augments ligand-induced transactivation (62), and RXR phosphorylation inhibits its transactivation properties (68).

Nuclear receptors are substrates of a variety of kinases. RAR is a substrate for protein kinase A, cyclin-dependent kinase 7, and p38 (19, 62, 63). Retinoid signaling is inhibited by peptide growth factors and cellular stress (3, 43, 44, 53), suggesting that kinases activated by these stimuli also modulate retinoid receptor function. Stress induced by UV radiation, heat, osmotic shock, and inflammatory cytokines activates kinase signaling cascades that involve small G proteins (e.g., cdc42, Rac, and Rho), mitogen-activated protein (MAP) ki-

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nase kinase kinases (MEKK1 to -4), MAP kinase kinases (MKK4, -6, and -7), and MAP kinases (extracellular signalregulated kinase [ERK], c-Jun N-terminal kinase [JNK], and p38) (13). Substrate specificity of these kinases partially overlaps; for example, p38 is a substrate of MKK3 and MKK6, and JNK is a substrate of MKK4 and MKK7 (36). JNK and p38 phosphorylate several transcription factors, including ATF2, c-Jun, Elk-1, and nuclear receptors (79). RXR is a substrate of JNK and ERK (1, 43, 68). Whereas phosphorylation by ERK suppresses RXR transactivation, JNK-induced phosphorylation has no detectable functional consequences on RXR.

Human bronchial epithelial (HBE) cells require RA for normal cellular growth and differentiation. However, sensitivity to the biological effects of RA is lost with malignant transformation, a point illustrated by the finding that RA treatment induces proliferative arrest of HBE cells but not non-small-cell lung cancer (NSCLC) cells (18). Retinoid resistance in NSCLC cells results, in part, from a defect in RAR function (57), but the basis of this transcriptional defect has not been identified. Upstream activators of JNK, including receptor tyrosine kinases and Ras, are constitutively activated in NSCLC cells (56). Moreover, JNK activity is increased in certain NSCLC cell lines (40, 41) and in a murine model  $(K-ras<sup>L<sub>A1</sub></sup>)$  in which NSCLC develops through somatic activation of the K-ras oncogene (42).

In seeking to better define the mechanism by which stress inhibits retinoid signaling, we found that UV radiation inhibited transactivation of RAR but not RXR; it induced RAR phosphorylation and degradation of RAR through the ubiquitin-proteasome pathway; and it phosphorylated RAR by activating JNK. RAR phosphorylation by JNK was required for UV-induced RAR degradation. Further, we investigated the importance of JNK pathway in the retinoid resistance commonly found in lung cancer cells. Findings from studies using in vitro and in vivo models of lung cancer supported the hypothesis that JNK regulates  $RAR_{\alpha}$  protein levels and contributes to alterations in retinoid signaling that occur during the process of malignant transformation.

### **MATERIALS AND METHODS**

**Reagents.** The JNK inhibitor SP600125 (6) was provided by Berndt Stein (Celgene Corporation, San Diego, Calif.). We purchased LY294002 and SB203580 (Calbiochem, San Diego, Calif.); His-ubiquitin, MG132, all-*trans* RA, 9-*cis* RA, *E-*4-[2-(5,5,8,8-tetramethyl-5,6,7,8-tetrahydro-2-naphthalenyl)-1-propenyl]benzoic acid (TTNPB), ATP, triphosphate 5'-adenylic acid (AMP-PNP), phorbol myristate acetate (PMA), insulin-like growth factor 1, creatine kinase, and phosphocreatine (Sigma-Aldrich, St. Louis, Mo.); lactacystin and Z-VAD-FMK (Biomol, Plymouth Meeting, Pa.);  $[\gamma^{-32}P]ATP$ ,  $[^{32}P]$ orthophosphate, and [<sup>35</sup>S]methionine (ICN Biomedicals, Inc., Costa Mesa, Calif.); polyclonal antibodies against human RARα, RARβ, RARγ, RXRα, MKK4, JNK, and poly-ADP ribose polymerase (Santa Cruz Biotechnology, Santa Cruz, Calif.); U0126 and polyclonal antibodies against human phospho-JNK (Thr183/Tyr185), phosphoc-Jun (Ser63), c-Jun, phospho-Erk (Thr202/Tyr204), Erk, phospho-Akt (Ser473), and Akt (Cell Signaling Technology, Beverly, Mass.); monoclonal antibodies against Flag epitope and actin (Sigma-Aldrich); anti-HA antibody (Roche Molecular Biochemicals, Indianapolis, Ind.); and purified recombinant glutathione *S*-transferase (GST)-ATF2, GST–c-Jun, and HSP27 (Upstate Biotechnology, Lake Placid, N.Y.).

**cDNA constructs.** Adenovirus (Ad) expressing constitutively active mutant MKK4 (ED) was kindly provided by J. Kyriakis (Molecular Cardiology Research Institute, Boston, Mass.). Constitutively active mutant MEKK14, which lacks amino acids (aa) 1 to 351, has been described elsewhere (54). The HA-ubiquitin plasmid was a gift from E. Yeh (M. D. Anderson Cancer Center). Flag-tagged  $RAR\alpha$  was constructed by cloning the  $RAR\alpha$  cDNA into pCDNA 6 vector

(Invitrogen Life Technologies, Carlsbad, Calif.), and the corresponding mutations (T181A, S445A, and S461A) were introduced by performing site-directed mutagenesis with the Quick-Change Mutagenesis kit (Stratagene, La Jolla, Calif.). GAL-hybrid plasmids were constructed by cloning  $RAR_{\alpha}$  and  $RXR_{\alpha}$ cDNAs downstream of the GAL4-DNA binding domain in the pFA-CMV vector (Stratagene). To construct GST-tagged  $RAR\alpha$ ,  $RAR\alpha$  was subcloned into the pGEX4T1 vector (Amersham-Pharmacia Biotech UK, Ltd., Buckinghamshire, United Kingdom). GST-tagged deletion mutants of  $RAR\alpha$  (spanning aa 1 to 187, 82 to 167, and 186 to 462) were constructed by PCR amplification and insertion into pGEX4T1.

**Cell culture and UV treatment.** HeLa, COS-1, 3T3, and 293 cells were maintained in Dulbecco's modified Eagle medium containing 10% fetal calf serum and antibiotics (100  $\mu$ g of penicillin/ml and 100  $\mu$ g of streptomycin/ml). NSCLC cells were maintained in RPMI 1640 containing 10% fetal calf serum and antibiotics (penicillin and streptomycin). BEAS-2B cells, which are simian virus 40 T-antigen-immortalized HBE cells (61), were maintained in serum-free keratinocyte medium containing epidermal growth factor and bovine pituitary extracts (Invitrogen). For UV treatment, cells were serum starved overnight and UV irradiated (60 J/M<sup>2</sup>) with a UV cross-linker (Stratagene), which emits radiation at 254 nm. Cells were harvested at different time points, and nuclear and cytosolic fractions were prepared as described previously (67) and subjected to Western blotting.

**Purification of GST-tagged proteins.** GST-tagged RAR proteins were expressed and purified from the BL21 bacterial strain as described previously (43). The integrity of purified proteins was assayed by Coomassie staining and Western blotting with anti- $RAR\alpha$  antibodies.

**In vivo labeling, kinase assays, and phosphopeptide mapping.** For in vivo labeling experiments, Lipofectamine 2000 (Invitrogen) was used to cotransfect HeLa cells with plasmids expressing JNK1 and Flag-tagged wild-type or mutant RAR«. After 24 h, cells were serum starved overnight in phosphate-free medium and then incubated in the same medium for 4 h in the presence of 250  $\mu$ Ci of [<sup>32</sup>P]orthophosphate/ml. In some experiments, cells were exposed to UV radiation 1 h before harvesting. Cells were lysed in radioimmunoprecipitation assay buffer containing 100 nM okadaic acid, 1 mM orthovanadate, and protease inhibitor cocktail (Sigma-Aldrich). Flag-tagged proteins were immunoprecipitated with anti-Flag antibodies, and immunoprecipitates were subjected to electrophoresis and autoradiography.

For in vitro kinase assays, activated JNK and p38 were immunopurified from intact cells as described previously (41). Immunopurified or recombinant active kinases were incubated with  $1 \mu g$  of substrate proteins in buffer containing  $25$ mM HEPES, 25 mM  $MgCl<sub>2</sub>$ , 25 mM  $\beta$ -glycerophosphate, 20  $\mu$ M ATP, 0.5 mM dithiothreitol, and 10  $\mu$ Ci of [ $\gamma$ -<sup>32</sup>P]ATP for 30 min at 37°C. Labeled proteins were later separated on sodium dodecyl sulfate-polyacrylamide gel electrophoresis (SDS-PAGE) gels and autoradiographed. For phosphopeptide mapping,  $5 \mu$ g of recombinant GST-RAR $\alpha$  and mutant GST-RAR $\alpha$  (aa 1 to 187) was subjected to in vitro kinase assays with purified active JNK1. Phosphoamino acid analysis, limited tryptic digestion, separation of phosphopeptides, and manual Edman degradation were carried out as described previously (32).

**Pulse-chase experiments.** HeLa cells were cotransfected with JNK1 and Flagtagged wild-type or mutant  $\text{RAR}_{\alpha}$  constructs. After 24 h, cells were serum starved overnight and then incubated in methionine-free medium containing 200 Ci [35S]methionine/ml for 90 min. At the start of the chase, cells were washed with phosphate-buffered saline, treated or not treated with UV radiation (60 J/M<sup>2</sup> ), and then chased with medium containing an excess of cold methionine. Cells were then lysed in radioimmunoprecipitation assay buffer, and  $RAR\alpha$  was immunoprecipitated with Flag antibody, followed by SDS-PAGE and autoradiography.

**In vivo and in vitro ubiquitination assays.** HeLa cells were cotransfected with Flag-tagged RAR& and HA-ubiquitin expression constructs. After 48 h, cells were incubated for 1 h with 50  $\mu$ M MG132 and then exposed to UV radiation. Cell pellets were dissolved in 100  $\mu$ l of denaturing buffer (50 mM Tris, 1% SDS, 5 mM dithiothreitol) and boiled for 10 min. Lysates were diluted to 1 ml by phosphate-buffered saline, and ubiquitin conjugates were immunoprecipitated with anti-Flag antibody and subjected to Western blotting with anti-RAR $\alpha$  and anti-HA antibodies.

In vitro ubiquitination assays were a modified version of methods previously described (12). Briefly, 100 ng of GST or GST-RAR $\alpha$  was phosphorylated in vitro by incubation for 20 min at 37°C with purified active JNK1 in ubiquitination assay buffer (50 mM Tris, 10 mM ATP, 2.5 mM MgCl<sub>2</sub>, 10 mM creatine phosphate, and 3.5 U of creatine phosphokinase). The phosphorylated recombinant proteins were then ubiquitinated by the addition of S-100 HeLa fraction (20  $\mu$ l) (16), His-ubiquitin (15  $\mu$ g), ubiquitin aldehyde (3  $\mu$ M), okadaic acid (2  $\mu$ M), and MG132 (10  $\mu$ M). The mixture was incubated at 37°C for 90 min. RAR-ubiquitin conjugates were purified with  $Ni<sup>2+</sup>$ -agarose beads and subjected to electrophoresis and Western blotting with anti- $RAR\alpha$  antibodies.

**RT-PCR.** For reverse transcriptase PCR (RT-PCR) analysis, HeLa and Calu-6 cells were serum starved overnight, treated or not treated with UV radiation, and then incubated with  $1 \mu M RA$  for 6 h as indicated. Cells were harvested, and total RNA was extracted with an RNA isolation kit (QIAGEN, Inc., Valencia, Calif.). RT reactions were performed with  $3 \mu$ g of total cellular RNA with oligo(dT) primers. One-tenth of the RT reaction mixture was used as a template for PCR to detect RARα, RARβ, and GAPDH (glyceraldehyde-3-phosphate dehydrogenase) transcripts with specific primers and PCR conditions as previously described (71). The PCR cycle was stopped during the linear phase of the PCR.

**Luciferase assays.** The DR5TK-luc and G5E1b-luc (RARE and a GAL4 response element, respectively) reporter constructs have been described elsewhere (43, 45). FuGENE (Roche) was used to transiently transfect COS-1, HeLa, and H322 cells  $(2 \times 10^4)$  with 100 ng of DR5-TK-luc, 200 ng of  $\beta$ -galactosidase ( $\beta$ -Gal) plasmid driven by  $\beta$ -actin promoter (to monitor relative transfection efficiency), and, when indicated, 100 to 300 ng of MEKK1 $\Delta$  plasmid. The total amount of plasmid per well was equilibrated  $(1 \mu g$  per well) with empty vector. After 24 h, cells were treated for 15 h with or without 1  $\mu$ M RA. In the case of H322 cells, after transfection the cells were incubated for 15 h with  $1 \mu M$ SP600125 alone, 1  $\mu$ M RA alone, the combination, or medium alone.

For assays with GAL-hybrid constructs, HeLa cells  $(4 \times 10^4)$  were cotransfected with 100 ng of G5E1b-luc, 200 ng of  $\beta$ -Gal plasmid, and 100 ng of plasmids expressing GAL-RARα or GAL-RXRα. After 36 h, cells were UV irradiated (60  $J/M^2$ ). Six hours later, cells were treated for 6 h with or without 1  $\mu$ M 9-*cis* RA.

Cells were lysed in assay buffer (100 mM potassium phosphate, 0.2% Triton  $X-100$ ) and assayed for luciferase and  $\beta$ -Gal activities with a dual-light system (Applied Biosystems, Bedford, Mass.). Luciferase activities were corrected for differences in transfection efficiency and expressed as the means and standard deviations from three identical wells.

**Cell proliferation assays.** H322 cells  $(3 \times 10^3)$  were seeded in 96-well plates. After 24 h, cells were treated for 4 days with 1  $\mu$ M RA, 5  $\mu$ M SP600125, the combination, or medium alone. Relative cell density was measured by 3-(4,5 dimethylthiazol-2-yl)-2,5-diphenyltetrazolium bromide (MTT) assays as described elsewhere (40).

**Animal experiments.** K-*rasLA1* mice (27) (12 to 16 weeks of age) were treated daily for 28 days with 3  $\mu$ g of TTNPB/kg of body weight (80) or with vehicle alone (10 mice per group) by gastric gavage (81). Tumors were identified and measured before and after treatment by microcomputed tomographic imaging (10). Tumors were also counted on the surface of the lungs at the time of necropsy. In a separate group of K-*rasLA1* mice and wild-type littermates, whole-lung tissue samples were prepared and subjected to Western and RNA in situ analysis as previously described (42).

### **RESULTS**

**UV irradiation inhibits retinoid signaling through RAR.** We began investigating the effect of cellular stress on retinoid signaling with HeLa cells because they express functional RAR and RXR proteins that transcriptionally activate RAREs in response to ligand (22). We treated HeLa cells with low-dose UV radiation  $(60 \text{ J/m}^2)$ , which activates JNK, p38, and their downstream kinases (37). UV radiation inhibited RA-induced transactivation of a DR5 response element (Fig. 1A). Consistent with this finding, UV treatment inhibited RA-induced transcription of the  $RAR\beta$  gene (Fig. 1B), which contains a DR5 response element in its gene promoter (15). We next investigated which components of the RXR/RAR complex (RAR, RXR, or both) are targeted by stress pathways. HeLa cells were cotransfected with a reporter containing the GAL4 response element and an expression construct containing the GAL4 DNA binding domain fused to  $\text{R} \text{A} \text{R} \alpha$  or  $\text{R} \text{X} \text{R} \alpha$ , treated with 9-*cis* RA (a ligand for RARs and RXRs), and subjected to UV radiation (Fig. 1C). Relative to the effect of vehicle, treatment with 9-*cis* RA transactivated GAL-RAR 20 fold ( $P = 0.045$ ; Mann-Whitney test) and GAL-RXR 2 fold ( $P$  $0.001$ ; Mann-Whitney test). Relative to the effect of ligand

alone, treatment with 9-*cis* RA plus UV irradiation decreased GAL-RAR transactivation 3.6 fold  $(P = 0.045)$  and increased GAL-RXR transactivation 1.3 fold  $(P < 0.001)$ . Given that UV inhibited ligand-induced transactivation of RXR-RAR heterodimers (as shown by DR5 luciferase activity and expression of an RXR-RAR target gene), the RAR effect appears to be dominant. Together, these findings indicate that stress inhibits retinoid signaling through RAR.

**Stress-induced RAR protein loss is blocked by proteasome inhibitors.** One mechanism by which stress regulates the function of certain target proteins, including p21, cdc10-dependent transcript 1, and RNA polymerase II, is through degradation by the ubiquitin-proteasome pathway (4, 5, 25). Hypothesizing that stress activates RAR degradation, we examined  $RAR\alpha$ protein levels in HeLa cells after UV irradiation. Western blots of nuclear fractions revealed a drastic reduction in protein levels of RAR $\alpha$ , a lesser reduction in RAR $\gamma$ , and no reduction in RAR $\beta$  or RXR $\alpha$  (Fig. 2A). This reduction in RAR $\alpha$  was first detected at 90 min, reached maximal levels at 4.5 h, and returned to baseline levels at 15 h (Fig. 2B). To examine whether this loss of  $RAR\alpha$  in the nuclear fraction resulted from shuttling of proteins to cytoplasm, we examined  $RAR\alpha$ levels in whole-cell extracts and in cytosolic fractions. UV irradiation did not lead to appreciable changes in cytosolic  $RAR\alpha$  levels (data not shown), suggesting that this loss of protein was not due to nuclear export. RT-PCR analysis revealed that UV irradiation did not alter mRNA levels of  $RAR\alpha$  (Fig. 2C), suggesting that loss of  $RAR\alpha$  protein was mediated posttranscriptionally. UV irradiation decreased RARα protein levels in 293, 3T3 (Fig. 2D), and COS-1 cells (data not shown), supporting a role for stress pathways in RAR regulation in a variety of cell types. In addition to UV irradiation, RAR $\alpha$  protein levels also decreased in response to treatment with sorbitol but not with PMA (Fig. 2E), supporting a specific role for stress pathways in the regulation of  $RAR_{\alpha}$ levels.

Next, to examine whether UV irradiation reduced  $RAR\alpha$ protein levels via ubiquitin-proteasome-dependent mechanisms, we transfected HeLa cells with Flag-tagged  $\text{RAR}_{\alpha}$ along with hemagglutinin (HA)-tagged ubiquitin. Cells were treated or not treated with proteasomal inhibitor MG132, and extracts were prepared after exposure to UV radiation.  $RAR\alpha$ was immunoprecipitated with anti-Flag antibodies and immunoblotted with anti-HA antibodies. High-molecular-weight bands representing ubiquitinated forms of  $RAR\alpha$  increased in samples exposed to UV radiation in the presence of proteasomal inhibitor (Fig. 3A, lane 5). This UV-dependent loss of RAR<sub>a</sub> was blocked by the 26S proteasome inhibitors MG132 and  $\beta$ -lactone but not by the caspase inhibitor Z-VAD-FMK (Fig. 3B), indicating that  $RAR\alpha$  loss required activation of the proteasome pathway.

**Stress inhibits RAR through JNK.** We next investigated the specific kinases involved in the suppressive effect of stress on retinoid signaling. Transfection of COS-1 cells with a constitutively active mutant form of MEKK-1 (MEKK1 $\Delta$ ), an activator of stress kinases (36), inhibited basal and ligand-induced transactivation of a reporter containing a DR5 response element (Fig. 4A). The suppression of ligand-induced DR5 transactivation by  $MEKK1\Delta$  greatly exceeded its effect on basal activity of TK-LUC and DR5TK-LUC, indicating that the



FIG. 1. UV irradiation inhibits retinoid signaling through RAR. (A) UV irradiation inhibits ligand-induced RARE activation. HeLa cells were transiently cotransfected with DR5TK-luc reporters and the  $\beta$ -Gal expression plasmid. After 24 h, the cells were serum starved overnight and then treated (+) or not treated (-) with UV radiation. Cells were treated or not treated with 1  $\mu$ M RA and subjected to luciferase assays. Luciferase activity was normalized to other wells by  $\beta$ -Gal values and expressed as the means and standard deviations from three identical wells. (B) UV radiation inhibits ligand-induced RAR target gene expression. Calu-6 cells were treated  $(+)$  or not treated  $(-)$  with UV radiation and then treated or not treated with 1  $\mu$ M RA. Total RNA was isolated and analyzed for RAR $\beta$  and GAPDH transcripts by semiquantitative RT-PCR. (C) UV inhibits transcriptional activation of RAR but not RXR. HeLa cells were transiently cotransfected with G5E1b-luc reporter construct,  $\beta$ -Gal plasmid, and GAL-RARα or GAL-RXRα. Cells were treated (+) or not treated (−) with UV, treated or not treated with 1 µM 9-*cis* RA (a ligand for both RAR and RXR), and subjected to luciferase assays as described above. The experiment was performed three times, and the results of one experiment are illustrated. Results are means  $\pm$  standard deviations from three identical wells for GAL-RAR and nine identical wells for GAL-RXR.



FIG. 2. Effects of stress on RAR levels. HeLa cells (A and B), 3T3 cells (D), and 293 cells (D) were treated (+) or not treated (-) with UV radiation, lysed at the indicated times (A and B) for preparation of nuclear fractions, and subjected to Western blotting with antibodies to the indicated proteins. (C) UV radiation does not alter RAR $\alpha$  mRNA levels. HeLa cells were treated (+) or not treated (-) with UV radiation, and total RNA was isolated and analyzed for RAR $\alpha$  and GAPDH transcripts by semiquantitative RT-PCR. (E) HeLa cells were treated (+) or not treated (-) with UV radiation, sorbitol (400 mM), or PMA (500 nM) for 4.5 h and subjected to Western blotting. Because its levels were not measurably changed by UV radiation, RARB was used as a control in these experiments. Note that for the data shown in panel B, Western blotting with anti-RARB antibodies gave rise to a lower-molecular-weight, nonspecific band that closely migrated with RARB.

transcriptional suppression by  $MEKK1\Delta$  was primarily RAR specific. MEKK1 is known to mediate the effects of stress, in part through MKK4 and MKK7, which phosphorylate JNK and p38 (83). UV irradiation of HeLa cells activated JNK and p38 but not ERK or AKT (Fig. 4B), supporting the possibility that JNK and/or  $p38$  contributes to RAR $\alpha$  degradation by stress.

To investigate the role of stress kinases, we transfected HeLa cells with an Ad expressing constitutively active mutant MKK4 (Ad-MKK4) and found that Ad-MKK4 was expressed at high levels and induced robust activation of JNK but not p38 (Fig. 4C). Ad-MKK4 transfection inhibited  $RAR_{\alpha}$  protein levels (Fig. 4D). To further investigate the role of JNK, we examined the effect of SP600125, a JNK inhibitor (6), on UVinduced RAR degradation. Treatment with SP600125 blocked UV-induced activation of JNK but not that of p38 (Fig. 4E), demonstrating the relative specificity of SP600125 for JNK. SP600125 partially blocked the decrease in RAR protein levels induced by UV (Fig. 4E), but inhibitors of MEK-1 (U0126)

and phosphatidylinositol 3-kinase (LY294002) had no appreciable effect (Fig. 4F). Together, these findings support a specific role for JNK in the regulation of RAR stability.

**RAR is a JNK substrate.** Given our finding that JNK regulates RAR stability, we hypothesized that RAR is a stress kinase substrate. To investigate this possibility, we performed in vivo labeling experiments to examine whether UV radiation induces RAR phosphorylation. HeLa cells were cotransfected with Flag-tagged RAR $\alpha$  and JNK1, labeled with  $[{}^{32}P]$ orthophosphate, stimulated with UV irradiation or not, and subjected to immunoprecipitation with anti-Flag antibodies. UV irradiation led to increased  $\text{RAR}_{\alpha}$  phosphorylation (Fig. 5A), providing in vivo evidence that RAR is phosphorylated in response to stress.

 $RAR\alpha$  tagged with GST subjected to in vitro kinase reactions showed that activated JNK, but not p38, phosphorylated  $RAR\alpha$  (Fig. 5B). Consistent with its role as a direct substrate,  $RAR\alpha$  physically interacted with JNK in COS-1 cells (Fig. 5C). To examine whether ubiquitination of RAR is stimulated by its



FIG. 3. Loss of  $RAR\alpha$  protein by UV radiation is blocked by proteasome inhibitors. (A) In vivo ubiquitination assays were performed with HeLa cells transiently cotransfected with Flag-tagged RAR<sub>Q</sub> and HA-tagged ubiquitin plasmids. After transfection, cells were preincubated with or without 50  $\mu$ M MG132 for 1 h and then treated (+) or not treated (-) with UV radiation. Cells were lysed at the indicated times and subjected to immunoprecipitation (IP) and Western blotting (blot) with the indicated antibodies to detect RAR-ubiquitin conjugates and, as a control, Flag-tagged RAR. (B) HeLa cells were pretreated for 1 h with vehicle (dimethyl sulfoxide [DMSO]), proteasome inhibitors MG132 (50  $\mu$ M) or lactacystin– $\beta$ -lactone (10  $\mu$ M), and caspase inhibitor Z-VAD-FMK (50  $\mu$ M) as a control and then treated (+) or not treated (-) with UV. Cells were lysed 4.5 h later and analyzed by Western blotting.

phosphorylation by JNK, we subjected GST-RAR to an in vitro kinase reaction with JNK and then to an in vitro ubiquitination reaction in the presence of an S-100 fraction of HeLa cell extracts, which contain ubiquitin ligases E1, E2, and E3. JNK-

phosphorylated RAR exhibited more high-molecular-weight (ubiquitinated) forms than did untreated RAR (Fig. 5D, lanes 2 and 3). Multiubiquitinated forms of  $\text{RAR}_{\alpha}$  were reduced when His-ubiquitin or ATP was not included in the reaction



FIG. 4. Inhibition of RAR function by stress signals is mediated through JNK. (A) MEKK-1 activation inhibits ligand-induced RARE activation. COS-1 cells were transiently cotransfected with expression plasmids containing  $\beta$ -Gal, MEKK1 $\Delta$ , or empty vector (-), and reporter constructs (DR5TK-luc or TK-luc control). After 36 h, cells were treated or not treated with 1  $\mu$ M RA and subjected to luciferase assays. After correction for differences in transfection efficiency with  $\beta$ -Gal values, luciferase values were expressed as means  $\pm$  standard deviations from three identical wells. (B) UV radiation activates JNK and p38 but not AKT or ERK. HeLa cells were serum starved overnight and treated (+) or not treated  $(-)$  with UV, insulin-like growth factor 1 (100 ng/ml), or PMA (500 nM). Lysates were subjected to Western blotting with antibodies to the indicated proteins. (C and D) MKK4 activation decreases  $RRR\alpha$  levels by activating JNK. HeLa cells (10<sup>6</sup>) were treated with medium alone or incubated with Ad expressing constitutively active mutant MKK4 (Ad-MKK4) or control empty vector (Ad-EV) (200 particles/cell). After 24 h, cells were lysed, and lysates were subjected to Western analysis of MKK4 and actin (C) or  $RAR\alpha$  and  $\beta$  (D) and in vitro kinase assays (C). For the kinase assays, JNK and p38 were immunoprecipitated from the lysates and subjected to immune complex kinase assays with GST–c-Jun as a JNK substrate and GST-ATF2 as a p38 substrate. (E and F) UV-induced RAR $\alpha$  loss is blocked by inhibition of JNK but not PI3K or ERK. HeLa cells were treated (+) or not treated (-) with LY294002 (50  $\mu$ M), U0126 (10  $\mu$ M), or SP600125 (30  $\mu$ M); subjected to UV treatment; and lysed. The lysates were subjected to Western blot analysis ( $RAR\alpha$ ,  $RAR\beta$ , P-c-Jun, c-Jun, and poly-ADP ribose polymerase as a loading control) and MAPKAP-K2 in vitro kinase assays. For the kinase assays, lysates were immunoprecipitated to isolate MAPKAP-K2, a p38 substrate, which was subjected to in vitro kinase assays with HSP27 as a substrate.



p38 kinase assay

FIG. 5. JNK phosphorylates RAR $\alpha$  and promotes ubiquitination. (A) JNK phosphorylates RAR $\alpha$  in intact cells. HeLa cells were transiently cotransfected with expression vectors containing Flag-tagged RAR $\alpha$  and JNK1, labeled with [<sup>32</sup>P]orthophosphate, treated (+) or not treated (-) with UV radiation, and lysed. RAR $\alpha$  was immunoprecipitated (IP) from cell lysates with anti-Flag antibodies, and the immunoprecipitate was visualized by autoradiography (top) or subjected to Western blotting with anti-RAR $\alpha$  antibodies (bottom). (B) JNK phosphorylates RAR $\alpha$  in vitro. JNK and p38 kinases were immunoprecipitated from HeLa cells after UV irradiation to activate stress pathways and subjected to in vitro kinase assays with GST-RAR $\alpha$ , GST-c-Jun, and GST-ATF2 as substrates. GST-c-Jun and GST-ATF2 were positive controls for JNK and p38, respectively. (C) RAR $\alpha$  and JNK1 physically interact in vivo. COS-1 cells were transiently cotransfected with expression vectors containing RAR $\alpha$ and HA-tagged JNK1 or empty vector  $(-)$  and lysed, and the lysates were subjected to immunoprecipitation with RAR $\alpha$  antibodies, followed by Western blotting with anti-HA and RAR antibodies. (D)  $RAR\alpha$  degradation is JNK dependent. GST or GST-RAR $\alpha$  was incubated with (lanes 1, 2, 4, and 5) or without (lane 3) active JNK1. Afterwards, the mixture was subjected to an ubiquitination reaction in the presence of an S-100 fraction of HeLa extract, His-ubiquitin (lanes 1, 2, 3, and 5), and ATP (lanes 1 to 4). In lane 5, ATP was replaced by AMP-PNP, which supports ubiquitination but not kinase reactions.

mixtures (Fig. 5D, lanes 4 and 5), indicating that the highermolecular-weight bands contain ubiquitin and that their formation depended on ATP. Notably, the ATP-absent condition (Fig. 5D, lane 5) included the nucleotide triphosphate AMP-PNP, which can support ubiquitin ligase but not JNK activity (24)—an indication that JNK activity is required for increased ubiquitination of RAR.

**Identification of residues on RAR phosphorylated by JNK.** Primary amino acid sequence analysis of  $RAR\alpha$  revealed 11 putative JNK phosphorylation sites (consensus S/TP) (Fig.



FIG. 6. Identification of JNK phosphorylation sites on RAR $\alpha$ . (A) Schematic representation of RAR $\alpha$  depicting serines (S) and threonines (T), considered potential JNK phosphorylation sites. Three sites that were selected for mutagenesis (T181, S445, and S461) are indicated, as are amino acid coordinates and areas chosen for deletion constructs. (B) Localization of JNK phosphorylation sites. JNK in vitro kinase reactions were

6A). To identify the regions phosphorylated by JNK, we constructed several GST-tagged  $RAR\alpha$  deletion mutants for expression as recombinant proteins and subjected them to in vitro kinase reactions with active JNK1. JNK1 efficiently phosphorylated the fragments spanning aa 1 to 187 and aa 186 to 462 of RARα (Fig. 6B). Phosphoamino acid analysis of wildtype  $\text{R} \text{A} \text{R} \alpha$  revealed phosphoserine and phosphothreonine residues (Fig. 6C), a finding consistent with the Ser/Thr-specific kinase activity of JNK (29).

Phosphoamino acid analysis of the fragment spanning aa 1 to 187 of  $RAR\alpha$  demonstrated phosphothreonine but no phosphoserine (Fig. 6C), which allowed us to exclude three of the six potential JNK phosphorylation sites in that region. Because no trypsin digestion sites were present in that region for phosphopeptide mapping, we performed site-directed mutagenesis (Thr to Ala) at all three threonine residues (Thr-10, Thr-55, and Thr-181). These mutants were then expressed as recombinant proteins containing single mutations and subjected to in vitro kinase assays with active JNK. JNK phosphorylated  $RAR\alpha$  (spanning aa 1 to 187) mutated at Thr-10 or Thr-55 as efficiently as it did the wild-type protein (Fig. 6D). However, mutation of Thr-181 abolished  $\text{RAR}_{\alpha}$  (aa 1 to 187) phosphorylation by JNK (Fig. 6D).

Given that  $\text{RAR}_{\alpha}$  (aa 1 to 187) phosphorylation by JNK produced only phosphothreonine, we inferred that JNK phosphorylates both serines and threonines in the C-terminal region (aa 186 to 462). To identify these residues, we generated tryptic phosphopeptides of  $\text{RAR}_{\alpha}$  (aa 186 to 462) and separated them on a  $C_{18}$  reverse-phase high-performance liquid chromatography (HPLC) column (Fig. 6E). Each peak was subjected to manual Edman degradation. Peaks A and B released in cycles 7 and 13, respectively, corresponding to Ser-461 and Ser-445. Site-directed mutagenesis (Ser to Ala) at both of these sites was sufficient to abrogate phosphorylation of  $RAR\alpha$  (aa 186 to 462) (Fig. 6F).

Thus, in vitro analysis demonstrated that JNK phosphorylated  $\text{RAR}_{\alpha}$  at Thr-181, Ser-445, and Ser-461. To examine the importance of these residues in UV-induced  $RAR_{\alpha}$  phosphorylation in intact cells, we performed  $32P$ -labeling experiments in HeLa cells transfected with wild-type or mutant  $RAR_{\alpha}$ (T181A, S445A, S461A, or RAR-m3). Mutation at these sites completely blocked UV-induced RAR<sub>a</sub> phosphorylation (Fig. 6G), supporting the hypothesis that these residues are the phosphorylation sites of JNK in vivo.

We next examined the importance of these JNK phosphor-

 $y$ lation sites in  $RAR\alpha$  ubiquitination and degradation. In vitro ubiquitination assays revealed that the RAR-m3 mutant was not ubiquitinated as efficiently as wild-type  $\text{RAR}_{\alpha}$  in the presence of JNK (Fig. 7A). In contrast,  $RAR_{\alpha}$  mutated at one or two sites produced increased amounts of ubiqutin conjugates in the presence of JNK1 (data not shown). Pulse-chase analysis demonstrated that UV radiation did not affect the half-life of RAR-m3, whereas the wild-type, single, or doubly mutated forms of RAR $\alpha$  underwent degradation in response to UV (Fig. 7B). These findings indicate that phosphorylation at one or more sites is sufficient for stress-induced ubiquitination and degradation of RAR $\alpha$ . In an examination of the importance of  $RAR\alpha$  phosphorylation in stress-induced suppression of RARE activation by ligand, we found that transfection of COS-1 cells with RAR-m3 partially blocked MEKK1 $\Delta$ -induced suppression of RARE activation (Fig. 7C), suggesting that RAR phosphorylation contributes to stress-induced RARE transcriptional suppression but that additional mechanisms also contribute.

Ligand induces RAR<sub><sup> $\alpha$ </sup> degradation through a mechanism</sub> **different from that of phosphorylation.** Ligand binding induces degradation of nuclear hormone receptors, including RARs, through the ubiquitin-proteasome pathway (35, 60). Conformational changes induced by ligand binding or the creation of docking sites by phosphorylation are required for E3 ubiquitin ligases to bind to the target protein (38, 60). Therefore, we hypothesized that phosphorylation and ligand binding induce RAR<sub>«</sub> degradation through a common mechanism. To test this, we examined whether RAR-m3 is resistant to degradation induced by ligand binding. We transfected HeLa cells with Flag-tagged wild-type  $\text{RAR}_{\alpha}$  or  $\text{RAR}-m3$  and examined the levels of these receptors after treating the cells with the synthetic retinoid TTNPB, an RAR-specific ligand (80). Ligand treatment resulted in a similar decrease in the levels of both wild-type  $\text{RAR}_{\alpha}$  and  $\text{RAR-m3}$  (Fig. 7D). On the basis of these findings, we propose that phosphorylation by JNK and ligand binding induce  $\text{R} \text{A} \text{R} \alpha$  degradation through distinct mechanisms.

**Role of JNK in retinoid resistance.** We hypothesized that JNK activation contributes to retinoid resistance in NSCLC cells. To test this question, we used two model systems, K $ras<sup>LA1</sup>$  mice and human NSCLC cell lines. We previously showed that JNK is activated in lung tumors of K-ras<sup>LA1</sup> mice (42). Treatment of K- $ras^{LA1}$  mice with TTNPB had no detectable effect on lung tumor size or number (data not shown),

performed with recombinant wild-type GST-RAR $\alpha$  and deletion mutants as substrates. Phosphorylated proteins were resolved by SDS-PAGE, followed by autoradiography (top). Phosphorylated bands of the appropriate molecular weights are indicated with arrows. Smaller bands are proteolytic fragments. Gel loading was illustrated by Coomassie staining (bottom). (C) JNK increases phosphoserine (P-Ser) and phosphothreonine (P-Thr) but not phosphotyrosine (P-Tyr). JNK in vitro kinase reactions were performed with GST-tagged wild-type RARα and RARα (aa 1 to 187) as substrates, and the reaction mixtures were subjected to phosphoamino acid analysis. (D) T181 is a JNK phosphorylation site. In vitro JNK kinase reactions were performed with recombinant GST-tagged wild-type RARα (aa 1 to 187) and the indicated mutants as substrates. Gel loading was illustrated by Coomassie staining. (E) Phosphopeptides were examined by reverse-phase HPLC analysis. In vitro kinase reactions were performed with active JNK and GST-RAR $\alpha$  (aa 186 to 462) as substrate. The labeled peptides were separated by SDS-PAGE, and the corresponding phosphorylated bands were excised and subjected to limited trypsin digestion. The phosphopeptides were separated by reversephase HPLC and detected by an online radioactivity detector. The phosphopeptide peaks are illustrated. (F) S445 and S461 are JNK phosphorylation sites. In vitro JNK kinase assays were performed with GST-RAR $\alpha$  (aa 186 to 462) and the indicated mutants as substrates. (G) UV does not phosphorylate RAR-m3 in intact cells. HeLa cells were transiently transfected with wild-type Flag-RARα or Flag-RAR-m3, labeled with [ $32P$ ]orthophosphate, and lysed. RAR $\alpha$  was immunoprecipitated from cell lysates with anti-Flag antibodies, and the immunoprecipitate was visualized by autoradiography (top) or subjected to Western blotting (IP:Blot) with anti-RAR $\alpha$  antibodies (bottom).



FIG. 7. RAR-m3 is protected from JNK-mediated ubiquitination and degradation. (A) Mutant RARα is resistant to JNK-mediated ubiquitination. Wild-type RAR<sub>a</sub> and RAR-m3 were subjected to kinase reactions with  $(+)$  or without  $(-)$  active JNK, followed by in vitro ubiquitination reactions. (B) Mutant  $RAR\alpha$  is resistant to UV-induced degradation. Pulse-chase experiments were carried out to measure the stability of wild-type and mutant RARα. HeLa cells were cotransfected with expression vectors containing JNK1 and wild-type or mutant RAR $\alpha$  and labeled with  $[35S]$ methionine. Transfectants were treated or not treated with UV radiation at the start of the chase ( $t = 0$ ). After 3 h, cells were lysed, and RAR $\alpha$  was immunoprecipitated with anti-Flag antibodies and visualized by autoradiography. (C) Transfection of mutant RAR $\alpha$  partially abrogates stress kinase-induced suppression of RARE activity. COS-1 cells were cotransfected with DR5TK-luc reporters and expression vectors containing MEKK1 $\Delta$ ,  $\beta$ -Gal, and wild-type RAR $\alpha$  or RAR-m3. Transfectants were treated or not treated with 1  $\mu$ M TTNPB and subjected to luciferase assays. Results were corrected for differences in transfection efficiency and expressed as the means  $\pm$  standard deviations from three identical wells. (D) Mutant RARα undergoes degradation after ligand binding. HeLa cells were transiently transfected with expression vectors containing wild-type RAR $\alpha$  or RAR-m3. After 24 h, cells were treated (+) or not treated (-) with TTNPB (10<sup>-6</sup> M) and lysed, after which the lysates were subjected to Western blot analysis with anti-Flag antibodies.

indicating that these tumors are retinoid resistant. Lung tumors from K- $ras^{LA1}$  mice expressed lower levels of  $RAR\alpha$  than did normal lung tissues from wild-type littermates (Fig. 8A).  $RAR\alpha$  mRNA levels were similar in tumors and adjacent normal lung (Fig. 8B), indicating that the reduction in  $\text{RAR}_{\alpha}$  was not the result of decreased gene transcription. Thus, mice that develop lung cancer from activation of a latent K-*ras* oncogene had high intratumoral JNK activity and a posttranscriptional reduction in  $\text{RAR}_{\alpha}$  levels and were resistant to treatment with an RAR ligand.

Among human NSCLC cell lines, JNK1 and JNK2 were expressed at similar levels, but JNK activity varied, with the



FIG. 8. Loss of RAR $\alpha$  in lung tumors from K-ras<sup>LA1</sup> mice. (A) RAR protein levels in lung tissues from K-*rasLA1* mice and wildtype (WT) littermates. Lung tissue extracts were analyzed by Western blotting with antibodies to RAR $\alpha$ , RAR $\beta$ , and actin. (B) RAR $\alpha$ mRNA levels in tumors and adjacent normal lung tissues from K*rasLA1* mice. Lung tissues were subjected to RNA in situ studies  $(RAR\alpha)$  with antisense and sense probes to  $RAR\alpha$ . Tumor (T), alveoli (A), and bronchial epithelium (B) are indicated on an adjacent tissue section stained with hematoxylin and eosin (H&E). Hybridization of lung tissues with a sense  $\text{RAR}_{\alpha}$  probe demonstrated no detectable stain demonstrating specificity of binding.

highest basal JNK activity found in H322 cells (Fig. 9A). Relative to an immortalized HBE cell line (BEAS-2B), H322 cells were resistant to the growth inhibitory effects of RA (Fig. 9B), a finding consistent with a previous report of an RAR transcriptional defect in H322 cells (57). We then examined the dependence of RAR $\alpha$  levels on JNK activity. Basal RAR $\alpha$ 

levels in H322 cells increased after treatment with the JNK inhibitor SP600125 but not with the p38 inhibitor SB203580 (Fig. 9C). We next investigated whether JNK inhibition was sufficient to enhance ligand-induced activation of a DR5 response element. SP600125 increased ligand-induced DR5 activity by twofold, relative to the effect of ligand alone in H322 cells, but not in Calu-6 cells (Fig. 9D). Further, combined treatment with SP600125 and RA was sufficient to reduce cell proliferation by 50%, whereas treatment with either agent alone had no discernible effect (Fig. 9E). These findings support the hypothesis that JNK contributes to retinoid resistance in a subset of NSCLC cells.

## **DISCUSSION**

Retinoid receptors are transcriptionally activated by ligand binding. However, receptor activation is blocked by cellular stresses; for example, UV irradiation inhibits ligand-induced transcription of RXR-RAR target genes (76), and peptide growth factors decrease retinoid-induced expression of RXR-RAR target genes and block the biologic effects of retinoids on cells (3, 53). We previously demonstrated that RXR is phosphorylated in response to cellular stress (43), but findings presented here and by others (1) suggest that stress-induced RXR phosphorylation does not measurably alter RXR function or stability. This was evident in Fig. 2A, as the mobility of  $RXR\alpha$ protein was reduced in response to UV treatment, probably due to phosphorylation, but there was no change in protein levels. Thus, the mechanisms by which stress inhibits retinoid receptor function have not been fully defined. Here, we present the first evidence that  $RAR\alpha$  is a cellular target of JNK and that RAR phosphorylation is a crucial mediator of the effects of JNK activation on retinoid signaling.

Cellular stresses activate the stress-activated protein kinases, which include the MAP kinases JNK1 to -3 and p38/HOG-1 (66). These MAP kinases are activated directly by MKK4 and MKK6 through dual phosphorylation at Thr-183 and Tyr-185 (46). p42 and p44 MAP kinases are activated by receptor tyrosine kinases through Ras/Raf/MKK1 signaling pathways (8). MAP kinases (p42, p44, p38, and JNKs) phosphorylate certain nuclear hormone receptors, including glucocorticoid receptor, estrogen receptor, peroxisomal proliferator-activated receptor  $\lambda$ , RAR, RXR, and steroidogenic factor 1 (1, 9, 19, 20, 26, 31, 43, 64, 72). Here, we have extended these findings by providing the first evidence that RAR is a stress kinase substrate. The three JNK phosphorylation sites we identified on  $RAR\alpha$  are conserved across mammalian species. Two of the JNK sites on RAR $\alpha$ , T181 and S445, are conserved on RAR $\gamma$ but not RAR<sub>B</sub>, and RAR<sub>B</sub> was conspicuously resistant to degradation by UV radiation, providing evidence that the presence of these sites correlates with protein degradation by UV radiation. Our findings differed from those of Wang and colleagues (76), who showed that UV radiation induced loss of RAR protein by decreasing mRNA levels rather than inducing proteolysis. This difference may reflect the much higher UV dose administered in their study or biological differences between the cell types in the two studies. Together, these findings demonstrate that MAP kinases phophorylate a wide range of nuclear receptors, raising the possibility that MAP kinases are crucial regulators of nuclear receptor function. Indeed, phos-



FIG. 9. Role of JNK in retinoid resistance in lung cancer. (A) JNK levels and activity in NSCLC and HBE cells. NSCLC cell lines and the simian virus 40-immortalized HBE cell line BEAS2B were treated  $(+)$  or not treated  $(-)$  with SP600125 (15  $\mu$ M) for 16 h. JNK was immunoprecipitated from cell lysates and subjected to immune complex kinase assays with GST–c-Jun as a substrate. The same lysates were analyzed for JNK protein levels by Western blotting (bottom). (B) Effect of RA on BEAS-2B and H322 cell growth. BEAS2B and H322 cells were treated for 6 days with medium alone or 1  $\mu$ M RA and subjected to MTT assays. Results are expressed as the means  $\pm$  standard deviations from three identical wells. (C) Effect of JNK and p38 inhibitors on RAR levels. H322 cells were treated for 16 h with medium alone, the JNK inhibitor SP600125 (SP) (15  $\mu$ M), or the p38 inhibitor SB203580 (SB) (10  $\mu$ M) and lysed, after which the cell lysates were subjected to Western blotting. (D) Effect of RA and SP600125 on RARE activity. H322 and Calu-6 cells were transiently cotransfected with  $\beta$ -Gal vector and DR5TK-luc reporter constructs. Transfectants were treated for 16 h with medium alone, RA (1  $\mu$ M), SP600125 (1  $\mu$ M), or both and subjected to luciferase assays. Values were corrected for differences in transfection efficiency and expressed as the means  standard deviations from three identical wells. (E) Effect of RA and SP600125 on H322 cell growth. H322 cells were treated for 4 days with medium alone, RA  $(1 \mu M)$ , SP600125 (5  $\mu$ M), or both, and cell density was measured by MTT assays. Values were expressed as the means  $\pm$  standard deviations from three identical wells.

phorylation induces profound changes in nuclear receptor properties, including alterations in DNA binding, ligand binding, and transcriptional activation, in a receptor-specific fashion (7, 31, 72).

We found that stress induced  $RAR\alpha$  proteolysis through the ubiquitin-proteasome pathway. UV treatment did not degrade an  $RAR\alpha$  mutant lacking JNK phosphorylation sites (RARm3), indicating that phosphorylation by JNK was necessary for  $RAR$  degradation. Notably,  $RAR\alpha$  degradation by UV was

ligand independent. In vitro assays revealed that JNK could efficiently phosphorylate both liganded and unliganded  $RAR_{\alpha}$ (data not shown), suggesting that conformation changes due to ligand binding did not sterically hinder  $RAR_{\alpha}$  phosphorylation by JNK. Heretofore, nuclear receptor degradation had been observed only in the presence of ligand (23, 39, 48). RXR degrades when heterodimerized with RAR or thyroid receptor, presumably through conformational changes in response to ligand binding by RAR or thyroid receptor (60). In the case

of  $RAR<sub>Y</sub>$ , ligand-induced receptor degradation is activated by p38, which phosphorylates  $\text{RAR}_{\gamma}$  (19). Further, the 26S proteasomal subunit SUG1 associates with the C terminus of  $RAR<sub>Y</sub>$  and contributes to ligand-induced degradation (19, 74). In light of these findings, we tested the hypothesis that cellular stress and ligand binding induce  $\text{RAR}_{\alpha}$  degradation through a common pathway. We found that RAR-m3 and wild-type  $RAR\alpha$  were degraded to a similar extent in response to ligand binding, arguing against this hypothesis. Additional studies will be needed to understand why our findings do not support the  $RAR<sub>Y</sub>$  model (19). Potentially contributing to this difference, the RAR $\gamma$  sites phosphorylated by p38 (serines 66 and 68) differed from the RAR $\alpha$  sites phosphorylated in response to UV treatment. As a consequence, stress and ligand may differ with respect to the specific F-box proteins and proteasomal complexes that are required for ubiquitination and proteolysis of these RAR family members. Supporting this hypothesis, we observed that the kinetics of  $RAR\alpha$  degradation differed in response to phosphorylation and ligand binding.

In UV-treated skin,  $RAR\gamma$  expression decreases coincidentally with suppression of ligand-induced RAR target gene expression (76). We observed a similar temporal linkage between  $RAR\alpha$  loss and suppression of retinoid signaling by UV treatment. In testing the hypothesis that  $RAR_{\alpha}$  degradation is sufficient to suppress ligand-induced RARE activation, we found that transfection of RAR-m3 only partially blocked suppression of RARE activity by activated MEKK-1, arguing against the hypothesis that  $RAR_{\alpha}$  phosphorylation is sufficient. Thus, we conclude that UV-induced suppression of retinoid signaling requires phosphorylation of  $RAR_{\alpha}$  and other substrates, including, possibly,  $RAR_{\gamma}$ ,  $RAR$  transcriptional coactivators (which are kinase substrates), and components of the transcription initiation complex (2, 11, 77). These substrates may be phosphorylated by JNK or by other stress kinases activated by UV. The complexity of UV effects stands in contrast to what seems to be a simpler mechanism in H322 NSCLC cells, in which JNK inhibition alone was sufficient to enhance  $RAR\alpha$  levels and potentiate ligand-induced  $RARE$ activation. Thus, we conclude that mechanisms of retinoid resistance in response to UV treatment differ from those found in NSCLC cells.

Investigations into the basis of retinoid resistance in NSCLC have revealed retinoid receptor functional defects. RAR and RXR family members expressed in NSCLC cells show no evidence of genetic mutations (17, 18). Transfection of wild-type RARs and RXRs does not restore ligand-inducible transcriptional activity (85), suggesting that the defect is extrinsic to the receptor complex. Although biochemical evidence suggests either a loss of RAR transcriptional coactivators or the presence of transcriptional suppressors (57), the precise nature of this defect has not been fully defined. We sought to examine the role of JNK in RAR dysfunction in NSCLC cells. MAP kinases are activated in NSCLC cells as a consequence of K-*ras* gene mutations, which are found in 30% of lung adenocarcinomas, and overexpression of receptor tyrosine kinases such as ErbB1 and ErbB2, which occurs in approximately 50% of NSCLC cells (30, 55, 65). We previously showed that retinoid treatment suppresses JNK activity in NSCLC cells by increasing the expression of MAP kinase phosphatase-1 and that increased

MAP kinase phosphatase-1 expression correlates with enhanced RAR transcriptional activation by ligand (41).

On the basis of these findings, we hypothesized that JNK phosphorylates RARs, inhibiting ligand-induced transcriptional activation of the receptors and contributing to retinoid receptor dysfunction in NSCLC cells. Findings reported here in two models, a panel of NSCLC cell lines and K-*rasLA1* mice, support this hypothesis and raise the possibility that JNK contributes to retinoid resistance through phosphorylation and degradation of RAR, which is the focus of ongoing studies. However, our findings in H322 cells have not excluded the possibility that JNK has substrates other than RAR that contribute to retinoid refractoriness. Previous studies indicate that retinoid resistance in NSCLC is a complex, multifactorial process. Two orphan nuclear receptors, COUP-TF and TR3 (also known as Nurr77), regulate retinoid sensitivity through COUP-TF/TR3 interactions, which inhibit RAR target gene expression, and through COUP-TF binding to DNA promoter elements in RAR target genes, which enhances RAR target gene expression (47, 82). Further, RAR target genes are silenced in NSCLC cells epigenetically through methylation of CpG islands in DNA promoters (73). We observed increased RAR<sub>B</sub> mRNA levels with RA treatment in Calu-6 cells but not in HeLa or COS-1 cells (data not shown), indicating that cell type-dependent mechanisms also regulate RAR<sub>B</sub> expression. Thus, during the process of malignant transformation, HBE cells acquire multiple biochemical and genetic changes that cause them to escape the normal growth inhibitory effects of retinoids.

These findings have implications from the standpoint of lung tumorigenesis. Retinoids are crucial for normal embryogenesis and the maintenance of epithelial cell morphology and function (49). Retinoid signaling is blocked by peptide growth factors and cellular stress, which contribute to lung tumorigenesis (3, 53). Conversely, retinoids suppress activation of kinase pathways by peptide growth factors (28, 44, 59, 84). Thus, mutual antagonism between growth stimulatory and inhibitory pathways creates a balance that is critical to maintaining normal cellular behavior. Signaling pathways required for normal development and cellular function are often suppressed or mutated in cancer (21). Consistent with this theme, expression of RARs is suppressed in NSCLC cells, and reintroduction of RARs inhibits the growth of lung cancer cells (75, 78). Further, TR3 is a kinase substrate that enhances the mitogenic effects of peptide growth factors in NSCLC cells (34). From these findings, kinase pathways contribute to lung tumorigenesis, in part, through their effects on nuclear receptors, including RARs.

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