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## Expression of mutant DISC1 in Purkinje cells increases their spontaneous activity and impairs cognitive and social behaviors in mice

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### Abstract

In addition to motor function, the cerebellum has been implicated in cognitive and social behaviors. Various structural and functional abnormalities of Purkinje cells (PCs) have been observed in schizophrenia and autism. As PCs express the gene *Disrupted-In-Schizophrenia-1* (*DISC1*), and *DISC1* variants have been associated with neurodevelopmental disorders, we evaluated the role of *DISC1* in cerebellar physiology and associated behaviors using a mouse model of inducible and selective expression of a dominant-negative, C-terminus truncated human *DISC1* (mutant *DISC1*) in PCs. Mutant *DISC1* male mice demonstrated impaired social and novel placement recognition. No group differences were found in novelty-induced hyperactivity, elevated plus maze test, spontaneous alternation, spatial recognition in Y maze, sociability or accelerated rotarod. Expression of mutant *DISC1* was associated with a decreased number of large somata PCs (volume: 3000–5000  $\mu\text{m}^3$ ) and an increased number of smaller somata PCs (volume: 750–1000  $\mu\text{m}^3$ ) without affecting the total number of PCs or the volume of the cerebellum. Compared to control mice, attached loose patch recordings of PCs in mutant *DISC1* mice revealed increased spontaneous firing of PCs; and whole cell recordings showed increased amplitude and frequency of mEPSCs without significant changes in either  $R_{\text{input}}$  or parallel fiber EPSC paired-pulse ratio. Our findings indicate that mutant *DISC1* alters the physiology of PCs, possibly leading to abnormal recognition memory in mice.

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### Disclosure

The authors declare no competing financial interest and have nothing to disclose.

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## Keywords

cerebellum; Purkinje cells; schizophrenia; autism; DISC1

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## Introduction

It is widely believed that the cerebellum is involved in motor activity and coordination (Evarts and Thach, 1969). However, the cerebellum also has extensive connections with the brain regions (e.g., prefrontal and posterior parietal cortex) implicated in cognitive and social aspects of human behavior (Clower et al., 2005). Consistently, decreased gyrification, smaller granular and molecular layers of the vermis and loss of Purkinje cells (PCs) have been associated with social, emotional, and cognitive dysfunction in schizophrenia (Andreasen and Pierson, 2008; Martin and Albers, 1995; Schmahmann, 1991; Schmitt et al., 2010; Snider, 1982; Supprian et al., 2000; Yeganeh-Doost et al., 2011). A decreased number of PCs (Kemper and Bauman, 1998; Kern, 2003) and abnormal sizes and shapes of neurons of the deep cerebellar nuclei were observed in autism spectrum disorders (ASD) (Amaral et al., 2008; Palmen and van Engeland, 2004). High incidence of cerebellar movement disorders such as limb dysmetria were observed in children diagnosed with ASD (Papadopoulos et al., 2012).

*Disrupted In Schizophrenia 1 (DISC1)* is a psychiatric gene disrupted by the balanced (1:11) (q42.1; q14.3) translocation, segregating in the Scottish family with several major psychiatric disorders, including schizophrenia, depression, and bipolar disorder (Blackwood et al., 2001; Millar et al., 2000; St Clair et al., 1990). Recent studies have reported association of *DISC1* polymorphisms with ASD as well (Chakirova et al., 2011; Kanduri et al., 2016; Kilpinen et al., 2008; Zheng et al., 2011). Schurov et al (2004) and Gaudarzi et al (2013) found that PCs were predominantly positive for DISC1. However, other small cells in the molecular and the granular layer also demonstrated some DISC1-positive immunoreactivity. Ma et al (2002) and Austin et al (2003) were unable to detect DISC1 expression in PCs but found it in glial cells of the molecular layer and Bergmann glia cells only (Austin et al., 2003; Bord et al., 2006; Goudarzi et al., 2013; Ma et al., 2002; Schurov et al., 2004). However, the role of DISC1 in cerebellar physiology and associated behaviors has not been evaluated. To this end, we generated a mouse model of inducible and selective expression of C-terminus truncated human DISC1 (mutant DISC1) in PCs and assessed the morphological and electrophysiological properties of PCs as well as behavioral and cognitive phenotypes in mutant DISC1 mice.

## Materials and Methods

### Animals

Our mouse model of inducible expression of human mutant DISC1 in the cerebellum is based on the Tet-off system (SFig.1) (Ovanesov et al., 2008). In order to express mutant DISC1 in PCs, heterozygous Parv2A-tTA2 single transgenic male or female mice (generated and kindly provided by Dr. Hongkui Zeng at the Allen Institute for Brain Science, Seattle, WA) were crossed with homozygous single transgenic TRE-mutant DISC1 mice (line 1001)

(Ovanesov et al., 2008). This breeding protocol produces litters with ~50% single transgenic mice (TRE-mutant DISC1) that do not express mutant protein but have the transgenic insertion (control mice) and ~50% double transgenic mice (Parv2A-tTA2; TRE-mutant DISC1) that express mutant protein (mutant DISC1 mice). Thus, a balanced combination of paternal or maternal backgrounds was used; and each litter had pups of both genotypes mitigating possible effects of unequal treatment from nursing dams.

All mice were backcrossed to the C57BL/6j background for at least 12 generations. Both male and female mice were used in all experiments. Mouse pups were weaned on postnatal day (P) 21 and housed in sex-matched groups of five in standard mouse cages on a 12-h light/dark cycle at a room temperature of 23°C with free access to food and water.

Mouse tails were used for genotyping as previously described (Ovanesov et al., 2008). The sequences of the primers are presented in Supplemental Table 1. The animal protocol was approved by the Johns Hopkins University Animal Care and Use Committee.

### Western Blotting

In order to evaluate protein levels of mutant DISC1 across postnatal development, control and mutant male and female mice were euthanized at postnatal days (P) 0, 10, 21, 60 or 150. Brains were quickly removed and frontal cortex, hippocampus and cerebellum were isolated in ice-cold phosphate buffered saline (PBS), frozen on dry ice and were kept at 80°C until used. Expression of mutant DISC1 was measured using our published protocol (Ovanesov et al., 2008). Briefly, membranes were incubated overnight at 4°C with mouse anti-c-*myc* antibody (Roche Applied Science, Madison, WI, Cat#11667149001, 1:1000) to assess expression of *myc*-tagged mutant DISC1 followed by peroxidase-conjugated goat anti-mouse (Sigma-Aldrich, St. Louis, MO; Cat # NA931-1ML, 1:1000) secondary antibody. Optical density (O.D.) of protein bands on each digitized image was normalized to that of loading control ( $\beta$ -tubulin or  $\beta$ -actin, Cell Signaling, Danvers, MA; 1:3000). Densitometry was done using the ImageJ software (<https://imagej.nih.gov/ij/>). Normalized values of 3–4 mice per group of both sexes were used for analysis.

### Behavioral tests

Behavioral tests were performed on 2–5 month old mice. The interval between each behavioral test was at least one week. The tests were performed in the following order: elevated plus maze, open field test, spontaneous alteration, spatial recognition memory test, novel place recognition, sociability and social novelty test, fear conditioning, and accelerating rotarod test. The behavioral protocols are described in detail in the Supplemental Materials.

### Histopathological analyses

For the histopathological evaluation, we used a separate cohort of control and mutant DISC1 male and female mice (P21) and mice employed in the behavioral tests (P150). Mice were deeply anesthetized with Forane (isoflurane USP, NDC 10019-360-60, Baxter Healthcare Corporation, Deerfield, IL, USA) and transcardially perfused with 0.1 M phosphate buffer (PBS; pH 7.4) with heparin (10000 U/L), and then perfused with 4.0% paraformaldehyde in

0.1 M PBS. The brains were dissected out and post-fixed in 4.0% paraformaldehyde in 0.1 M PBS for 24 h at 4°C. After cryoprotection with 30% sucrose in 0.1 M PBS for 48 h, the brains were cut into 40 µm thick parasagittal sections. Sections were stained with cresyl violet or H&E for stereology and histopathological assessments.

### Stereology assessments

We evaluated the effect of mutant DISC1 on volume of the cerebellum, total number of Purkinje cells and Purkinje cell size. Measurements and analyses were performed by an investigator blind to the groups' identities using the Cavalieri and the Optical Disector/Fractionator and Nucleator methods (Stereo-Investigator; MBF Bioscience, Williston, VT) as previously described (Manaye et al., 2007; Subbiah et al., 1996; West, 1993). The detailed protocols are described in the Supplemental Materials.

In order to assess possible neuroinflammation in the brain of mutant mice, adjacent sections were stained with avidin–biotin immunohistochemistry (Vector, Burlingame, CA, USA) with rabbit anti-Iba1 (Wako Chemicals USA, Inc., Richmond, VA, Cat # 019-19741, 1:1000) or mouse anti-GFAP (Abcam PLC, Cambridge, MA, Cat # ab10062, 1:1000) antibodies followed by biotinylated anti-rabbit or anti-mouse Immunoglobulin G (IgG, Vector, Burlingame, CA, USA) antibodies.

### Fluorescent immunostaining

Separate cohorts of male and female mice were used for immunostaining at P21. In order to visualize PCs that express mutant DISC1 for single cell immunostaining analyses and electrophysiological recording (described below), control or mutant DISC1 mice were crossed with TIGRE-Ins-TRE-tdT-D-554 (Ai63; generated and kindly provided by Dr. Hongkui Zeng at the Allen Institute for Brain Science, Seattle, WA) mice that express tdTomato under the same promoter. This breeding protocol produced double transgenic mice (Parv-2A $\tau$ TA2; TIGRE-Ins-TRE-tdT-D-554) that express tdTomato only in PCs (control-tdTom mice) or triple transgenic mice (Parv2A- $\tau$ TA2; TIGRE-Ins-TRE-tdT-D-554; TRE-mutant DISC1) that express both mutant DISC1 and tdTomato in the same PCs (mutant DISC1-tdTom mice). Briefly, after incubating brain sections in the blocking solution for 1 h at room temperature (RT), the sections were incubated overnight at 4°C with the primary antibodies: mouse monoclonal anti-SC35 splicing factor, (Thermo Fisher Scientific, Waltham, MA; 1:200); goat anti-mCherry, (SicGEN, Cantanhede, Portugal, Cat # AB0040-200; 1:200) or rabbit anti-Calbindin D28K (EMD Millipore, Billerica, MA, Cat # AB1778; 1:400). Afterwards, the sections were incubated for 1 h at RT with the corresponding Alexa 488-, 568-, 633-labeled species-specific secondary antibodies (Thermo Fisher Scientific, Waltham, MA former Life Technologies, Carlsbad, CA, 1:500). Images were taken with a Zeiss LSM 510 confocal laser scanning microscope with 40x/1.3 oil DIC objective at the Johns Hopkins University Neuroscience Multiphoton/Electrophysiology Core Facility.

### Image analysis

To measure levels of SC35-immunoreactivity (SC35-ir) of individual PCs in confocal images of the cerebellum in mutant DISC1-tdTom and control-tdTom mice, the Imaris

software (Bitplane AG, Zurich, Switzerland) was used. Using mCherry (tdTomato) channel, we selected the entire surface of the soma of a PC to generate a region of interest (ROI) defined by the program as “3D soma surface” for the selected PC. We then generated a new “SC35in” channel that included SC35-ir signal within the 3D surface of the PC. During image processing the images were compared with the original raw data. The thresholds were determined to contain PC soma and SC35 volumes inside the PC soma, and were applied to all images. Subsequently, SC35-ir intensity within the 3D surface of individual PCs was calculated as volumetric ratio of SC35-ir (Volume of SC35in/PC Soma Volume) and compared between randomly selected PCs of the cerebellar vermis of control-tdTom and mutant DISC1-tdTom mice.

In addition, we determined a correlation between calculated volume of PC soma and total volume of SC35-ir within the 3-D surface of that PC for each group of mice (17 PCs from 3 control tdTom mice and 11 PCs from 3 mutant DISC1-tdTom mice were used for analysis).

### **Slice preparation, electrophysiology and visualization of dendritic tree in Purkinje cells**

The method used for slice preparation and recording of mEPSCs was adapted from Shin and Linden (2005)(Shin and Linden, 2005). Briefly, parasagittal slices of cerebellar vermis (300  $\mu\text{m}$  thick) were prepared from control-tdTom or mutant DISC1-tdTom mice at P28-35. A vibrating tissue slicer (Leica VT1000S) was used to cut slices in ice-cold standard artificial cerebrospinal fluid (ACSF) containing (in mM): 124 NaCl, 2.5 KCl, 1.3  $\text{MgCl}_2$ , 2.5  $\text{CaCl}_2$ , 1  $\text{NaH}_2\text{PO}_4$ , 26.2  $\text{NaHCO}_3$  and 20 glucose bubbled with 95%  $\text{O}_2$  /5%  $\text{CO}_2$  (pH 7.4). Slices were allowed to recover in a submerged chamber for 30 min at 32°C, and then at RT until they were used. The slices were placed in a submerged recording chamber (SD Instruments) that was perfused at a flow rate of 2 mL/min with room temperature ACSF and 5  $\mu\text{M}$  gabazine to block GABA-A receptors. Visualized whole cell patch-clamp recording was performed with a Zeiss Axioskop FS with tdTomato fluorescence to identify Purkinje cells with mutant DISC1 expression and a Multiclamp 700A amplifier (Axon Instruments, Union City, CA). Glass electrodes (PG 10165-4, World Precision Instruments) for stable recording (2–4 M $\Omega$ ) of Purkinje cell miniature excitatory postsynaptic currents (mEPSCs) were filled with a solution containing (in mM) 88  $\text{Cs}_2\text{SO}_4$ , 10 EGTA, 4  $\text{MgSO}_4$ , 4  $\text{CaCl}_2$ , 1.5  $\text{MgCl}_2$ , 4  $\text{Na}_2\text{-ATP}$ , 0.3  $\text{Na}_3\text{-GTP}$ , and 0.1 D600 (pH 7.2) (Dittman and Regehr, 1996). Cells were voltage-clamped at  $-70$  mV unless otherwise indicated. The currents were filtered at 2 kHz and digitized at 10 kHz. For mEPSC analysis, a template was made to detect events in pClamp10 software (Axon Instruments), by averaging 30 hand-picked mEPSC events. When detecting events, the template match threshold was set to 4. For extracellular stimulation, standard patch pipettes filled with ACSF were used. To estimate paired-pulse ratio, parallel fibers were stimulated in the distal part of the molecular layer. Test stimulation was given using paired-pulses (80 ms or 120 ms intervals) at a frequency of 0.33 Hz using 10–20  $\mu\text{A}$  pulses (100- $\mu\text{s}$  duration). Stimulus strength was adjusted so that the first EPSC did not exceed 200 pA. After 15–20 single EPSCs with stable amplitude a total of 20 trains (alternating 10 trains with 80 ms and 10 trains with 120 ms intervals) were applied at 20 s intervals one by one.

The method of extracellular recordings was adapted from Dizon and Khodakhah (2011) (Dizon and Khodakhah, 2011). Briefly, the slice temperature was maintained at 35±1 °C by adjusting the temperature of the bathing solution. Single-unit extracellular recordings were made from cerebellar Purkinje cells of anterior lobules with glass pipettes filled with ACSF. Purkinje cells with mutant DISC1 expression were identified by their tdTomato fluorescence. The pipette tip was placed near the axon hillock where the largest current changes were recorded (Womack and Khodakhah, 2004), resulting in spike heights of ~ 50–400 pA. A total of 20 epochs with a 5-s duration were recorded with minimal pauses between epochs.

To select healthy Purkinje cells for analysis and to quantify PC dendritic tree morphology, a fluorescent dye, Alexa 488 (Thermo Fisher Scientific, Waltham, MA former Life Technologies, Carlsbad, CA) was added in the recording pipette solution. Alexa 488 was excited by an Argon ion laser (488 nm), and emission was collected using a 505-nm dichroic mirror and a 505–530 nm bandpass filter. Images of PCs were taken 30 min after patching the cell in z-stack confocal mode with 1024 x 1024 pixel resolution and z-spacing 1 µm. For image analysis we used a method adapted from Kaneko et al. (2011) (Kaneko et al., 2011). Briefly, the dendrites of stained PCs were reconstructed in 3-D and automatically measured using Imaris software (Bitplane AG, Zurich, Switzerland). The area occupied by the dendritic tree (a polygon of dendritic tips), length of a dendrite branch, total length of all dendrites and total number of dendrite branches for each PC were calculated and used for analysis.

SR 95531 hydrobromide (gabazine) was purchased from Tocris Cookson (Ellisville, MO), and TTX from Abcam (Cambridge, MA). All other chemicals were purchased from Sigma-Aldrich (St. Louis, MO).

### Statistical analysis

Results are expressed as mean ± standard error of the mean (±SEM) throughout. The behavioral data was analyzed with ANOVA, with the genetic background, sex and time of testing (if applicable) as independent variables. Significant main effects were explored further with lower level ANOVAs and/or post hoc comparisons. The morphometric results and electrophysiological data were analyzed with two-tailed Student t-test.  $p < 0.05$  was used for the significance level.

## Results

### Selective expression of mutant DISC1 in Purkinje cells

We detected expression of mutant DISC1 in the cerebellum but not in the cortex or hippocampus (Fig. 1A). Consistent with the features of a Tet-off system, cerebellum-restricted expression can be regulated by doxycycline (Fig. 1B). Expression of mutant DISC1 in the cerebellum starts at approximately the first postnatal week and rises to the maximal levels by adulthood (Fig. 1C–D). In order to identify the cerebellar cells that express mutant DISC1, Parv-2AtTA2 mice were crossed with the reporter line, TIGRE-Ins-TRE-tdTomato-D-554. tdTomato fluorescence was observed in Purkinje cells (PCs) of the

cerebellar vermis and hemispheres (Fig. 1E–H). In the cerebellar vermis, tdTomato-positive PCs were predominantly found in lobuli II - VI of the anterior cerebellum. Fewer tdTomato-positive PCs were observed in lobuli VI (the external side) – X of posterior cerebellum (Larsell, 1970) (Fig. 1E, SFig. 2). We also observed axons of tdTomato-positive PCs in the white matter and cerebellar nuclei (Fig. 1E, 1I). No other types of tdTomato-positive cells were seen in the cerebellum or other brain regions.

### Impaired social and spatial recognition

There are multiple reports on behavioral effects of mutant (a.k.a. dominant-negative or C-terminus truncated) DISC1 (Ayhan et al., 2011; Kaminitz et al., 2014; Kuroda et al., 2011; Ma et al., 2013; Ovanesov et al., 2008). Thus, we performed a series of behavioral tests to assess whether selective expression of mutant DISC1 in PCs would affect different domains of mouse behavioral repertoire.

**Novel place recognition test**—To evaluate the effects of mutant DISC1 on novel place recognition, mice were tested in the novel place test (Antunes and Biala, 2012; Leger et al., 2013). Male control mice showed a preference for an object in a new location ( $t=3.22$ ,  $p=0.004$ ) (Fig. 2A) and female control mice displayed a trend for a preference of an object in a new location ( $t=2.01$ ,  $p=0.10$ ) (Fig. 2B). Both male and female mutant DISC1 mice, however, showed no preference for an object in a new location ( $t=0.80$ ,  $p=0.67$ ,  $t=0.16$ ,  $p=0.98$ ) (Fig. 2A–B).

**Social behaviors**—Mice were tested in a 3-chamber test box (Moy et al., 2004). All groups of mice preferred a mouse over an inanimate object,  $F(1, 46)=14.59$ ,  $p=0.02$  (SFig. 3A–B). In the preference for social novelty test, planned comparisons indicate that control male mice prefer a novel mouse ( $t=2.76$ ,  $p=0.01$ ) and control female mice show a trend toward a preference for a novel mouse ( $t=1.60$ ,  $p=0.12$ ), but neither mutant male nor female mice do ( $t=0.504$ ,  $p=0.62$  and  $t=0.70$ ,  $p=0.50$ ) (Fig. 2C–D).

**Anxiety related behavior**—To assess anxiety related behavior, mice were tested in the elevated plus maze, a standard test for anxiety in rodents (Pellow et al., 1985; Walf and Frye, 2007). There was no effect of sex,  $F(1, 46)=0.27$ ,  $p=0.61$ , or genotype,  $F(1, 46)=3.28$ ,  $p=0.08$ , on the percent time spent in the open arms (SFig. 4). Furthermore, expression of mutant DISC1 in PCs did not alter locomotor behavior in the open field test,  $F(3, 46)=0.17$ ,  $p=0.92$  (SFig. 5).

**Spatial working and recognition memory**—To assess spatial working memory and spatial recognition memory, mice were tested in the Y-maze (Deacon and Rawlins, 2006). There was no effect of genotype on spontaneous alternations in the Y-maze,  $F(1, 46)=0.42$ ,  $p=0.52$  (SFig. 6A). There was no effect of genotype on spatial recognition measured as the time spent in the previously blocked arm of the Y maze,  $F(1, 46)=0.91$ ,  $p=0.35$  (SFig. 6B).

**Fear conditioning**—To determine the effect of mutant DISC1 on associative learning, fear conditioning was conducted where mice learned to associate a context and a tone with a foot shock. Freezing behavior increased significantly over time for all groups as the cue and

shock were presented together during training,  $F(4, 184)=35.41$ ,  $p<0.0001$  (SFig. 7A). There were no genotype-dependent differences in the context-dependent freezing behavior,  $F(1, 46)=0.37$ ,  $p=0.55$ , or to the cue,  $F(1, 41)=0.02$ ,  $p=0.89$  (SFig. 7B–C). There was, however a significant difference in the cue-dependent freezing behavior between male and female mice,  $F(1, 41)=17.87$ ,  $p=0.0001$  (SFig. 7C).

**Effect of mutant DISC1 expression in PC on motor coordination**—To determine the effect of mutant DISC1 expression in PC on motor coordination, mice were assessed in the accelerating rotarod test. There were no differences between genotypes in the amount of time mice stayed on the rotarod,  $F(1, 26)=0.004$ ,  $p=0.95$  (SFig. 8).

Collectively, our behavioral results indicated impaired recognition memory in the novel place and novel social partner recognition tests in mutant DISC1 mice.

### Decreased number of PCs with bigger soma size

Various brain abnormalities (e.g., lateral ventricle enlargement) have been reported in several DISC1 mouse models (Ayhan et al., 2011; Doyle et al., 2015; Hikida et al., 2007). Thus, we assessed the brain effects of mutant DISC1 at postnatal day 21 (P21) and P150. We found no genotype-dependent effects on total volume of the cerebellum or total number of PCs (SFig. 9A–B). DISC1 has been also implicated in the regulation of cell soma size and neurite outgrowth (Duan et al., 2007; Kang et al., 2011; Kim et al., 2009). Analysis of PC soma size across of a range of the values revealed that compared to control mice, mutant DISC1 mice had significantly more PCs with smaller soma sizes (750–1000  $\mu\text{m}^3$ ) and significantly fewer PCs with larger soma sizes (4000–5000  $\mu\text{m}^3$ ) at P21 but not P150. Two-way repeated measures ANOVA of the PC size results found a significant effect of cell size,  $F(22,137)=28.81$ ,  $p<0.001$ , as well as the genotype by PC size interaction,  $F(22,137)=3.71$ ,  $p<0.001$  (Fig. 3A–B). These results indicate that mutant DISC1 mice affected PC growth, leading to decreased PC soma size.

We next evaluated whether mutant DISC1 could also influence the dendritic arborization of PCs. As strong overlapping of dendritic trees of neighboring PCs complicates estimation of dendritic morphology of PCs *in situ*, we performed a single cell analysis by sparingly filling PCs of the bank region with the fluorescent dye Alexa 488 through the recording pipette using *ex vivo* cerebellar slices (as described below). We found no significant genotype-dependent alterations in dendritic arborization of PCs in any of the measures taken, including area occupied by the dendritic tree (a polygon of dendritic tips), average length of dendrites, total length of all dendrites, or average number of dendritic branches (SFig. 10A–E).

As there is a possibility that expression of a heterologous mutant protein might trigger the innate immune response in the brain, we assessed the cellular markers of immune activation in the brain in mutant DISC1 mice. No up-regulation of the cellular markers of immune activation or inflammation (i.e., Iba1 and GFAP) was observed in the entire cerebellum of mutant DISC1 mice (SFig. 11A–B), suggesting that decreased soma size in mutant DISC1 PCs was unlikely related to the activation of the local innate immune response in the brain.



### Reduced PC expression of the splicing factor, SC35

Reduction of total RNA and rRNA expression, as well as protein translation, has been found in the hippocampus and primary neurons that express mutant DISC1 (Ji et al., 2014), suggesting that abnormalities in PC soma size distribution in mutant mice might be, at least in part, associated with the effects of mutant DISC1 on splicing and translational processes. We measured expression of the splicing factor, SC35, an indicator of RNA/protein synthesis (Sahebi et al., 2016; Zhou and Fu, 2013). We first measured levels of SC35-immunoreactivity (SC35-ir) in single PCs of control and mutant mice and then calculated a correlation between SC35-ir and the volume of PCs (Fig. 4A). We found a significant reduction of SC35-ir volumetric density (defined as a ratio of SC35-ir/Volume of PC soma) in mutant DISC1 mice compared to control mice (Fig. 4B). There was also a significant correlation between volume of SC35<sub>in</sub> inside PC soma and volume of the soma in control PCs ( $r=0.91$ ,  $p<0.05$ ;  $n=17$  cells analyzed from 3–4 mice), and the absence of correlation in mutant DISC1 mice ( $r=-0.14$ ,  $n=11$  cells analyzed from 3–4 mice). These findings suggest mutant DISC1 could affect expression of the SC35 splicing factor, possibly accelerating maturation and growth of PCs.

### Increased amplitude and frequency of mEPSCs and spontaneous firing in PCs

Altered electrophysiological and synaptic characteristics have been reported in several mutant DISC1 models. For example, mutant DISC1 reduced excitability of pyramidal neurons of the layer II/III of the medial prefrontal cortex and increased a transmitter release (Juan et al., 2014), enhanced mEPSCs frequency and altered kinetics of the evoked glutamate transient in cortical pyramidal neurons of layer 2/3 (Maher and LoTurco, 2012) and increased spontaneous EPSCs in forebrain neurons (Holley et al., 2013). Thus, we evaluated the effects of mutant DISC1 on the physiology of PCs. To assess the pre- and/or postsynaptic effects of mutant DISC1, miniature excitatory synaptic currents (mEPSCs) were recorded from PCs in the presence of 500 nM tetrodotoxin (TTX). We found that the frequency and amplitude of mEPSCs mediated by glutamatergic synaptic activity were significantly increased in mutant DISC1-tdTom PCs compared to controls (Fig. 5A–C). We did not find any group differences in mEPSC kinetics as indexed by the time to peak, half-width or 90–10% decay time (SFig. 12A–C). We observed no significant differences in the input resistance between control and mutant PCs ( $233\pm 28$  and  $244\pm 19$  M $\Omega$ , respectively,  $p=0.77$ ;  $n=15$ ).

Greater frequency and amplitude of mEPSCs in mutant PCs may be a result of increased probability of presynaptic release and/or altered sensitivity of the postsynaptic membrane. Thus, we assessed the effects of mutant DISC1 on the probability of glutamate release by measuring paired-pulse ratios (PPR) of evoked parallel fiber EPSCs in PCs of control-tdTom and mutant DISC1-tdTom mice. PCs were patch-clamped and held at 70 mV. A stimulation electrode was placed in the distal part of the molecular layer, and a paired-pulse test stimulus (80- or 120-ms interval) was delivered every 20 s to activate parallel fibers. No genotype-related differences in PPR were observed (SFig. 13A-B), arguing against a difference in probability of glutamate release underlying the observed increase in mEPSC frequency.

To assess whether increased mEPSCs in mutant DISC1 PCs could give rise to alterations in spontaneous spiking activity PCs, we used loose-patch attached extracellular recordings on single PCs in cerebellar slices of mutant DISC1-tdTom and control-tdTom mice without any receptor antagonists in ACSF. Compared to control PCs, we found a significant decrease in the inter-spike interval in mutant DISC1 PCs (Fig. 5D–E). Thus, mutant DISC1 increased amplitude and frequency of mEPSCs and spiking activity of PCs, indicating that expression of mutant DISC1 could affect these neurophysiological functions of PCs.

## Discussion

We generated a mouse model of selective and inducible expression of mutant DISC1 in Purkinje cells (PCs), with its predominant expression in the anterior cerebellum. Although mutant DISC1 mice did not show gross cerebellar abnormalities, expression of mutant DISC1 in PCs led to impaired social and novel placement recognition in male but not female mice. These behavioral abnormalities were associated with a decreased number of large soma PCs, increased amplitude and frequency of mEPSCs and increased spontaneous firing of PCs as assessed at P21.

Possible outcomes of the chromosomal translocation in the DISC1 Scottish pedigree include haploinsufficiency or the putative production of a mutant truncated DISC1 protein. The truncated human DISC1 may lose its normal localization and association with interacting proteins and affect the organization of protein interacting complexes via dominant-negative mechanisms (Kamiya et al., 2005; Ovanesov et al., 2008; Pletnikov et al., 2007). In the context of the present findings, it appears interesting to compare our data with those reported for several mouse models that over-express dominant-negative (a.k.a. mutant) DISC1 in forebrain neurons. Hikida et al. generated the model of constitutive expression of mutant DISC1 driven by the Ca<sup>2+</sup>/calmodulin-dependent protein kinase II (CAMKII) promoter (Hikida et al., 2007). In this model, mutant DISC1 was found in the olfactory bulb, frontal cortex, hippocampus, and basal ganglia starting from the neonatal period. Mutant DISC1 mice displayed increased activity, deficits in prepulse inhibition of the acoustic startle and increased immobility in the Porsolt forced swim test. These mice were also less sociable and exhibited decreased exploration of a novel inanimate object. In addition, decreased reversal learning and mental flexibility in cognitive and reward-related paradigms were observed (Johnson et al., 2013). Another model of inducible expression of mutant human DISC1 in forebrain neurons was generated using the Tet-off system (Ovanesov et al., 2008). In this model, expression of mutant DISC1 is also regulated by the CAMKII promoter and can be turned off by adding tetracycline or a related compound, doxycycline, to food or water. Similar to other mutant DISC1 mouse models, inducible expression of mutant DISC1 driven by the CAMKII promoter produced no gross developmental defects but significantly increased spontaneous locomotor activity in male but not female mice, decreased social interaction in male mice, enhanced their aggressive behavior and was associated with poorer spatial memory in the Morris water maze task in female mice (Ayhan et al., 2011; Ovanesov et al., 2008). Expression of mutant DISC1 driven by the hamster Prion protein promoter led to increased spontaneous and methamphetamine-induced locomotor activity, deficits in PPI and increased immobility in FST only after adolescent isolation stress (Niwa et al., 2013)

Collectively, the above data indicate that behavioral outcomes of over-expression of mutant DISC1 are dependent on where and when this dominant-negative factor is expressed.

Our behavioral data on autistic-like behaviors in mice with predominant expression of mutant DISC1 in PCs are consistent with those reported for various genetic and environmental models focused on cerebellar pathology and dysfunction, including the maternal immune activation mouse model (Shi et al., 2009; Xu et al., 2013), an early postnatal hypothyroidism rat model (Akaïke et al., 1991; Sadamatsu et al., 2006), Staggerer mice (Doulazmi et al., 2001; Goldowitz and Koch, 1986; Herrup and Mullen, 1979; Herrup et al., 1996; Lalonde et al., 1996; Misslin et al., 1986), *Shank2 and 3* knockout (KO) mice (Beri et al., 2007; Jiang and Ehlers, 2013; Peca et al., 2011; Peter et al., 2016), *Enlarged2* KO mice (Briellmaier et al., 2012; Cheh et al., 2006; Kuemerle et al., 2007; Kuemerle et al., 1997; Millen et al., 1994), *Fmr1* KO mice (Ellegood et al., 2010; Koekkoek et al., 2005; Olmos-Serrano et al., 2011; Yuskaitis et al., 2010), and PTEN conditional KO mice (Cupolillo et al., 2016).

Similar to the mild brain abnormalities in most DISC1 mouse models (Ayhan et al., 2011; Ovanesov et al., 2008), expression of mutant DISC1 did not produce gross pathology of the cerebellum. Still, it is tempting to speculate that the altered social behavior and deficient recognition memory may at least in part result from the cellular pathology observed in the cerebellum of mutant DISC1 mice. There were significant effects of mutant DISC1 on PC soma sizes at P21, with the genotype-related effect being insignificant at P150. We found a significantly greater number of smaller PCs in mutant mice and significantly more PCs with larger sizes were observed in control mice. Given the role of DISC1 in cell growth (Duan et al., 2007; Kang et al., 2011; Lee et al., 2015; Ren et al., 2016) and postnatal increase in PC soma size (Takacs and Hamori, 1994), one could speculate that expression of mutant DISC1 might affect PC growth, leading to an increased number of smaller PCs in mutant mice. This suggestion appears consistent with the findings of smaller PC soma size in the cerebellar vermis of patients with autism (Fatemi et al., 2002), although we see this difference only in early life in the present mutant mice.

One potential underlying mechanism of stunted growth of individual PCs in mutant mice could include decreased transcriptional and/or translational activity produced by mutant DISC1. Thus, we evaluated levels of expression of Serine/arginine-rich splicing factor 2 (SRSF2, a.k.a. SC35) that is a member of the SR family of proteins involved in RNA splicing (Sahebi et al., 2016; Zhou and Fu, 2013). In support of our hypothesis, we found decreased expression of SC35 in PCs that also express mutant DISC1. Moreover, there was a significant positive correlation between PC soma size and level of SC35, with bigger PC somas having greater expression of SC35. Our results are in line with previous reports on the effects of mutant DISC1 on rRNA expression and protein translation in the hippocampus and primary neurons from DISC1 humanized mice (Ji et al., 2014). In contrast to soma size effects, no significant effects of mutant DISC1 were found on dendritic arborization of PCs in mutant DISC1 mice. Our findings appear inconsistent with several studies that demonstrate that manipulation of expression of DISC1 in neurons leads to abnormal formation of axons or dendrites (Duan et al., 2007; Kaneko et al., 2011; Kang et al., 2011; Lee et al., 2015; Shinoda et al., 2007; Steinecke et al., 2012). It is possible that postnatal

expression of mutant DISC1 might have more subtle effects on PC physiology that might underlie the behavioral phenotypes observed in mutant mice.

In order to address this possibility, we recorded miniature excitatory postsynaptic currents (mEPSC) in PCs and found significantly increased frequency and peak amplitude in mutant DISC1 PCs compared to control ones. These synaptic abnormalities can be a result of changes in postsynaptic functions and/or presynaptic probability of the glutamate release. However, no alterations in paired-pulse ratio were observed in mutant PCs, suggesting that the increased frequency and amplitude of mEPSCs in mutant PCs is more likely due to some postsynaptic dysfunction.

PCs spontaneously fire action potentials (Llinas and Sugimori, 1980a; Llinas and Sugimori, 1980b; Nam and Hockberger, 1997; Womack and Khodakhah, 2002). This tonic pacemaking activity of PCs is assumed to be crucial for the correct encoding of cortical cerebellar information (De Zeeuw et al., 2011; Hoebeek et al., 2005; Kasumu and Bezprozvanny, 2012). Thus, we sought to evaluate how increased frequency of mEPSCs influences spontaneous spiking in PCs. We found a shorter inter-spike intervals in mutant DISC1 PCs. Our results are congruent with synaptic changes observed in other genetic mouse models of PCs abnormalities. Conditional deletion of the protein *riCTOR* (rapamycin-insensitive companion of mTOR) in PCs reduced frequency and amplitude of mEPSCs (Thomanetz et al., 2013). Although no synaptic alterations were found in mice that express mutant Tuberous Sclerosis Complex 1 (TSC1) protein, there was a significantly lower graded spontaneous spiking rate and reduced excitability in mutant TSC1 PCs (Tsai et al., 2012). Homozygous Staggerer mice (*sg/sg*) with functional loss of the transcription factor retinoid-related orphan receptor  $\alpha$  (ROR $\alpha$ ) have anomalous passive electrical properties of PCs, parallel fibers-evoked EPSCs with faster kinetics and a slightly decreased paired pulse facilitation (Mitsumura et al., 2011). Expression of human mutant ataxin-2 under the PC-specific *L7/pcp2* promoter increased the percentage of PCs with bursting and an irregular pattern of spontaneous activity (Egorova et al., 2016). PC pacemaker firing becomes disrupted and is accompanied by abnormal depolarization after the onset of motor dysfunction in *SCA1*[82Q] mice (Dell'Orco et al., 2015). Thus, similar to other genetic mutations expressed in PCs, mutant DISC1 affects synaptic and spontaneous firing activities of PCs.

There are multiple reports on the role of DISC1 in functioning of different types of neurons. Duan et al. (2007) (Duan et al., 2007) found lower membrane resistances and higher excitability in hippocampal newborn granular cells at 14 dpi after DISC1 knockdown. DISC1 knockdown was also shown to produce longer bursting activity of hippocampal primary cultured neurons at DIV11-12 (MacLaren et al., 2011). Patch-clamp analysis revealed no genotype dependence of the intrinsic properties of CA1 pyramidal neurons, but enhanced theta burst-induced long-term potentiation in the Schaffer collateral commissural pathway in mice expressing mutant DISC1 in pyramidal neurons (Booth et al., 2014). DISC1<sup>Tm1Kara</sup> mice demonstrated a significant decrease in input resistance, a decrease in excitability and reduced profound short-term synaptic plasticity (Kvajo et al., 2011). Expression of mutant DISC1 in forebrain neurons produced greater spontaneous EPSCs, the increased ratio of excitatory to inhibitory events and diminished cortical GABAergic

neurotransmission in male mutant mice, with female mutant DISC1 mice showing increased frequency of small-amplitude sIPSCs (Holley et al., 2013). Mutant DISC1 mice also demonstrated a reduced excitability of pyramidal neurons of the layer II/III of the medial prefrontal cortex and increased a transmitter release (Juan et al., 2014). *In utero* electroporation of truncated (mutant) DISC1 enhanced mEPSCs frequency and altered kinetics of the evoked glutamate transient in cortical pyramidal neurons of layer 2/3 (Maher and LoTurco, 2012). Taken together, the available data indicate that perturbation of expression of DISC1 in neurons either with a RNAi approach or with expression of DN-DISC1 can be associated with changes in both intrinsic and synaptic properties of targeted neurons. The nature of the outcome is dependent on the method by which levels of endogenous DISC1 were affected, time of changes and the brain region being evaluated. The future studies will utilize the inducible feature of this model to determine timing and reversibility of the effects of mutant DISC1 on PC physiology and associated behaviors to evaluate whether the actions of DISC1 could be a target for pharmacological manipulation.

Our findings demonstrate expression of mutant DISC1<sup>+</sup> PCs and their axonal projections in the medial, anterior interposed and lateral and posterior interposed nuclei. Functional roles of the cerebellar nuclei have been extensively studied. The consensus is that PCs of the vermis are mostly involved in regulation of locomotion, while PCs of the cerebellar hemispheres are important for cognitive function (Buckner, 2013; Klein et al., 2016; Šveljo and uli , 2015). Similarly, a gradient of functional specialization has been reported for the cerebellar nuclei. The medial and interposed nuclei have been implicated in motion and assessing the body's position, while the lateral nuclei may be involved in cognition and emotions (Brooks and Cullen, 2009; Strick et al., 2009). Given the wide distribution of various cerebellar projections in the cortex via the thalamic relay nuclei, one could speculate that expression of mutant DISC1 in the vermis and hemispheres could at least in part contribute to deficient recognition memory in mutant DISC1 mice. Additional studies are necessary to identify the circuits that mediate the cognitive effects of mutant DISC1<sup>+</sup> PCs.

In conclusion, the present data demonstrate that altered functioning of DISC1 in PCs of the cerebellum could lead to abnormal cell growth and synaptic properties that might contribute to impaired object and social recognition memory in mice, consistent with aspects of schizophrenia and ASD.

## Supplementary Material

Refer to Web version on PubMed Central for supplementary material.

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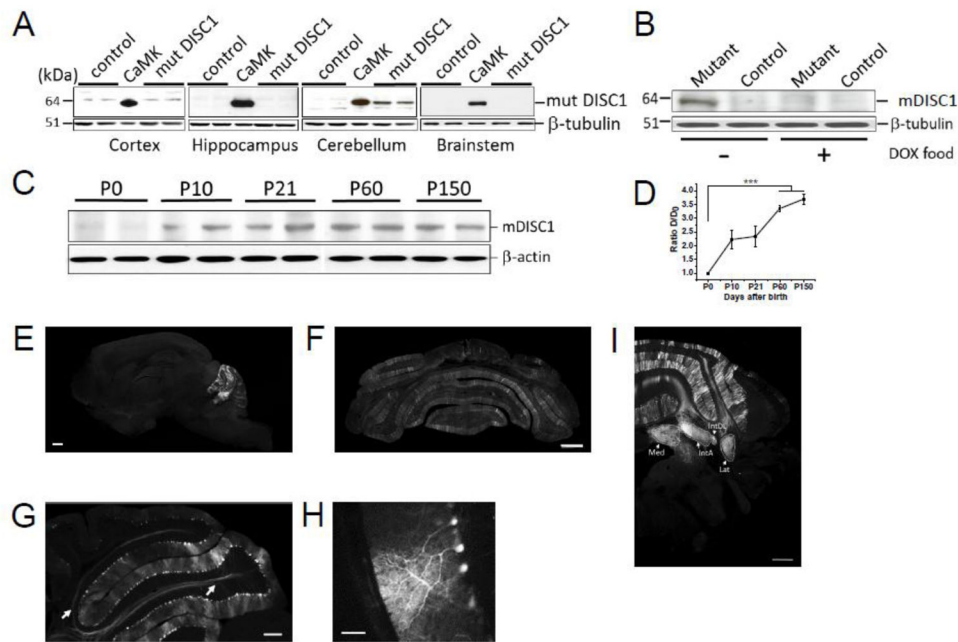


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### Highlights

- Expression of mutant DISC1 in Purkinje cells (PCs) of the cerebellum impaired novel place and social recognition memory
- Expression of mutant DISC1 decreased the number of PCs with large body size without affecting the total number or dendritic arborization of PCs at P21 but not P150
- Expression of mutant DISC1 increased spontaneous spiking activity as well as the amplitude and frequency of mEPSCs in PCs at P21
- DISC1 may be involved in physiology of PCs to contribute to cognitive and social behaviors



### Figure 1. Selective expression of mutant DISC1 in the cerebellum

(a) Western blotting detection of mutant DISC1 revealed selective expression of mutant DISC1 in the cerebellum but not in the cortex, hippocampus or brainstem; tissue brain samples were collected from cortex, hippocampus, cerebellum and brainstem of mutant DISC1 (mDISC1; double transgenic mice with expression of mDISC1) and control (single transgenic mDISC1 mice without expression of this mutant protein) mice; CaMKII denotes a forebrain sample from a mouse with expression of mDISC1 driven by the CaMKII promoter; this sample was used as a positive control (Pletnikov et al., 2008); mDISC1 was visualized with anti-*c-myc* antibody (1:1000);

(b) Consistent with the properties of the Tet-off system, adding doxycycline (DOX) to mouse food shuts down expression of mDISC1. Note the absence of expression of mutant DISC1 (mDISC1) in the sample from a mouse maintained for at least 7 days on DOX-containing food (DOX food); mDISC1 (64 kDa) was visualized with anti-*c-myc* antibody (1:1000),  $\beta$ -tubulin was visualized with anti-tubulin antibody (1:3000);

(c) Expression of mDISC1 was minimal in newborn mice and was gradually rising by adulthood. Whole cerebellum tissue samples were collected from mutant DISC1 mice at P0, P10, P21, P60, and P150; mDISC1 (64 kDa) was visualized with anti-*c-myc* antibody (1:1000),  $\beta$ -actin was visualized with anti-actin antibody (1:3000).

(d) Quantitative analysis of expression of mDISC1 across postnatal period confirmed the WB results, with expression of mDISC1 being minimal at P0 and significantly rising by adulthood,  $n=3-4$  in each sample; data are mean  $\pm$  SEM; one-way ANOVA with Bonferroni correction of the expression data showed a significant effect of time,  $F(4, 15)= 23.39$ ,  $p<0.001$ ; post-hoc comparisons showed significantly greater expression at P60 and 150 compared to P0, all  $ps<0.05$

(e-i) Crossing TRE-tdTomato reporter mice and Parv2A-tTA mice allowed us to evaluate the regional and cell type activity of the Parv2A-promoter used to drive expression of mDISC1; we found selective activity of the Parv2A promoter in the cerebellum but not in other brain

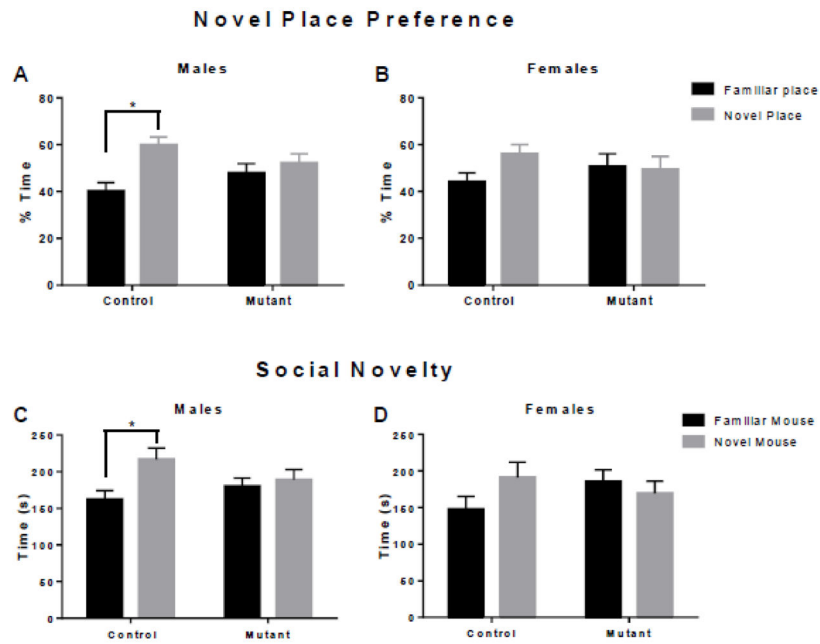
regions consistent with our WB data (e–f, scale bar –500  $\mu\text{m}$ , f – *Bregma* -5.64mm); and selective activity of the Parv2A promoter in PCs but not in other type of cerebellar cells (g–h; scale bar –200  $\mu\text{m}$  for g and 50  $\mu\text{m}$  for h). Note dtTomato<sup>+</sup> fibers that are likely axons of PCs (g, arrows) that innervate the cerebellar nuclei: Med – medial nucleus; IntA – interposed nucleus, anterior part; IntDL interposed nucleus, dorsolateral protuberance; Lat lateral nucleus (i; scale bar – 400  $\mu\text{m}$ , *Bregma* -6.0 mm).

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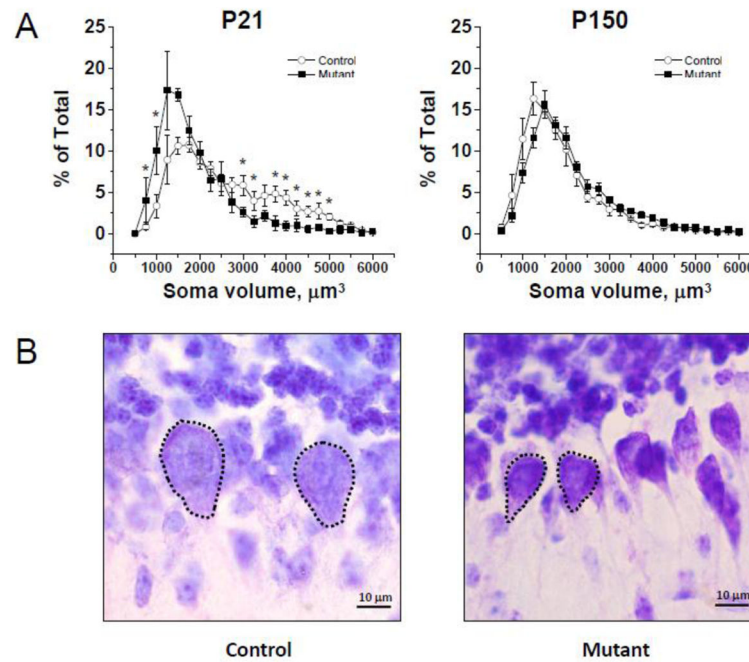
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**Figure 2. Impaired recognition memory in mutant DISC1 mice**

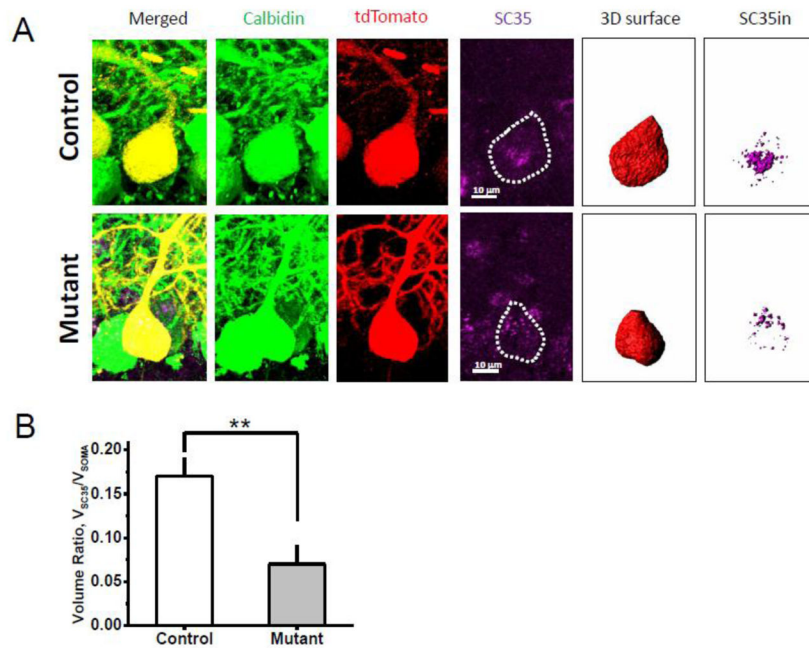
- (a) Novel place recognition test; control but not mutant DISC1 male mice spent significantly more time exploring an object moved to a novel place compared to the identical object remaining in the familiar place; the Y axis shows the time of exploration of the object in the familiar (black bar) or the novel (grey bar) place as the percentage of the total time spent exploring two objects in both places;  $n=12-16$  in each group; \* denotes  $p < 0.05$ ;
- (b) Control but not mutant DISC1 female mice displayed a strong trend towards preference for the object moved to the novel place,  $n=9-13$  in each group; all labels are same as in (a);
- (c) Social novelty preference test; control but not mutant DISC1 male mice spent significantly more time exploring an unfamiliar mouse compared to the familiar one; the Y axis shows the time of exploration of familiar (black bar) or novel (grey bar) mouse as the percentage of the total time spent exploring two mice;  $n=12-16$ , \* denotes  $p < 0.05$ ;
- (d) Control but not mutant DISC1 female mice showed a strong trend towards preference for an unfamiliar mouse,  $n=9-13$ ; all labels are same as in (c).



**Figure 3. Fewer PCs with large soma in mutant DISC1 mice**

(a) Quantitative analyses of volumes of PCs across the range of different values found the significantly greater number of PCs with small soma in mutant DISC1 mice and the significantly greater number of PCs with large soma in control mice at P21 but not P150; \* denotes  $p < 0.05$  vs. the opposite group; the Y axes show the percentage of PCs of different soma size ranges that are depicted on the X axis;

(b) Representative images of PCs with the large soma,  $4000\text{--}5000 \mu\text{m}^3$ , (the left panel, Control) and smaller soma,  $1000\text{--}2000 \mu\text{m}^3$ , (the right panel, Mutant) in the cerebellum of mice at P21, scale bar  $10 \mu\text{m}$ . PC somas are outlined with dotted lines.

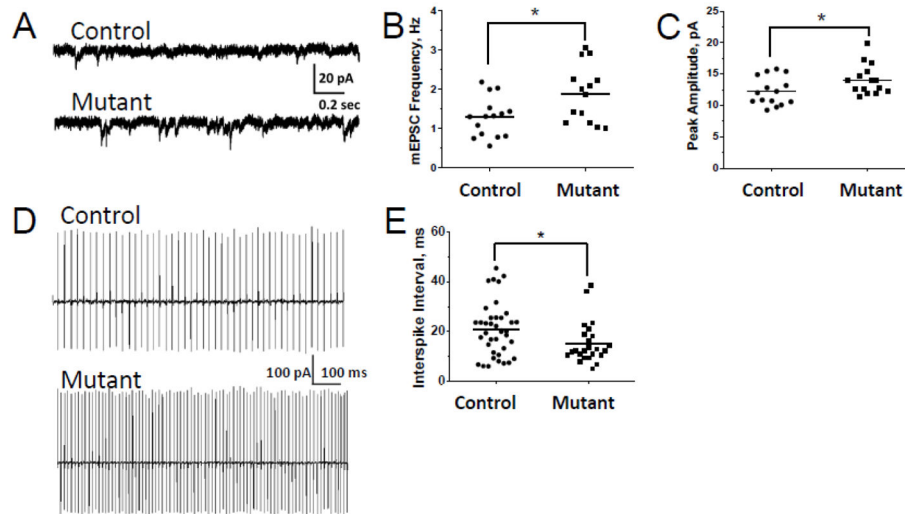


**Figure 4. Decreased expression of the splicing factor, SC35, in mutant PCs**

a) Representative images of immunostaining of PCs of control-tdTom mice (Control; the upper row) and mutant DISC1-tdTom mice (Mutant; the bottom row); anti-MCherry staining (red) anti-Calbindin staining (green); and anti-splicing factor SC35 (purple, PC somas are outlined with dotted lines); scale bar – 10 μm; using MCherry (tdTomato) channel, we created the entire surface of the soma of a PC (3D surface) and generated a new “SC35in” channel that included SC35-ir (purple) signal within the 3D surface of the PC soma and calculated SC35in volume;

(b) Quantitative analysis of SC35-ir in PC presented as the ratio of volume of the SC35-ir within the PC (i.e., 3D volume) to total volume of the soma of the selected tdTom+ PC; decreased SC35 volume ratio was detected in mutant DISC1 PCs compared to control PCs control – control-tdTom mice (n=17 PCs from 3 mice); mutant–mutant DISC1-tdTom mice (n=11 PCs from 3 mice); \* denotes p=0.0018 vs. control mice.





**Figure 5. Increased frequency and amplitude of mEPSCs and firing in mutant PCs**

(a) Parasagittal slices of cerebellar vermis (300  $\mu$ m thick) were prepared from control-tdTom or mutant DISC1-tdTom mice at P28-35 for whole cell patch-clamp recording of PCs mEPSCs; representative traces from PCs of a control-tdTom (control) and a mutant DISC1-tdTom (mutant DISC1) mouse are presented; TTX (500 nM) was bath-applied to the ACSF solution at least for 10 min before the recording;

(b) Quantitative analysis of frequency of mEPSCs in PCs revealed a significantly greater frequency of mEPSCs in mutant DISC1-tdTom PCs (Mutant, n=14 cells from 5 mice) compared to control-tdTom PCs (Control, n=15 cells from 8 mice); \* denotes p=0.014 vs. control mice;

(c) Quantitative analysis of the PC peak amplitude revealed a significantly greater amplitude of mEPSCs in mutant DISC1-tdTom PCs (Mutant, n=14 cells from 5 mice) compared to control tdTom PCs (Control, n=15 cells from 8 mice);\* denotes p=0.039 vs. control mice;

(d) Attached loose patch recording was performed to assess spontaneous firing activity of PCs; representative traces of spontaneous firing of PCs of control-tdTom (control) and mutant DISC1-tdTom (mutant DISC1) mice;

(e) Quantitative analysis showed a significant decrease of the inter-spike interval in PCs of control-tdTom (Control, n=29 cells from 4 mice) compared to that in PCs of mutant DISC1-tdTom (Mutant, n=15 cells from 4 mice); \* denotes p=0.018 vs. control mice.