MECHANISMS OF RESISTANCE



Enhanced *Klebsiella pneumoniae* Carbapenemase Expression from a Novel

Antimicrobial Agents

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Tn4401 Deletion

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ABSTRACT The Klebsiella pneumoniae carbapenemase gene (bla_{KPC}) is typically located within mobile transposon Tn4401. Enhanced KPC expression has been associated with deletions in the putative promoter region upstream of bla_{KPC}. Illumina sequences from bla_{KPC} -positive clinical isolates from a single institution were mapped to a Tn4401b reference sequence, which carries no deletions. The novel isoform Tn4401h (188-bp deletion [between istB and bla_{KPC}]) was present in 14% (39/281) of clinical isolates. MICs showed that Escherichia coli strains containing plasmids with Tn4401a and Tn4401h were more resistant to meropenem $(\geq 16 \text{ and } \geq 16, \text{ respectively})$, ertapenem $(\geq 8 \text{ and } 4, \text{ respectively})$, and cefepime (\geq 64 and 4, respectively) than *E. coli* strains with Tn4401b (0.5, \leq 0.5, and \leq 1, respectively). Quantitative real-time PCR (qRT-PCR) demonstrated that Tn4401a had a 16-fold increase and Tn4401h a 4-fold increase in bla_{KPC} mRNA levels compared to the reference Tn4401b. A lacZ reporter plasmid was used to test the activity of the promoter regions from the different variants, and the results showed that the Tn4401a and Tn4401h promoter sequences generated higher β -galactosidase activity than the corresponding Tn4401b sequence. Further dissection of the promoter region demonstrated that putative promoter P1 was not functional. The activity of the isolated P2 promoter was greatly enhanced by inclusion of the P1-P2 intervening sequence. These studies indicated that gene expression could be an important consideration in understanding resistance phenotypes predicted by genetic signatures in the context of sequencing-based rapid diagnostics.

KEYWORDS KPC, Tn4401, carbapenem-resistant *Enterobacteriaceae*, gene expression, meropenem, promoters

Carbapenem-resistant *Enterobacteriaceae* (CRE) are an urgent public health threat because of their increasing incidence and the high mortality seen with infection (1). Currently, the most commonly reported mechanism of carbapenem resistance in clinical *Enterobacteriaceae* is *Klebsiella pneumoniae* carbapenemase (KPC), which has disseminated globally over the last decade, with infections becoming endemic in several geographic locations such as Italy, Greece, and the United States (2). KPC is a class A serine beta-lactamase which can hydrolyze penicillins, cephalosporins, aztreonam, and carbapenems, limiting treatment options in infected patients. The KPC gene (*bla*_{KPC}) is typically located within a 10-kb mobile transposon (Tn4401), which is most often situated on conjugative plasmids (3, 4). The association of *bla*_{KPC} with mobile

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Previously Predicted Promoter Regions

FIG 1 Structural variation in published isoforms of the Tn4401 transposons. The sequence highlighted in yellow denotes the reference Tn4401b sequence (EU176013). Gray sequence bars denote areas of 100% identity to the reference; black sequence bars denote areas of nucleotide variation.

genetic elements such as plasmids and transposons contributes to intraspecies gene transfer and dissemination of carbapenem resistance (2, 5–8).

Tn4401 is composed of $bla_{\rm KPC}$, a transposase gene (*tnpA*), a resolvase gene (*tnpR*), and two insertion sequences, ISKpn6 and ISKpn7, all flanked by two 32-bp inverted repeat sequences (3). To date, seven unique Tn4401 isoforms (Tn4401a to Tn4401g) have been identified (9–12), with Tn4401a and Tn4401b being the most widespread (13). The original characterization of these isoforms demonstrated deletions in the noncoding region upstream of $bla_{\rm KPC}$ or lacking genes or both as follows: a, –99 bp (14, 15); b, no deletion (3); c, –215 bp (16); d, –68 bp (9) and –5.3 kb (9, 17, 18); e, –255 bp (17); f, truncated *tnpA* and lacking *tnpR*, ISKpn7 left, and Tn4401 IRL-1 (10); g, lacking *tnpA*, *tnpR*, and ISKpn7 left, with a –215-bp deletion in the noncoding region (Fig. 1). Most recently, a truncated version of Tn4401e, lacking *tnpA* and *tnpR*, was also described (19).

Previously, the noncoding region between ISKpn7 and bla_{KPC} was proposed to contain three putative promoter regions (P1, P2, and P3); P1 and P2 were demonstrated to affect expression, while P3 was shown to not be a real promoter (5, 8, 20). Deletions in the noncoding region upstream of bla_{KPC} have demonstrated variable expression of KPC *in vitro*, with the highest levels of expression observed with Tn4401a (presence of P1 and P2). However, the relative contributions of P1 and P2 and regions of associated flanking sequences to KPC expression have not been fully characterized.

Here, we describe a novel Tn4401 transposon variant (Tn4401h) which has a unique 188-bp deletion in the noncoding region upstream of $bla_{\rm KPC}$ and, similarly to Tn4401a, retains promoters P1 and P2. We explore the effect of this 188-bp deletion on the level of KPC expression and the degree of phenotypic resistance compared to the most frequently seen and best characterized isoforms, Tn4401a and Tn4401b (4), and further assess promoter activity using fusions to a *lacZ* reporter. Understanding the impact of genetic variability in noncoding and/or promoter regions on resistance gene expression and its correlation with MICs will be important for the use of sequencing-based approaches in clinical diagnostics and antimicrobial susceptibility prediction (8, 21).

RESULTS

The molecular epidemiology of Tn4401 in the study isolates. The Tn4401 sequence was identified in 281 isolates across eight genera, mainly represented by *Klebsiella* spp.

-35 -10 +1 Th401h TTTTCAGTGGTGTGACACCGGCGTACCCTCGGTGCTATCTTCGCGCCCCAAT TTTTCAGTTGGTGTGACACCGGCGTACCCTCGGTGCTATCTTCGCGCCCCAATAGTCGG TTTTCAGTTGGTGTGACACCGGCGTACCCTCGGTGCTATCTTCGCGCCCCAATAGTCGG TTTTCAGTTGGTCGCCAGGACTTCCTGAGGCCGTCCGTAACGTGGATGCCGAGGTCAGGCGAGGTGG GGCTTGGCCAGGACTTCCTGAGGCCGTCCGTAACGTGGATGCCGAGGTCAGGCGAGGTGG GGCTTGGCCAGGACTTCCTGAGGCCGTCCGTAACGTGGATGCCGAGGTCAGGCGAGGTGG GGCTTGGCCAGGACTTCCTGAGGCCGTCCGTAACGTGGATGCCGAGGTCAGGCGAGGTGG GGCTTGGCCAGGACTTCCTGAGGCCGTCCGTAACGTGGATGCCGAGGTCAGGCGAGGTGG CCGACCCATGAACGCCGACCTGATTCGTTTTTCAA- CCGACCCATGAACGCCGACCTGATTCGTTTTTCAATAGCGCGTGGACGTTGTGGTGCCAGG IVS Tn4401h		P2 10
Tn4401h TTTTCAGTTGGTGTTGACACCGGCGTACCCTCGGTGCTATCTTCGCGCCCCAAT In4401h TTTTCAGTTGGTGTTGACACCGGCGTACCCTCGGTGCTATCTTCGCGCCCCAATAGTCGG TTTTCAGTTGGTGTTGACACCGGCGTACCCTCGGTGCTATCTTCGCGCCCCAATAGTCGG TTTTCAGTTGGTGTTGACACCGGCGTCCGTAACGTGGATGCCGAGGCCAGGCGAGGTGG GGCTTGGCCAGGACTTCCTGAGGCCGTCCGTAACGTGGATGCCGAGGCCAGGCGAGGTGG GGCTTGGCCAGGACTTCCTGAGGCCGTCCGTAACGTGGATGCCGAGGCCAGGCGAGGTGG GGCTTGGCCAGGACTTCCTGAGGCCGTCCGTAACGTGGATGCCGAGGCCAGGCGAGGTGG CCGACCCATGAACGCCGACCTGATTCGTTTTTCAATAGCGCGGAGGTGGGCCAGGCGAGGTGG CCGACCCATGAACGCCGACCTGATTCGTTTTTCAATAGCGCGGGCGG		-35 -10 +1
Tn4401a TTTTCAGTTGGTGTTGACACCGGCGTACCCTCGGTGCTATCTTCGCGCCCCAATAGTCGG Tn4401b TTTTCAGTTGGTGTTGACACCGGCGTACCCTCGGTGCTATCTTCGCGCCCCAATAGTCGG Tn4401b GGCTTGGCCAGGACTTCCTGAGGCCGTCCGTAACGTGGATGCCGAGGTCAGGCGAGGTGG GGCTTGGCCAGGACTTCCTGAGGCCGTCCGTAACGTGGATGCCGAGGTCAGGCGAGGTGG GGCTTGGCCAGGACTTCCTGAGGCCGTCCGTAACGTGGATGCCGAGGTCAGGCGAGGTGG GGCTTGGCCAGGACTTCCTGAGGCCGTCCGTAACGTGGATGCCGAGGTCAGGCGAGGTGG Tn4401b CCGACCCATGAACGCCGACCTGATTCGTTTTTCAA	Tn <i>4401</i> h	TTTTCAGTTGGTGTTGACACCGGCGTACCCTCGGTGCTATCTTCGCGCCCCCAAT
Tn4401b TTTTCAGTTGGTGTTGACACCCGGCGTACCCTCGGTGCTATCTTCGCGCCCCAATAGTCGG ***********************************	Tn4401a	TTTTCAGTTGGTGTTGACACCGGCGTACCCTCGGTGCTATCTTCGCGCCCCCAATAGTCGG
Tn4401h Tn4401h GGCTTGGCCAGGACTTCCTGAGGCCGTCCGTAACGTGGATGCCGAGGTCAGGCGAGGTGG GGCTTGGCCAGGACTTCCTGAGGCCGTCCGTAACGTGGATGCCGAGGTCAGGCGAGGTGG GGCTTGGCCAGGACTTCCTGAGGCCGTCCGTAACGTGGATGCCGAGGTCAGGCGAGGTGG GGCTTGGCCAGGACCTCGTGATCGTTTTTCAA	Tn4401b	TTTTCAGTTGGTGTTGACACCGGCGTACCCTCGGTGCTATCTTCGCGCCCCCAATAGTCGG
Tn4401h Tn4401a Tn4401b GCCTTGGCCAGGACTTCCTGAGGCCGTCCGTAACGTGGATGCCGAGGTCAGGCGAGGTGG GGCTTGGCCAGGACTTCCTGAGGCCGTCCGTAACGTGGATGCCGAGGTCAGGCGAGGTGG GGCTTGGCCAGGACCTCCTGAGCCGTCCGTAACGTGGATGCCGAGGTGGAGGTGGCCAGG CCGACCCATGAACGCCGACCTGATTCGTTTTTCAA		*******************
Tn4401h Tn4401a Tn4401b GGCTTGGCCAGGACTTCCTGAGGCCGTCCGTAACGTGGATGCCGAGGTCAGGCGAGGTGG GGCTTGGCCAGGACTTCCTGAGGCCGTCCGTAACGTGGATGCCGAGGTCAGGCGAGGTGG CCGACCCATGAACGCCGACCTGATTCGTTTTTCAA		
In4401h Tn4401h GGCTTGGCCAGGACTTCCTGAGGCCGTCCGTAACGTGGATGCCGAGGTCAGGCGAGGTGG GGCTTGGCCAGGACTTCCTGAGGCCGTCCGTAACGTGGATGCCGAGGTCAGGCGAGGTGG GGCTTGGCCAGGACTTCCTGAGGCCGTCCGTAACGTGGATGCCAGGCGAGGTGG CCGACCCATGAACGCCGACCTGATTCGTTTTTCAATAGCGCTGGACGTTGTGGTGCCAGG IVS Tn4401h Tn4401h Tn4401h GACTTACCAACCCGATGTGTGGCCCATCCGGGGCAGTTACAGCCGTTACAGCCTCTGGAGA IVS P110 GAGCGGCTTGCCGCTCGGTGATAATCCCAGCTGTAGCGGCCTGATTACATCCGGCCGC GGGAGCGGCTTGCCGCTCGGTGATAATCCCAGCTGTAGCGGCCTGATTACATCCGGCCGC IVA01h TACACCTAGCTCCACCTTCAAACAAGGAATATCGTTGATG Tn4401h TACACCTAGCTCCACCTTCAAACAAGGAATATCGTTGATG TACACCTAGCTCCACCTTCAAACAAGGAATATCGTTGATG TACACCTAGCTCCCACCTTCAAACAAGGAATATCGTTGATG TACACCTAGCTCCCACCTTCAAACAAGGAATATCGTTGATG TACACCTAGCTCCCACCTTCAAACAAGGAATATCGTTGATG TACACCTAGCTCCCACCTTCAAACAAGGAATATCGTTGATG TACACCTAGCTCCCACCTTCAAACAAGGAATATCGTTGATG TACACCTAGCTCCCACCTTCAAACAAGGAATATCGTTGATG TACACCTAGCTCCCACCTTCAAACAAGGAATATCGTTGATG TACACCTAGCTCCCACCTTCAAACAAGGAATATCGTTGATG TACACCTAGCTCCCACCTTCAAACAAGGAATATCGTTGATG TACACCTAGCTCCCACCTTCAAACAAGGAATATCGTTGATG TACACCTAGCTCCCACCTTCAAACAAGGAATATCGTTGATG TACACCTAGCTCCCACCTTCAAACAAGGAATATCGTTGATG TACACCTAGCTCCCACCTTCAAACAAGGAATATCGTTGATG TACACCTAGCTCCCACCTTCAAACAAGGAATATCGTTGATG TACACCTAGCTCCCACCTTCAAACAAGGAATATCGTTGATG TACACCTAGCTCCCACCTTCAAACAAGGAATATCGTTGATG TACACCTAGCTCCCACCTTCAAACAAGGAATATCGTTGATG TACACCTAGCTCCCACCTTCAAACAAGGAATATCGTTGATG TACACCTAGCTCCCACCTTCAAACAAGGAATATCGTTGATG	Tradadh	
In4401a GCCTTGGCCAGGACTTCCTGAGGCCGTCCGTAACGTGGATGCCGAGGTCAGGCGAGGTGG In4401b CCGACCCATGAACGCCGACCTGATTCGTTTTTCAA In4401b CCGACCCATGAACGCCGACCTGATTCGTTTTTCAA CCGACCCATGAACGCCGACCTGATTCGTTTTTCAA CCGACCCATGAACGCCGACCTGATTCGTTTTTCAA In4401b CCGACCCATGAACGCCGACCTGATTCGTTTTTCAA In4401b CCGACCCATGAACGCCGACCTGATTCGTTTTTCAA In4401b CCGACCCATGAACGCCGACCTGATTCGTTTTTCAA In4401b GACTTACCAACCCGATGTGTGCCCATCCGGGGCAGTTACAGCCGTTGCGGCGCGGAGGAG In4401b GACTTACCAACCCGATGTGTGCCCATCCGGGGGCAGTTACAGCCGTTGGAGGAGCTGGAGGAGGGCTGGATTACATCCGGCCGC In4401b GAGCGGGCTTGCCGCTCGGTGATAATCCCAGCTGTAGCGGCCTGATTACATCCGGCCGC In4401b GAGCGGGCTTGCCGCTCGGTGATAATCCCAGCTGTAGCGGCCTGATTACATCCGGCCGC In4401b TACACCTAGCTCCACCTTCAAACAAGGAATATCGTTGATG In4401b	Tn4407n	
Tn4401b GCCTTGGCCAGGACTTCCTGAGGCCGTCCGTAACGTGGATGCCGAGGTCAGGCGAGGTGG Tn4401h CCGACCCATGAACGCCGACCTGATTCGTTTTTCAA- Tn4401b CCGACCCATGAACGCCGACCTGATTCGTTTTTCAATAGCGCTGGACGTTGTGGGTGCCAGG IVS IVS Tn4401b GACTTACCAACCCGATGTGTGCCCATCCGGGGCAGTTACAGCCGTTACAGCCTTGGAGA Tn4401b GACTTACCAACCCGATGTGTGCCCATCCGGGGCAGTTACAGCCGTTACAGCCTTGGAGA Tn4401b GACTTACCAACCCGATGTGTGCCCCATCCGGGGCAGTTACAGCCGTTACAGCCTTGGAGA Tn4401b GAGCGGCTTGCCGCTCGGTGATAATCCCAGCTGTAGCGGCCTGATTACATCCGGCCGC Tn4401b GAGCGGCTTGCCGCTCGGTGATAATCCCAGCTGTAGCGGCCTGATTACATCCGGCCGC Tn4401b TACACCTAGCTCCACCTTCAAACAAGGAATATCGTTGATG Tn4401b TACACCTAGCTCCACCTTCAAACAAGGAATATCGTT	Tn4401a	GGCTTGGCCAGGACTTCCTGAGGCCGTCCGTAACGTGGATGCCGAGGTCAGGCGAGGTGG
Tn4401h Tn4401a Tn4401b	Tn <i>4401</i> b	GGCTTGGCCAGGACTTCCTGAGGCCGTCCGTAACGTGGATGCCGAGGTCAGGCGAGGTGG
Tn4401h Tn4401a Tn4401b CCGACCCATGAACGCCGACCTGATTCGTTTTTCAA- CCGACCCATGAACGCCGACCTGATTCGTTTTTCAATAGCGCTGGACGTTGTGGTGCCAGG IVS Tn4401h Tn4401b		
Tn4401h		
Tn4401a CCGACCCATGAACGCCGACCTGATTCGTTTTTCAA	Tn <i>4401</i> h	
Tn4401b CCGACCCATGAACGCCGACCTGATTCGTTTTTCAATAGCGCTGGACGTTGTGGTGCCAGG IVS Tn4401b	Tn4401a	CCG&CCC&TG&&CCCCG&CCTG&TTCGTTTTC&&
In4401b IVS In4401b IVS GACTTACCAACCCGATGTGTGCCCATCCGGGGCAGTTACAGCCGTTACAGCCTCTGGAGA	Tn4401b	
IVS Tn4401h Tn4401a Tn4401b	11144070	
Tn4401h Tn4401a Tn4401b		IVS
Tn4401h		
In4401b Tn4401b GACTTACCAACCCGATGTGTGCCCATCCGGGGCAGTTACAGCCGTTACAGCCTCTGGAGA GAGCGGCTTGCCGCTCGGTGATAATCCCAGCTGTAGCGGCCTGATTACATCCGGCCGC 	Tn4401h	
Tn4401a	Tn44071	
In4401b GACTTACCAACCCGATGTGTGCCCATCCGGGGCAGTTACAGCCGTTACAGCCTCTGGAGA -35 P1 GAGCGGCTTGCCGCTCGGTGATAATCCCAGCTGTAGCGGCCTGATTACATCCGGCCGC In4401b GAGCGGCTTGCCGCTCGGTGATAATCCCAGCTGTAGCGGCCTGATTACATCCGGCCGC In4401b GGGAGCGGCTTGCCGCTCGGTGATAATCCCAGCTGTAGCGGCCTGATTACATCCGGCCGC In4401b TACACCTAGCTCCACCTTCAAACAAGGAATATCGTTGATG	Tn4407a	
In4401h GAGCGGGCTTGCCGGTGGTGATAATCCCAGCTGTAGCGGCGCTGATTACATCCGGCCGC In4401h GAGCGGGCTTGCCGCTCGGTGATAATCCCAGCTGTAGCGGCCTGATTACATCCGGCCGC In4401h TACACCTAGCTCCACCTTCAAACAAGGAATATCGTTGATG	I n <i>4401</i> b	GACTTACCAACCCGATGTGTGCCCATCCGGGGCAGTTACAGCCGTTACAGCCTCTGGAGA
Image: P1GAGCGGGCTTGCCGGTGGTGATAATCCCAGCTGTGAGCGGCCTGATTACATCCGGCCGC Image: P1GAGCGGGCTTGCCGGTGGTGATAATCCCAGCTGTAGCGGCGCGGTTACATCCGGCCGC Image: P1GAGCGGGCTTGCCGGTGGTGATAATCCCAGCTGTGAGCGGCGCTGATTACATCCGGCCGC Image: P1GAGCGGGCTTGCCGGTGGTGATAATCCCAGCTGTGAGCGGCCTGATTACATCCGGCCGC Image: P1GAGCGGGCTTGCCGGTGGTGATAATCCCAGCTGTGAGCGGCCTGATTACATCCGGCCGC Image: P1GAGCGGGCTTGCCGGTGGTGATAATCCCAGCTGTGAGCGGCCTGATTACATCCGGCCGC Image: P1GAGCGGGCCTGCGCCGCTGGTGATAATCCCAGCGCGCTGATTACATCCGGCCGC Image: P1GAGCGGGGCTTGCCGGTGGTGATAATCCCAGCGCGGCCTGATTACATCCGGCCGC Image: P1GAGCGGGGGGCTTGCCGGTGGATAATCCGGCGGCCTGATTACATCCGGCCGC Image: P1GAGCGGGGGGGGCTGCGGGGGGCTGGGGGGCTGGGGGGGG		
-35 P1 -10 Tn4401hGAGCGGCTTGCCGCTCGGTGATAATCCCAGCTGTAGCGGCCTGATTACATCCGGCCGC Tn4401b GGGAGCGGCTTGCCGCTCGGTGATAATCCCAGCTGTAGCGGCCTGATTACATCCGGCCGC Tn4401b TACACCTAGCTCCACCTTCAAACAAGGAATATCGTTGATG Tn4401h TACACCTAGCTCCACCTTCAAACAAGGAATATCGTTGATG Tn4401b		24
Tn4401h GAGCGGCTTGCCGCTCGGTGATAATCCCAGCTGTAGCGGCCTGATTACATCCGGCCGC Tn4401a GCTCGGTGATAATCCCAGCTGTAGCGGCCTGATTACATCCGGCCGC Tn4401b GGGAGCGGCTTGCCGCTCGGTGATAATCCCAGCTGTAGCGGCCTGATTACATCCGGCCGC Tn4401h TACACCTAGCTCCACCTTCAAACAAGGAATATCGTTGATG Tn4401b TACACCTAGCTCCACCTTCAAACAAGGAATATCGTTGATG		-35 P1 -10
Tn4401h TACACCTAGCTCCACCTTCAAACAAGGAATATCGTTGATG Tn4401h TACACCTAGCTCCACCTTCAAACAAGGAATATCGTTGATG Tn4401h TACACCTAGCTCCACCTTCAAACAAGGAATATCGTTGATG TACACCTAGCTCCACCTTCAAACAAGGAATATCGTTGATG TACACCTAGCTCCACCTTCAAACAAGGAATATCGTTGATG TACACCTAGCTCCACCTTCAAACAAGGAATATCGTTGATG TACACCTAGCTCCACCTTCAAACAAGGAATATCGTTGATG TACACCTAGCTCCACCTTCAAACAAGGAATATCGTTGATG TACACCTAGCTCCACCTTCAAACAAGGAATATCGTTGATG ********************************	Tn <i>44</i> 01h	
Tn4401h GGGAGCGGCTTGCCGCTCGGTGATAATCCCAGCTGTAGCGGCCTGATTACATCCGGCCGC Tn4401h TACACCTAGCTCCACCTTCAAACAAGGAATATCGTTGATG Tn4401h TACACCTAGCTCCACCTTCAAACAAGGAATATCGTTGATG Tn4401b TACACCTAGCTCACCTTCAAACAAGGAATATCGTTGATG TACACCTAGCTCCACCTTCAAACAAGGAATATCGTTGATG Met	Tn44010	
Tn4401b GGGAGCGGCTTGCCGCTCGATGATCCCAGCTGTGAGCGGCCTCATTACATCCGGCCGC Tn4401b TACACCTAGCTCCACCTTCAAACAAGGAATATCGTTGATG Tn4401b TACACCTAGCTCACCTTCAAACAAGGAATATCGTTGATG Tn4401b TACACCTAGCTCACCTTCAAACAAGGAATATCGTTGATG	TH4407a	
Tn4401h TACACCTAGCTCCACCTTCAAACAAGGAATATCGTTGATG Tn4401a TACACCTAGCTCCACCTTCAAACAAGGAATATCGTTGATG Tn4401b TACACCTAGCTCCACCTTCAAACAAGGAATATCGTTGATG TACACCTAGCTCCACCTTCAAACAAGGAATATCGTTGATG ********************************	1 n <i>4401</i> b	GGGAGCGGCTTGCCGCTCGGTGA <u>TAATCC</u> CAGCTGTAGCGGCCTGATTACATCCGGCCGC
Tn4401h TACACCTAGCTCCACCTTCAAACAAGGAATATCGTTGATG Tn4401a TACACCTAGCTCCACCTTCAAACAAGGAATATCGTTGATG Tn4401b TACACCTAGCTCCACCTTCAAACAAGGAATATCGTTGATG ************************************		***************************************
Tn4401h TACACCTAGCTCCACCTTCAAACAAGGAATATCGTTGATG TACACCTAGCTCCACCTTCAAACAAGGAATATCGTTGATG TACACCTAGCTCCACCTTCAAACAAGGAATATCGTTGATG TACACCTAGCTCCACCTTCAAACAAGGAATATCGTTGATG ********************************		
In4401h TACACCTAGCTCCACCTTCAAACAAGGAATATCGTTGATG Tn4401a TACACCTAGCTCCACCTTCAAACAAGGAATATCGTTGATG TACACCTAGCTCCACCTTCAAACAAGGAATATCGTTGATG ********************************		
Tn4401a TACACCTAGCTCCACCTTCAAACAAGGAATATCGTTGATG Tn4401b TACACCTAGCTCCACCTTCAAACAAGGAATATCGTTGATG ************************************	Tn4401h	TACACCTAGCTCCACCTTCAAACAAGGAATATCGTTGATG
Tn4401b TACACCTAGCTCCACCTTCAAACAAGGAATATCGTTGATG	Tn <i>4401</i> a	TACACCTAGCTCCACCTTCAAACAAGGAATATCGTTGATG
**************************************	Tn <i>4401</i> b	TACACCTAGCTCCACCTTCAAACAAGGAATATCGTTGATG
Met		******
		Mot

FIG 2 Alignment of the promoter regions of $bla_{\rm KPC}$ isoforms with putative promoters as defined by Naas et al (20). -35 regions and -10 regions are highlighted in gray. +1 indicates the P2 transcription start site previously identified by RACE (rapid amplification of cDNA ends). Isoforms a and h have deletion differences in the intervening sequence between P2 and P1. The extent of the intervening sequence that is deleted in isoform h is underlined and denoted the IVS. The start codon of $bla_{\rm KPC}$ is highlighted in gray. The arrowhead indicates the site of fusions to the *lacZ* reporter.

(*n* = 129), *Enterobacter* spp. (*n* = 101), and *Citrobacter* spp. (*n* = 32), with bla_{KPC-2} found in the majority (230/281; 82%) of the isolates as previously characterized (6). The same work also reported that the most common Tn4401 variant was Tn4401b (*n* = 230); Tn4401a was seen in 8 isolates (all *K. pneumoniae*), and a novel variant (Tn4401h) (all with bla_{KPC-2} [Fig. 1]) with a 188-bp deletion was identified in 39 isolates from 28 patients. Tn4401h was first identified in a *K. pneumoniae* strain (February 2009); all other Tn4401h variants were identified in highly genetically related *Enterobacter cloacae* isolates from all 28 patients (including the patient with the initial *K. pneumoniae* isolate with Tn4401h) over 3 years (May 2009 to December 2012), consistent with the idea of local interspecies and interpatient transmission (6).

Structural variation in published isoforms of Tn4401 and the novel Tn4401h isoform, including variation in ISKpn6, ISKpn7, and the putative promoter (P1, P2, and P3) regions, is shown in Fig. 1 (9). Notably, isoforms a and h do not contain putative promoter P3 and have nucleotide variation in the intervening sequence (IVS) between P2 and P1 (Fig. 2).

Susceptibility testing. Susceptibility results for selected parent strains and *Escherichia coli* transformants from CAV1016, CAV1746, and CAV1438 containing Tn4401b, Tn4401a, and Tn4401h, respectively, are presented in Table 1. Parent strains CAV1746 (Tn4401a) and CAV1438 (Tn4401h) were resistant to cefepime and meropenem by broth dilution and VITEK2 (Table 1). CAV1016 (Tn4401b) was resistant to meropenem by broth dilution and VITEK2 but was susceptible to cefepime by VITEK2.

Testing of susceptibility to cefepime and meropenem of *E. coli* transformants containing Tn4401a or Tn4401h by VITEK2 and broth dilution demonstrated MICs that were all in the resistant range. For the Tn4401b *E. coli* transformant, cefepime results

TABLE 1 MICs for parent respectively, from the pa	: strains CAV10 rent strains ^a	116, CAV1746, and CAV1438 and <i>E. coli</i> transformants	AMGH-1, ACGH, ar	hd ACGH2 conta	ining plasmids	bearing Tn44	<i>01</i> b, Tn <i>4401</i> a, an	d Tn <i>4401</i> h,
				MIC (µg/ml)				
	Tn4401	Plasmid size. PCR replicon type, putative		VITEK2			Broth microdil	ution
Strain	variant	plasmid backbone (reference)	Species	Meropenem	Ertapenem	Cefepime	Meropenem	Cefepime
CAV1016	Tn4401b		K. pneumoniae	≥16	4	2	256	64
CAV1746	Tn <i>4401</i> a		K. pneumoniae	≥16	8	≥64	1,024	512
CAV1438	Tn <i>4401</i> h		E. cloacae	≥16	8	≥64	1,024	512
E. coli transformed strain								
(parent strain)								
AMGH-1 (CAV1016)	Tn <i>4401</i> b	43 kb, nontypeable, confirmed pKPC_UVA01	E. coli	0.5	≤0.5	VI	-	8
ACGH (CAV1746)	Tn <i>4401</i> a	(CP017937.1) (22) >60 kb, IncR, putatively resembles pKPC-484 (CP008798.1)	E. coli	≥16	80 VI	≥64	16	128
ACGH2 (CAV1438)	Tn <i>4401</i> h	>70 kb, IncR, putatively resembles pKPC_CAV1176 (CP011661.1) (6)	E. coli	16	4	4	4	64

 a MICs were determined using the VITEK2 automated susceptibility testing platform and broth microdilution.



FIG 3 Differences in $bla_{\kappa PC}$ expression normalized to *rpoB* and relative to Tn4401b. Tn4401a had significantly higher β -galactosidase activity than Tn4401b and Tn4401h (P < 0.05), and the Tn4401h putative promoter sequence had significantly higher β -galactosidase activity than Tn4401b (P < 0.05).

were in the susceptible dose-dependent (SDD) range and meropenem results were in the susceptible range by broth dilution. By VITEK2, both cefepime and meropenem demonstrated MICs which were in the susceptible range (2015 CLSI breakpoints; 23) (Table 1). The plasmid backbones for each transformant were unique, but both Tn4401h and Tn4401a were on large IncR plasmids whereas Tn4401b was on a nontypeable 43-kb plasmid (pKPC_UVA01) (Table 1).

For parent strains CAV1016, CAV1746, and CAV1438 and for the *E. coli* transformants, carbapenemase production was indicated by the results of both the modified Hodge test and the indirect carbapenemase test (24).

Expression assays. Two-step quantitative real-time PCR (qRT-PCR) was performed on all three *E. coli* transformants using primers as noted in Table S1 in the supplemental material. Fold differences in bla_{KPC} expression (normalized to *rpoB*) were quantified relative to Tn4401b. Tn4401a had 23-fold-greater bla_{KPC} expression than Tn4401b (Student *t* test, *P* = 0.011) (Fig. 3). Tn4401h had 4-fold-greater bla_{KPC} expression than Tn4401b (Student *t* test, *P* = 0.03) (Fig. 3).

Transcription fusions to the *lacZ* reporter in plasmid pRS551 (25) of the putative promoter sequences from plasmids carrying Tn4401a, Tn4401b, and Tn4401h (Table S1) (Fig. 4) were used to quantify β -galactosidase activity associated with each Tn4401 variant. The promoter sequence of Tn4401a had significantly higher β -galactosidase activity than Tn4401b (Student *t* test, *P* = 0.0001) and Tn4401h (Student *t* test, *P* = 0.0002), and the Tn4401h putative promoter sequence had significantly higher β -galactosidase activity than Tn4401h (Student *t* test, *P* < 0.0001) (Fig. 4). These results were consistent with the effect that each deletion had on the level of $bla_{\rm KPC}$ mRNA in the transformants (Fig. 3).

Tn4401h is missing an intervening sequence (IVS) of 188 nucleotides between P1 and P2, whereas Tn4401a carries a smaller deletion in this region (Fig. 2 and 4A). To better discern the contributions of the different sequence domains to gene expression, *lacZ* fusions were constructed of the isolated P1 and P2 promoters as well as of these promoter regions carrying the IVS. Reporter constructs containing P1 or P1 plus IVS (P1+IVS) showed no β -galactosidase activity. Reporter constructs containing vector (Student *t* test, *P* = 0.00025) or P1 (Student *t* test, *P* = 0.0034) and P1+IVS (Student-*t* test, *P* = 0.0020). Reporter constructs containing P2+IVS had significantly higher



FIG 4 (A) Schematic to explain the constructs, showing the promoter regions cloned in *lacZ* fusions. The arrow indicates the P2 transcription start site. (B) β -Galactosidase activity level associated with putative promoter regions of Tn4401a, Tn4401b, and Tn4401h isoforms (putative promoters P1, P1+IVS, P2, and P+IVS). *lacZ* reporter plasmid pRS551 (vector) served as a negative control. Among the reporter constructs, P2 had significantly higher β -galactosidase activity than vector, P1, and P1+IVS (P < 0.05); P2+IVS had significantly higher β -galactosidase activity than P2, P1, and P1+IVS (Student *t* test, P < 0.05); and promoter sequence Tn4401a had significantly higher β -galactosidase activity than P2+IVS (P < 0.05).

 β -galactosidase activity than those containing P2 alone (Student *t* test, *P* = 0.015), P1 (Student *t* test, *P* < 0.001), and P1+IVS (Student *t* test, *P* < 0.001) (Fig. 4). Additionally, reporter constructs containing promoter sequence Tn4401a had significantly higher β -galactosidase activity than reporter constructs containing P2+IVS (Student *t* test; *P* = 0.006).

DISCUSSION

As KPC-producing *Enterobacteriaceae* are seen in increasing numbers around the globe, understanding expression of $bla_{\rm KPC}$ and its effect on the degree of associated carbapenem resistance could have significant clinical consequences. The Tn4401 trans-

poson has been the most consistently observed unit of bla_{KPC} transfer, and the noncoding region upstream of bla_{KPC} has been described as a regulatory region (3, 22, 26). We describe a novel isoform of Tn4401, Tn4401h, which was first identified in a *K. pneumoniae* strain in a patient who also had an *E. cloacae* strain that was subsequently transmitted between several patients over a period of 3 years in our institution.

The 188-bp deletion of Tn4401h, encompassing the region between P1 and P2, demonstrated increased $bla_{\rm KPC}$ expression compared with Tn4401b (no deletions), although to a lesser degree than Tn4401a (99-bp deletion; with a smaller deletion between P1 and P2). To exclude the impact of variability of gene copy number and plasmid background on these results, we directly investigated the impact of promoter regions and intervening sequences on gene expression in a model system by inserting these promoter regions and promoter/intervening sequence combinations into a *lacZ* reporter.

The Tn4401h promoter region showed significantly higher β -galactosidase activity than Tn4401b, again to a lesser degree than the Tn4401a promoter region and consistent with the bla_{KPC} expression results (Tn4401a \rightarrow Tn4401h \rightarrow Tn4401b). Given that both Tn4401a and Tn4401h have deletions in the intervening sequence between P1 and P2, with P1 and P2 intact, the differential levels of gene expression and promoter activity remain incompletely explained. One possible explanation for this variability is that the differing lengths of the intervening sequences between P2 and P1 for Tn4401a (99-bp deletion) and Tn4401h (188-bp deletion) may result in a more stable RNA structure for Tn4401a.

Previously, Naas et al. reported only P1 and P2 as true promoters involved in bla_{KPC} expression. A review of the -10 and -35 regions of the promoter P1 and P2 sequences shows that the P2 promoter (initially identified by Roth [27]) has a strong -35consensus sequence (TTGACA) and only two minor differences (indicated with lowercase characters) from the canonical -10 sequence (TATctT), while the P1 promoter has a poor consensus sequence, with 4 differences from the canonical -35 sequence (TaatCc) and 3 differences from the canonical -10 sequence (TtacAT). However, the relative contributions of putative promoter P1 by itself and in relation to the IVS remained previously unknown. Hence, promoter sequences for P1 alone and in combination with IVS were cloned into a lacZ reporter plasmid. Surprisingly, the reporter constructs containing P1 and P1+IVS showed no β -galactosidase activity, indicating that this promoter was not active under our assay conditions. We additionally attempted to characterize the relative contributions of promoter P2 alone and in combination with IVS. Higher β -galactosidase activity was observed with the promoter P2 lacZ construct than with P1, and this was further enhanced when IVS was included. These results are consistent with findings of Naas et al. (20). The exact role of IVS in the enhanced activity of P2+IVS compared to P2 will require future evaluation, and the data would ideally reflect variations in IVS length.

E. coli transformants containing Tn4401a and Tn4401h variants on native parent plasmid backgrounds showed meropenem and cefepime resistance MICs compared to E. coli transformants containing Tn4401b, consistent with the variations in bla_{KPC} expression (i.e., $Tn4401a \rightarrow Tn4401h \rightarrow Tn4401b$). Previous studies have suggested that another mechanism, in addition to gene expression and copy number, namely, production of porin channel defects, is a primary contributor to the variability in susceptibility in testing KPC-producing isolates (3, 11, 20, 28-30). Here we excluded the impact of porin channel variation on susceptibility by evaluating plasmids bearing the Tn4401bla_{KPC} units in a fixed E. coli background. Although we did not exclude gene copy number as a factor contributing to expression, as the different Tn4401 variants were located on unique plasmid backbones, the differences in the levels of promoter activity seen in the β -galactosidase assay align with the differences seen in the *E. coli* transformants. In addition, Roth et al. previously showed that in bla_{KPC-2} transformants, $bla_{\rm KPC}$ gene copy number did not correlate with expression and increases in β -lactam MICs; similarly, Kitchel et al. demonstrated that factors other than bla_{KPC} copy number likely contribute to elevated KPC production and high-level carbapenem resistance in

certain isolates (26, 27). Taken together, these data suggest that the promoter region upstream of $bla_{\rm KPC}$ appears to have the most substantial effect on expression of carbapenem resistance in the transformants. Future studies utilizing methods such as chromatin immunoprecipitation with sequencing (ChIP-sequencing) could be used to examine the interaction of transcription factors and thus offer further insight into regulation of $bla_{\rm KPC}$ expression.

By characterizing the Tn4401h isoform, we have highlighted that the promoter region may be more complex than previously described. Correctly ascertaining the role of promoter regions and 5' untranslated regions in resistance gene expression is of particular relevance to clinical diagnostic microbiology given the development of an increasing number of genotype-based approaches (e.g., microarray, multiplex, or whole-genome sequencing) to resistance prediction (31–35). There is a trend toward incorporating rapid genotypic methods into routine laboratory diagnostics, and yet there is increasing recognition of the importance of pharmacokinetics/pharmacodynamics in relation to the MIC. Therefore, understanding the predicted impact of promoter regions on the MIC may be of increasing importance to clinical care.

In summary, we have found a novel isoform, Tn4401h, with a 188-bp deletion in the upstream noncoding region between ISKpn7 and $bla_{\rm KPC}$. We have shown that this deletion has a differential effect on carbapenem MICs compared with other common Tn4401 variants. This work increases our understanding of the complexity of $bla_{\rm KPC}$ expression and has implications for predicting the degree of resistance based solely on the presence or absence of a particular resistance gene.

MATERIALS AND METHODS

Sampling. The sampling of bla_{KPC} -positive *Enterobacteriaceae* clinical and surveillance isolates from the University of Virginia Health System (UVaHS) between August 2007 and December 2012 has been previously published (6). Sheppard et al. performed Illumina sequencing on the collected isolates and determined the Tn4401 isoform of each isolate using BLASTn comparisons between the isolate's *de novo* assembly and the Tn4401b reference sequence (EU176013.1) (3, 6). Where available, ertapenem MIC results from a VITEK2 AST GN-70 test kit (bioMérieux, Durham, NC) were obtained retrospectively from laboratory records.

Purified plasmid DNA was electroporated into electrocompetent *E. coli* Genehog cells (Invitrogen, Carlsbad, CA) as previously described (22). To examine the plasmid background in the newly generated transformant incompatibility group, PCR typing was performed (36). For CAV1746 and CAV1438 parent strains (those with unknown $bla_{\rm KPC}$ plasmids), the Illumina contigs containing the corresponding replicon sequences (1,960 bp and 15,241 bp, respectively) and the contigs containing Tn4401 (18,813 bp and 10,011 bp, respectively) were used as BLASTn queries to determine similarity to previously described plasmids in GenBank. For CAV1016, the $bla_{\rm KPC}$ plasmid background has been previously described (22). For plasmid size estimation, BamHI and EcoRI (New England BioLabs, lpswich, MA) digested and undigested plasmid extractions were run on a 0.8% agarose gel over 8 h at 70 V with V517 and Hyperladder I (Bioline, Taunton, MA) to estimate plasmid size as previously described (37).

Quantitative real-time PCR (qRT-PCR) experiments. Total RNA was extracted from *E. coli* transformants containing parent plasmid Tn4401a, Tn4401b, and Tn4401h using an RNeasy minikit (Qiagen, GmBH, Hilden, Germany). RNA products underwent column DNase I digestion (Qiagen) and were quantified by measuring the optical density at 260 nm using a NanoDrop 1000 spectrophotometer (Thermo Scientific, Wilmington, DE, USA). cDNA was synthesized from RNA using a commercial method (qScript cDNA Supermix; Quanta Biosciences, Gaithersburg, MD) in accordance with the manufacturer's instructions.

 $bla_{\rm KPC}$ gene expression was examined in *E. coli* transformants utilizing qRT-PCR and the synthesized cDNA (primers are listed in Table S1 in the supplemental material). A 25-ng volume of RNA-equivalent DNA was used in triplicate. qRT-PCR was performed using SsoFast EvaGreen Supermix (Bio-Rad Laboratories, Hercules, CA), template DNA, and 500 nM (each) primer RT-KPC-F/RT-KPC-R or EcoliRPOB-F/ EcoliRPOB-R (Table S1). Cycling conditions included a 3-min enzyme activation step at 95°C followed by 40 cycles of melting (95°C for 10 s) and annealing/extension (56.3°C for 30 s/72°C for 30 s) followed by a final extension for 72°C for 1 min. Standard curves were generated for both the target ($bla_{\rm KPC}$) and the endogenous control (rpoB) using 4-fold dilutions of template DNA at known concentrations (from 0.39 ng to 100 ng) and by plotting the logarithm of the initial quantity of template (along the *x* axis) versus the respective cycle threshold (C_{τ}) values (along the *y* axis). Thereafter, the amount of $bla_{\rm KPC}$ and rpoB was calculated from the appropriate standard curve for each experimental sample. Expression levels of $bla_{\rm KPC}$ were first normalized relative to the rpoB gene in *E. coli*, and fold difference in $bla_{\rm KPC}$ expression was calculated as follows: (normalized $bla_{\rm KPC}$ expression in *E. coli* transformant Tn4401h)/ (normalized $bla_{\rm KPC}$ expression in *E. coli* transformant Tn4401b).

PCR and cloning. Online ClustalW software (http://www.ebi.ac.uk/Tools/msa/clustalw2/) was utilized to align promoter regions of isoforms Tn4401a, Tn4401b, and Tn4401h. Primers (F-ISKpn7, R-prom)

containing restriction sites for BamHI and EcoRI (New England BioLabs, Ipswich, MA) were used to amplify putative promoter sequences from purified plasmids carrying Tn4401a, Tn4401b, and Tn4401h (Table S1). Primers were also used to amplify putative promoters P1 (P1Eco-F, R-prom), P1 plus the intervening sequence (IVS; i.e., the sequence intervening between P1 and P2 that is missing in Tn4401h) (P1+IVS Eco-F, R-prom), P2 (F-ISKpn7; P2 Bam –R), and P2+IVS (which is in F-ISKpn7; P2+IVS Bam –R), in order to assess the relative activities of putative promoters P1 and P2 alone and in combination with the IVS (Fig. 2 and 4; see also Table S1). All amplified PCR products were ligated into *lacZ* reporter plasmid pR5551 (25) after restriction digestion was performed using BamHI and EcoRI. The ligated constructs and *lacZ* reporter plasmid pR5551 were transformed into *E. coli* DH10B calcium-competent cells and then grown on ampicillin (100 µg/ml)-selective LB plates. Plasmids isolated from transformants were screened by PCR, and all 7 ligated constructs were sequenced using primer (pR5551-R sequencing; Table S1) to confirm the proper orientation and nucleotide sequence of the inserted regions.

β-Galactosidase assay. The protocol for the assay of β-galactosidase activity was adapted from Griffith and Wolf (38). A 5-μl volume of the overnight culture of the DH10B *E. coli* transformants was added to 3 ml LB broth containing ampicillin (50 μ g/ml), and the cultures were incubated on a platform shaker at 37°C until the culture reached an optical density at 600 nm of 0.4 to 0.5.

For cell permeabilization to release the enzyme, 1 ml of Z buffer (60 mM Na₂HPO₄ · 7H₂O, 40 mM NaH₂PO₄ · H₂O, 10 mM KCl, 1 mM MgSO4₄ · 7H₂O, 50 mM β -mercaptoethanol) was added along with 20 μ l of freshly prepared 0.1% SDS and 40 μ l of chloroform to100 μ l of bacterial culture. Permeabilization was achieved by subjecting test tubes containing the cell-chloroform/SDS mixture to vortex mixing (one at a time) for 30 s followed by a 10-min settling period.

A 100- μ l volume of supernatant from each tube was divided into aliquots in quadruplicate and placed into a flat-bottom 96-well plate. At time zero, the assay was initiated by using a multichannel pipette to add 20 μ l of o-nitrophenyl- β -D-galactopyranoside (ONPG; 4 mg/ml). Once the yellow chromophore was visualized, the reaction was terminated by the addition of 50 μ l of 1 M Na₂CO₃ and then absorbance at A_{420} and A_{550} was measured. β -Galactosidase activity was calculated in Miller units from the absorbance data as follows: Miller units = 1,000 × [A_{420} – (1.75 × A_{550})]/($T × V × A_{600}$). A_{420} and A_{550} are read from the reaction mixture, A_{600} reflects cell density in the mid-logarithmic phase, T represents the time of the reaction in minutes before addition of 1 M Na₂CO₃, and V represents the volume of the culture used in the assay in milliliters (38).

Statistical analysis. A paired-sample Student *t* test was conducted to compare the levels of bla_{KPC} expression of *E. coli* transformants containing parent plasmids Tn4401a, Tn4401b, and Tn4401h. A paired-sample Student *t* test was also used to compare the levels of β -galactosidase activity of *lacZ* fusion constructs containing Tn4401a, Tn4401b, Tn4401h, P1, P1+IVS, P2, or P2+IVS.

SUPPLEMENTAL MATERIAL

Supplemental material for this article may be found at https://doi.org/10.1128/AAC .00025-17.

SUPPLEMENTAL FILE 1, PDF file, 0.1 MB.

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