

pKAMA-ITACHI Vectors for Highly Efficient CRISPR/Cas9-Mediated Gene Knockout in *Arabidopsis thaliana*

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The CRISPR/Cas9 (clustered regularly interspaced short palindromic repeats/CRISPR-associated 9) system is widely used as a tool for genome engineering in various organisms. A complex consisting of Cas9 and single guide RNA (sgRNA) induces a DNA double-strand break in a sequence-specific manner, resulting in knockout. Some binary vectors for CRISPR/Cas9 in plants have been reported, but there is a problem with low efficiency. Here, we present a newly developed, highly efficient CRISPR/Cas9 vector for *Arabidopsis thaliana*, pKAMA-ITACHI Red (pKIR), harboring the RIBOSOMAL PROTEIN S5 A (RPSSA) promoter to drive Cas9. The RPSSA promoter maintains high constitutive expression at all developmental stages starting from the egg cell and including meristematic cells. Even in the T₁ generation, pKIR induced null phenotypes in some genes: PHYTOENE DESATURASE 3 (PDS3), AGAMOUS (AG) and DUO POLLEN 1 (DUO1). Mutations induced by pKIR were carried in the germ cell line of the T₁ generation. Surprisingly, in some lines, 100% of the T₂ plants had the *adh1* (ALCOHOL DEHYDROGENASE 1) null phenotype, indicating that pKIR strongly induced heritable mutations. Cas9-free T₂ mutant plants were obtained by removing T₂ seeds expressing a fluorescent marker in pKIR. Our results suggest that the pKIR system is a powerful molecular tool for genome engineering in *Arabidopsis*.

Keywords: *Arabidopsis thaliana* • CRISPR/Cas9 • Genome engineering • Knockout • Cas9-free isolation.

Abbreviations: 35S, Cauliflower mosaic virus 35S; ADH1, ALCOHOL DEHYDROGENASE 1; AG, AGAMOUS; CRISPR, clustered regularly interspaced short palindromic repeats; Cas9, CRISPR-associated 9; DAPI, 4',6-diamidino-2-phenylindole; DSB, double-strand break; DUO1, DUO POLLEN 1; HspT, heat shock protein 18.2 terminator; NHEJ, non-homologous end-joining; PAM, protospacer adjacent motif; PDS3, PHYTOENE DESATURASE 3; RFP, red fluorescent protein; RPSSA, RIBOSOMAL PROTEIN S5 A; sgRNA, single guide RNA; TALEN, transcription activator-like effector nuclease; WOX2, WUSCHEL RELATED HOMEBOX 2; ZFN, zinc finger nuclease. The nucleotide sequences reported herein have been submitted to DDBJ under accession numbers LC189561 (p35S-Cas9), LC189562 (pX2-Cas9), LC189563 (pKIR1.0), LC189564

(pKIR1.1), LC189565 (pK11.1) and LC189566 (pK11.1R). Patent: a patent has been applied for on the method to drive Cas9 using the RPSSA promoter for plant genome editing (patent application number: 2016-171590).

Introduction

Genome engineering has been rapidly developing and makes it possible to perform specific modification of a target gene. Zinc finger nuclease (ZFN) (Urnov et al. 2010) and transcription activator-like effector nuclease (TALEN) (Cermak et al. 2011) were developed as tools for genome engineering. Both consist of artificial DNA-binding proteins fused to *FokI* endonuclease. The DNA-binding domain designed to recognize the target DNA sequence binds to the target sequence, and *FokI* endonuclease cleaves the flanking DNA site. The DNA is cleaved as a double-strand break (DSB), which triggers the DNA repair machinery, generally resulting in a short insertion or deletion via non-homologous end-joining (NHEJ). Since a frameshift in the open reading frame caused by NHEJ disrupts the target gene, a knockout mutant is obtained. ZFN and TALEN have been widely used for genome engineering in various organisms. However, vector construction is not simple because the DNA-binding domain must be customized and tandemly arrayed via several processes to bind to its target DNA sequence. The nucleotide sequences reported herein have been submitted to DDBJ under accession numbers LC189561 (p35S-Cas9), LC189562 (pX2-Cas9), LC189563 (pKIR1.0), LC189564 (pKIR1.1), LC189565 (pK11.1) and LC189566 (pK11.1R). Patent: a patent has been applied for on the method to drive Cas9 using the RPSSA promoter for plant genome editing (patent application number: 2016-171590).

Recently, a novel and revolutionary tool for genome engineering, called CRISPR (clustered regularly interspaced short palindromic repeats), has been developed (Jinek et al. 2012, Cong et al. 2013, Mali et al. 2013). The CRISPR/Cas9 (CRISPR-associated 9) system consists of two components, the Cas9 protein and a single guide RNA (sgRNA). Cas9 loading sgRNA scans along DNA to find a target sequence that matches with the 20 bp of the 5' sequence of sgRNA, with a protospacer adjacent motif (PAM) sequence (NGG), and then the Cas9–sgRNA complex induces a

DSB at the target site. Since the DSB site is repaired by NHEJ, as is the case in ZFN and TALEN, we can generate knockout plants using CRISPR/Cas9. CRISPR/Cas9 was reported to be successful in producing gene-modified plants in *Arabidopsis thaliana* (Feng et al. 2013, Mao et al. 2013, Fauser et al. 2014, Feng et al. 2014, Wang et al. 2015, Osakabe et al. 2016), *Marchantia polymorpha* L. (Sugano et al. 2014), *Oryza sativa* (Feng et al. 2013, Miao et al. 2013, Endo et al. 2015, Mikami et al. 2015), *Solanum lycopersicum* (Brooks et al. 2014) and other plant species. However, there remain some unresolved problems. First, there is still room for improvement in the mutation induction efficiency via the floral dip method, which introduces genes to female reproductive tissue, in *A. thaliana* (Ye et al. 1999). The efficiency in inflorescences, which contain germ cells, is not high in *A. thaliana*. This results in low efficiency of transmission to the next generation. Secondly, the isolation of plants without T-DNA for the CRISPR/Cas9 system (referred to as 'Cas9-free') requires a PCR experiment as the use of conventional antibiotic selection would result in the death of plants without the T-DNA.

We developed a novel highly efficient CRISPR/Cas9 vector for *A. thaliana*. To induce inheritable mutations highly efficiently, we tested promoters for Cas9 expression that are constitutively expressed from an initiating cell (an egg cell or a zygote). In *Agrobacterium*-mediated transformation by floral dipping of *A. thaliana*, transgenic plants (T_1 plants) are produced through transformation of the egg cell formed in the dipped inflorescence (Ye et al. 1999). If Cas9 expression and mutations are induced in the initiating cell or at an earlier developmental stage, the mutations are passed to the daughter cells and then all or most of the cells in the plant, including the meristematic region, will have the induced mutation. Indeed, an egg cell-specific *EC1* promoter to express Cas9 was recently used to obtain non-mosaic T_1 mutants (Wang et al. 2015). Mosaicism is defined as the presence of cells with different genotypes in a tissue or individual. However, the egg cell-specific Cas9 limits the chance of mutation to a very early embryonic developmental stage. In this study, we used the promoters of *RIBOSOMAL PROTEIN S5 A (RPSSA)* and *WUSCHEL RELATED HOMEBOX 2 (WOX2)*. The *RPSSA* gene is expressed from an early embryonic stage (Weijers et al. 2001) and its promoter is constitutively active, beginning in egg cells (Maruyama et al. 2013). The *RPSSA* promoter is widely used in imaging analyses to label cells, organelles or structures of interest brightly via expressing fluorescent proteins (Maruyama et al. 2013, Gooh et al. 2015, Liao et al. 2015). Data from imaging analyses show that the *RPSSA* promoter is capable of supporting high-level gene expression. Continuous Cas9 expression from egg cells achieves mutation induction with high efficiency in the T_1 generation. Furthermore, we attempted to select Cas9-free plants non-destructively using a backbone vector, pFAST-R (Shimada et al. 2010), harboring an expression cassette of *OLE1-TagRFP* (red fluorescent protein) that exhibits red fluorescence in seeds. As reported recently using a similar seed expression system (Gao et al. 2016), a pFAST system that can reveal the existence of transgenes in seeds would provide us with a simple and powerful way to obtain Cas9-free plants.

Results

Vector construction for the CRISPR/Cas9 system in *Arabidopsis thaliana*

We prepared binary vectors based on the pFAST-R vector series (Shimada et al. 2010) using three promoters to drive human codon-optimized Cas9 expression: *Cauliflower mosaic virus 35S (35S)*, *WOX2* and *RPSSA*. The 35S promoter is a well-known constitutively active promoter. According to previous reports, *WOX2* mRNA was detected in the egg cell (Haecker et al. 2004), while fluorescent proteins driven by the *WOX2* promoter were observed in the zygote (Ueda et al. 2011, Gooh et al. 2015). The *RPSSA* promoter begins expression in egg cells (Maruyama et al. 2013, Gooh et al. 2015). The vector carrying *35Sp::Cas9* was designated as p35S-Cas9, *WOX2p::Cas9* was designated as pX2-Cas9, and *RPSSAp::Cas9* was designated as p5A-Cas9 (Fig. 1).

For sgRNA expression, an sgRNA cassette, composed of the *AtU6.26* promoter, sgRNA and the Pol III terminator, was also inserted into pFAST-R vectors via restriction enzyme-based cloning or Gibson assembly (Fig. 1). Since pFAST-R vectors harbor *OLE1p::OLE1:TagRFP* within their T-DNA, the transformants exhibit red fluorescence in their seeds, which helps in transformant selection.

Cas9 driven by the *RPSSA* promoter induced a knockout phenotype in inflorescences of the T_1 generation

***PDS3* knockout.** To estimate the knockout efficiency of our vectors, we selected *PHYTOENE DESATURASE 3 (PDS3, AT4G14210)* as a target gene. The null mutant showed an albino phenotype (Qin et al. 2007), which makes it easy to determine whether both *PDS3* alleles are disrupted in the observed tissues. We observed T_1 plants transformed by p35S-Cas9 with sgRNA against *PDS3* (p35S-Cas9_PDS3). Pairs of leaves in T_1 plants were likely to be a mosaic of green and white areas. We judged the pairs of totally white leaves as 'albino' in the following observations. In cotyledons, 17.7% of T_1 plants displayed the albino phenotype (Fig. 2B). However, as the plants grew, the albino frequency decreased. In the first true leaves, 4.2% had albino leaves and, in the second, 3.1% (Fig. 2B). In contrast, in pX2-Cas9_PDS3 T_1 plants, 26.1% had albino second leaves, while the percentage was 66.7% in p5A-Cas9_PDS3 plants (Fig. 2B). Among p5A-Cas9_PDS3 T_1 plants, we found three phenotype patterns: green, partially green (partially albino) and completely albino (Fig. 2A).

Next, we measured the amounts of three pigments in inflorescence cells. If mutations are detected in the inflorescence, there is an increased probability that the mutation will be inherited by the next generation. To quantify *PDS3* knockout efficiency, we measured the amounts of Chl *a*, Chl *b* and carotenoid in the inflorescences of T_1 plants because the *pds3* null mutant has impaired biosynthesis of Chl and carotenoid (Qin et al. 2007). GA_3 biosynthesis is disrupted in the *pds3* mutant, causing a dwarf phenotype. We collected inflorescences from plants grown on medium with exogenous GA_3 , which partially rescues the *pds3* dwarf phenotype and promotes bolting. All

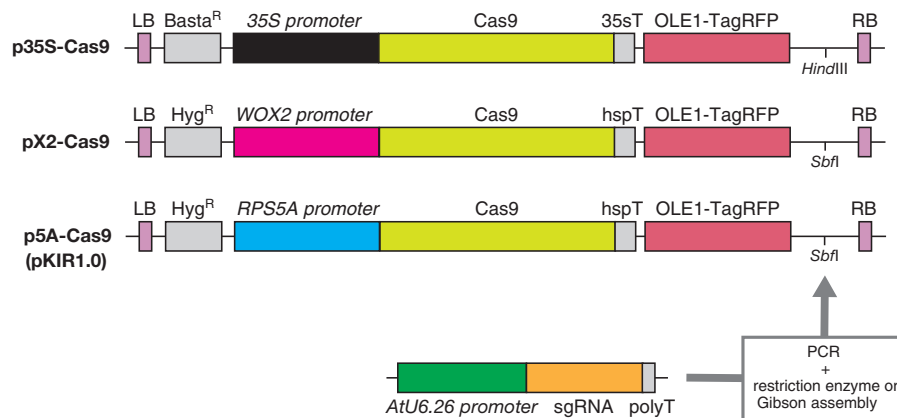


Fig. 1 CRISPR/Cas9 vectors used in this study. Three promoters, 35S, WOX2 and RPS5A, were used to express Cas9. Backbone vectors were from the pFAST-R series and express the red fluorescent protein TagRFP in transgenic seeds. p35S-Cas9 is based on pFAST-R02, while pX2-Cas9 and pKIR1.0 are based on pFAST-R01. An sgRNA cassette amplified by PCR was inserted into the *HindIII* or *SbfI* site by restriction enzyme-based cloning or Gibson assembly. LB, left border; Basta^R, basta resistance gene; 35sT, 35S terminator; RB, right border; Hyg^R, hygromycin resistance gene; hspT, heat shock protein terminator.

Col-0 ($n = 13$) and p35S-Cas9_PDS3 ($n = 12$) plants bolted in this medium. Among the 23 observed pX2-Cas9_PDS3 plants, 20 bolted (87%) and, among the 57 observed p5A-Cas9_PDS3 plants, 44 bolted (77%). Compared with the inflorescences of Col-0 and p35S-Cas9_PDS3, those of pX2-Cas9_PDS3 and p5A-Cas9_PDS3 contained lower amounts of pigment (Fig. 2C). For example, the median amount of Chl *a* per 1 mg of inflorescence was 730 ng in Col-0, 650 ng in p35S-Cas9, 330 ng in pX2-Cas9_PDS3 and 6.4 ng in p5A-Cas9_PDS3. These results indicate that p5A-Cas9_PDS3 induces mutations more efficiently in both rosette leaves and inflorescences. We designated the p5A-Cas9 vector as pKAMA-ITACHI Red 1.0 (pKIR1.0; Kama-itachi is a mink-like trio of ghosts in Japanese folklore: the first one trips up a target person, the second one injures the person with its nails and the third applies ointment, which is similar to the CRISPR system in terms of scanning, cutting and repairing the target DNA sequence). All subsequent experiments were performed using pKIR.

AGAMOUS knockout. To be transmitted to the next generation, the mutation needs to be harbored in germ cells within the floral meristem. Thus, we examined the knockout level in the floral meristem. We chose *AGAMOUS* (*AG*, AT4G18960), which is the gene encoding a transcription factor involved in flower development, as the target gene, and the null mutant has a phenotype of a flower within a flower, called ‘double flower’ (Yanofsky et al. 1990). If the phenotype is observed in pKIR1.0_AG T₁ plants, it indicates that both *AG* alleles in the floral meristem are disrupted. We observed 13 T₁ plants, and 12 displayed the double flower phenotype, with one dwarf plant arrested before flowering. Furthermore, in all 12 plants, the phenotype was observed in every flower (Fig. 3). This suggests that both *AG* alleles in the cells of all floral meristems were mutated by pKIR1.0_AG.

pKIR efficiently induced transmittable mutations

DUO1 knockout. Next, we estimated the knockout efficiency of pKIR1.0 in a male germ cell (sperm cell). If mutations are detected

in sperm cells, then mutations may be inherited by the next generation. *DUO1* (*DUO POLLEN 1*, AT3G60460) encodes a male germline-specific R2R3 MYB transcription factor. In the *duo1* null pollen grain, cell division of the generative cell is impaired, resulting in a single non-fertile sperm-like cell (Rotman et al. 2005). We prepared pKIR1.0_DUO1 plants in a *qrt1-2* mutant background. Since *qrt1-2* has a tetrad pollen phenotype (Francis et al. 2006), it helps us to confirm the zygosity of the pollen mother cell. In T₁ plants, we found five patterns for the *duo1* phenotype in pollen tetrads by 4',6'-diamidino-2-phenylindole (DAPI) staining (Fig. 4A–E). A tetrad displaying two sperm cells in each pollen grain is written as [normal, *duo1*] = [4, 0]. For example, a tetrad written as [2, 2] displays two pollen grains with two sperm cells and two pollen grains with a single sperm-like cell. A tetrad with a single sperm-like cell is written as [0, 4], and the mother cell is inferred to be *DUO1* homozygous or to have biallelic mutations. In T₁ plants, we collected three flowers from the first inflorescence and examined the frequency of the *duo1* phenotype in the tetrads. There were various frequencies of each phenotype pattern among individuals (Fig. 4F). Some tetrads showed [3, 1] or [1, 3] patterns in T₁ plants. One possibility is that *DUO1* in such plants is a weak allele. Another is that the mutation is induced after meiosis in the male germline. However, even in *qrt1-2*, 8.2% of tetrads showed the [3, 1] pattern ($n = 7$ flowers). These data indicate that a generative cell infrequently fails to undergo cell division. The [3, 1] and [1, 3] patterns in pKIR1.0_DUO1 T₁ plants may reflect a failure in cell division rather than mutation induction after meiosis.

Notably, plants #7 and #10 had 95.4% and 95.1% [0, 4] tetrads, respectively. Reciprocal crosses of the wild type and these plants showed that plant #7 was slightly fertile (17.2%, $n = 3$), while #10 was completely infertile (0.0%, $n = 4$) (Fig. 4G). These data indicate that plant #10 is a *duo1* null mutant. Next, we examined the *DUO1* sequence of plant #10 using DNA from the first cauline leaf, and found a single T base inserted into the DSB site (Fig. 4H). The cauline leaf of plant #10 was most probably non-mosaic because significantly different peaks for bases were not detected subsequent to the DSB site (Fig. 4H). This result

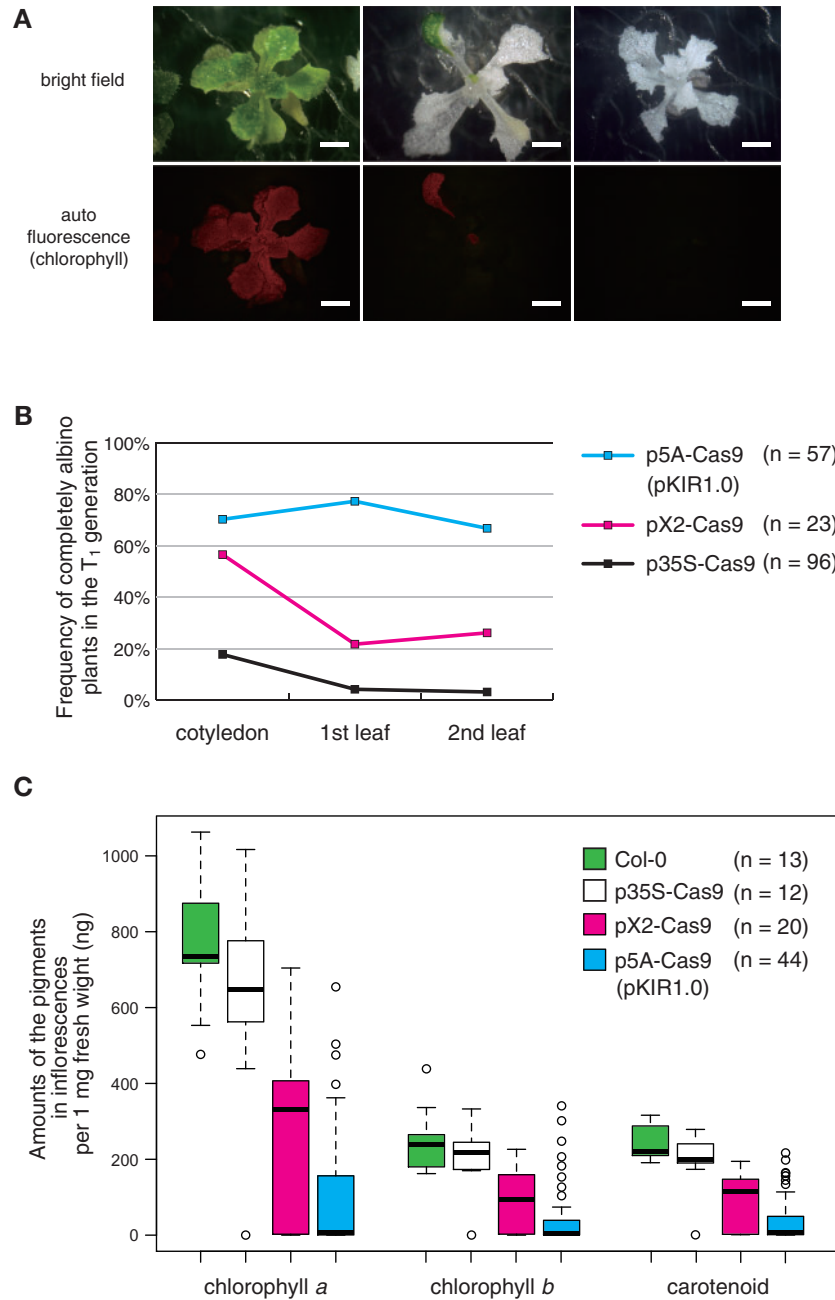


Fig. 2 *PDS3* gene knockout. (A) T₁ plants transformed with pKIR1.0_PDS3. Upper panels are bright field images and lower panels are auto-fluorescence images. In the left panels, plant leaves are mostly green. In the middle panels, leaves are partially green. In the right panels, leaves are

suggests that germ cells, at least in the first inflorescence, were completely null and non-mosaic in *DUO1* alleles.

***ADH1* knockout.** To confirm that mutations by pKIR are efficiently transmitted to the next generation, we examined the transmission efficiency of induced mutations of *ADH1* (*ALCOHOL DEHYDROGENASE 1*, *AT1G77120*). Since *ADH1* encodes an enzyme that converts allyl alcohol into the toxic alcohol, acrolein, wild-type and heterozygous plants are killed and null homozygous or biallelic plants survive in the treatment. We prepared two sgRNAs against different target sequences of *ADH1* (pKIR1.0_ADH1-1 and pKIR1.1_ADH1-2; see below for details of pKIR1.1). Dozens of T₂ seeds from

independent T₁ plants were treated with allyl alcohol. Seven days after sowing, we measured the survival rate. The median survival rates of pKIR1.0_ADH1-1 and pKIR1.1_ADH1-2 T₂ plants were 43% and 81%, respectively. The rate of Col-0 survival was 0.0% and that of *adh1* T-DNA mutants (GABI-Kat 924D03.3) was 96% (Fig. 5A). Surprisingly, we isolated two and five plants in which the T₂ seed survival rate was 100% in the pKIR1.0_ADH1-1 and pKIR1.1_ADH1-2 lines, respectively (Fig. 5A). This phenotype of complete resistance against allyl alcohol indicates that *ADH1* alleles were already null in all male and female germ lines in the T₁ generation.

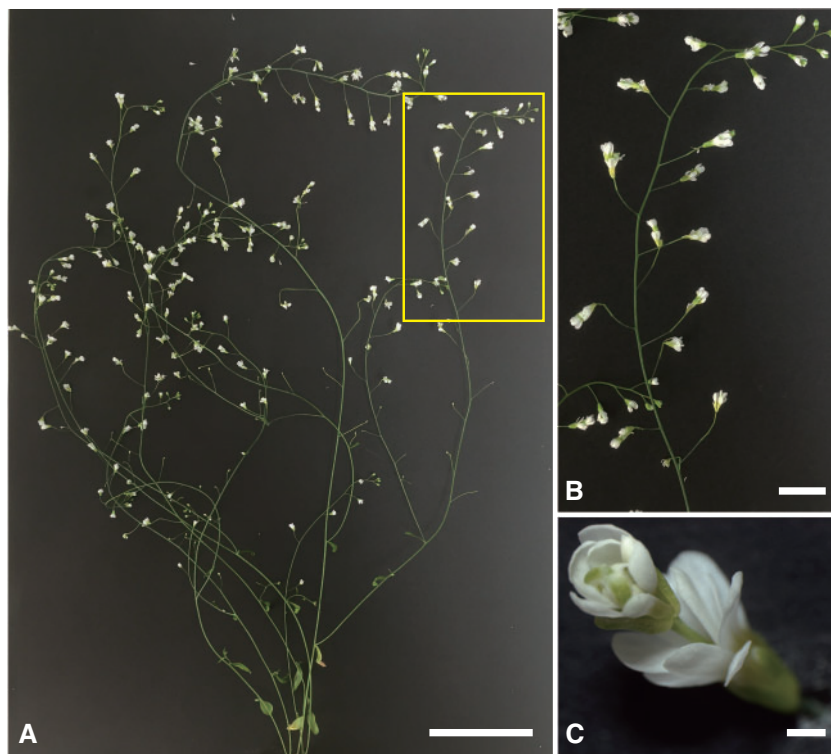


Fig. 3 *AGAMOUS* gene knockout. (A) Whole body image of a pKIR1.0_ *AGAMOUS* T₁ plant. (B) Enlarged image of the area in the yellow rectangle in (A). (C) A flower exhibiting the *ag* phenotype. Scale bars = 5 cm (A), 1 cm (B) and 1 mm (C).

To fix an induced mutation in and after the T₂ generation and isolate a Cas9-free plant, the elimination of the CRISPR/Cas9 system, which consists of *RPSSAp::Cas9* and *U6.26p::sgRNA* in this study, is necessary in T₂ seed selection. Our vector pKIR enabled us to eliminate Cas9 by visualization based on seed fluorescence. Since the T-DNA of pKIR also carries *OLE1p::OLE1:TagRFP*, which fluoresces red in seeds (Shimada et al. 2010), we could select non-transformants (Cas9-free) or transformants by the absence or presence of red fluorescence, providing an easy and non-destructive strategy for isolating Cas9-free plants. Conventional antibiotic-based selection is unsuited to the isolation of Cas9-free plants because plants without the T-DNA for Cas9 are not able to survive on the selection medium.

We performed Cas9-free plant selection on T₂ seeds of pKIR1.1_ADH1-2 plants that showed the 100% survival phenotype (plants #4, #9, #14, #17 and #24) (Fig. 5A). We selected seeds without red fluorescence (Cas9-free seeds) under a fluorescence stereomicroscope and sowed them after allyl alcohol treatment. Next, we collected their leaves and extracted genomic DNA to sequence *ADH1* alleles and confirm the absence of Cas9. In T₂ plants from lines #4, #9 and #17, we found more than two patterns of alleles and, in those from lines #14 and #24, we found a single pattern (Fig. 5B). These data indicate that all germ cells in T₁ plants of lines #14 and #24 had the same mutation. This suggests that the T₁ plants were non-mosaic in *ADH1* alleles, which may result from mutation induction in an early embryonic stage in the T₁ generation. None of the T₂ plants harbored the Cas9 expression cassette (Supplementary Fig. S1). Thus, pKIR1.0 enabled the easy and non-destructive isolation of targeted Cas9-free mutants in the T₂ generation.

Improvement of pKIR to reduce construction steps

We further improved pKIR1.0 to reduce the number of steps involved in construction. In pKIR1.0 construction, two-step PCR and ligation are required for sgRNA cloning. We developed pKIR1.1, which carries an sgRNA cassette (*U6.26p::sgRNA*) by default. As shown in Fig. 6, two restriction enzyme sites (*AarI*) were inserted between the *U6.26* promoter and sgRNA scaffold. *AarI*-digested pKIR1.1 forms four-base overhangs, and a hybridized DNA oligomer with overhangs can be inserted into this site. By annealing two 23 bp primers, we can complete vector construction without PCR. We confirmed that pKIR1.1_PDS3 showed equivalent activity to pKIR1.0_PDS3 (Supplementary Fig. S2).

Discussion

The CRISPR/Cas9 system is a powerful genome engineering tool for modifying target DNA sequences in various organisms. Although CRISPR/Cas9 has been widely used in some plant species, including *A. thaliana* (Luo et al. 2016), increasing its efficiency to isolate knockout mutants of interest will significantly contribute to the study of plants that show genetic redundancy. In this study, we used three promoters (*35S*, *WOX2* and *RPSSA*) to express Cas9 in *A. thaliana*, and found that the *RPSSA* promoter had the highest efficiency (Fig. 2B). In all targeted genes (*PDS3*, *AG*, *DUO1* and *ADH1*), Cas9 driven by *RPSSA* was sufficient to knock out both alleles in meristematic cells of T₁ plants. A binary vector harboring *RPSSAp::Cas9* was

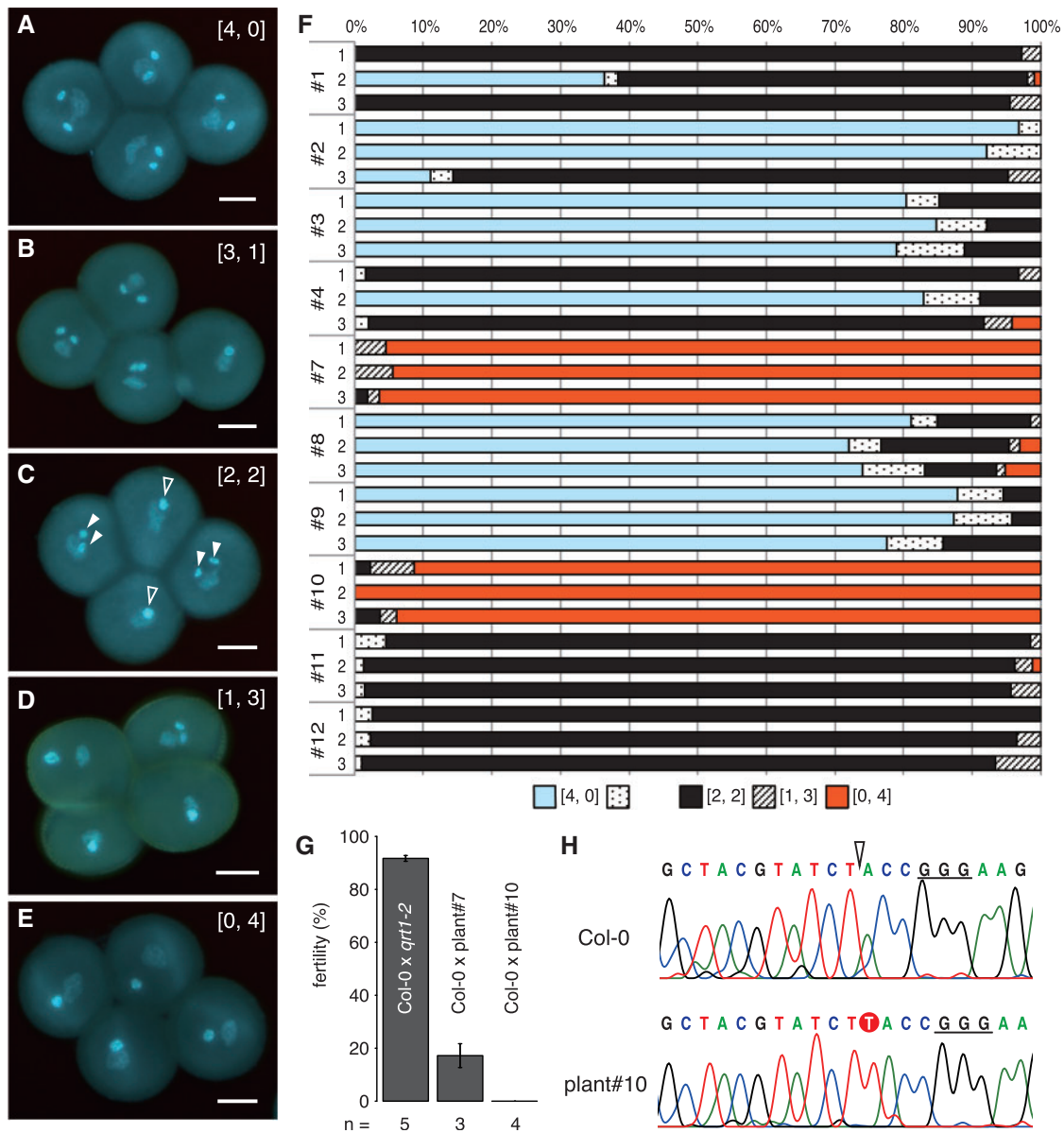


Fig. 4 *DUO1* gene knockout. (A–E) Pollen tetrads displaying patterns of the *duo1* phenotype. The set numbers in brackets to the upper right of the image indicate the *duo1* phenotype pattern in the tetrad. The numbers for normal and *duo1* pollen are shown to the right and left, respectively. Scale bars = 10 μ m. Filled and open arrowheads in (C) indicate normal sperm cells and *duo1* sperm-like cells, respectively. (F) Frequency of the five *duo1* phenotype patterns. Three flowers were observed in each pKIR1.0-*DUO1* T₁ plant. The numbers of observed tetrads ranged from 44 to 151. (G) Fertility of crosses between Col-0 (female) and *qrt1-2* (male) or pKIR1.0-*DUO1* T₁ plants (male). Error bars indicate the SE. 'n' indicates the number of observed siliques. (H) DNA sequences of the *DUO1* gene from the first cauline leaf in the first inflorescence. The open arrowhead indicates the cleavage site of Cas9 for *DUO1* sgRNA. The underlined bases are the PAM sequence of *DUO1* sgRNA. The T base inserted by NHEJ is shown as an outline character on a red background.

constructed and named pKIR, and also contained a TagRFP expression cassette for seeds (*OLE1p::OLE1:TagRFP*; Shimada et al. 2010). The null phenotype induced by pKIR was observed in germ cells (Fig. 4), and the mutations were efficiently transmitted to the next generation (Fig. 5). Among 24 pKIR1.1-*ADH1-2* lines, the median survival rate, denoting an *adh1* null rate, was 81%, and five lines showed 100% survival (Fig. 5A).

Various CRISPR/Cas9 systems for *A. thaliana* have been used for some target genes to examine transmission frequency. For example, Fauser et al. (2014) reported the

mutation efficiency of *ADH1*; the survival rate of T₂ plants was 15.3%. Feng et al. (2014) reported the mutation efficiency of several genes. However, neither report mentioned mosaicism. On the other hand, Wang et al. (2015) reported that non-mosaic T₁ plants were isolated and the average frequency of T₂ plants displaying the mutant phenotype was 24.8%. Although the mutation efficiency depends on the design of the sgRNA and it is difficult to compare the mutation efficiency of different sgRNAs induced by different vectors, our pKIR system produced non-mosaic T₁ plants with high efficiency.

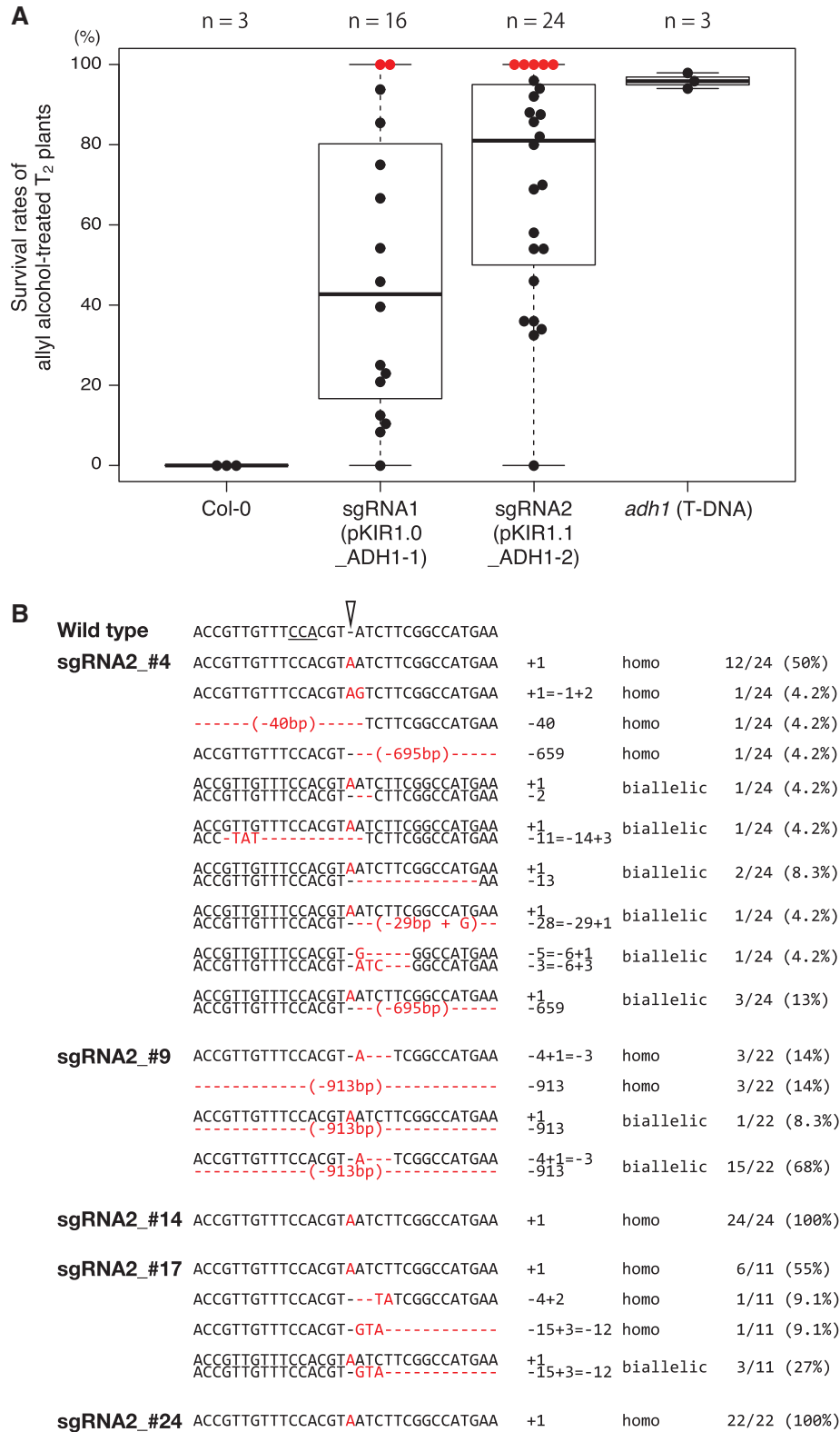


Fig. 5 *ADH1* gene knockout. (A) Box and bee swarm plots of survival rates in allyl alcohol-treated T_2 seeds. Dots indicate individual rates; red dots indicate 100% survival. 'n' indicates the number of the experiments in Col-0 and *adh1* and that of the independent T_2 lines in pKIR1.0_ADH1-1 and pKIR1.1_ADH1-2. (B) Mutation patterns of *ADH1* alleles in pKIR1.1_ADH1-2 T_2 plants. In the wild-type sequence, the underlined bases are the complementary PAM sequence of the *ADH1* sgRNA and the open arrowhead is the cleavage site. The red characters indicate mutated sites.

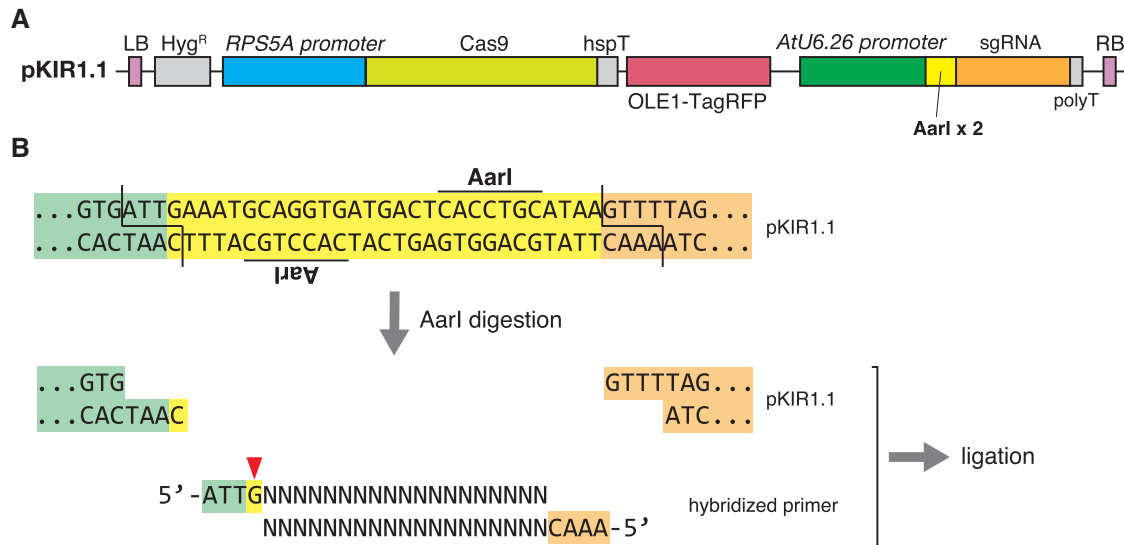


Fig. 6 pKIR1.1 construction. (A) For pKIR1.1, U6.26p::2 × AarI:sgRNA was inserted into the *SbfI* site of pKIR1.0. (B) Flowchart of pKIR1.1-based vector construction. The upper sequence is an enlarged view of the boundary (yellow) between the U6.26 promoter (pale green) and the sgRNA scaffold (orange). This boundary contains an inverted repeat of AarI recognition sites, and AarI digestion generates four-base overhangs. A hybridized primer with overhangs can be inserted into this site as shown. G with a red triangle is the first base for RNA transcription from the U6.26 promoter. G + (N)₁₉ indicates the target sequence.

The *RPS5A* promoter, which is used in pKIR for Cas9 expression, is known to begin expression in egg cells (Maruyama et al. 2013) and constitutively maintain expression, even in meristematic cells, which probably contributed to the high efficiency of the mutations reported in this study. In some T₂ lines with the 100% allyl alcohol survival phenotype, we found various patterns of mutations (Fig. 5B). These mutations were probably induced independently in different cell lineages rather than successively in the same lineage, based on the mutation sequences. Moreover, the degree of heterogeneity differed between plant lines (Fig. 5B); some lines had a number of mutation patterns, while others had a single one. The single mutation pattern in T₂ plants could be due to non-mosaic mutation in the zygote stage of T₁ plants. These results suggest that the timing of mutation in apical stem cells was line dependent and occurred anywhere from early developmental stages in zygotes to later developmental stages. Constitutive activity of the *RPS5A* promoter in meristematic cells may significantly increase the frequency of mutation in stem cells over the entire developmental period.

We used the pFAST system (Shimada et al. 2010) for the easy and non-destructive isolation of Cas9-free plants. Cas9 elimination has two advantages: freedom from off-target mutations and freedom from undesirable mutations of a wild-type allele. With regard to off-target mutations, constitutive Cas9 expression at high levels due to the *RPS5A* promoter could lead to off-target mutations in non-targeted genes during and after the T₂ generation. After removal of the T-DNA for the CRISPR/Cas9 system, there is no possibility of off-target mutation. With regard to undesirable mutations, mosaic mutations in heterozygous T₂ mutants can be induced. Mutations within the T-DNA for a rescue experiment to compensate for gene function probably occur as long as the T-DNA for the CRISPR/Cas9

system remains in the genomic DNA. Combination of *RPS5Ap::Cas9* and the pFAST system was useful for developing a CRISPR/Cas9 vector.

The efficiency of obtaining mutants of interest depends on various factors. For example, the design of sgRNA significantly influences efficiency (Doench et al. 2014), as shown in the knockout of *ADH1* (Fig. 5A). We will continue to improve our pKIR series for better efficiency. For example, to stabilize Cas9 expression and increase the non-mosaic rate, the *ADH1* 5'-untranslated region may be effective as it is a translational enhancer (Sugio et al. 2008). eSpCas9(1.1), with high specificity for targeted DNA DSBs (Slaymaker et al. 2016), will be useful in reducing off-target mutations. Regarding off-target mutations, a highly efficient CRISPR/Cas9 system may increase the possibility of off-target effects. sgRNA cloning in the pKIR system is easy; the preparation of multiple sgRNAs against a single gene of interest can be done quickly and with little effort. Based on the examination of a few sgRNAs, we avoided mistakenly perceiving the phenotype of an off-target mutation as an on-target mutation (Fig. 5A). A combination of nickase Cas9 and activation-induced cytidine deaminase induces point mutations (Nishida et al. 2016).

The *RPS5A* promoter was reported to have activity in the division zone of the root meristem (Weijers et al. 2001). This suggests that the pKIR series may induce mutations in the roots of T₁ plants. The *RPS5A* promoter of *A. thaliana* was active in *Torenia fournieri* ovular cells, including egg cells (Susaki et al. 2015), and the U6.26 promoter of *A. thaliana* was active in *Nicotiana benthamiana* (Jiang et al. 2013). These reports indicate that the *RPS5A* and U6.26 promoters do not have species specificity and our vectors could potentially be used in other plant species. Taking into consideration the use of *RPS5Ap::Cas9* and U6.26p::2 × AarI:sgRNA in binary vectors

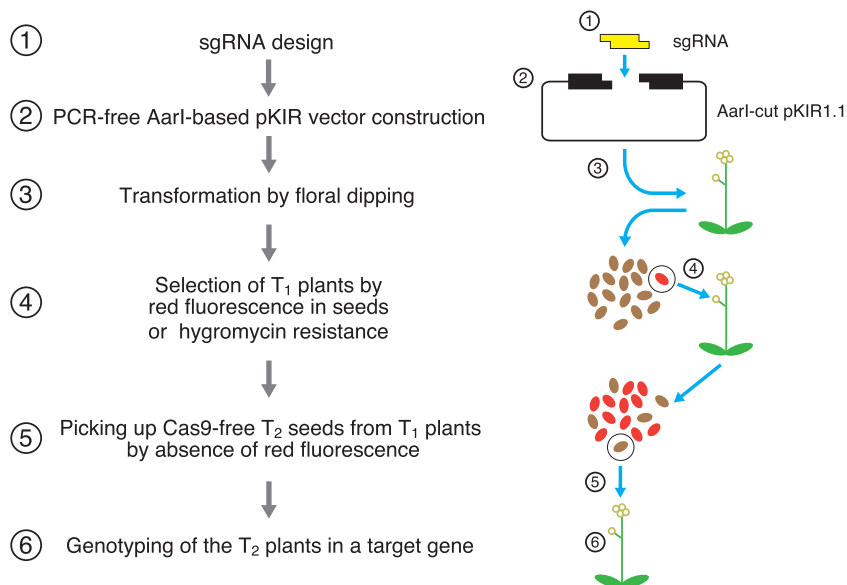


Fig. 7 Flowchart for isolation of Cas9-free target mutants. For construction using pKIR1.1, hybridized 23 bp oligonucleotides containing an sgRNA sequence for the target gene are inserted into AarI-cut pKIR1.1 (Steps 1 and 2; Fig. 6). The pKIR series enables red fluorescence selection of seeds from T₁ plants (Steps 3 and 4; hygromycin selection is also available). In T₂ selection, seeds without red fluorescence represent Cas9-free plants (Step 5). By genotyping T₂ plants, we can obtain a null mutant in the target gene (Step 6).

other than pFAST, we prepared a vector harboring both in pENTR/D-TOPO (pKAMA-ITACHI in pENTR/D-TOPO 1.1, called pK11.1). This vector, pK11.1, can be applied to any GATEWAY vectors depending on the selection marker (e.g. antibiotics and seed fluorescence). Users can select vectors from the pKI series for their own purposes, as pK11.1-derived pK11.1R_PDS3 showed efficiency equivalent to that of pKIR1.0_PDS3 in the knockout of the PDS3 gene (Supplementary Fig. S3).

Fig. 7 summarizes the method to obtain Cas9-free knockout mutants by pKIR1.1. pKIR1.1 also contributes to the rapidity of the procedure, with its simple construction through the design of its sgRNA and two 23 bp primers (Fig. 6). Using the six steps shown in Fig. 7, we can now easily obtain knockout mutants of interest. Our pKI vector series based on RPS5A-driven Cas9 provides a useful tool for genome engineering in plants.

Materials and Methods

Plant materials and growth conditions

Arabidopsis thaliana accession Columbia (Col-0) was used for the experiments. For the DUO1 sgRNA experiments, the *qrt1-2* (ABRC stock name: CS8846) mutant was used. For the ADH1 sgRNA experiments, the *adh1* (GABI-Kat line ID: 924D03.3) mutant was used. Seeds sterilized by PPM (Plant Cell Technology) were sown on 0.3% gellan gum plates containing 2.3 g l⁻¹ Murashige and Skoog salts (Duchefa Biochemie), 1% sucrose, 1 × Gamborg's vitamin solution (Sigma-Aldrich), 0.05% MES and 300 mg l⁻¹ cefotaxime sodium (pH 5.8). After a few days of incubation at 4°C, they were grown at 22°C under continuous light for about 2 weeks and then transferred to soil or rock wool.

Plasmid construction

All primers in this study are listed in Supplementary Table S1. Cas9 (with a FLAG-tag and nuclear localization signal) was amplified by PCR from

pX330-U6-Chimeric_BB-CBh-hSpCas9 (a gift from Feng Zhang, Addgene plasmid # 42230, Cong et al. 2013) and cloned into pENTR/D-TOPO (Thermo Fisher Scientific). The product was called Cas9 in pENTR/D-TOPO.

For the 35S::Cas9 construct, Cas9 from pENTR/D-TOPO was transferred into pFAST-R02 (Shimada et al. 2010) by LR reaction. For the WOX2p::Cas9 and RPS5Ap::Cas9 constructs, each promoter was amplified by PCR from MU14 (a gift from Minako Ueda) and DKv39 (a gift from Daisuke Kurihara), respectively, using forward primers with *NotI* and reverse primers with *NcoI*, then inserted into the *NotI* and *NcoI* sites of Cas9 in pENTR/D-TOPO. HspT (heat shock protein 18.2 terminator, Nagaya et al. 2010) was inserted into the *AscI* site of these vectors by Gibson assembly. The promoters combined with Cas9:HspT in pENTR/D-TOPO were transferred into pFAST-R01 (Shimada et al. 2010) by LR reaction. These products were pX2-Cas9 and pKIR1.0.

For p35S-Cas9_PDS3, we performed two-step PCR. In the first PCR, U6.26p and sgRNA were amplified separately, and then the products from the first PCR were combined in the second PCR. The second PCR product was inserted into the *HindIII* site of p35S-Cas9. For pX2-Cas9_PDS3 and pKIR1.0_PDS3, the above second PCR product (U6.26p::PDS3sgRNA) was first subcloned into pUC19 by *HindIII*, and then U6.26p::PDS3sgRNA was amplified by PCR from the vector and transferred into pX2-Cas9 or pKIR1.0 by Gibson assembly via the *SbfI* site. For pKIR1.0_AG, pKIR1.0_DUO1 and pKIR1.0_ADH1, all sgRNA cassettes were cloned into pKIR1.0 by two-step PCR and Gibson assembly as for PDS3sgRNA.

Two-step PCR was performed to obtain pK11.1. The second PCR product (U6.26p::2 × AarI:sgRNA) was inserted into the *NotI* site of RPS5Ap::Cas9:HspT in pENTR/D-TOPO. To obtain pKIR1.1, the same fragment (U6.26p::2 × AarI:sgRNA) was inserted into the *SbfI* site of pKIR1.0 via Gibson assembly.

For pK11.1R, RPS5Ap::Cas9:HspT and U6.26p::2 × AarI:sgRNA from pK11.1 were transferred into pFAST-R01 (Shimada et al. 2010) by LR reaction. For pKIR1.1_PSD3, pKIR1.1_ADH1-2 and pK11.1R_PDS3, pKIR1.1 or pK11.1R was digested by *AarI* (Thermo Fisher Scientific, #ER1581) with alkaline phosphatase rAPid (Roche, #04898133001). Two primers, consisting of the overhang sequence and the target sequence, were treated with T4 polynucleotide kinase (NEB, #M0201) for phosphorylation and then placed in a thermal cycler with the following settings: 95°C for 5 min, cool down from 95 to 25°C over 14 min (5°C min⁻¹), 25°C for 1 min, and then maintenance at 4°C. The hybridized primer was diluted 250-fold. After the electrophoresis of *AarI*-digested pKIR1.1 or pK11.1R in an agarose gel and purification, the diluted hybridized primer was inserted into the digested vector using T4 ligase (NEB, #M0202). The ligation

product was treated with Plasmid-Safe ATP-Dependent DNase (epicentre, #E3101K) and then transformed into *Escherichia coli*.

Plant transformation and selection of transformants

Binary vectors were introduced into *Agrobacterium tumefaciens* GV3101 by electroporation. Plant transformation was performed using the floral dip method (Clough and Bent 1998). The transformants that displayed red fluorescence in seeds were picked up by a slightly wet toothpick under a stereomicroscope (model SZX16, Olympus) with X-Cite 120LED (Excelitas Technologies).

Pigment measurement

Plants grown on the above-mentioned medium were transferred onto medium with 1.0 mg l^{-1} GA₃ to promote bolting. After bolting, the first inflorescence was collected and its weight was measured. To extract pigments, the inflorescence was transferred into 1 ml of *N,N*-dimethylformamide and kept at 4°C overnight in the dark. The absorbances at 480, 647 and 664 nm were measured using a DS-11 Spectrophotometer (DeNovix Inc.). The amount of pigment was calculated according to Wellburn (1994).

DAPI staining of pollen tetrads

Flowers were dipped into 100 µl of DAPI staining buffer [1 µg ml^{-1} DAPI, 0.1% Triton X-100, 1 mM EDTA, 0.1 M sodium phosphate (pH 7.0)] following the method of Park et al. (1998). After brief vortexing and centrifugation, the precipitated pollen tetrads were transferred onto a slide glass. The samples were observed using a BX51N microscope (Olympus) and the staining images were captured by an AxioCam 506 camera (Carl Zeiss).

Allyl alcohol treatment of seeds

Seeds for allyl alcohol treatment were collected from T₁ plants with *ADH1* sgRNA. The seeds were treated with 20 mM allyl alcohol following the procedure of Jacobs et al. (1988). Sterilization and sowing were performed as described above. To calculate the survival rate, germination was observed 7 d after incubation.

Supplementary data

Supplementary data are available at PCP online.

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Disclosures

The authors have no conflicts of interest to declare.

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