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# **Aneuploidy in Cancer and Aging**

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# **Abstract**

Chromosomal instability (CIN), the persistent inability of a cell to faithfully segregate its genome, is a feature of many cancer cells. It stands to reason that CIN enables the acquisition of multiple cancer hallmarks; however, there is a growing body of evidence suggesting that CIN impairs cellular fitness and prevents neoplastic transformation. Here, we suggest a new perspective to reconcile this apparent paradox and share an unexpected link between aneuploidy and aging that was discovered through attempts to investigate the CIN-cancer relationship. Additionally, we provide a comprehensive overview of the function and regulation of the anaphase-promoting complex, an E3 ubiquitin ligase that mediates high-fidelity chromosome segregation, and describe the mechanisms that lead to whole-chromosome gain or loss. With this review, we aim to expand our understanding of the role of CIN in cancer and aging with the long-term objective of harnessing this information for the advancement of patient care.

# **Keywords**

chromosomal instability; anaphase-promoting complex/cyclosome

# **Aneuploidy and Cancer: A Historical Context**

Tumorigenesis is a multistep process in which normal cells progress toward a transformed state through the acquisition of cancer hallmarks (46). Among these hallmarks are the abilities to sustain proliferative signaling, evade cell cycle inhibition, and resist cell death (46). In the early 1900s, Theodor Boveri postulated that aneuploidy, i.e., any numerical karyotype abnormality, might be the driving force behind cellular transformation and tumorigenesis (10). Indeed, in their 2011 landmark paper, Hanahan & Weinberg (46) solidified genome instability as an enabling characteristic of cancer. The rationale behind this designation is that genome instability can lead to the acquisition of a mutator phenotype, which in turn increases the probability that a cell will acquire cancer hallmarks. However, more than 100 years after Boveri's hypothesis, the precise relationship between aneuploidy and tumorigenesis remains the subject of much debate (39, 101, 103, 110, 138). Fueling this debate are growing bodies of seemingly conflicting evidence both in favor of and opposing the idea that aneuploidy is a driver of tumorigenesis. Favoring Boveri are the observations

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that the vast majority of malignant neoplasms are aneuploid, that multiple aneuploid mouse models are predisposed to cancer (110), and that aneuploidy drives tumor suppressor loss of heterozygosity in some sensitized backgrounds (4). Arguing against Boveri are the observations that aneuploidy delays spontaneous immortalization (140) and elicits a variety of tumor-suppressive stress responses, including growth and cell cycle arrest (126, 140), apoptosis (61, 70, 78, 115), mitotic catastrophe (130), and senescence (77). Moreover, it is well documented that several aneuploid mouse models are not cancer prone (110). Thus, whether aneuploidy is a cause or consequence of transformation and whether aneuploidy promotes or suppresses tumorigenesis remain important unanswered questions despite Boveri's long-standing hypothesis.

The overall objective of this article is to provide a new perspective on this century-old question and distill what appear to be contradictory observations into a more holistic, unified understanding of the role of aneuploidy in cancer. Furthermore, we aim to deliver a compelling vignette as to how an unexpected link between aneuploidy and aging was accidentally discovered through attempts to test Boveri's hypothesis. To do this, we first provide an overview of the function and regulation of the anaphase-promoting complex/ cyclosome (APC/C), which is the central mediator of mitosis, and then describe the mechanisms by which aneuploidy arises de novo. We conclude by briefly describing the aneuploidy-cancer paradox before offering a new perspective on this important issue, and, finally, we consider a recently discovered link between whole-chromosomal instability (W-CIN), aneuploidy, and aging.

## **The Anaphase-Promoting Complex/Cyclosome**

The goal of the mammalian cell cycle is to faithfully replicate the cell's DNA and segregate it evenly between two daughters. The climactic segregation of chromosomes in anaphase is a highly complex and regulated process mediated by the APC/C. In the following section, we discuss the basic biology of the APC/C and consider the multiple mechanisms of APC/C regulation the cell uses to ensure that both daughters receive a full, unadulterated complement of chromosomal content.

#### **Structure and Function of the Anaphase-Promoting Complex/Cyclosome**

The APC/C is an E3 ubiquitin ligase that mediates separation of sister chromatids, cytokinesis, maintenance of G1 phase, and initiation of S phase by polyubiquitinating various substrates and targeting them for degradation by the 26S proteasome (118). Ubiquitin is a small, ubiquitously expressed peptide that modifies other proteins through a well-defined, three-step enzymatic reaction (reviewed in 67). Briefly, an E1 ubiquitin– activating enzyme forms a thioester bond with ubiquitin in an ATP-dependent fashion. The ubiquitin molecule is then transferred to a cysteine residue on an E2 ubiquitin–conjugating enzyme. Finally, ubiquitin is conjugated via covalent amide bond formation to a lysine (K) residue within the substrate, a reaction that is catalyzed by an E3 ubiquitin ligase. This final step occurs in one of two ways: either the E3 ubiquitin ligase simultaneously binds the E2 ubiquitin protein complex and substrate in order to facilitate direct ubiquitin transfer, as is the case for RING E3 ligases like the APC/C (66, 67) (Figure 1), or the E3 ligase can receive

a ubiquitin molecule from an E2 enzyme at an active-site cysteine prior to modifying the substrate, as is the case for HECT E3 ligases. Propagation of the ubiquitin chain takes place in much the same way as the initiating ubiquitin-substrate conjugation step. The main difference is that the E3 ligase generates an amide bond between the C-terminal glycine of the incoming ubiquitin and the  $\varepsilon$ -amino group of the ubiquitin molecule that is already attached to the substrate or polyubiquitin chain. As it relates to APC/C, Ubch10 is the E2 enzyme that initiates ubiquitin-substrate conjugation (11, 141) and Ube2s elongates ubiquitin chains (34). Polyubiquitin chains are traditionally designated by their linkage patterns. The APC/C is thought to primarily generate K11-linked chains (64, 91), a pattern associated with proteasomal degradation, but mixed chains containing K11, K48, and K63 linkages have also been reported (93).

The multimeric APC/C is a member of the cullin-RING ligase family, and its activity is dependent on coactivation by Cdc20 or Cdh1 (12). Structurally, the APC/C is organized into three domains: the platform, the tetratricopeptide repeat (TPR) lobe, and the catalytic core (12, 118) (Figure 1). The catalytic core is what gives the APC/C its enzymatic function. It is made up of Apc2 (cullin), Apc10, Apc11 (RING), and the APC/C coactivator Cdc20 or Cdh1. Together, Apc10 and Cdc20 or Cdh1 recruit and recognize substrates by forming a bipartite coreceptor that recognizes destruction-box (D-box) degrons on candidate substrates (12, 13, 23, 50, 68, 71) (Figure 1). The catalytic subunits, Apc2 and Apc11, are perfectly situated just below the bipartite receptor in order to facilitate Ubch10-mediated ubiquitin initiation and Ube2s-mediated chain elongation (11, 12) (Figure 1).

#### **Cdc20 and Cdh1: Coactivators of the Anaphase-Promoting Complex/Cyclosome**

Cdc20 and Cdh1 are structurally similar APC/C coactivating proteins that associate with the APC/C at various points throughout the cell cycle. The C-terminal WD40 β-propeller domains of Cdc20 and Cdh1 interact with substrates containing KEN-box degrons and, as discussed above, cooperate with Apc10 to form bipartite D-box coreceptors. These coactivating proteins are known to interact with the APC/C through at least two distinct mechanisms, both of which are necessary for APC/C binding and coactivation. First, the Cbox domain is required for coactivator-APC/C interaction through an unknown mechanism and for stimulating APC/C catalytic activity (73). Second, the isoleucine-arginine (IR) tail is known to bind to the TPR proteins Apc3 and Apc6 (147) (Figure 1). Notably, a pro-drug version of tosyl-L-arginine methyl ester (proTAME) antagonizes the APC/C by interfering with the IR tail–dependent interaction of Cdc20 and Cdh1 with the APC/C (147).

# **Regulating Anaphase-Promoting Complex/CyclosomeCdc20 and Anaphase-Promoting Complex/CyclosomeCdh1 Activity**

Progression through mitosis and G1 requires the sequential activity of APC/CCdc20 followed by APC/C<sup>Cdh1</sup> (96, 97). Traditionally, APC/C<sup>Cdc20</sup> is thought to be active beginning in prometaphase and lasts until anaphase onset, at which point Cdh1 replaces Cdc20 as the predominant APC/C coactivator. APC/C<sup>Cdh1</sup> remains active from anaphase through the subsequent G1/S transition. Maintaining this sequence of events requires precise regulation of the APC/C and its coactivating subunits. The complex regulatory mechanisms that

determine how the cell synchronizes the activity of the APC/C and its coactivators are considered here.

**Mitotic checkpoint complex—**The mitotic checkpoint complex (MCC) is undoubtedly the most studied and well-known APC/C inhibitor. The MCC mediates the spindle assembly checkpoint (SAC), which inhibits progression through mitosis until all of the sister kinetochore-microtubule pairs are bi-oriented on the metaphase plate (96). Bi-orientation is achieved when microtubules emanating from opposite spindle poles stably attach to sister chromatids and generate tension across their kinetochores. The SAC blocks the activity of  $APC/C^{Cdc20}$ , which targets cyclin B1 and securin for degradation after bi-orientation (21, 22, 44, 54, 69, 149). Cyclin B1 activates Cdk1, pushing the cell through the G2/M transition and regulating key events early in mitosis, including nuclear envelope breakdown (NEBD) and spindle assembly (21, 35, 36, 54, 95). Additionally, both cyclin B1 and securin inhibit separase, a protease that cleaves the Scc1 subunit of centromeric cohesin rings that hold sister chromatids together prior to anaphase (42, 43, 49, 114, 128, 132, 133). Securin is a stoichiometric inhibitor that blocks cohesin from accessing the active site of separase (149), whereas cyclin B1 inhibits separase activity via Cdk1-mediated phosphorylation (53). Thus, APC/C<sup>Cdc20</sup>-mediated degradation of cyclin B1 and securin promotes sister chromatid separation, whereas the SAC prevents the cell from entering anaphase by blocking APC/  $C^{Cdc20}$  activity until the checkpoint requirements are satisfied.

The MCC comprises BubR1, Mad2, Bub3, and Cdc20 (121). Although the MCC is a soluble inhibitor of  $APC/C^{Cdc20}$ , all of the SAC components first accumulate at unattached and/or low-tension kinetochores in an Aurora B– and Mps1-dependent fashion (17, 55, 56, 80, 88, 119, 123, 124, 137). Of note, much of the pioneering work that determined the order and dependence of kinetochore localization was performed using the microtubule depolymerizing agent nocodazole and the microtubule stabilizer taxol. These agents have been and are still often used in parallel to compare and contrast cellular responses to unattached kinetochores (nocodazole) versus low-tension kinetochores (taxol). In this way, it was discovered that Mad2 primarily accumulates at unattached kinetochores, whereas Bub1- BubR1 accumulates in the context of low tension (17, 119, 137). However, it is important to note that the distinction between unattached and low-tension kinetochores is not as simple as once thought. To that point, unattached kinetochores in the presence of nocodazole cannot generate tension. Comparatively, treatment with taxol hyperstabilizes microtubules, and hyperstabilized microtubules cannot generate tension across centromeres. In both cases, lack of tension activates the SAC leading to microtubule detachment (145). Nevertheless, these early experiments paved the way for our current understanding of kinetochore-mediated SAC signaling and MCC-mediated effector response.

Unattached and/or low-tension kinetochores emit a signal that ultimately mediates a SACdependent arrest until bi-orientation is established. Exactly how kinetochores emit this signal and mediate a response remains an active area of investigation. Nonetheless, our understanding of these processes has grown tremendously over the past two decades. Kinetochore-mediated SAC signaling is known to be highly dependent on two Mad-family proteins, Mad1 and Mad2. A key discovery in understanding SAC signaling was made when Mad1-Mad2 heterodimers were identified at unattached kinetochores (16). This critical

discovery was supplemented by two landmark studies in which the kinetochore residence time for various SAC components was determined (55, 113). The most significant finding of these studies was that kinetochore-bound Mad2 was found to exist in two mutually exclusive pools: a highly stable pool with low turnover and a separate pool that cycles rapidly at the kinetochore. The interpretation of these results was greatly facilitated by studies showing that Mad2 existed in two distinct conformational states, an inactive open (o-Mad2) or active closed (c-Mad2) state (24, 85). Accordingly, the stable kinetochore-bound Mad2 pool is that which associates with Mad1. Mad1 facilitates the conversion of o-Mad2 to c-Mad2 such that Mad1-c-Mad2 stably binds unattached kinetochores (86). The rapidly cycling Mad2 pool points to the critical, rate-limiting step in SAC signal amplification and MCC formation. In this step, kinetochore-bound Mad1-c-Mad2 transiently binds soluble o-Mad2 and catalyzes its conformational change from o-Mad2 to c-Mad2. This prion-like reaction amplifies the signal generated by unattached kinetochores and mediates the first step in effecting a SAC response because c-Mad2 is the sole Mad2 conformer able to bind Cdc20 (86). It is important to appreciate that p31<sup>comet</sup> is a competitive inhibitor of c-Mad2 and thus acts as a negative regulator of the SAC (142, 144).

Although c-Mad2 has been reported to inhibit  $APC/C^{Cdc20}$  by competing for the same Cdc20 binding site as the APC/C (58), it is more widely accepted that c-Mad2 and BubR1 combine to synergistically inhibit APC/ $C^{Cdc20}$  (28). The synergism between c-Mad2 and BubR1 in blocking  $APC/C^{Cdc20}$  activity appears to be both indirect, by preventing  $Cdc20$ from interacting with the APC/C (Figure 2a), and direct, by inhibiting the enzymatic activity of APC/C that is already bound to Cdc20 (Figure 2b). With respect to the indirect mechanism, c-Mad2-Cdc20 has been proposed to be an intermediate that induces a functional switch in Cdc20 to expose a previously inaccessible BubR1 binding domain (45). Accordingly, BubR1-Cdc20 complexes, but not c-Mad2-Cdc20 complexes, are sufficient to block the polyubiquitination of cyclin B1 in vitro (72). These results indicate that BubR1, but not c-Mad2, sequesters Cdc20 and prevents it from coactivating the APC/C. With respect to the direct mechanism of c-Mad2 and BubR1 synergy, c-Mad2 has been shown to position BubR1 optimally within the catalytic core of the APC/C to both disrupt the Cdc20-Apc10 bipartite D-box receptor and occupy the C-terminal WD40 β-propeller domain of Cdc20 to prevent KEN-box–mediated substrate recruitment (13, 51, 75) (Figure 2b). Remarkably, the indirect and direct mechanisms of c-Mad2 and BubR1-mediated APC/ $C^{Cdc20}$  inhibition are not mutually exclusive. BubR1 has two N-terminal KEN-box degrons and a D-box just upstream of the second KEN-box motif (65). It was recently demonstrated that BubR1 can bind soluble Cdc20 through its first KEN-box and/or Cdc20 that is bound to APC/C through its D-box and second KEN-box (59). Taken together, unattached kinetochores elicit a SAC signal through the prion-like conversion of soluble o-Mad2 to c-Mad2, which binds Cdc20 to promote a more stable BubR1-Cdc20 complex not capable of interacting with the APC/C and/or ideally positions BubR1 to jam the catalytic core of the Cdc20-activated APC/C.

Historically, investigations into the nature of SAC signaling and the MCC were limited to the early stages of mitosis. However, a 2009 paper reported that BubR1 acts as a pseudosubstrate inhibitor of APC/C<sup>Cdc20</sup> during interphase in order to protect cyclin B1 from premature degradation (89). This was later followed up by the isolation of Mad2 and BubR1-containing interphase  $APC/C^{Cdc20}$  complexes (88), demonstrating that  $Cdc20$ 

coactivation of the APC/C takes place during interphase and requires MCC-mediated inhibition. However, because kinetochores do not exist until prometaphase, the platform from which the interphase  $APC/C^{Cdc20}$  inhibitor is generated remained enigmatic until recently. In 2014, it was discovered that nuclear pore complexes (NPCs) emit a waitanaphase signal prior to mitosis (111). Intriguingly, Mad1-c-Mad2 heterodimers associate with the nuclear envelope (NE) during interphase because of Mad1's ability to bind the nuclear basket component Tpr. Interphase Mad1-c-Mad2 heterodimers catalyze the conformational change of soluble o-Mad2 to c-Mad2, which catalyzes the formation of interphase  $APC/C^{Cdc20}$  inhibitory complexes. Adding to the cross talk between NPCs and SAC components, Mps1, like Mad1 and Mad2, is bound to NPCs during interphase and is required to generate interphase  $APC/C<sup>Cdc20</sup>$  inhibitory complexes (84, 88, 111). Thus, interphase NPCs and mitotic kinetochores play overlapping roles in mediating MCC formation, albeit in separate phases of the cell cycle.

Finally, how MCC-inhibited APC/C<sup>Cdc20</sup> is rapidly converted to active APC/C<sup>Cdc20</sup> upon biorientation remains a mystery. Nonetheless, this switch is known to be regulated by Ubch10-driven monoubiquitination and liberation of BubR1 and Mad2 from Cdc20 (107). The deubiquitinase Usp44 counteracts Ubch10-mediated ubiquitination of BubR1 and Mad2, and, accordingly, depletion of Usp44 abolishes SAC arrest even in the presence of normal checkpoint machinery (120). On the basis of these results, it is highly probable that there are multiple points of SAC and  $APC/C^{Cdc20}$  regulation beyond the MCC that remain to be discovered.

**Phosphoregulation—**During prometaphase, the cell undergoes NEBD, allowing cyclin B1 to diffuse into the nucleoplasm and activate Cdk1. Cdk1 subsequently phosphorylates Cdh1, preventing Cdh1 from binding to the APC/C (146) (Figure 2a), thus ensuring that Cdc20 is the sole coactivator to associate with the APC/C. In metaphase, APC/C<sup>Cdc20</sup> polyubiquitinates cyclin B1 and steadily decreases its levels until anaphase. At the same time, Cdc14 phosphatase activity steadily increases. The combination of increased Cdc14 mediated Cdh1 dephosphorylation and decreased Cdk1 activity, along with the autoubiquitination of Cdc20 (30), triggers the interaction between Cdh1 and the APC/C following anaphase onset (60, 129). APC/C<sup>Cdh1</sup> remains active until the subsequent G1/S transition, at which point its activity might be quenched by a combination of three mechanisms. First, early mitotic inhibitor 1 (*Emi1*), an E2F target gene, binds and inactivates APC/ $C^{Cdh1}$  (31, 57, 94, 135). Second, following the destruction of Ubch10 in late G1 phase (106), cyclins A and E accumulate and reestablish the Cdk-dependent inhibitory phosphorylation of Cdh1 (60, 146). Finally, it has been reported that Cdh1 mediates its own autoubiquitination (81); however, this observation requires further investigation (104).

**Early mitotic inhibitor 1—Emi1** is an inhibitor of interphase APC/C<sup>Cdh1</sup> complexes (108). In a similar fashion to the MCC, Emi1 has been reported to bind to the bipartite Dbox coreceptor formed by Apc10 and Cdh1 through its C-terminal D-box motif (Figure 2b) and to preferentially block ubiquitin chain extension (31, 94, 135) (Figure 2c). Following the G2/M transition, Emi1 is phosphorylated by Cdk1 and Polo–like kinase 1 (Plk1) and

targeted for proteolysis by the SCF-β-transducin repeat–containing protein ubiquitin ligase in late prophase (48, 90). The abrupt destruction of Emi1 was initially thought to activate the APC/C upon mitotic entry; however, subsequent studies revealed that Emi1-mediated inhibition of  $APC/C<sup>Cdhl</sup>$  is important to prevent DNA re-replication and polyploidization and does not affect the activity of the APC/C in mitosis (25, 87).

**Mad2L2—**Mad2L2 prevents Cdh1 from interacting with the APC/C through a direct proteinprotein interaction (82) (Figure 2a). Curiously, depletion of Mad2L2 results in the prometaphase destruction of select APC/C<sup>Cdh1</sup> substrates, including cyclin B1, securin, and Aurora A but not Ubch10. Thus, Mad2L2 ensures the robust transition from  $APC/C^{Cdc20}$  to APC/C<sup>Cdh1</sup> activity during the metaphase-to-anaphase transition because Mad2L2 depletion results in premature association of APC/C with Cdh1 in prometaphase.

**Nup98-Rae1 subcomplex—**A subcomplex containing the soluble nuclear pore proteins Nup98 and Rae1 has also been shown to inhibit APC/CCdh1 activity (62, 63, 100). Nup98-Rae1 binds and inhibits preformed APC/C<sup>Cdh1</sup> complexes in late G2 phase in order to prevent the premature degradation of securin and Plk1 (100). Although it has been shown using in vitro ubiquitination assays that Nup98-Rae1 prevents the  $APC/C<sup>Cdhl</sup>$ -mediated polyubiquitination of securin (63), the precise mechanism of inhibition is unknown. Because Nup98-Rae1-APC/ $C^{Cdh1}$  complexes contain securin during mitosis (62), it is unlikely that Nup98-Rae1 behaves as a pseudosubstrate inhibitor akin to BubR1 (Figure 2b). Rather, it is more likely that Nup98-Rae1 blocks ubiquitin chain initiation and/or extension (Figure 2c); however, this idea has never been tested.

# **Whole-Chromosomal Instability: a Facilitator of Tumorigenesis?**

To discuss the relationship between W-CIN, aneuploidy, and tumorigenesis, one must first appreciate the distinctions between aneuploidy and W-CIN. Aneuploidy describes the karyotype of a single somatic cell when it has anything other than two copies of each chromosome. Importantly, the term aneuploidy does not distinguish between wholechromosome gain and loss. W-CIN is the persistent inability of a cell to accurately segregate its genome in successive rounds of cell division, thus generating progeny, each with a different aneuploid karyotype (101, 103, 109). Thus, aneuploidy is an obligate outcome of W-CIN, but W-CIN is not the only cause of aneuploidy. For example, Down syndrome, which is characterized by trisomy of chromosome 21, usually arises from a spontaneous maternal nondisjunction event during meiosis rather than the persistent, random segregation of gamete chromosomes. Thus, patients with Down syndrome are said to exhibit stable aneuploidy rather than W-CIN (103).

Two types of CIN are widely recognized. The first type, W-CIN, results in the gain or loss of entire chromosomes following an aberrant mitosis. W-CIN is thought to arise from abnormal kinetochore-microtubule attachments. The second type of CIN, structural-CIN (S-CIN), can result in the gain or loss of chromosomal fragments, DNA breakage, and/or translocation events. S-CIN is caused by chromatin bridging in anaphase and/or DNA replication/repair defects during interphase. Because of space constraints, mechanisms of S-CIN are not

discussed. Please see the following reviews for more information on the causes and consequences of S-CIN: References 33 and 41.

#### **Molecular Mechanisms of Aneuploidization**

To understand the precipitating events that lead to whole-chromosome gain or loss, it is necessary to first appreciate the importance of proper, amphitelic kinetochore-microtubule attachments during mitosis (40, 134). When microtubules emanating from opposite spindle poles attach exclusively to their proximal sister kinetochore, the attachment is said to be amphitelic (Figure 3). However, erroneous monotelic, syntelic, and merotelic attachments can also occur that, if left undetected and/or uncorrected, result in chromosome missegregation and aneuploidy (Figure 3). When only one of the two sister kinetochores of a chromosome is attached to microtubules originating from the proximal spindle pole, the attachment is said to be monotelic. In contrast, if both sister kinetochores are attached to microtubules emanating from the same spindle pole, the attachment is considered syntelic (Figure 3). Finally, if one sister kinetochore is properly attached to microtubules from its proximal spindle pole while the other kinetochore is attached to microtubules from both spindle poles, the attachment is called merotelic (Figure 3).

When determining the nature of the kinetochore-microtubule attachment defect(s) responsible for the generation of aneuploidy in a population of cells, one must determine the nature and frequency of chromosome missegregation events. Chromosome missegregation events are detected via time-lapse microscopy using living cells that express a fluorescent histone, whereas aneuploidy is determined by counting Giemsa-stained metaphase chromosomes, comparative genomic hybridization, fluorescence in situ hybridization, or spectral karyotyping. As is discussed below, it is critical to perform both karyotype and chromosome segregation analyses because certain defects are only detected by one method of experimentation.

**Hidden chromatids—**Hidden chromatids are aptly named because they are detectable by karyotype analysis but go unnoticed using chromosome segregation experimentation methods (127). Therefore, in a population of cells with widespread aneuploidy but without overt chromosome missegregation events, hidden chromosomes should be strongly suspected. Hidden chromatids are caused by syntelic attachments and generally align on the metaphase plate before segregating inappropriately to the wrong daughter cell (127) (Figure 3). Because the microtubule-generated pulling force is unopposed in this type of attachment error, the chromatid does not lag and segregates synchronously with the other sister chromatids.

As a clarifying note, hidden refers to the behavior of the missegregating chromatid during anaphase. Hidden chromatids may initially misalign in metaphase, which can be readily detected using live-cell imaging techniques. The subsequent behavior of the misaligned chromatid pair following anaphase onset can help determine whether the defect arose from a syntelic or other attachment error. Accordingly, misalignments due to syntelic attachments lead to both sister chromatids segregating to the same spindle pole. Additionally, the misaligned chromatids progressively lose resolution and become obscured by the remainder

of the chromatids as they synchronously move toward their respective spindle pole in anaphase, making them indistinguishable when using the time-lapse methods described. In contrast, syntelic misalignments that are corrected prior to anaphase onset result in chromatid lagging because the properly attached chromatid must travel a greater distance to reach its intended pole. As discussed above, syntelic attachments activate the SAC because of a lack of interkinetochore tension. Improper kinetochore-microtubule attachments are destabilized by the kinase activity of Aurora B (14, 122). Kinetochore-microtubule destabilization results in a temporarily unattached kinetochore, which sustains SAC arrest until amphitelic attachments are established. Thus, in a population of cells with high incidence of hidden chromosome missegregation events, defective SAC signaling and/or defects in the Aurora B–driven error correction pathway should be considered.

**Lagging chromatids—**In contrast to hidden chromatids, lagging chromatids can be detected by both karyotype analysis and live-cell analysis for chromosome segregation defects (Figure 3). Importantly, lagging chromatids result from merotelic attachments (18). Unlike monotelic and syntelic attachments, the SAC does not mediate arrest in the presence of merotelic attachments because merotelic attachments generate sufficient interkinetochore tension to satisfy the SAC (19, 40). The underlying cellular defects leading to lagging chromosomes can be broadly classified into two categories: (a) those that decrease the cell's ability to correct merotelic attachments and  $(b)$  those that increase the frequency of merotelic attachments.

Like syntelic attachments, merotelic attachments are corrected by the mitotic kinase Aurora B (74). Aurora B maintains chromosome segregation fidelity by phosphorylating components of the KMN (KNL1-Mis12-Ndc80) network and Ska (Ska1-3) complex in order to destabilize microtubule-kinetochore attachments in the absence of tension (14, 15, 20, 74, 122). Under tension, inner centromeric Aurora B is physically separated from its outer kinetochore substrates and therefore cannot destabilize microtubule-kinetochore attachments (20, 83, 139). Defects in any of the components of the error correction pathway, especially Aurora B, reduce merotelic error correction and promote lagging chromatid formation (20).

In addition to destabilizing the physical connections formed between kinetochores and microtubules by the phosphorylation of KMN network and Ska complex components, microtubules themselves must be sufficiently malleable to destabilization in order to facilitate error correction and ward off chromosome missegregation events (7, 37). Indeed, Aurora B has been shown to stimulate the microtubule-depolymerizing kinesins Kif2b and MCAK during prometaphase and metaphase, respectively (8). Furthermore, increased plusend directed microtubule growth has also been demonstrated to promote merotely and W-CIN (27). The proposed mechanism for this includes transient spindle geometry defects, which have been suggested to reduce error correction through the inappropriate generation of tension between sister kinetochores (98); however, this idea has never been tested.

Because the SAC does not detect the presence of merotelic attachments, the rate at which a cell with merotelic attachments progresses through mitosis is limited by the activity of the Aurora B–driven error correction machinery. Aurora B destabilizes kinetochore-microtubule attachments, thereby generating an unattached kinetochore and subsequently activating the

SAC. However, if a cell proceeds too rapidly through mitosis, there may be insufficient time for the error correction machinery to destabilize erroneous attachments and activate the SAC. Thus, rapid mitotic progression predisposes cells to lagging chromatids even in the presence of a normal error correction pathway and functional SAC. This principle was elegantly demonstrated using a mutant form of Mad1 (Mad1 $^{NP2}$ ) that could bind kinetochores and elicit a robust SAC response in the presence of nocodazole but could not bind NPCs, which is required to generate interphase  $APC/C^{Cdc20}$  inhibitory complexes and prolong mitotic timing (111). Mad1 cells are prone to lagging chromatids, but, remarkably, this defect is restored when mitotic timing is extended using the APC/C inhibitor proTAME. This principle can likely be extrapolated to other mutations known to decrease mitotic timing, including knockout of Mps1 (88), Mad2 or Bub1 insufficiency (92, 102, 105, 143), or hemizygosity of a Cdc20 allele, Cdc20AAA, that cannot bind Mad2 (79).

Alternatively, the known defects that enhance the formation of merotelic attachments are abnormal centrosome dynamics and centrosome amplification. Abnormal centrosome dynamics, which include centrosome disjunction and movement defects and lead to metaphase spindle asymmetry, have been extensively linked to the formation of merotelic attachments and lagging chromatids (98–100, 116, 117, 148). The principal idea is that delayed centrosome separation increases the frequency of syntelic and merotelic attachments because kinetochores in this scenario are equally accessible to microtubules emanating from both spindle poles (116, 117). It is unclear why the error correction machinery is unable to resolve all of the erroneous attachments generated by abnormal centrosome dynamics. Nonetheless, because the lack of a built-in merotely checkpoint predisposes cells in culture to a basal rate of lagging chromatids (125), the incidence of lagging chromatids is likely proportional with the number of merotelic attachments formed.

Finally, centrosome amplification is a major risk factor for the development of lagging chromatids and is perhaps the most relevant mechanism of W-CIN in cancer cells (131). Multipolar spindle intermediates encourage microtubules from two or more centrosomes to attach to a single kinetochore. However, the collapse of these multipolar intermediates into pseudobipolar spindles contorts microtubules in such a way that a single kinetochore can be connected to multiple centrosomes in either or both poles, thus establishing widespread merotely and promoting chromatid lagging (32). Centriole biogenesis, centrosome duplication, and their role in tumorigenesis have been reviewed elsewhere and are not covered in detail here (38, 52, 98).

# **The Relationship between Whole-Chromosomal Instability, Aneuploidy, and Cancer**

Nearly every solid tumor and upward of half of all hematologic malignancies are aneuploid (112), and although there exists a strong correlation between aneuploidy and cancer, their precise relationship remains hotly debated. In the following section, we briefly consider how W-CIN might facilitate tumorigenesis in the context of tumor evolution, review the evidence demonstrating that aneuploidy can also suppress tumorigenesis, and provide a perspective that might help resolve some aspects of the apparent aneuploidy-cancer paradox.

#### **Whole-Chromosomal Instability as a Facilitator of Tumorigenesis**

In the early 1990s, the Vogelstein group proposed that colon cancer develops in a stepwise fashion and suggested that each step is associated with specific driver mutations (29, 76). According to this model, it can be inferred that W-CIN occurs during the early stages of colon cancer development. Specifically, inactivation of the adenomatous polyposis coli (*APC*) gene and persistent activation of the *Ras* signaling pathway, both of which are observed in early adenomas, have been shown to independently promote W-CIN and aneuploidy in addition to sustaining cell growth and proliferation (101).

Since this model of colon carcinogenesis emerged, additional models of tumor evolution have been suggested. Importantly, W-CIN and the acquisition of an aneuploid karyotype remain early features in nearly every model. For example, whole-genome and exome singlecell sequencing from estrogen-receptor-positive and triple-negative breast cancer specimens revealed that aneuploidization occurs in a punctuated burst in the initiating stages of tumor development (136). Interestingly, the aneuploid karyotypes were faithfully propagated thereafter, whereas the frequency of point mutations increased over time. This suggests that W-CIN is a critical barrier that must be overcome in the initial stages of breast cancer development, whereas genomic instability aids in its maintenance and progression.

The idea that the early acquisition of W-CIN facilitates tumorigenesis is supported by the observation that many W-CIN mouse models are tumor proneand/or accelerate tumor onset when crossed onto sensitized genetic backgrounds (110). One of the most likely mechanistic explanations for why W-CIN might facilitate tumor initiation is that aberrant mitoses may facilitate loss of critical tumor suppressor genes. Indeed, this was shown to be the case in Bub 1-insufficient mice that also lacked one copy of the  $p53$  gene or had a mutated  $APC$ allele (4).

#### **Whole-Chromosomal Instability as a Tumor Suppressor**

Considering the high correlation between aneuploidy and cancer, the nearly universal consensus that aneuploidization occurs early in most models of tumor evolution, the experimental evidence implicating W-CIN in loss of tumor suppressor heterozygosity, and the observation that many aneuploidy-prone mouse models display increased tumor susceptibility, it would seem logical to conclude that W-CIN facilitates tumorigenesis. However, there is also a large body of evidence suggesting that W-CIN and aneuploidy can have no impact on or even suppress tumorigenesis, calling into question the idea that W-CIN is a universal facilitator of tumorigenesis. As this topic has been covered extensively elsewhere (39, 103), we briefly summarize the main counterarguments to W-CIN as a facilitator of tumorigenesis before offering a few perspectives for resolving the apparent paradox.

First, although many mouse models of W-CIN–induced aneuploidy are tumor prone, several are not (110). The most robust example of this is the  $Bub3^{+/-}Rae1^{+/-}$  mouse model, which, despite having similar in vivo rates of aneuploidy as other tumor-prone W-CIN models, shows no predisposition to spontaneous tumorigenesis (3). Accordingly, if W-CIN was a universal facilitator of tumorigenesis, one would expect  $Bub3^{+/-}Rae1^{+/-}$  mice to display

increased tumor susceptibility. Moreover, not only has W-CIN been shown to be tumor neutral, as in the case of  $Bub3^{+/-}Rae1^{+/-}$  mice, but aneuploidy has also been demonstrated to be overtly tumor suppressive. In a landmark study, it was demonstrated that trisomy cell lines proliferate slower and show delayed spontaneous immortalization compared with euploid control lines (140). Together, these studies highlight the variation in aneuploidyinduced phenotypes, spanning the full spectrum of tumor promoting to tumor neutral to tumor suppressing, calling into question the universality of W-CIN as a tumor facilitator.

Second, although W-CIN promotes loss of tumor suppressor heterozygosity, W-CIN and aneuploidy have also been shown to elicit a large spectrum of counteractive tumorsuppressive stress responses, including cell cycle arrest (126, 140), programmed cell death (61, 70, 78, 115), mitotic catastrophe (130), and senescence (77). Thus, it is difficult to predict whether W-CIN will tip the balance in favor of tumor promotion via loss of critical tumor suppressor genes or tumor suppression by upregulating a cellular stress response pathway, again arguing against the universality of W-CIN as a tumor facilitator.

#### **Perspectives on Reconciling the Aneuploidy-Cancer Paradox**

Models that attempt to integrate the totality of evidence regarding the relationship between aneuploidy and cancer into a cohesive understanding of how W-CIN impacts tumor development have failed to gain widespread acceptance. One explanation for the differing effects of W-CIN on tumor development is the gene-centric theory, which states that the specific aneuploidy-inducing gene, its W-CIN–independent cellular functions, the nature of the genetic defect, the cell type and organ system affected, and/or the ability to cooperate or synergize with other cancer-causing mutations explain how aneuploidy effects tumor development in that particular genetic context (109, 110). This is a helpful framework to explain why  $Bub3^{+/-}$  mice are not susceptible to spontaneous tumorigenesis, whereas RanBP2 $^{\text{H/H}}$  and RanBP2<sup>-/H</sup> mice are prone to forming spontaneous tumors despite having similar rates of in vivo aneuploidy (110). However, the main limitation of the gene-centric theory is that it places too much emphasis on the W-CIN–independent cellular functions of the affected gene, thus relegating the relative contribution of W-CIN–induced aneuploidy to the tumor phenotype. Moreover, seeing as mutations in putative mitosis genes are rare in human cancers (101), the gene-centric theory seems insufficient to explain how W-CIN contributes to the development of human cancers that do not harbor mutations in *Bub1*, Bub1b, Mad1, Mad2, CenpE, etc.

The second explanation for the differing effect of W-CIN on tumor development is the rate theory. The rate theory states that the rate of chromosome missegregation events either promotes or suppresses tumorigenesis (115, 138). The basis for this theory comes from studies using  $CenpE^{+/-}$  mice. It was noted that these mice were prone to forming lung adenomas and splenic lymphomas yet were protected against hepatocellular carcinomas (138). It was postulated that this paradoxical finding was dependent on the rate of chromosome missegregation events in the context of *CenpE* heterozygosity, with moderate rates of missegregation events predisposing to transformation and tumorigenesis, whereas high rates evoked cell death. Accordingly, the combination of basal chromosome missegregation events in the liver plus CenpE heterozygosity-induced missegregation

evoked such a high rate of aneuploidy that the scales were tipped in favor of cell death rather than transformation (115, 138). Importantly, this model has been validated using gene expression profiles of various human cancers, demonstrating that patients with tumors with the highest levels of W-CIN had a better prognosis than those with intermediate levels of W-CIN (9). On the basis of this model, a plausible anticancer strategy would be to increase chromosome missegregation rates—perhaps by targeting one of the master mitotic kinases such as Plk1, Cdk1/2, or Aurora A/B—in order to elicit a cell death response. Such a strategy would parallel the rationale behind the development of Chk1/2 and Wee1/2 inhibitors.

A third explanation for why aneuploidy might both facilitate and inhibit tumorigenesis is the gain/loss theory (Figure 4). This model recognizes the term aneuploidy as an umbrella, catchall term that includes any somatic cell with a nondiploid karyotype. Accordingly, cells with net chromosome loss and those with net chromosome gain are likely to have divergent cellular responses even though both cells are considered aneuploid. The gain/loss theory simultaneously affirms the widely accepted hypothesis that W-CIN facilitates the early stages of tumorigenesis through loss of tumor suppressor heterozygosity while recognizing the tumor-suppressive effects of whole-chromosome gain via proteotoxicity, cell cycle arrest, programmed cell death, mitotic catastrophe, and senescence. That is, the gain/loss theory views the apparent aneuploidy-cancer paradox as being different sides of the same coin. After all, following a missegregation event, both daughters are aneuploid, albeit one has lost chromosomal content and the other has gained chromosomal content. The independent cellular responses following such an event need not be linked in any way. This theory is partially supported by the finding that cancer cells tend to lose rather than gain chromosomes (26).

In general, it is the authors' view that W-CIN is a key facilitator of tumorigenesis. This is largely concluded on the basis of two key pieces of circumstantial evidence: (a) The overwhelming majority of tumors are aneuploid, and  $(b)$  a shared consequence of oncogene activation or tumor suppressor inactivation is W-CIN (101). Thus, it seems as though the most commonly affected cancer-critical genes are multifunctional and play a role in maintaining chromosomal stability in addition to modulating or mediating key signaling pathways, although this hypothesis requires meticulous experimentation.

Although we maintain the perspective that aneuploidy is a key facilitator of tumorigenesis, we reject the notion that the sole outcome of W-CIN is cancer. Nonetheless, this does not preclude one from concluding that Boveri was correct in hypothesizing that aneuploidy is a driving force of tumorigenesis. By way of analogy, it is colloquially recognized that smoking cigarettes causes lung cancer. This is based on the observation that smokers are up to 25 times more likely to develop lung cancer than nonsmokers. However, not every person with a significant history of cigarette smoking develops lung cancer. In fact, only a very small percentage of current or former smokers develop lung cancer. Instead, many smokers either succumb tochronic lung disease, heart disease, vascular disease, or, miraculously, remain in good health until late life. Yet, smoking is still considered the chief risk factor for lung cancer. On the basis of this parallelism, it is not illogical to conclude that W-CIN is a facilitator or risk factor for developing cancer. Just as the vast majority of lung cancer cases

occur in current or former smokers, the vast majority of tumors display prominent W-CIN and aneuploidy. Likewise, only a subset of smokers develop lung cancer, just as only a subset of aneuploid-prone mouse models are predisposed to cancer. And finally, just as smokers are predisposed to a gamut of devastating health outcomes and display significant clinical heterogeneity, so too does aneuploidy result in divergent cell fates leading to considerable physiologic heterogeneity.

#### **Uncovering an Unexpected Link between Aneuploidy and Aging**

With the objective to critically test Boveri's hypothesis, a cohort of mice with low levels of BubR1 ( $Bub1b^{H/H}$ ) and rapidly progressive aneuploidy were generated and evaluated for their susceptibility to form spontaneous and carcinogen-induced tumors (2, 3). Unexpectedly,  $Bub1b^{H/H}$  mice displayed several prominent progeroid phenotypes, including cataracts, kyphosis, impaired wound healing, growth retardation, and subdermal fat loss, by just three months of age. Additionally,  $Bub1b^{H/H}$  mice had a markedly reduced life span, with a median survival of six months. Notably, these mice were later found to be highly sensitive to forming carcinogen-induced tumors but did not live long enough to properly assess their susceptibility to spontaneous tumorigenesis (3). Less than two years after the initial description of the BubR1 hypomorphic mouse model, a second aneuploidy-prone mouse model double haploinsufficient for Bub3 and Rae1 was also shown to display similar progeroid features, albeit at a later time point than the  $Bub1b^{H/H}$  model (3).

Together, these initial studies suggested a causal relationship between W-CIN and aging. This link was further strengthened in 2013 when it was discovered that BubR1 overexpression in otherwise wild-type mice prevented age-related aneuploidization, protected against tumorigenesis, delayed multiple aging phenotypes, and extended life span (1). The aneuploidy-aging theory also gained significant traction with the clinical description of mosaic-variegated aneuploidy, an extremely rare human syndrome characterized by widespread karyotypic mosaicism, early cataract and cancer onset, facial dysmorphism, growth retardation, and shortened life span (47).

Despite these findings, there remains a lack of indisputable evidence demonstrating that aneuploidy per se is a driver or facilitator of aging. In particular, the premature aging phenotype of BubR1 hypomorphic mice was subsequently shown to be senescence dependent, driven by the upregulation of  $p16^{Ink4a}$  (5, 6). Whether progressive aneuploidy is the stimulus for p16-mediated senescence in  $Bub1b^{H/H}$  mice has not been directly investigated, although this is an attractive hypothesis for why W-CIN may facilitate both cancer and aging (Figure 4). Furthermore, whether systemic, in vivo aneuploidy is attenuated upon the removal of senescent cells or in the genetic context of  $p16^{-/-}Bub1b^{H/H}$ is also unknown. Additionally, there are several mouse models of W-CIN in which accelerated aging either did not occur, as in the case of Bub1 hypomorphs (61), or was never investigated, most likely because of lack of conspicuous features of accelerated aging. Thus, although circumstantial evidence has linked W-CIN and aging, there remains a great need to more systematically and directly test this hypothesis.

# **Concluding Remarks and Future Directions**

Great advances have been made in understanding the molecular and biochemical mechanisms underlying mitosis. Furthermore, current paradigms suggest that the inability to accurately and reproducibly segregate genomic information in successive rounds of cell division facilitate malignant transformation and aging. The challenge that lies ahead—the next great frontier for the field—is to translate this knowledge into clinically relevant solutions for patients. We can be encouraged that some of the most widespread and successful anti-cancer therapeutics are those that directly target mitosis, namely the vinca alkaloids and taxanes. Yet the search for more-targeted, less-toxic alternatives remains. CIN in rapidly dividing cancer cells may open a therapeutic window with which novel agents might exacerbate aneuploidy to arouse the cell's intrinsic anti-cancer mechanisms (e.g., apoptosis, senescence) while leaving nonmalignant cells unharmed. Aneuploidy may alternatively reconfigure a cell's metabolism, increasing its susceptibility to specific classes of pharmacological agents. Whatever the case, our challenge is to take the information that has been so diligently and laboriously obtained over the past 25 years and turn it into something that improves the quality and quantity of patients' lives.

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#### **Platform**

#### **Figure 1.**

Structure and function of the anaphase–promoting complex/cyclosome (APC/C). The APC/C is a multimeric, cullin-RING E3 ubiquitin (Ub) ligase. It is organized into three domains: the platform (*blue*), the tetratricopeptide repeat (TPR) lobe (*yellow*), and the catalytic core (green). The coactivating proteins Cdc20 and Cdh1 form a bipartite destruction-box (D–box) receptor (DBR; red) together with the Apc10 subunit. Cullin (Apc2) and RING (Apc11) simultaneously bind the E2 ubiquitin complex and the substrate (sub) to facilitate a nucleophilic substitution reaction between the amino group of a lysine (K) residue on the substrate and the thioester bond of the E2-Ub intermediate. Adapted with permission from Macmillan Publishers Ltd: Nat. Rev. Mol. Cell Biol. 16, 82–94 (2015), Reference 118.



#### **Figure 2.**

Molecular mechanisms of anaphase-promoting complex/cyclosome (APC/C) inhibition. (a) The mitotic checkpoint complex (MCC), early mitotic inhibitor 1 (Emi1), and Mad2L2 sequester Cdc20 or Cdh1 and prevent the coactivation of the APC/C. (b) Pseudosubstrate inhibitors, including BubR1 and Emi1, bind the bipartite D-box receptor (shown in red) and prevent substrate (Sub) recruitment to the APC/C. (c) Emi1 inhibits ubiquitin (Ub) chain extension. The Nup98-Rae1 subcomplex is most likely to function as a ligase inhibitor; however, this hypothesis remains untested. The red triangle signifies the bipartite D-box receptor



#### **Figure 3.**

Cellular mechanisms of whole-chromosome aneuploidy. During metaphase, when microtubules emanating from each spindle pole attach to their proximal sister kinetochore, the attachment is said to be amphitelic (green). The result of this type of attachment is proper segregation of the sister chromatids into each daughter cell. When microtubules from one spindle pole attach to both sister kinetochores, a syntelic attachment is formed (yellow). Syntelic attachments cause chromosome missegregation in the form of hidden chromatids. Merotelic attachments (red) are formed when one sister kinetochore is properly attached to microtubules originating from its proximal spindle pole while the other kinetochore is attached to microtubules from both spindle poles. Merotelic attachments lead to lagging chromatids.



#### **Figure 4.**

The gain/loss theory. Following a gross chromosome missegregation event, two aneuploid daughter cells exist, one with a net chromosome loss  $(2N-1)$  and the other with a net chromosome gain  $(2N+1)$ . One fate of the  $2N-1$  cell is to undergo transformation because of the loss of tumor suppressors. The  $2N+1$  cell is more apt to undergo apoptosis or senescence/cell cycle arrest owing to increased proteotoxicity, which together promote aging.