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Visualizing retinal pigment epithelium phenotypes in the transition to geographic atrophy in age-related macular degeneration

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Abstract

Purpose—To inform the interpretation of clinical optical coherence tomography and fundus autofluorescence?imaging in geographic atrophy (GA) of age-related macular degeneration (AMD) by determining the distribution of retinal pigment epithelium (RPE) phenotypes in the transition from health to atrophy (GA) in donor eyes.

Method—In RPE-Bruch's membrane flat mounts of 2 GA eyes the terminations of organized RPE cytoskeleton and autofluorescent material were compared. In high-resolution histological sections of 13 GA eyes, RPE phenotypes were assessed at \pm 500 and \pm 100 µm from the descent of the external limiting membrane (ELM) towards Bruch's membrane. The ELM descent was defined as curved, reflected, or oblique in shape. Thicknesses of RPE, basal laminar deposit (BLamD), and RPE+BLamD were measured.

Results—A border of atrophy that can be precisely delimited is the ELM descent, as opposed to the termination of the RPE layer itself, because of dissociated RPE in the atrophic area. Approaching the ELM descent, the percentage of abnormal RPE morphologies increases, the percentage of age-normal cells decreases, overall RPE thickens, and BLamD does not thin. The combination of RPE plus BLamD is 19.7% thicker at $-100 \mu m$ from the ELM descent than at $-500 \mu m$ (23.1 ± 10.7 vs 19.3 ± 8.2 μm ; p=0.05).

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Conclusion—The distribution of RPE phenotypes at the GA transition support the idea that these morphologies represent defined stages of a degeneration sequence. The idea RPE dysmorphia including rounding and stacking helps explain variable autofluorescence patterns in GA ¹⁴ is reinforced. The ELM descent and RPE+BLamD thickness profile may have utility as SDOCT metrics in clinical trials.

Précis

Morphological phenotypes of the retinal pigment epithelium distribute across the transition to atrophy in age-related macular degeneration in a manner consistent with a recently described two-pathway hypothesis of RPE fate and also reinforce RPE dysmorphia as a candidate mechanism for variable autofluorescence.

Keywords

Age-related macular degeneration; retinal pigment epithelium; geographic atrophy; histology; optical coherence tomography; autofluorescence; external limiting membrane

Introduction

Geographic atrophy (GA) in end-stage age-related macular degeneration (AMD) is a loss of retinal pigment epithelium (RPE) as revealed by clinical imaging modalities that highlight RPE organelles (lipofuscin, melanolipofuscin, melanosomes). GA expansion is orderly and quantifiable, and it is an important endpoint for clinical trials. ^{1,2} Because the fate of cells at the transition between health and atrophy may be irreversible despite intervention, understanding cellular and molecular changes in this region is requisite for validating GA enlargement as an endpoint. Precursors of atrophy are also desirable, both for monitoring disease progression and severity and for illuminating disease mechanisms that might enable earlier intervention. ^{3–6} Because imaging technologies currently used to define GA are critically affected by the morphology of individual RPE cells, the transition to GA can be newly informed with data from high-resolution and comprehensive histology. ^{7–9}

Fundus autofluorescence (FAF) is an imaging technique dependent on stimulated emission of light, mostly from RPE lipofuscin, a lysosomal organelle containing bis-retinoid byproducts of the visual cycle and other non-degradable material. ^{10, 11} Absence of FAF signal is taken to indicate RPE atrophy although the exact margins of hypo-FAF have not been topographically correlated with the margin of RPE loss in any published series. Hyper-FAF is posited as an indicator for progression in GA by natural history studies¹², with different FAF patterns indicating different expansion rates. ^{12, 13} Hyper-FAF can be explained by several cell-autonomous mechanisms, including increased concentration of efficiently detected fluorophores, increased concentration of lipofuscin granules, loss or re-positioning of melanosomes, RPE dysmorphia resulting in taller individual cells, and RPE migration resulting in vertically superimposed cells. ^{14, 15} In a histologic study of 10 GA eyes, using unbiased sampling, quantification of autofluorescence (AF), and a grading system for RPE morphology, ¹⁴ we determined that hyperautofluorescence could be explained by enlargement and stacking of cells that created a longer path length for exciting light through fluorophores, rather than a high intracellular concentration of lipofuscin granules.

The transition to atrophy exhibits a degeneration sequence in which space serves a surrogate for time. Previous histopathologic studies of the GA transition (Supplementary Table 1) described cells of irregular shape and pigmentation, sloughed and heaped cells, cells in double layers, and RPE-derived material within the neurosensory retina. ^{14–17} Sarks et al ¹⁸ reported a steady progression of worsening RPE morphology towards the atrophic area. which we confirmed. ¹⁴ To define an RPE stress-response repertoire in AMD, we recently used high-resolution histology to create a taxonomy of morphologic phenotypes using spindle-shaped melanosomes as markers. Phenotypes were then assembled into a schema of plausible transitions ^{7, 8} that suggested two main pathways of RPE fate. One pathway comprises sloughing of spherical pigmented cells into the subretinal space with anterior migration into the neurosensory retina. A second pathway comprises 'shedding' of granule aggregates into underlying basal laminar deposits (BLamD) in a manner consistent with apoptosis. 9, 14 Following migration and death, the remaining epithelium disintegrates into fully pigmented 'dissociated' cells scattered across atrophic areas. ^{7, 8, 19} Dissociated RPE cells in turn are sources of 'subducted' cells found between the RPE basal lamina and Bruch membrane. These newly described migratory cells of apparent RPE origin play an as-yet undefined role in AMD progression. Remarkably, most RPE phenotypes are visible by SDOCT, ^{8, 20, 21} suggesting that an accurate timeline of RPE degeneration is attainable through clinical imaging.

Here we tested whether RPE phenotypes ^{7–9} distribute across the GA transition in a manner consistent with the two-pathway hypothesis of RPE fate described in the previous paragraph. We demonstrate numerous AF and pigmented RPE in the atrophic zone. We describe progression and clearing on either side of an atrophy border defined by the descent of the external limiting membrane (ELM) towards Bruch's membrane. Our data support the two-pathway model and reinforce RPE dysmorphia as a strong candidate mechanism for variable FAF.

Methods

RPE flat mounts of AMD eyes were studied to assess the relationship of AF and cytoskeleton at the termination of the intact RPE layer, as described. ⁹ In other AMD eyes, sub-micrometer histological cross-sections through the fovea and superior perifovea were studied to assess phenotypes of RPE morphology, as described. ^{7, 8} All tissues were taken from a bio-repository of short post-mortem (<6 hr) eyes assembled for AMD research from donors to the Alabama Eye Bank in 1995–2009. Our studies were approved by institutional review at the University of Alabama at Birmingham and adhered to the Tenets of the Declaration of Helsinki.

Flat-mounts

Of 35 RPE-Bruch's membrane flat-mounts previously prepared from 35 Caucasian donors, ⁹ 2 GA tissues were revisited. AMD status was determined at the time of accession using stereo color photographs from a dissecting microscope, based on criteria of the Alabama Age- related Maculopathy Grading System. ²² The presence of atrophic areas was also verified in *ex vivo* AF imaging (Spectralis, Heidelberg Engineering, Heidelberg, Germany).

To enable definition of the RPE cytoskeleton, F-actin was labeled with Alexa 647 phalloidin (Life Technologies, Grand Island, NY, USA). To illustrate RPE cell melanosome content and to illustrate F-actin and lipofuscin/melanolipofuscin distribution, bright field and fluorescence imaging, respectively, was performed using a confocal microscope (BX51, Olympus, Center Valley, PA, USA) at predefined locations and settings, as described, plus additional locations in areas affected by atrophy. ²³

Cross-sectional histology

Of 82 AMD eyes prepared for sub-micrometer epoxy sections stained with toluidine blue, 13 eyes with GA from 12 donors (8 women, 4 men, 85.6 ± 4.9 years) were identified by absence of a continuous RPE layer for a distance >250 µm in the presence of basal linear deposit or drusen and in the absence of evidence of neovascularization.

We identified the descent of the ELM towards Bruch's membrane, as detailed in the Results section. At 100 µm and 500 µm on either side of this boundary, in agreement with previous clinical studies, ²⁴⁻²⁶ we annotated RPE morphology and measured RPE and BLamD thickness, for a total of 4 assessments per transition (2 in the atrophic area and 2 in the nonatrophic area). Negative distances (-500 and -100 µm) represent locations on the nonatrophic side of the ELM descent, and positive distances (+100 and $+500 \mu m$) are on the atrophic side, so that progression moves like a time-line from left (non-atrophic) to right (atrophic) in all figures. This sampling pattern, centered around a defined point, differs from that in our previous publications, also using these eyes, which spaced samples systematically across the whole macula. ^{7, 8} Annotations were recorded in a custom database (Filemaker) while using a 60X numerical aperture = 1.4 objective and CCD camera to display tissue images at 1900X on a monitor (in the range of low magnification electron microscopy). We used the nomenclature for RPE morphology defined by Zanzottera et al ^{7, 8} (Table 1). In brief, cells containing spindle-shaped melanosomes and lipofuscin apposed to a basal lamina or basal laminar deposits were considered RPE and cells in other layers or spaces containing those organelles or plausibly derived from such cells, were considered RPE-derived. The percentage of each RPE morphology was referenced to the total number of samples with RPE (which differs from our previous studies $^{7, 8}$).

Our results are based on the analysis of 13 eyes of 12 donors, 18 sections (13 central, 5 superior), 69 transitions, and 171 assessment locations (36 at $-500 \mu m$, 63 at $-100 \mu m$, 47 at $+100 \mu m$, 25 at $+500 \mu m$). The number and pattern of assessment locations differed among eyes, because atrophic areas extended off section edges, were less than 1000 μm wide, or did not extend into the superior macula.

We compared mean RPE thickness for each phenotype at $-500 \mu m$ and $-100 \mu m$ and mean BLamD thickness at $\pm 500 \mu m$ and $\pm 100 \mu m$. Per previous convention, ^{7, 8} only cells in the RPE layer (and not pigmented cells out of the layer) were measured. Also by convention, thicknesses at sites of 'sloughed' included two cells if the migrating cell was touching cells in the layer and just the epithelial cell if it was not. RPE thicknesses were available for all RPE morphologies except 'atrophy with' and 'without BLamD' and 'dissociated' RPE. Generalized estimating equations were used to test if the mean thickness of RPE (or BLamD) at each location was different from zero. A pooled variance was used so that a p-

value could be generated even if only one observation was included in one of the groups. A p-value <0.05 was considered statistically significant.

Results

In flat-mounts we compared the termination of intact RPE layer, signified by orderly cytoskeleton delimiting polygonal cells, to the distribution of AF attributable to lipofuscin and melanolipofuscin. Figure 1 shows multiple cells in the area beyond an organized RPE mosaic, consistent with the numerous spherical and fully pigmented cells found atop BLamD and Bruch's membrane in late AMD eyes by cross-sectional histology and adaptive optics assisted imaging. ^{7, 8, 15, 19} In addition, by demonstrating directly that the atrophy border is not sharply defined in the RPE layer itself by histology, these data prompt us to seek a more reliable reference point for analyzing the sequence of degeneration.

Guided by an early description by S.H. Sarks, ²⁷ we chose as a marker of the atrophy border the descent of the external limiting membrane (ELM) towards Bruch's membrane. The ELM comprises junctional complexes between the Müller cells and photoreceptor inner segments ^{28–30} and together with junctional complexes among RPE, bounds the subretinal space. ³¹ Figures 2 and 3 show high-magnification and low-magnification histologic views, respectively, of three GA eyes, demonstrating how the ELM descent assumes one of three shapes: curved as originally described ²⁷ (Figure 2A), oblique (Figure 2B), and reflected (Figure 2C). The outer nuclear layer can parallel the ELM in its course to end coterminously with the ELM descent (Figure 3B), or it can sweep further into the atrophic area (Figure 3A,C). On the non-atrophic side of curved and reflected ELM descents (Figure 3A,C), individual photoreceptors (and the Müller cells interleaved among them) shorten and shift orientations from vertical and orthogonal to Bruch's membrane to horizontal and parallel to Bruch's membrane. The atrophic area delimited by the ELM descent contains a small number of cone photoreceptor cell bodies (lacking outer segments and wave-guiding capability), either as part of outer retinal tubulation ^{32–35} or as part of the outer nuclear laver where it diverges horizontally from the ELM (Figure 3A,C). Thus the ELM descent cleanly divides a region where photoreceptors are present and potentially salvageable ¹⁵ from a region where photoreceptors are either absent or present and degenerated beyond rescue. At 69 transitions to atrophy in 13 GA eyes, 49.3% of ELM descents were curved, 31.0% were oblique, 16.9% were reflected, and 2.8% were indeterminate due to tissue imperfections. In the last case, the ELM was extrapolated over the imperfection to Bruch's membrane.

RPE morphology on either side of the ELM descent in 13 GA eyes is illustrated microscopically in Figure 3 and graphically in Figure 4. Along this gradient RPE overall becomes increasingly non-uniform in morphology then 'sloughed'. Inside the atrophic area are 'dissociated' RPE cells lying atop BLamD. A thin layer of BLamD continues inside GA far from the ELM descent. In Figure 3A,B, flattened or dome-shaped 'subducted cells' lie on Bruch membrane throughout the atrophic area. Figure 4 shows that as the ELM descent is approached from the non-atrophic side, the percentage of abnormal RPE represented by 'sloughed', 'shedding', and 'intraretinal' morphologies increases, and the percentage of age-normal 'non-uniform' cells decreases. 'Dissociated' RPE left by the breakup of the layer are

common in atrophic zone, especially near the ELM descent. 'Atrophy with BLamD' is the most frequent grade at $+500 \,\mu$ m. 'Subducted' cells appear on both sides of the ELM descent.

Tables 2 and 3 show thickness of the RPE layer and BLamD in relation to the ELM descent. Table 2 shows mean thicknesses for each RPE phenotype at -500 and $-100 \mu m$ from this boundary. For 'shedding', 'bilaminar', and 'intraretinal' phenotypes, RPE was significantly thicker at $-100 \mu m$ from the ELM descent than at $-500 \mu m$. 'Sloughed' RPE was not significantly different at the two locations, likely due to the variability in its measurement (see Methods). 'Non-uniform' RPE was thinner at $-100 \mu m$ than at $-500 \mu m$. Combining all morphologies, RPE was 20.6% thicker at $-100 \mu m$ from the ELM descent than at $-500 \mu m$ (14.6 μm vs 12.1 μm), a significant difference (p=0.039). Table 3 shows that BLamD was significantly thicker at $-500 \mu m$ than $-100 \mu m$ for 'sloughed' and 'bilaminar' RPE and similar at both locations for other morphologies and for all morphologies combined (7.2 \pm 6.1 μm vs 8.4 \pm 8.2 μm , p<0.26). Although variable, the thickest BLamD was associated with 'shedding' RPE at $-100 \mu m$ (16.7 μm) and with 'dissociated' RPE at $+500 \mu m$ (13.9 μm). In the atrophic area, BLamD without RPE was significantly thinner far from the ELM descent (5.2 μm) than near (7.1 μm), and BLamD with 'dissociated' RPE did not thin at these locations (8.7 μm vs 13.9 μm , p = 0.42).

To facilitate analysis of SDOCT scans in which BLamD may not be easily separated from RPE, thicknesses for RPE and BLamD together are shown in Table 4. Combining across all RPE morphologies, RPE + BLamD is 19.6% thicker at $-100 \mu m$ than at $-500 \mu m$ (23.1 $\pm 10.7 \mu m$ vs $19.3 \pm 8.2 \mu m$), a significant difference (p=0.05).

Discussion

High-resolution clinical imaging has prompted new attention to RPE fate in GA. Based on clinical imaging studies, investigators have proposed cell death mechanisms such as lipofuscin accumulation, ^{36–39} necrosis, ^{18, 40} oxidative damage due to increased phagocytosis of damaged photoreceptors, ^{41, 42} and toxic molecules spreading outward from atrophy. ^{43, 44} To test a recently proposed two-pathway hypothesis of RPE fate in advanced AMD (apoptosis and migration) based on histology, we determined how RPE morphologies distributed across the transition from health to atrophy. Our data show a progression of RPE phenotypes toward the ELM descent in eyes with GA. Despite some individually thin cells, the net effect was overall thickening of the RPE layer. Along with showing 'dissociated' cells inside atrophy and 'subducted' cells on both sides of the ELM descent, our data support the overall hypothesis. Our results (summarized schematically in Figure 5) have several points of significance.

Biometric methods of analysis

Our report advances the histopathologic literature on GA (Supplementary Table 1) by focusing on the RPE layer as a basis for seeking associations among all chorioretinal layers in future studies. Understanding and treating GA depends critically on understanding RPE fate in a manner that matches the comprehensiveness of structural OCT imaging and adds value to FAF imaging. Foundational literature (Supplementary Table 1) established that GA, neovascularization, and drusen are part of the same age-related disease process, ⁴⁵ and that

GA involves an area of RPE loss. ^{27, 45, 46} Early studies reported results on a per eye basis. ^{27, 45} Later studies introduced degrees of RPE loss ⁴⁷ and a simple RPE staging system ¹⁶ to provide cellular detail and permit comparison among layers ¹⁷. Here we used epoxy sections for a high-resolution, comprehensive, and polychromatic visualization across the whole macula. We focused on cardinal features of RPE ultrastructure, particularly spindle-shaped melanosomes and contact with a basal lamina or BLamD. The clinical variability of border features is postulated to result from different etiologies (primary atrophy, drusen-related atrophy, and atrophy after RPE detachment). ⁴⁸ Using principles of unbiased systematic sampling, ⁴⁹ we converted continuous variation in morphology and location to discrete categories and developed measures amenable to biometric analysis and hypothesis-testing. We included both progression to RPE cell death outside atrophic areas and for the first time, clearing processes within atrophic areas. Previous GA progression studies from our group and others classified RPE morphology in zones of different lengths along Bruch's membrane. ^{14, 50–52} Here we annotated RPE status at standard locations specified with respect to an origin (ELM descent). This process is less subjective and faster than finding boundaries. Thus, it is potentially applicable to SDOCT B-scans.

Pathogenic mechanisms

The GA transition has been the focus of numerous histologic studies (Supplementary Table 1) that described cells in the subretinal space as hyperplastic, hypertrophic, shed, and sloughed RPE ^{8, 14, 15, 18, 52, 53}. Accordingly, the SDOCT literature reports "reflective material" associated with the RPE-Bruch's membrane band, "irregular elevation of RPE", and "RPE thickening" at this junction. ^{26, 38, 39, 48, 54, 55}. Reports of RPE thinning ^{56–58} were not supported. Cells are variably identified among these authors, including RPE ⁴⁸, RPE packed with pigment granules ^{4, 6, 54, 55}, RPE/macrophages ³⁸, and melanin-containing cells. ⁵⁹ Like others using electron microscopy, we considered these subretinal cells RPE, because they contained numerous RPE-characteristic granules at a concentration resembling that of cells in the RPE layer ^{8, 18} and because evidence for other cell types was lacking.

We confirmed and extended earlier semi-quantitative ¹⁴ and qualitative ¹⁸ research showing dramatic changes in RPE health within 500 μ m of the atrophy border. While some cells are likely dying via apoptosis ('shedding') many others appear to be undergoing transdifferentiation to a migratory phenotype, as indicated by spherical morphology and penetration past the ELM into the retina. Cells with melanosomes between BLamD and Bruch's membrane ^{7, 18} ('subducted') were speculated to have originated in the atrophic area as 'dissociated' cells that underwent epithelial mesenchyme transition and migrated horizontally into less affected areas, perhaps even contributing to the expansion of GA. Our new data are consistent with this model, as 'subducted' cells were proportionately more frequent in the atrophic area and at –100 μ m than at –500 μ m, although numbers are small. Both stage-specific RPE immunoreactivity suggesting activation and loss of polarity ^{16, 53, 60, 61} and non-stage-specific RPE immunoreactivity consistent with cell death pathways ^{44, 62} have been reported in GA eyes.

Notably, "melanin-filled" cells resembling 'sloughed' and 'intraretinal' RPE in GA express inflammatory markers (CD163, a haptoglobin-hemoglobin scavenger ⁶¹). By high-resolution

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histology, the organelle content of these phenotypes appears very similar to that of cells in the RPE layer, a coincidence difficult to ascribe phagocyte activity, and we like others ¹⁸ consider them RPE. Further, cells resembling 'subducted' also express monocyte markers CCR2 and CD68 and leukocyte marker CD18, as do cells in the RPE layer. ^{60, 63} Because we find transitional forms from 'dissociated' to 'subducted' in single intact histological sections ⁷, we consider 'subducted' of RPE origin. Assignment of cellular identify is complicated by loss of immunoreactivity for structural RPE markers in advanced AMD, so the presence of other cell types such as microglia remain a possibility. ^{64, 65}. Definitive assignment of identities in future studies should thus include quantification of RPE organelles and stable markers indicative of RPE origin as well as for monocyte origin ⁶⁶ to distinguish among these competing hypotheses.

While not contradicting well-established roles of macrophages ^{67, 68} and inner-retina-derived microglia ^{61, 69, 70} in advanced AMD, our data point to a role for transdifferentiated RPE that remains to be determined. Further, whether treatments should promote survival or suppression of these cells is unknown. Answering such questions are now research priorities, with major ramifications for clinical image interpretation, therapeutic strategies, and choice of experimental model systems. The latter issue is germane, because many laboratory mouse strains have microglia in the subretinal space that could ingest debris and appear RPE-like due to AF. ^{70–73} An equivalent population has not yet been described in normal aged human eyes, although microglia appear in atrophic areas ^{61, 69, 70} and in association with advanced subretinal drusenoid deposits. ⁷⁴ Alternatively, several mouse models involving targeted disruption of mechanistically diverse pathways also exhibit 'sloughed' RPE ^{75–80}, supporting the concept that RPE stress responses are a final common pathway to multiple different stressors. What molecular signals prompt RPE to assume migratory behaviors in human GA eyes is unspecified. Presumably such signals will also confront replacement cells surgically delivered to patients with GA. ^{81, 82}

Interpretation of autofluorescence imaging

Our data have special significance for interpreting clinical FAF imaging of GA. Fully pigmented and nucleated RPE have been recently identified within atrophic areas by adaptive optics assisted near-infrared reflectance imaging and by histology. ^{8, 15, 19} We estimated that as much as 22% of atrophic areas retains 'dissociated' RPE, ⁸ which may help explain choriocapillaris survival in these regions. ⁸³ We herein confirmed that these cells are AF (Figure 1) and thus can contribute signal. Imaging studies indicate that atrophic areas are not completely non-autofluorescent, especially towards the border. ^{6, 12, 24, 25, 39, 54, 55, 84–87} The atrophic area varies in FAF intensity, with rapid progressers exhibiting a moderate signal attributable now to cells as well as to BLamD. ⁸⁸ The latter is visible on SDOCT as a split RPE/Bruch membrane complex. ⁸⁹

Of interest is how our data impact the interpretation of focal hyperautofluorescence found around GA, frequently thought of as high intracellular lipofuscin accumulation that leads to cell death. ^{36–39} RPE hypertrophy and migration both lengthen the summation path for exciting light through biologic fluorophores, as we previously demonstrated ¹⁴. The current study replicates our finding of RPE dysmorphia in second set of GA eyes prepared with

higher-resolution histology and analyzed with a more comprehensive grading system. We suggest that this mechanism be considered the leading hypothesis to explain focally increased FAF in GA. Our current data do not support a widelycited ⁶, 12, 25, 36–41, 84, 86, 87, 90–94 suggestion of RPE death by lipofuscin engorgement, granule dispersion, and necrosis. ¹⁸

In contrast, our current data do synergize with other evidence that collectively prompt a reevaluation of lipofuscin's role in AMD. In RPE flat mounts of AMD eyes, cells are seen to redistribute, aggregate, and overall lose autofluorescent granules in concert with cytoskeletal stress, as they degenerate. ⁹ Topographically precise longitudinal imaging in GA patients has shown that hyperAF indicates disease activity but does not predict atrophy on either a finegrain ²⁴ or population ⁹⁵ basis. In AMD patients imaged with quantitative AF, which standardizes across populations via a fluorescent reference material in the light path, ⁹⁶ FAF intensities decrease rather than increase. 97 Neither photoreceptor loss nor RPE loss in aging is topographically associated with AF increase in studies using accurate methods for macular cell counts ^{98, 99}. Mass spectrometric and chromatographic analysis of normal human and monkey eyes revealed that the well-studied lipofuscin component A2E and its major oxidation products are lower in macula than in periphery. ^{100–103} Thus, the relevance of experimental A2E loading of cultured RPE cells to achieve AMD milestones such as cell death ¹⁰⁴ and complement dysregulation ¹⁰⁵ can be questioned. Because the lipofuscin phototoxicity hypothesis underlies use of visual cycle modulators for AMD ¹⁰⁶ and bluelight blocking intraocular lenses for cataract, ^{107, 108} our conclusions if sustained could have wide-ranging impact on therapeutic strategies for age-related eye disease.

Biomarkers for clinical trials – ELM descent

S.H. Sarks first observed that the ELM delimits atrophy, ²⁷ and herein we characterized for the first time shapes of ELM descents. We and others ^{16, 109} previously observed that the ELM descent is comprised of reactive Müller cell processes extending outward to approach Bruch's membrane in areas of photoreceptor loss. Its shape in atrophy is governed by an outward and lateral extension of Müller cell processes as photoreceptors degenerate, as illustrated in published histology (Figure 2C,E of reference ¹⁶; Figure 4C of reference ¹⁰⁹ Figure 2C, 4C). Shorter or fewer photoreceptors due to marked atrophy will result in a descent that is straight and obliquely oriented rather than curved. Recent research about outer retinal tubulation (ORT), a neurodegenerative and gliotic process common in advanced AMD, established the continuity of the ELM from the GA border to the lumen of ORT, ^{32, 35}. Thus the 'reflected' descent may represent a phase of ORT formation via scrolling. Several authors early in the SDOCT era mentioned ^{85, 87, 92} or illustrated ⁴¹ the ELM as a boundary, even describing its curvature. ⁴⁸ ELM presence is linked to preserved visual function, ³⁹ and ELM absence is considered a robust indicator of atrophy ^{4, 6, 38, 54, 55}. Separately ¹¹⁰, and consistent with previous reports ^{18, 111} we will show that the proportion of 'oblique' ELM descents relative to 'curved' plus 'reflected' is significantly higher in donor eyes with neovascular AMD than in GA, likely due to exudation-related photoreceptor death. The ELM descent may thus have value as a biomarker in distinguishing between primary GA and atrophy secondary to neovascular AMD.

Strengths, limitations, conclusions

Strengths of this study were the number of GA eyes analyzed at high-resolution, a cellular phenotyping approach to make RPE degeneration as granular as possible, and unbiased sampling methods that included both progression and clearing processes. Limitations were the small number of RPE flat mounts for en face viewing, lack of flat-mounts and histology from the same donors, the small numbers of eyes representing some RPE phenotypes, and lack of clinical histories. Nevertheless, our study represents the first detailed examination of RPE morphology in the GA transition to since the epic 1988 description by Sarks et al ¹⁸. As such we formulated new hypotheses testable in longitudinal imaging of appropriate patient populations, as well as a basis to study stage-specific changes originating outside the RPE layer. Data are expected to inform the interpretation of clinical SDOCT and FAF, mechanistic studies of RPE fate in AMD, and therapeutic strategies for RPE protection and replacement ^{82, 112}.

Supplementary Material

Refer to Web version on PubMed Central for supplementary material.

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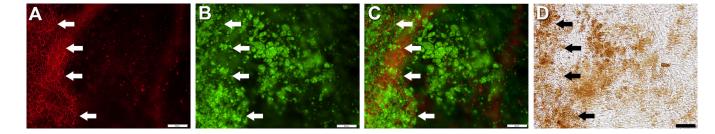


Figure 1. Variation in RPE autofluorescence and cytoskeleton in geographic atrophy

RPE-Bruch's membrane flat mount from an 81-year-old male with geographic atrophy secondary to AMD, with abundant AF material inside the atrophic area. Arrows indicate termination of organized RPE cytoskeleton in all panels. **A**. Phalloidin-labeled cytoskeleton of RPE cells at the border of atrophy. In the atrophic zone, cells lose f-actin cytoskeleton, and condensed f-actin tangles form from remaining f-actin fragments. **B**. Brightly AF lipofuscin/melanolipofuscin granules, either within isolated cells or in extracellular granule aggregates shed into basal laminar deposit ⁹. **C**. Images from Panels A and B are superimposed to show the relationship of AF material to the termination of organized cytoskeleton. **D**. Bright field image confirms that cells and cellular fragments also have melanosomes. Scale bar: 50 μm.

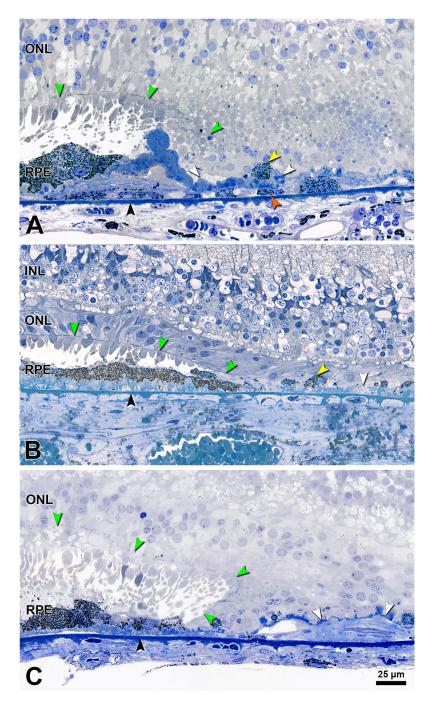


Figure 2. Descent of the external limiting membrane in 3 eyes with geographic atrophy
Panoramic views of the transition to atrophy in these eyes are shown in Figure 3. ELM,
external limiting membrane, green arrowheads; INL, inner nuclear layer; ONL, outer nuclear layer; RPE, retinal pigment epithelium. Bruch's membrane, black arrowhead; persistent
BLamD, white arrowhead. Bar in C applies to all panels. A. The ELM descent is curved.
'Dissociated' RPE, yellow arrowhead; 'subducted RPE, orange arrowhead. 87-year-old man.
B. The ELM descent is oblique. 83-year-old woman. C. The ELM descent is reflected. 90-year-old-man.

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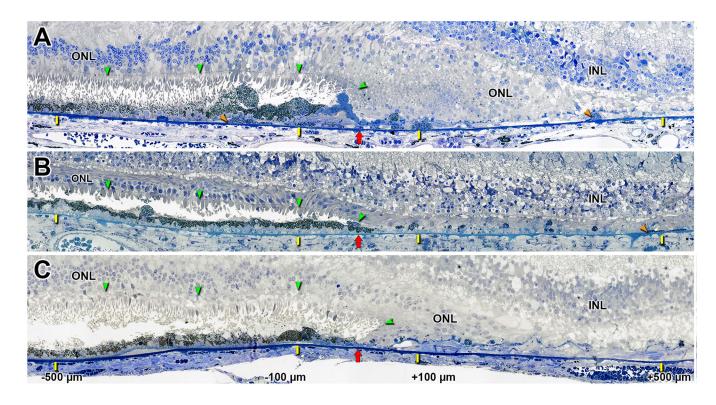
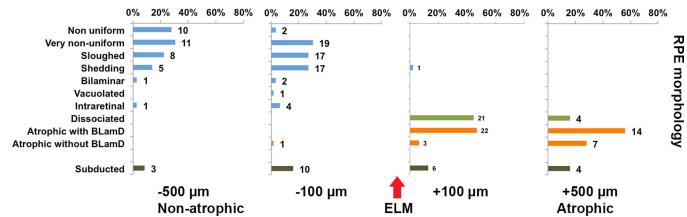


Figure 3. Retinal pigment epithelium morphology in eyes with geographic atrophy

ELM descent (green arrowheads) and its projection onto Bruch's membrane (red arrow) are shown. Regions between -100 and $+100 \mu m$ are shown at higher magnification in Figure 2. RPE and BLamD morphology and thickness were analyzed at -500 and $-100 \mu m$ outside GA (yellow ticks, to the left of the red arrow) and +500 and $+100 \mu m$ inside GA (yellow ticks, to the right of the right arrow). Sub-micrometer epoxy sections, toluidine blue stain. INL= Inner nuclear layer, ONL= Outer nuclear layer. **A**. The ONL sweeps past the curved ELM descent, into the atrophic area. 87-year-old man. **B**. The ONL is parallel to a oblique ELM descent. 83-year-old woman. **C**. The ONL sweeps past a reflected ELM descent, into the atrophic area. 90-year-old-man. Outside GA (**A,B,C**): 'Non-uniform' RPE becomes 'Very non-uniform', then 'sloughed' in sub-retinal space, near the ELM descent. Inside GA (**A,B,C**): 'dissociated' RPE cells persist over BLamD, and a thin layer of BLamD remains far from the border. In **A** and **B**, 'Subducted' cells lie on Bruch's membrane (orange arrowhead). In **A** and **C**, one giant RPE cell at $-100 \mu m$ is packed with granules⁹. Complete sections are available at Project MACULA website. A. http://projectmacula.cis.uab.edu/? p=2799; C. http://projectmacula.cis.uab.edu/?p=2288



BORDERS IN EYES WITH GEOGRAPHIC ATROPHY

Figure 4. Distribution of RPE morphologies with respect to the ELM descent in geographic atrophy

The ELM descent defines GA margins (red arrow). The number of assessment locations is expressed at the right of each bar. Epithelial, non-epithelial, atrophic, and subducted morphologies ⁸ are indicated by blue, green, orange, and brown bars respectively, with less affected at the top and more affected at the bottom. Percentages are referenced to the total number of RPE. There is a shift from age-normal to abnormal RPE phenotypes at the ELM descent. We confirmed a single atrophic grade at $-100 \mu m$ and epithelial grade at $+100 \mu m$.

Zanzottera et al.

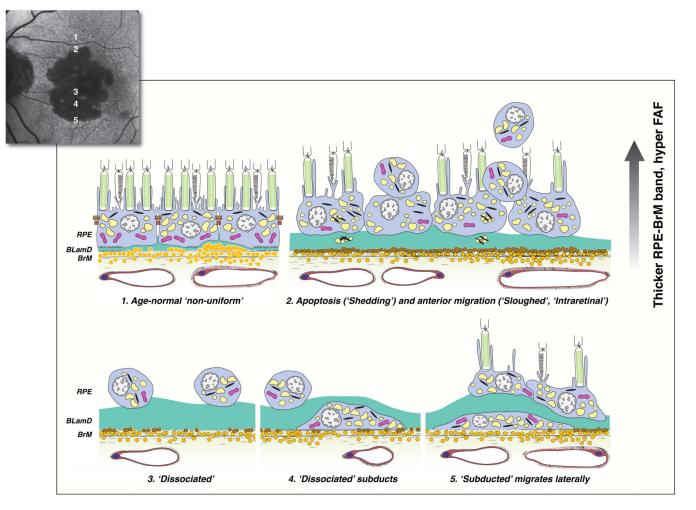


Figure 5.

Hypothesized sequence of RPE fate with imaging consequences at the border of geographic atrophy (GA) in AMD. Phenotypes of RPE morphology were previously described ^{7, 8}. The ELM descent is not shown. As indicated on a representative fundus autofluorescence image of a patient with GA, panels 1–2 move from the margin of atrophy to its center. Panels 3–5 move from the center to the margin. 1. Age-normal 'non-uniform' RPE overlies Bruch's membrane, which contains numerous lipoprotein particles (yellow). Basal laminar deposit (BLamD, green) is thin and continuous. RPE organelles are reflective and stacked in three cushions from apical to basal - melanosomes, lipofuscin, and mitochondria. 2. Within 500 µm of the border, cells undergo apoptosis ('shedding') and anterior migration ('sloughed' or 'intraretinal'); and BLamD is thick. The net effect of RPE dysmorphia on imaging is thickening and roughening of the hyperreflective RPE-Bruch's membrane band by SDOCT, hyperreflective foci in the retina and within thick BLamD, ^{8, 21} and variably focal hyperautofluorescence. 3. Due to death or migration of RPE, the remaining layer disintegrates. Pigmented and nucleated 'dissociated' RPE are scattered across the atrophic zone and may be visible by autofluorescence. 4. 'Subducted' cells likely originate in the atrophic zone, where they are unmoored from their junctional complexes. They dive down and flatten on Bruch's membrane while retaining a reduced number of characteristic

granules. **5**. 'Subducted' cells migrate at least 100 μ m into the marginal area and express inflammatory markers ^{61, 64, 66}. While their activities are not known, they could participate in the spread of GA.

Histological phenotypes of retinal pigment epithelium in age-related macular degeneration

RPE phenotype	Description (grades used in previous publications ^{14, 17})		
Non uniform	Slightly non-uniform morphology and pigmentation (1)		
Very non-uniform	Very non-uniform morphology and pigmentation (2)		
Sloughed	Intact epithelium with spherical cells sloughed into sub-retinal space (2A)		
Shedding	Intact epithelium with basal shedding of non-nucleated RPE fragments containing granules into basal laminar deposits (2B)		
Bilaminar	Double layers (2L)		
Vacuolated	A single large vacuole, sometimes with contents, delimited apically by extremely effaced cytoplasm		
Intraretinal	Anterior migration of nucleated RPE through the external limiting membrane (3)		
Dissociated	Nucleated RPE in atrophic area (no external limiting membrane), adherent to persistent BLamD or Bruch's membrane		
Entombed	Entombed by fibrovascular scar, intermingled with other cells and fluid in the same layer		
Subducted	Rounded or flattened, in sub-RPE space, not adjacent to basal lamina		
Melanotic	Large black, spherical melanosomes, individual cells or cells in multiple layers, in sub-retinal or sub-RPE space, associated with neovascular scars		
Entubulated	In lumen of outer retinal tubulation, not adjacent to basal lamina		
Atrophy with BLamD	No cells; persistent basal laminar deposits (4)		
Atrophy without BLamD	No cells; no basal laminar deposit (5)		

Notes: phenotypes defined by Zanzottera et al 7, 8 $\,$

Abbreviations: BLamD, basal laminar deposits; RPE, retinal pigment epithelium.

RPE thickness relative to the ELM descent in geographic atrophy

	–500 μm	–100 μm		Overall
RPE Morphology	RPE thickness, μm Mean ± SD; N	RPE thickness, μm Mean ± SD; N	p-value, - 500 µm vs – 100 µm	RPE thickness, μm Mean ± SD; N
Overall	12.1 ± 5.2; 12	$14.6 \pm 6.9; 12$	0.039	$13.7 \pm 6.4; 12$
Non-uniform	$10.9\pm2.5;8$	$8.3 \pm 0.2; 2$	< 0.0001	$10.5 \pm 2.5; 9$
Very non-uniform	12.5 ± 3.2; 6	$11.8 \pm 4.9; 7$	0.48	$12.1\pm4.3;8$
Sloughed	$15.3 \pm 9.3; 6$	$18.3\pm7.4;9$	0.43	$17.4 \pm 8.0; 10$
Shedding	$9.0\pm2.0;4$	14.1 ± 7.6; 7	< 0.0001	$13.0\pm7.0;8$
Bilaminar	9.3 (n/a); 1	20.4 ± 11.0; 2	0.044	16.7 ± 10.0; 3
Vacuolated		13.2 (n/a); 1		13.2 (n/a); 1
Intraretinal	10.5 (n/a); 1	$14.4 \pm 1.5; 2$	< 0.0001	$13.6 \pm 2.2; 3$

Notes:

N indicates number of donors in which measurements were made (13 eyes from 12 donors total).

 $Thicknesses were measured for the epithelial component of morphologies that also included a non-epithelial component, as described {}^8.$

Thicknesses were not recorded for 'dissociated' RPE within the atrophic area.

P-value obtained via general estimating equations

SD, standard deviation

n/a, not applicable

Basal laminar deposit thickness relative to the ELM descent in geographic atrophy

RPE	-500 µm from ELM descent	-100 μm from ELM descent	-500 µm vs -100 µm	+100 µm from ELM descent	+500 µm from ELM descent	+500 µm vs +100 µm	Overall -500 µm and –100 µm	Overall +100 µm and +500 µm
worpnology	BLamD thic) mean ±	BLamD thickness in μm, mean ± SD; N	<i>p</i> -value	BLamD thic mean :	BLamD thickness in μm, mean ± SD; N	<i>p</i> -value	BLamD thic mean ±	BLamD thickness in μm, mean ± SD; N
Overall	$7.2 \pm 6.1; 12$	$8.4 \pm 8.2; 12$	0.26	7.4 ± 5.6; 12	$5.1 \pm 7.0; 111$	0.0784	$8.0 \pm 7.5; 12$	$6.6 \pm 6.2; 12$
Non-uniform	$3.1 \pm 3.0; 8$	$6.0 \pm 2.7; 2$	0.15				$3.6 \pm 3.0; 9$	
Very non-uniform	$6.5 \pm 6.6; 6$	$4.7 \pm 5.2; 7$	0.38				$5.4 \pm 5.7; 8$	
Sloughed	$9.1 \pm 5.2; 6$	$5.6 \pm 2.7; 9$	0.045				$6.7 \pm 3.9; 10$	
Shedding	$14.6 \pm 5.6; 4$	$16.7 \pm 10.0; 7$	0.29				$16.2 \pm 9.1; 8$	
Bilaminar	5.0 (n/a); 1	$1.4\pm1.9;2$	0.0001				$2.6 \pm 2.5; 3$	
Vacuolated		5.5 (n/a); 1					5.5 (n/a); 1	
Intraretinal	7.3 (n/a); 1	$8.9 \pm 8.9; 2$	0.66				$8.6 \pm 7.7; 3$	
Dissociated				$8.7 \pm 6.7; 10$	$13.9 \pm 14.8; 4$	0.42		$9.6 \pm 8.3; 10$
Atrophy with BLamD				$7.1 \pm 3.6; 9$	$5.2 \pm 1.4; 7$	0.019		$6.3 \pm 3.0; 9$
Atrophy Without BLamD				0.6 (1.1); 3	0 (n/a); 5	0.29		$0.2 \pm 0.7; 6$

Notes:

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N indicates number of donors in which measurements were made (13 eyes from 12 donors total).

P-value obtained via general estimating equations

Different morphologies were observed in atrophic vs. non-atrophic areas, so BLamD thickness between these areas was not compared statistically.

SD, standard deviation

n/a, not applicable

RPE	–500 µm from ELM descent	–100 µm from ELM descent	–500 μm vs –100 μm	Overall
Morphology	$\begin{array}{c} RPE + BLamD \ thickness \ in \ \mu m, \ mean \ \pm \\ SD; \ N \end{array}$		<i>p</i> -value	RPE + BLamD thickness in μm, mean ± SD; N
Overall	19.3 ± 8.2; 12	23.1 ± 10.7; 12	0.05	$21.7 \pm 10.0; 12$
Non-uniform	14.1 ± 2.8; 8	14.3 ± 2.5; 2	0.93	14.1 ± 2.7; 9
Very non Uniform	$19.0 \pm 8.7; 6$	16.5 ± 7.3; 7	0.34	17.4 ± 7.8; 8
Sloughed	24.4 ± 10.2; 6	$23.9\pm7.6;9$	0.91	24.1 ± 8.3; 10
Shedding	$23.6 \pm 7.2; 4$	$30.9 \pm 12.7; 7$	< 0.0001	29.2 ± 11.9; 8
Bilaminar	14.3 (n/a); 1	21.7 ± 12.9; 2	0.25	19.2 ± 10.1; 3
Vacuolated		18.7 (n/a); 1		18.7 (n/a); 1
Intraretinal	17.8 (n/a); 1	23.4 (10.3): 2	0.21	22.2 (9.2); 3

RPE + Basal laminar deposit thickness relative to the ELM descent in geographic atrophy

Notes:

N indicates the number of donors in which measurements were made (13 eyes from 12 donors total).

P-value obtained via general estimating equations

SD, standard deviation

n/a, not applicable

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