

# Baroreflex control of renal sympathetic nerve activity in early heart failure assessed by the sequence method

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## Key points

- The integrity of the baroreflex control of sympathetic activity in heart failure (HF) remains under debate.
- We proposed the use of the sequence method to assess the baroreflex control of renal sympathetic nerve activity (RSNA).
- The sequence method assesses the spontaneous arterial pressure (AP) fluctuations and their related changes in heart rate (or other efferent responses), providing the sensitivity and the effectiveness of the baroreflex. Effectiveness refers to the fraction of spontaneous AP changes that elicits baroreflex-mediated variations in the efferent response.
- Using three different approaches, we showed that the baroreflex sensitivity between AP and RSNA is not altered in early HF rats. However, the sequence method provided evidence that the effectiveness of baroreflex in changing RSNA in response to AP changes is markedly decreased in HF.
- The results help us better understand the baroreflex control of the sympathetic nerve activity.

**Abstract** In heart failure (HF), the reflex control of the heart rate is known to be markedly impaired; however, the baroreceptor control of the sympathetic drive remains under debate. Applying the sequence method to a series of arterial pressure (AP) and renal sympathetic nerve activity (RSNA), we demonstrated a clear dysfunction in the baroreflex control of sympathetic activity in rats with early HF. We analysed the baroreflex control of the sympathetic drive using three different approaches: AP vs. RSNA curve, cross-spectral analysis and sequence method between AP and RSNA. The sequence method also provides the baroreflex effectiveness index (BEI), which represents the percentage of AP ramps that actually produce a reflex response. The methods were applied to control rats and rats with HF induced by myocardial infarction. None of the methods employed to assess the sympathetic baroreflex gain were able to detect any differences between the control and the HF group. However, rats with HF exhibited a lower BEI compared to the controls. Moreover, an optimum delay of 1 beat was observed, i.e. 1 beat is required for the RSNA to respond after AP changing, which corroborates with the findings related to the timing between these two variables. For delay 1, the BEI of the controls was  $0.45 \pm 0.03$ , whereas the BEI of rats with HF was  $0.29 \pm 0.09$  ( $P < 0.05$ ). These data demonstrate that while the gain of the baroreflex is not affected in early HF, its effectiveness is markedly decreased. The analysis of the spontaneous changes in AP and RSNA using the sequence method provides novel insights into arterial baroreceptor reflex function.

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**Abbreviations** ANGII, angiotensin II; AP, arterial pressure; BEI, baroreflex effectiveness index;  $+dP/dt$ , maximal slope of the systolic pressure increment;  $-dP/dt$ , diastolic pressure decrement; HF, heart failure; HR, heart rate; LVEDP, left ventricular end-diastolic pressure; MSNA, muscle sympathetic nerve activity; NTS, nucleus tractus solitarius; PI, pulse interval; RSNA, renal sympathetic nerve activity; SAP, systolic arterial pressure;  $SAP_{50}$ , systolic AP at 50% of RSNA range.

## Introduction

Heart failure (HF) is a global health problem with a high prevalence and is one of the leading worldwide causes of death (Roger, 2013). In HF, a complex interaction of several neurohumoral mechanisms become activated aiming to preserve cardiac function (Lymeropoulos *et al.* 2013). Among these mechanisms, sympathetic activation becomes a critical outcome in HF, markedly increasing its morbidity and mortality (Lymeropoulos *et al.* 2013). Sympathetic overactivity in HF has been evidenced by higher renal sympathetic nerve activity (RSNA) in rats and rabbits (Zucker & Wang, 1991; DiBona *et al.* 1995; Marcus *et al.* 2014; Ramchandra & Barrett, 2015) and an increase in muscle sympathetic nerve activity (MSNA) in patients (Leimbach *et al.* 1986; Grassi *et al.* 1995; Floras, 2001; Gronda *et al.* 2014). It is well established that the baroreflex control of the heart rate (HR) is markedly impaired in HF (Eckberg *et al.* 1971; Creager, 1992; Zucker *et al.* 2007). The loss of the inhibitory modulation by the arterial baroreflex has been proposed as a factor that is involved, at least in part, in the sustained sympathetic excitation in HF (Gronda *et al.* 2014). Although the baroreflex control of the HR is well known to be impaired in HF, the baroreceptor control of the sympathetic drive in this syndrome remains under debate. Dibner-Dunlap *et al.* (1996) reported similar gains in the baroreflex control of MSNA in healthy and HF individuals. In experimental models of HF, preserved baroreflex control of RSNA was found in anaesthetized dogs with HF induced by pacing or aortovena-caval fistula (Zucker *et al.* 1985; Dibner-Dunlap & Thames, 1989). In contrast, reduced baroreflex control of sympathetic activity was observed in patients with HF (Ferguson *et al.* 1992; Grassi *et al.* 1995) and conscious rabbits with HF induced by pacing (Liu *et al.* 2000). Furthermore, using a cross-spectral analysis, a similar transfer function (gain) between arterial pressure (AP) and MSNA was observed in healthy subjects and patients with HF, indicating that the baroreflex control of MSNA is preserved in this syndrome (Ando *et al.* 1997*a,b*).

The sequence method is an approach that assesses spontaneous AP fluctuations and their related changes in HR (Bertinieri *et al.* 1985). It is noteworthy that the sequence method provides information regarding the baroreflex function that is complementary to the baroreflex sensitivity (gain). The fraction of spontaneous AP ramps that effectively elicits baroreflex-mediated changes in the HR or pulse interval (PI) provides an estimation of the baroreflex effectiveness index (BEI) (Di Rienzo *et al.* 2001). This index informs about the interaction between the arterial baroreflex and other non-baroreflex mechanisms in controlling the heart. Most of the applications of the sequence method use pulse interval (or HR) signals as the efferent response to be analysed following AP changes (Laude *et al.* 2004; La Rovere *et al.*

2008). Although the sequence method has already been proven to be a useful tool for rats and mice (Stauss *et al.* 2006; Laude *et al.* 2008), it has never been applied when the RSNA was used as the efferent response signal in this models.

Therefore, considering the controversies regarding the baroreceptor control of the sympathetic drive in HF, we propose using the sequence method to assess the spontaneous baroreflex function, using AP and RSNA signals, as an alternative approach for analysing the baroreflex control of RSNA in rats with HF. In addition to the sequence method, two other methods were used to assess the baroreflex control: AP *vs.* RSNA curve and cross-spectral analysis.

## Methods

### Ethical approval

All experimental procedures used in this study adhered to the *Guide for the Care and Use of Laboratory Animals* prepared by the National Academy of Sciences and published by the National Institutes of Health (Copyright © 1996 by the National Academy of Sciences), and were approved by the Committee of Ethics in Animal Research of the School of Medicine of Ribeirão Preto, University of São Paulo, SP, Brazil (Protocol no. 1477/2007). The authors understand the ethical principles under which *The Journal of Physiology* operates, and this work complies with the animal ethics checklist as described by Grundy (2015).

### Animals

The experiments were carried out in adult male Wistar rats supplied by the Animal Facility of the University of São Paulo, Campus of Ribeirão Preto, SP, Brazil. The animals were housed individually with free access to food and water and were maintained on a 12 h light–dark cycle.

### Experimental heart failure

Surgical ligation of the left coronary artery was performed to induce left ventricular dysfunction, which is the model of HF used in the present study ( $n = 6$ ). Rats (250–300 g, 7–8 weeks old) were anaesthetized with ketamine (50 mg kg<sup>-1</sup>, i.p.) and xylazine (10 mg kg<sup>-1</sup>, i.p.). When the pedal withdrawal reflex was absent, the rats were endotracheally intubated and mechanically ventilated. A left thoracotomy was performed, and the left anterior descending coronary artery was ligated between the pulmonary artery outflow tract and the left atrium with a polyester suture (4–0; Ethicon, São José dos Campos, SP, Brazil). The control rats ( $n = 7$ ) underwent a similar surgical procedure without the coronary ligation. All surgical procedures were carried out using aseptic techniques. After the surgery, analgesia was achieved

(flunixin meglumine, 2.5 mg kg<sup>-1</sup>, i.m.), the temperature was controlled and the animals were maintained in a separate recovery area and monitored continuously until awake.

### Recording of RSNA and AP

Four weeks after the coronary ligation, the animals were anaesthetized with ketamine (50 mg kg<sup>-1</sup>, i.p.) and xylazine (10 mg kg<sup>-1</sup>, i.p.). The surgical procedures were performed when the pedal withdrawal reflex was absent. The femoral artery and vein were catheterized with polyethylene tubing for the AP recording and intravenous drug administration. The right kidney was exposed via a retroperitoneal incision; the renal nerve was placed on a bipolar stainless steel pair of electrodes and secured with a polyvinyl siloxane impression material (Super-Dent; Carlisle Laboratories, Rockville Centre, NY, USA). The electrode endings were exteriorized along with the vascular catheters in the animal's nape. All incisions were sutured, and the animal was allowed to recover in the recording room, with controlled temperature, where a quiet environment was maintained. Six to eight hours after the surgery, while the animals were awake and moved freely inside their cages, the arterial line was attached to a pressure transducer by (PE50) polyethylene tubing, and the renal nerve electrodes were connected to the recording system by flexible lightweight wires. No signals of distress were observed in either the control or HF rats during the experiments.

RSNA was properly amplified ( $\times 1000$ ) and band-pass filtered (100 Hz to 3 kHz) using a differential high-impedance amplifier (CyberAmp; Axon Instruments, Union City, CA, USA) and digitally recorded (10 kHz) on an IBM/PC equipped with an analog-to-digital interface (DI220; ADInstruments, Akron, OH, USA). The AP was recorded simultaneously with RSNA using a pressure transducer (MLT844, ADInstruments, Bella Vista, Australia) attached to a blood pressure amplifier (Bridge Amp FE221, ADInstruments). After the basal recordings, the rats received a bolus injection of phenylephrine (4  $\mu\text{g kg}^{-1}$ , i.v., Sigma-Aldrich, St Louis, MO, USA), which raised the AP, producing the complete disappearance of the RSNA. The minimal residual signal was considered the noise level. Then, sodium nitroprusside (32  $\mu\text{g kg}^{-1}$ , i.v., Sigma-Aldrich) was administered to reduce AP, causing the renal sympathetic nerve to reach the maximal activity produced by baroreflex unloading.

The raw RSNA was rectified and integrated with a time constant of 10 ms, allowing the easy identification of the clusters of electrical activity that we call bursts. The number of bursts per minute during the basal period was used to measure the RSNA. After subtracting the background noise, RSNA was integrated every cardiac

beat, normalized by the cardiac interval length, while its maximum and minimum activity were obtained using the peaks produced by sodium nitroprusside and phenylephrine, respectively. The beat-by-beat time series (5 min) of the systolic arterial pressure (SAP) and integrated RSNA were obtained for each animal. Hereafter, the integrated RSNA is simply referred to as RSNA. Those recordings were used to calculate the baroreflex function in HF and control rats.

At the end of the experimental protocol, the rats were anaesthetized with pentobarbital sodium (40 mg kg<sup>-1</sup>, i.p.) and a Mikro-Tip catheter (Millar Instruments, Houston, TX, USA) was inserted into the left ventricle via the right carotid; the ventricular end-diastolic pressure (LVEDP), maximal slope of the systolic pressure increment ( $+dP/dt$ ) and diastolic pressure decrement ( $-dP/dt$ ) were measured. At the completion of the experimental protocol, the rats were killed with an overdose of pentobarbital sodium (120 mg kg<sup>-1</sup>, i.p.). Then the heart was collected, weighed for calculation of the cardiac weight index (heart/body weight), and then submitted to histological processing. The infarct size (%) was determined by dividing the length of the infarcted area by the total size of the left ventricle in heart sections stained with picosirius red (Lataro *et al.* 2013). HF was determined using both haemodynamic and morphological criteria. Only rats with an infarct size  $>40\%$  of the cross-sectional area of the left ventricle wall and an LVEDP  $\geq 15$  mmHg were enrolled in the study as previously described (Pfeffer *et al.* 1979; Xu *et al.* 2012).

### Data analysis

**Baroreflex function.** The baroreflex creates a causal relationship between the AP and RSNA; thus, the baroreflex function can be assessed through the changes in RSNA elicited by the changes in the AP. Those changes in the AP can be spontaneous or induced by external stimuli. The baroreflex function curve (known as the barocurve) can be calculated when an external stimulus induces the AP to increase or decrease, covering a broad range of AP values. In contrast, the spontaneous fluctuations in AP have a much narrower range, and techniques for spontaneous variability might be employed, such as spectral and cross-spectral analyses. In addition to the frequency methods, we propose using the sequence method for the AP and RSNA analysis.

**Barocurve.** The SAP vs. RSNA values were fitted by a four-parameter non-linear sigmoidal regression (Marquardt, 1963). The slope (gain) of the RSNA curve and the AP at the midpoint of the curve (SAP<sub>50</sub>) were calculated. To create a representative barocurve for each group, the fitted parameters were averaged for each

group, and the mean parameters were used to create a representative sigmoidal curve.

**Cross-spectral analysis (transfer function).** The transfer function estimate is based on an input–output model of the physiological systems (Pinna & Maestri, 2001). In this case, SAP is considered the input and RSNA is considered the output of a linear time-invariant system. In brief, the transfer function is calculated from the ratio of the RSNA–SAP cross-spectrum to the SAP spectrum (Porta *et al.* 2013), and its value represents the gain of the baroreflex. In addition to the transfer function, the (squared) coherence function between the input and output signals is frequently calculated; a 0.5 coherence threshold was chosen to discard the frequencies for which the transfer function estimate would not be reliable (Pinna & Maestri, 2001, 2002).

The original SAP and RSNA beat-by-beat series were converted to evenly spaced series using a cubic spline interpolation (10 Hz) and were divided into half-overlapping segments of 512 data points (Welch, 1967). To attenuate the side effects, a Hanning window was used, and the spectrum of each segment was calculated using the fast Fourier transform (FFT). The transfer function (gain) and coherence between SAP and RSNA were integrated in low (LF: 0.2–0.8 Hz) and high frequency (HF: 0.8–3.0 Hz) bands, representing the Mayer's and respiratory oscillations of AP, respectively (Julien, 2008).

**Sequence method.** In contrast to the spectral approaches, the sequence method considers signals in the time domain. This method relies on the hypothesis that successive spontaneous increases or decreases (ramps) in the AP might elicit baroreflex-mediated responses in either HR or sympathetic nerve activity. In most of the cases, the efferent signal used is the pulse interval (Parati *et al.* 2000; Laude *et al.* 2004). In the present study, the efferent signal considered is the RSNA.

The following five parameters are set in the sequence method: (1) the threshold for the AP values; (2) the threshold for the RSNA values (or other efferent variables, such as PI); (3) the threshold for the correlation coefficient of the sequence candidate ( $r$ ); (4) the minimum sequence length ( $n$ ); and (5) the delay between the AP and RSNA (or other efferent variables). Briefly, the sequence method searches for all ramps (up or down) of SAP that last at least  $n$  beats. The absolute differences between the successive values of SAP in all ramps must satisfy the threshold for the AP. Next, for each SAP ramp, the sequence method determines whether the values of RSNA, which are delayed by the number of points previously fixed, compose a ramp in the opposite direction to the SAP ramp. Thus, if SAP is increasing (decreasing), RSNA should be decreasing (increasing), considering the desired delay. The absolute differences between the successive

values in the RSNA ramps must satisfy the threshold that was previously fixed. When the ramp of SAP matches the opposite RSNA ramp, a baroreflex sequence has been achieved. The gain of a particular sequence is calculated by the slope of the regression line of RSNA vs. SAP. If the correlation coefficient of the regression line is greater than the threshold  $r$ , the sequence is considered in the analysis. The final gain is the averaged slope, which was obtained from all the considered sequences.

In addition to the gain, the sequence method also provides an important index of baroreflex function, namely, BEI (Di Rienzo *et al.* 2001). BEI is simply the number of sequences divided by the number of AP ramps, interpreted as the percentage of AP ramps that are actually producing a reflex response by the baroreflex. BEI and gain are complementary indices of spontaneous baroreflex function, providing information on different aspects of baroreflex control (Di Rienzo *et al.* 2001).

According to previous studies in mice (Laude *et al.* 2008, 2009), the correlation threshold was set to  $r > 0.8$ , and the minimum sequence length was set to  $n = 3$ . Even though the use of thresholds for AP and PI are not encouraged by the referenced studies in mice, a pilot analysis conducted with the present dataset (obtained from rats) has demonstrated the need to use thresholds for SAP and RSNA, which were set to 0.5 mmHg and 0.5% RSNA, respectively. The delay between the systolic AP and RSNA, however, was not fixed. Instead, it was varied from 0 to 12 beats, and both the gain and BEI were calculated for each delay.

The same analyses were performed using surrogate data obtained from the original time series. The surrogate data were generated by shuffling both the SAP and RSNA time series, as proposed by Laude *et al.* (2008), which is a modification of the method proposed by Blaber *et al.* (1995).

## Statistical analysis

The summary data are presented as the mean  $\pm$  SEM. Two-way ANOVA for repeated measurements, followed by the Holm–Sidak test, was performed to compare the gain and BEI for different delays between (1) the original and surrogate data, and (2) the control and HF rats. The Mann–Whitney rank sum test was employed to compare all the single variables. The level of significance was set at  $P < 0.05$ .

## Results

### Morphology and haemodynamics

The morphological and haemodynamic characteristics of the animals studied are summarized in Table 1. Control rats and rats with coronary ligation showed similar body weights, mean APs and HRs. However, the rats with



**Table 1. Haemodynamic and morphological data from control and heart failure (HF) rats**

	Control (n = 6)		HF (n = 6)	
BW (g)	494	± 26	495	± 15
HW (g)	1.30	± 0.05	1.68	± 0.12*
CWI (mg g <sup>-1</sup> )	2.65	± 0.1	3.23	± 0.15*
Infarct size (%LV)	—	—	46	± 3
LVEDP (mmHg)	8	± 1	17	± 1*
+dP/dt (mmHg s <sup>-1</sup> )	10,182	± 284	6790	± 338*
-dP/dt (mmHg s <sup>-1</sup> )	-10,363	± 825	-6470	± 617
MAP (mmHg)	103	± 2	107	± 2
HR (beats min <sup>-1</sup> )	376	± 15	395	± 19

Data are means ± SEM. BW: body weight; HW: heart weight; CWI: cardiac weight index; LVEDP: left ventricle end-diastolic pressure; +dP/dt and -dP/dt: maximal slope of the systolic increment and diastolic decrement of ventricular pressure, respectively; MAP: mean arterial pressure; HR: heart rate. \*P < 0.05 compared to control group.

coronary ligation exhibited a greater heart weight, cardiac weight index and LVEDP, and a lower +dP/dt.

### Renal sympathetic nerve activity

Figure 1A, shows the original recordings of the AP, raw RSNA and integrated RSNA (every 10 ms) from control rats and rats with coronary ligation. The RSNA, calculated as bursts per minute (Fig. 1B), was greater in the rats with coronary ligation compared with that in their control counterparts ( $434 \pm 31$  vs.  $332 \pm 31$ ).

### Barocurve

Figure 2 shows the average SAP vs. RSNA curves (barocurves) for the control rats and rats with coronary ligation. Note that both curves are virtually superimposed. The control rats and the rats with coronary ligation presented no differences in the baroreflex sensitivity (gain) and SAP<sub>50</sub>.

### Cross-spectral analysis

Figure 3A shows an example of the coherence and transfer function spectra generated from a control animal recording, while Fig. 3B represents the group values of the baroreflex gain (transfer function). The gain was not different between the groups with respect to the low-frequency ( $5.6 \pm 1.6$  vs.  $11.2 \pm 1.7\%$  RSNA mmHg<sup>-1</sup>) or the high-frequency ( $14.6 \pm 4.7$  vs.  $15.2 \pm 1.5\%$  RSNA mmHg<sup>-1</sup>) band.

### Sequence method

Figure 4 shows the gain and BEI, calculated for delays ranging from 0 to 12 beats, for the control and HF groups.

The results with the surrogate data are also presented. The baroreflex sensitivity, i.e. the gain, is not different between the control and HF groups for any delay. In contrast, the BEI is higher in the control group compared to that in the HF group for delays of 0, 1, 5, 6, 7, 9, 10 and 12 beats.

The results with the surrogate series (both gain and BEI) showed no dependence on delay (flat curves) for both the control and HF groups. In contrast, the BEI of the control and HF groups (original data) significantly changed with the delay. For delays of less than 5 beats, the BEI is maximized when a delay of 1 beat is assumed between the AP and RSNA (see Fig. 4). Considering the infeasibility of delays greater than 4 beats between the SAP and RSNA, a delay of 1 beat was chosen as the optimal delay.

Figure 5 shows the group values of the gain (Fig. 5B) and BEI (Fig. 5C) for delay 1 and a representative example of the calculation of the gain using the sequence method (Fig. 5A). The gain is not different between the control and HF groups ( $13.6 \pm 4.7$  vs.  $17.9 \pm 2.1\%$  RSNA mmHg<sup>-1</sup>, respectively). However, the BEI for the controls animals is significantly greater than that observed in rats with coronary ligation ( $0.45 \pm 0.03$  vs.  $0.29 \pm 0.03\%$  RSNA mmHg<sup>-1</sup>, respectively).

## Discussion

The analysis of the spontaneous baroreflex control has emerged as an important alternative to the classical pharmacological method of baroreflex assessment. While the former accounts for spontaneous AP fluctuations, the latter requires the injection of vasoactive drugs, which can produce undesirable effects, such as the stimulation of other reflexes or a direct stimulation on the sinus node (Parati *et al.* 2000). Another drawback of the pharmacological method is that higher and lower pressures, induced by the drugs, are not physiological situations and may not represent the system response under normal conditions.

Several methods have been proposed to assess the spontaneous baroreflex control (e.g. spectral analysis and the sequence method), and some studies have been conducted to compare their performances (Laude *et al.* 2004; Carrasco-Sosa *et al.* 2005; Maestri *et al.* 2009). Spectral methods are based on the concept that spontaneous oscillations in AP elicit an oscillation at the same frequency in the efferent reflex response (cardiac interval, sympathetic nerve activity) (La Rovere *et al.* 2008). The advantage of the spectral analysis is the possibility to evaluate the baroreflex control of specific frequency bands of interest, such as low- (Mayer waves) or high-frequency bands (respiration) (Parati *et al.* 2000; Pinna, 2007). The simplest baroreflex spectral analysis is the  $\alpha$ -index, which only considers the single power

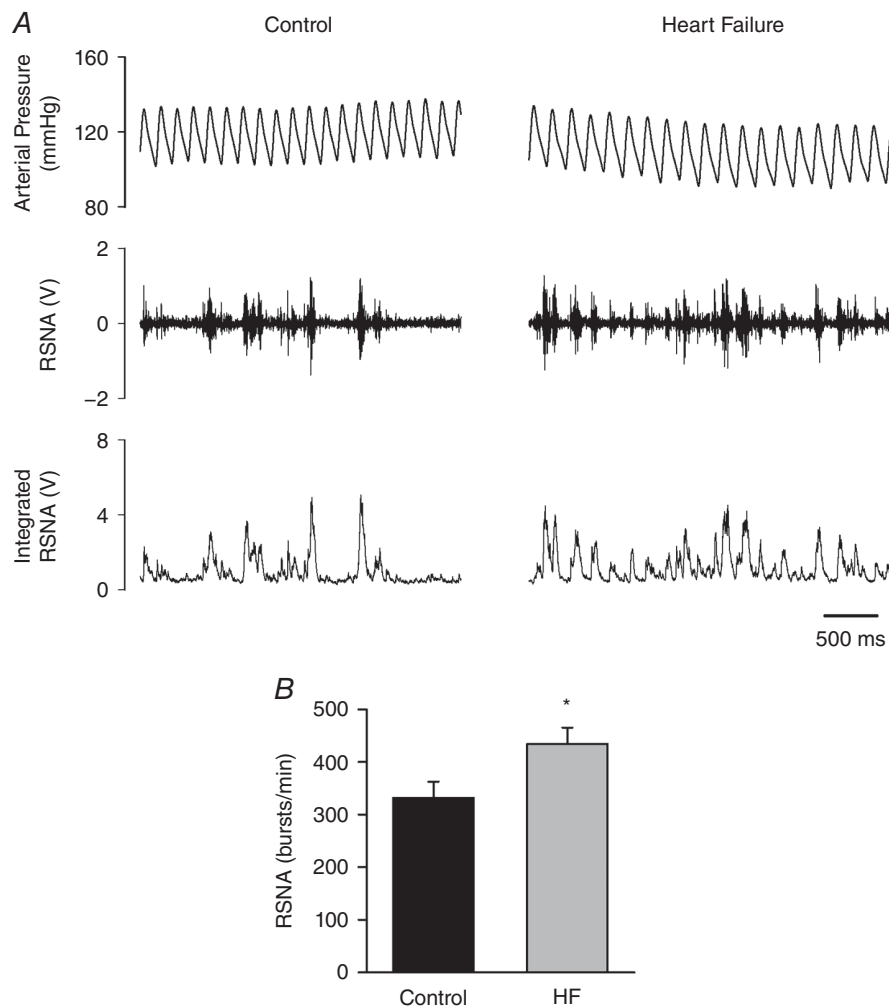
spectrum of AP and the reflex response (in this case, RSNA) and, therefore, assumes that the whole variability of the reflex response is driven by the AP (Faes *et al.* 2013).

In contrast, the transfer function method considers the power of the cross-spectrum between the AP and RSNA. Thus, the baroreflex gain estimation is based only on the portion of the RSNA spectra shared between AP and RSNA, while disregarding the variability in RSNA due to sources other than the AP (Faes *et al.* 2013). However, some authors have drawn attention to the fact that both the  $\alpha$ -index and the transfer function approaches fail to fully represent the baroreflex closed loop because they represent only the feedback arm of the baroreflex and not the mechanical feed-forward pathway. Thus, one drawback is that the estimated gain may be a mixture of both feedback and feed-forward influences (Nollo *et al.* 2005; Faes *et al.* 2013; Porta *et al.* 2013). Another limitation of the

spectral analysis is the assumption of a linear interaction between the AP and the efferent reflex variable (Nollo *et al.* 2005).

A coherence greater than 0.5 was adopted in this study as the criterion for gain estimation in the transfer function. Some authors have studied this issue (Pinna & Maestri, 2001) and proposed new criteria to reliably estimate gain (Pinna & Maestri, 2002). We also calculated the gain of transfer function without any coherence criteria, and the results were similar to those presented here.

Differing from spectral approaches, the sequence method searches for coherent changes in the AP and RSNA that are not limited to any definition of the frequency band (Parati *et al.* 2000). The correlation coefficient, calculated for each sequence candidate, plays a role similar to that of the coherence criteria in the transfer function. One advantage of the sequence method is the possibility to identify small segments (e.g. sequences of 3 or 4



**Figure 1. Renal sympathetic nerve activity (RSNA) in control rats ( $n = 7$ ) and rats with heart failure (HF;  $n = 6$ )**

A, representative recordings of arterial pressure, raw RSNA and integrated RSNA. The integration was taken every 10 ms in this case. B, group data of bursts per minute. \* $P < 0.05$  compared with control.

beats), whereas spectral analysis decomposes the signals in long sinusoid components. A potential limitation of the sequence method occurs when high-frequency oscillations are markedly present. As the values of pressure ramps must successively increase or decrease, the length of the sequences will be driven by any dominant frequency oscillation (e.g. respiration). Thus, although the sequence method is not restricted to any frequency band, the results may be limited to the oscillations produced in specific frequencies.

Baroreflex analysis with the sequence method is widely employed to investigate the cardiac baroreflex under clinical and experimental conditions. However, even under normal conditions, the rate of occurrence of baroreflex sequences (BEI) between AP and cardiac interval is relatively low (near 0.2) (Di Rienzo *et al.* 2001; Laude *et al.* 2008; Ariza *et al.* 2015; Rodrigues *et al.* 2015). Thus, the arterial baroreflex can respond to AP changes with concordant changes in cardiac interval in only a few (20%) cases. The presence of these uncoupled responses might indicate that, even in healthy subjects, the baroreflex, although it is continuously involved in cardiovascular homeostasis, may not be invariably effective in inducing reflex changes in response to AP transients.

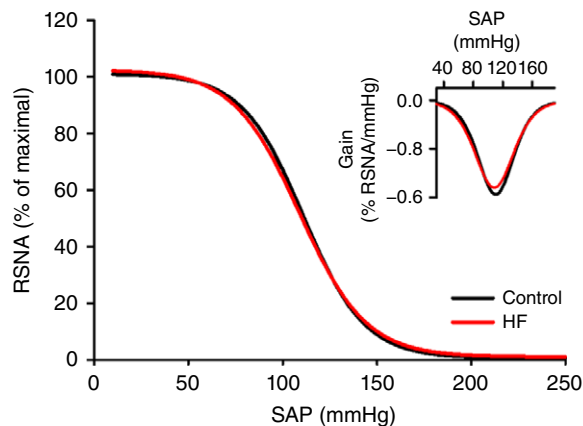
To the best of our knowledge, this is the first study to apply the sequence methods to series of AP and

RSNA in conscious rats. The first important finding of this study is that when RSNA is used as the reflex response variable, the BEI can reach much greater values compared to the values obtained using HR or cardiac interval, e.g. 0.45 *vs.* 0.2 (Di Rienzo *et al.* 2001). The observation of the limited influence of the baroreflex on the cardiac interval is an intriguing but well-documented phenomenon (Wennergren *et al.* 1976; Di Rienzo *et al.* 2001; Laude *et al.* 2004; Abboud & Thames, 2011; Mancía & Mark, 2011). A possible explanation for the low BEI values may come from the observation that under physiological conditions, the cardiac rhythm and/or sympathetic drive are controlled not only by the baroreflex but also by other non-baroreflex mechanisms (direct central neural influences, respiratory activity and humoral factors). It is plausible that the influences exerted by these non-baroreflex mechanisms might be strong enough to overcome the baroreflex influence on the HR and/or sympathetic activity. Moreover, the baroreflex itself is known to be affected, to variable degrees, by central inhibitory influences (Wennergren *et al.* 1976), implying that the baroreflex function is a dynamic phenomenon. Therefore, the effectiveness of the baroreflex, quantified by the BEI, is likely to reflect the degree of the above interferences, i.e. those exerted by non-baroreflex factors and those exerted by modulatory influences at a central level that control HR and/or sympathetic activity.

All approaches employed to assess baroreflex sensitivity/gain (barocurve, transfer function and the sequence method) failed to reveal any differences between the control rats and rats with HF. Interestingly, although the baroreflex gain is not affected in early HF, its effectiveness (BEI) is markedly reduced in rats with HF. Therefore, the impairment of the sympathetic baroreflex in HF seems to not be associated with the gain between AP and RSNA, but rather to be associated with the effectiveness of the baroreflex in changing the RSNA in response to the AP transients. The reduced BEI indicates a prevalence of non-baroreflex mechanisms in animals with HF.

Several studies have noted that the cardiac BEI is decreased in pathological situations, such as diabetic neuropathy, arterial hypertension associated with chronic kidney disease and low level paraplegia (Johansson *et al.* 2005; Chan *et al.* 2008; Wang *et al.* 2012). Some diseases and the ageing process might induce changes at different levels of the nervous system, either inhibiting the baroreflex response or exacerbating non-baroreflex mechanisms.

The activation of the renin–angiotensin–aldosterone system contributes to the pathogenesis of HF (Francis *et al.* 2001). Angiotensin II (ANGII) has been strongly implicated in the modulation of sympathetic outflow in the brain (Francis, 1989; Zucker *et al.* 2009). Clinical



	Control (n=6)	HF (n=6)
Gain (% RSNA/mmHg)	-1.78 ± 0.37	-1.63 ± 0.25
SAP <sub>50</sub> (mmHg)	111 ± 4	109 ± 4

**Figure 2. Mean baroreflex curves showing the relationship between SAP and RSNA fitted by a four-parameters logistic sigmoidal regression**

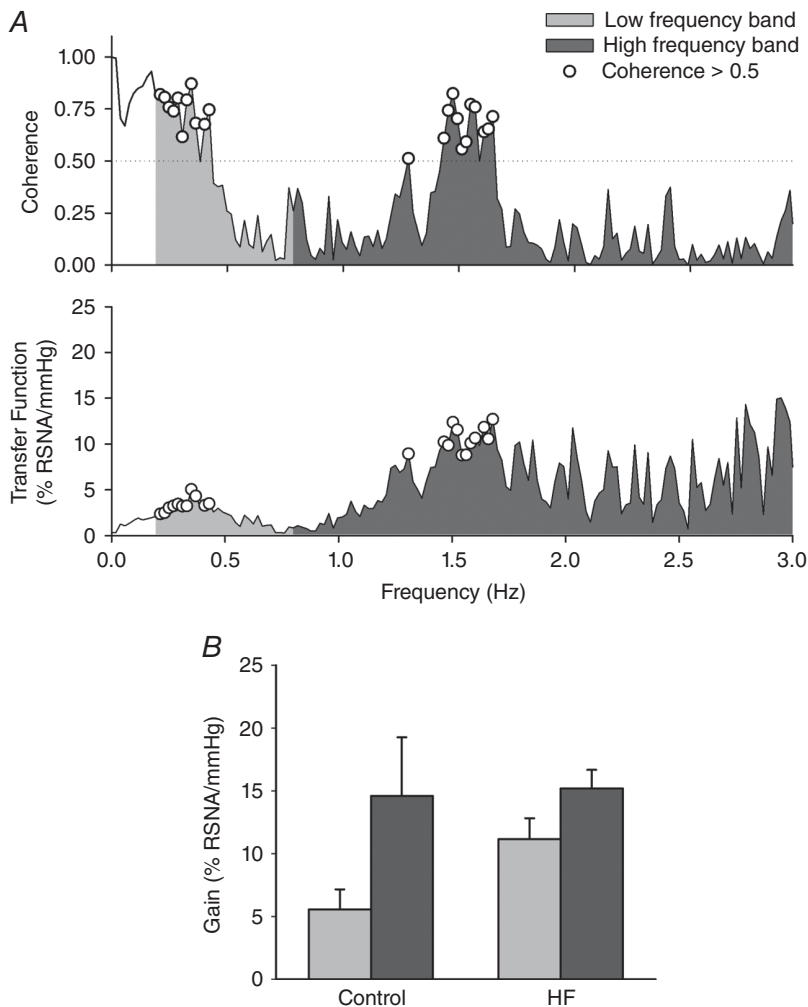
The inset shows the first derivative of the sigmoidal regression, and the table shows the group values of the parameters extracted from the barocurves. HF: heart failure; Gain: minimum value of the first derivative of the sigmoidal function; SAP<sub>50</sub>: SAP at the midpoint of the curve; no significant differences were found between the groups. [Colour figure can be viewed at [wileyonlinelibrary.com](http://wileyonlinelibrary.com)]

studies have also demonstrated that ANGII has an important sympathoexcitatory role in HF (Francis, 1989; Svanegaard *et al.* 1993). ANGII attenuates the baroreceptor reflex control of HR to increase, but not decrease, in AP (Campagnole-Santos *et al.* 1988; Michelini & Bonagamba, 1990). This effect is mediated, largely, via the inhibition of vagal activation (Campagnole-Santos *et al.* 1988). However, it has been demonstrated that baroreflex sympathoinhibition is also attenuated (Polson *et al.* 2007). In addition, it has been shown that the baroreflex inhibition by ANGII in the nucleus tractus solitarius (NTS) is dependent on GABA<sub>A</sub> receptors (Polson *et al.* 2007). Accordingly, inappropriately high levels of ANGII within the NTS may be a potential mechanism that affects the BEI after myocardial infarction. In addition, it is important to consider that the animals were studied 4 weeks after the myocardial infarction. The rats submitted to the left coronary artery ligation showed a larger infarct size, increased heart weight and LVEDP, and lower  $+dP/dt$ , which characterize the first stage of HF (Francis *et al.* 2001). Considering that HF exhibits a progressive decline in left ventricular function, we cannot exclude

the possibility that the baroreflex gain in the control of RSNA could be reduced in the end stages of the disease. In addition, the changes in the autonomic cardiovascular control induced by the surgical stress should be considered since the experiment was performed 6–8 h after the catheter and electrode were implanted. However, the haemodynamic data obtained using this protocol do not show alterations reflecting a signal of distress.

An interesting feature of the BEI is its oscillatory behaviour as a function of the delay and the loss of these oscillations in the surrogate data (see Fig. 4). This oscillatory behaviour has already been reported with cardiac interval signals (Laude *et al.* 2008). Here, we showed that this behaviour is also present in the RSNA series, especially in the group of rats with early HF. At each 3 or 4 beats, the BEI reaches a maximum, creating an intriguing oscillatory pattern. We believe that this oscillation might reflect the periodic nature of the sequence occurrence.

Consider that the recordings (AP and RSNA), for some reason, tend to increase the percentage of the baroreflex sequences at each 3 or 4 beats. Respiration, for example,



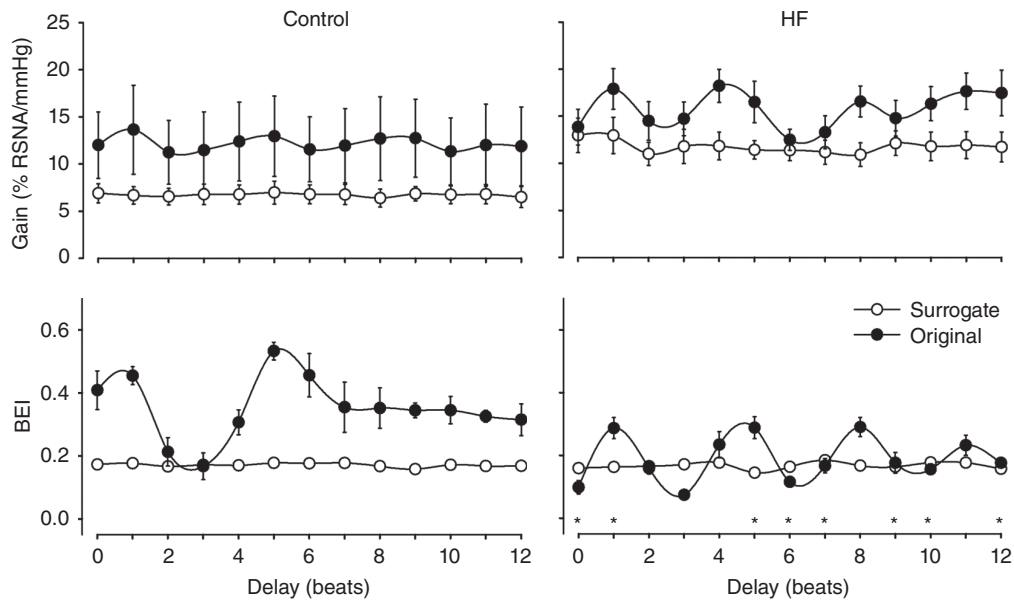
**Figure 3. Representative examples of the coherence and transfer function (A) and the group values of baroreflex gain (B), calculated as the mean transfer function value, where coherence was >0.5**

No significant differences were found between the control ( $n = 7$ ) and HF ( $n = 6$ ) rats. HF: heart failure.

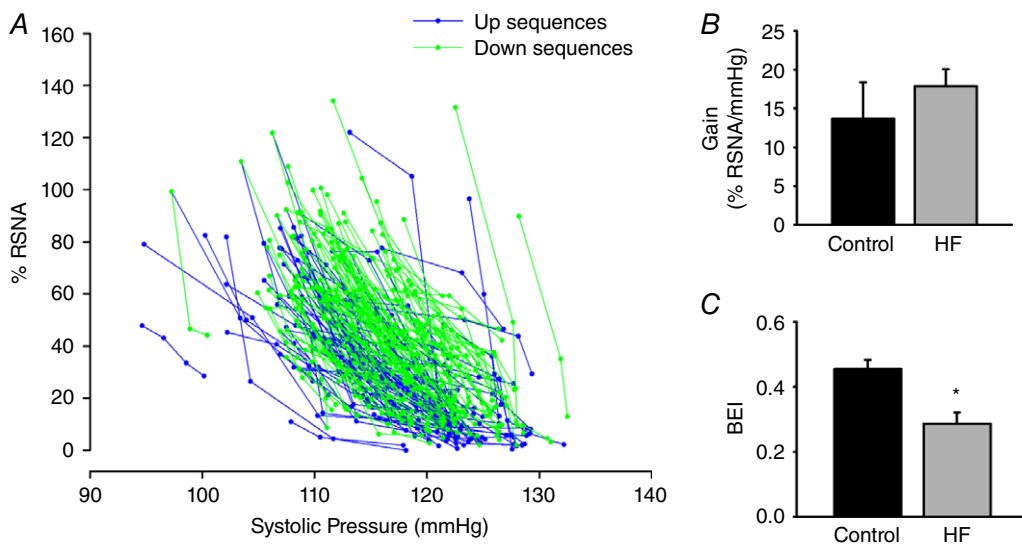


can create this type of oscillation because the respiratory influence on SAP and PI will limit the occurrence of ramps, depending on the respiratory frequency. Thus, for a given SAP ramp, one can associate several PI ramps,

varying the delay, and the association can be greater or lower depending on the position of the signal where more PI ramps were found. However, for higher delays, the association between SAP and PI is spurious because the



**Figure 4. Gain (upper panels) and BEI (lower panels), calculated with the sequence method, for delays ranging from 0 to 12**  
 The results for the original (filled circles) and surrogate (open circles) series are shown in each plot. The left column shows the results for the control group ( $n = 7$ ), and the right column shows the results for the HF group ( $n = 6$ ). All results with the surrogate series (gain and BEI) showed no dependence on the delay. The BEI of both the control and HF groups (original data) significantly varied with the delay. HF: heart failure;  $*P < 0.05$  compared with control group.



**Figure 5. Representative baroreflex sequences and group data of gain and BEI, calculated with the sequence method, for delay 1**  
 A, representative example of baroreflex sequences calculated with the sequence method using the parameters set in the Methods section and delay 1. B and C show the values of gain and BEI, respectively, for the control ( $n = 6$ ) and HF ( $n = 7$ ) groups. HF: heart failure;  $*P < 0.05$  compared with control group. [Colour figure can be viewed at [wileyonlinelibrary.com](http://wileyonlinelibrary.com)]

PI ramp is actually elicited by an SAP ramp prior to the given SAP ramp. Therefore, using a fixed SAP ramp, one can find many PI ramps associated with it, which can be several delays far from the SAP ramp, but these PI ramps may not be associated with a physiological condition. The same logic is applicable to SAP and RSNA.

We defined the best delay between SAP and RSNA as 1 beat. Although the highest BEI in the control group is observed at delay 5, we believe that five heart beats (approximately 800 ms) is too much time for the interaction between SAP and RSNA. Moreover, other authors reported that the timing between the stimulation of the aortic depressor nerve and the response in RSNA is 100 ms, which approximates a delay of 1 beat (Petiot *et al.* 2001). Therefore, the higher BEI at delay 5 might be related to the effect of the oscillatory behaviour previously discussed. In the HF group, delays 1 and 5 also present the highest values, although the oscillatory pattern is much clearer, and the values are lower, compared to those in the control group.

## Conclusions

We propose using the sequence method as an additional approach to analysing the baroreflex function with a beat-by-beat series of AP and RSNA. While the gain of the baroreflex, calculated using three different approaches, did not reveal any differences between the control rats and rats with HF, the BEI is markedly decreased in early HF. Hence, regarding the examination of the baroreflex control of the sympathetic drive, it is also important to consider the baroreflex effectiveness (BEI) in addition to the assessment of the baroreflex sensitivity/gain. A delay of 1 beat between AP and RSNA seems to be the best timing between these two variables. Moreover, the applicability of this approach in humans is straightforward, and the simultaneous recording of SNA and AP can be performed. The application of the sequence method in these signals provided novel insights into the arterial baroreceptor reflex function in HF and may contribute to the understanding of the baroreflex control of sympathetic nerve activity in health and other diseases.

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## Additional information

### Competing interests

None declared.

### Author contributions

R.M.L., L.E.V.S., C.A.A.S., H.C.S. and R.F. were responsible for study conception and experimental design; R.M.L. and C.A.A.S. performed the experiments; R.M.L., L.E.V.S. and R.F. analysed and interpreted the data; R.M.L., L.E.V.S., H.C.S. and R.F. drafted the article; and R.M.L., L.E.V.S., C.A.A.S., H.C.S. and R.F. revised the article critically for important intellectual content. All authors have approved the final version of the manuscript and agreed to be accountable for all aspects of the work. All persons designated as authors qualify for authorship, and all those who qualify for authorship are listed.

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