# Repression of the yeast *HO* gene by the MATα2 and MATa1 homeodomain proteins

Jonathan R. Mathias, Sean E. Hanlon, Ruadhan A. O'Flanagan<sup>1</sup>, Anirvan M. Sengupta<sup>2</sup> and Andrew K. Vershon\*

Waksman Institute and Department of Molecular Biology and Biochemistry, 190 Frelinghuysen Road, <sup>1</sup>Department of Physics and Astronomy and <sup>2</sup>BioMaPS Institute, Rutgers University, Piscataway, NJ 08854-8020, USA

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# ABSTRACT

The HO gene in Saccharomyces cerevisiae is regulated by a large and complex promoter that is similar to promoters in higher order eukaryotes. Within this promoter are 10 potential binding sites for the  $a1-\alpha 2$ heterodimer, which represses HO and other haploidspecific genes in diploid yeast cells. We have determined that a1- $\alpha$ 2 binds to these sites with differing affinity, and that while certain strong-affinity sites are crucial for repression of HO, some of the weak-affinity sites are dispensable. However, these weak-affinity a1- $\alpha$ 2-binding sites are strongly conserved in related yeast species and have a role in maintaining repression upon the loss of strongaffinity sites. We found that these weak sites are sufficient for a1- $\alpha$ 2 to partially repress HO and recruit the Tup1-Cyc8 (Tup1-Ssn6) co-repressor complex to the HO promoter. We demonstrate that the Swi5 activator protein is not bound to URS1 in diploid cells, suggesting that recruitment of the Tup1-Cyc8 complex by a1-α2 prevents DNA binding by activator proteins resulting in repression of HO.

# INTRODUCTION

Regulation of transcription in the yeast *Saccharomyces cerevisiae* often occurs through relatively simple sets of promoter elements, usually located within a few hundred bases upstream of the transcription start site. In contrast to most yeast promoters, the *HO* gene is regulated by an atypically large, modular promoter containing an extensive array of binding sites for transcriptional regulators, which is similar to the promoters of higher eukaryotes (Figure 1A) (1–5). Activation of *HO* transcription factors to its promoter, which is initiated by a complex formed by two sequence-specific DNA-binding proteins, Swi5 and Pho2. *SWI5* encodes a Zinc-finger protein that is sequestered in the cytoplasm until late anaphase, when it enters the nucleus and activates the transcription of several

genes before being rapidly degraded (5–7). The Swi5–Pho2 complex binds to two sites at the distal end of the *HO* promoter, known as URS1 (Upstream Regulatory Sequence 1, see Figure 1A) (8). Upon binding to URS1, Swi5 recruits both the SWI/SNF chromatin remodeling complex and the Srb/Mediator (SRB/MED) complex to URS1 (6,9). The recruitment of these co-activator complexes to URS1 is followed by the degradation of Swi5 and the stepwise appearance at URS2 of the SAGA complex, Swi4/Swi6 and SRB/MED (6,9). This results in the recruitment of SRB/MED and RNA Polymerase II, in two distinct steps, to the *HO* TATA box (9,10) and subsequent *HO* transcription at the G1/S stage of the cell cycle (3). Expression of *HO* leads to a switch in mating type that is initiated by the HO endonuclease, which cleaves a specific DNA sequence at the *MAT* locus (11).

In addition to being expressed at a specific point in the cell cycle, HO transcription is restricted to the mother cells from a cell division (4). Expression of HO is repressed in daughter cells by Ash1, whose mRNA is asymmetrically localized to daughter cells during mitosis (12-14). Repression of HO in haploid daughters prevents mating-type switching in these cells. This allows for conjugation with its haploid mother cell that has switched mating type, forming a diploid cell (15). In heterozygous diploid  $MATa/MAT\alpha$  cells, transcription of *HO* is repressed by the MAT $\alpha$ 2 and MATa1 proteins (16). This prevents switching of one of the MAT loci, and the subsequent formation of homozygous diploids (MATa/MATa or  $MAT\alpha/MAT\alpha$ ). Such homozygous diploids cannot sporulate, yet are still able to mate with a haploid cell to form a heterozygous triploid cell, which would have problems such as chromosomal non-disjunction during meiosis. Repression of HO in heterozygous diploid cells is thereby an important process by which yeasts maintain three stable cell types: two haploid mating types and a non-mating heterozygous diploid.

The MAT $\alpha$ 2 and MATa1 proteins (hereafter referred to as  $\alpha$ 2 and a1, respectively) each contain a homeodomain, a DNAbinding motif that is conserved from yeast to humans (17).  $\alpha$ 2 and a1 form a heterodimer (a1- $\alpha$ 2) that cooperatively binds DNA in a sequence-specific manner (18). The a1- $\alpha$ 2 heterodimer represses several haploid-specific genes, including *HO*, in diploid cells (19).  $\alpha$ 2 also associates with another cofactor, Mcm1, to form a complex in haploid *MAT* $\alpha$  and diploid cells

<sup>\*</sup>To whom correspondence should be addressed. Tel: +1 732 445 2905; Fax: +1 732 445 5735; Email: vershon@waksman.rutgers.edu Present addresses:

Jonathan R. Mathias, University of Wisconsin, Department of Pediatrics, 2715 Medical Sciences Center, 1300 University Avenue, Madison, WI 53706, USA Sean E. Hanlon, University of North Carolina, Department of Biology, 203 Fordham Hall, Chapel Hill, NC 27599, USA



**Figure 1.** Position and sequence of  $\mathbf{a}_1 - \alpha_2$ -binding sites of the *HO* promoter. (A) A schematic map of the *HO* promoter is shown, divided into the URS1 and URS2 regions as indicated. The positions of  $\mathbf{a}_1 - \alpha_2$  sites (black boxes, numbered from 1 to 10), Swi5/Pho2 sites (gray boxes, designated A and B), and Swi4/Swi6 sites (white boxes) are shown. The scale bar indicates the number of base pairs from the start of the *HO* ORF. Also shown are PCR primer sets I (Figure 5B) and II (Figure 7), and the URS2 deletion used for strains in Figures 4 and 5. (B) Sequences of the  $\mathbf{a}_1 - \alpha_2$ -binding sites of the *HO* promoter (top strand only), aligned with a consensus  $\mathbf{a}_1 - \alpha_2$  site [from Jin *et al.* (32), both top and bottom strands shown]. The major-groove base-specific contacts made by residues of  $\alpha_2$  and  $\mathbf{a}_1$  are shown [from Li *et al.* (55)], with closed arrows indicating direct hydrogen bonds, a circled 'w' indicating water-mediated contacts and closed circles indicating van der Waals contacts. The T<sub>6</sub> and T<sub>18</sub> bases (in bold) were mutated for mutational analysis of the individual  $\mathbf{a}_1 - \alpha_2$  sites within the context of the *HO* promoter.

that represses mating-type **a**-specific genes, such as *STE6* (19). The **a**1- $\alpha$ 2 and  $\alpha$ 2-Mcm1 complexes repress transcription by the recruitment of the Tup1-Cyc8 (also known as Tup1-Ssn6) co-repressor complex (20,21), which has been shown to negatively regulate several functionally diverse sets of genes in yeast and have conserved homologs in higher order eukaryotes (22). Tup1-Cyc8 has been proposed to repress transcription through two mechanisms: (i) inhibitory interaction with SRB/MED (23–27) and (ii) the creation of a repressive chromatin environment through nucleosome positioning (28,29) and co-recruitment of histone deacetylases (30,31).

Sequence analysis of the HO promoter revealed 10 elements that shared similarity to known  $a_1-\alpha_2$ -binding sites from the MAT $\alpha 1$  and STE5 promoters (2). Comparison of these elements to the *MAT* $\alpha I$  and *STE5* **a**1- $\alpha$ 2-binding sites (2), along with mutational analysis of a consensus  $a_1-\alpha_2$ -binding site (32), predicted that some of the sites may be strong-affinity sites, while others may only be weakly bound, if at all. These predictions raise the question of whether these weaker sites are bound by the a1- $\alpha$ 2 heterodimer *in vivo*, and if they have a functional role in the cell. Our results demonstrate that the 10 a1- $\alpha$ 2 binding sites of the HO promoter exhibit varying degrees of binding affinity and repression on their own. Some of these weak-affinity  $a1-\alpha 2$  sites are strongly conserved among related yeasts and appear to play an auxiliary role to stronger affinity sites within the context of the genomic HO promoter. These findings illustrate how promoter architecture and the use of sites of varying affinities may be important regulators of transcriptional repression in the complex promoters of higher eukaryotes.

### MATERIALS AND METHODS

#### **Plasmids and yeast strains**

Heterologous *CYC1-lacZ* transcription reporter plasmids were made by inserting annealed oligonucleotides bearing an  $a_1-\alpha_2$ 

site with 5'-TCGA overhangs into the SalI site of pAV73 (2  $\mu$  *URA3*) (33). pYJ103, a pAV73-based *lacZ* transcription reporter containing the consensus **a**1- $\alpha$ 2 site, and pJM130, a *CEN LEU2* plasmid bearing *MAT* $\alpha$ , have been described previously (32,34).

pG333, bearing the HO open reading frame (ORF) and 4 kb of upstream non-coding sequence (35), was digested with MfeI and SapI, followed by Klenow fill-in and re-ligation to yield pJR067. The 734 bp SacI-BamHI fragment of pJR067 was subcloned into a derivative of pUC19 (which had been digested with HindIII and XbaI, the ends made blunt with Klenow polymerase and re-ligated to yield pJR081), yielding pJR082. Single  $a_1-\alpha_2$  sites were mutated in pJR067 and pJR082 by site-directed mutagenesis using the QuickChange kit (Stratagene). The mutations introduced at these a1- $\alpha$ 2 sites substitute both the T<sub>6</sub> and T<sub>18</sub> bases (as numbered in Figure 1B) to guanosine, as mutation of either al or  $\alpha 2$  half-site in this manner has been shown to prevent a1- $\alpha$ 2 binding (32). Note that in the case of the HO(8) site, only the  $T_{18}G$  mutation was constructed, as position 6 of the HO(8) site is cytosine, a base that has been shown to fully derepress transcription when at the corresponding position in an  $a_1 - \alpha_2$  consensus site (32). Multiple mutations were created by subcloning the SacI/SphI and SphI/BamHI fragments within pJR082-based plasmids, then subcloning the SacI/ BamHI fragments from these plasmids into pJR067 and its derivatives. URS2 was deleted in pJR067-based plasmids by digestion with SnaBI and Acc65I, after which the ends were made blunt with Klenow polymerase and re-ligated.

A plasmid-based 13xMyc-tagged version of Tup1 was made by subcloning the *TUP1* ORF into pNJ1547, a *CENTRP1* plasmid bearing a 13xMyc-tagged version of *SGS1* and a C-terminal multiple cloning site (a gift from Steven Brill) (36). The *TUP1* ORF and 750 bp of the *TUP1* 5'-untranslated region were PCR-amplified using primers containing either XhoI or XmaI sites, then subcloned (in frame) into XhoI/XmaI-digested pNJ1547 (thereby removing *SGS1*), yielding pJR134. Yeast strain AJ83 (*MATa ura3 his3 leu2 trp1*) was used for  $\beta$ -galactosidase assays and has been described previously (37). All other yeast strains are isogenic to W303 (*his3 ade2 trp1 ura3 leu2*) and its derivatives W1011-3B (*MATa HIS3 ade2*) and W1346-3C (*MATa his3 ADE2*).

To avoid differences in *HO* expression in mother and daughter cells of the strains being assayed, *ASH1* was deleted in W1011-3B and W1346-3C by PCR-mediated one-step gene disruption (38) using *LEU2*, yielding JRY101 and JRY102, respectively. Successful integration of the *ash1 A::LEU2* PCR fragment was confirmed by PCR using primers within the *LEU2* and *SPE1* (adjacent to the genomic *ASH1* locus) ORFs.

For genomic integration of mutant  $a_{1-\alpha 2}$  sites into the *HO* promoter, a 1.5 kb SspI fragment of pRS306, containing *URA3*, was ligated into NruI/BsaBI-digested pJR067 to create pJR068. A 2.3 kb BsaAI/KpnI fragment from pJR068 was then used to replace *HO* with *URA3* by homologous recombination in JRY101 and JRY102, yielding JRY113 and JRY114, respectively. pJR067 and its derivatives were digested with AvaII to yield 3.7 kb (3.1 kb for URS2 deletions) fragments that were then used to replace  $ho \Delta$ ::*URA3* by homologous recombination in both JRY113 and JRY114. A complete list of the strains used in these experiments is available on request.

Diploid strains were constructed by crossing strains of opposite mating type (all either  $MAT\alpha$  HIS3 or MATa ADE2) on YEPD for 8 h at 30°C, followed by selection for diploids on SD-his-ade. Diploidy (as well as proper transcriptional repression by a1- $\alpha$ 2) was confirmed prior to each *in vivo* assay by the inability of each strain to mate (38).

For the time-course chromatin immunoprecipitation (ChIP) experiments (Figure 7), the *MATa* strain K8144 (6) was made pseudo-diploid by the integration of *MATa* at *URA3*. This was performed by ligating a 6 kb BglI fragment (containing *MATa*) from pJM130 to a 2.6 kb BglI fragment (containing *URA3*) of pRS306 (39), yielding pJR120. XcmI-digested pJR120 was then integrated at *URA3* of K8144 by standard techniques, yielding JRY200 (*MATa*, *URA3*::*MATa*).

### β-galactosidase assays

Strain AJ83 was transformed with pJM130, then with *CYC1-lacZ* reporter plasmids containing different **a**1- $\alpha$ 2-binding sites. Three independent transformants were cultured in selective media to maintain all transformed plasmids, and liquid  $\beta$ -galactosidase assays were performed as described previously (40).

## Electrophoretic mobility shift assays (EMSA)

EMSAs were performed as previously described using purified  $\alpha 2_{128-210}$  and **a** $1_{66-126}$  protein fragments and <sup>32</sup>P-labeled PCR products amplified from pAV73-based *CYC1-lacZ* reporter plasmids (41).

### S1 nuclease assays

RNA was isolated from logarithmically growing yeast cells by hot acid phenol extraction (38). S1 nuclease assays were performed as in (38); briefly, 40  $\mu$ g of RNA was mixed with <sup>32</sup>P-labeled oligonucleotides (2.5 × 10<sup>5</sup> c.p.m. per sample) corresponding to regions of the *HO* and *ACT1* ORFs (8), denatured at 75°C for 10 min, then allowed to anneal overnight at 55°C. S1 nuclease (AmershamPharmacia Biotech) was added (160 U per sample) and followed by digestion for 1 h at 37°C. Non-digested probe was then recovered by ethanol precipitation and electrophoresed on an 8% polyacrylamide/42% (w/v) urea/TBE gel. Autoradiographs were viewed and quantified using a Storm PhosphorImager and ImageQuant Mac v.2 software.

## ChIP assays

ChIP assays of asynchronous cultures (Figures 5B and 6) were performed as described previously (41) using a polyclonal antibody to  $\alpha 2$  (a gift from Sandy Johnson) and, for Tup1-Myc13, a monoclonal antibody to the c-Myc epitope (Babco). For ChIPs of Tup1 (Figure 6), cultures were crosslinked in formaldehyde (1% final concentration) for 90 min, which was determined to be necessary to detect Tup1. For the time-course ChIP experiments (Figure 7), cultures of K8144 or JRY200 were grown to an  $OD_{600}$  of 0.4 in YEP media containing 2% raffinose and 2% galactose, then for 4 h in YEP media containing only 2% raffinose to arrest the cells in mitosis as described previously (9). After the addition of 2% galactose, samples were taken at timed intervals and processed for ChIP analysis as described previously (41), except that fixation was stopped by the addition of glycine to 125 mM, followed by incubation at room temperature for 5 min. Cell lysates were then split into two fractions for immunoprecipitations of  $\alpha 2$ , using a polyclonal antibody to  $\alpha 2$  as before, and Swi5-Myc<sub>9</sub>, using a monoclonal antibody to the c-Myc epitope (Babco). DNA from total chromatin and immunoprecipitation samples was purified and used as a template for multiplex PCR. In general, 1/50th-1/ 25th of the immunoprecipitated DNA was used as a template in PCRs consisting of 25 cycles. In order to conclusively test for the presence or absence of a signal by a particular sample, higher concentrations of template and/or PCRs consisting of 30 cycles were used. All ChIP results were judged according to conditions that resulted in the absence of amplification by one or two negative control primer sets, as detailed in the results.

# **Computational analysis**

Genomic sequences from *S.cerevisiae*, *S.bayanus*, *S.mikatae*, *S.paradoxus* and *S.kudriavzevii* were obtained from the *Saccharomyces* Genome Database (www.yeastgenome.org) (42,43). A segmented multiple sequence alignment of the *HO* promoter region from the five species was performed using the DIALIGN 2 program (44–46). A measure of the significance for the alignment of the sites was then constructed by taking the alignment scores and averaging over each base pair in the site. The background probability of the average alignment scores was calculated by a similar analysis on two other regions of the genome, a region 2000–3000 bp upstream of *HO* and a region spanning positions 81213–83471 on chromosome IV which is 800 bp from the nearest annotated gene.

# RESULTS

# Analysis of isolated $a1-\alpha 2$ -binding sites of the *HO* promoter

To assess the relative strengths of each of the 10 predicted  $a_{1-\alpha_2-binding}$  sites found in the *HO* promoter, each individual site was cloned between the UAS<sub>CYC1</sub> and TATA box of a



**Figure 2.** Analysis of isolated *HO* **a**1- $\alpha$ 2 sites reveals differential binding affinity. (A)  $\beta$ -galactosidase assay of heterologous *CYC1-lacZ* reporters with the indicated **a**1- $\alpha$ 2 binding sites (x-axis) inserted between the UAS and TATA box of *CYC1*. Reporters were assayed in a *MATa* strain bearing a plasmid-based copy of *MAT* $\alpha$ , with  $\beta$ -galactosidase expression (y-axis) indicated in Miller units (min<sup>-1</sup> ml<sup>-1</sup>). (B) EMSAs using <sup>32</sup>P-labeled oligonucleotide probes corresponding to the **a**1- $\alpha$ 2 sites of URS1. Probes were incubated with either no protein (lanes 1, 8, 15 and 22), 8.2 × 10<sup>-9</sup> M  $\alpha$ 2<sub>128-210</sub> (lanes 2, 9, 16 and 23), or 2.8 × 10<sup>-9</sup> M **a**1<sub>66-126</sub> (lanes 3, 10, 17 and 24). For lanes 4–7, 11–14, 18–21 and 25–28, **a**1<sub>66-126</sub> was kept at a constant concentration of 2.8 × 10<sup>-9</sup> M, while  $\alpha$ 2<sub>128-210</sub> was serially diluted 5-fold from 8.2 × 10<sup>-9</sup> M (lanes 4, 11, 18 and 25) to 6.6 × 10<sup>-11</sup> M (lanes 7, 14, 21 and 28).

plasmid-based *CYC1-lacZ* reporter. The level of repression for each reporter was monitored by liquid  $\beta$ -galactosidase assay in a *MATa* strain transformed with a *CEN* plasmid bearing *MATa* (34). As shown in Figure 2A, the relative strength of each isolated **a**1- $\alpha$ 2 site from the *HO* promoter generally agrees with predictions based on the sequence of each binding site (2,32). Several sites, HO(10), HO(9), HO(6), HO(4) and HO(3), exhibit very strong **a**1- $\alpha$ 2 site. The remaining sites demonstrate considerably weaker levels of repression, from nearly non-functional [HO(8) and HO(1)] to moderate strength [HO(7), HO(5) and HO(2)] when compared with a reporter plasmid lacking an **a**1- $\alpha$ 2 site.

To assess whether the levels of repression correlate with  $a1-\alpha 2$  DNA-binding affinity *in vitro*, the four  $a1-\alpha 2$ -binding sites from URS1 were used as probes for EMSAs using purified  $\alpha 2$  and a1 proteins. The results of these assays (Figure 2B) correlate well with the *in vivo* repression data, as the  $a1-\alpha 2$  heterodimer binds strongly to sites HO(10) and HO(9), while binding weakly to HO(7) and very little to HO(8). This *in vitro* data thereby confirms the presence of strong  $a1-\alpha 2$ -binding sites [HO(10) and HO(9)] as well as weak sites [HO(8) and HO(7)] within URS1.

#### Phylogenetic analysis of HO promoter sequences

Since several of the predicted  $a_{1-\alpha 2}$  sites in the *HO* promoter only weakly repress transcription on their own and are not bound with strong affinity by the complex, this raises the question of whether these sites have a functional role in the context of the HO promoter. One approach to address this question is to determine whether these sites are conserved in related yeast species (42,43,47). Conservation of these sequences would suggest that they have a functional role in the cell.

We compared the conservation of the sites in the HO promoter among five sequenced Saccharomyces sensu stricto (S.cerevisae, S.bayanus, S.mikatae, S.paradoxus and S.kudriavzevii) using the DIALIGN 2 multiple sequence alignment program (44). The average alignment scores for these sites were compared against the distribution of scores generated by the same analysis of two other non-ORF regions in the genome. The resulting distribution of scores for both the HO promoter and the other regions fits an exponential function (Figure 3). The average scores of many of the HO sites are in the tail of the distribution and 7 of the 10  $a_1$ - $\alpha_2$  sites appeared to be well conserved among the different species. As might be expected, several of the strong-repressor sites, such as HO(3), HO(4) and HO(10), are highly conserved among the different species and have high scores in the analysis. In contrast, HO(1)and HO(2), which were weak repressor sites on their own, showed significantly lower values in the analysis. In addition, the conservation of the HO(5) site, which is also a weak repressor site on its own, is borderline, with a 2.4% significance. Interestingly, the two other weak repressor sites, HO(7)and HO(8), are strongly conserved among the different yeasts.



**Figure 3.** Conservation of the  $\mathbf{a}\mathbf{1}$ - $\alpha\mathbf{2}$  sites in related species of yeast. A segmented multiple sequence alignment was performed for the *HO* promoter region and two control regions from *S.cerevisiae*, *S.bayanus*, *S.mikatae*, *S.paradoxus* and *S.kudriavzevii*. The chart shows the background number of occurrences of the average alignment scores for 20 bp segments in a 3000 bp neutral region close to the *HO* gene. The average alignment scores for the 10 predicted  $\mathbf{a}\mathbf{1}$ - $\alpha\mathbf{2}$  sites are shown. A high average alignment score indicates the site is strongly conserved in the different yeast species.

In fact, these sites appear to be more highly conserved than HO(4), HO(6) and HO(9), which are all strong  $a_1-\alpha_2$  repressor sites. The fact that HO(7) and HO(8) are highly conserved strongly suggests that they have a functional role in regulating the *HO* promoter, even though they only weakly function on their own.

# Analysis of a1- $\alpha$ 2-binding sites within the intact *HO* promoter

The presence of conserved weak-affinity  $a_1-\alpha_2$  sites within URS1 led to the question of their contribution to repression of HO. We therefore constructed integrated mutant versions of each of the  $a_1-\alpha_2$  sites of URS1 within the context of the full *HO* promoter. The mutations introduced at these  $a_1-\alpha_2$  sites substituted key bases that are absolutely required for DNA binding by  $\alpha 2$  and **a**1 (T<sub>6</sub>G and T<sub>18</sub>G mutations, respectively, as numbered in Figure 1B) (32). These mutations disrupt the Asn51-adenine contact demonstrated by all homeodomains (17), and each mutation on its own has been shown to completely abrogate repression by  $a_1-\alpha_2$  when introduced into a consensus  $a_1-\alpha_2$  site (32). Mutant sites were integrated into the genome at the HO locus of haploid MATa and MATa strains by homologous recombination. All of the mutant haploid strains expressed HO mRNA at levels comparable to the wild-type haploid strains, indicating that the mutations in the a1- $\alpha$ 2 sites did not affect the HO expression (data not shown). Haploid strains were then mated to form diploid strains that were then assayed for the expression of HO mRNA by S1 nuclease digestion.

We first examined the effect of mutation of the strong  $a1-\alpha 2$ sites HO(10) and HO(9) within the context of the full *HO* promoter. Mutation of these sites, alone or in combination, did not derepress *HO* transcription in diploid cells, presumably due to several strong  $a1-\alpha 2$  sites within URS2 (data not shown). We therefore constructed the same  $a1-\alpha 2$  site mutants in the context of the HO promoter with a large portion of URS2 deleted, as indicated in Figure 1A. This deletion of URS2 leaves intact one Swi4/Swi6 binding site and the HO(1) **a**1- $\alpha$ 2 site, the latter of which was demonstrated to be nearly non-functional on its own (Figure 2A). With URS2 deleted, HO is expressed in haploid strains at the same levels as with the wild-type promoter, and completely repressed in the diploid state (Figure 4) (data not shown). Mutation of either HO(10) or HO(9) in this context caused slight, but significant, derepression of HO transcription in diploid cells. Furthermore, mutation of both sites caused an increase in HO mRNA in comparison with either single mutant. The level of HO expression seen in the HO(10)/HO(9) double mutant was not as high as observed in haploid cells (Figure 4, compare lane 8 with lanes 1 and 2), which suggested that the weaker sites of URS1, HO(8) and HO(7) contribute to HO repression. Mutation of either of these sites on their own caused no observable derepression of HO transcription (Figure 4, lanes 6 and 7), and mutation of both had no further effect (Figure 5A, lane 3). The HO(8) and HO(7) mutations had little effect in combination with the HO(10) mutant (Figure 4, compare lane 4 with lanes 9 and 10), and the HO(8) mutation did not have a significant effect in combination with the HO(9) mutant (Figure 4, compare lane 5 with lane 11). However, the HO(9)/HO(7) double mutation shows a significant increase in the production of HO mRNA, to a level even greater than that of the HO(10)/HO(9) double mutant (Figure 4, compare lane 8) with lane 12).

To further assess the contribution of the weak-affinity sites HO(8) and HO(7), we mutated these sites in the context of the HO(10)/HO(9) double mutant. Mutation of either HO(8) or HO(7) shows further derepression of the promoter in this background (Figure 5A, compare lane 5 with lanes 7 and 8). This suggests that the two weak-affinity  $a1-\alpha 2$  sites of URS1 can still partially repress *HO* transcription together, yet are unable to do so on their own. Note that in the HO(10)/HO(9) double mutant background, there is no contribution to repression by the HO(1) site, as mutation of this site did not derepress *HO* transcription any further (Figure 5A, lane 6).

We also assayed for  $a_1-\alpha_2$  binding to URS1 in these mutant strains by ChIP, using a polyclonal antibody to  $\alpha 2$  and PCR primer set I (Figure 1A), which amplifies a region of the promoter from sites HO(9) to HO(7). As internal controls for these assays, we used primer sets that amplify the  $\alpha$ 2-Mcm1 site of the *STE6* promoter, which is bound by  $\alpha$ 2 in both haploid and diploid strains, and a portion of the YDL223c ORF, which is 10 kb away from HO and not bound or regulated by  $\alpha 2$  in any known manner. As seen in Figure 5B,  $\alpha 2$  is present at URS1 in a wild-type diploid strain while being completely absent in a haploid  $MAT\alpha$  strain. Furthermore, there is roughly a wild-type level of  $\alpha 2$  at URS1 when both HO(8) and HO(7) are mutated (Figure 5B, lane 3). Somewhat surprisingly, we did not observe amplification above background (i.e. the negative control band) for the HO primer set in any strain bearing the HO(10)/HO(9) mutation (Figure 5B, lanes 5-8), while observing further derepression with additional mutations to sites HO(8) or HO(7) (Figure 5A, lanes 5-8). This suggests that while HO(8) and HO(7) are still able to partially repress HO with URS2 deleted, the level of in vivo DNA binding by  $a_1-\alpha_2$  at these sites may be too low for detection by ChIP.



**Figure 4.** In vivo analysis reveals contributions of weak-affinity  $\mathbf{a}1$ - $\alpha 2$  sites. S1 nuclease assays were performed using <sup>32</sup>P-labeled oligonucleotide probes that hybridize to the mRNA of either *HO* or *ACT1*, the latter of which was used as a loading control. All strains, both haploid and diploid, are *ash1* $\Delta$ ::*LEU2* and the URS2 region of *HO* was deleted as indicated in the schematic map of the *HO* promoter. The positions of  $\mathbf{a}1$ - $\alpha 2$  sites (black boxes), Swi5/Pho2 sites (gray boxes, designated A and B), and Swi4/Swi6 sites (white boxes) are shown. The status of each  $\mathbf{a}1$ - $\alpha 2$  site (shown at the left) is indicated as wild-type (+) or mutant (-), as described in the text. Below each sample is the normalized *HO/ACT1* expression ratio (the average of at least two independent assays), expressed as a percentage of the wild-type *MATa* strain. Wild-type repression of the promoter (lane 3) thereby results in 1.5% the level of *HO* expression compared with the derepressed promoter (lane 2).

#### ChIP of Tup1 in a1- $\alpha$ 2 site mutant strains

Despite the inability to detect  $\alpha 2$  recruitment through sites HO(8) and HO(7), the observation that  $a_1-\alpha_2$  can partially repress the expression through these sites suggested that the Tup1-Cyc8 complex may still be recruited to URS1 in strains lacking the strong-affinity sites HO(10) and HO(9). Since Tup1-Cyc8 binds to the amino-terminal tails of histores H3 and H4 (48), it is possible that  $a_1-\alpha_2$  can bind to the weak sites HO(8) and HO(7) at a level that is too low for detection by ChIP, but sufficient to recruit Tup1-Cyc8 to the promoter. We therefore utilized a plasmid-based, Myc13-tagged version of Tup1 to monitor Tup1 binding at URS1 by ChIP. Interestingly, while  $\alpha 2$  was not detectable at URS1 when both sites HO(10) and HO(9) are mutated, Tup1 was detectable above the level of a blank control (Figure 6, compare lane 2 with lanes 3-6). Tup1-Myc<sub>13</sub> was detected at URS1 when both HO(8) and HO(7) were left intact (Figure 6, lane 4), and when only HO(7)remained (Figure 6, lane 5). A faint band can still be seen at HO when only HO(8) is intact (Figure 6, lane 6), suggesting that Tup1-Cyc8 can still be recruited by  $a_1-\alpha_2$  weakly binding at this site. Although it is possible that  $a_1-\alpha_2$  could recruit Tup1-Cyc8 through site HO(1), it should be noted that mutation of this site had no effect in combination with mutations in sites HO(10) and HO(9) (Figure 5, compare lane 5 with lane 6), whereas mutations to HO(8) and HO(7) in this context exhibited derepression (Figure 5, compare lane 5 with lanes 7 and 8).

# ChIP of HO regulators following cell cycle arrest

Having established that HO is repressed by  $a1-\alpha 2$  binding to sites of varying affinity, we next performed assays to address the mechanism by which HO is repressed by  $\mathbf{a}1$ - $\alpha 2$  and the Tup1-Cyc8 co-repressor. To elucidate the mode of repression, we performed ChIP experiments to determine whether the Swi5 activator is able to bind to the HO promoter in the presence of  $a1-\alpha 2$  and its associated co-repressors. While Swi5 DNA-binding is not detectable at HO in asynchronous cultures, the brief binding of Swi5 to URS1 during the late anaphase stage of the cell cycle can be detected in synchronized haploid cells released from a block in the cell cycle (6). To monitor Swi5 binding by ChIP, we utilized a strain bearing a Myc<sub>9</sub>-tagged Swi5 that also contains a galactose-inducible CDC20 to arrest the cells in G2 before mitosis (6). We made this *MATa* strain pseudo-diploid by integrating a copy of MAT $\alpha$  at URA3. A culture of this strain was arrested and then aliquots for ChIP analysis were taken at timed intervals following release from the cell cycle block. Microscopic scoring of each time point indicated that the cells were synchronously released from the arrest and proceeded through the cell cycle (data not shown). The ChIP assays showed that the  $\alpha$ 2 protein bound to both the *HO* and *STE6* promoters through all stages of the assay (Figure 6). In contrast, the Swi5-Myc<sub>9</sub> ChIP did not generate a significant band corresponding to the *HO* promoter for any of the collected time points, suggesting that Swi5-Myc<sub>9</sub> was not binding to the promoter. As a positive



**Figure 5.** Further *in vivo* analysis of weak-affinity **a**1- $\alpha$ 2-binding sites. Cultures of the indicated strains were grown to mid-logarithmic phase and divided for S1 nuclease assays and ChIP. (A) A schematic is shown indicating the relative positions of the *HO* regulatory sites and the region of promoter deleted in the construct used for these experiments. S1 nuclease assays of purified RNA, as described in Figure 4. Below each sample is the normalized *HO/ACT1* expression ratio (the average of at least two independent assays) expressed as a percentage of the wild-type *MAT\alpha* strain. (B) ChIP of  $\alpha$ 2.  $\alpha$ 2 was immunoprecipitated from formaldehyde-crosslinked cultures using a polyclonal antibody to  $\alpha$ 2. After reversing the crosslinks, purified DNA was used as a template for multiplex PCR using primer set I (see Figure 1A) and primers that amplify the *STE6* promoter (as a positive control for  $\alpha$ 2 in both haploid and diploid strains) and part of the *YDL223c* ORF (as a negative control). The first lane (unnumbered) is a PCR from template of Total Chromatin (TC) sample of DNA purified from a whole cell lysate. Lanes numbered 1–11 are PCRs from templates of immunoprecipitated DNA of the same lanes in (A). All strains are *ash1*Δ::*LEU2* and ΔURS2 (as indicated in Figure 1A), except for the wild-type *MAT\alpha*, which does not have URS2 deleted.



**Figure 6.** ChIP of Tup1 at the *HO* promoter. Diploid cultures of strains bearing either a blank *CEN TRP1* plasmid (lane 2) or pJR134 (Tup1-Myc<sub>13</sub>, lanes 3–6) were grown to mid-logarithmic phase and crosslinked for ChIP analysis. Cell lysates were divided for ChIP of Tup1-Myc<sub>13</sub> and  $\alpha$ 2. After reversing the crosslinks, purified DNA was used as a template for multiplex PCR using primer set I (see Figure 1A) and primers that amplify the *STE6* promoter (as a positive control for  $\alpha$ 2 and Tup1) and part of the *YDL223c* ORF (as a negative control). Lane 1, PCR from template of Total Chromatin (TC) sample of DNA purified from a whole cell lysate. Lanes 2–6, PCR from templates of DNA immunoprecipitated using antibodies to c-Myc (Top, for Tup1-Myc<sub>13</sub>) and  $\alpha$ 2. (Bottom). All strains are *ash1*Δ::*LEU2* and ΔURS2 (as indicated as wild-type (+) or mutant (-), as in Figure 4.



Figure 7. Swi5 does not bind to the HO promoter in the presence of  $a1-\alpha 2$ . (A) A culture of JRY200 (MATa, URA3::MATα, GAL1,10-CDC20, Swi5-Myc<sub>9</sub>) was arrested in mitosis by growth in media lacking galactose, then released by the addition of galactose. Aliquots were taken at the indicated times and crosslinked for ChIP. Cell lysates were divided for ChIP of Swi5-Myc9 and  $\alpha$ 2. After reversing the crosslinks, purified DNA was used as a template for multiplex PCR using primer set II (see Figure 1A) and primers that amplify the STE6 (as a positive control for  $\alpha 2$ ) and EGT2 (as a positive control for Swi5) promoters. Primer sets that amplify portions of the YDL238c and YDL223c ORFs (the top and bottom primer sets, respectively, in each lane) were used as negative controls. Lane 1, PCR from template of Total Chromatin (TC) sample of DNA purified from a whole cell lysate. Lanes 2-9, PCR from templates of DNA immunoprecipitated using antibodies to c-Myc (Top, for Swi5-Myc9) and α2 (Bottom). (B) A culture of the haploid K8144 (MATa, GAL1,10-CDC20, Swi5-Myc<sub>9</sub>) strain was arrested in mitosis and processed for ChIP with antibodies to c-Myc as described in (A).

control for Swi5-Myc9 immunoprecipitation, we also examined the EGT2 promoter, which is activated by Swi5 and is not repressed in diploid cells (49,50). The ChIPs showed that Swi5-Myc<sub>9</sub> bound to the EGT2 promoter in a manner that was temporally consistent with previous observations of Swi5 DNA binding in vivo (9), indicating that a Swi5-Myc<sub>9</sub> ChIP was detectable in this strain and that the cells were properly synchronized. Immunoprecipitated DNA from time points that demonstrate Swi5-Myc9 binding to the EGT2 promoter were subjected to several different PCR conditions (see Materials and Methods) to examine binding at HO, but no signal above background was obtained (data not shown). We also repeated the assay with the haploid strain (K8144) that was used to make the pseudo-diploid strain (JRY200) and observed Swi5-Myc<sub>9</sub> binding at URS1 in a manner consistent with published results (Figure 7B) (6,9). These assays suggest that  $a_1 - \alpha_2$  and its associated co-repressors prevent, or greatly reduce Swi5 binding at URS1.

# DISCUSSION

Transcriptional regulation through a complex promoter is an important process by which eukaryotic cells can coordinate several signals to express genes according to various intracellular and extracellular cues (51). The yeast HO promoter is an excellent model for this process, as it coordinates the signals of several sequence-specific DNA-binding proteins to regulate HO transcription according to cell-type and stage in the cell-cycle. HO is repressed in diploid yeast cells by  $a_1-\alpha_2$ heterodimer, which regulates several haploid-specific genes by binding to specific sequences within their promoters and subsequently recruiting the Tup1-Cyc8 co-repressor complex. Miller et al. (2) identified 10 potential binding sites for the a1- $\alpha$ 2 complex within the HO promoter, and we have shown that  $a_1 - \alpha_2$  binds to these sites with widely varying affinities. Our data show that the initial events of HO activation at URS1 are repressed by  $a_1 - \alpha_2$  binding mainly at the strong-affinity sites HO(10) and HO(9). While the weak-affinity sites HO(8)and HO(7) are dispensable for this process, these sites can partially repress HO (with URS2 deleted) in the absence of stronger affinity  $\mathbf{a}_1 - \alpha_2$  sites, indicating an auxiliary role for these sites. Both weak sites in URS1 are required for this partial repression (Figure 5), suggesting a level of cooperation between the two sites. We found that either of these weak sites can still recruit the Tup1-Cyc8 corepressor complex to the HO promoter in the absence of detectable occupancy by  $a_1-\alpha_2$ (Figure 6), suggesting that  $a1-\alpha 2$  need only transiently bind to these sites to recruit Tup1-Cyc8. This observation is not unprecedented, as Swi5 binds to URS1 too quickly to be observed in asynchronous cultures, yet sufficiently to recruit the SWI/SNF co-activator complex (6). Our data suggest that while Tup1-Cyc8 is recruited by  $a_1-\alpha_2$  bound to these weak sites, it may not be recruited at a level sufficient to prevent activation of HO by Swi5 and SWI/SNF. It is interesting to speculate a wider role for  $a1-\alpha 2$  in the regulation of genes whose promoters contain weak-affinity sites that deviate from an  $a1-\alpha 2$  consensus site (32). Weak-affinity  $a1-\alpha 2$  sites that may not be readily identified by conventional computational searches may still serve to partially repress or attenuate the transcription of several genes. Indeed, we have recently demonstrated that the promoters of the *PDE1* and *MET31* genes appear to have  $\mathbf{a}_{1-\alpha 2}$  repressor sites that are weakly bound by the complex and may partially repress transcription *in vivo* (52). If weak-affinity sites were functionally important, then one would expect that they would be conserved in related yeast species. Our finding that HO(7) and HO(8) are more highly conserved than many of the stronger repressor sites supports the model that they have a role in *HO* regulation. Weak  $\mathbf{a}_{1-\alpha 2}$  binding sites in other promoters, such as *PDE1* and *MET31*, and even weaker sites in the *LSM1* and *REX2*, are strongly conserved in related yeast species, suggesting these may have also role in fine tuning expression of these genes in diploid cells [R.A.O'F. and A.M.S., unpublished data; (52)].

The placement of weak-affinity  $a_1-\alpha_2$  sites around Swi5-Pho2 site B (Figure 1A) may also underscore the importance of this element in activation of HO transcription. Mutation of Swi5-Pho2 site B has been shown to cause a more severe defect in HO expression than mutation of site A, indicating a greater contribution to the activation of HO transcription by site B (8). Furthermore, Swi5 and Pho2 bind cooperatively to site B, while only binding in an additive manner to site A (8). Although the  $a1-\alpha 2$  HO(7) and HO(8) sites are not absolutely required for HO repression in S.cerevisiae, the conserved placement of these sites around Swi5-Pho2 site B may serve to maintain repression of the promoter in yeast strains, such as S.pastorianus and S.bayanus, lacking one or even both of the stronger sites in URS1 (44). Our data have shown that mutation of either HO(10) or HO(9) weakly derepresses an HO promoter with URS2 deleted. However, the promoter is strongly derepressed when these mutations are made in combination with mutations of the HO(7) and HO(8) sites. The placement of the HO(7) and HO(8) sites on either side of the Swi5/Pho2 activator site may explain why HO(9) is not as highly conserved among the different yeasts as some of the other sites.

While the weak-affinity  $a1-\alpha 2$  sites of URS1 serve an auxiliary role in repression of *HO* in yeast, their presence may give clues to potential functions for such sites in higher order eukaryotes. Many developmental processes feature the formation of gradients of transcriptional regulators that result in boundaries of gene expression and pattern formation (53,54). The placement of weak-affinity repressor binding sites near a strong-affinity site may allow for sustained repression with limiting concentrations of the repressor, whereas genes regulated only by a strong-affinity site may be derepressed. This mechanism could be another level of attenuation of transcriptional regulation, and may further define gene expression boundaries near the end of a gradient of a repressor protein.

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