

Biases in Recommendations for and Acceptance of Prostate Biopsy Significantly Affect Assessment of Prostate Cancer Risk Factors: Results From Two Large Randomized Clinical Trials

Catherine M. Tangen, Phyllis J. Goodman, Cathee Till, Jeannette M. Schenk, M. Scott Lucia, and Ian M. Thompson Jr

See accompanying editorial on page 4310

Catherine M. Tangen, Phyllis J. Goodman, Cathee Till, and Jeannette M. Schenk, Fred Hutchinson Cancer Research Center, Seattle, WA; M. Scott Lucia, University of Colorado Denver School of Medicine, Denver, CO; and Ian M. Thompson Jr, The Cancer Therapy and Research Center, University of Texas Health Science Center at San Antonio, San Antonio, TX.

Published online ahead of print at www.jco.org on October 31, 2016.

Supported by National Institutes of Health, National Cancer Institute Grants UM1 CA182883, P30 CA065174, U01 CA086402, U10 CA37429.

Authors' disclosures of potential conflicts of interest are found in the article online at www.jco.org. Author contributions are found at the end of this article.

Clinical trial information: NCT00006392.

Corresponding author: Catherine M. Tangen, PhD, Fred Hutchinson Cancer Research Center, 1100 Fairview Ave N, M3-C102, Seattle, WA 98109-1024; e-mail: ctangen@fredhutch.org.

© 2016 by American Society of Clinical Oncology

0732-183X/16/3436w-4338w/\$20.00

DOI: 10.1200/JCO.2016.68.1965

A B S T R A C T

Purpose

To identify factors related to who undergoes a prostate biopsy in a screened population and to estimate the impact of biopsy verification on risk factor–prostate cancer associations.

Patients and Methods

Men who were screened regularly from the placebo arms of two large prostate cancer prevention trials (Prostate Cancer Prevention Trial [PCPT] and Selenium and Vitamin E Cancer Prevention Trial [SELECT]) were examined to define incident prostate cancer cohorts. Because PCPT had an end-of-study biopsy, prostate cancer cases were categorized by a preceding prostate-specific antigen/digital rectal examination prompt (yes/no) and noncases by biopsy-proven negative status (yes v no). We estimated the association of risk factors (age, ethnicity, family history, body mass index, medication use) with prostate cancer and quantified differences in risk associations across cohorts.

Results

Men 60 to 69 years of age, those with benign prostatic hyperplasia, and those with a family history of prostate cancer were more likely, and those with a higher body mass index (≥ 25), diabetes, or a smoking history were less likely, to undergo biopsy, adjusting for age and longitudinal prostate-specific antigen and digital rectal examination. Medication use, education, and marital status also influenced who underwent biopsy. Some risk factor estimates for prostate cancer varied substantially across cohorts. Black (v other ethnicities) had odds ratios (ORs) that varied from 1.20 for SELECT (community screening standards, epidemiologic-like cohort) to 1.83 for PCPT (end-of-study biopsy supplemented with imputed end points). Statin use in SELECT provided an OR of 0.65 and statin use in PCPT provided an OR of 0.99, a relative difference of 34%.

Conclusion

Among screened men enrolled in prostate cancer prevention trials, differences in risk factor estimates for prostate cancer likely underestimate the magnitude of bias found in other cohorts with varying screening and biopsy recommendations and acceptance. Risk factors for prostate cancer derived from epidemiologic studies not only may be erroneous but may lead to misdirected research efforts.

J Clin Oncol 34:4338-4344. © 2016 by American Society of Clinical Oncology

INTRODUCTION

Prostate cancer is ubiquitous in aging men. Taking the lives of approximately 27,500 men annually, it is the second most common cause of cancer death in men.¹ Strategies to control prostate cancer include prevention, screening, and improved treatment. Because of the prevalence of prostate cancer in the general population and the lower rate of

high-risk, high-grade cancer, cancer prevention and early detection must incorporate disease risk factors into implementation strategies.

Risk factors are often identified in epidemiologic studies, and conclusions are then implemented, population-wide, without confirmatory trials.² Challenges to epidemiologic studies include the high prevalence of biopsy-detectable prostate cancer in aging men and the fact that prostate cancer is usually asymptomatic until metastatic. As

a result, if a risk factor, even one unassociated with prostate cancer, is incorporated into clinical practice, it will be found to increase cancer risk because men with the risk factor will be more likely to undergo screening; thereafter, screen-positive men will be more likely to be recommended for and undergo biopsy. Ultimately, men with the risk factor will then be more likely to be diagnosed with prostate cancer.

Figure 1 represents the numerous steps necessary for a man to be detected with prostate cancer in a screening setting. In the absence of any one of these steps, the diagnosis might not be made.

Using two large, prospective, cancer prevention trials, in one of which intensive disease ascertainment was conducted, we first sought to identify the factors related to which men are more likely to undergo prostate biopsy and second, to explore the impact of biopsy detection bias on commonly reported prostate cancer risk factors.

PATIENTS AND METHODS

The Prostate Cancer Prevention Trial (PCPT) included an end-of-study (EOS) biopsy for all men regardless of prostate-specific antigen (PSA) levels or digital rectal examination (DRE), thereby minimizing detection bias. The Selenium and Vitamin E Cancer Prevention Trial (SELECT) incorporated community standards for screening and biopsy; there were no study requirements for biopsy. However, longitudinal PSA and DRE data, information about conducted biopsies, and prostate cancer diagnoses were collected. We defined cohorts across the two trials allowing comparisons of odds ratios (ORs). Details of the four cohorts follow. The comparisons provide insights into the impact of biopsy detection bias on prostate cancer risk estimates.

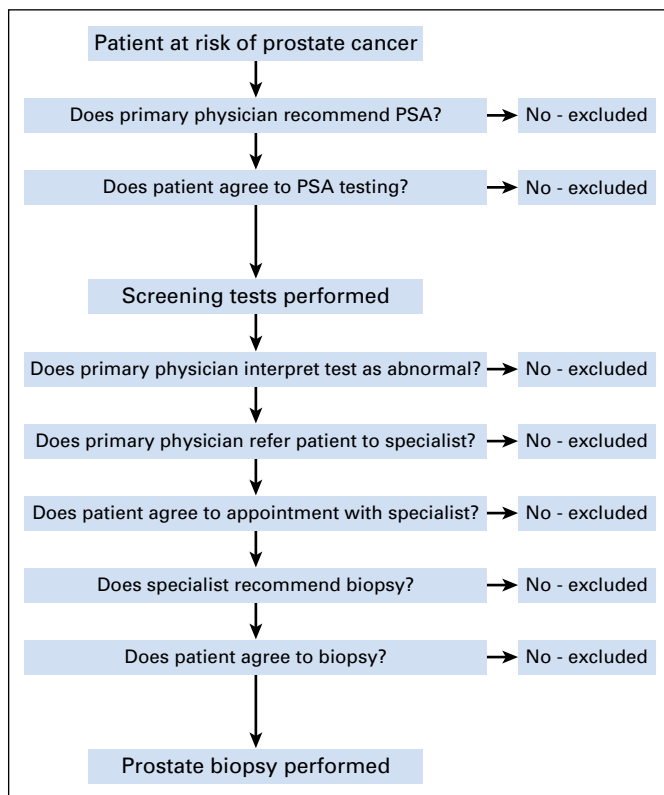


Fig 1. Steps required for a man to undergo a prostate biopsy. PSA, prostate-specific antigen.

PCPT

PCPT randomly assigned 18,880 eligible men to placebo or finasteride, between 1994 and 1997, with a primary end point of period prevalence of prostate cancer after 7 years. Details of trial design and outcomes are published elsewhere.³⁻⁵ Men 55 years of age or older with a normal DRE, PSA level ≤ 3.0 ng/mL, no clinically significant coexisting conditions, and an American Urologic Association symptom score < 20 were randomly assigned. Participants were screened annually by centrally monitored PSA level and with DRE. Those with a PSA level ≥ 4.0 ng/mL were recommended for biopsy, and recommendations were followed up for outcomes. After 7 years, participants not diagnosed with prostate cancer were requested to undergo an EOS biopsy regardless of PSA level or DRE results. A biopsy-assessed end point was anticipated for 60% of participants, and that rate was attained.^{4,5}

Because roughly one half of prostate cancers were identified at the EOS biopsy at 7 years, a time-to-event analysis was not appropriate for evaluating risk factor associations with biopsy or prostate cancer. Instead, logistic regression was used to evaluate the period prevalence of prostate cancer at 7 years. For PCPT analyses, we included only those men randomly assigned to the placebo arm because finasteride reduced prostate cancer risk. We defined three subsets of men from PCPT:

- *PCPT cohort 1 (n = 8,052): Observational cohort with screening and biopsy recommendations.* Men with either a for-cause prostate cancer diagnosis or those who survived the 7 years of the trial were included regardless of whether they had an EOS biopsy. The outcome for this group was prostate cancer detected for cause (ie, elevated PSA level ≥ 4.0 ng/mL or abnormal DRE, biopsy centrally prompted) within 7 years of study entry. To mimic a typical observational cohort study, EOS prostate cancers (normal PSA level and DRE) were considered noncases.
- *PCPT cohort 2 (n = 5,823): More complete disease ascertainment.* Men who had a known prostate cancer end point were included: a prostate cancer diagnosis either for cause or at EOS, and men with a negative EOS biopsy at 7 years; thus, both cases and control subjects were biopsy proven (primary PCPT analysis approach).⁵ Results from cohort 2 analysis are in the Appendix, online only.
- *PCPT cohort 3 (n = 5,823): Estimate of true cancer rate.* We developed a multivariate logistic regression model to predict who had a study end point, and then used the inverse of the predicted probability of having an end point and assigned weights to the cohort 2 observations to account for those who did not have an end point. Inverse probability weighting (IPW) methods similar to those described in Redman et al⁶ were used. Details on IPW methodology are included in the Appendix.

SELECT

SELECT was a phase III randomized trial testing whether selenium and vitamin E alone and/or in combination prevent prostate cancer. From 2001 to 2004, 35,533 men (34,888 analyzable) were randomly assigned to receive vitamin E, selenium, both active agents, or two placebos. SELECT enrolled men age 55 years or older (50 years or older for blacks), with no previous prostate cancer diagnosis, PSA level ≤ 4 ng/mL, and a normal DRE. At the time of study closure in 2008, median follow-up was 5.5 years; trial design and results have been published previously.^{2,7} For these analyses, we included only those participants (n = 8,228) randomly assigned to both placebo supplements, to avoid confounding by study supplementation, and excluded those enrolled previously in PCPT. Unlike in PCPT, prostate cancer screening was not required in SELECT; participants underwent annual PSA assessment and DRE and received biopsy recommendations according to local standard of care and the participant's preference. Each year, approximately 85% of SELECT participants had PSA testing, and 70% underwent DRE. Although the data are from a randomized clinical trial, the SELECT cohort more resembles the men who would typically be seen in an observational cohort and more closely approximates biopsy practices seen in the general population. To make the

SELECT cohort as comparable as possible to the PCPT cohort, we used a dichotomous prostate cancer outcome over a 5-year period (period prevalence) with the cutoff chosen to maximize the inclusion of participants despite their having 2 fewer years of follow-up ($n = 6,276$) than those in PCPT.

All participants provided written informed consent in accordance with institutional and federal guidelines.

Analysis Methods

To identify factors that may be related to receipt of prostate biopsy, but not necessarily prostate cancer, associations of covariates with time to biopsy in SELECT were estimated using Cox regression models. This analysis allowed us to include a broader cohort of SELECT participants regardless of follow-up duration. Time was defined from date of random assignment to first biopsy, regardless of cancer outcome. Participants were censored at last contact date if no biopsy was performed. Associations of potential risk factors with time to first biopsy were adjusted for age at study entry, and the Cox model allowed us to use PSA level and DRE status as time-dependent covariates before biopsy, reflecting the change in screening status over time.

Associations of risk factors with prostate cancer outcomes at 5 years (SELECT) or 7 years (PCPT cohorts 1 to 3) were estimated using a logistic regression model adjusted for age, ethnicity, family history, and PSA level at study entry. ORs and 95% CIs were reported. PCPT cohort 3 (with EOS biopsy and IPW), with minimal biopsy detection bias, was considered the gold standard, and the percentage of differences in cohort ORs relative to PCPT cohort 3 were reported. All analyses used SAS version 9.4 (SAS Institute, Cary, NC).

RESULTS

Table 1 displays the characteristics of PCPT cohorts 1, 2, and 3 and the SELECT cohort. Because of focused recruitment, more minorities were enrolled in SELECT. A higher PSA level was allowed at study entry in SELECT (≤ 4.0 ng/mL), relative to PCPT (≤ 3.0 ng/mL). Men in SELECT had a higher body mass index (BMI) and more urologic symptoms, fewer were married, and diabetes was more prevalent. Statin use was higher in SELECT, paralleling the increase in statin use in the United States between the opening of PCPT in 1993 and of SELECT in 2001.⁸

Table 2 provides hazard ratios for time to biopsy in the SELECT cohort. After accounting for their age, PSA level, and DRE status, we found that younger or married men, those with a family history of prostate cancer, or those with benign prostatic hyperplasia were more likely to undergo biopsy. Those with a higher BMI (≥ 25), those with diabetes, and previous or current smokers were less likely to undergo biopsy. Men taking aspirin or statins at baseline or during the trial and those having some college education were more likely to undergo a biopsy. Black men in SELECT had the same likelihood of prostate biopsy as men of other ethnicities, conditional on PSA level and DRE status. Thus, among men who agreed to participate in a prostate cancer prevention trial and who underwent substantial PSA screening and annual clinic visits, the decision to recommend (and accept) prostate biopsy, even after accounting for age and PSA/DRE history, was substantially influenced by other factors, some of which could be related to prostate cancer.

Table 3 displays associations of potential risk factors with prostate cancer from a multivariate logistic model in the SELECT

cohort and PCPT cohorts 1 and 3. (Cohort 2 is reported in Appendix Table A1, online only).

A BMI of 25 to 29 ($\nu < 25$) in the SELECT cohort (community practice/epidemiologic-like study) was associated with a 19% increase in the odds of prostate cancer (OR, 1.19); by comparison, no difference in the odds (OR, 1.01) was seen in PCPT cohort 1 (population screening), and there was a 9% reduction in the odds (OR, 0.91) of cancer in PCPT cohort 3 (accounting for biopsy bias). In relative terms, the estimated SELECT BMI OR is 31% larger than the PCPT OR in cohort 3. A similar trend was seen for baseline PSA level because men with higher PSA values were more likely to undergo biopsy. A unit increase in PSA level at baseline in SELECT provided an OR of 3.02, but accounting for annual PSA screening, a study-mandated biopsy, and the probability of having a biopsy in PCPT (cohort 3), the PSA level OR was 1.77, a relative difference of 69%.

A family history of prostate cancer in a first-degree relative (yes/no) provides a point estimate OR of 2.20 for the SELECT cohort, but like PSA level, the association moved closer to the null in PCPT cohort 3 (PCPT cohort 3 OR, 1.41), a relative difference of 56%. The likely explanation is illustrated in Table 2: men with a family history of prostate cancer are significantly more likely to undergo biopsy, even when controlling for PSA and DRE findings. Because rates of screening, recommendations for biopsy, and acceptance of biopsy were likely further related to measures such as family history and PSA level, the true associations between these factors and prostate cancer are likely overestimated in the absence of study-mandated biopsies.

In the SELECT cohort, intermediate age ranges (60 to 69 years) were associated with the greatest odds of prostate cancer, and older age (≥ 70 years) was associated with a substantial reduction in odds (OR, 0.76) compared with those younger than 60 years of age. By comparison, an examination of PCPT cohort 3 with biopsy verification showed a stepwise increased odds of prostate cancer with each increment in age group. This is likely explained, as seen in Table 2, by the stepwise reduction in the likelihood of biopsy from ages 60 to 64 years, to ≥ 70 years. Other factors exhibiting significant differences between the SELECT and PCPT cohorts were statins (35% odds reduction of prostate cancer in SELECT ν no association in PCPT cohort 3; OR, 0.99) and aspirin use (34% odds reduction in SELECT ν 20% and 23% increases in odds in PCPT cohorts 1 and 3, respectively). A final difference was the 20% increased odds of prostate cancer among black men in SELECT that was substantially higher in PCPT cohorts 1 and 3 (OR, 1.96 and 1.82, respectively). Risk factor ORs that were based on the subset with a prostate biopsy end point and no imputation (cohort 2) were similar to those of PCPT cohort 3, which used imputed end points (Appendix). Those who had a biopsy end point in PCPT had a risk of prostate cancer similar to that of those who did not. The EOS biopsy minimized detection bias, despite the lack of compliance by some men (Appendix Table A1).

DISCUSSION

Although there have been reports of factors associated with biopsy compliance in PCPT⁹ and Reduction by Dutasteride of Prostate Cancer Events study (REDUCE),^{10,11} to our knowledge, this is the

Prostate Biopsy Adoption and Risk Factor Assessment Bias

Table 1. Baseline Descriptive Statistics

Baseline Factor	PCPT		SELECT
	Cohort 1* (n = 8,052)	Cohort 2†‡ (n = 5,823)	Cohort§ (n = 6,276)
Total			
Control subjects	7,478 (92.9)	4,411 (75.8)	5,928 (94.5)
All cases	574 (7.1)	1,412 (24.2)	348 (5.5)
Gleason 2-6	359 (62.5)	1,018 (72.1)	221 (63.5)
Gleason 7-10	160 (27.9)	285 (20.2)	94 (27.0)
Missing Gleason score	55 (9.6)	109 (7.7)	33 (9.5)
Age, years			
≤ 59	2,497 (31.0)	1,893 (32.5)	2,390 (38.1)
60-64	2,429 (30.2)	1,838 (31.6)	1,730 (27.6)
65-69	1,901 (23.6)	1,337 (23.0)	1,179 (18.8)
≥ 70	1,225 (15.2)	755 (13.0)	977 (15.6)
Race			
Nonblack	7,768 (96.5)	5,633 (96.7)	5,455 (86.9)
Black	284 (3.5)	190 (3.3)	821 (13.1)
Family history			
No	6,776 (84.2)	4,832 (83.0)	5,210 (83.0)
Yes	1,276 (15.8)	991 (17.0)	1,066 (17.0)
BMI, kg/m ²			
Normal (< 25)	2,060 (25.9)	1,519 (26.3)	1,231 (19.7)
Overweight (25-29)	4,093 (51.4)	2,983 (51.7)	3,037 (48.7)
Obese (≥ 30)	1,814 (22.8)	1,263 (21.9)	1,968 (31.6)
Vasectomy			
No	5,408(67.2)	3,851 (66.1)	N/A
Yes	2,644 (32.8)	1,972 (33.9)	N/A
Diabetes			
No	7,576 (94.1)	5,523 (94.8)	5,673 (90.4)
Yes	476 (5.9)	300 (5.2)	603 (9.6)
Take statins			
No	7,821 (97.1)	5,652 (97.1)	4,757 (75.8)
Yes	231 (2.9)	171 (2.9)	1,519 (24.2)
Smoking			
Never	2,724 (33.8)	2,031 (34.9)	2,700 (43.0)
Former	4,723 (58.7)	3,394 (58.3)	3,112 (49.6)
Current	604 (7.5)	398 (6.8)	464 (7.4)
Marital status			
Never married, divorced, separated, or widowed	1,024 (12.7)	659 (11.3)	1,336 (21.3)
Married or living in a marriage-like relationship	7,018 (87.3)	5,158 (88.7)	4,940 (78.7)
Education			
No college	1,823 (22.7)	1,285 (22.1)	1,776 (28.3)
Some college	6,225 (77.3)	4,537 (77.9)	4,500 (71.7)
Baseline PSA level, mean, ng/mL	1.23	1.26	1.39
Take aspirin regularly			
No	3,930 (48.8)	2,900 (49.8)	3,841 (61.2)
Yes	4,122 (51.2)	2,923 (50.2)	2,435 (38.8)

NOTE. Data are presented as No. (%) unless indicated otherwise.

Abbreviations: BMI, body mass index; N/A, not collected in SELECT; PCPT, Prostate Cancer Prevention Trial; PSA, prostate-specific antigen; SELECT, Selenium and Vitamin E Chemoprevention Trial.

*Population: observational cohort with centralized screening and biopsy recommendations, PCPT with a for-cause diagnosis within 7 years, or 7 years of follow-up (90-day window).

†Population: more complete disease ascertainment, PCPT with an end point (either cancer diagnosis or end-of-study negative biopsy).

‡PCPT cohort 3 is not listed in Table 1 because it is essentially cohort 2 with an inverse probability weighting assigned to each observation.

§Population: screened population, SELECT with prostate cancer diagnosis within 5 years, or 5 years of follow-up (90-day window).

first systematic evaluation of how biases related to prostate cancer ascertainment may affect our understanding of disease risk factors. The potential for such bias is substantial because, if all men underwent prostate biopsy, at least 15% would be diagnosed with cancer.¹² As such, if a random risk factor were selected, for example, blue eye color, and it then led to an increased risk of biopsy in men with blue eyes, blue eyes would be proven to increase cancer risk.

Although some of the differences in risk factor associations across cohorts may be, in part, a result of random variation, our

study has identified profound differences in the characteristics of men who undergo prostate biopsy and those who do not. The clinical steps required to be ascertained (ie, to undergo prostate biopsy) are enumerated in Figure 1. If any of the steps along this clinical continuum do not occur, the patient will not undergo biopsy and, even if he has an asymptomatic, high-grade cancer, he would not be diagnosed with prostate cancer. Thus, factors that increase the likelihood of progression along this continuum will increase the risk of a prostate cancer diagnosis. Men participating in SELECT and PCPT were also probably much more

Table 2. Association of Covariates with Risk of Biopsy in SELECT (n = 8,228)

Characteristic	No Biopsy* (n = 7,038)	Biopsy (n = 1,190)	HR (95% CI)†	P‡
Age, years				
≤ 59	2,730	397	Ref	
60-64	1,842	375	1.29 (1.24 to 1.36)	< .001
65-69	1,321	247	1.09 (1.03 to 1.15)	.002
≥ 70	1,145	171	0.86 (0.81 to 0.92)	< .001
Race				
Nonblack	6,070	1,026	Ref	
Black	968	164	1.01 (0.95 to 1.07)	.77
Family history				
No	5,945	907	Ref	
Yes	1,093	283	1.52 (1.45 to 1.59)	< .001
BPH				
No	6,028	964	Ref	
Yes	1,010	226	1.28 (1.22 to 1.35)	< .001
BMI, kg/m ²				
Normal (< 25)	1,389	246	Ref	
Overweight (25-29)	3,369	582	0.88 (0.84 to 0.92)	< .001
Obese (≥ 30)	2,238	353	0.90 (0.85 to 0.95)	< .001
Diabetes				
No	6,234	1,102	Ref	
Yes	804	88	0.66 (0.61 to 0.72)	< .001
Smoking status				
Never	2,973	554	Ref	
Former	3,471	569	0.90 (0.87 to 0.94)	< .001
Current	594	67	0.63 (0.58 to 0.69)	< .001
Marital status				
Never married, divorced, separated, or widowed	1,352	179	Ref	
Married or living in a marriage-like relationship	5,686	1,011	1.48 (1.40 to 1.56)	< .001
Education				
No college	2,105	316	Ref	
Some college	4,933	874	1.12 (1.07 to 1.17)	< .001
Take aspirin regularly‡				
No	2,424	488	Ref	
Yes	4,614	702	1.15 (1.11 to 1.20)	< .001
Take statins‡				
No	3,673	699	Ref	
Yes	3,365	491	1.15 (1.11 to 1.20)	< .001

Abbreviations: BMI, body mass index; BPH, benign prostatic hyperplasia; HR, hazard ratio; Ref, reference; SELECT, Selenium and Vitamin E Chemoprevention Trial.

*Time to first biopsy, censored at date of last study contact up to 5 years.

†All HRs and *P* values are adjusted for longitudinal prostate-specific antigen level and digital rectal examination (as time-dependent covariates) and for age at baseline.

‡At study entry or started taking any time during the trial, fit as a time-dependent covariate.

likely to undergo PSA testing, receive a biopsy recommendation, and agree to have the biopsy, compared with the general US population. Furthermore, if differences similar to those we observed in rates of biopsy are also operational in terms of a man's decision or a physician's recommendation to have a PSA test, our observations may significantly underestimate the true degree of bias.^{13,14}

Many epidemiologic studies have suggested that statins, vasectomy, and aspirin affect prostate cancer risk.¹⁴⁻¹⁶ For vasectomy, we observed a 12% increase in the odds of prostate cancer in PCPT cohort 1, as opposed to only a 4% increase when a component of the biased detection is removed. Vasectomy status was not collected in SELECT. One explanation for this bias is that vasectomies are often performed by urologists, who may be more likely to recommend PSA testing and biopsy. In addition, men with a vasectomy may be more willing to undergo a prostate biopsy. Similarly, if men who take supplements or other preventive agents (eg, statins) undergo screening/biopsy in a systematically different

fashion than those who do not, major biases in epidemiologic studies will result. Without detailed data about screening and biopsy verification, it is not possible to tease out whether there is a biopsy detection bias or a true association of a risk factor with prostate cancer, or a combination of both. Adjusting for PSA screening alone is not adequate.

The overarching implication of our data is obvious: evidence from observational studies suggesting that certain factors reduce/increase the risk of prostate cancer may be seriously flawed because of detection bias. Publication of these observations without careful attention to propensity-to-screen and propensity-to-biopsy bias can have major negative impacts at several levels. First, patients may choose an intervention (eg, aspirin) to reduce prostate cancer risk when the intervention may truly have no effect on cancer risk but may increase other disease risk (eg, stroke, hemorrhage). A second unfortunate result is that precious research resources may be directed to preclinical and clinical studies, only to find the original observation to be flawed.

Prostate Biopsy Adoption and Risk Factor Assessment Bias

Table 3. Comparison of ORs of Risk Factors and Prostate Cancer Across Cohorts in PCPT and SELECT

Risk Factor	SELECT Cohort*			PCPT				
	(348 cases/5,928 control subjects)			Cohort 1†			Cohort 3‡	
	OR	95% CI	Difference From PCPT Cohort 3 (%)	OR	95% CI	Difference From PCPT Cohort 3 (%)	OR	95% CI
BMI, kg/m ²								
Normal (< 25)	Ref			Ref			Ref	
Overweight (25-29)	1.19	0.87 to 1.62	+31	1.01	0.82 to 1.25	+11	0.91	0.81 to 1.02
Obese (≥ 30)	1.07	0.76 to 1.51	+23	0.92	0.71 to 1.19	6	0.87	0.76 to 1.01
Smoking status								
Never	Ref			Ref			Ref	
Former	1.03	0.81 to 1.31	+16	1.05	0.86 to 1.26	+18	0.89	0.80 to 0.99
Current	1.13	0.71 to 1.79	+19	0.91	0.63 to 1.32	-4	0.95	0.77 to 1.17
Family history, yes v no	2.20	1.70 to 2.85	+56	1.50	1.21 to 1.86	+6	1.41	1.24 to 1.60
Statin use, yes v no	0.65	0.51 to 0.83	-34	1.16	0.70 to 1.89	+17	0.99	0.74 to 1.32
Regular aspirin use, yes v no	0.66	0.52 to 0.83	-46	1.20	1.00 to 1.43	-4	1.23	1.12 to 1.36
Age, years								
55-59	Ref			Ref			Ref	
60-64	1.10	0.82 to 1.48	-5	1.35	1.07 to 1.71	+16	1.16	1.02 to 1.32
65-69	1.09	0.79 to 1.50	-19	1.21	0.94 to 1.55	-10	1.34	1.17 to 1.53
≥ 70	0.76	0.53 to 1.09	-49	1.31	0.99 to 1.72	-12	1.49	1.28 to 1.74
Diabetes, yes v no	0.74	0.48 to 1.15	-7	0.77	0.51 to 1.17	-4	0.80	0.65 to 1.00
Vasectomy, yes v no	N/A			1.12	0.92 to 1.36	+8	1.04	0.94 to 1.16
PSA level, ng/mL continuous	3.02	2.71 to 3.37	+71	3.02	2.69 to 3.39	+71	1.77	1.65 to 1.89
Married or in marriage-like relationship, yes v no	1.06	0.79 to 1.45	+10	1.08	0.83 to 1.41	+13	0.96	0.82 to 1.13
Race								
Nonblack	Ref			Ref			Ref	
Black	1.20	0.86 to 1.68	-34	1.96	1.33 to 2.89	+8	1.82	1.44 to 2.30

NOTE. All odds ratios and CIs adjusted for age, ethnicities (black v nonblack), family history, and baseline PSA level. Abbreviations: BMI, body mass index; N/A indicates not available; OR, odds ratio; PCPT, Prostate Cancer Prevention Trial; PSA, prostate-specific antigen; Ref, reference; SELECT, Selenium and Vitamin E Chemoprevention Trial.

*SELECT cohort: community standards for screening and biopsy.

†PCPT cohort 1: observational cohort with screening and biopsy recommendations. See Appendix for cohort 2 results compared with cohort 3

‡PCPT cohort 3: complete disease ascertainment, incorporating end-of-study biopsy results and inverse probability weighting. Sum of inverse probability weighting equals cohort 1 (n = 8,052).

Detection bias may also play a role in prevention and screening studies. In PCPT, the EOS biopsy was included to minimize detection bias between treatment arms. By comparison, the PLCO screening study compared annual PSA screening with community standard screening and ultimately found no reduction in prostate cancer mortality with annual testing. It was noted that at least one half of the men observed who underwent the community standard screening also underwent PSA testing; a recent update suggested that as many as 90% of men in the control group underwent PSA testing.¹⁷ Our study's observations suggest that men who opted to undergo regular PSA testing and biopsy in the community standard arm were fundamentally different from those who had no PSA testing. If men with a higher risk of prostate cancer and prostate cancer death (eg, family history, older, black, and other risk factors) were more commonly tested and underwent a biopsy more often, the study outcome would be substantially biased to the null: the ultimate study outcome.

Limitations of our study include the generalizability of the results observed from men who consented to a prostate cancer prevention trial relative to other cohorts of men. However, these trials had more rigorous prostate cancer screening and biopsy adoption than expected in other observational

studies of risk factors; our results thus likely underestimate detection biases. In addition, SELECT follow-up was shorter than that of PCPT, so the cohorts for the two trials were based on different intervals of time (5 v 7 years of follow-up). Not all men in PCPT had an EOS biopsy, but because the risk factor ORs for cohort 2, using only men with a study end point, and cohort 3, weighting for missing end points, are nearly identical, we conclude that a missing EOS biopsy in PCPT was not related to the risk of prostate cancer.

Our analysis and conclusions are not designed to address population-based PSA screening and biopsy; such screening should be based on individual risk factors and health considerations and should include careful assessment of the risks and possible benefits.¹⁸ The EOS PCPT biopsy in all participants, a unique study feature required to eliminate detection bias, allowed an evaluation of this bias but also resulted in the diagnosis of many low-risk prostate cancers. Better methods are needed for identifying consequential prostate cancers in order to avoid unnecessary biopsies. These data provide testimony that past and current screening and biopsy practice has likely led to biased conclusions regarding prostate cancer risk factors. Should higher-risk populations and higher-risk thresholds be adopted

universally, it is possible that these biases may be mitigated by the greater risks of high-grade disease. Our data call into question previous observations related to prostate cancer risk. Future prevention and early-detection studies should collect comprehensive screening and biopsy data and control for these factors.

Our observations may not be limited to prostate cancer. Evidence suggests that other tumors (melanoma, thyroid, and breast) have a significant reservoir of asymptomatic, indolent disease.¹⁹ Because detection testing (eg, skin cancer screening, thyroid examination or ultrasound, mammography) and subsequent biopsy would follow the same pathway as that illustrated in Figure 1, it is likely that conclusions regarding risk factors or preventive strategies for other tumors may suffer from similar confounds. We encourage further study of this area to develop a better understanding of the true relationship between risk factors and cancer.

AUTHORS' DISCLOSURES OF POTENTIAL CONFLICTS OF INTEREST

Disclosures provided by the authors are available with this article at www.jco.org.

AUTHOR CONTRIBUTIONS

Conception and design: Catherine M. Tangen, Phyllis J. Goodman, Jeannette M. Schenk, M. Scott Lucia, Ian M. Thompson Jr

Collection and assembly of data: Catherine M. Tangen, Phyllis J. Goodman, M. Scott Lucia

Data analysis and interpretation: Catherine M. Tangen, Phyllis J. Goodman, Cathie Till, Jeannette M. Schenk, Ian M. Thompson Jr

Manuscript writing: All authors

Final approval of manuscript: All authors

Accountable for all aspects of the work: All authors

REFERENCES

- Siegel RL, Miller KD, Jemal A: Cancer statistics, 2015. *CA Cancer J Clin* 65:5-29, 2015
- Klein EA, Thompson IM, Jr., Tangen CM, et al: Vitamin E and the risk of prostate cancer: The Selenium and Vitamin E Cancer Prevention Trial (SELECT). *JAMA* 306:1549-1556, 2011
- Goodman PJ, Tangen CM, Crowley JJ, et al: Implementation of the Prostate Cancer Prevention Trial (PCPT). *Control Clin Trials* 25:203-222, 2004
- Goodman PJ, Thompson IM Jr, Tangen CM, et al: The Prostate Cancer Prevention Trial: Design, biases and interpretation of study results. *J Urol* 175:2234-2242, 2006
- Thompson IM, Goodman PJ, Tangen CM, et al: The influence of finasteride on the development of prostate cancer. *N Engl J Med* 349:215-224, 2003
- Redman MW, Tangen CM, Goodman PJ, et al: Finasteride does not increase the risk of high-grade prostate cancer: A bias-adjusted modeling approach. *Cancer Prev Res (Phila)* 1:174-181, 2008
- Lippman SM, Goodman PJ, Klein EA, et al: Designing the Selenium and Vitamin E Cancer Prevention Trial (SELECT). *J Natl Cancer Inst* 97:94-102, 2005
- Bansal D, Undela K, D'Cruz S, et al: Statin use and risk of prostate cancer: A meta-analysis of observational studies. *PLoS One* 7:e46691, 2012
- Gritz ER, Arnold KB, Moinpour CM, et al: Factors associated with adherence to an end-of-study biopsy: Lessons from the Prostate Cancer Prevention Trial (SWOG-Coordinated Intergroup Study S9217). *Cancer Epidemiol Biomarkers Prev* 23:1638-1648, 2014
- Fischer S, Sun S, Howard LE, et al: Baseline subject characteristics predictive of compliance with study-mandated prostate biopsy in men at risk of prostate cancer: Results from REDUCE. *Prostate Cancer Prostatic Dis* 19:202-208, 2016
- Ho T, Howard LE, Vidal AC, et al: Smoking and risk of low- and high-grade prostate cancer: Results from the REDUCE study. *Clin Cancer Res* 20:5331-5338, 2014
- Thompson IM, Pauler DK, Goodman PJ, et al: Prevalence of prostate cancer among men with a prostate-specific antigen level < or = 4.0 ng per milliliter. *N Engl J Med* 350:2239-2246, 2004
- Nijs HGT, Essink-Bot ML, DeKoning HJ, et al: Why do men refuse or attend population-based screening for prostate cancer? *J Public Health Med* 22:312-316, 2000
- Hayat Roshanai A, Nordin K, Berglund G: Factors influencing primary care physicians' decision to order prostate-specific antigen (PSA) test for men without prostate cancer. *Acta Oncol* 52:1602-1608, 2013
- Vidal AC, Howard LE, Moreira DM, et al: Aspirin, NSAIDs, and risk of prostate cancer: Results from the REDUCE study. *Clin Cancer Res* 21:756-762, 2015
- Siddiqui MM, Wilson KM, Epstein MM, et al: Vasectomy and risk of aggressive prostate cancer: A 24-year follow-up study. *J Clin Oncol* 32:3033-3038, 2014
- Shoag JE, Mittal S, Hu JC: Reevaluating PSA testing rates in the PLCO trial. *N Engl J Med* 374:1795-1796, 2016
- Thompson IM, Jr., Leach RJ, Ankerst DP: Focusing PSA testing on detection of high-risk prostate cancers by incorporating patient preferences into decision making. *JAMA* 312:995-996, 2014
- Welch HG, Black WC: Overdiagnosis in cancer. *J Natl Cancer Inst* 102:605-613, 2010

AUTHORS' DISCLOSURES OF POTENTIAL CONFLICTS OF INTEREST

Biases in Recommendations for and Acceptance of Prostate Biopsy Significantly Affect Assessment of Prostate Cancer Risk Factors: Results From Two Large Randomized Clinical Trials

The following represents disclosure information provided by authors of this manuscript. All relationships are considered compensated. Relationships are self-held unless noted. I = Immediate Family Member, Inst = My Institution. Relationships may not relate to the subject matter of this manuscript. For more information about ASCO's conflict of interest policy, please refer to www.asco.org/rwc or jco.ascopubs.org/site/ifc.

Catherine M. Tangen

No relationship to disclose

Phyllis J. Goodman

No relationship to disclose

Cathee Till

Research Funding: Genentech (I)

Patents, Royalties, Other Intellectual Property: Patent application for CD20-targeted CAR T cells (I)

Jeannette M. Schenk

No relationship to disclose

M. Scott Lucia

Stock or Other Ownership: 3DBiopsy

Consulting or Advisory Role: Genomic Health

Patents, Royalties, Other Intellectual Property: Multiexcitatin diagnostic system and methods for classification of tissue (US No. 8406858 B2)

Ian M. Thompson Jr

Consulting or Advisory Role: Exosome Diagnostics, Magforce

Patents, Royalties, Other Intellectual Property: I have been involved in the establishment of a new company, NanoTX Therapeutics, to commercialize a novel therapy for glioblastoma for our cancer center. I am on the board of directors and the company has intellectual property developed by our cancer center. I have several patents with colleagues involving novel biomarkers for cancer and two devices for sexual dysfunction and urinary incontinence. There are no revenues at this time, and our university Intellectual Property office is working with industry to determine if these can be commercialized.

Appendix***Additional Method Details for PCPT Cohort 3: Predicting Prostate Cancer if Everyone Had an End Point***

It may be that the men who did not have a prostate cancer end point evaluated had a different risk of prostate cancer than those who did have an end point. We assumed that there were measured study covariates, which both explain the differences between men with and without end points and are related to the risk of prostate cancer (Rubin DB: *Biometrika* 63:581-592, 1976). Under this assumption, for two men with similar covariate values such as age and family history of prostate cancer, one with an end point evaluated and one without, the outcome data from the man with the evaluated end point inform the cancer status for the man without the end point evaluation. An approach that uses this assumption and can be used to estimate the prevalence of prostate cancer is the inverse probability of censoring weighted estimation (Robins JM, et al: Boston, MA, Birkhäuser, 1992).

This involves a two-step process: (1) estimate the probability of having an end point evaluated conditional on covariates; and (2) estimate the probability of cancer, given the probability estimated in the first step. The probability of cancer is estimated by the weighted average of cancers among men with an observed end point, using the inverse of the probabilities from the first step as weights.

To estimate the probability of having an end point evaluated in the first step, logistic regression was used. To model the predicted probabilities, we chose study covariates related to both (1) having the study end point and (2) having a diagnosis of prostate cancer. The baseline covariates that were included in these analyses were age, ethnicity/race, PSA value, and family history of prostate cancer. Covariates measured after random assignment that were included in this analysis were interim biopsy prompts that were based on prostate-specific antigen levels or digital rectal examination and ever having a negative biopsy result during follow-up and before the end of study. The weights were then calculated as the inverse of the fitted (predicted) probabilities for men with an end point evaluated.

The final analysis included all men in the placebo arm who had a prostate cancer end point known at the end of the trial (the same population as that of cohort 2) but with weights associated with each record, so the sum of the weights equaled all eligible men on the placebo arm. To account for the estimation of the weights, 500 bootstrap samples of observed data were constructed. The analysis was repeated on each data set, and the variance of the estimates was calculated as the mean of the variances over all samples.

Prostate Biopsy Adoption and Risk Factor Assessment Bias

Table A1. Comparison of Risk Factor ORs for Prostate Cancer, PCPT Cohort 2 and Cohort 3

Risk Factor	PCPT Cohort 2 More Complete Ascertainment* (1,412 cases/4,411 control subjects)			PCPT Cohort 3 Estimate of True Cancer Rate† (1,412 cases/4,411 control subjects)	
	OR	95% CI	Difference From PCPT Cohort 3 (%)	OR	95% CI
BMI, kg/m ²					
Normal (< 25)	Ref			Ref	
Overweight (25-29)	0.90	0.78 to 1.04	-1.1	0.91	0.81 to 1.02
Obese (≥ 30)	0.87	0.73 to 1.04	0.0	0.87	0.76 to 1.01
Smoking status					
Never	Ref			Ref	
Former	0.90	0.79 to 1.03	1.1	0.89	0.80 to 0.99
Current	0.97	0.75 to 1.26	2.1	0.95	0.77 to 1.17
Family history, yes v no	1.40	1.20 to 1.64	-0.7	1.41	1.24 to 1.60
Statin use, yes v no	0.98	0.68 to 1.41	-1.0	0.99	0.74 to 1.32
Regular aspirin use, yes v no	1.24	1.09 to 1.40	0.8	1.23	1.12 to 1.36
Age, years					
55-59	Ref			Ref	
60-64	1.18	1.01 to 1.38	1.7	1.16	1.02 to 1.32
65-69	1.35	1.14 to 1.60	0.7	1.34	1.17 to 1.53
≥ 70	1.56	1.28 to 1.90	4.7	1.49	1.28 to 1.74
Diabetes, yes v no	0.80	0.61 to 1.08	0.0	0.80	0.65 to 1.00
PSA level, ng/mL, continuous	1.79	1.64 to 1.94	1.1	1.77	1.65 to 1.89
Prior vasectomy, yes v no	1.04	0.91 to 1.19	0.0	1.04	0.94 to 1.16
Some college versus none	1.06	0.91 to 1.23	0.0	1.06	0.94 to 1.20
Married or in a marriage-like relationship, yes v no	0.95	0.78 to 1.15	-1.0	0.96	0.82 to 1.13
Race					
Nonblack	Ref			Ref	
Black	1.83	1.34 to 2.50	0.5	1.82	1.44 to 2.30

Abbreviations: BMI, body mass index; OR, odds ratio; PCPT, Prostate Cancer Prevention Trial; PSA, prostate-specific antigen; Ref, reference.

*Population: all PCPT placebo men with a biopsy end point.

†Population: all placebo men with an end point with weights calculated in a logistic model to impute biopsy results for men who did not have a study biopsy. (Sum of inverse probability weighting equals cohort 1 [n = 8,052]).