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## ***In vitro* 3D model and miRNA drug delivery to target calcific aortic valve disease**

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### **Abstract**

Calcific aortic valve disease (CAVD) is the most prevalent valvular heart disease in the Western population, claiming 17000 deaths per year in the United States and affecting 25% of people older than 65 years of age. Contrary to traditional belief, CAVD is not a passive, degenerative disease but rather a dynamic disease, where initial cellular changes in the valve leaflets progress into fibrotic lesions that induce valve thickening and calcification. Advanced thickening and calcification impair valve function and lead to aortic stenosis (AS). Without intervention, progressive ventricular hypertrophy ensues, which ultimately results in heart failure and death. Currently, aortic valve replacement (AVR), surgical or transcatheter, is the only effective therapy to treat CAVD. However, these costly interventions are often delayed until the late stages of the disease. Nonetheless, 275000 are performed per year worldwide, and this is expected to triple by 2050. Given the current landscape, next-generation therapies for CAVD are needed to improve patient outcome and quality of life. Here, we first provide a background on the aortic valve (AV) and the pathobiology of CAVD as well as highlight current directions and future outlook on the development of functional 3D models of CAVD *in vitro*. We then consider an often-overlooked aspect contributing to CAVD: miRNA (mis)regulation. Therapeutics could potentially normalize miRNA levels in the early stages of the disease and may slow its progression or even reverse calcification. We close with a discussion of strategies that would enable the use of miRNA as a

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#### **AUTHOR CONTRIBUTION**

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therapeutic for CAVD. This focuses on an overview of controlled delivery technologies for nucleic acid therapeutics to the valve or other target tissues.

### Keywords

aortic valve; calcification; 3D model; drug delivery; microRNA (miRNA)

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## INTRODUCTION

The most prevalent heart valve disease in Western societies is calcific aortic valve disease (CAVD), claiming 17000 lives per year in the United States alone [1] and affecting 25% of people older than 65 years of age [2]. CAVD is a dynamic disease; initial cellular changes that begin at the base of valve leaflets manifest into fibrotic lesions that induce valve thickening, termed aortic sclerosis [3]. The disease later progresses to calcification of the valve, where large calcific masses gradually impair leaflet motion and valve function, eventually causing aortic stenosis (AS) [4]. Without intervention, progressive ventricular hypertrophy ensues, which ultimately results in heart failure and death. CAVD treatment remains challenging as it is difficult to visualize or detect calcification in the early, asymptomatic stage (Figure 1) and no pharmacological therapies have been developed to slow or halt the progression of CAVD [5]. Although lipid-lowering therapy was proposed as a potential therapy for CAVD based on identified lesions similar to those in atherosclerotic plaques, it was shown to be ineffective in a meta-analysis of randomized placebo-controlled clinical trials on 2344 patients [6]. Currently, aortic valve replacement (AVR) remains the only available clinical treatment option for AS [7]. These costly and invasive procedures are often delayed until a patient's functional leaflet movement is severely impaired by gross calcium deposition [8]. Each year, over 275000 patients undergo surgical AVR worldwide [9] and this number is projected to triple by 2050 [9]. The emergence of transcatheter AVR technologies in 2002 created a less-invasive alternative; however, due to an increased risk for complications, this option is currently reserved for patients with severe comorbidities who are unsuitable for conventional open-heart surgery [10]. To date, there have been more than 80000 transcatheter AVRs performed worldwide [11]. As the prevalence of CAVD is expected to increase with the rising global life expectancy, CAVD becomes a growing burden that demands further understanding of the disease process and exploration of potential non-invasive (drug-based) therapies.

In this review, we provide a background on the aortic valve (AV) and the pathobiology of CAVD. We then highlight current directions in the community to develop functional 3D models of the AV as tools to dissect pathological processes in a controlled manner as well as forecast future directions in the development of *in vitro* valve models. Additionally, we consider an often-overlooked aspect of valve biology and pathology: miRNA regulation and misregulation. miRNAs present an attractive therapeutic alternative to intervene early during the disease process and potentially delay or even reverse disease processes after initiation. For miRNAs and other potential (bio)therapeutics, the controlled delivery of the molecules to the target tissues and cells of the valve is an additional challenge. In the present study, we

review current directions within the drug-delivery community and suggest how they may affect the treatment of CAVD.

## THE AORTIC HEART VALVE

The AV is located at the junction between the left ventricle and the aorta and ensures unidirectional blood flow into the systemic circulation from the heart. In a healthy AV, the three thin, semilunar leaflets recoil and open the pathway for blood flow during systole. When the heart relaxes during diastole, under the backpressure from the aorta, the leaflets stretch and seal the orifice, preventing regurgitation of blood into the left ventricle. Hence, an efficient opening and closing of the AV is imperative to the proper functioning of the heart. The valvular tissue microstructure, particularly the composition and orientation of the extracellular matrix (ECM), plays an important role in maintaining the mechanical and biochemical behavior of the valve. Each leaflet has a complex architecture and comprises three distinct layers: the ventricularis, the spongiosa and the fibrosa. The zona fibrosa, closest to the outflow surface, is a layer densely packed with collagen fibers that provide the tensile strength needed to bear diastolic stress and prevent leaflet prolapse. The zona spongiosa predominantly consists of glycosaminoglycans and proteoglycans and acts as a bearing surface, reducing friction between the zona fibrosa and the zona ventricularis. The zona ventricularis is an elastic layer rich in elastin fibers that allow the leaflet to extend and recoil [12]. There are two major types of valve cells that populate the AV and maintain its health: the valve endothelial cells (VECs) that coat the blood-contacting surfaces and the more abundant valve interstitial cells (VICs) that populate the three distinct layers of the leaflets. VICs are a heterogeneous and dynamic cell mixture; multiple VIC phenotypes have been identified in AV leaflets [13]. The role of VICs includes synthesizing, degrading, and repairing the ECM, which are all crucial in maintaining the tissue homeostasis of the valve and its function.

## PATHOBIOLOGY OF CAVD

### Cell phenotypes contributing to the disease progression

Based on its association with aging, CAVD was traditionally assumed to be a passive, degenerative disease resulting from years of wear and tear due to mechanical stress. However, CAVD is now viewed as an active, cellular-driven disease [14]. In native healthy AVs, VICs reside primarily as quiescent fibroblast-like cells but can undergo phenotypic activation in response to injury or pathology (Figure 2). Upon exogenous pathological stimulation, VICs can differentiate into activated myofibroblast-like cells (aVICs), which undergo tissue repair and remodeling [15]. Persistent activation of VICs leads to pathological ECM remodeling and valve fibrosis [15]. These pro-fibrotic cells are characterized by increased expression of markers such as  $\alpha$ -smooth muscle actin ( $\alpha$ -SMA) [16]. Further, myofibroblast-like cells can differentiate into osteoblast-like cells (oVICs), which are ultimately responsible for the deposition of calcium and formation of osteogenic nodules. These pro-calcific cells are characterized by the presence of osteoblast-related proteins such as osteocalcin, osteonectin, and osteogenic transcription factors such as runt-related transcription factor 2 (Runx2) [17,18]. In addition, expression of progenitor cell

markers has been identified in distinct subpopulations of VICs. Particularly, VICs positive for the progenitor cell marker ATP-binding cassette, sub-family G, member 2 (ABCG2) were found to deposit a more calcified matrix upon osteogenic induction, suggesting a possible role in the development of osteogenic VICs during valve pathology [19]. Furthermore, the level of circulating osteogenic progenitor cells in CAVD patients was significantly higher than in control patients in a study of 46 patients and 46 control subjects [20].

### Mechanisms and signaling pathways

CAVD is multifactorial disease; many mechanisms and events contribute to the development of CAVD. *In vivo* studies have shown that early CAVD lesions initiate on the fibrosa side of the valve [21]. Pathological triggers such as growth factors, inflammatory cytokines, subendothelial deposits of oxidized low-density lipoproteins (LDLs), mechanical stress, and oxidative stress have been described to cause endothelial dysfunction, which in turn may initiate the activation of VICs [22–26]. In addition to VIC activation, VECs are known to have the capacity to differentiate into endothelial-derived VICs (eVICs) through endothelial-to-mesenchymal transition (EndMT) and can further differentiate into osteoblastic cells, promoting pathological remodeling in CAVD. It has been demonstrated that VIC–VEC communication is critical to maintain valve homeostasis [27], and that disruption of VIC–VEC communication accelerates the EndMT process, advancing valvular osteogenesis [28].

At the molecular level, a number of studies have shown increased expression of various osteogenic factors and signaling pathways that may be involved in CAVD. For example, pathological ECM remodeling contributes to the release of cytokines, such as transforming growth factor  $\beta$  1 (TGF- $\beta$ 1) and tumor necrosis factor  $\alpha$  (TNF- $\alpha$ ), which are potent inducers of VIC myofibroblast activation [29,30]. Notably, TGF- $\beta$ 1 works in synergy with its downstream effector osteoblast-cadherin through a number of downstream signaling pathways, such as extracellular signal-regulated protein kinases 1 and 2 (ERK1/2) and the similar to mothers against decapentaplegic 2 and 3 (SMAD2/3) pathways, in the regulation of myofibroblast activation of VICs [31]. Moreover, bone morphogenetic protein 2 (BMP-2), a key inducer of VIC calcification, is thought to act through p-SMAD1/5/8 and p-ERK1/2 signaling to increase Runx2 expression [32]. On the other hand, Notch signaling has been demonstrated, through *in vivo* studies, as a negative regulator that represses osteoblast-like calcification pathways mediated by BMP-2 [33]. In addition, inflammation stimulates osteoblast differentiation; cytokines produced by inflammatory cells affect the expression of receptor activator of nuclear factor  $\kappa$ -B ligand (RANKL) and osteoprotegerin (OPG) in the RANKL/receptor activator of nuclear factor  $\kappa$ -B (RANK)/OPG pathway and consequently stimulate the Runx2 pathway [30]. Studies also found that excess of circulatory LDL acts through the low-density lipoprotein receptor-related protein 5 (LRP5)/Wnt signaling pathway, which stimulates the Runx2-mediated pathway and thereby induces mineralization [34]. Interestingly, studies have also suggested that mechanical stiffness promotes VIC activation through an up-regulation of phosphoinositide 3-kinase (PI3K)/Akt signaling pathway [35,36]. Although a number of pathophysiological cues have been associated with the activation of VICs leading to CAVD, knowledge on the regulation of pathologic changes in VIC phenotype is still limited due to the unavailability of adequate disease models.

Understanding the mechanism involved in VIC regulation of tissue homeostasis requires a suitable *in vitro* system that closely resembles the *in vivo* microenvironment native to the AV.

## TOWARDS 3D CAVD MODELS

Understanding the cellular contribution to the progression of CAVD has proven to be challenging both *in vivo* and *in vitro*. Although *in vivo* animal models, such as porcine and murine models, offer complexity comparable with that in humans, these models are often based on high cholesterol diets to induce hypercholesterolaemia and subsequent atherosclerosis, which is not currently considered as a main etiology of CAVD [5]. In addition, most common 2D *in vitro* approaches have been shown to spontaneously activate pathological differentiation of VICs into myofibroblast-like cells. Furthermore, the contributions that knowledge of valvulogenesis could make to improve *in vitro* models are often overlooked [37]. Soft hydrogels have maintained VIC phenotype *ex vivo* [35]; however, they lack the complexity of VIC–VEC interactions and multilaminar structure in the native valve. Emerging evidence suggests that hydrogel-based 3D culture systems may provide a more tissue-like environment given their similarity to the natural ECM [38]. Recent studies have used various materials, such as hyaluronan hydrogels [39] and synthetic PEG-based hydrogels [38,40], to engineer heart valve tissue scaffolds for the study of VIC behavior. VICs cultured in materials derived from natural ECM polymers, such as collagen and fibrin, showed high viability; however, these hydrogel materials are not ideal due to their susceptibility to degradation and compaction [41]. On the other hand, methacrylated gelatin (GelMA) and methacrylated hyaluronic acid (HAMA) have successfully been used as a photocrosslinkable VIC-laden hydrogel scaffold. However, GelMA alone posed challenges to quick degradation whereas HAMA alone demonstrated limited cell adhesiveness. Recently, we utilized a hybrid GelMA–HAMA hydrogel platform to encapsulate VICs, which maintained VICs in a quiescent phenotype. These quiescent VICs further differentiated into myofibroblast-like cells upon TGF- $\beta$ 1 stimulation [28] and osteoblast-like cells when treated with osteogenic medium [42]. In addition, our recent study demonstrated the formation and growth of microcalcification recapitulating early disease states of native cardiovascular tissue [43]. Although these 3D hydrogel platforms provided controllable models that more closely recapitulate the *in vivo* environment to study the transition of VICs from a quiescent to an activated phenotype and formation of microcalcifications, they were not complete as they did not include the three distinct layers present within the native valve that contribute independently to the calcification process or the layer of endothelial cells that covers the leaflets. For future improvement, 3D-bioprinting technologies present promising approaches for engineering better representations of the native valve by incorporating all three distinct layers of the valve (Figure 3). A layer of endothelial cells can be co-cultured on top of the three layers to mimic cell–cell communication and paracrine signaling in the native valve. Moreover, the benefit of an automated system may alleviate some construct-to-construct variability and increase reproducibility as opposed to manual pipetting. This new approach could further be used to study cellular and molecular mechanisms of CAVD and serve as a drug-screening tool to aid in the development of a therapeutic treatment for CAVD. Furthermore, 3D printing opens doors to other disciplines as well, such as tissue

engineering and biofabrication. Expanding the tri-layered 3D model to a geometrically accurate AV is the first step towards understanding how hemodynamic flow patterns affect the disease development when studied in a bioreactor. These efforts could lead to personalized tissue-engineered biofabricated heart valves that can be seeded with a patient's autologous living valve cells, replacing the current prosthetic valves in AVR, bringing personalized therapy one step closer to reality. AV replacement is the current gold standard of therapy, but with the current advances of *in vitro* disease modeling and drug screening, an improvement through drug-based therapy is within reach and could potentially treat or even prevent CAVD.

Unfortunately, common valve specific drug targets required for pharmacologic approaches to treat vascular disease are not transferable to CAVD [5]. These targets could include G-protein coupled receptors, cadherin-11, or lipids, and can be targeted with small molecules, antibodies or nucleic acid therapeutics. Identifying if other pathways could be potential drug targets in CAVD and validating these approaches may be studied in appropriate 3D disease models of CAVD. In the next section, we will focus on potential drug targets and provide an overview of the candidates for therapeutic targeting, highlight modifications to improve their function and discuss potential delivery strategies.

## miRNA IN AORTIC VALVE DISEASE

### Biogenesis and activity of miRNA

miRNAs and miRNA regulation are often overlooked as therapeutic targets, especially in cardiac valve disease. miRNAs were first discovered by Victor Ambros and his team in 1993 [44]. They found that the *lin14* gene codes not for a protein but for a pair of small RNAs, later named '*microRNA*' (miRNA or miR). Functional mature miRNAs are single stranded, approximately 22 nucleotides long, RNAs that regulate protein expression by partial complementary binding to their target mRNA, thus inhibiting its translation. RNA polymerase II transcribes primary miRNA transcripts (pri-miRNAs) that contain one or multiple hairpin loops [45]. Drosha, a dsRNA-specific type III endoribonuclease, and DiGeorge syndrome critical region gene 8 (DGCR8) process pri-miRNAs into precursor miRNAs (pre-miRNAs) of approximately 70 nucleotides [46,47]. If correctly processed, nuclear export factor exportin 5 transports pre-miRNAs from the nucleus to the cytoplasm where Dicer, endoribonuclease III, removes the hairpin loop yielding a miRNA duplex of 18–22 bp [48,49]. When the duplex unwinds, the argonaute protein loads one strand, the guide strand or mature strand, into the RNA-induced silencing complex (RISC) [50]. This can lead to mRNA degradation or to obstruction of translation, depending on the level of complementarity between the mRNA target and the miRNA [51–53]. The other strand, the passenger strand, is often degraded although in selected cases it also serves as a functional miRNA. The complete process of strand selection is not yet fully understood. Argonaute and Dicer are two of the multiple proteins that play a role in this process [54]. Since the discovery by Ambros and his team, upwards of 1800 miRNAs have been identified in humans regulating a broad range of biological processes [55]. On account of the imperfect base pairing of miRNAs and their target mRNAs, one miRNA can affect multiple processes. Assigning the mRNA targets and biological roles to a miRNA has identified expression



patterns specific to tissues, developmental processes, and disease development [56]. From these findings, miRNAs are now thought to be key regulators of pathological processes in cardiovascular diseases, including cardiovascular calcification [57]. Furthermore, circulating miRNAs can potentially function as biomarkers for early detection of heart disease [58,59] for modification of disease mechanisms, and for determining the ideal timing of surgical intervention [60]. Here, we provide an overview of studies that have identified miRNAs in CAVD and AS.

### Known miRNAs in aortic valve disease

miRNAs that have been identified in cardiovascular diseases serve as regulators of multiple processes (Figure 2) [61]. Using the broad parameters “aortic | valve”, Abcam Firefly Discovery Engine identified 30 publications on 41 miRNAs related to various biological processes, including fibrosis (*miR-21* [62]), inflammation (*miR-125b* [63]; *miR-148a* [64]), differentiation (*miR-204* [65]), and calcification (*miR-141* [66]; *miR-204* [65]; *miR-30b* [67]; *miR-214* [68]). Tables 1 and 2 provide an overview of the profiling and functional studies that have been conducted to highlight the roles of these miRNAs in their respective target pathways.

As shown in Table 1, five of these studies used a miRNA candidate approach or microarray to identify the expression of miRNAs in AV leaflets from patients with AS undergoing AVR surgery. Real-time quantitative PCR (RT-qPCR) validated microarray data. First, AS patients were compared with aortic insufficiency patients, which demonstrated that in bicuspid valve samples *miR-26a*, *-30b* and *-195* were down-regulated in patients with AS [69]. Second, microarray profiling identified 35 differentially expressed miRNAs between bicuspid and tricuspid AVs in patients with AS [66]. RT-qPCR validated down-regulation of *miR-141*. Third, a comparison of calcified and non-calcified AV tissues confirmed lower expression levels of *miR-30b* in the calcified valves using RT-qPCR [67]. Fourth, down-regulation of *miR-148-3p* was shown in bicuspid compared with tricuspid valves [64]. Fifth, microarray analysis of calcified valve tissue compared with control tissue yielded the identification of up-regulated *miR-125b*, and down-regulated *miR-374b*, *-602* and *-939* [63].

To understand the role these miRNAs play in AV pathophysiology, functional studies have been conducted in 2D *in vitro* models. Table 2 provides an overview of current knowledge of miRNAs and their target genes in fibrocalcific valve remodeling. Multiple studies demonstrated that *miR-30b* is functionally involved in the prevention of osteogenesis and apoptosis by direct targeting of Runx2, Smad1, and caspase-3 [67,69]. Similarly, *miR-26a* down-regulates multiple genes related to calcification [69], *miR-141* blocks TGF- $\beta$ -triggered BMP-2 signaling, and *miR-204* represses Runx2 [66], consequently inhibiting osteogenic differentiation [65]. Thus, valve calcification could be caused by negative regulation of these miRs, which would abrogate various protective mechanisms. Furthermore, abnormal hemodynamics around the AV, as observed in patients with AS, aid disease progression by activating an inflammatory response through the NF- $\kappa$ B-dependent signaling pathway, which may be a result of *miR-148a-3p* repression [64]. This pathway is also activated in the aortic VECs on the fibrosa layer of the valve by shear-sensitive *miR-139-3p*, *-187*, *-192*, and *-486-5p* [70]. This inflammatory response recruits immune

cells to the valve, increasing the levels of CCL4 chemokine and, as a consequence, down-regulating *miR-125b* in infiltrating macrophages [63], leading to calcification. Conclusively, AV disease progression is stimulated by inhibition of miRs that regulate protective mechanisms and by disturbed hemodynamics that activate an inflammatory response. Lastly, it is hypothesized that osteoblast differentiation, by repressing the activity of TGF- $\beta$  type I receptor as part of the osteoblast lineage commitment program [71] and by inhibiting osteonectin expression through canonical Wnt pathway, applies to valve calcification in a similar fashion.

In addition to the classic approach of miRNA array analysis and RT-qPCR validation, a recent study identified *miR-214* as a regulator of AV calcification via the TGF- $\beta$ 1 pathway using an *ex vivo* shear and calcification model with porcine aortic VECs. The porcine miRs identified in the present study are homologous with human miRs, as confirmed by miRBase. In the shear and calcification model, the cells were subjected to oscillatory shear stress for 2 days. When the fibrosa side was exposed to the oscillatory shear, calcification was triggered, whereas no calcification was observed when the ventricularis side of the valve was subjected to the same condition. In these experiments, *miR-214* was significantly up-regulated by oscillatory shear in the fibrosa side compared with the ventricularis side and compared with fresh AV tissue. These results support the conclusion that in fibrosa *miR-214* is regulated in a shear- and location-dependent fashion. Immunofluorescent staining of TGF- $\beta$ 1 revealed increased expression in the fibrosa layer in the endothelium, sub-endothelium, and in the interstitial cells upon *miR-214* silencing. This strongly suggests that *miR-214* serves as a key regulator of AV calcification through modulation of TGF- $\beta$ 1 signaling. Inhibiting *miR-214*, however, did not attenuate calcification initiated by exposure to oscillatory shear, which suggests that *miR-214* modulates gene expression in AV disease in the early stages of AV pathogenesis rather than the late stages [68].

Moreover, the aforementioned circulating osteogenic progenitor cells are thought to play a significant role in CAVD pathogenesis. A recent study that compared AS patients with control subjects showed that these circulating osteogenic progenitor cells may be regulated by miRNAs. Specifically, *miR-30c* levels were higher in the AS groups compared with the control group, whereas *miR-31*, *-106a*, *-148a*, *-204*, *-211*, and *-424* levels were lower. The study also highlighted a correlation between *miR-30c* expression levels and calcification in AS patients, and that the level of *miR-30c* and the number of circulating osteogenic progenitor cells decreased after AVR. The study concluded that differential expression of ossification-related miRNAs could be related to the differentiation into circulating osteogenic progenitor cells [20].

Recently, we have shown that extracellular vesicles play a role in cardiovascular calcification [72–74,43,75]. In addition, it was shown that these calcifying vesicles are exosomes [76] and that they may contain miRNA [77–80]. It is yet to be determined how these extracellular vesicles release their content and by which mechanisms they interact with cells and the ECM to induce formation of microcalcifications. Evidence of the presence of miRNA in calcifying extracellular vesicles gives weight to the hypothesis that these miRNAs can be potential therapeutic targets for CAVD.



## miRNAs AS THERAPEUTIC TARGETS

The discovery that miRs play essential roles in a plethora of biological processes and that differential expression of miRNAs is a common contributor to cardiovascular disease, specifically to inflammatory responses and calcification, has sparked interest in targeting miRNAs with therapeutics. Expression vectors, small-molecule inhibitors, antisense oligonucleotides (ASOs) (or antagomirs or antimiRs), and miR-mimics are the four avenues that are currently explored for therapeutic intervention.

Expression vectors, or miRNA sponges, are artificial miRNA-binding sites that isolate endogenous miRNAs when overexpressed for a specific mRNA, consequently eliminating the effect the miRNAs have on their target mRNA [81]. Small molecule-based approaches function as translational regulators of the target miRNAs, rather than targeting the miRNAs themselves. Due to the high effector concentration for half-maximum response ( $EC_{50}$ ) and the unknown direct targets, the therapeutic potential of small-molecule inhibitors is constrained. AntimiRs are fully complementary to their specific target miR and, by binding to them, relieve mRNA targets from degradation or translational blockage by the specific miR. Oligonucleotides without any modification or encapsulation are prone to serum nucleases, have a low binding affinity for their target miRs, demonstrate poor pharmacodynamics/pharmacokinetics (PD/PK), and are incapable of crossing negatively charged cell membranes on account of their positive charge. The next section therefore highlights several modifications and multiple delivery strategies used to improve the therapeutic use of miR and antimiR oligonucleotides.

### Chemical modifications of miRs and antimiRs

It is imperative to improve stability and efficacy of miR and antimiRs, and to reduce their degradation by serum nucleases, prior to applying these oligonucleotides as therapeutic agents. Stability can be increased by 2'-*O*-methyl (2'-OMe) modification, though this does not increase the resistance to serum nucleases [82]. 2'-OMe oligonucleotides can be further stabilized by replacing non-bridging oxygen atoms in the phosphate backbone with sulfur atoms, creating phosphorothioate bonds, making them less susceptible to serum nucleases that cleave phosphate bonds. Replacing all non-bridging oxygen atoms, however, often removes all binding affinity for the target miRNA [83]. Replacing a limited number of phosphodiester bonds with phosphorothioate bonds allows for more nuclease resistance without reducing the binding affinity. Injecting these phosphorothioate-modified (anti)miRs directly into the bloodstream prolonged their time in the circulation due to their higher plasma protein binding, which improved their PD/PK. This does not inhibit uptake by tissues, as the plasma protein binding affinity is still lower than the binding affinity of tissues [84].

Further chemical modification of (anti)miRNA strands leads to improved efficacy and tissue distribution *in vivo*. Specifically, a combination of 2'-OMe modifications, asymmetric phosphorothioate modification on the 3' and 5' ends, plus a 3' cholesterol tail is now a commonly used strategy for stable miRNA modification [85,86]. At the same time, additional modifications at the 2' sugar position were shown to improve nuclease resistance and binding affinity. These modifications are 2'-*O*-methoxyethyl (2'-MOE), 2'-fluoro (2'-

F), and locked nucleic acid (LNA) modifications. Superior efficacy was achieved by 2'-MOE-modifications over 2'-OMe-modification [87,88]. 2'-F-modifications alone do not yield nuclease-resistant oligonucleotides, though in combination with phosphorothioate backbone modification, these oligonucleotides achieved better miRNA inhibition than any of the aforementioned modified oligonucleotides [89]. LNA modifications achieve a higher binding by tethering the 2' oxygen via a methylene bridge to the 4' carbon [90]. The best miRNA inhibition at a low dose with increased efficacy was achieved by combining LNA modifications in a recurring pattern of two DNA bases and one LNA base with 2'-F modifications [82]. This higher binding affinity creates room for miRNA inhibition with shorter sequences, and for oligonucleotides that only bind the seed region of their target miRNAs [91]. This evidence supports the hypothesis that one individual oligonucleotide with LNA modification can silence an entire miRNA family without inducing any off-target effects (OTEs). More recent modifications focus on changing the oligonucleotide conformation at non-nucleotide locations. N,N-diethyl-4-(4-nitronaphthalen-1-ylazo)-phenylamine (ZEN) modification at both ends of the sequence increases binding affinity and efficiency of a 2'-OMe-modified oligonucleotide, while simultaneously reducing toxicity [92]. All of these discoveries demonstrate the tremendous achievements in improving binding affinity, nuclease resistance, and efficacy of (anti)miRs. However, for *in vivo* applications of (anti)miRs as nucleic acid therapeutics, a delivery vehicle is often required to achieve optimal efficacy at a reasonable dose.

### Delivery vehicles for miR and antimiR oligonucleotides

Despite the improvements with direct chemical modification of miRNA molecules, delivery efficiency is largely dependent on the design of delivery vehicles for *in vivo* applications. Limited tissue distribution and excretion occur shortly after administration when chemically modified (anti)miR oligonucleotides are introduced without a carrier, requiring a higher dosage to achieve *in vivo* effect, thereby increasing the chances of undesirable OTEs and increasing overall cost. Thus, the engineering of an appropriate delivery system is critical to efficient *in vivo* application of miR and antimiRs. The main functions of these delivery systems are protecting against nucleases to prevent premature degradation [93], avoiding recognition by the immune system, preventing non-specific interactions with other proteins and cells, preventing excretion via the liver and kidneys, exiting the circulation into the target tissue, facilitating uptake by the target cells, and releasing their content intracellularly for incorporation into the RNA processing machinery [94–100]. There are many approaches to aid in the delivery of (anti)miRs, including polymer-, lipid-, conjugation-, antibody-, microbubble-, and inorganic nanoparticle-based approaches. We elaborate on some of the most promising strategies here.

Nanoparticle/polymer-based approaches focus on the interaction between the (anti)miRs and the functional block of the polymer, allowing more flexibility while controlling nanoparticle size, yielding a nearly homogeneous solution of nanoparticles with minimal size distribution. Effective drug delivery *in vivo* is highly dependent on the size of the nanoparticles. Nanoparticles with a diameter between 10 and 100 nm have been shown to functionally deliver (anti)miRs, siRNA, and small molecules [101]. Common polymers used

for the synthesis of this kind of nanoparticles include polyethyleneimine (PEI), poly(lactic acid) (PLA), and poly-L-lysine (PLL).

Lipid-based approaches are based on the interactions between the hydrophobic group of lipids and water molecules that lead to the formation of micelles or liposomes [102] and release their content by destroying the stability of the endosomal membrane via fusogenic lipids or pH-sensitive peptides. This creates liposomes that can be categorized as neutral liposomes, ionizable lipids, fusogenic lipids, and PEG liposomes. Neutral liposomes interact little with serum proteins, because of their lack of charge, leading to improved stability [103,104]. Depending on both internal factors (lipid composition) and external factors (e.g. type of solution, temperature), liposomes of different sizes can be created. Typically, small liposomes are 20–200 nm, large liposomes 200 nm–1  $\mu\text{m}$ , and giant liposomes  $>1 \mu\text{m}$  [105]. Their relatively large size slows cellular uptake and can be counteracted by incorporating 1,2-dioleoyl-*sn*-glycero-3-phosphoethanolamine [106]. Cationic liposomes consist of a cationic head, a connecting bond, and a hydrophobic tail, and form complexes with the (anti)miR based on their electrostatic interaction with the negatively charged (anti)miR [107]. Fusogenic lipids have the capability to fuse with the target membrane without external stimulation to release their therapeutic content into the cell, facilitating delivery of therapeutics that would otherwise not be permeable to the cell membrane [108,109]. PEG liposomes have an increased PD/PK compared with non-PEGylated liposomes due to increased water solubility, reduced enzymatic degradation, limited immunogenic responses, and lower renal clearance [110].

Conjugation-based approaches rely on direct conjugation of the cargo to the delivery material. Conjugation of siRNA to cholesterol [111] and other lipophilic molecules [112] showed the first efficacy *in vivo*. Other delivery materials that have been tested are antibodies, aptamers, peptides, polymers, and small molecules [113]. One example is Dynamic PolyConjugates, which are injected intravenously and target hepatocytes in the liver using *N*-acetylgalactosamine (GalNAc) ligands. After endocytosis, the PEG decomplexes from the membrane-disrupting polymer poly(butyl amino vinyl ether) (PBAVE) due to the increasingly acidic environment inside the endosome, exposing this polymer and allowing for endosomal escape. The bond linking the siRNA to this polymer is reduced in the cytoplasm, releasing the functional siRNA, causing RNA interference [114]. Another example of a conjugate system uses the same hepatocyte targeting ligand GalNAc conjugated with siRNA. A triantennary spacer links the 3' end of the sense strand of the siRNA to three GalNAc molecules [115,116]. Alnylam Pharmaceuticals produced a GalNAc conjugate that was administered both intravenously and subcutaneously. The latter showed greater uptake of siRNA in the liver and increased knockdown [117].

Microbubbles have been used in combination with ultrasound to deliver anti-miRs to the myocardium in an ischemia reperfusion mouse model [118]. The microbubbles are formed by vigorously mixing 1,2-distearoyl-*sn*-glycero-3-phosphocholine, 1,2-stearoyl-3-trimethylammonium-propane and polyoxyethylene-40-stearate in H<sub>2</sub>O, glycerol and propylene glycol, in the presence of perfluorobutane gas. The anti-miRs are bound to the microbubbles via electrostatic interaction between the cationic microbubble and the anionic anti-miRs, and injected intravenously. Local ultrasound waves ensured destruction of the

microbubbles releasing the anti-miRs at the target location, a process named ultrasound triggered microbubble destruction (UTMD). This resulted in sustained intracellular delivery of the anti-miRs in cardiomyocytes without causing apoptosis and with a mild immune response.

A combinatorial approach of the aforementioned lipids results in the most effective transfection agents. Lipid nanoparticles (LNPs) (Figure 4) consist of an ionizable lipid that complexes with the siRNA, increases cellular uptake, and aids endosomal escape; a phospholipid that provides structure to the lipid bilayer; a cholesterol that provides stability to the lipid bilayer; and a lipid-anchored PEG that limits aggregations and non-specific uptake, and that lowers the degradation rate [119,120]. PEGylation of delivery vehicles reduces the non-specific interactions with serum proteins, immune cells, and non-target tissues, thereby prolonging the time until they are filtered by the liver and renal system. Most LNPs, and other delivery systems, rely on endocytosis for entering their target cells. Ionizable lipids and ligands specific for receptors on the target cells in the outer shell delivery systems aim to trigger receptors to expedite endocytosis [121]. After endocytosis, the LNPs must release their content into the cytoplasm before the endosome is degraded by lysosomes. During maturation of an endosome, its pH is lowered to 5. The  $pK_a$  of common ionizable lipids is often approximately 6.5. At this pH, the nitrogen atoms on the ionizable lipid deprotonate and decomplex from the miRs and anti-miRs. It is hypothesized that the ionizable lipid induces a lipid phase transition and thereby disrupts the endosomal membrane, releasing the ASOs into the intracellular environment [122]. There, the guide strand of the ASOs is loaded into the RISC machinery. Therefore, conjugation of the 5'-end of this strand must be avoided [123]. Thus, conjugation of the sequences and modifications of the backbone have to be carefully considered to establish proper strand selection by the RNA processing machinery RISC and to avoid incomplete hybridization to other, non-target mRNAs leading to OTEs [97].

Recently, effective delivery has been achieved with the use of gold nanoparticles as a delivery vehicle for both siRNA and miRNA. Gold nanoparticles were functionalized with siRNA, made possible by modification with thiol groups on the 5'-end of the antisense strand, and with a fusogenic peptide linked to a thiol-modified PEG for targeting. The thiol groups allow covalent bonding of the nucleic acid and the PEG-peptide complex to the gold nanoparticle. These functionalized gold nanoparticles were doped in a hydrogel and implanted in a colorectal cancer mouse model adjacent to the tumor, leading to functional *in vivo* silencing of *Kras*, an important gene in cancer progression, leading to complete remission. In further experiments, the doped hydrogels were implanted after tumor resection, preventing recurrence [124]. In a second study, miRNA was linked to gold nanoparticles and loaded in a hydrogel using the aforementioned strategy, and implanted in a breast cancer mouse model. This resulted in local, selective, and sustained delivery of the miRNA, attenuating the metastatic tendencies of the tumour [125].

## FUTURE PERSPECTIVES

As illustrated, large variation between the aforementioned delivery systems exists. Many allow for efficacious drug delivery and for fine tailoring to specific needs. On the other hand,

scale-up and broad application of a single nanoparticle-based delivery system remains challenging [126]. The process of mixing the individual components of these delivery systems is critical to guarantee the uniform quality of the particles. A microfluidic approach may be the answer to the scale-up of these delicate manufacturing processes [127].

Currently, nanoparticle-based drug-delivery systems mainly target tumor and the liver as these tissues are highly perfused and the endothelium is often fenestrated (not continuous), allowing for easy passage of the particles. It remains a challenge to target less accessible tissues, like the AV. This challenge can be addressed by tailor-made delivery systems, such as the injection of a reservoir of particles directly into the target tissue using injectable carriers, such as hydrogels or degradable biopolymers, or by employing strategies like UTMD.

Moreover, there is room for improving the delivery process of nucleic acid therapeutics, as the exact mechanisms remain poorly understood. Recent evidence has provided certain principles and guidelines for particle-based delivery. Currently, particles are designed to avoid renal filtration and clearance by the immune system. This limits their size to approximately 20–200 nm. Shielding particles by PEGylation has increased the circulation time and reduced serum protein binding, preventing undesirable interactions. The (anti)miRs are chemically modified to improve stability and reduce degradation by nucleases, as well as immunostimulation. Receptor-specific ligands can be included in the formulation of particles to stimulate endocytosis and improve uptake by the target cells. Acidity-dependent membrane-disrupting materials can be shielded until needed and activated after endosomal uptake to facilitate release of the content. Therefore, research efforts should focus on creative and innovative delivery platforms that can deliver particles and drugs to specific target tissues, and on elucidating the mechanisms of particle circulation, homing, uptake, and release.

Prior to making the translational step to the clinic, drug-delivery devices are validated on *in vitro* and *in vivo* disease models. Employing 3D disease models with human cells has the potential to reduce the burden on animal models. The use of human cells is beneficial, as discoveries and results based on animal studies do not always translate directly to humans. Additionally, eliminating the need for animal models greatly reduces the time between bench and bedside. Specifically, for CAVD, where *in vivo* models are costly and time consuming to create, a 3D *in vitro* disease model with human cells that mimics the native valve tri-layered structure would be a valuable tool that can aid in the design of drug-delivery devices and allow for rapid testing of the functionality of novel nucleic acid therapeutics.

An innovative and reproducible 3D-bioprinted model of human CAVD can be employed (i) to study cellular and molecular mechanisms of CAVD, and (ii) as a drug screening tool. Furthermore, it can be used to identify potential therapeutic targets for CAVD, a disease without a drug-based therapy, and it can be used as a proof of concept for drug-delivery platforms that can then be expanded to target other tissues and diseases. Specifically, the multiple approaches that aid the delivery of (anti)miRs can be tested on this 3D model, simultaneously testing the efficacy of (anti)miRs in treating CAVD by reducing calcification

and testing the efficiency of the drug-delivery approach. The aforementioned approaches can be tested as is, or in combination with a delivery device, such as an implantable or an injectable hydrogel. In the clinical setting, these hydrogels would function as a local depository, allowing for a single intervention to store multiple doses of therapeutics, which are released gradually over an extended period of time.

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## Abbreviations

<b>ABCG2</b>	ATP-binding cassette, sub-family G, member 2
<b>AS</b>	aortic stenosis
<b>ASO</b>	antisense oligonucleotide
<b>AV</b>	aortic valve
<b>AVR</b>	aortic valve replacement
<b>BAV</b>	bicuspid aortic valve
<b>BMP-2</b>	bone morphogenetic protein 2
<b>CAVD</b>	calcific aortic valve disease
<b>ECM</b>	extracellular matrix
<b>EndMT</b>	endothelial-to-mesenchymal transition
<b>ERK1/2</b>	extracellular signal-regulated protein kinases 1 and 2
<b>DGCR8</b>	DiGeorge syndrome critical region gene 8
<b>2'-F</b>	2'-fluoro
<b>GelMA</b>	methacrylated gelatin
<b>HAMA</b>	methacrylated hyaluronic acid
<b>LDL</b>	low-density lipoprotein



<b>LNA</b>	locked nucleic acid
<b>LNP</b>	lipid nanoparticle
<b>LRP5</b>	low-density lipoprotein receptor-related protein 5
<b>2'-MOE</b>	2'- <i>O</i> -methoxyethyl
<b>2'-OMe</b>	2'- <i>O</i> -methyl
<b>NF-<math>\kappa</math>B</b>	nuclear factor kappa-B
<b>OPG</b>	osteoprotegerin
<b>OTE</b>	off-target effects
<b>PD/PK</b>	pharmacodynamics/pharmacokinetics
<b>PEI</b>	polyetheleneimine
<b>PI3K</b>	phosphoinositide 3-kinase
<b>PLA</b>	poly(lactic acid)
<b>PLL</b>	poly-L-lysine
<b>pre-miRNA</b>	precursor miRNA
<b>pri-miRNA</b>	primary miRNA transcript
<b>RANK</b>	receptor activator of nuclear factor $\kappa$ -B
<b>RANKL</b>	receptor activator of nuclear factor $\kappa$ -B ligand
<b>RISC</b>	RNA-induced silencing complex
<b>RT-qPCR</b>	real-time quantitative PCR
<b>Runx2</b>	runt-related transcription factor 2
<b><math>\alpha</math>-SMA</b>	$\alpha$ -smooth muscle actin
<b>SMAD</b>	similar to mothers against decapentaplegic
<b>TGF-<math>\beta</math>1</b>	transforming growth factor- $\beta$ 1
<b>TNF-<math>\alpha</math></b>	tumour necrosis factor- $\alpha$
<b>UTMD</b>	ultrasound triggered microbubble destruction
<b>VEC</b>	valvular endothelial cell
<b>VIC</b>	valve interstitial cell
<b>ZEN</b>	N,N-diethyl-4-(4-nitronaphthalen-1-ylazo)-phenylamine

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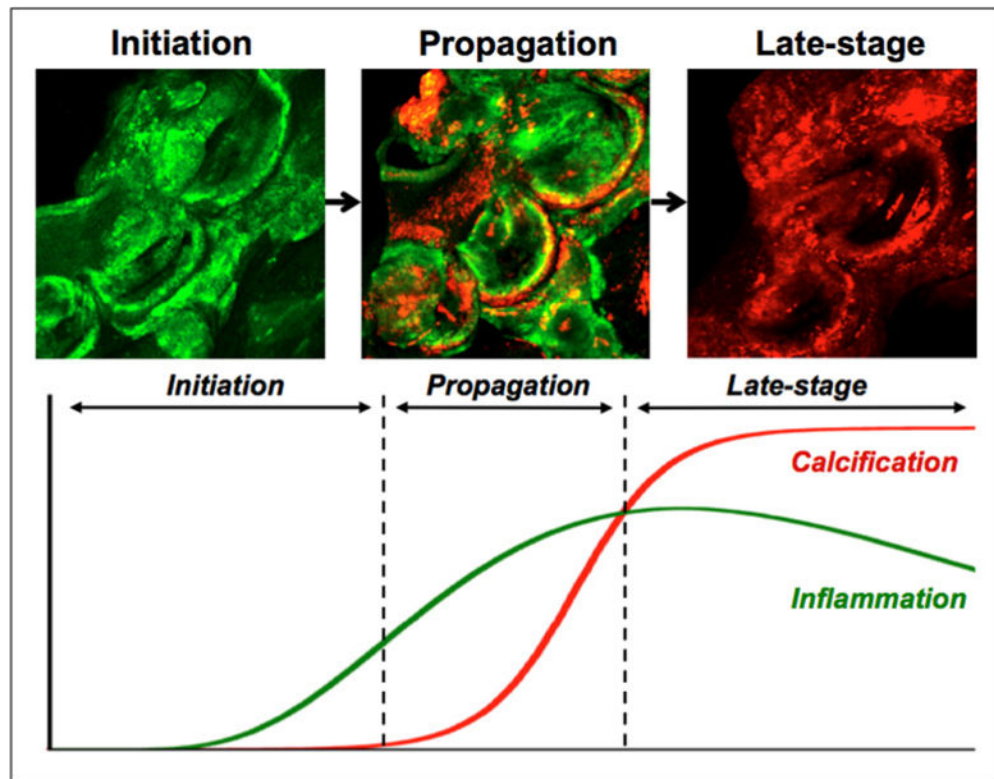


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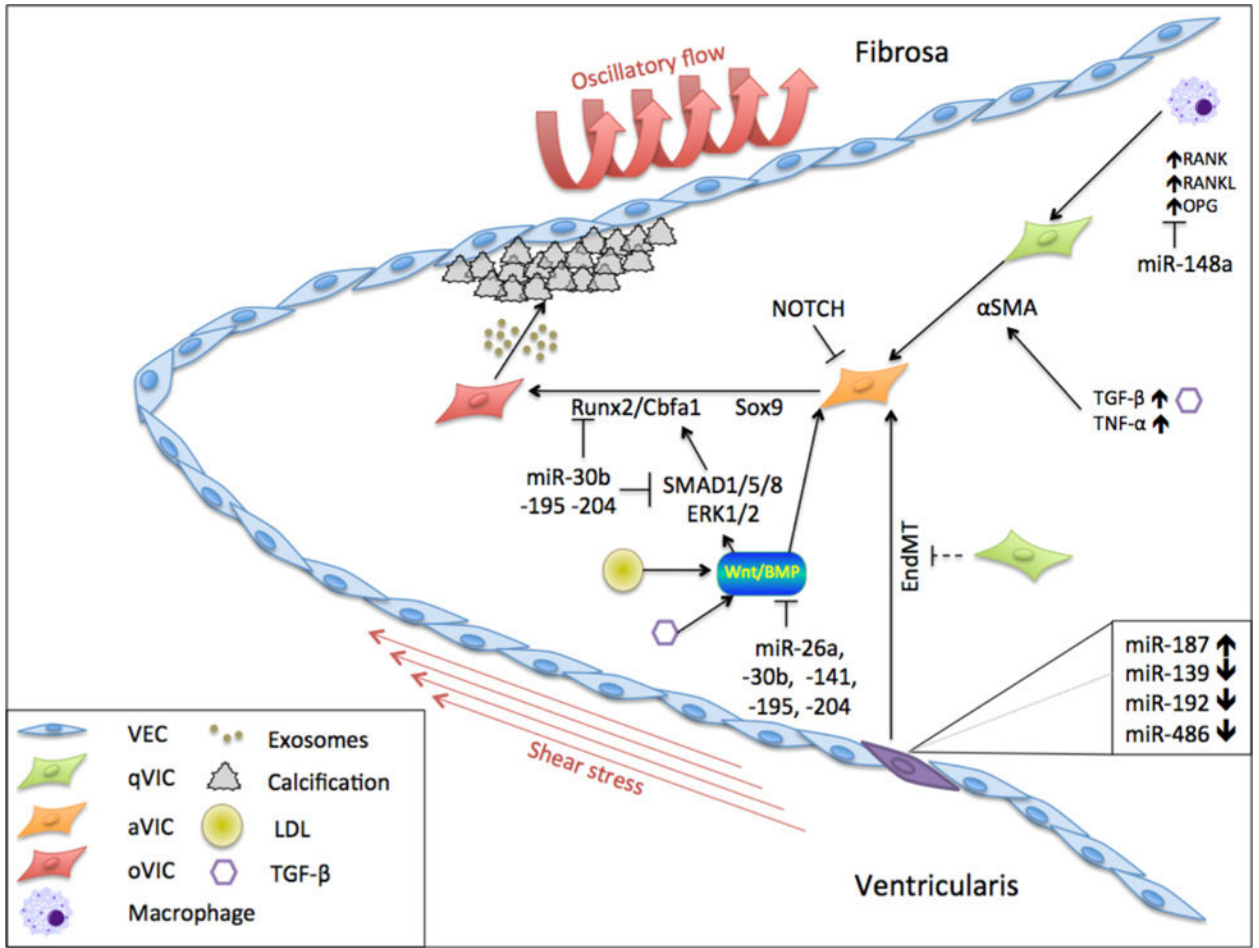
### CLINICAL PERSPECTIVES

- Employing human 3D in vitro models of CAVD for identifying the underlying mechanisms and potential targets, and for drug screening, may accelerate the discovery and validation of a drug-based therapy for CAVD. With this, patients would be able to receive treatment in an earlier stage of the disease, slowing disease progression or even reversing and curing it.
- Furthermore, validation of drug delivery platforms on a human in vitro model could expedite the translation from bench to bedside of local delivery systems.
- In addition, the facile production process of the proposed delivery system allows for a broad range of applications and as miRNA therapeutics are identified for different diseases, for different target cells, tissues, or organs, similar drug delivery platforms may be applied in multiple clinical settings to treat a range of diseases.



**Figure 1. CAVD progression**

Initiation, propagation and late-stage calcification are three distinct stages of calcification in CAVD that can be identified by molecular imaging. Macrophages are labelled green and calcification is labelled red in a molecular imaging strategy to highlight the inflammation-dependent mechanism of calcification [128].



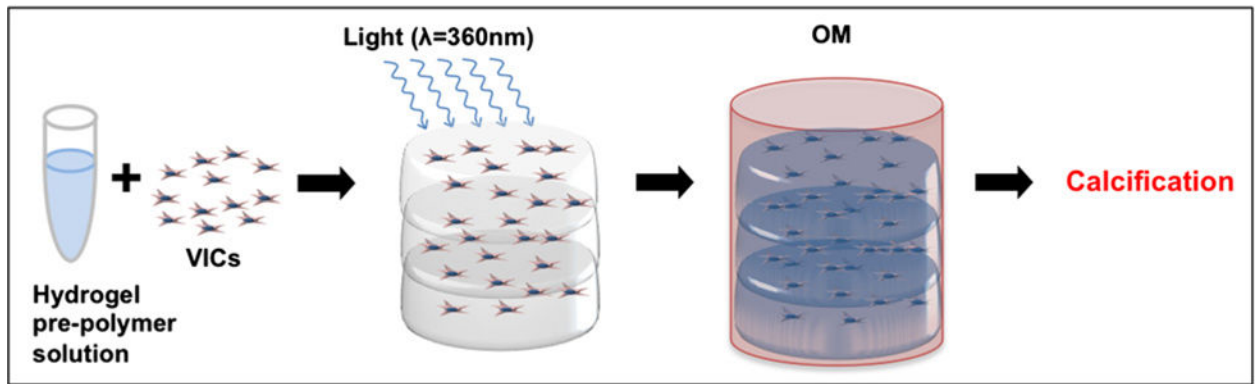
**Figure 2. Molecular and cellular mechanisms in CAVD**  
 VICs differentiate from a quiescent state (qVIC) through an activated state (aVIC) into osteogenic cells (oVIC) that contribute to calcification of the fibrosa side of the AV. Mechanical stresses, EndMT, LDL, TGF-β and TNF-α affect the mechanism of disease via multiple pathways, including RANK, RANKL, OPG, α-SMA, Runx2 and BMP.

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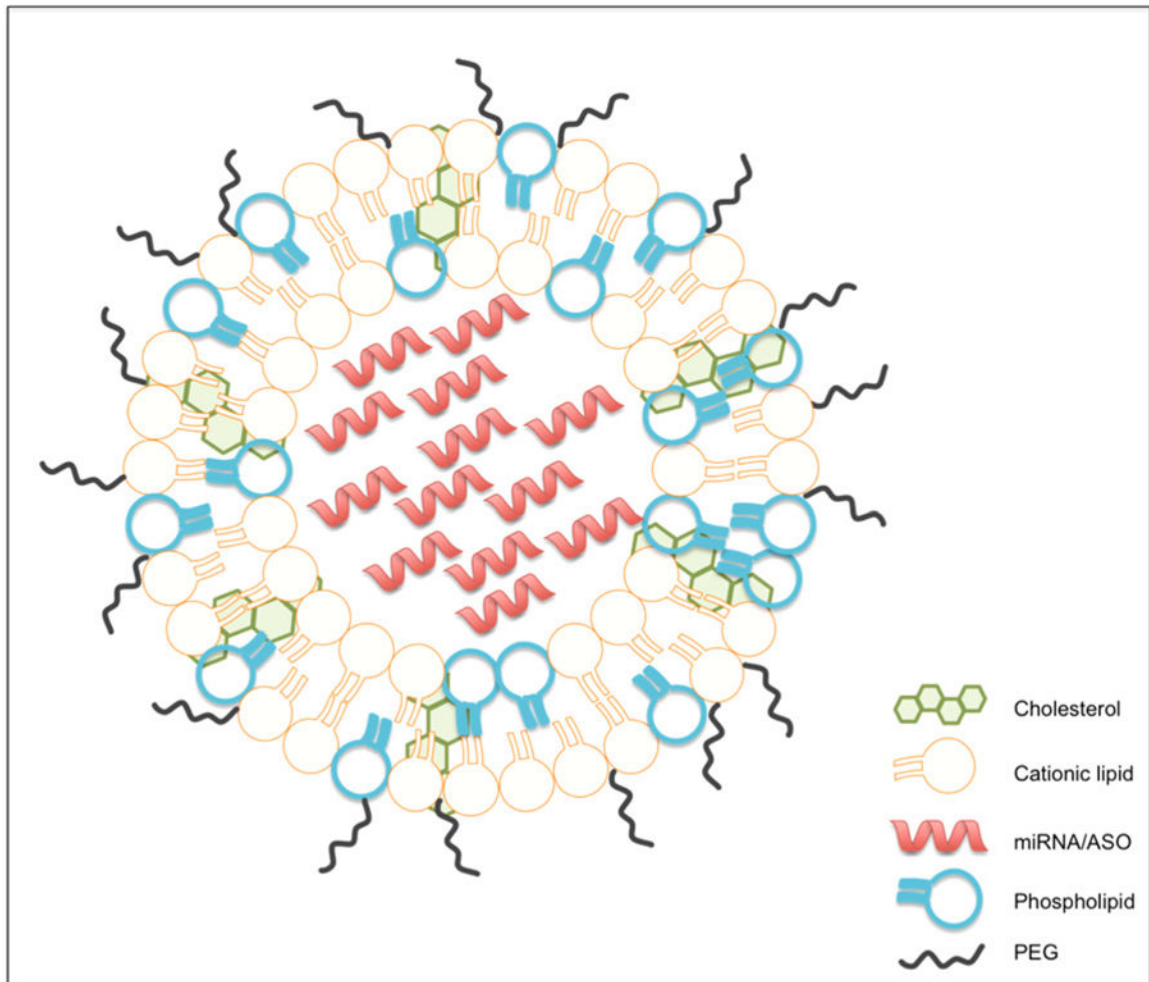
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**Figure 3. 3D-bioprinted CAVD model**

Isolated human aortic VICs are suspended in a hydrogel pre-polymer solution with photo-initiator cross-linking agent (A). Three distinct layers are bioprinted and cross-linked using UV light (B). Exposure to osteogenic medium (OM) activates the quiescent VICs to differentiate into activated VICs and osteogenic VICs (C), leading to the formation of microcalcifications, thereby mimicking CAVD progression.





**Figure 4. LNP**

Schematic representation of a LNP made up of cationic lipids that covalently bind with the nucleic acid content, e.g. miRNA or ASO, phospholipids that provide structure to the lipid bilayer, cholesterol that provides stability of the lipid bilayer, and lipid-anchored PEG that limits aggregation and non-specific uptake.

### Overview of miRNA profiling studies in CAVD

This table provides an overview of the differentially expressed miRNAs in diseased AV tissues. AI, aortic insufficiency; TAV, tricuspid aortic valve.

**Table 1**

miRNA	Tissue	Finding	Method	Patients	Reference
<i>26a, 30b, 195</i>	Whole bicuspid AVs	Down-regulated	Microarray + RT-qPCR	9 BAV patients with AS/AI	[69]
<i>30e, 32, 145, 151-3p, 152, 190, 373, 768-3p, 22, 27a, 124-3-pre, 125b-1-pre, 141, 185-pre, 187, 194, 330-5p, 377, 449b, 486-3p, 551a-pre, 564, 566-pre, 575, 622, 637, 648-pre, 1202, 1282, 1469, 1908, 1972</i>	Bicuspid and tricuspid AVs	Up-regulated	Microarray + RT-qPCR	19 BAV's 17 TAV's patients with AS	[66]
<i>30b</i>	Calcific AV and adjacent tissue	Down-regulated	RT-qPCR	10 patients with AS	[67]
<i>148-3p</i>	Excised AV tissue	Down-regulated	RT-qPCR	4 BAV's compared with healthy AV	[64]
<i>125b-374b, 602, 939</i>	Calcific AV and healthy AV	Up-regulated	Microarray + RT-qPCR	20 patients with AS	[63]
<i>214</i>	Porcine AV	Up-regulated	Microarray + RT-qPCR	<i>Ex vivo</i> shear stress calcification model	[20]

**Table 2**  
**Overview of functional studies of miRNAs and their roles in CAVD**

This table provides an overview of the differentially expressed miRNAs in diseased AV tissues that have been validated in functional studies. haVIC, human aortic valve interstitial cell; paVIC, porcine aortic valve interstitial cell.

miRNA	Target (genes)	Cell	Results
30b	RUNX2, SMAD1, CASP3, SMAD3, BMP2, NOTCH1	haVICs	Vitiates BMP2-induced osteoblast differentiation and apoptosis through direct targeting of Runx2, Smad1 and caspase-3 [67]. Down-regulates calcification-related gene pathways [69]
26a	ALPL, BMP2, SMAD1	haVICs	Repressed several of the calcification-related genes and increased the mRNA levels of genes that may have roles inhibiting calcification (JAG2, SMAD7) [69]
195	BMP2, RUNX2, SMAD1,-3,-5 JAG2, SMAD7	haVICs	Activates pro-calcification gene expression [69] Increases calcification repressing genes JAG2 and SMAD7 [69]
148a-3p	IKBKB	haVICs	Cyclic stretch represses <i>miR-148a-3p</i> and activates NF- $\kappa$ B-dependent inflammatory signaling pathway [64]
141	BMP2	paVICs	Blocks TGF- $\beta$ -triggered BMP2 signaling [66]
204	RUNX2, BMP2	haVICs	Negatively regulates osteogenic differentiation by repressing RUNX2 [65]
214	TGF- $\beta$ 1	paVICs	Regulates TGF- $\beta$ 1 regulator of calcification in early stages of CAVD [68]