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# The Chemical Basis for Electrical Signaling

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Electrical signals initiate all rapid physiological events that take place on the millisecond time scale, from long-range electrical communication within bacterial biofilm communities<sup>1</sup>, to coordinated swimming of protozoa like Paramecium<sup>2</sup>, and hitting a fastball in major league baseball<sup>3</sup>. Initiation of electrical signaling requires voltage-gated sodium and/or calcium (Na<sub>V</sub> and/or Ca<sub>V</sub>) channels, which are transmembrane proteins that open their pore in response to membrane depolarization. They conduct Na<sup>+</sup> and Ca<sup>2+</sup> into cells to rapidly depolarize the cell membrane and initiate conducted action potentials (Fig. 1a)<sup>3,4</sup>. Immediately afterwards, the voltage-gated potassium (K<sub>V</sub>) channels open and mediate efflux of K<sup>+</sup> to terminate the electrical signal and restore the membrane to its resting potential in preparation for upcoming action potentials (Fig. 1a)<sup>3,4</sup>. The essential functions of Na<sub>V</sub> and Ca<sub>V</sub> channels required for initiating action potentials are (i) steeply voltage-dependent activation, (ii) rapid pore opening, (iii) highly selective ion permeability, and (iv) voltage-dependent inactivation to terminate the increase in cation influx<sup>3</sup>. These steps in channel function are considered in sequence below.

# Subunit architecture of voltage-gated sodium and calcium channels

The Na<sub>V</sub> and Ca<sub>V</sub> channel proteins were first identified by photoaffinity labeling, purification, and reconstitution<sup>5,6</sup>, and their amino acid sequences were determined by cDNA cloning and sequencing<sup>7,8</sup>. Analysis of cDNA sequences revealed that they have a common evolutionary ancestor within a superfamily of ion channel proteins<sup>9,10</sup>. The fundamental structural building block of Nav and Cav channels is a noncovalently or covalently linked tetramer of six-transmembrane (TM) subunits or domains that surround a central pore<sup>11,12</sup>. Prokaryotic Na<sub>V</sub> channels are composed of four 6-TM subunits in a homotetramer<sup>13,14</sup>. The crystal structure of the bacterial sodium channel Na<sub>V</sub>Ab revealed the fundamental fold and TM architecture for these channels (Fig. 1)<sup>15</sup>, which is shared with K<sub>V</sub> channels (Box 1). The first four TM helices (S1–S4) form the voltage-sensing module that responds to changes in membrane potential. The S5 and S6 TM segments and the connecting P loop form the pore module that mediates selective ion permeation. These two functional modules are connected by the S4-S5 linker helix, which is oriented approximately parallel to the membrane surface. The four subunits assemble in a domainswapped manner, in which each voltage-sensing module interacts most closely with the pore-forming module of the neighboring subunit (Fig. 1c).

#### Box 1

#### Voltage-Gated Potassium Channels

In the yin and yang of electrical signaling, Nav and Cav channels initiate electrical signals and  $K_V$  channels terminate them (Fig. 1)<sup>1</sup>. Here we provide an outline and references to reviews on  $K_V$  channels, which are not covered in this article.  $K_V$  channels are homo- or heterotetramers of four homologous subunits with structures similar to one subunit or domain of an Na<sub>V</sub> or Ca<sub>V</sub> channel <sup>2</sup>. The structure of their voltage-sensing module is similar to Na<sub>V</sub>Ab<sup>3</sup>. Their voltage-dependent gating is now thought to resemble that of Na<sub>V</sub> and Ca<sub>V</sub> channels, with outward movement of the S4 segment through a "charge-transfer center" within the hydrophobic constriction site in the center of the voltage-sensing module<sup>4-6</sup>. The open pore of K<sub>V</sub>1.2 resembles the open pore of Na<sub>V</sub>Ms with a substantial orifice formed by the intracellular ends of the S6 segments<sup>3</sup>. Selectivity and conductance of K<sup>+</sup> proceeds via a very different mechanism from Na<sub>V</sub> and Ca<sub>V</sub> channels. K<sup>+</sup> is conducted as a completely dehydrated cation and interacts only with backbone carbonyls, which form a characteristic, highly conserved ion selectivity filter<sup>7</sup>.  $K_V$  channels inactivate by two mechanisms<sup>8</sup>. N-type inactivation involves an inactivation ball tethered at the amino terminus of the pore-forming  $\alpha$  subunit or the auxiliary  $\beta$ subunit, which is thought to enter and block the pore from the intracellular side<sup>9</sup>. C-type (or P-type) inactivation involves conformational changes in the ion selectivity filter and S6 segments, and it generally resembles slow inactivation of Na<sub>V</sub> channels<sup>10</sup>. Detailed presentations of this work on K<sub>V</sub> channels can be found in the reviews and articles cited below<sup>10</sup>.

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Eukaryotic Na<sub>V</sub> and Ca<sub>V</sub> channels are composed of four homologous 6-TM ion channel domains covalently linked to form a 24-TM protein<sup>7,8</sup>. Early biochemical studies showed that Na<sub>V</sub> and Ca<sub>V</sub> channels are expressed as multisubunit complexes with auxiliary subunits<sup>5,6</sup>, but their pore-forming a subunits are sufficient for function as voltage-gated ion channel<sup>16</sup>. Cryo-electron microscopy (cryo-EM) provided a low resolution structure of a Na<sub>V</sub> channel<sup>17</sup>. Recent studies with modern state-of-the-art equipment revealed the molecular architecture of the Ca<sub>V</sub>1.1 channel of skeletal muscle with its complete set of auxiliary subunits at a resolution of 3.6 Å (Fig. 1d), a major breakthrough in structural biology of eukaryotic ion channels<sup>18,19</sup>. The central a 1 subunit of Ca<sub>V</sub>1.1 has a core structure analogous to Na<sub>V</sub>Ab. As expected from extensive biochemical studies<sup>6</sup>, the a 1 subunit is associated with an extracellular a28 subunit, an intracellular  $\beta$  subunit having a structure resembling the isolated  $\beta$  subunits studied previously<sup>20–22</sup>, and a 4-TM  $\gamma$  subunit (Fig. 1d).

# Voltage Sensing

The most unique feature of  $Na_V$  and  $Ca_V$  channels is their exquisite sensitivity to transmembrane potential. Hundreds of transmembrane proteins are subject to the same plasma membrane potential but are unaffected by it. What structural and chemical mechanisms are responsible for this unique voltage sensitivity? In their classic work on voltage clamp analysis of Na<sup>+</sup> currents in the squid giant axon, Hodgkin and Huxley predicted that voltage sensing must involve movement of charged "particles" across the membrane electrical field in the process of channel activation<sup>4</sup>. The capacitative "gating currents" resulting from the transmembrane movement of these gating charges were measured about 20 years later <sup>23</sup>. The initial amino acid sequence of a Na<sub>V</sub> channel revealed a striking concentration of positive charges repeated at intervals of three positions in the S4 alpha-helical segmen<sup>7</sup>. It was subsequently proposed that the S4 segment has a TM position in both resting and activated states and bears the gating charges that drive voltage-dependent activation<sup>24,25</sup>. These 'sliding-helix' or 'helical-screw' models posited that: (i) the positive gating charges were neutralized in their TM positions by formation of ion pairs with negatively charged amino acid side chains in surrounding TM segments; (ii) the negative internal membrane potential exerted an electrostatic force to pull those gating charges inward toward the cytosol; and (iii) depolarization released the gating charges to move outward along a spiral pathway, exchanging ion pair partners, carrying the capacitative gating current outward, and initiating conformational changes that result in pore opening<sup>24,25</sup>. Extensive work on sodium channels has tested this hypothesis, and strong support has emerged from several approaches (reviewed in <sup>26</sup>). Highlights of this previous work include: (i) Neutralization of the S4 gating charges by site-directed mutagenesis shifts the voltage dependence of activation and reduces its steepness<sup>27</sup>. (ii) Neurotoxin binding studies revealed that the S4 segment is in a TM orientation in both resting and activated states<sup>28,29</sup>. (iii) Chemical labeling studies showed that the S4 segment moves outward through a short TM pathway in the voltage-sensing module during activation $^{30-32}$ . (iv) Disulfide-locking studies showed that the S4 gating charges exchange ion pair partners

during outward motion of the S4 segment<sup>33–36</sup>. These findings support all of the essential features of the sliding-helix/helical-screw model of gating.

Despite these extensive biophysical studies, the structural basis for voltage sensor function and the chemical mechanisms that support gating charge movement have remained unclear. Recent studies using structural modeling and X-ray crystallographic analysis of multiple classes of ion channels have given new insight into these fundamental questions. The structure of the voltage sensor of the bacterial sodium channel Na<sub>V</sub>Ab has a conformation (Fig.  $2^{15}$ ) almost identical to the voltage sensor of the K<sub>V</sub>1.2/2.1 channel chimera (see Box 1<sup>37</sup>). The S1–S2 and S3–S4 helical hairpins form a V-shaped aqueous cleft between them (Fig. 2). A hydrophobic constriction site (HCS, Fig. 2, green) seals the voltage sensor at its center, preventing TM movement of water or ions through the cleft<sup>15</sup>. The four Arg gating charges in the S4 segment (R1-R4, Fig. 2, blue) are arrayed across the membrane at an angle of  $\sim 25^{\circ}$  from perpendicular. The R1–R3 gating charges are on the extracellular side of the hydrophobic constriction site (Fig. 2), where they interact with the negatively charged side chains of amino acid residues in the extracellular negative cluster (ENC, Fig. 2, red), as well as other hydrophilic side chains<sup>15</sup>, backbone carbonyls<sup>15</sup>, and water in the aqueous cleft. The R4 gating charge is on the intracellular side of the hydrophobic constriction site, where it interacts with negatively charged amino acid side chains in the intracellular negative cluster (INC, Fig. 2, red). The narrow hydrophobic constriction site creates a focused electric field between the aqueous cleft on the extracellular side of the voltage sensor and the cytosol on the intracellular side, as suggested from previous studies<sup>32,38</sup>. This snapshot of NavAb structure suggests that the S4 segment could move inward or outward, translocating gating charges through the hydrophobic constriction site in response to changes in electrical field<sup>15</sup>.

Molecular modeling and recent X-ray crystallographic studies support the sliding helix/ helical screw mechanism of voltage sensor function. Using the Rosetta Membrane ab initio computational modeling system and molecular constraints from disulfide-locking experiments, a series of three resting and three activated states of the voltage sensor were modeled at high resolution for the bacterial Na<sub>V</sub> channel NaChBa<sup>36</sup>. The positions of the S4 segments illustrate progressive outward movement of the gating charges and exchange of ion-pair and hydrophilic interactions as they move from Resting States 1-3 outward to Activated States 1–3 (Fig. 3a). Experimental support for these conformational transitions comes from X-ray crystal structures of multiple ion channels and related proteins captured in a series of distinct conformations (Fig. 3b). The crystal structure of Na<sub>V</sub>Ab shows the voltage sensor in a conformation similar to Activated State 2 (compare Fig. 2 vs. 3a). Disulfide locking the voltage sensor in that conformation caused activation followed by inactivation of NaChBac<sup>34</sup>. Gating charges R1–R3 are external to the hydrophobic constriction site in this state.  $K_V 1.2/2.1$  (Box  $1^{37}$ ) and the voltage-gated calcium channel  $Ca_V 1.1^{18}$  were also captured in this state, which must be a highly stable state for voltage sensors at 0 mV as in a protein crystal. In contrast, the voltage sensor of the bacterial sodium channel Na<sub>V</sub>Rh was captured in a further activated state in which the R4 gating charge has moved more completely across the hydrophobic constriction site than in Activated State 3 of NaChBac; (Fig. 3b<sup>39</sup>). Because the R4 gating charge is on the outer edge of the hydrophobic constriction site, this structure likely reflects full activation because the focused electric field

can no longer exert electrostatic force on the gating charges. In contrast to these activated states, the structures of the resting states of voltage-gated ion channels have been particularly difficult to study because they exist in cells at the resting membrane potential of approximately –90 mV, and there is no membrane potential in protein crystals.

The voltage-sensitive phosphatase Ci-VSP has a voltage sensor linked to a lipid phosphatase catalytic domain<sup>40</sup>. Its voltage dependence is shallow compared to voltage-gated ion channels, consistent with movement of a single gating charge during activation<sup>41</sup>. In a landmark study, Perozo and colleagues captured this voltage sensor in two functional states, resting and activated, the first time that structures of multiple states of the same voltage sensor have been determined<sup>41</sup> (Fig. 3b). The structures are similar to Resting State 1 and Resting State 2 predicted from structural modeling studies of NaChBac (Fig. 3a)<sup>36</sup>. In another landmark advance, the research groups of Youxing Jiang<sup>42</sup> and Robert Stroud<sup>43</sup> determined the crystal structure of a Two Pore Channel (TPC1), which is composed of two 6-TM voltage-gated ion channel domains in a single polypeptide and functions in the membrane as a noncovalent dimer. In their structures, the first voltage sensor adopts an activated conformation, as usual for voltage-gated ion channels, while the second voltage sensor is locked in a resting state (Fig. 3b) by binding of calcium, a potent channel regulator. In these structures, a deeper resting state than in Ci-VSP is captured, with the R1–R3 gating charges all located on the intracellular side of the hydrophobic constriction site. The S4 segment is retracted even further toward the intracellular side than in Resting State 1 of NaChBac (Fig. 3a). This series of conformational states of voltage sensors from widely different voltage-sensitive proteins (Fig. 3b) fits surprisingly closely with the predicted series of resting and activated states of the voltage sensors of bacterial Na<sub>V</sub> channels and  $K_V$ channels (Fig. 3a, Box 1)<sup>36</sup>.

What chemical interactions stabilize the gating charges in the lipid membrane and catalyze their movement in response to changes in membrane potential? All of the structures in Fig. 3b show the gating charges interacting with hydrophilic and negatively charged side chains in the extracellular aqueous cleft and the intracellular negative cluster. When gating charges move through the hydrophobic constriction site, they leave interactions with hydrophilic and negatively charged moieties on one side of the barrier and make new interactions with hydrophilic and negatively charged groups on the other side. This exchange of ion-pair and hydrophilic interactions follows precisely the prediction of the sliding-helix model of voltage-sensor function, which posited that the gating charges would move across the membrane by exchange of ion-pair partners<sup>24</sup>. It seems likely that this sliding-helix mechanism, or a variation on this theme, is responsible for voltage-dependent activation of all voltage-gated ion channels and therefore represents the chemical basis for electromechanical coupling in voltage sensors.

# Pore Opening

Classic studies of potassium channels showed that they open at the intracellular end<sup>44</sup>, and the first ion channel crystal structures of the pore-only bacterial KcsA channels revealed tight closure by crossing of the four pore-lining (S6 equivalent) segments that form the activation gate (Box 1<sup>45</sup>). Since then, crystal structures of pore modules with and without

voltage sensors from several bacterial Na<sub>V</sub> channels have been determined<sup>15,39,46–49</sup>. The pre-open state structure of Na<sub>V</sub>Ab (with I217C mutation) (Fig. 1b and Box 2) and structures of a number of other pore-only constructs revealed similar closed-pore conformations<sup>15</sup>. In the Na<sub>V</sub>Ab structure, all four voltage sensors are activated but the pore is tightly closed with average diameters of 7.6 Å and 4.4 Å from the centers of diagonally opposing nearest atoms of I217C and Met 221, respectively (Fig. 4a). This pre-open state is prepared to spring open with all four subunits participating in a simultaneous conformational change to the open state (Box 2). The domain-swapped organization of the subunits of bacterial sodium channels argues for concerted opening, because structural clashes would occur if the subunits undergo the pore-opening conformational change individually.

#### Box 2

#### **Structures and States**

Structures determined by X-ray crystallography or cryo-EM are essentially fixed snapshots of one functional state of the protein. However, because function cannot usually be measured in the crystal or frozen cryo-EM sample, assigning the probable functional state requires use of indirect information. So far, X-ray crystallography and cryo-EM studies of intact voltage-gated Na<sup>+</sup> and Ca<sup>2+</sup> channels have revealed two probable functional states—pre-open and inactivated<sup>1-3</sup>. The initial structure of Na<sub>V</sub>Ab (with I217C mutation) was proposed to be in the pre-open state, one of the required functional states for a tetrameric ion channel that opens by a concerted mechanism. In Na<sub>V</sub>Ab/I217C, all four voltage sensors are activated, the selectivity filter is open and symmetric, but the activation gate is tightly closed<sup>1</sup>. This fits the expectation of a preopen state that is poised to spring open on the msec time scale via a conformational change of the activation gate. NavAb/I217C could conceivably also represent an inactivated state; however, extensive studies of slow inactivation of mammalian and bacterial sodium channels by mutagenesis and molecular modeling support the idea that a change in the conformation of the ion selectivity filter to a nonconductive state is a critical part of the slow-inactivation process<sup>4,5</sup>. Since molecular dynamics studies indicate that the ion selectivity filter is in an open conformation in Na<sub>V</sub>Ab/I217C<sup>6-8</sup>, it is not likely to be in a slow-inactivated state. On the other hand, the structures of NavAb wild-type and Na<sub>V</sub>Rh fit the criteria for slow-inactivated states<sup>2,3</sup>. In both structures, there are substantial rearrangements of the ion selectivity filter, the central cavity, and the activation gate, which result in pores that are partially collapsed throughout their length. The rectangular or parallelogram shapes of the ion selectivity filters in these channel structures are unlikely to be effective in Na<sup>+</sup> conductance, because Na<sup>+</sup> prefers four planar waters of hydration in square array, exactly the size and shape of the ion selectivity filter of Na<sub>V</sub>Ab/I217C, which is distorted in the putative inactivated states of Na<sub>V</sub>Ab/WT and Na<sub>V</sub>Rh. A critical need in understanding the full series of conformational changes that Na<sub>V</sub> channels can undergo is to determine the structures of resting and open states, which will fill in the gaps between these putative pre-open and inactivated conformations.

The available structures of  $Na_V$  and  $Ca_V$  channels do allow further conclusions about the structures of functionally important states of their domains. The voltage sensors have been captured in activated states multiple times<sup>1–3</sup>, and both the TPC channel from

*Arabidopsis thaliana*<sup>9</sup> and the voltage-sensitive phosphatase Ci-VSP from *Ciona intestinalis*<sup>10</sup> provide the first glimpses of the resting-state structures of voltage sensors. Similarly, the pore-only constructs of Na<sub>V</sub>Ms and Na<sub>V</sub>Ae offer initial views of the structure of the open activation gate<sup>11,12</sup>. Nevertheless, the field eagerly awaits the structures of complete Na<sub>V</sub> and Ca<sub>V</sub> channels in resting and open states, arguably the two most important functional states of those channel families.

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*What conformational changes are involved in pore opening*? A structure of the pore-only construct of  $Na_VMs$  revealed an open activation gate, dilated to a diameter of ~8.4 Å (Fig. 4a)<sup>47,50,51</sup>. This diameter would accommodate passage of hydrated  $Na^+$  <sup>50</sup>. Therefore, this structure provides the first experimental evidence for the conformation of an open sodium channel activation gate. Analysis of the closed ( $Na_VAb$ ) and open ( $Na_VMs$ ) pore structures

indicates movement of the S6 segments in a twisting manner (Fig. 4b), analogous to the iris of a camera.

Although the S4 segment moves outward through the voltage-sensing module during voltage-dependent activation, recent structures suggest that repositioning of the voltage-sensing module with respect to the pore module drives pore opening. Overlay of the resting and activated voltage-sensing modules in domains II and I of TPC1 (Fig. 4b, left), as well as the activated and further-activated voltage-sensing modules of Na<sub>V</sub>Ab and Na<sub>V</sub>Rh (Fig. 4b, right), reveals a striking clockwise rotation of the voltage-sensing modules with respect to the pore. The rotation observed in these four voltage sensor structures follows the sequence TPC1/DII>Na<sub>V</sub>Ab>TPC1/Di>Na<sub>V</sub>Rh (Fig. 4c), consistent with the relative extents of outward movement of S4 segments in these structures (Fig. 3a). This comparison indicates that the voltage-sensing module rotates in the plane of the membrane around the pore module, exerting a torque on the S4–S5 linker along the inner membrane surface rather than pulling it outward (Fig. 4c<sup>15,50</sup>). That rigid-body motion of the S4-S5 linker drives rotation and subtle bending of the pore-lining S6 segments, which open the activation gate in an iris-like transition<sup>15,50,51</sup> (Fig. 4b).

The structure of Na<sub>V</sub>Ab with four voltage sensors activated but the pore still closed provides evidence for a concerted mechanism of pore opening. This proposed concerted opening mechanism raises an important thermodynamic question. How is the electrostatic energy of outward movement of each S4 segment through the membrane electric field captured and summated to drive the subsequent concerted opening of the pore? Conformational transition of the S4 segment from low-energy alpha helix (i to i+4 H-bond) to higher-energy  $3_{10}$  helix (i to i+3) as it moves through the gating pore may provide a mechanism to capture electrostatic energy in the activated conformation of the voltage sensor<sup>26,36</sup>. Disulfidelocking studies of the movement of the S4 segment support outward translocation as a 310 helix, which allows each gating charge to interact with the same set of neutralizing residues during transit through the gating pore<sup>36</sup>. In this model, the electrostatic energy of the electric field would be captured by the energy-requiring transition from alpha helix to  $3_{10}$  helix<sup>26</sup>. Consistent with this idea, the gating charges outside of the hydrophobic constriction site in Na<sub>V</sub>Ab are in a 3<sub>10</sub> helix<sup>15</sup>, whereas K<sub>V</sub>1.2 has an open pore and its gating charges are in alpha-helical conformation<sup>52</sup>. Transformation of this  $3_{10}$  helix back to alpha helix would provide energy on the order of 7 kcal/mol<sup>53</sup> to drive the pore-opening transition. Structures of closed and open pores in a complete voltage-gated channel are required to test this hypothesis for capturing the energy of the electric field to drive concerted opening.

Concerted pore opening is likely to be physiologically important for Na<sub>V</sub> channels, which must depolarize excitable cells within less than one millisecond with a smoothly and steeply rising change in membrane potential. A stepwise rising phase reflecting individual conformational changes of four S6 segments would not give optimal electrical stimulation for rapid conduction in axons and or neurotransmitter release in nerve terminals. The four-domain mammalian Na<sub>V</sub> channels have additional specializations that control kinetics and voltage dependence of activation, such that their four domains activate in a specific voltage/ time sequence: III>I>IV><sup>54</sup>.

Surprisingly in light of these ideas on Na<sub>V</sub> channel gating, recent structures of  $K_V 10.1$  (or Eag1)<sup>55</sup> and TRPV6<sup>56</sup> revealed homotetrameric ion channels with structurally distinct S4-S5 linkers and no domain-swapping. However, these channels activate more slowly in their physiological context, so nonconcerted, independent pore-opening movements may be sufficient for their function.

## Ion Conductance and Selectivity

In order to effectively depolarize excitable cells, sodium and calcium channels must be highly selective. Sodium channels have a selectivity sequence of Na<sup>+</sup>>K<sup>+</sup>>Ca<sup>2+</sup>>Cl<sup>-</sup> (~1.00:0.08:0.02:<0.01)<sup>57,58</sup>. Because Na<sup>+</sup> and Ca<sup>2+</sup> have similar ionic diameters, ion selectivity must be based on chemical interactions rather than molecular sieving. The pore of Na<sub>V</sub>Ab is composed of a wide outer vestibule, a narrow ion selectivity filter, a large waterfilled central cavity, and an intracellular activation gate (Fig. 4a). The selectivity filter formed by Thr-Leu-Glu (TLESWSM (Fig. 5a)) is highly conserved among bacterial sodium channels. Its extracellular edge contains negatively charged side chains of four Glu residues that form a high field-strength site with an internal orifice of  $\sim 4.6$  Å  $\times 4.6$  Å. The high fieldstrength site is followed by central and inner coordination sites of similar internal dimensions composed of backbone carbonyls of the Leu and Thr residues (Fig. 5a). As the ionic diameter of Na<sup>+</sup> is ~2.1 Å<sup>59</sup>, the space between the ion and the coordinating carboxyls and carbonyls must be filled with water, indicating that Na<sup>+</sup> is conducted as a hydrated cation. Hydration of Na<sup>+</sup> by an inner shell of water molecules in tetragonal bipyramidal or octahedral geometry is a common configuration in protein crystals containing  $Na^{+60}$ , and it would fit the square geometry of the Na<sub>V</sub>Ab pore very well. The structure of Na<sub>V</sub>Ab<sup>15</sup> revealed two key differences in the mechanism of ion conductance between Na<sub>V</sub> and K<sub>V</sub> channels: the negatively charged high field-strength site meets the incoming Na<sup>+</sup>, in contrast to the ion selectivity filter of  $K_V$  channels which is made completely of backbone carbonyls; and Na<sup>+</sup> is conducted as a hydrated cation, whereas K<sup>+</sup> is completely dehydrated (Box 1<sup>61</sup>). Thus, the fundamental chemistry of ion permeation in Na<sub>V</sub> and  $Ca_V$  (see below) channels differs from K<sub>V</sub> channels. Analysis of amino acid sequences in the pore domain indicated that  $K_V$  channels form a different clade of the voltage-gated ion channel superfamily than Nav and Cav channels<sup>9</sup>, and the structural work on bacterial Nav channels shows that this difference in channel structure and function was already well-established in prokaryotes<sup>12</sup>.

#### The chemistry of Na<sup>+</sup> permeation

Molecular dynamics studies revealed complex chemical interactions of conducted Na<sup>+</sup> ions with the selectivity filter<sup>62–66</sup>. Molecular dynamics simulations at a physiological concentration of extracellular Na<sup>+</sup> and a membrane potential of 0 mV, where cellular Na<sup>+</sup> current is maximal during an action potential, indicate that the Glu side chains of the high field-strength site move in a 'dunking' motion with each permeating cation (Fig. 5b)<sup>62</sup>. This finding was a surprise because the classical model of the ion selectivity filter suggests that it remains rigid as ions move through it<sup>3</sup>. Na<sup>+</sup> moves inward with different ratios of bound water and coordinating Glu carboxyls, resulting in a degenerate network of chemical pathways that may increase ion conductance by increasing entropy in the permeation process<sup>62</sup>.

Permeating Na<sup>+</sup> occupies multiple sites in the conductance pathway. The primary site of occupancy has eight points of coordination formed by the four Glu carboxyls of the high field-strength site and the four backbone carbonyls of the central Leu site<sup>62</sup>. This finding agrees with crystallographic studies of Na<sub>V</sub>Ms, which reveal Na<sup>+</sup> bound in the selectivity filter coordinated by the four conserved Glu carboxyls and four Leu carbonyls<sup>49</sup>. Na<sup>+</sup> also binds in the extracellular vestibule and in the internal site formed by backbone carbonyls of Thr in molecular dynamics simulations<sup>62</sup>, which show rapid movement through the selectivity filter at rates >10<sup>7</sup> ions per second, consistent with the high conductance of sodium channels.

A major remaining challenge is understanding sodium permeation in vertebrate  $Na_V$  channels, which have a different ion selectivity filter motif<sup>67,68</sup>. Instead of four Glu residues at the high field-strength site, mammalian  $Na_V$  channels typically have Asp/Glu/Lys/Ala in their four homologous domains<sup>67</sup>, and the positively charged Lys residue in domain III is crucial for  $Na^+$  selectivity<sup>68</sup>. Evolution of invertebrate  $Na_V$  and  $Ca_V$  channels has led to a wide array of combinations of amino acid residues in the selectivity filter, which always include at least two negative charges at this high field-strength site<sup>69</sup>.

# The chemistry of Ca<sup>2+</sup> permeation

Calcium channels face a difficult task, as they are required to conduct Ca<sup>2+</sup> rapidly and selectively in the face of a 70-fold higher concentration of Na<sup>+</sup> in the extracellular fluid. How do calcium channels prevent monovalent cation permeation and at the same time conduct  $Ca^{2+}$  rapidly and selectively? Biophysical studies suggested a multi-site knock-off mechanism in which high affinity binding of  $Ca^{2+}$  prevents permeation of monovalent cations, while closely spaced Ca<sup>2+</sup> binding sites allow high throughput as entering Ca<sup>2+</sup> knocks off bound  $Ca^{2+}$  ions by electrostatic repulsion<sup>70–72</sup>. Na<sub>V</sub>Ab can be converted to a Ca<sup>2+</sup>-selective form (Ca<sub>V</sub>Ab) for high-resolution structural studies by three Asp mutations to yield TLDDWSD: two of these mutations add negative charge in the vestibule at positions +1 and +4 with respect to the high field-strength site and one widens the lumen and alters the hydrogen-bonding pattern at the high field-strength site by substitution of Asp for Glu (Fig.  $5c^{73,74}$ ). Substitution of Asn instead of Asp at the +4 position also gives a highly Ca<sup>2+</sup>selective channel. Analysis of the crystal structure of CavAb with Ca<sup>2+</sup> bound revealed a ladder of four  $Ca^{2+}$  binding sites: one in the outer vestibule at the +4 position and three in the selectivity filter — one at the +1 position, a second positioned between the high fieldstrength carboxyls and the Leu backbone carbonyls at the central site, and a third at the inner Thr backbone carbonyl site (Fig.  $5d^{73}$ ). Because these sites are spaced at distances of ~4 Å, strong repulsion would occur between bound Ca<sup>2+</sup> ions if all sites were occupied simultaneously. Therefore, we believe that ion conductance operates through alternating occupancy of these sites. When Sites 1 and 3 are occupied, approach of an extracellular Ca<sup>2+</sup> to the outer vestibule site causes electrostatic repulsion, pushing the Ca<sup>2+</sup> occupying Site 1 inward to Site 2 and the  $Ca^{2+}$  occupying Site 3 into the cytosol. These two  $Ca^{2+}$  ions in the vestibule and Site 2 quickly move inward to Sites 1 and 3, respectively, to complete the catalytic cycle. The high concentration of Ca<sup>2+</sup> on the extracellular side assures that Ca<sup>2+</sup> ions always move inward. In addition to this knock-off effect, the CavAb structure also shows that rapid conductance is supported by stepwise movement of  $Ca^{2+}$  up and down a

staircase of energy barriers from the outer vestibule, to Site 1 formed by the substituted Asp at the +1 position, on to Site 2, which is the high field-strength site, then down again via Site 3 formed by Thr at the -1 position, and finally into the central cavity and cytosol. According to Eyring rate theory, this stepwise movement over smaller energy barriers will allow much more rapid ion conductance<sup>75</sup>.

The recent 3.6 Å-resolution cryo-EM structure of  $Ca_V 1.1$  revealed the chemical basis for  $Ca^{2+}$  selectivity in mammalian channels bearing an asymmetric selectivity filter formed from four homologous, but not identical, domains. Two  $Ca^{2+}$  ions are bound in this structure at positions similar to Sites 2 and 3 in  $Ca_VAb$  (Fig. 5e–f *vs.* 5d).  $Ca^{2+}$  coordination in the selectivity filter involves the high-field strength Glu residues from all four domains that contribute side chain carboxyl groups to Site 2, and the two preceding residues (Thr and Met/Gly/Phe/Gly in the four domains) that provide backbone carbonyls to Site 3, as observed in  $Ca_VAb$ .  $Ca^{2+}$  in the mammalian channel is arranged in a non-symmetric configuration at Site 3, off the central axis and closer to domain II (Fig. 5f).

Like Na<sup>+</sup>, Ca<sup>2+</sup> is too small in diameter to interact directly with its coordinating ligands in the ion selectivity filter and therefore must be conducted as a hydrated ion. Consistent with this model, high-resolution X-ray crystallography structures of Ca<sup>2+</sup> bound to Ca<sub>V</sub>Ab reveal electron density attributable to water molecules in the first hydration shell positioned between Ca<sup>2+</sup> and its coordinating ligands (Fig. 5d)<sup>73</sup>. These densities are strongest at the high field-strength site, where two quartets of water molecules can be observed above and below bound Ca<sup>2+</sup> in favorable cases. These results provide direct evidence that Ca<sub>V</sub> channels select Ca<sup>2+</sup> by interaction with its inner hydration shell rather than with the ion itself.

## Voltage-Dependent Inactivation

Virtually all Na<sub>V</sub> and Ca<sub>V</sub> channels inactivate during prolonged depolarization to prevent continuous Na<sup>+</sup> or Ca<sup>2+</sup> influx. Two types of inactivation are well-known: fast inactivation in 0.5 to 10 msec<sup>4</sup> and voltage-dependent slow inactivation in tens to hundreds of milliseconds or longer<sup>76</sup>. In vertebrate nerve and muscle, fast inactivation causes decay of the Na<sup>+</sup> current with a half-life of 1–3 msec<sup>3</sup>, whereas slow inactivation accumulates during repetitive action potentials and reduces the number of Na<sub>V</sub> channels that can be activated by a depolarizing stimulus<sup>77</sup>. The molecular and structural mechanisms of these two forms of sodium channel inactivation are distinct<sup>78</sup>.

#### Slow inactivation of Na<sub>V</sub> and Ca<sub>V</sub> channels

Slow, voltage-dependent inactivation is a common feature of all Na<sub>V</sub> channels<sup>77,79</sup>. This process is thought to involve amino acid residues in the pore-lining S6 segments<sup>77,79</sup> Conformational changes underlying this slow form of inactivation have been captured in crystal structures of bacterial Na<sub>V</sub> channels. For Na<sub>V</sub>Ab, physiological studies revealed a multi-step slow inactivation process with time constants from 7–10 msec up to seconds<sup>46</sup>. Structures of wild-type Na<sub>V</sub>Ab and Na<sub>V</sub>Rh portrayed the channel in slow-inactivated states<sup>39,46</sup> characterized by asymmetric collapse of the pore (Fig. 6 and Box 2). At the selectivity filter, the arrangement of the high field-strength site is distorted from nearly

square to oval or parallelogram (Fig. 6a)<sup>39,46</sup>. At the central cavity, the arrangement of amino acid residues is distorted to a parallelogram configuration<sup>39,46</sup>. Similarly, at the intracellular activation gate, the ends of the four S6 segments describe a parallelogram rather than a square (Fig. 6b)<sup>39,46</sup>. These changes are caused by coordinated movements of two S6 segments toward the central axis and two S6 segments away from it. The intracellular inactivation gate is closed in these structures, but it is likely that the distortion of the selectivity filter would also make the channels nonconductive or poorly conductive because its shape would no longer fit the optimum geometry for a hydrated Na<sup>+</sup> ion.

What drives asymmetric pore collapse during slow inactivation? Molecular dynamics simulations of Na<sub>V</sub>Ab suggested that the Glu residues at the high field-strength site and the pore domain become highly flexible during ion conduction<sup>62</sup>. As hydrogen bonds from Glu side chains in the high field-strength site in the selectivity filter break away from the adjacent Ser side chains in concert with Na<sup>+</sup> translocation<sup>62,80</sup>, fluctuations in the structure of the selectivity filter are coupled to bending motions of the S6 helices, which result in breaking backbone hydrogen bonds at key conserved residues that are important for slow inactivation<sup>80</sup>. These fluctuations on the microsecond time scale may eventually lead to transition from a conducting state to a nonconducting state via partial pore collapse. Because slow inactivation is a conserved function of sodium channels, it is likely that this structural transition underlies the slow-inactivation process across phylogeny.

 $Ca_V$  channels also share a slow voltage-dependent inactivation process from protozoa to man<sup>81–84</sup>. Voltage-dependent slow inactivation of  $Ca_V$  channels is usually measured using  $Ba^{2+}$  as the permeant ion, which prevents more rapid  $Ca^{2+}$ -dependent inactivation. Under these conditions, voltage-dependent inactivation half-lives for  $Ca_V$  channels range from 20 msec to hundreds of msec<sup>81–84</sup>, similar to slow inactivation of  $Na_V$  channels. Because bacterial  $Na_V$  channels are the likely evolutionary precursors to  $Ca_V$  channels, we speculate that this voltage-dependent inactivation process of  $Ca_V$  channels has the same underlying pore-collapse mechanism as  $Na_VAb$  and  $Na_VRh$ .

#### Fast inactivation of sodium channels

Eukaryotic Na<sub>V</sub> channels have evolved an additional fast inactivation process that terminates the Na<sup>+</sup> current with a half-life of 1–3 msec, originally described by Hodgkin and Huxley<sup>4</sup>. Early biophysical studies showed that this process is separate from slow inactivation<sup>78</sup>. Extensive structure-function studies show that fast inactivation of Na<sub>V</sub> channels requires a conserved hydrophobic motif in the short intracellular loop connecting domains III and IV, which is thought to fold into the structure and occlude the intracellular mouth of the pore<sup>11</sup>. The structure of the fast inactivation gate itself has been determined in solution by NMR to be an alpha helix preceded by two beta turns that array the key hydrophobic motif (typically Ile-Phe-Met) ready for interaction with the pore<sup>85</sup>. However, because bacterial Na<sub>V</sub> channels do not have an equivalent intracellular loop, further structural understanding of fast inactivation awaits future high-resolution structural studies of eukaryotic Na<sub>V</sub> channels.

# A Consensus Model for the Chemical Basis for Electrical Excitability

We have focused on the emerging chemical and structural basis for electrical excitability, with emphasis on the Na<sub>V</sub> and Ca<sub>V</sub> channels. These channels have a common ancestor<sup>9</sup>, which is likely to be a bacterial  $Na_V$  channel<sup>13,14</sup>. This evolutionary perspective leads to important inferences about the fundamental chemical basis of electrical signaling. Voltage sensing and voltage-dependent activation are conserved properties of all voltage-gated ion channels. A broad consensus supports a sliding-helix model of voltage sensor function<sup>86</sup>. Conformational changes in the voltage-sensing module couple voltage-dependent activation to pore opening via an iris-like widening of the bundle of the S6 segments in a concerted fashion to allow for all-or-none increase in ion permeation. Both Nav and Cav channels utilize a similar mechanism for ion conduction<sup>12</sup>. Their selectivity filters interact with the hydrated cations, and they conduct ions across the membrane through strong interaction and partial dehydration at a high field-strength site followed by sequential interactions with sites formed by backbone carbonyls. Motions of Glu side chains in the high field-strength site provide a knock-off mechanism in which an approaching ion on the extracellular side moves down its electrochemical gradient, knocks off a bound ion in the selectivity filter, and drives it into the cytosol. A primordial slow-inactivation mechanism terminates the inward movement of sodium and calcium ions in the time frame of tens to hundreds of milliseconds by mediating a coordinated asymmetric collapse of the pore<sup>12,77</sup>. This ordered series of molecular and chemical events is sufficient to generate conducted electrical signals that coordinate cell movement and function in even the simplest protozoans and are highly conserved in form and function in all eukaryotes. The fast-inactivation mechanism is an evolutionary innovation that distinguishes eukaryotes from prokaryotes and provides much more rapid inactivation that is needed for complex repetitive firing of electrical signals. The electrical signals generated by Na<sub>V</sub> channels, and the resulting intracellular Ca<sup>2+</sup> transients generated by Ca<sub>V</sub> channels, serve to coordinate cell function on the millisecond time scale, and they become increasingly sophisticated and refined from protozoa to humans. This fundamental electrical signaling mechanism is essential to complex forms of life as we know them.

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Figure 1. Overall architecture of voltage-gated sodium, calcium, and potassium channels a, Model of representative Na<sub>V</sub>, Ca<sub>V</sub>, and K<sub>V</sub> channels in a lipid membrane. From left to right: bacterial NavAb (cyan), mammalian Cav1.1 complex with auxiliary subunits (yellow), and mammalian  $K_V 1.2/2.1$  chimera complex with cytoplasmic  $\beta$  subunits (green). b, Structure of the bacterial sodium channel Na<sub>V</sub>Ab single subunit. The structure comprises the voltage-sensing module (S1–S4) connected to the pore module (S5–S6) via the S4–S5 linker. The P loop connects the S5 and S6 segments and contains the ion selectivity filter motif (Fig. 5). c, NavAb homotetramer with domain swapping illustrated by different color for each subunit. The pore is located at the center of the tetramer with the voltage-sensing module interacting with the pore module of the neighboring subunit. d, Single particle cryo-EM reconstruction of  $Ca_V 1.1$  with  $Na_V Ab$ -like core  $\alpha 1$  subunit highlighted in yellow and the a 1 C-terminal domain in pink. Auxiliary a 28,  $\beta$ , and  $\gamma$  subunits are colored in green, cyan, and magenta, respectively. Black lines depict membrane boundaries. The C-terminal domain of Ca<sub>V</sub>1 channels is exceptionally large, and only partially resolved in the cryo-EM structure (Fig. 1d). The structure reveals an unexpected interaction between the intracellular domain III–IV linker and the intracellular C-terminal domain of the al subunit. The domain

III–IV linker serves as the fast-inactivation gate in eukaryotic sodium channels (see below)<sup>11</sup>, and structure-function studies suggest that it also interacts with the C-terminal domain<sup>87,88</sup>. The functional significance of this conserved interaction in  $Ca_V1$  channels is unknown.



#### Figure 2. Structure of the voltage-sensing module of NavAb

The TM helices are colored from S1 to S4 segments in blue to red spectrum. Side chains of the extracellular and intracellular negative charge cluster (ENC and INC) amino acids are highlighted in red and side chains of the positive gating charge Arg residues (R1–R4) in blue. The hydrophobic constriction site (HCS) is shown in green. The aqueous cleft can be seen between the S1–S2 and S3–S4 hairpins from the overlaying semi-transparent surface.



Figure 3. Structural models of resting and activated states of voltage-sensing modules

**a**, Structural models of voltage-sensing module of NaChBac from Rosetta Membrane computational modeling. The Arg gating charges on S4 moves outward from the most resting state (Resting 1) to the most activated state (Activated 3) via several intermediates, passing through the HCS and exchanging their interactions with different INC and ENC side chains. **b**, Structures of voltage-sensing modules from available X-ray crystallographic structures of voltage-sensitive ion channels and enzyme. From left to right: domain 2 (resting state) of plant TPC1; resting state of Ci-VSP; activated state of Ci-VSP; activated state of Na<sub>V</sub>Ab; inactivated state of Na<sub>V</sub>Rh. Same color scheme as Fig. 2 is used.



# Figure 4. Structural model of conformational changes during channel activation and pore opening

**a**, Pore-opening conformational change between the closed pore of Na<sub>V</sub>Ab and the open pore of Na<sub>V</sub>Ms. Na<sub>V</sub>Ab structure (left, cyan) contains two pore constriction sites (CS1 and CS2) with CS2 site completely sealing the pore. Na<sub>V</sub>Ms structure (right, orange) contains one pore constriction site (CS1) that remains open to allow hydrated sodium ion to pass through. **b**, Superposition of Na<sub>V</sub>Ab and Na<sub>V</sub>Ms pores viewed from the intracellular side. A counterclockwise twisting motion of the S6 segment from the closed pore of Na<sub>V</sub>Ab to the open pore of Na<sub>V</sub>Ms shifts the end of S6 helix outward to dilate the pore diameter. **c**, Channel activation involves clockwise rotation of the voltage sensor around the pore. Top left: Superposition of TPC1 (light purple for activated state domain I (DI) and dark purple for resting state domain II (DII)) and Na<sub>V</sub>Ab (cyan) structures. Top right: Superposition of Na<sub>V</sub>Rh (yellow) and Na<sub>V</sub>Ab (cyan) structures. Bottom: Overlay of voltage-sensing modules in TPC1, Na<sub>V</sub>Ab, and Na<sub>V</sub>Rh as in the top panel but with the pore modules of TPC1 and Na<sub>V</sub>Rh omitted for clarity. The voltage-sensing module progressively rotates around the pore from the most resting state in TPC1 DII to increasingly activated states in Na<sub>V</sub>Ab, TPC1 DI, and Na<sub>V</sub>Rh.

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Figure 5. Chemical mechanism of ion permeation and selectivity of  $\rm Na_V$  and  $\rm Ca_V$  channels with structural models of their ion selectivity filters with ions bound

**a**, Na<sup>+</sup> selectivity filter (TL<u>E</u>SWSM) in Na<sub>V</sub>Ab. **b**, Representative conformations of Na<sup>+</sup> selectivity filter from molecular dynamic simulations of sodium permeation in Na<sub>V</sub>Ab. Conformational dunking of Glu side chain of the high-field strength site allows direct coordination of Na<sup>+</sup> ions. **c**, Ca<sup>2+</sup> selectivity filter (TL<u>D</u>DWSN) in Ca<sub>V</sub>Ab. **d**, Hydrated Ca<sup>2+</sup> bound in the Ca<sub>V</sub>Ab selectivity filter. **e**, Ca<sup>2+</sup> selectivity filter of Ca<sub>V</sub>1.1 from Domains I (TM<u>E</u>GWTD) and III (TF<u>E</u>GWPQ). **f**, Ca<sup>2+</sup> selectivity filter of Ca<sub>V</sub>1.1 from Domains II (TG<u>E</u>DWNS) and IV (TG<u>E</u>AWQE). Na<sup>+</sup> (purple) and Ca<sup>2+</sup> (green) ions are shown with semi-transparent ionic sphere. Dash lines indicate network of interactions among coordinated water molecules with the ions and protein atoms from high-filed strength site (Glu in Na<sub>V</sub>Ab and Ca<sub>V</sub>1.1, and Asp in Ca<sub>V</sub>Ab, underlined) and backbone carbonyls of Leu and Thr. For clarity, only two opposing subunits in the tetramer are shown. Of note, a

distantly related non-voltage-gated  $Ca^{2+}$  channel has a different architecture of its outer pore with Asp residues from each subunit directly binding dehydrated Ca2+ in a closed state structure<sup>56</sup>. The significance of this binding mode in ion conductance is unknown.



#### Figure 6. Conformational changes in the pore associated with slow inactivation

**a**, An extracellular view of the pore through the selectivity filter. The selectivity filter collapses from a four-fold symmetric shape in pre-open Na<sub>V</sub>Ab (left) to an oval shape in inactivated Na<sub>V</sub>Ab (middle) to a completely closed pore in inactivated Na<sub>V</sub>Rh (right). **b**, An intracellular view of the pore at the C-termini of the S6 segments. The pore distorts from a square shape in the pre-open Na<sub>V</sub>Ab structure to a parallelogram shape in the inactivated Na<sub>V</sub>Ab and Na<sub>V</sub>Rh structures.