



Dopaminergic modulation of basal ganglia output through coupled excitation–inhibition

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Learning and maintenance of skilled movements require exploration of motor space and selection of appropriate actions. Vocal learning and social context-dependent plasticity in songbirds depend on a basal ganglia circuit, which actively generates vocal variability. Dopamine in the basal ganglia reduces trial-to-trial neural variability when the bird engages in courtship song. Here, we present evidence for a unique, tonically active, excitatory interneuron in the songbird basal ganglia that makes strong synaptic connections onto output pallidal neurons, often linked in time with inhibitory events. Dopamine receptor activity modulates the coupling of these excitatory and inhibitory events in vitro, which results in a dynamic change in the synchrony of a modeled population of basal ganglia output neurons receiving excitatory and inhibitory inputs. The excitatory interneuron thus serves as one biophysical mechanism for the introduction or modulation of neural variability in this circuit.

songbird | learning | variability | basal ganglia | dopamine

The basal ganglia are implicated in the acquisition, initiation, and selection of motor acts (1, 2). Striatal dopamine plays a critical role in regulating these processes (3–5), but little is known about how dopamine modulates basal ganglia microcircuitry to change behavior.

Song, used by male songbirds for territory defense and mate selection, is learned through trial and error. Songbirds possess discrete forebrain nuclei whose roles in song learning and production have been partially mapped (Fig. 1A) (6). Due to this relatively well-characterized functional anatomy, the birdsong learning circuit has been a rich testing ground for the development of biologically plausible models of skill learning (7–10). The model of reinforcement learning establishes an important role for variability in learning. Although, following crystallization, adult song is a highly stereotyped motor behavior, it is affected by social context: courtship song is considerably less variable than song produced in isolation (11). This ongoing variability could support song maintenance or adult learning (12–15). Songbirds thus pose a unique opportunity to determine the circuit mechanisms underlying context-dependent switching and the role of variability in a learned social behavior.

A basal ganglia loop is essential for song learning (16, 17). Area X is the basal ganglia structure of the song system; it contains many spiny neurons (Fig. 1B) and fewer pallidal output neurons (18). One of its roles is to regulate song variability (Fig. 1C) (19). Although variability reaches the motor pathway through the cortex-like output area lateral magnocellular nucleus of the anterior nidopallium (LMAN) (20, 21), its exact source and the mechanism for its generation are unknown. Area X transforms stereotyped inputs from the premotor, cortex-like nucleus HVC (proper name) (22) into variable firing of its output neurons (23); this transformation could contribute to modulating vocal variability. During courtship, when birds sing directed song, dopaminergic neurons in the midbrain, homologous to those carrying reward signals in mammals (24–26), increase dopamine levels in

area X (27). This increased dopamine acts through D1 receptors to reduce vocal variability (28).

How could dopamine affect the microcircuitry of area X to modulate variability? Time-locked inputs from HVC (29) drive very similar firing patterns in area X spiny neurons independent of social context (23, 29, 30). Spiny neurons inhibit the pallidal output neurons, which, in contrast, show changes in firing variability with social context (Fig. 1C) (23, 31–34). The mechanism underlying this transformation in area X, which could contribute to modulating firing variability in downstream nuclei, is not understood.

To determine how dopamine influences the circuit properties within area X to shape the firing properties of its output, we recorded intracellularly from area X pallidal neurons in brain slices, focusing on their synaptic inputs. We report a unique, local, spontaneously active glutamatergic neuron type, which shifts the circuitry of this basal ganglia nucleus from strictly inhibitory to mixed inhibitory–excitatory. This excitatory component of area X contributes to variability in pallidal neuron firing. Such an excitatory component could serve as a functional analog of subthalamic nucleus input, which is lacking in area X. A simple model suggests a powerful mechanism for dopaminergic modulation. We propose a unique microcircuit switch that could allow dopamine to control the variability and synchrony of the pallidal population and in turn to shape motor output according to social context.

Results

Rhythmic Excitatory Inputs to Pallidal Neurons. We visually targeted pallidal neurons for recording in isolated area X brain slices. They showed regular, spontaneous firing at nearly 60 Hz [mean interspike interval (ISI) of 17.3; SD = 13.8 ms; mean coefficient of variation (CV) of ISI of 0.19; SD = 0.15; $n = 153$, Fig. 1D and Fig. S1]. We hypothesized that synaptic potentials contributed to the spread in the ISI distribution. Injecting hyperpolarizing current to block spontaneous firing revealed substantial spontaneous synaptic

Significance

Trial-and-error learning requires variation in successive trials, but the source of such variability is unknown. We describe a unique striatal glutamatergic neuron in the zebra finch. This neuron exerts a potent, dopamine-regulated action on pallidal output neurons that modifies neuronal firing statistics in the circuit known to contribute to vocal variability. A simple model reveals how this microcircuit could be influenced by social context and striatal dopamine to switch between firing patterns that modify song variability essential for vocal learning.

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Health and Science University; www.zebrafishatlas.org). We confirmed this finding (Fig. 2I and Fig. S3B) and found that, unlike in mammals (39, 40), area X cholinergic interneurons are not glutamatergic (Fig. S3A–D). We found that some vGluT2⁺ neurons in area X also express mRNA for glutamic acid decarboxylase 1 (GAD1), a marker for GABAergic neurons (Figs. S4 and S5), although some vGluT2 neurons do not appear to be GABAergic (Figs. S5 and S6).

Two Distinct Microcircuit Configurations Modulated by Dopamine. These strong inputs influence pallidal firing variability. Blocking AMPA glutamate receptors with NBQX decreased the variability of spontaneous pallidal neuron firing without changing the overall firing rate (Fig. 3A–C).

The D1 receptor agonist SKF-38393 did not alter the overall frequency of EPSCs or IPSCs; rather, it increased the proportion of coupled events (Fig. 3D–F). It also increased the absolute rate of coupled events (Fig. S7). The modulation of these coupled events by dopamine represents a mechanism for altering the configuration of area X microcircuitry.

Given the divergence of the excitatory input, we considered the microcircuit motif defined by this neuron, its coupled inhibitory partner, and the population of pallidal neurons that they drive. We explored how switching the microcircuit from isolated to coupled EPSCs and IPSCs (Fig. 3E and F) might contribute

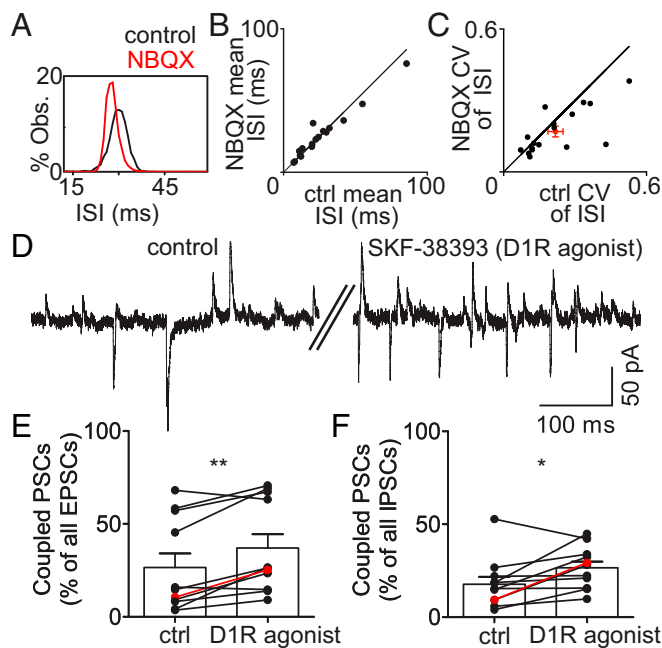


Fig. 3. EPSCs introduce variability into pallidal neuron firing and are modulated by DA. (A) ISI distribution for one example neuron before and after application of 10 μ M NBQX, illustrating reduction in ISI variability. (B) Scatter plot of mean ISI; 10 μ M NBQX did not change mean ISI (control, 25.9 ± 4.81 ms, vs. NBQX, 25.6 ± 4.12 ms; $P = 0.78$; $n = 17$ neurons). (C) NBQX significantly decreased variability of pallidal firing (control CV, 0.22 ± 0.03 , vs. NBQX CV, 0.17 ± 0.02 ; $P = 0.045$). Error bars represent SEM. (D) The D1 dopamine receptor agonist SKF-38393 increased the incidence of linked synaptic events. (E and F) Summary data for $n = 11$ pallidal neurons in 10 μ M SKF-38393. Example in D is indicated in red. (E) D1R agonist significantly increased the percentage of all EPSCs that led an IPSC by at most 4 ms (control, 26.7 ± 7.51 ms, vs. D1R agonist, 37.1 ± 7.46 ms; $P = 0.003$; mean of differences, -10.4 ; 95% CI, -16.3 to -4.48). (F) D1R agonist significantly increased the percentage of all IPSCs that are preceded by an EPSC within 4 ms (control, 17.8 ± 4.06 ms, vs. D1R agonist, 26.6 ± 3.38 ms; $P = 0.024$; mean of differences, -8.85 ; 95% CI, -16.2 to -1.47). Error bars represent SEM. $*P \leq 0.05$ and $**P \leq 0.01$.

to dopaminergic modulation of pallidal neuron firing variability during courtship singing. We used a simple model of a pallidal neuron with experimentally determined phase response curves (PRCs) to predict how its firing regularity was affected by excitatory inputs alone or excitatory and inhibitory inputs together. We explored the robustness of this behavior to changes in multiple parameters, and to the observed dopamine-driven increase in coupled synaptic inputs. This model also allowed us to evaluate the firing properties of a population of pallidal neurons receiving the same microcircuit synaptic inputs.

We modeled the intrinsically, regularly firing pallidal neuron using a model whose only state variable is phase (41). The experimentally measured infinitesimal PRC (iPRC), which describes the shift in phase on the next spike as a function of the phase at which a perturbation is provided, allowed realistic modeling of the interaction between oscillating neuron types (Fig. 4A–C) (42). We fit EPSC and coupled EPSC–IPSC waveforms (Fig. 4D and E) and convolved them with the iPRC. See Table S1 for fit parameters. The resulting “microcircuit PRCs” capture the effect of each input type on pallidal neuron spike timing (43). We then built firing maps relating the pallidal phase of the arrival of one synaptic input to that of the next synaptic input (44, 45) (rightmost panels of Fig. 4D and E). We determined the model response to ongoing, periodic input of either EPSCs alone or coupled EPSC–IPSC events, to simulate the effect of dopamine (Fig. 4F and G). We found that EPSCs alone could cause cycle-to-cycle changes to the pallidal phase at which synaptic input arrives, implying irregular pallidal neuron firing. We can model a population of desynchronized pallidal neurons or a single neuron on different trials by initializing the simulation at different initial phases. This led to a broad phase distribution (Fig. 4F, Right, blue curve). In contrast, with coupled EPSC–IPSC events, regardless of initial phase, the phase distribution collapsed to a single value, implying both regular pallidal neuron firing (Fig. 4G, Right) and population-level synchrony. Such a transition could also occur if synaptic inputs became coupled during a trial (Fig. 4H).

This switch between regular and irregular firing occurred over a large range of realistic input parameter settings (Fig. 5A and B). We varied the strength and period of the synaptic input relative to the pallidal neuron firing period. We measured firing variability by computing the entropy, a measure of the width of the phase probability distribution (45). Large areas of parameter space showed stark differences in entropy under the two microcircuit configurations (Fig. 5A and B), indicating that the observed synaptic changes caused by dopamine could cause a change in firing variability and synchrony.

Because our experiments showed that excitatory events couple to inhibitory events in a probabilistic manner, we explored smoothly changing the probability of isolated and coupled events. We found that changes in the probability of coupled events on the order of those recorded experimentally (dopamine receptor activation increased the fraction of coupled synaptic events in most neurons by between approximately 0 and +200%) could cause substantial changes in the entropy of the phase–probability distribution (Fig. 5C). Together, our simulation results show that a simple and highly constrained model microcircuit of area X can explain the observed effects of dopamine on variability of pallidal neuron firing. Small dopamine-induced shifts in the prevalence of coupled synaptic events could thus provide a continuous adjustment to the degree of pallidal neuron firing variability and population synchrony.

Discussion

Our main findings are as follows: a regularly firing excitatory neuron type located within area X makes strong synaptic connections to multiple area X output neurons; this excitatory input is temporally tightly coupled to inhibitory input; it contributes to

glutamate-driven disynaptic origin. Although we found some neurons coexpressing glutamatergic and GABAergic markers, the variable timing of the IPSC relative to the EPSC (Fig. S6) argues against corelease of glutamate and GABA as the main mechanism for coupling the events (52–57). A possible alternative mechanism is gap-junction coupling between the glutamatergic and GABAergic neurons.

Although we have shown one way in which area X may contribute to or regulate variability in the song learning circuit, variability is often attributed to nucleus LMAN (12, 19, 58, 59). Area X can exert a strong, precisely timed influence on LMAN via DLM (50, 51, 60, 61). A change between synchronous and asynchronous activity in the area X pallidal population could affect its ability to propagate signals through DLM, allowing basal ganglia to temporally modulate variability generated within LMAN. Area X projections to DLM neurons are thought to be one-to-one, but ensembles of DLM neurons converge on LMAN neurons (62–64), allowing area X to have a potentially dramatic effect on LMAN firing. Furthermore, these inputs preserve a myotopic organization of connectivity that runs throughout the learning circuit to the output motor drive (65–67). Momentary coherence of multiple area X pallidal neurons could thus control the activity of coordinated downstream neuron groups. The DLM input could cause recurrent networks such as those within LMAN to undergo stimulus-dependent suppression of their intrinsic, potentially chaotic activity (68). Indeed, highly correlated firing of neurons in HVC and in LMAN, presumably sustained through the polysynaptic basal ganglia loop, suggests a high degree of synchronous firing among local neuronal populations, perhaps within area X (69). Temporal variations in area X dopamine could not only create social context-dependent changes in variability but could also generate precisely timed shifts in variability that are presumably required for the ability of adult birds to learn to produce changes in specific song syllables (69, 70). Temporarily pooling specific subsets of area X output neurons could therefore act to create temporally precise, task-specific signals.

Glutamatergic neurons intrinsic to area X are thus well placed to contribute to the rapid changes in network dynamics induced by different social and learning contexts. Furthermore, they fire in microcircuit motifs that can strongly influence their downstream impact. Dopamine modulation of these coupled synaptic events provides a unique biophysical mechanism for rapidly switching area X firing patterns. Our model predicts that dopamine acts on the glutamatergic neuron type to orchestrate a transition between a regime of asynchronous and/or variable firing to one of synchronous and/or less variable firing. Silencing the excitatory neuron should then disrupt context-dependent transitions in pallidal neuron synchrony and perhaps also vocal variability. These predictions remain to be tested through simultaneous recordings from multiple pallidal neurons *in vitro* and *in vivo*.

We propose a specific biophysical mechanism contributing to modulating behavioral variability that is important for learning precise skilled movements. Similar mechanisms could underlie action selection, a hypothesized function of the basal ganglia (2, 71). Loss of dopamine, as in Parkinson's disease, results in synchronous pallidal firing and more variable movement dynamics. More broadly, outside the motor domain, neural variability could give rise to adaptive phenomena such as effective foraging or creativity, or to maladaptive phenomena such as intrusive thoughts or attention deficit hyperactivity disorder (ADHD). Just as the presence of a female songbird raises striatal dopamine in the male and increases song stereotypy, stimulants acting through dopamine receptors reduce impulsive behaviors and enhance mental focus in

patients with ADHD. The readily quantified song behavior and its discrete underlying neural circuit offer a promising pathway for detailed mechanistic analysis of basal ganglia function in health and disease.

Materials and Methods

Electrophysiology. The 250- μm parasagittal brain slices were collected from 40 adult male zebra finches as in ref. 18. We cut around area X in each slice, thereby removing the cell bodies of projections to area X. Recordings from isolated area X slices were performed in artificial cerebrospinal fluid (ACSF) at 30 °C with high-chloride intracellular solution. See [Supporting Information](#) for detailed methods and data-inclusion criteria. The following drugs were bath applied: NBQX, muscimol, SKF-38393 hydrobromide, DL-APV (Tocris); gabazine/SR-95531 (Sigma-Aldrich); TTX (Calbiochem).

iPRC Measurement. iPRC experiments were conducted following ref. 42 ([Supporting Information](#)). The 2-ms current pulses were injected at a frequency of 2 Hz, with four stimulus presentations per sweep, and repeated at different amplitudes ($\pm 50/100/250$ pA). Phase change was defined as the difference between the baseline ISI and the stimulated ISI divided by the mean baseline ISI. The experimental iPRC was fit to an analytical form.

Firing Map Construction. The PRC_{syn} was calculated by convolving the iPRC with either an excitatory synaptic input (E) or a coupled excitatory–inhibitory input (EI). Synaptic waveforms for E and EI inputs were drawn directly from fits to the two classes of synaptic input observed in our data ([Supporting Information](#)). We constructed the firing map as follows:

$$\phi_{n+1} = \{\phi_n + PRC_{syn}(\phi_n) + T_{mc}\}_{mod T_p}$$

where T_{mc} is the period of the microcircuit inputs and T_p is the period of the pallidal cell. ϕ_n is the pallidal phase at which the n th synaptic input arrives.

Calculation of Entropy. We calculated the entropy of phase distributions by approximating the steady state probability density function of a cell ensemble. Phase (0–1) was discretized, and a probability mass function was estimated by normalizing the counts of cells in each phase bin. Entropy was defined as follows:

$$S = - \sum_{i=1}^M p(\phi_i) \ln(p(\phi_i)).$$

Modeling of Noise in ISI Distribution and Likelihood of E–I vs. E Microcircuit. We consider two aspects of noise: η models variability in the pallidal ISI as a Gaussian random variable; we model probabilistic jumps between microcircuit states as Bernoulli draws of firing maps f and g , the firing maps of the respective E and EI microcircuit drives. The probability of either the E or EI microcircuit occurring at any one input is as follows:

$$P\{\phi_{n+1} = f(\phi_n)\} = 1 - P\{\phi_{n+1} = g(\phi_n)\}.$$

Results in Fig. 5C were computed by varying the Bernoulli probability of the EI firing map on a single draw from zero to 1.

Statistics. Calculations are specified as mean \pm SD or SEM. ISI variability was quantified using the CV (CV = SD/mean). Synaptic events before and after applications of NBQX, APV, TTX, muscimol, and SKF-38393 were quantified with paired two-tailed t tests. Coupled events were quantified by the percentage of all synaptic events of the relevant type (EPSC or IPSC). Traces and summary data depicted in figures are available from the corresponding author upon request.

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