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# Molecular analysis of the VSX1 gene in familial keratoconus

Petra Liskova<sup>1,2,3</sup>, Neil D. Ebenezer<sup>1</sup>, Pirro G. Hysi<sup>4,5</sup>, Rhian Gwilliam<sup>6</sup>, Mohamed F. El-Ashry<sup>1</sup>, Lalitha C. Moodaley<sup>2</sup>, Scott Hau<sup>2</sup>, Michael Twa<sup>7</sup>, Stephen J. Tuft<sup>2</sup>, and Shomi S. Bhatacharya<sup>1</sup>

<sup>1</sup>Division of Molecular Genetics, Institute of Ophthalmology, UCL, London, UK

<sup>2</sup>Moorfields Eye Hospital NHS Foundation Trust, London, UK

<sup>3</sup>Ocular Tissue Bank and Laboratory of the Biology and Pathology of the Eye, Institute of Inherited Metabolic Disorders, General Teaching Hospital and Charles University, Prague, Czech Republic

<sup>4</sup>Paediatric Epidemiology and Biostatistics, Institute of Child Health, UCL, London, UK

<sup>5</sup>Department of Anesthesia and Perioperative Care, UCSF, San Francisco CA

<sup>6</sup>The Wellcome Trust Sanger Institute, Hinxton, Cambridge, UK

<sup>7</sup>College of Optometry, University of Houston, Houston, TX

# Abstract

**Purpose**—To evaluate the role of the visual system homeobox gene 1 (*VSX1*) in the pathogenesis of familial keratoconus.

**Methods**—Families with two or more individuals with keratoconus were recruited and their members examined. The coding region and intron-exon junctions of the *VSX1* gene were sequenced in affected individuals. In cases where there were possible pathogenic changes, segregation within the pedigree was analyzed. Meta analysis of reports on an association of p.D144E change with keratoconus phenotype was performed.

**Results**—Probands from a panel of 85 apparently unrelated keratoconus families were included. Eleven sequence variants were observed, including the previously reported c.432C>G (p.D144E) change and two novel intronic single nucleotide polymorphisms. However, these three changes did not cosegregate with the disease phenotype.

**Conclusions**—We excluded the c.432C>G sequence alteration as the direct cause of the disease. Lack of possibly pathogenic *VSX1* sequence variants in the familial panel suggests that involvement of this gene in the pathogenesis of keratoconus is likely to be confined to a small number of pedigrees, at least in the population studied.

Keratoconus is a non-inflammatory corneal thinning disorder of unknown pathogenesis with a prevalence of 54.5 per 100,000 in the general population [1]. It is a leading cause for corneal transplantation in industrialized countries. It may be associated with other known

Correspondence to: Dr. Petra Liskova, Division of Molecular Genetics, Institute of Ophthalmology, University College London, 11-43 Bath Street, London EC1V 9EL, UK; Phone: + 44 2076086920; FAX: + 44 2076086863; p.liskova@ucl.ac.uk.

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genetic disorders [1,2], but non-syndromic disease is by far the most common [1]. Inheritance of keratoconus does not usually follow a simple Mendelian pattern, and in the majority of cases, it appears to be sporadic [2]. Studies in different populations show that between 6% and 23.5% of all patients have family histories of keratoconus [3–5]. The proportion is higher if individuals with a subclinical phenotype (forme fruste disease), recognized by videokeratopography, are included [6–8]. In familial keratoconus, the inheritance pattern is reported mainly to be autosomal dominant with variable phenotypic expression and incomplete penetrance [1,7,9]. Autosomal recessive and X-linked inheritance have also been proposed [2,10]. Linkage studies have shown that keratoconus is a genetically heterogeneous disorder, and several potential loci have been identified [11–16].

To date, the only gene reported to be implicated in keratoconus is the visual system homeobox gene (*VSX1*, OMIM 605020), a homeodomain containing transcription factor, also known as the retinal inner nuclear layer homeobox gene (*RINX*) [17]. *VSX1* is involved in ocular development and is highly conserved across species [18–20]. Expression in humans was demonstrated in embryonic craniofacial, adult corneal, and adult retinal cDNA libraries [21]. In another study, *VSX1* transcripts were detected during human corneal wound healing but were not present in normal corneal stroma [22]. There are two major transcript variants for this gene: the first variant (NCBI RefSeq NM\_014588.4) encoding protein isoform A (NCBI RefSeq NP\_055403.2) and the second (NCBI RefSeq NM\_199425.1) encoding protein isoform B (NCBI RefSeq NP\_955457). The latter differs in the 3' UTR and coding region and is predicted to encode a truncated protein of 126 amino acid residues compared to isoform A, which has 365 residues [23].

Posterior polymorphous corneal dystrophy (PPCD) is a bilateral autosomal dominant disorder that primarily affects the posterior corneal layers. The corneal endothelium typically transforms into an epithelial-like phenotype and Descemet's membrane becomes irregularly thickened due to the deposition of an abnormal collagenous layer [24]. There may also be irregular corneal astigmatism [25,26], and an association between PPCD and keratoconus has been described in at least three case series [27-29]. VSXI was selected as a candidate gene for PPCD and keratoconus because of its position within the PPCD linkage region on chromosome 20 [30]. Sequencing of this gene has revealed several variants. Some of them leading to protein changes (p.L17P, p.D144E, p.L159M, p.G160D, p.R166W, p.H244R, and p.P247R) were reported to be pathogenic or possibly pathogenic in keratoconus [17,31]. However, subsequent reports questioning the involvement of the VSX1 gene in both sporadic and familial keratoconus and PPCD pathogenesis have emerged [32-34]. To further assess the influence of VSX1 changes in familial keratoconus, we have looked for deleterious variants within this gene in probands from 85 unrelated British families with keratoconus. We also studied segregation of possible pathogenic changes within pedigrees.

### Methods

#### Identification of keratoconus families

The study was performed in accordance to the tenets of the Declaration of Helsinki and with the approval by the local ethics committee. Informed consent was obtained from each study

participant before they were included into the study. Patients with a family history of keratoconus were identified during routine examination and pedigrees were constructed. The ethnic backgrounds of the patients was recorded. All affected and unaffected family members older than 18 years and willing to participate were enrolled in the study. For each pedigree, a history of any other hereditary disorder was obtained.

Clinical examination included best corrected visual acuity and slit-lamp examination. Videokeratopography using an Orbscan II operating under software version 3.12 (Bausch & Lomb Incorporated, Rochester, NY) was performed. The diagnosis of keratoconus was based on the characteristic clinical signs, a characteristic pattern on videokeratography [1], corneal thinning measured by Orbscan II, and/or ultrasound pachymetry. Corneal graft surgery for keratoconus was also taken as confirmation of disease. Familial keratoconus was established when at least two members from one pedigree were clinically affected. Keratoconus suspects with forme fruste disease were not used in ascertaining the familial nature of the disease.

### **DNA collection and sequencing**

Genomic DNA was prepared from blood leukocytes using a Nucleon III BACC3 genomic DNA extraction kit (GE Healthcare, Chalfont St. Giles, UK), according to the manufacturer's instructions.

The coding regions of the *VSX1* and the exon-intron junctions of both transcripts A and B were amplified using seven sets of oligonucleotide primers (Table 1) that flank the coding region of each exon by at least 57 nucleotides.

Mutation screening was performed in the proband from each family using an approximately 50 ng template DNA, 50 picomoles of gene-specific primers, 12.5  $\mu$ l of ReddyMix<sup>TM</sup> PCR master mix (1.5 mM MgCl<sub>2</sub>; ABgene, Epsom, UK), and water, which was added to adjust the volume to a total of 25  $\mu$ l. Thermal cycling was performed in a TC-512 Gradient Thermal Cycler (Techne, Stone, UK) with the following program: initial denaturation for five min at 94 °C, 35 cycles of 95 °C for 30 s, 52 °C (exon 1A and 4), 57 °C (exon 1B, 1C, 2, and 3) and 59 °C (exon 5A and 5B) for 30 s, 72 °C for 30 s, and a final extension for five min at 72 °C. Amplified DNA fragments were purified using Montage PCR<sub>96</sub> Cleanup Kit (Millipore, Billerica, MA) and directly sequenced using the BigDye Terminator v3.1 cycle sequencing kit (Applied Biosystems, Foster City, CA). Nucleotide sequences were read both manually and using sequence analysis software (DNASTAR, Inc., Madison, WI) and compared with the published *VSX1* complementary sequences (NCBI RefSeq NM\_014588.4 and NM\_199425.1). If a previously reported or novel change in the coding sequence that might impair the *VSX1* protein structure or function was observed, the particular sequence variant was also determined in all available family members.

Fifty unrelated control individuals (100 chromosomes) of various ethnic backgrounds (26 white, 12 African-American, 12 Asian) without any corneal disease were also screened by direct sequencing for changes in all *VSX1* coding regions.

### Analysis of previously published data

To evaluate the statistical significance of the p.D144E change which was reported in keratoconus [17,31,34] but rarely present in unaffected controls [35], keratoconus probands and healthy controls from previously published studies screened for this variant were counted and genotype frequencies were compared. A Weighted Odds Ratio was calculated using the Mantel-Haenszel method [36].

# Results

Eighty-five families were recruited for this study. Clinical examinations of two or more affected individuals were performed in 63 families. In 22 other families, keratoconus was present in the proband and corneal graft surgery for keratoconus had been performed in at least one other family member. Consanguinity was present in two families. Thirty-six families described themselves as white British, seven as white but not British, 24 were of Indian or Pakistani origin, 13 were Africans or African-Caribbeans, and the origin was not classified in five families. In 30 pedigrees, there were at least one affected individual in two or more successive generations, indicating an autosomal dominant mode of inheritance. In 15 pedigrees, affected individuals were present in different family branches and the disease was transmitted within the pedigree by asymptomatic relatives, suggesting also autosomal dominant inheritance but with incomplete penetrance and/or variable expressivity. However, forme fruste disease could not be excluded in the reportedly unaffected individuals. In 38 pedigrees, all affected members were siblings indicating either an autosomal recessive or autosomal dominant mode of inheritance with incomplete penetrance and/or variable expressivity. In two pedigrees, the disease was transmitted via an unaffected female, which is compatible with an X-linked or autosomal dominant inheritance mode with incomplete penetrance and/or variable expressivity.

There were no other ocular or systemic disorders that cosegregated with keratoconus. Fuch's endothelial corneal dystrophy was present in a 53-year-old individual from one family, but there were no endothelial abnormalities in his 23-year-old daughter who also had keratoconus or in his 20-year-old unaffected son. None of the 85 probands had PPCD.

Table 2 summarizes all *VSX1* sequence variants found in our screening panel. Direct sequencing revealed the presence of c.432C>G change which leads to a p.D144E change in both of the main protein isoforms in one white British family. This sequence variant was also found in an affected brother of the proband and the proband's two unaffected sons, but it was not present in an affected son (Figure 1) nor in any control chromosomes. We also identified two novel intronic polymorphisms, c.504-10G>A in one further proband and c. 504-24C>T in three other probands. Neither polymorphisms were found in the control individuals. Because of their proximity to the intron-exon junction therefore their possible interference with splicing mechanisms, their presence in other family members was studied. Since they did not cosegregate with keratoconus in all affected relatives (data not shown), they were not considered to be disease causing. None of the other changes previously reported as pathogenic or possibly pathogenic in keratoconus [17,31] were observed in our familial panel.

After taking into account three previous studies looking at *VSX1* changes in keratoconus, including the p.D144E (Table 3), calculation of the Weighted Odds Ratio for affected probands produced an Odds Ratio of 5.2 with wide confidence intervals (95% Confidence Intervals 0.7-181.8, p=0.14).

## Discussion

The role of the *VSX1* gene in the pathogenesis of corneal disease has been controversial. Of the changes reported as potentially causing keratoconus or PPCD the p.D144E, p.H244R, and p.P247R have also been reported in unaffected individuals [17,35,37]. In addition, no *VSX1* mutations were found in the PPCD family originally linked to chromosome 20 [17], and the *VSX1* gene was excluded as a cause of PPCD by further refinement of the locus on 20p11.2 in two large Czech families [37]. Finally, expression of *VSX1* was not consistently shown in normal human adult cornea [17,22] and *VSX1* knockout mice do not have any histological evidence of corneal abnormalities [38].

We studied 85 pedigrees with familial keratoconus, the largest series reported to date, to look for changes in the VSX1 gene. Direct sequencing of the two major transcript variants revealed the nonsynonymous p.D144E change in only one white British family. The evidence in the literature that this variant is implicated in the pathogenesis of keratoconus is ambiguous. After the initial report observing this particular allele in two siblings with both PPCD and keratoconus as well as in one glaucoma patient [17], the p.D144E was subsequently detected in two unrelated Italian families [31]. In the first family, cosegregation of the p.D144E allele with the disease phenotype could not be proven as the other member carrying this change was not available for videokeratopography examination. In the second Italian family, three first-degree relatives carrying this sequence variant were classified as keratoconus suspects based on topographical indexes, however, none of them had clinical keratoconus [31]. In our study, the p.D144E change did not cosegregate with keratoconus in all affected family members and therefore, we think that p.D144E is unlikely to be disease causing. However, as there seems to be a relatively high frequency of the p.D144E change in keratoconus patients compared to the general population, we reviewed the three previous studies that have reported on p.D144E in relation to keratoconus to assess the overall effect of this mutation (Table 3). No significant statistical association of this change with keratoconus could be proven. Nevertheless, this approach cannot completely exclude a role for this change. For example, an epigenetic interaction or partial linkage disequilibrium with another locus could also explain inconsistencies regarding the relationship between p.D144E and keratoconus.

Although some of the more rare changes were only observed in keratoconus probands from particular ethnic groups, the study size is too small to allow us to prove whether these are true associations or the result of population structure stratification.

In conclusion, this study brings the total number of unrelated keratoconus patients, screened by direct sequencing for changes in the *VSX1*, to 328. Only 19 of the probands in previous studies were reported to have familial disease [17,31,33]. Our failure to identify possibly pathogenic changes in a further 85 keratoconus families suggests that mutations of the *VSX1* gene could only be responsible for a very small fraction of all observed familial cases.

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### Figure 1.

Family segregation of the p.D144E change. Unaffected individuals III:2 and III:3 are heterozygous for the p.D144E change as well as patients II:1 and II:3. Affected family member III:1 with advanced keratoconus does not carry this change.

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Table 1	
Primer sequences used for amplification of VSX1 coding exo	ns

Variant 1	Variant 2	Primer sequences
Exon 1A	Exon 1A	5 ' -CTATAAAGCTTCCTCTAAGC-3 '
		5 ' -AGCCAGATCCCTGTCCTG-3 '
Exon 1B	Exon 1B	5 ' -TTCGCCATCACGGACCTGC-3 '
		5 ' - GAACGGCACGTCCGCTAG - 3 '
Exon 1C	Exon 1C	5 ' - TGTGGCTTCGGCACGCAG-3 '
		5 ' -ATGGTCTGTGACCCCTGC-3 '
Exon 2	Exon 2	5 ' -AGTAGGCACTAAAAATGCTGGC-3 '
		5 ' - CAGAATTATCTTCCCAAATGGC-3 '
Exon 3	Exon 3	5 ' - CATTCAGAGGTGGGGTGTTC-3 '
		5 ' - TCTTGTGGTGCCTTCAGCTA - 3 '
Exon 4		5 ' -TTTCCTTCAGAAATAGCATGGG-3 '
		5 ' - CGTTGCTTTGCTTTGGAAAT - 3 '
Exon 5A		5 ' - CTTTAAACCCCTAAGGTGGGAG-3 '
		5 ' -AACCACACATCTCAAATGATGC-3 '
Exon 5B		5 ' - TCAAGATAAAATGCAATGCCAC-3 '
		5 ' -AGCACCATATTTTCCCATCAAC-3 '

NCBI RefSeq NM\_014588.4 (variant 1) and NCBI RefSeq NM\_199425.1 (variant 2) were used as reference sequences. The primers for exon 1A-C were identical to those reported by Heon et al. [17].

Reference SNP ID	Positio g€	n in the ene	Nucleotic	le change	Protein	change	Obsei	rved gei	notypes	Ethnicity of
reported by	Variant 1	Variant 2	Variant 1	Variant 2	Isoform A	Isoform B	aa	ab	ମ୍ପ	probands with alleles ab or bb
rs8123716	Exon 1	Exon 1	c.18G>T	c.18G>T	p=(S6S)	p=(S6S)	76	σ	0	Asian (3), White (5), Unclassified (1)
Aldave et al. [34]	Exon 1	Exon 1	c.174G>T	c.174G>T	p=(P58P)	p=(P58P)	83	0	0	White (2)
rs6115023	Exon 1	Exon 1	c.315C>A	c.315C>A	p.D105E	p.D105E	83	7	0	Black (2)
rs6037016	Exon 1	Exon 1	c.339C>T	c.339C>T	p=(G113G)	p=(G113G)	83	7	0	Black (2)
rs6050307	Exon 1	Exon 1	c.391C>A	c.391C>A	p.R131S	p.R131S	80	4	Ч	Black (5)
Heon et al. [17]	Exon 2	Exon 2	c.432C>G	c.432C>G	p.D144E	p.D144E	84	Ч	0	White (1)
Novel 1	Intron 2	Intron 2	c.504-10G>A	c.504-10G>A	I	I	84	Ч	0	Unclassified (1)
Novel 2	Intron 2	Intron 2	c.504-24C>T	c.504-24C>T	I	ı	82	c	0	Asian (3)
rs12480307	Exon 3	Exon 3	c.546A>G	c.546A>G	p=(A182A)	p=(A182A)	32	44	σ	Asian (15), White (24), Black (11), Unclassified (3)
rs6138482	Intron 3	Exon 3	c.627+23G>A	c.650 G>A	I	р.К217Н	67	17	Ч	Asian (4), White (13), Black (1)
Bisceglia et al. [31]	Intron 3	Exon 3	c.627+84T>A	c.711T>A	I	p=(P237P)	3 2	44	σ	Asian (15), White (24), Black (11), Unclassified (3)

Allele 'a' represents the nucleotide of the reference sequence. The nomenclature of the sequence variants follows current recommendations of the Human Genome Variation Society and was described by den Dunnen et al. [39]. The "A" of the ATG-translation initiation codon is referred as nucleotide +1. The last column shows the ethnic backgrounds of the probands. Black represents African or African-Caribbean, Asian stands for Indian or Pakistani, white for white British and white 'others' as self reported categories by the study participants.

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Sequence variants detected in VSX1 in 85 probands from unrelated keratoconus families

Table 2

Published study	Genotype	Keratoconus probands	Healthy controls	Glaucoma probands
Heon et al. [17]	p.D144E/+	1	0	1
	+/+	62	277	89
Bisceglia et al. [31]	p.D144E/+	2	0	-
	+/+	78	125	-
Aldave et al. [35],	p.D144E/+	1	1	-
Aldave et at. [34]	+/+	99	101	-

	Table 3		
A summary for the meta analysis	of p.D144E effect on	previously reported	keratoconus

Heterozygous individuals are designated as p.D144E/+, individuals not carrying the p.D144E change as +/+. To date, a total of 504 healthy controls was screened for the c.432C>G leading to the p.D144E change, which was found in one subject by Aldave et al. [35] (1/1008 chromosomes) as well as in one individual that was heterozygous for this mutation out of 90 glaucoma patients by Heon et al. [17]. In clinically affected keratoconus probands, the p.D144E change was observed in four probands out of 243 screened (4/486 chromosomes) [17,31,34].