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## Endogenous Thrombin Potential Changes during the First Cycle of Oral Contraceptive Use

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### Abstract

**Objectives**—Venous thromboembolism (VTE) risk increases within months of combination oral contraceptive (COC) initiation. Because elevated endogenous thrombin potential (ETP) has been found in several studies to be a VTE risk factor, we evaluated the extent of ETP changes during the initial cycle of an ethinyl estradiol (EE) and levonorgestrel (LNG) COC. We also assessed the relationship between ETP changes and systemic EE and LNG concentrations.

**Study Design**—Participants provided multiple blood samples during a first 21-day cycle of a 30 µg EE/150 µg LNG COC and after a further 7 days without an active COC. Thrombin generation measured with and without addition of activated protein C (APC) yielded ETP<sub>+APC</sub> and ETP<sub>-APC</sub> and the normalized APC sensitivity ratio (nAPCsr). EE and LNG pharmacokinetic analyses were conducted over 24 hours after the first COC tablet and again at steady state.

**Results**—Thrombin generation was determined in 16 of the 17 women who completed the study. Mean ETP<sub>-APC</sub> increased steadily to 21% above baseline at 24 hours after the 6<sup>th</sup> COC tablet (COC<sub>624</sub>;  $p < 0.001$ ) and to 28% above baseline at steady state (COC<sub>21</sub>;  $p < 0.001$ ). Mean ETP<sub>+APC</sub> increased considerably more – by 54% at COC<sub>624</sub> and by 79% at steady state. Mean nAPCsr increased by 28% at COC<sub>624</sub> and by 41% at steady state. Higher concentrations of EE or LNG were not correlated with greater increases in ETP.

**Conclusions**—ETP increases during the first COC cycle were substantial.

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**Conflicts of Interest:** None of the other authors have any conflicts to report.

**Implications**—The early increases in ETP may provide biological support for the rapid increase in VTE risk during initial COC use. The lack of association between this clotting system perturbation and the systemic EE concentration is surprising and deserves further study.

### Keywords

oral contraceptives; venous thromboembolism; endogenous thrombin potential

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## 1. Introduction

The risk of venous thromboembolism (VTE) increases within the first 3 months of combination oral contraceptive (COC) use, and then gradually decreases between the first 3 months and 1 year [1–3], although this has not been invariably found [4]. Rosing and colleagues measured activated protein C (APC) resistance in two women and found increases during the first week of the first COC cycle [5]. Similar increases occurred in six women after receiving an emergency contraceptive containing two high doses of ethinyl estradiol (EE) and levonorgestrel (LNG) [6]. Other studies of hemostatic changes during COC use have not evaluated early changes [7, 8]. The large ‘Seven-OC Study’ [8], measured 24 hemostatic variables, including APC resistance, at baseline and after 3 and 6 COC cycles in 707 women. In that study, D-dimer concentration, a marker of fibrinolysis associated with future VTE risk [9, 10], increased approximately 50% after 6 cycles of all COC regimens tested [8]. Factor VIII activity, independently associated with risk of VTE [11–13], increased approximately 20% after 6 cycles [8]. We recently evaluated D-dimer and factor VIII changes during the first COC cycle, and found changes comparable to those seen with longer use [14]. The relationship between these observed changes in D-dimer and factor VIII to the increased VTE risk experienced among COC users has not been studied directly.

The measurement of thrombin generation via the Calibrated Automated Thrombogram is an excellent tool to determine the “thrombotic-hemostatic function of the blood” [15]. Hemker and colleagues developed this method to measure the time course of thrombin generation (TG) initiated with tissue factor (TF) in platelet-poor plasma and proposed that the area under the TG curve, termed the Endogenous Thrombin Potential (ETP), is a global measure of the clotting potential of blood [15]. ETP has been found to be associated with VTE risk [16–21]. Although ETP is strongly affected by COC use [5, 22], there has only been a single study of the association of ETP with VTE risk in COC users [23]. The ETP laboratory test we use provokes TG under several standardized conditions, including with and without the addition of APC, and these are denoted ETP<sub>+APC</sub> and ETP<sub>-APC</sub>, respectively. APC is a natural anticoagulant protein generated in plasma after thrombin activates protein C and which, supported by its cofactor protein S, dramatically reduces thrombin generation. The increased VTE risks associated with protein C and protein S deficiencies and with so-called ‘APC resistance’ illustrate the importance of the protein C system in down-regulating coagulation [24–30]. During COC use, the normal reduction of TG with the addition of APC is mitigated, and COC use has thus been described as causing ‘acquired APC resistance’ [5, 31]. The ‘Seven-OC Study’ found a 74% increase in the normalized APC sensitivity ratio (nAPCsr) during use of a 30 µg EE/150 µg LNG COC at 6 months, but did not report the

results for ETP<sub>-APC</sub> or ETP<sub>+APC</sub> [8]. In the present analysis we evaluated the changes in TG during the first cycle of use of this COC.

Because epidemiological studies show that COCs with higher doses of EE are associated with a greater increase in VTE risk [32, 33], we also explored whether a woman's systemic EE concentration during the first COC cycle was related to the magnitude of her TG changes.

## 2. Materials and Methods

### Study population and blood collection

This single-arm, open-label pilot study took place at Columbia University Medical Center (CUMC) after Institutional Review Board approval. We have previously reported details of the study [14, 34]. Briefly, participants provided written informed consent prior to enrollment; were aged 18–35 years and self-identified as white. We excluded any women with medical contraindications to COC use [35]. Additional exclusion criteria included: medication use known to affect the CYP450 system; injectable contraception in the past 6 months or other hormonal contraceptive use within the past month; pregnancy within the past six weeks; smoking; and a body mass index  $\geq 30.0$  kg/m<sup>2</sup>.

The study COC contained 30 µg EE and 150 µg LNG packaged with 21 active and 7 placebo tablets (Portia®, Teva Pharmaceuticals, Philadelphia, PA, USA). Treatment began within 7 days of the start of menses. Each participant selected a particular time to take her daily COC; we directly observed COC intake at this particular time on study visit days. Participants underwent multiple blood draws to measure hormone and hemostatic variables over 4 weeks: immediately before each COC was taken on days 1 (COC<sub>10</sub>), 2 (COC<sub>124</sub>), 3 (COC<sub>224</sub>), 4 (COC<sub>324</sub>), 7 (COC<sub>624</sub>), and 21 (COC<sub>2024</sub> = COC<sub>210</sub>); and at the same time on day 22 (COC<sub>2124</sub>) and on day 28 (COC<sub>28</sub>). Each participant also returned to take a single COC pill within the first 5 days of her next spontaneous menses and we collected blood samples over the following 4 days. Participants sat quietly for 30 minutes prior to each blood draw, which the phlebotomist performed using a 21-gauge butterfly needle in the antecubital vein. We admitted each participant for 24 hours on days 1 and 21 to collect 14 timed samples for pharmacokinetic analyses of EE and LNG. All study visits occurred in winter 2012–2013.

Samples for clotting factor analyses were collected in a citrated vacutainer and centrifuged at 1200×g at 4°C for 10 minutes; plasma was then frozen in 1 mL aliquots at –80°C. Normal pooled plasma used as a reference in this study was collected in Maastricht by pooling plasma of 23 healthy individuals with an average age of 34.7 years (13 men and 10 women among whom were two COC users) as previously described [36].

### Laboratory methods

We measured ETP by CAT [36] in wells of a microtiter plate (total volume 125 µL) containing 80 µL platelet poor plasma to which 25 µL of a tissue factor/phospholipids mixture with or without APC was added. Thrombin generation was triggered by the addition of 20 µL of a CaCl<sub>2</sub>/fluorogenic substrate I-1140 (Z-Gly-Gly-Arg-AMC, BACHEM,

Bubendorf, Switzerland) mixture resulting in the following final concentrations: 10 pM TF, 30  $\mu$ M PL (DOPS/DOPC/DOPE 20/60/20), 16 mM CaCl<sub>2</sub>, 0.3 mM I-1140 and 5 nM APC if present. We added thermos-stable contact inhibitor (TICA) to the plasma to a final concentration of 40  $\mu$ g/mL to prevent contact activation. All samples from a single subject were measured in one run in duplicate. Fluorescence was read in a Fluoroskan Ascent® reader (ThermoLabsystems, Helsinki, Finland) and thrombin generation curves were calculated using Thrombinoscope™ software (Thrombinoscope BV, Maastricht, The Netherlands). ETP<sub>-APC</sub>, ETP<sub>+APC</sub> and nAPC<sub>sr</sub> were calculated as previously described [37]. The APC concentration was chosen such that TG in the normal pooled plasma was inhibited ~90% (ETP<sub>+APC</sub> is ~10% of ETP<sub>-APC</sub>).

The CUMC Biomarkers Core Laboratory measured EE and LNG serum concentrations using liquid chromatography-tandem mass spectrometry and we conducted standard PK analyses using the Stata 14 (Stata Corporation, College Station, TX, USA) non-compartmental analysis procedure, *pkexamine*, using the trapezoidal rule. Concentrations of corticosteroid-binding globulin (CBG) were measured in serum at baseline and steady state (COC21<sub>0</sub>) with a radioimmunoassay kit (IBL-America, Minneapolis, MN, USA) to evaluate treatment compliance [38].

### Laboratory Materials

Hepes, Tris-hydrochloride, CaCl<sub>2</sub> and ovalbumin were obtained from Sigma Aldrich (Zwijndrecht, The Netherlands). NaCl and EDTA were obtained from Merck (Darmstadt, Germany). Bovine serum albumin (BSA) was purchased from MP Biomedicals (Illkirch, France). The fluorogenic substrate I-1140 (Z-Gly-Gly-Arg-7-amino-4-methylcoumarin-HCL) was obtained from Bachem (Bubendorf, Germany). The phospholipids 1,2-dioleoyl-sn-glycero-3-phosphocholine (DOPC), 1,2-dioleoyl-sn-glycero-3-phosphoserine (DOPS) and 1,2-dioleoyl-sn-glycero-3-phosphoethanolamine (DOPE) were from Avanti Polar Lipids (Alabaster, AL, USA). Phospholipids vesicles (DOPS/DOPE/DOPC; 20/20/60; M/M/M) were prepared as previously described [36]. Recombinant tissue factor (Innovin) was purchased from Siemens Healthcare (Marburg, Germany). TICA was made in house. Recombinant APC (Xigris "drotrecogin alfa") was obtained from Eli Lilly (Indianapolis, IN, USA). The Thrombin calibrator was purchased from Thrombinoscope BV (Maastricht, The Netherlands).

### Statistics

To reduce random variation at steady state we averaged the values of the hemostatic variables immediately before and 24 hours after COC21 (COC20<sub>24</sub> and COC21<sub>24</sub>), except in Figure 1 where we show these values separately. We summarized the levels of TG using descriptive statistics, and conducted matched-pairs t-tests to evaluate changes over time in ETP<sub>-APC</sub>, ETP<sub>+APC</sub>, nAPC<sub>sr</sub>, Peak<sub>-APC</sub>, and Peak<sub>+APC</sub>. We used linear regression to assess the relationship between the logarithm of steady-state 24-hour EE area-under-the-curve (EE<sub>AUC21</sub>) and the change in hemostatic variables from baseline to COC21. Confidence intervals for Pearson correlation coefficients (r values) were calculated using Fisher's z transformation. We used Stata 14 (StataCorp, College Station, TX) to conduct statistical

analyses. All statistical significance levels (p values) quoted are 2-sided. The sample size of the study was based on available funding.

### 3. Results

Seventeen women participated in this study completing 163 of 170 scheduled visits. Three participants missed the day 28 visit, and one missed the last four visits after the one month COC-free period. CBG changes from baseline to day 21 were consistent with good compliance. Table 1 shows their baseline characteristics.

The duplicate TG measurements of one of the participants were far apart, and, as we did not have sufficient plasma to repeat the measurements, this participant's results were not used in our calculations. Table 2 shows values of the TG parameters for the 16 remaining subjects over the study period.

Mean  $ETP_{-APC}$  increased to 9% above baseline at day 3 (COC2<sub>24</sub>;  $p = 0.001$ ); to 21% above baseline at day 7 (COC6<sub>24</sub>;  $p < 0.001$ ) and to 28% above baseline at steady state (COC21;  $p < 0.001$ ). At day 28, 7 days after intake of the last active pill, the mean  $ETP_{-APC}$  had fallen, but was still 14% above baseline ( $p = 0.001$ ).

Mean  $ETP_{+APC}$  also increased steadily from baseline, by day 3 it was increased 42% over baseline ( $p = 0.002$ ) and was increased 79% above baseline at steady state ( $p < 0.001$ ). By day 28, the mean  $ETP_{+APC}$  had fallen, but was still 42% over baseline ( $p = 0.004$ ). The percentage change in  $ETP_{+APC}$  was much greater than the percentage change in  $ETP_{-APC}$ . Moreover, the absolute change from baseline to steady state in  $ETP_{+APC}$  was only slightly less than the absolute change in  $ETP_{-APC}$  (193 vs 224 nM.min).

Mean nAPCsr was increased 32% over baseline after two pills (COC2<sub>24</sub>;  $p = 0.007$ ) and there was a further increase at steady state (a 41% increase over baseline;  $p = 0.010$ ). At day 28, mean nAPCsr had decreased, but there was still a 33% increase over baseline ( $p = 0.018$ ). There was a very high correlation between the changes (difference in logarithms of baseline to steady state) in nAPCsr and  $ETP_{+APC}$  ( $r = 0.96$ ).

The change in  $Peak_{-APC}$  was highly correlated with the change in  $ETP_{-APC}$  ( $r = 0.81$ ). And the change in  $Peak_{+APC}$  was even more highly correlated with the change in  $ETP_{+APC}$  ( $r = 0.94$ ).

Figures 1A–C show the increases in  $ETP_{-APC}$ ,  $ETP_{+APC}$ , and nAPCsr and the substantial between-individual variability in all of these measures. The increases were quite noticeable within a few days of starting the COC and the thrombin generation parameters determined in the presence of APC ( $ETP_{+APC}$  and nAPCsr) 24 hours after taking two COCs was strongly correlated with the steady-state values. All thrombin generation parameters returned to baseline after the one-month washout.

Figures 2A–C show the poor correlation between the increase in  $ETP_{-APC}$ ,  $ETP_{+APC}$ , and nAPCsr from baseline to steady state and the EE AUC at steady state. Similar poor correlations were found with LNG AUC at steady state. There were no significant

correlations between changes in ETP<sub>-APC</sub>, ETP<sub>+APC</sub>, and nAPCsr with changes in D-dimer or factor VIII.

#### 4. Discussion

In this pilot study the mean nAPCsr level increased 41% during the first cycle of COC use; an increase about two-thirds as great as the increase reported after 6 cycles in the 'Seven-OC Study' (based on comparisons of median values, as the 'Seven-OC Study' reported) [8]. As noted in the Introduction, this rapid increase in nAPCsr was previously reported in two women started on a monophasic COC containing desogestrel [5]. In addition, we found that mean ETP<sub>+APC</sub> was increased 79% from baseline to steady state ( $p < 0.001$ ) and mean ETP<sub>-APC</sub> was increased 28% from baseline to steady state. Most of these changes occurred within a few days of beginning the COC. These preliminary results together with the changes we observed in D-dimer and factor VIII [14] provide some biological support to the epidemiological studies showing an increased VTE risk during the very first months of COC use [1–3]. It should be noted that within individual women, the changes in TG, D-dimer and factor VIII were poorly correlated.

Among women in this study, all taking the same COC, we found the expected greater than two-fold range in steady state 24-hour EE exposure (488–1103 pg·h/mL). However, contrary to expectation, higher individual EE exposures were not associated with greater changes in TG, D-dimer or factor VIII. It may be that serum EE concentration is poorly correlated with the first-pass exposure of the liver to EE. These results may also indicate that coagulation system mechanisms other than changes in TG, D-dimer and factor VIII may be responsive to serum EE concentration. In the 'Seven-OC Study' [8] the EE dose significantly affected several other coagulation parameters; in the future, larger studies should further evaluate individual EE exposure and consider its effects on a wide range of hemostatic parameters. The small number of participants and of hemostasis variables tested are a substantial limitation of this pilot study. However, we demonstrated that, like D-dimer and factor VIII, TG changes are readily detectable during the first few days of a COC cycle. These laboratory findings are preliminary, and given the known limitations of surrogate markers as a measure of clinical outcomes, these results are not directly useful for clinical recommendations. More laboratory and clinical studies are needed to corroborate these findings and judge the clinical utility of ETP testing.

The short-term COC effects on TG found here support reports of early increases in VTE risk from COC use. A short-term study, such as this, is far easier to carry out than a 6-month study; this approach may thus be useful for the study of changes in additional hemostatic variables, and for making comparisons among different COCs.

The high correlations between peak TG and ETP show that the results Lutsey and colleagues reported of the strong relationship between Peak<sub>-APC</sub> and risk of VTE [21] are also indicative of a strong relationship between ETP<sub>-APC</sub> and risk of VTE.

The absolute increase we found in ETP<sub>-APC</sub> from baseline to steady state was almost the same as the absolute increase of the ETP<sub>+APC</sub> (Table 2). We have no good explanation for

this observation, and further studies on the effects of COCs on ETP<sub>-APC</sub>, ETP<sub>+APC</sub> and other coagulation factors are required to elucidate the determinants of the changes of the ETP<sub>-APC</sub> and ETP<sub>+APC</sub> during COC use.

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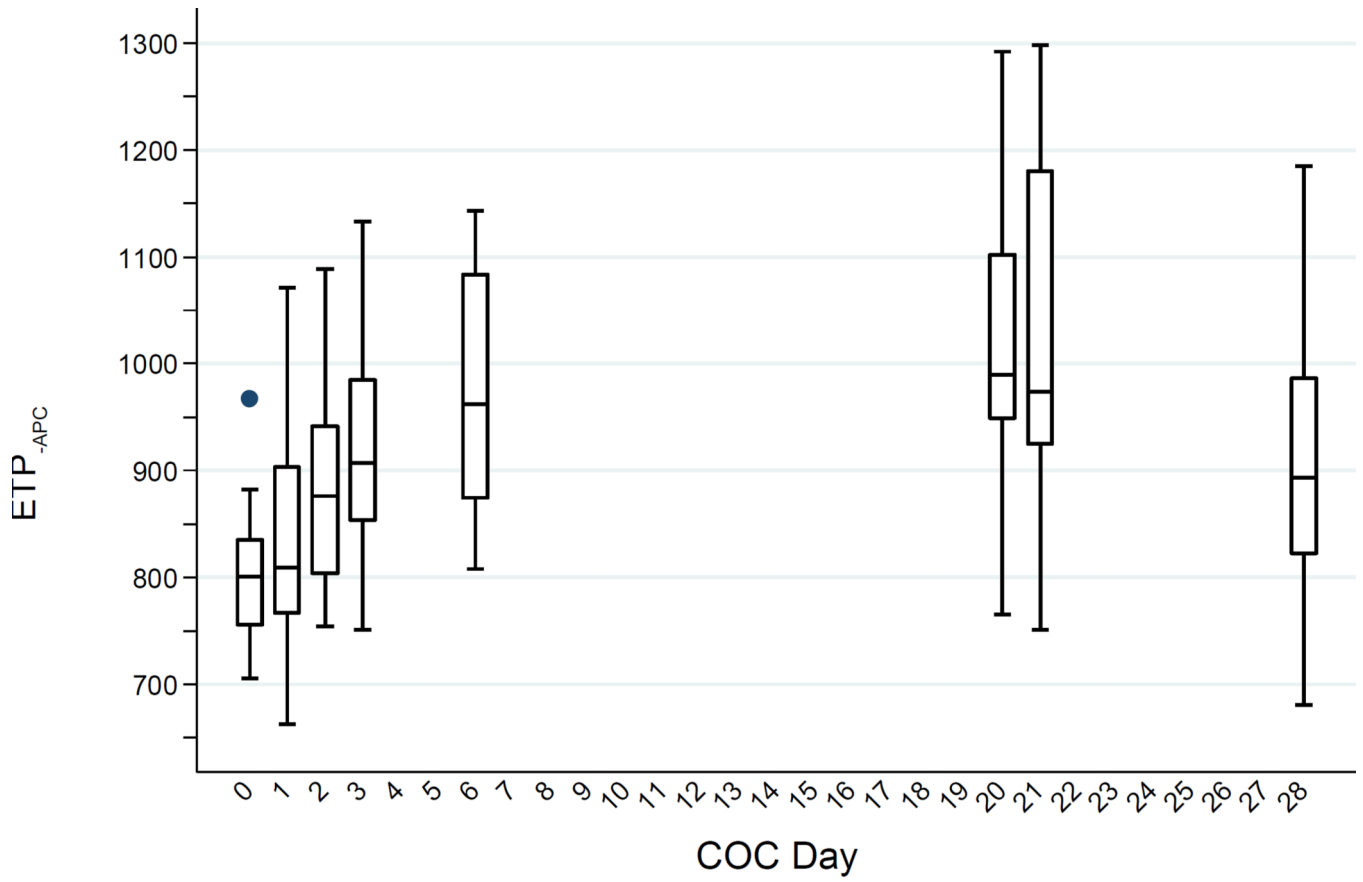
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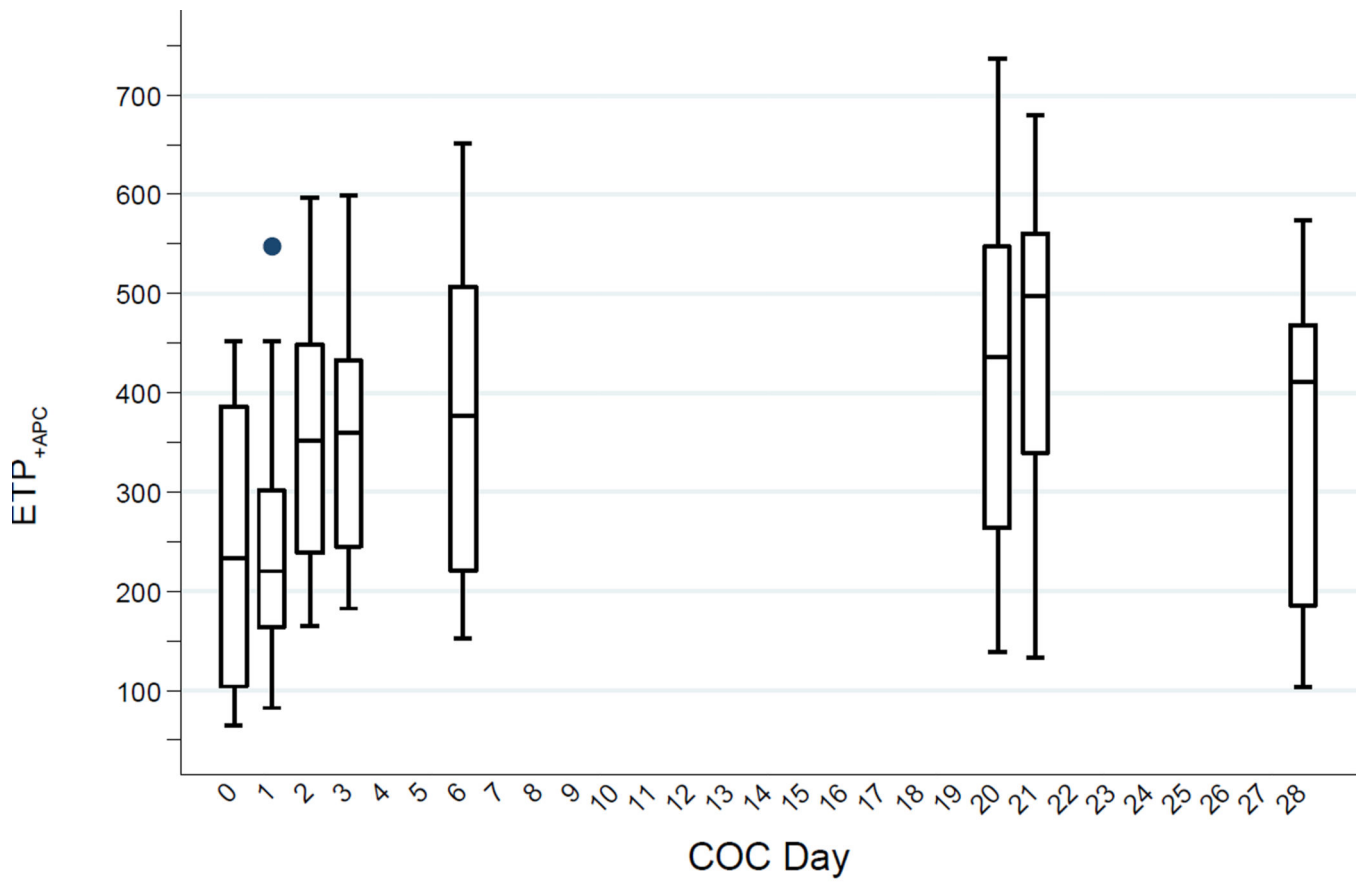
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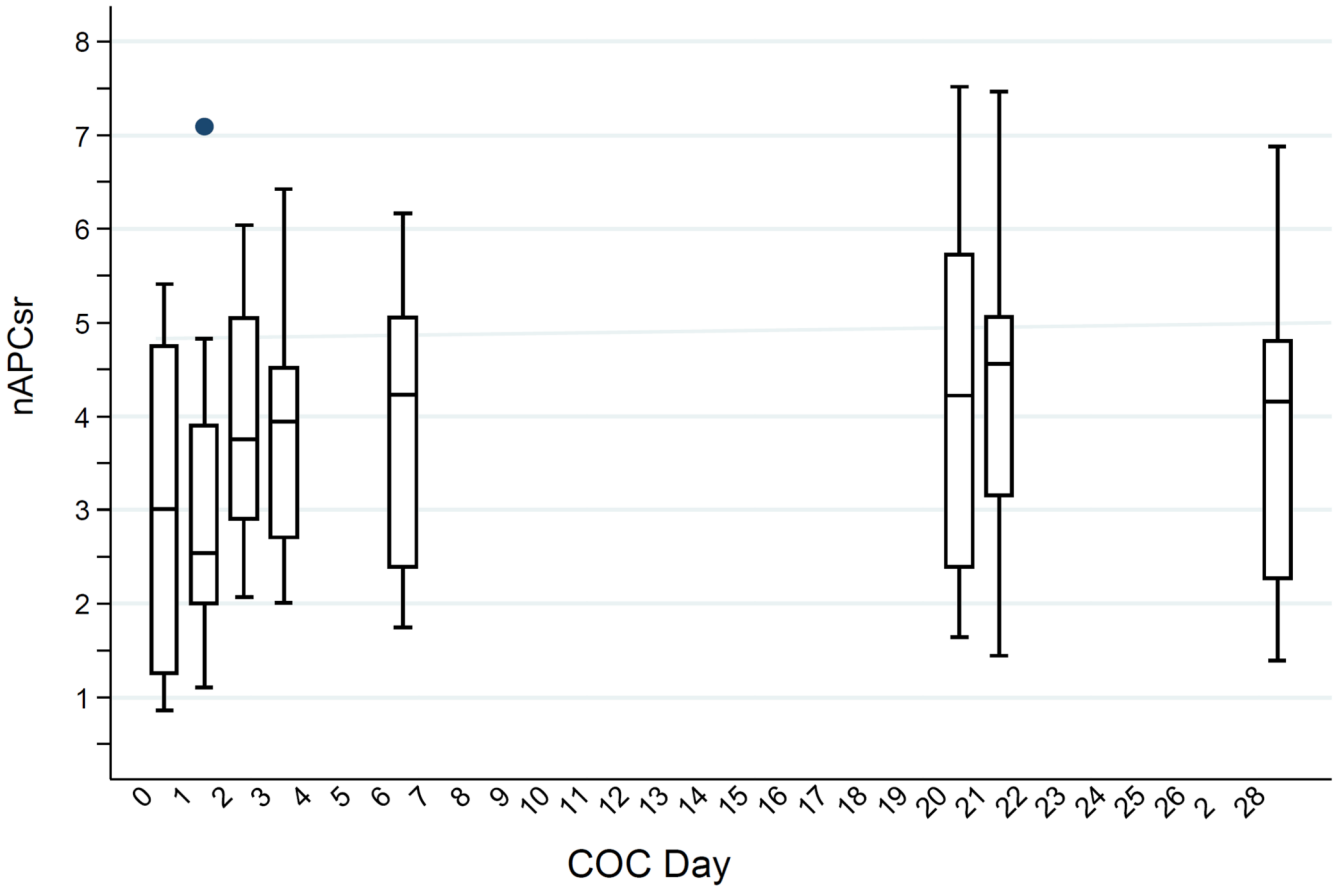
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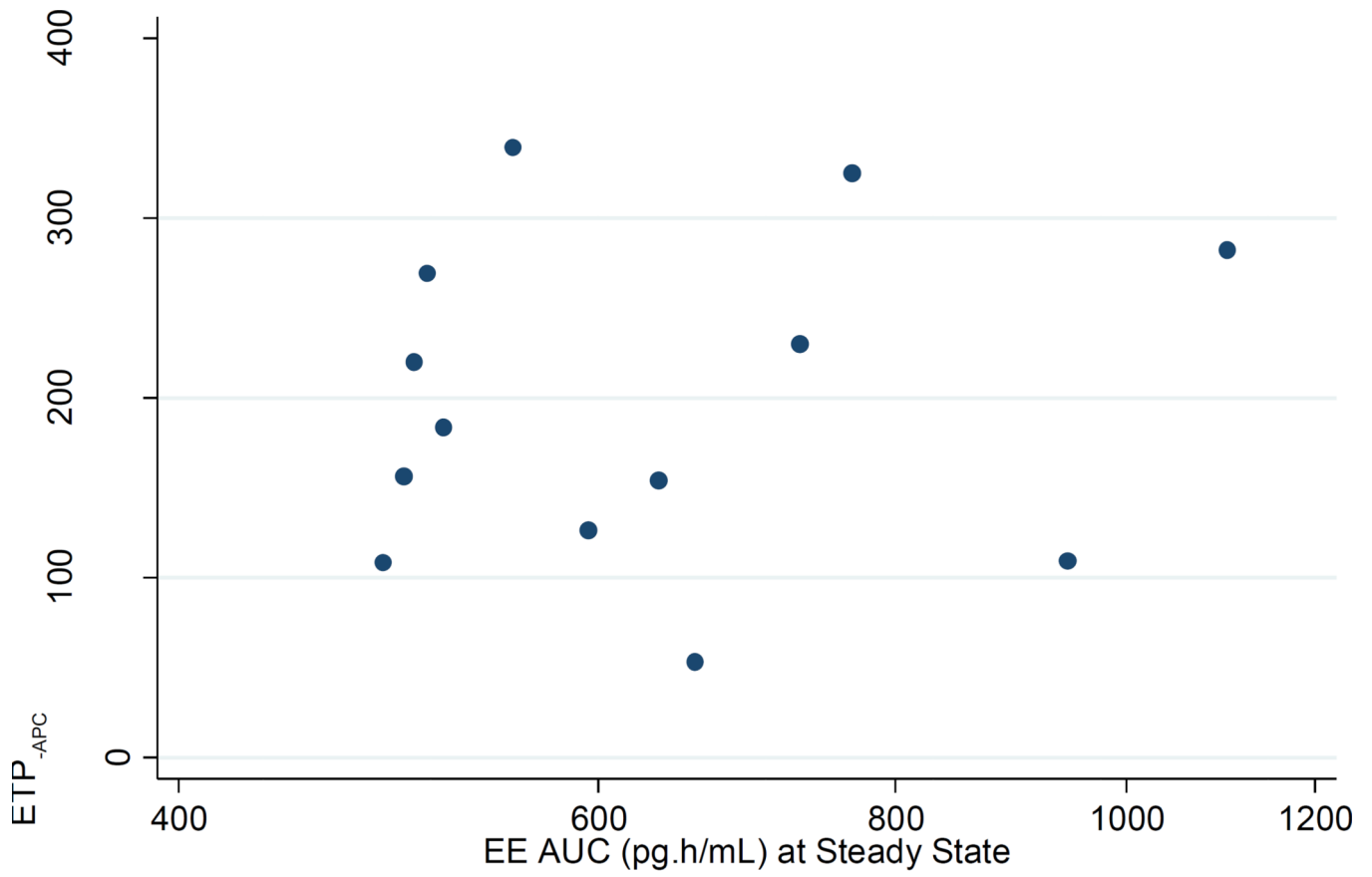
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**Fig. 1.**  
a.  $ETP_{-APC}$  (nM·min) levels during the COC cycle. Boxes show medians and interquartile ranges (IQR); lower whiskers denote the smallest values (25<sup>th</sup> percentile - 1.5 × IQR); upper whiskers denote the largest values (75<sup>th</sup> percentile + 1.5 × IQR); and individual points denote values outside the whiskers.  
b.  $ETP_{+APC}$  (nM·min) levels (high TF) during the COC cycle (boxes as in Fig. 1a).  
c.  $ETP_{nAPCs_r}$  levels (high TF) during the COC cycle (boxes as in Fig. 1a).



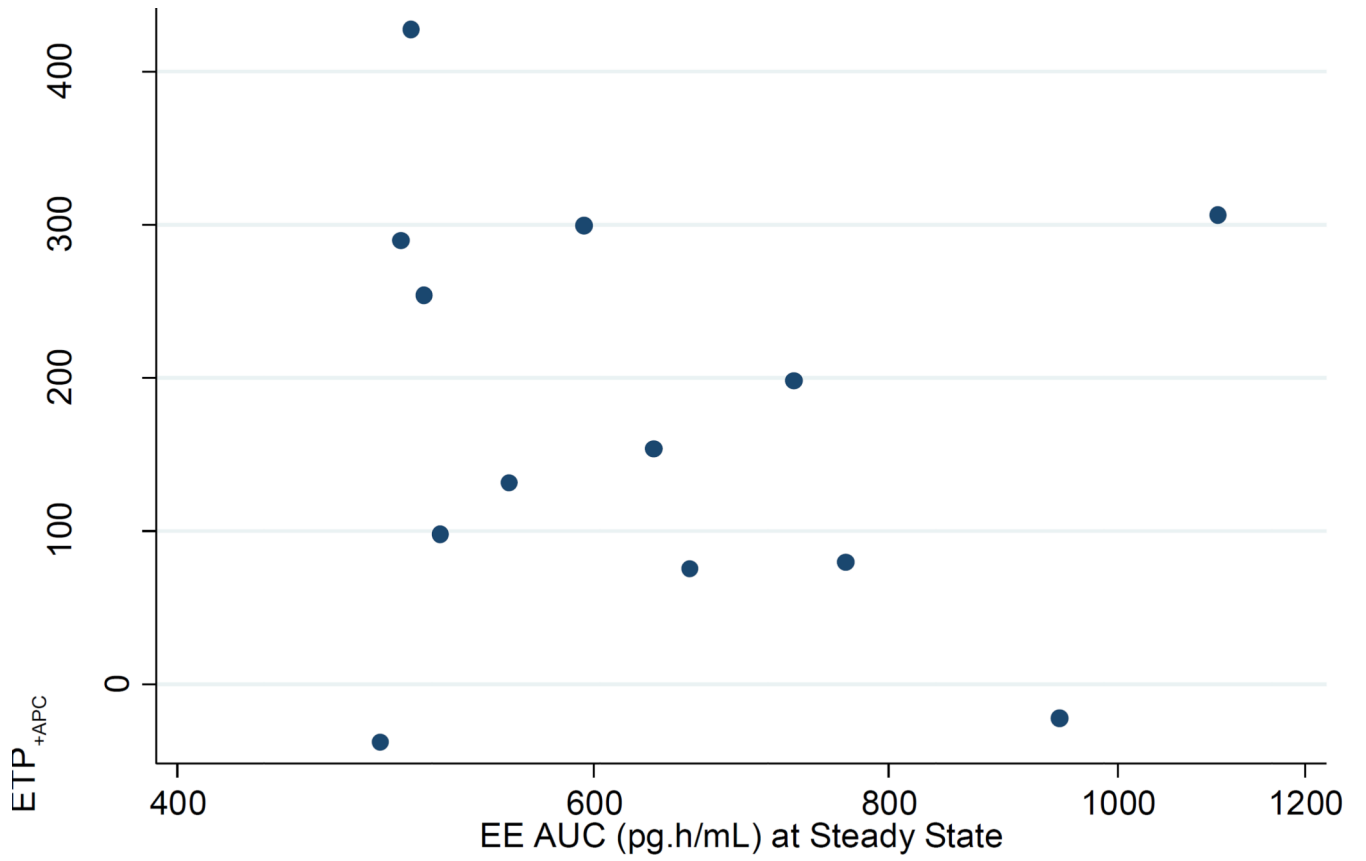
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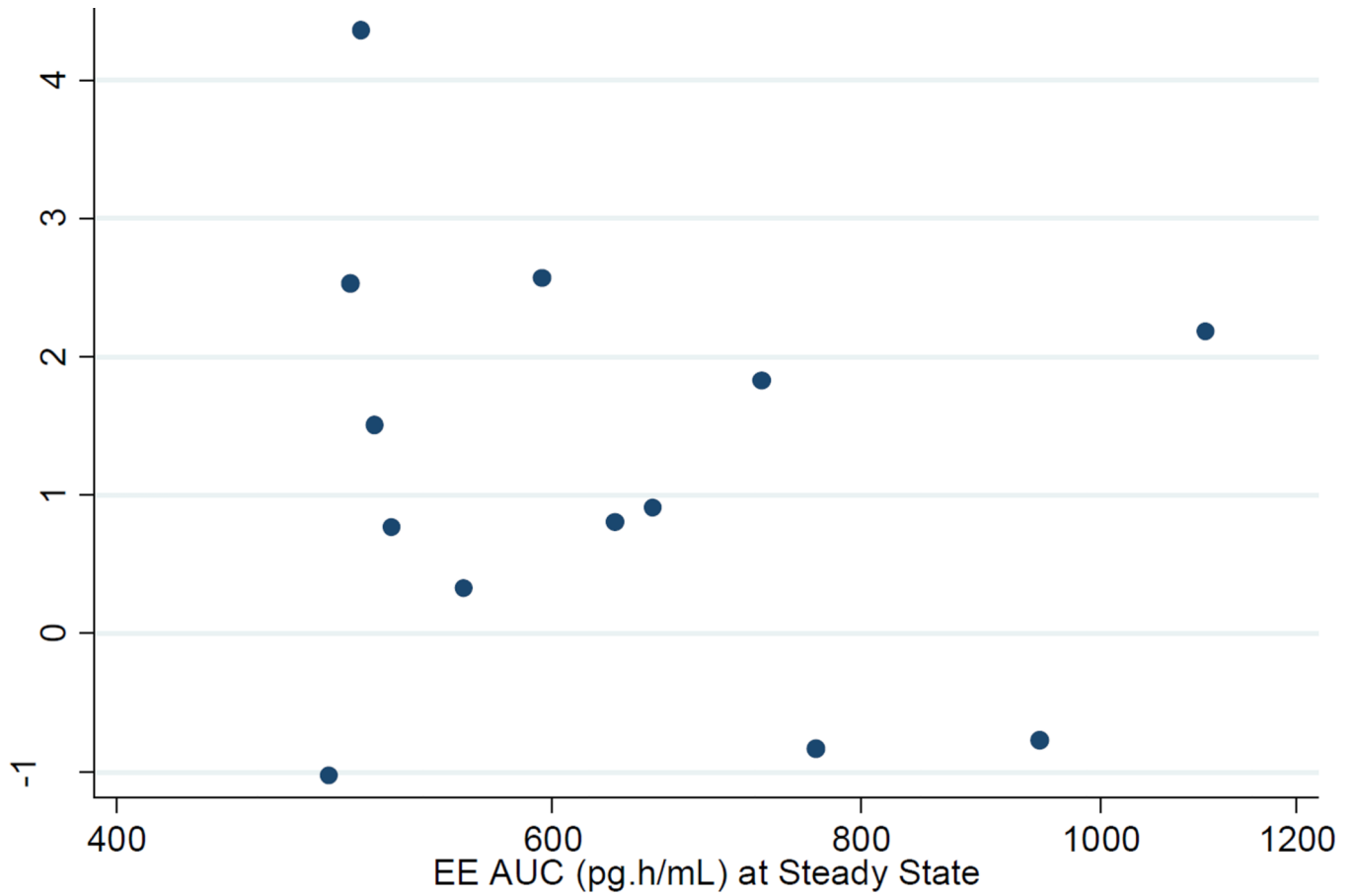
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C

**Fig. 2.**

- ETP<sub>-APC</sub>: Ratio steady state to baseline vs EE AUC (pg·h/mL) at steady state.
- ETP<sub>+APC</sub>: Ratio steady state to baseline vs EE AUC (pg·h/mL) at steady state.
- ETP<sub>nAPCsr</sub>: Ratio steady state to baseline vs EE AUC (pg·h/mL) at steady state.

**Table 1**

Baseline characteristics of study participants (n=17)

Variable	Study Participant
Age	24.9 ( $\pm$ 3.9)
Height (cm)	168.1 ( $\pm$ 7.7)
Weight (kg)	63.9 ( $\pm$ 10.5)
BMI (kg/m <sup>2</sup> )	22.6 ( $\pm$ 3.1)
Ever been pregnant	2 (11.8%)
Ever given birth	0 (0.0%)
Previously used an OC	11 (64.7%)

Values are shown as mean ( $\pm$ SD) or n (%).

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Table 2

Endogenous Thrombin Potential (ETP) values during the first COC cycle (n = 16)

Cycle Day	COC1 <sub>0</sub>	COC1 <sub>24</sub>	COC2 <sub>24</sub>	COC3 <sub>24</sub>	COC6 <sub>24</sub>	COC21 <sup>a</sup>	COC28
ETP <sub>-APC</sub> (nM.min)							
Mean	805	832	881	919	975	1029	914
(95% CI) <sup>b</sup>	(770, 841)	(777, 887)	(826, 936)	(864, 974)	(916, 1035)	(958, 1101)	(831, 997)
p-value <sup>c</sup>	-	0.054	0.001	<0.001	<0.001	<0.001	0.001
Correlation w baseline <sup>d</sup>	-	0.91	0.70	0.70	0.59	0.53	0.81
(95% CI) <sup>b,d</sup>		(0.75, 0.97)	(0.31, 0.89)	(0.31, 0.89)	(0.13, 0.84)	(0.05, 0.81)	(0.47, 0.94)
p-value <sup>d</sup>		<0.001	0.003	0.002	0.016	0.033	0.001
Correlation w SS <sup>e</sup>	0.53	0.69	0.61	0.73	0.61	-	0.84
(95% CI) <sup>b,e</sup>	(0.05, 0.81)	(0.30, 0.88)	(0.16, 0.85)	(0.37, 0.90)	(0.16, 0.85)		(0.54, 0.95)
p-value <sup>d</sup>	0.035	0.003	0.011	0.001	0.012		<0.001
ETP <sub>+APC</sub> (nM.min)							
Mean	244	247	347	359	375	437	347
(95% CI) <sup>b</sup>	(171, 317)	(180, 314)	(279, 415)	(293, 425)	(291, 460)	(359, 514)	(248, 445)
p-value <sup>c</sup>	-	0.91	0.002	0.007	0.007	<0.001	0.004
Correlation w baseline <sup>d</sup>	-	0.65	0.65	0.35	0.35	0.42	0.61
(95% CI) <sup>b,d</sup>		(0.23, 0.87)	(0.23, 0.87)	(-0.18, 0.72)	(-0.18, 0.72)	(-0.10, 0.76)	(0.09, 0.87)
p-value <sup>d</sup>		0.006	0.006	0.19	0.18	0.10	0.027
Correlation w SS <sup>e</sup>	0.43	0.65	0.78	0.82	0.77	-	0.83
(95% CI) <sup>b,e</sup>	(-0.08, 0.76)	(0.23, 0.87)	(0.46, 0.92)	(0.55, 0.94)	(0.44, 0.92)		(0.51, 0.95)
p-value <sup>d</sup>	0.098	0.006	<0.001	<0.001	0.001		<0.001
nAPCsr							
Mean	3.00	2.99	3.95	3.91	3.83	4.24	3.77
(95% CI) <sup>b</sup>	(2.12, 3.88)	(2.17, 3.80)	(3.21, 4.68)	(3.22, 4.61)	(3.03, 4.63)	(3.45, 5.03)	(2.70, 4.85)
p-value <sup>c</sup>	-	0.96	0.007	0.042	0.073	0.010	0.016
Correlation w baseline <sup>d</sup>	-	0.63	0.70	0.41	0.41	0.43	0.64
(95% CI) <sup>b,d</sup>		(0.20, 0.86)	(0.31, 0.89)	(-0.11, 0.75)	(-0.11, 0.75)	(-0.08, 0.76)	(0.14, 0.88)
p-value <sup>d</sup>		0.009	0.003	0.12	0.11	0.10	0.020

Cycle Day	COC1 <sub>0</sub>	COC1 <sub>24</sub>	COC2 <sub>24</sub>	COC3 <sub>24</sub>	COC6 <sub>24</sub>	COC21 <sup>a</sup>	COC28
Correlation w SS <sup>e</sup> (95% CI) <sup>b,c</sup>	0.43 (-0.08, 0.76)	0.72 (0.35, 0.90)	0.78 (0.46, 0.92)	0.83 (0.57, 0.94)	0.77 (0.44, 0.92)	-	0.79 (0.42, 0.93)
p-value <sup>d</sup>	0.094	0.002	<0.001	<0.001	0.001		0.001

<sup>a</sup>COC21, mean of values at t=0 and t=24 hrs;

<sup>b</sup>95% CI, 95% confidence interval;

<sup>c</sup>Paired t-test against COC1<sub>0</sub>;

<sup>d</sup>Correlation with COC1<sub>0</sub>;

<sup>e</sup>Correlation with COC21 (steady state, SS).