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### Epidemiology of pediatric hospitalizations at general hospitals and freestanding children's hospitals in the United States

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#### Abstract

**Background**—Children may be hospitalized at general hospitals or freestanding children's hospitals; knowledge about how inpatient care differs at these hospitals is important to inform national research and quality efforts.

**Objective**—To describe the volume and characteristics of pediatric hospitalizations at acute care general and freestanding children's hospitals in the United States.

**Design, Patients & Setting**—Cross-sectional study of hospitalizations in the United States among children <18 years, excluding in-hospital births, using the Healthcare Cost and Utilization Project's 2012 Kids' Inpatient Database.

**Measurement**—We examined differences between hospitalizations at general and freestanding children's hospitals, applying weights to generate national estimates. Reasons for hospitalization

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were categorized using a pediatric grouper, and differences in hospital volumes were assessed for common diagnoses.

**Results**—A total of 1,407,822 (SD 50,456) hospitalizations occurred at general hospitals, representing 71.7% of pediatric hospitalizations. Hospitalizations at general hospitals accounted for 63.6% of hospital days and 50.0% of pediatric inpatient healthcare costs. Median volumes of pediatric hospitalizations, per hospital, were significantly lower at general hospitals than freestanding children's hospitals for common medical and surgical diagnoses. While the most common reasons for hospitalization were similar, the most costly conditions differed.

**Conclusions**—In 2012, more than 70% of pediatric hospitalizations occurred at general hospitals in the United States. Differences in patterns of care at general hospitals and freestanding children's hospitals may inform clinical programs, research, and quality improvement efforts.

#### Introduction

Improvement in the quality of hospital care in the United States is a national priority, both to advance patient safety and because our expenditures exceed any other nation's while our health outcomes lag behind.<sup>1,2</sup> Healthcare spending for children is growing at a faster rate than any other age group, with hospital care accounting for more than 40% of pediatric healthcare expenditures.<sup>3</sup> Inpatient healthcare comprises a greater proportion of healthcare costs for children than for adults, yet we have limited knowledge about where this care is provided.<sup>4</sup>

There is substantial variability in the settings in which children are hospitalized. Children may be hospitalized in freestanding children's hospitals where all services are designed for children and which operate independently of adult-focused institutions. They may also be hospitalized in general hospitals where care may be provided in a general inpatient bed, on a dedicated pediatric ward, or in a children's hospital nested within a hospital, which may have specialized nursing and physician care but which often shares other resources such as laboratory and radiology with the primarily adult-focused institution. Medical students and residents may be trained in all of these settings. We know little about how these hospital types differ with respect to patient populations, disease volumes, and resource utilization, and this knowledge is important to inform clinical programs, implementation research, and quality improvement priorities. To this end, we aimed to describe the volume and characteristics of pediatric hospitalizations at acute care general hospitals and freestanding children's hospitals in the United States.

#### Methods

#### Study Design and Eligibility

The data source for this analysis was the Healthcare Cost and Utilization Project's (HCUP) 2012 Kids' Inpatient Database (KID). We conducted a cross-sectional study of hospitalizations in children and adolescents less than 18 years of age, excluding in-hospital births and hospitalizations for pregnancy and delivery (identified using All Patient Refined-Diagnostic Related Groups (APR-DRGs)).<sup>5</sup> Neonatal hospitalizations not representing in-hospital births but resulting from transfers or new admissions were retained. Because the

dataset does not contain identifiable information, the Institutional Review Board at Baystate Medical Center determined that our study did not constitute human subjects research.

The KID is released every 3 years and is the only publicly available, nationally representative database developed to study pediatric hospitalizations, including an 80% sample of non-inborn pediatric discharges from all community, non-rehabilitation hospitals from 44 participating states.<sup>6</sup> Short-term rehabilitation hospitals, long-term non-acute care hospitals, psychiatric hospitals, and alcoholism/chemical dependency treatment facilities are excluded. The KID contains information on all patients, regardless of payer, and provides discharge weights to calculate national estimates.<sup>6</sup> It contains both hospital-level and patient-level variables, including demographic characteristics, charges, and other clinical and resource use data available from discharge abstracts. Beginning in 2012, freestanding children's hospitals (FCH) are assigned to a separate stratum in the KID, with data from the Children's Hospital Association used by HCUP to verify the American Hospital Association's (AHA) list of FCH.<sup>6</sup> Hospitals that are not FCH were categorized as general hospitals (GH). We were interested in examining patterns of care at acute care hospitals and not specialty hospitals; unlike previous years, the KID 2012 does not include a specialty hospital identifier.<sup>6</sup> Therefore, as a proxy for specialty hospital status, we excluded hospitals that had  $\leq 2\%$  hospitalizations for 12 common medical conditions (pneumonia, asthma, bronchiolitis, cellulitis, dehydration, urinary tract infection, neonatal hyperbilirubinemia, fever, upper respiratory infection, infectious gastroenteritis, unspecified viral infection and croup). These medical conditions were the 12 most common reasons for medical hospitalizations identified using Keren's pediatric diagnosis code grouper,<sup>7</sup> excluding chronic diseases, and represented 26.2% of all pediatric hospitalizations. This 2% threshold was developed empirically, based on visual analysis of the distribution of cases across hospitals and was limited to hospitals with total pediatric volumes >25/year, allowing for stable case-mix estimates.

#### **Descriptor variables**

Hospital level characteristics included US census region; teaching status classified in the KID based on results of the AHA Annual Survey; urban/rural location; hospital ownership, classified as public, private non-profit and private investor-owned; and total volume of pediatric hospitalizations, in deciles.<sup>6</sup> At the patient level, we examined age, gender, race/ ethnicity, expected primary payer, and median household income for patient's ZIP-code (in quartiles). Medical complexity was categorized as (i) non-chronic disease, (ii) complex chronic disease or (iii) non-complex chronic disease, using the previously validated Pediatric Medical Complexity Algorithm (PMCA) based on International Classification of Diseases, 9th Revision, Clinical Modification (ICD-9-CM) codes.<sup>8</sup> Disease severity was classified based on APR-DRG severity of illness coding, which classifies illnesses severity as minor, moderate, major or extreme.<sup>9</sup>

We examined the following characteristics of the hospitalizations: (i) length of hospital stay (LOS) measured in calendar days; (ii) high-turnover hospitalization defined as LOS less than 2 days;<sup>10–12</sup> (iii) long LOS, defined as greater than 4 days, equivalent to LOS greater than the 75<sup>th</sup> percentile; (iv) neonatal versus non-neonatal hospitalization, identified using APR-

DRGs; (v) admission type categorized as elective and non-elective; (vi) admission source, categorized as transfer from another acute care hospital, admission from the emergency

department (ED), or direct admission; (vii) discharge status, categorized as routine discharge, transfer to another hospital or healthcare facility, and discharge against medical advice; and (viii) total hospital costs, calculated by applying the cost-to-charge ratios available in the KID to total hospital charges.

Reasons for hospitalization were categorized using the pediatric diagnosis code grouper by Keren et al, which uses ICD-9-CM codes to group common and costly principal diagnoses into distinct conditions (for example, pneumonia, idiopathic scoliosis), excluding children who have ICD-9-CM principal procedure codes unlikely related to their principal diagnosis (for example, appendectomy for a child with a principal diagnosis of pneumonia).<sup>7</sup> This pediatric grouper classifies diagnoses as medical, surgical or medical-surgical based on whether less than 20% (medical), more than 80% (surgical) or between 20 and 80% (medical-surgical) of encounters for the condition had an ICD-9-CM principal procedure code for a surgery related to that condition. We further characterized medical hospitalizations as either medical or mental health hospitalizations.

#### Statistical analysis

We categorized each discharge record as a hospitalization at a GH or a FCH. We then calculated patient-level summary statistics, applying weights to calculate national estimates with an associated standard deviation (SD). We assessed differences in characteristics of hospitalizations at GH and FCH using Rao-Scott chi-square tests for categorical variables and Wald F tests for continuous variables.<sup>6</sup> We identified the most common reasons for hospitalization, including those responsible for at least 2% of all medical or surgical hospitalizations and at least 0.5% of medical hospitalizations for mental health diagnoses, given the lower prevalence of these conditions and our desire to include mental health diagnoses in our analysis. For these common conditions, we calculated the proportion of condition-specific hospitalizations and aggregate hospital costs at GH and FCH. We also determined the number of hospitalizations at each hospital and calculated the median and interquartile range number of hospitalizations for each of these conditions according to hospital type, assessing for differences using Kruskal-Wallis tests. Finally, we identified the most common and costly conditions at GH and FCH by ranking frequency and aggregate costs for each condition according to hospital type, limited to the 20 most costly and/or prevalent pediatric diagnoses. Because we used a novel method to identify specialty hospitals in this dataset, we repeated these analyses using all hospitals classified as GH and FCH as a sensitivity analysis.

#### Results

Overall, 3866 hospitals were categorized as GH while 70 hospitals were categorized as FCH. Following exclusion of specialty hospitals, 3758 GH and 50 FCH were retained in this study. The geographic distribution of hospitals was similar, but while GH included those in both urban and rural regions, all FCH were located in urban regions (Table 1).

A total of 1,407,822 (SD 50456) hospitalizations occurred at GH, representing 71.7% of pediatric hospitalizations, while 554,458 (SD 45046) hospitalizations occurred at FCH. Hospitalizations at GH accounted for 63.6% of days in hospital and 50.0% of pediatric inpatient healthcare costs. Eighty percent of the GH had total pediatric patient volumes of less than 375 hospitalizations yearly; 11.1% of pediatric hospitalizations occurred at these lower volume centers. At FCH the median volume of pediatric hospitalizations was 12001 [IQR: 5838-15448]. A total of 36 GH had pediatric hospitalization volumes in this interquartile range.

The median age for pediatric patients was slightly higher at GH, while gender, race/ ethnicity, primary payer, and median household income for ZIP-code did not differ significantly between hospital types (Table 2). Medical complexity differed between hospital types: children with complex chronic diseases represented 20.2% of hospitalizations at GH and 35.6% of hospitalizations at FCH. Severity of illness differed between hospital types, with fewer hospitalizations categorized at the highest level of severity at GH than FCH. There were no significant differences between hospital types with respect to the proportion of hospitalizations categorized as neonatal hospitalizations or as elective hospitalizations. The median LOS was shorter at GH than FCH. Approximately one-in-five children hospitalized at GH had LOS greater than 4 days while almost 30% of children hospitalized at FCH had LOS of this duration.

The most common pediatric medical, mental health, and surgical conditions are shown in Figure 1, together representing 32% of pediatric hospitalizations during the study period. For these medical conditions, 77.9% of hospitalizations occurred at GH, ranging from 52.6% of chemotherapy hospitalizations to 89.0% of hospitalizations for neonatal hyperbilirubinemia. Sixty-two percent of total hospital costs for these conditions were incurred at GH. For the common mental health hospitalizations, 86% of hospitalizations occurred at GH. The majority of hospitalizations and aggregate hospital costs for common surgical conditions also occurred at GH.

While pneumonia, asthma and bronchiolitis were the most common reasons for hospitalization at both GH and FCH, the most costly conditions differed (eTable 1). At GH, these respiratory diseases were responsible for the highest condition-specific total hospital costs. At FCH, the highest aggregate costs were due to respiratory distress syndrome and chemotherapy. Congenital heart diseases, including hypoplastic left heart syndrome, transposition of the great vessels, tetralogy of Fallot, endocardial cushion defects, coarctation of the aorta and ventricular septal defects accounted for 6 of the 20 most costly conditions at FCH.

Figure 2 illustrates the volume of hospitalizations, per hospital, at GH and FCH for the most common medical hospitalizations. The median number of hospitalizations, per hospital, was consistently significantly lower at GH than at FCH (all p-values < 0.001). Similar results for surgical and mental health hospitalizations are shown as eFigures 1 and 2. In our sensitivity analyses that included all hospitals classified as GH and FCH, all results were essentially unchanged.

Recognizing the wide range of pediatric volumes at GH (Table 1) and our inability to differentiate children's hospitals nested within GH from GH with pediatric beds, we examined differences in patient and hospitalization characteristics at GH with volumes >5838 hospitalizations (the 25<sup>th</sup> percentile for FCH volume) and GH with pediatric volumes <5838/year (eTable 2). We also compared patient and hospitalization characteristics at FCH and the higher volume GH. A total of 36 GH had pediatric volumes >5838, with hospitalizations at these sites together accounting for 15.4% of all pediatric hospitalizations. Characteristics of patients hospitalized at these higher volume GH were similar to patients hospitalized at FCH, but they had significantly lower disease severity, fewer neonatal hospitalizations, shorter LOS and more high turnover hospitalizations than patients hospitalized at FCH. We also observed several differences between children hospitalized at higher and lower volume GH (eTable 2). Children hospitalized at the lower volume GH were more likely to have public health insurance and less likely to have complex chronic diseases, although, overall, 39.0% of all hospitalizations for children with complex chronic diseases occurred at these lower volume GH. Compared to children hospitalized at higher volume GH, children hospitalized at the lower volume hospitals had significantly lower disease severity, shorter LOS, more direct admissions, and a greater proportion of routine discharges.

#### Discussion

Of the two million pediatric hospitalizations in the United States in 2012, more than 70% occurred at GH. We observed considerable heterogeneity in pediatric volumes across GH, with 11% of pediatric hospitalizations occurring at hospitals with pediatric volumes of less than 375 hospitalizations annually, while 15% of pediatric hospitalizations occurred at general hospitals with volumes similar to those observed at freestanding children's hospitals. The remaining pediatric hospitalizations at GH occurred at centers with intermediate volumes. The most common reasons for hospitalization were similar at GH and FCH, but the most costly conditions differed substantially. These findings have important implications for pediatric clinical care programs, research, and quality improvement efforts.

Our finding that more than 70% of pediatric hospitalizations occurred at GH speaks to the importance of quality measurement at these hospitals, while low per-hospital pediatric volumes at the majority of GH makes such measurement particularly challenging. Several previous studies have illustrated that volumes of pediatric hospitalizations are too small to detect meaningful differences in quality between hospitals using established condition-specific metrics.<sup>13–15</sup> Our finding that more than 10% of pediatric hospitalizations occurred at GH with pediatric volumes less that 375 year supports previous research suggesting that cross-cutting, all-condition quality metrics, composite measures, and/or multi-hospital reporting networks may be needed to enable quality measurement at these sites. In addition, the heterogeneity in patient volumes and characteristics across GH raise questions about the applicability of quality metrics developed and validated at FCH to the many GH settings. Field-testing quality measures to ensure their validity at diverse GH, particularly those with patient volumes and infrastructure different from FCH, will be important to meaningful pediatric quality measurement.

Our results illustrating differences in the most common and costly conditions at GH and FCH have further implications for prioritization and implementation of research and quality improvement (QI) efforts. Implementation research and QI efforts focused on cardiac and neurosurgical procedures as well as neonatal intensive care may have considerable impact on cost and quality at FCH. At GH, research and QI efforts focused on common conditions are needed to increase our knowledge of contextually-relevant barriers to and facilitators of high quality pediatric care. This, however, can be made more difficult by small sample sizes, limited resources and infrastructure, and competing priorities in adult-focused general hospital settings.<sup>16–18</sup> Multi-hospital learning collaboratives and partnerships between FCH and GH can begin to address these challenges, but their success is contingent upon national advocacy and funding to support pediatric research and quality measures at GH.

One of the most notable differences in the characteristics of pediatric hospitalizations at GH and FCH was the proportion of hospitalizations attributable to children with medical complexity (CMC); more than one-third of hospitalizations at FCH were for CMC compared to one-in-five at GH. These findings align with the results of several previous studies describing the substantial resource utilization attributed to CMC, and with growing research, innovation and quality metrics focused on improving both inpatient and outpatient care for these vulnerable children.<sup>19–22</sup> Structured complex care programs, developed to improve care coordination and healthcare quality for CMC are common at FCH, and have been associated with decreased resource utilization and improved outcomes.<sup>23-25</sup> Notably. however, more than half of all hospitalizations for CMC, exceeding 250,000 annually, occurred at GH, and almost 40% of hospitalizations for CMC occurred at the lower volume GH. These findings speak to the importance of translating effective and innovative programs of care for CMC to GH as resources allow, accompanied by robust evaluations of their effectiveness. Lower patient volume at most GH, however, may be a barrier to dedicated CMC programs. As a result, decentralized community-based programs of care for CMC, linking primary care programs with regional and tertiary care hospitals warrant further consideration.<sup>26-28</sup>

This analysis should be interpreted in light of several limitations. First, we are unable to distinguish between GH with scant pediatric-specific resources from those with a large volume of dedicated pediatric resources, such as children's hospitals nested within GH. We did identify 36 GH with pediatric volumes similar to those observed at FCH (eTable 2); patient and hospitalization characteristics at these higher volume GH were similar in many ways to children hospitalized at FCH. Several of these higher volume GH may have considerable resources dedicated to the care of children, including subspecialty care, and may represent children's hospitals nested within GH. Because nested children's hospitals are included in the GH categorization, our results may have underestimated the proportion of children cared for at children's hospitals. Further work is needed to identify the health systems challenges and opportunities that may be unique to these institutions. Second, because the 2012 KID does not include a specialty hospital indicator, we developed a proxy method for identifying these hospitals, which may have resulted in some misclassification. We are reassured that the results of our analyses did not change substantively when we included all hospitals. Similarly, although we are reassured that the number of hospitals classified in our analysis as acute care FCH aligns, approximately, with the number of

hospitals classified as such by the Children's Hospital Association, we were unable to assess the validity of this variable within the KID. Third, the KID does not link records at the patient-level, so we are unable to report the number of unique children included in this analysis. In addition, the KID includes only inpatient stays with exclusion of observation status stays; potential differences between GH and FCH in the use of observation status could have biased our findings. Fifth, we used the PMCA to identify CMC; although this algorithm has been shown to have excellent sensitivity in identifying children with chronic diseases using up to three years of Medicaid claims data, the sensitivity using the KID, where only one inpatient stay is available for assessment, is unknown.<sup>8,29</sup> Similarly, use of Keren's pediatric diagnosis grouper to classify reasons for hospitalization may have resulted in misclassification, though there are few other non-proprietary pediatric-specific diagnostic groupers available.

In 2012, more than 70% of pediatric hospitalizations occurred at GH in the United States. The considerably higher pediatric volumes at FCH makes these institutions well suited for research, innovation, and the development and application of disease-specific QI initiatives. Recognizing that the majority of pediatric hospitalizations occurred at GH, there is a clear need for implementation research, program development, and quality metrics that align with the characteristics of hospitalizations at these centers. National support for research and quality improvement that reflects the diverse hospital settings where children receive their hospital care is critical to further our nation's goal of improving hospital quality for children.

#### Supplementary Material

Refer to Web version on PubMed Central for supplementary material.

#### Acknowledgments

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#### Abbreviations

APR-DRGs All Patient Refined-Diagnostic Related Groups

ED	emergency department
FCH	freestanding children's hospitals
GH	general hospitals
ICD-9-CM	International Classification of Diseases, 9th Revision, Clinical Modification
KID	Kids' Inpatient Database
LOS	length of stay
PMCA	pediatric medical complexity algorithm
QI	quality improvement

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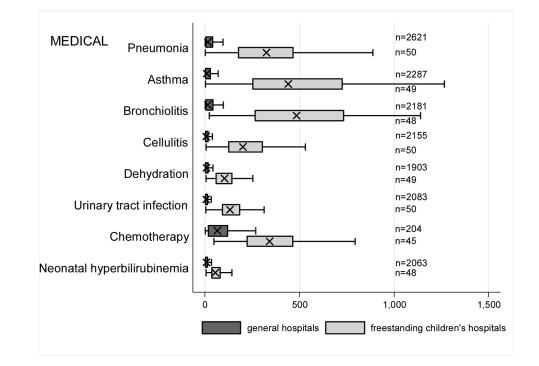
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#### Figure 1.

Share of national pediatric hospitalizations and aggregate costs in general and freestanding children's hospitals, by condition, for common medical, mental health and surgical diagnoses. (n= national estimates of number of hospitalizations and associated total hospital costs at general hospitals and children's hospitals)



#### Figure 2.

Box and whisker plots illustrating median volume of hospitalizations, per hospital, and associated interquartile range for common medical condition at general hospitals and freestanding children's hospitals (n=number of hospitals represented).

## Table 1

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	General hos	General hospitals (n=3758)	Children'	Children's hospitals (n=50)	
Hospital characteristics:	u	(%)	u	(%)	p-value
Geographic region:					
Northeast	458	12.2	4	8.0	0.50
Midwest	1209	32.2	15	30.0	
South	1335	35.6	17	34.0	
West	753	20.1	14	28.0	
Location & teaching status:					
Rural	1524	40.6	0	0	<0.0001
Urban non-teaching	1506	40.1	7	14.0	
Urban teaching	725	19.3	43	86.0	
Hospital ownership:					
Government, nonfederal	741	19.7	0	0	<0.0001
Private, non-profit	2364	63.0	48	0.06	
Private, investor-own	650	17.3	2	4.0	
Volume of pediatric hospitalizations (deciles)					
<185 hospitalizations/year (<8th decile)	2664	71.0	0	0	<0.0001
186-375 hospitalizations/year (8th decile)	378	10.1	2	4.0	
376-996 hospitalizations/year (9th decile)	380	10.1	1	2.0	
>986 hospitalizations/year (10th decile)	333	8.9	47	94.0	
Volume of pediatric hospitalizations, median [IQR]	56	[14-240]	12001	[5838–15448]	<0.0001

# Table 2

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	Gener 1,407,822	General hospitals 1,407,822 (50456), 71.7%		Children's hospitals 554,458 (45046), 28.3%	spitals 16), 28.3%		
Patient characteristics	n (SD weighted frequency)	d frequency)	(%)	n (SD weight	n (SD weighted frequency)	(%)	p-value
Age (years) (median [IQR])	3.6	3.6 (0-11.7)		3.	3.4 (0-10.8)		0.001
Gender (% female)	644250	(23089)	45.8	254505	(20688)	45.9	0.50
Race: *							
White	668876	(27741)	47.5	233930	(26349)	42.2	0.05
Black	231586	(12890)	16.5	80568	(11739)	14.5	
Hispanic	279021	(16843)	19.8	121425	(21183)	21.9	
Other	133062	(8572)	9.5	41190	(6394)	7.4	
Insurance status:							
Public	740033	(28675)	52.6	284795	(25324)	51.4	06.0
Private	563562	(21930)	40.0	224042	(21613)	40.4	
Uninsured	37265	(1445)	2.7	16355	(3804)	3.0	
No charge/other/unknown	66962	(5807)	4.8	29266	(6286)	5.3	
Median household income for ZIP code (quartiles):							
< \$38,999	457139	(19725)	33.3	164831	(17016)	30.1	0.07
\$39,000-47,999	347229	(14104)	25.3	125105	(10712)	22.9	
\$48,000–62,999	304795	(13427)	22.2	134915	(13999)	24.7	
>\$63,000	263171	(15418)	19.2	122164	(16279)	22.3	
Medical complexity:							
Non-chronic disease	717009	(21807)	50.9	211089	(17023)	38.1	<0.001
Non-complex chronic disease	406070	(14951)	28.8	146077	(12442)	26.4	
Complex chronic disease	284742	(17111)	20.2	197292	(18236)	35.6	
APR-DRG Severity of illness:							
1 (lowest severity)	730134	(23162)	51.9	217202	(18433)	39.2	<0.001
2	486748	(18395)	34.6	202931	(16864)	36.6	
3	146921	(8432)	10.4	100566	(9041)	18.1	

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	Gene 1,407,822	General hospitals 1,407,822 (50456), 71.7%	6	Children's hospitals 554,458 (45046), 28.3%	spitals 6), 28.3%		
Patient characteristics	n (SD weighted frequency)	d frequency)	(%)	n (SD weighted frequency)	ed frequency)	(%)	p-value
4 (highest severity)	41749	(3002)	3.0	33340	(3199)	6.0	
Hospitalization characteristics:							
Neonatal hospitalization	98512	(3336)	7.0	39584	(4274)	7.1	0.84
Admission type:							
Elective	255774	(12285)	18.3	109854	(13061)	19.8	0.05
Length of stay in days (median [IQR])	1.8(0)	1.8(0.01) [0.8–3.6]		2.2(0.	2.2(0.06) [1.1–4.7]		<0.001
High turnover hospitalizations	416790	(14995)	29.6	130441	(12405)	23.5	<0.001
Length of stay >4 days	298315	(14421)	21.2	161804	(14354)	29.2	<0.001
Admission source:							
Transfer from another acute care hospital	154058	(10067)	10.9	82118	(8952)	14.8	0.05
Direct admission	550123	(21954)	39.1	211117	(20203)	38.1	
Admission from ED	703641	(26155)	50.0	261223	(28708)	47.1	
Discharge status:							
Routine	1296638	(46012)	92.1	519785	(42613)	93.8	<0.01
Transfer to another hospital or healthcare facility	56115	(1922)	4.0	13035	(1437)	2.4	
Discharge against medical advice	2792	(181)	0.2	382	(10)	0.1	
Other **	52276	(4223)	3.7	21256	(4501)	3.8	

race/ethnicity data missing for approximately 8% of discharge records  $^{8}$ 

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\*\* includes in-hospital death, discharge destination unknown

Leyenaar et al.