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Differentiation and Inflammation: 'Best Enemies' in Gastrointestinal Carcinogenesis

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Abstract

While recent studies demonstrate that cancer can arise from mutant stem cells, this hypothesis does not explain why tissues without defined stem cell populations are susceptible to inflammation-driven tumorigenesis. We propose that chronic inflammatory diseases, such as colitis and pancreatitis, predispose to gastrointestinal (GI) adenocarcinoma by reprogramming differentiated cells. Focusing on colon and pancreas, we discuss recently discovered connections between inflammation and loss of cell differentiation, and propose that dysregulation of cell fate may be a novel rate-limiting step of tumorigenesis. We review studies identifying differentiation mechanisms that limit tumor initiation and that, upon reactivation, can prevent or revert the cancer cell transformed phenotype. Together, these findings suggest that differentiation-targeted treatments hold promise as a therapeutic strategy in GI cancer.

Keywords

inflammation; colorectal cancer; pancreatitis; pancreatic ductal adenocarcinoma; differentiation

Inflammation, Stem Cells, and Metaplasia in GI Tumor Initiation

Chronic inflammatory conditions such as ulcerative colitis (UC), **chronic pancreatitis** (CP, see Glossary), and chronic viral hepatitis increase the lifetime risk of cancer development. Why exactly this might be has puzzled researchers and physicians for some time. Given the regenerative capacity of many GI organs, it has been hypothesized that chronic inflammation causes the gradual accumulation of mutations and epigenetic changes in resident stem cells, leading to their emergence as cancer-initiating cells [1]. However, this argument does not fully explain why organs that apparently lack a major stem cell population, such as the pancreas, are so susceptible to inflammation-driven tumorigenesis [2]. Epithelial **metaplasia**, the process by which one cell type appears to turn into another, is a hallmark of many chronic inflammatory diseases that predispose to cancer [3]. The ability of cells to change their differentiation state in response to injury and stress may explain recent

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discoveries that the phenotype of a tumor cell – from histology to gene expression profile – may not provide an accurate account of its site of origin.

In various organ systems, numerous elegant studies now support the longstanding hypothesis that resident tissue stem cells can directly give rise to cancer [4]. **Colorectal cancer** (CRC), in particular, is generally considered to be GI disease of mutated stem cells [5], which is underscored by the high proliferation capacity of the colon. An interesting observation supporting this hypothesis is that the number of stem cell divisions within a given organ appears to correlate with the lifetime cancer risk [6], suggesting that cancer initiation is mostly due to the 'bad luck' of DNA replication errors in stem cells. Chronic inflammation, by promoting additional rounds of stem cell proliferation to facilitate tissue repair, could increase the chance of replication errors and mutation.

This conclusion is not without controversy, however, because an independent analysis of the same data argues that extrinsic factors play a much larger role in cancer initiation than predicted by the 'bad luck' hypothesis, favoring alternative models of carcinogenesis in the GI tract and elsewhere that are potentially independent of stem cell division [7]. Stem cell-independent tumor development has been directly observed in animal models: for example, in a zebrafish model of melanoma development driven by mutant *BRAF* and *Trp53*, tumors initiate from differentiated melanocytes that reacquire a progenitor-like identity [8]. Indeed, it is debatable whether stem cells even exist in the pancreas and liver: both organs are generally quiescent in adults, with no need for continuous replenishment, and lineage-tracing studies have not yet identified convincing markers of a stem cell population in either organ [9]. Nonetheless, each of these accounts for more new cancer cases in the USA than the indisputably stem cell-driven stomach (http://seer.cancer.gov/).

Consequently, the question emerges: because stem cells engineered with cancer-causing mutations are capable of giving rise to cancer in mouse [5,10], does that necessarily mean that this is the only mechanism by which human cancer can arise? Could changes in differentiation state, cued by intrinsic mutation or local inflammatory signals, represent a physiologically plausible mechanism to initiate cancer in organs both with and without defined stem cell populations? Based on recent work in colon, pancreatic, and liver cancers, we hypothesize that inflammatory signals alter the transcriptional networks controlling differentiation in these organs, reprogramming mature cells toward a progenitor-like state that is uniquely sensitive to tumor initiation. Box 1 provides an overview of reprogramming and tumorigenesis in the liver.

Box 1

Reprogramming and Tumorigenesis in the Liver

The past several years have seen remarkable progress in understanding the cellular basis of regeneration in the liver, next-door-neighbor to the pancreas and intestine [9]. As in the exocrine pancreas, hepatocytes and biliary cells of the liver are predominantly maintained by slow self-renewal, although hepatocyte proliferation occurs preferentially in a pericentral domain exposed to endothelial Wnt ligands [84]. This placid picture changes dramatically after injury, however. First, although new hepatocytes continue to self-

The two deadly cancers of the liver, hepatocellular carcinoma (HCC) and cholangiocarcinoma (CC), are thought to represent transformed counterparts of normal hepatocytes and biliary cells. Indeed, lineage-tracing studies indicate that mouse HCC arises from hepatocytes [87,88]. The origins of CC are more complex and present remarkable parallels to those of PDAC. In one study, carcinogen-induced CC was found to develop strictly from hepatocytes, but not from biliary cells [89]. A separate study found that deletion of the hepatocyte differentiation factor *Hnf4a* enhances CC development [90], paralleling the role of acinar differentiation factors in suppressing PDAC initiation. Another study, however, found that biliary cells could contribute to CC, but only after homozygous deletion of *Trp53* [91], analogous to the *Trp53*-suppressed contribution of pancreatic duct cells to PDAC [64]. Taken together, these data suggest that cellular reprogramming contributes to at least one form of liver cancer, possibly accounting for the increased risk of human HCC and CC associated with chronic inflammation.

CRC Can Arise from Both Stem and Non-Stem Cells

Undergoing continuous cellular turnover, the small and large intestine represent a paradigm of stem cell-driven organ homeostasis [11]. These tissues comprise multiple mature cell types, including enterocytes, goblet cells, enteroendocrine cells, and, in the small intestine, Paneth cells. All of these emerge from multipotent stem cells located in the basal crypts (Figure 1). There appear to be two classes of stem cells, separated by several cells diameters and expressing several unique markers, including the Wnt target gene leucine-rich repeatcontaining G protein-coupled receptor 5, Lgr5 ('crypt basal cells', the most basally located stem cells and the best-studied to date) and the transcription factor Bmi1 ('+4 cells', so-called for their position relative to the crypt base). Cells exiting the crypt, moving toward the luminal surface of the intestine, first pass through a transit-amplifying (TA) phase in which they undergo rapid expansion, followed by differentiation. The restriction of stem cell activity to the basal crypt suggests that this microenvironment represents a niche for multipotency and self-renewal, maintained in part by paracrine Wnt signaling. Departing from this niche, both the TA and mature cells are restricted in their lineage and in their lifespan, and are destined to be shed into the lumen and replenished by new progeny of the stem cells [11,12].

Conditional deletion and lineage-tracing experiments in mice indicate that intestinal neoplasia can propagate directly from genetically mutated Lgr5⁺ or Bmi1⁺ crypt stem cells [5,10]. For example, deletion of the **adenamatous polyposis coli** (*Apc*) tumor-suppressor gene specifically from adult crypt stem cells using an inducible **Cre recombinase** (*Lgr5– CreER^{T2}*) results in the rapid formation of β -catenin^{high}, cMyc⁺ microadenomas throughout the mouse intestine [5], resembling the genetic cancer syndrome familial adenomatous polyposis. These results support the **bottom-up hypothesis** of CRC initiation and

progression in which polyp growth initiates from a crypt stem cell and then propagates toward the lumen, eventually predisposing to CRC (Figure 1, bottom panel). The long life of the crypt stem cell makes it particularly susceptible to a high burden of cancer-causing mutations because the same mutations occurring in TA or differentiated cells would be predicted to be lost with the cells themselves.

As early as the 1960s, however, physicians observed that early adenomatous polyps are often found at the top of the colonic crypts, suggesting that mature cells in the villus or mucosal layer may dedifferentiate, proliferate, and replace the normal mucosa from the luminal side [13–15] (Figure 1, top panel). Supporting this **top-down hypothesis** of CRC initiation, a recent study demonstrated that CRC initiates from epithelial cells outside the stem cell niche in a mouse model of hereditary mixed polyposis syndrome (HMPS), a familial CRC susceptibility syndrome. HMPS is caused by a 40 kb duplication upstream of the BMP antagonist gene *Grem1* that leads to its ectopic expression in the intestinal epithelium [16]. Misexpression of epithelial *Grem1* in mice results in 'top-down' intestinal polyp formation, originating from an Lgr5-negative progenitor cell population that forms outside the crypts [17]. Notably, these Grem1-induced, Lgr5-negative progenitor-like cells appear to be dramatically sensitized to CRC initiation, as indicated by a synergistic increase in polyp numbers on an Apc mutant background [17]. These results suggest that dysregulation of differentiation by altered microenvironmental signaling, in this case loss of BMP activity, can allow the persistence or reacquisition of stem-like properties such that cells outside of the stem cell niche can serve as tumor initiating cells. Notably, these tumor-initiating cells need not have all the properties of normal stem cells (e.g., Lgr5 expression); instead, their phenotype may reflect stabilization of a normally transient intermediate cell fate or else the adoption of a new, non-physiological gene expression program that wild-type cells cannot access.

Whether fully differentiated cells ultimately serve as cells of origin for the top-down polyps seen in Grem1-misexpressing mice is as yet unknown, in part owing to the scarcity of Cre deletor lines that specifically mark non-stem cells in the intestine. Recent studies have begun to address this issue, and reveal that 'stemness' in the intestine is a product of both intrinsic and extrinsic factors, including inflammatory signals that can reprogram differentiation and induce tumor-initiating properties [15]. For example, the Greten laboratory has demonstrated that expression of oncogenic versions of the Kras proto-oncogene or activation of nuclear **factor** κB (NF- κB), in combination with Wnt/ β -catenin activation, confers tumor-initiating properties on otherwise quiescent and differentiated intestinal villi [18]. In this system NF- κ B acts downstream of oncogenic *Kras* as a target of *Kras*-induced inflammatory signaling. Using an Xbp1s-CreERT2 deletor mouse, which allows Cre-mediated recombination specifically outside the Lgr5⁺ stem cell domain, this group found that coactivation of Wnt/ β catenin and NF-kB could induce dedifferentiation of villus cells and rapid polyp development. Interestingly, mutation of the tumor-suppressor Trp53 promotes NF-KBdependent inflammation in the mouse intestine [19,20], suggesting that this key inhibitor of CRC and other cancers may act in part by limiting inflammation and subsequent dedifferentiation.

Notwithstanding these results, is it plausible that non-stem cells, with their inherently limited lifespan, could serve as cells of origin for CRC under physiological conditions? Of note, clone-marking studies in the intestine indicate that a subset of TA cells, particularly those restricted to the goblet cell lineage, can persist for months after leaving the crypt [12]. The location of such cells would make them a logical source for top-down polyp generation, particularly if mechanisms exist to further extend their lifespan. Importantly, recent studies indicate that inflammation and tissue damage can override the normal commitment process in the intestine, and rekindle stem cell potential in otherwise lineage-restricted TA populations [21]. For example, enterocyte-restricted TA cells, expressing the alkaline phosphate intestinal (Alpi) gene, are capable of reacquiring stem-like properties when Lgr5+ cells are specifically ablated [22]. An earlier study by this group found that secretory lineage-restricted TA cells, marked by Delta-like-1 (Dll1) expression, could reconstitute $Lgr5^+$ stem cells after radiation-induced crypt damage [23], although dedifferentiation in this model appears to be less efficient than is observed with $Alpi^+$ cells. These studies suggest that TA and recently differentiated cells can act as a stem cell reserve in response to injury, as has been observed in several *Drosophila* organs [24]. Whether this process is driven by NF-rB is unknown; however, these injury models do not appear to be associated with widespread inflammation. In contrast to the observations made with Xbp1s-CreERT2, above, Alpi⁺ cells appear to be resistant to transformation in vivo, even by the combination of Apc loss and Kras^{G12D} activation [22]. However, the oncogenic potential of these cells was not tested following Lgr5⁺ stem cell ablation, or in the context of inflammatory injury. It will be important to determine if NF-rB and other inflammatory pathways can induce tumors from Alpi⁺ or Dll1⁺ cells by reprogramming their differentiation state.

These findings support a model in which tumors arising within inflammatory microenvironments, such as that of colitis, arise from dedifferentiation of TA or mature cells rather than from stem cells. While more work is needed to determine whether dedifferentiation occurs during intestinal regeneration, suggestive findings indicate significant cell fate rearrangement during this process. In human ulcerative colitis, for example, expression of the intestinal stem cell marker *OLFM4* expands into the superficial epithelium overlying lesions [18]. Meanwhile, mice subjected to experimental colitis exhibit almost complete loss of Lgr5⁺ cells in the distal colon, followed by reappearance of stem cells as the injury is resolved [25]. Whatever their origin, these newly formed stem cells could promote regeneration by supporting tissue remodeling and epithelial barrier restoration [21], while at the same time representing an expanded pool of cells susceptible to tumor initiation.

Going forward, it will be interesting to interrogate the mechanisms of reprogramming in the intestine, including how pro-tumorigenic signaling pathways such as NF- κ B, Kras, and Wnt/ β -catenin interact with pro-differentiation transcription factors, such as Hnf1a, Hnf1b, and Klf4 [26,27]. It will also be important to determine whether there is any relationship between mutational 'drivers' and the cell of origin. A recent study indicates that CRC arising in patients with preexisting inflammatory bowel disease has a unique mutational spectrum compared to sporadic CRC, including a lower prevalence of *APC* mutations and a higher prevalence of mutations in *SOX9*, a transcription factor that, in the intestine, is expressed in crypts and required for normal differentiation [28,29]. It should be possible to

determine whether *Sox9*-knockout intestines exhibit a pro-tumorigenic response to colitis, and whether this reflects cell fate plasticity in TA or mature cells.

Pancreatic Cancer Is Initiated from Differentiated Epithelial Cells

Unlike the intestine, the pancreas is a quiescent organ with no obvious need for a dedicated stem cell population. Numerous lineage-tracing studies of both exocrine and endocrine cells support a model in which each cell type is maintained by self-renewal rather than by stem cell replenishment [9]. The pace of self-renewal is accelerated by injury, but influx of newly differentiated cells appears to be minimal or non-existent even during regeneration. For example, animal models of acute pancreatitis exhibit widespread destruction of digestive enzyme-producing acinar cells, which are replaced upon injury resolution by proliferation of surviving differentiated cells [30]. In considering the potential origins of **pancreatic ductal adenocarcinoma** (PDAC), therefore, attention has focused on differentiated cells.

Activation of the *KRAS* proto-oncogene occurs in ~90% of all human PDAC cases [31,32] and mouse models reveal that *Kras^{G12D}* drives the formation and maintenance of both PDAC and pre-cancerous **pancreatic intraepithelial neoplasia** (PanIN) [33]. In the 'KC' (*Kras^{G12D}*, Cre) mouse model, activation of a *Kras^{G12D}* allele in the embryonic pancreas by *Pdx1–Cre* or *Ptf1a/p48–Cre* causes focal PanIN formation [34] (Figure 2). When subjected to additional genetic 'hits' in tumor-suppressor genes, such as *Trp53* or *Cdkn2a* (*p16-INK4A/p19-ARF*), mice develop invasive PDAC [35–37] (Figure 2). Because the *Pdx1* and *p48/Ptf1a* promoters drive Cre expression in nearly every pancreatic epithelial cell beginning *in utero* (Figure 2), it is not possible to determine the PDAC cell of origin using these models. While duct cells might seem to be an obvious source for duct-like PanINs and PDAC, driving *Kras^{G12D}* expression specifically within ducts generates very few, if any, PanINs or alternative PDAC precursors, such as **intraductal papillary mucinous neoplasm** (IPMN) [38,39] (Figure 3). Conversely, when *Kras^{G12D}* is expressed exclusively in adult acinar cells, PanINs, and [with mutation of *Trp53* or *Cdkn2a* (*p16-INK4A/p19-ARF*)] PDACs are generated with nearly 100% penetrance [38,40–42] (Figure 3).

While these findings suggest that acinar cells are susceptible to transformation, it is noteworthy that most acinar cells are not detectably altered by $Kras^{G12D}$ expression, with only a small minority giving rise to PanINs. The fact that acinar cells preserve their normal identity in the face of oncogenic *Kras* leads to the hypothesis that pro-differentiation factors restrain transformation by preserving cell identity [33,43]. Interestingly, **caerulein**-induced pancreatitis, in which acinar cells transiently upregulate progenitor-like gene expression [44,45], greatly accelerates PanIN development from *Kras*-mutant acinar cells [46–48]. The effects of caerulein pancreatitis are normally transient in wild-type acinar cells, but acinar cells expressing mutant *Kras* are incapable of regenerating normally after this acute injury, and the disease evolves into a chronic form similar to that associated with increased PDAC risk in humans [2,49]. Interestingly, a high-fat diet can also promote PanIN formation from Kras-mutant acini in a COX-2-dependent manner, perhaps modeling the PDAC-promoting inflammatory effects of obesity in humans [50]. By contrast, PanIN formation is not accelerated from *Kras^{G12D}* mutant duct cells following caerulein-induced pancreatitis [38]. These findings suggest that acinar but not duct cells initiate PDAC in response to the

combination of mutant *Kras* and pancreatitis, and further suggest that human pancreatitisassociated PDAC may arise from acinar cells.

As in the intestine, pancreatic injury is associated with changes in differentiation that facilitate recovery. In particular, the expression and secretion of acinar digestive enzymes that drive tissue damage are downregulated during experimental pancreatitis, preventing further damage and allowing regeneration [44,45]. This is accompanied by activation of inflammatory pathways such as NF- κ B and JAK/STAT; in the context of oncogenic Kras expression, these pathways can stimulate tumor initiation via dedifferentiation. For example, *Kras^{G12D}* cooperates with inflammatory stimuli to induce NF- κ B activation in acinar cells; in turn, the upstream NF-rB activators IKK2 and NEMO are necessary to maintain high levels of signaling downstream of *Kras^{G12D}*, creating a proinflammatory positive feedback loop that is required for acinar reprogramming and for PanIN and PDAC development [49,51,52]. It should be noted that a very recent study identified an unexpected PanINsuppressive role of the NF- κ B family member *RelA*, in other words the opposite knockout phenotype to that of Ikk2 and Nemo [53]. RelA appears to be essential for the activation of the senescence-associated secretory phenotype (SASP), in which high oncogene activity stimulates release of proinflammatory cytokines to both enforce local senescence and promote clearance of potential tumor-initiating cells. These results suggest that IKK2 and Nemo have important targets apart from ReIA, whether other NF-xB family members or entirely novel factors that are essential for reprogramming of acinar cells.

What are the pro-differentiation factors that might act to preserve acinar cell identity in the face of oncogenic *Kras*? In adult acini, a network of transcription factors cooperate to promote the expression of acinar-specific digestive enzymes and maintain cell differentiation [30]. Among these are MIST1 (also known as BHLHA15), NR5A2 (also known as LRH-1), and the multiprotein PTF1 complex. The core of this complex is the bHLH transcription factor PTF1A, which is essential to maintain acinar cell differentiation through adulthood [54–57]. Indeed, conditional deletion of *Ptf1a*, *Mist1*, or *Nr5a2* greatly accelerates *Kras^{G12D}*-mediated PanIN formation, causing rapid acinar reprogramming as well as widespread inflammation similar to that seen in caerulein-treated KC mice [54,58–60]. In addition, genetic deletion of *Nr5a2* or *Ptf1a* in the context of acute pancreatitis is sufficient to reprogram the acinar epithelium to a ductal fate, with increased (wild-type) RAS signaling and persistent inflammation [54,59]. These findings indicate that the acinar transcriptional network is necessary for regeneration and may restrain the positive feedback between activated *Kras* and inflammation that drives PDAC initiation [61].

Therefore, we have proposed that two distinct levels of tumor suppression exist during the evolution of PDAC. Under homeostatic conditions, a mutually reinforcing transcriptional network maintains acinar differentiation and suppresses both intrinsic and extrinsic programs that promote PDAC initiation [54,58–60]. *Kras* mutations are tolerated at the cellular level, but may furnish the raw material for later inflammation-induced carcinogenesis. Local or widespread injury to the pancreas acts in *Kras*-mutant acinar cells to silence pro-differentiation factors and facilitate a dramatic switch to a duct-like, precancerous phenotype. The initial formation of PanINs thus represents evasion of this first, epigenetic, tumor-suppression mechanism. The subsequent progression of PanINs to

invasive cancer is opposed by a second, canonical mechanism of tumor suppression (e.g., *TRP53* and *INK4A* mutation). The fact that epidemiological risk factors for PDAC such as pancreatitis and obesity appear to impact on the acinar reprogramming process highlights the importance of this novel tumor-suppressor mechanism.

Are Acinar Cells the Only Cell of Origin for PDAC?

While acinar cells are the most plausible cell of origin for PanINs in mice, one study reported that insulin-producing β -cells could give rise to PanINs in the context of combined *Kras^{G12D}* activation and pancreatitis [62] (Figure 3). Because islets remain generally intact and functional in most PDAC and pancreatitis models, this finding may reflect the properties of a unique subset of β cells. Interestingly, the RIP–CreERT mouse line used to express *Kras^{G12D}* in the endocrine compartment has previously been shown to recombine 3–6% of acinar cells in addition to islet cells [63], and this could account for the PanIN formation observed in these studies. Whether non-exocrine cells may contribute to pancreatitis-associated PDAC in human patients remains unknown, and these results require follow-up studies to exclude technical artifacts.

Interestingly, other recent studies suggest that exocrine duct cells can also give rise to PDAC independently of the conventional PanIN progression model. To test whether duct cells could give rise to invasive PDAC, Bailey and colleagues generated a novel inducible Cre line to express $Kras^{G12D}$ and $Trp53^{R172H}$ specifically in the pancreatic ductal epithelium. Whereas expressing $Kras^{G12D}$ and a single copy of $Trp53^{R172H}$ in ducts had little effect, compounding $Kras^{G12D}$ mutation with homozygous expression of $Trp53^{R172H}$ led to lethality from PDAC only 8 weeks after induction (Figure 3). Intriguingly, duct-derived PDAC in $Kras^{G12D}$ $Trp53^{R172H/R172H}$ mice developed without associated PanIN precursors, suggesting that invasive carcinoma arises directly from these mutated cells [64]. While these results confirm that ducts are relatively resistant to transformation, they also demonstrate that these cells can initiate PDAC in the context of homozygous Trp53 mutations. However, because even heterozygous TP53 mutations are uncommon in human PanINs [65], these results also imply that the conventional PanIN–PDAC sequence represents a uniquely acinar-derived entity.

Recent studies from the Hebrok laboratory additionally suggest that the PDAC precursor lesion IPMN arises from mutated duct cells. For example, deletion of *Brg1*, a component of the SWI/SNF chromatin remodeling complex (also called SMARCA2) in a 'KC' (*Kras^{G12D}* activated throughout the pancreas) background produces lesions similar to IPMNs [66] (Figure 3). Deleting *Brg1* in adult acinar cells inhibits *Kras*-induced PanIN development, while its deletion in *Kras^{G12D}*-expressing adult duct cells drives IPMN-like dysplasia, which is otherwise never seen with duct-specific *Kras* activation. Further studies demonstrated that *Brg1* is necessary to maintain duct cell differentiation and thus attenuate the formation of IPMN-like lesions [67]. Intriguingly, the antagonism between differentiation and tumor initiation observed in acinar cells, the transcription factor *Sox9* is important in the pancreas for developmental specification of the duct lineage, and is thereafter restricted in expression to these cells [68,69]. *Sox9* is downregulated in the IPMN-like lesions that

develop from *Brg1*-deleted, *Kras^{G12D}*-expressing ducts, and ectopic expression of *Sox9* prevents lesion development and preserves duct differentiation [67].

In contrast to ducts, ectopic *Sox9* expression in acinar cells promotes metaplasia, and *Sox9* is required for acinar-derived PanIN formation [38]. In addition, as noted above, deletion of *Brg1* within *Kras^{G12D}*-expressing acini restrains PanIN formation [66]. These observations suggest divergent roles for *Brg1* and *Sox9* in the initiation of PanINs and IPMNs, and highlight the importance of understanding the cell of origin of PDAC precursors. They also raise the possibility that the mutational profile of human PDAC may indicate its origin: approximately one-third of PDAC samples harbor somatic mutations affecting the SWI/SNF complex [70,71]. Do these mutations identify tumors of ductal origin, and would those tumors fail to respond to hypothetical drugs targeting Sox9 or Brg1? Moving forward, we propose that understanding the differential role of these and other genes in tumor initiation will provide new and selective treatment approaches.

It should be noted that the reprogramming that occurs in pancreatic tumor initiation is not as simple as a switch between mature cell fates. PanIN lesions, in particular, are known to express markers not only of pancreatic ducts but also of stomach and bile duct epithelium [72,73]. Thus, pancreatic cells that lose their capacity for normal differentiation may adopt non-physiological gene expression programs, possibly analogous to the aberrant, *Lgr5*-negative progenitor cells that populate HMPS colon polyps [17]. In addition to regulating mature cell functions, an important function of differentiation regulators such as *Ptf1a*, *Brg1*, and *Sox9* may be to close-off aberrant cell fates that, while accommodating to the growth of the cell, are harmful to the life of the organism.

Can Dedifferentiation and Redifferentiation Be Exploited as Therapeutic Targets?

We propose that acinar and ductal differentiation determinants form an early, epigenetic barrier to PDAC initiation, and it will be important to determine whether reactivating their expression could halt PDAC progression even after genetic mutation of canonical tumor suppressors. Most of the work to date has focused on the initiation of tumorigenesis, leaving open the question of whether fully malignant cells are susceptible to redifferentiation. On this front, Itkin-Ansari and colleagues recently demonstrated that expressing the bHLH transcription factor E47 (a component of the PTF1 complex) in human PDAC cell lines actively triggers G0/G1 arrest, induces the expression of acinar differentiation markers, and inhibits tumorigenesis in mouse xenografts. Thus, PDAC cells retain an important degree of plasticity and, given the proper conditions, can be reprogrammed back to a benign phenotype [74]. This concept is also supported by *in vivo* mouse genetic experiments in which sustained *Mist1* expression was found to prevent *Kras^{G12D}*-induced acinar cell reprogramming and PanIN formation [75]. Taken together, these findings suggest that enhancing acinar transcription factor activity could serve as differentiation-based therapy to treat or prevent human PDAC. Going forward, it will be necessary to test whether activation of PTF1A and other acinar network components can reverse PDAC progression and metastatic lesions in vivo.

Although less is known about the transcriptional basis for dedifferentiation in the intestine, the signaling pathways driving regeneration and tumorigenesis in this organ are remarkably

overlapping with those implicated in the pancreas. These include EGFR, Hippo/YAP, JAK/ STAT, Notch, and NF- κ B signaling [21,30]. The common role of NF- κ B in intestinal and pancreatic tumorigenesis is particularly striking: in both organs, this proinflammatory transcription factor is activated by the small GTPase Rac1, acting through elevated reactive oxygen species (ROS) in an evolutionarily ancient regenerative pathway [76–78]. In other cell types, including endothelium and adipocytes, NF- κ B inhibits differentiation-specific gene expression by titrating away essential transcriptional coactivators [79,80]. It will be interesting to determine whether such a model accounts for the importance of this pathway in CRC and PDAC, and whether the requirement for Rac1 and NF- κ B in preclinical models of these cancers reflects a role in cellular reprogramming.

Concluding Remarks

While the intestine maintains its epithelium via stem cell replenishment, other organs with regenerative capacity, such as the pancreas and liver, do not have well-defined stem cell populations that contribute to epithelial cell turnover. These differences in tissue maintenance have led to diverging hypotheses about how cancer might initiate in these different organs. Indeed, intestinal stem cells engineered with cancer-causing mutations are able to give rise to tumors; however, inflammatory signaling can induce a cancer-susceptible state via reprogramming of non-stem and differentiated cells in the colon and pancreas alike.

This model does not exclude an effect for stem cells in the tumor-promoting effects of inflammation. In the intestine, for example, one can imagine a scenario in which one allele of *Apc* is inactivated in a stem cell, while loss of the second allele in a more-differentiated daughter cell results in adenoma initiation only when inflammatory cues are present. While true stem cells have yet to be identified in the pancreas, it is possible that a subset of differentiated cells are specialized for a longer proliferative lifetime, and might present a target for mutation accumulation analogous to stem cells in the crypt. Whether the initial mutational events occur in stem or non-stem cells, we propose that the emergence of cancerinitiating activity in differentiated cells is due to positive feedback between intrinsic changes, such as *Apc* and *Kras* mutations, and extrinsic signals from inflammatory cells. These alterations destabilize or limit the complete maturation of differentiated cells and render them susceptible to oncogenic transformation. Even in the absence of chronic disease such as colitis and pancreatitis, shared risk factors between CRC and PDAC, such as obesity and smoking, are also known to promote systemic inflammation, which could stimulate cellular reprogramming in cooperation with genetic changes.

The studies discussed here leave numerous important issues unresolved and awaiting future work (see Outstanding Questions). Among the most central is the question of how to translate these findings to improved clinical outcomes. Defining the epigenetic changes that divert quiescent or short-lived cells to immortal tumor precursors may identify new targets for therapy based on restoring the memory of the cell of origin and thus neutralizing the effect of otherwise irreversible genetic lesions.

Outstanding Questions

Will determining cell of origin inform clinical treatment decisions? Do tumors that arise via different cellular mechanisms have different expression profiles? Different 'Achilles heels'? Different potential targets?

Do alternative cells of origin have different routes to tumorigenesis?

Are intestinal stem cells generated *de novo* during recovery from colitis or do cells that reactivate Lgr5 expression post-injury derive from pre-existing Lgr5⁺ stem cells?

Why are Alpi⁺ TA cells able to form tumor organoids in culture, but not tumors when directly mutated *in vivo*? Could forced dedifferentiation or inflammatory stimuli drive these cells to produce tumors?

What is the role of *p53* in determining tumor cell of origin and tumor progression pathway (e.g., PanIN-dependent vs -independent PDAC)?

Do precancerous adenomas (colon) and PanINs/IPMNs (pancreas) limit tumor progression by restraining potentially oncogenic cells? Is the inflammatory and stromal response associated with dedifferentiation and reprogramming favorable to the host in this regard (Box 2)?

Can differentiation-based therapy revert cancerous cells to a benign state *in vivo*? What is the most efficient way to screen for drugs that would have this benefit? Could gene therapy be beneficial?

Are there stem cell populations within the pancreas and liver? What cues during injury activate these cells? Can these cell populations also give rise to cancer?

Box 2

Does Inflammation Help To Restrain Precancerous Lesions?

The observation that PDAC arises rapidly in the $Kras^{G12D}$ $Trp53^{R172H/R172H}$ ductal model, without precursor lesions and in the absence of extrinsic injury [64], suggests the intriguing hypothesis that the formation of pre-cancerous lesions, such as PanINs (in PDAC) and polyps (in CRC), can actually be part of a protective host response that physically isolates potential carcinoma cells. Mathematical models of human pancreatic cancer suggest that the progression from initial tumor-driving mutation (most likely *KRAS*) to invasive carcinoma takes over a decade, an interval generally attributed to the accumulation of additional mutations [81]. However, a recent study demonstrates that mouse and human PDAC cells, following organoid culture and orthotopic transplantation, initially form PanIN-like structures, lacking the dysplastic features of carcinoma, and only progress to invasive PDAC after a delay of several months [82]. Thus, even fully endowed with cancer-causing mutations sufficient to kill human patients, PDAC cells can be constrained to a preinvasive phenotype by the local microenvironment. Interestingly, the invasiveness of orthotopic transplanted mouse organoids may depend on the *p53* status of the tumor cells, mirroring what is

seen in the *Kras^{G12D} Trp53^{R172H/R172H}* ductal model. Specifically, cells with homozygous *p53* mutations become invasive within weeks, bypassing the hypothetical constraint of PanINs, while cancerous cells that are heterozygous for *p53* initially form PanINs and are contained for months. Previous work suggests that the fibroinflammatory reaction associated with PanINs and PDAC may act to inhibit, rather than to promote, PDAC progression [83]. This may be mediated in part by the dual role of inflammatory cytokines in promoting pre-tumor senescence and tumor growth, as revealed in the pancreas by deletion of *RelA* [53]. A hypothetical tumor-suppressive role for inflammation may explain why PanINs take decades to progress from initial genetic 'hit' to invasive and metastatic carcinoma [81]. Together, these findings raise the possibility that inflammation may have both positive and negative effects on tumorigenesis, and that PanINs and other preneoplastic lesions may serve as host-protective 'dead ends' in which mutation-bearing cells are encapsulated and denied the opportunity to invade and metastasize.

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Glossary

Adenomatous polyposis coli (Apc)

an intestinal tumor-suppressor gene that encodes a negative regulator of Wnt/ β -catenin signaling. Inherited mutations in human *APC* cause familial CRC predisposition, while sporadic mutations often represent the first genetic 'hits' in sporadic CRC.

Bottom-up hypothesis

the idea that CRC is initiated from a mutated crypt stem cell and propagated from the bottom of the crypt towards the lumen.

Caerulein

oligopeptide similar to cholecystokinin (CCK); stimulates pancreatic secretion and, at supraphysiological levels, induces intrapancreatic activation of digestive enzymes and subsequent pancreatitis.

Chronic pancreatitis (CP)

longstanding inflammation of the exocrine pancreas, causing malabsorption and abdominal persistent pain, and significantly increasing the risk of PDAC.

Colorectal cancer (CRC)

cancer originating from the epithelium of the colon or rectum. It is the most commonly diagnosed cancer of the gastrointestinal (GI) tract, with over 140 000 cases presenting each year in the USA.

Cre recombinase

bacteriophage enzyme that catalyzes site-specific DNA recombination between nearby *loxP* sites. Commonly utilized for tissue-specific recombination in mouse genetics.

Intraductal papillary mucinous neoplasia (IPMN)

putative PDAC precursor lesion that grows within pancreatic ducts and is characterized by thick fluid production. Recent studies suggest that IPMNs arise from cells of the ductal epithelium.

Kras proto-oncogene

an oncogene precursor that is mutated to an 'active' form in 90–95% of PDAC and 30–50% of CRC cases. Once in an active (GTP-bound) conformation, KRAS propagates growth factor signals mediated by RAF/MEK/ERK and PI3K/AKT, among other pathways.

Leucine-rich repeat-containing G protein-coupled receptor 5 (lgr5)

a Wnt target gene, encoding a cell-surface receptor, that is expressed exclusively in adult stem cells of the intestine, stomach, and hair follicle.

Metaplasia

from Greek, 'change in form', refers to the reversible change of one tissue type into another. This can occur through multiple mechanisms including transdifferentiation of mature cells, alterations to stem cells such that they produce inappropriately differentiated offspring, or the death of one tissue type and replacement by adjacent cells of another type.

Nuclear factor **k**B (NF-**k**B)

family of transcription factors rapidly activated by diverse mediators of injury and infection, often regulating downstream inflammatory responses.

Pancreatic ductal adenocarcinoma (PDAC)

the most common cancer of the pancreas, often comprising cells with duct-like morphology. About 46 000 cases are diagnosed in the USA each year and the 5 year survival rate is $\sim 6\%$.

Pancreatic intraepithelial neoplasia (PanIN)

putative precursor lesion of PDAC. Recent studies suggest PanINs arise from reprogramming of mature acinar cells.

Top-down hypothesis

the idea that CRC initiates from a differentiated cell on the luminal surface of the colon and subsequently invades the crypt.

Transit-amplifying (TA) cell

daughter cell of a stem cell, destined to undergo a burst of proliferation followed by differentiation. Often committed to a limited range of cell fates.

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Page 18

Trends

Genetic deletion of differentiation determinants allows rapid oncogenic transformation of cells and may be a rate-limiting step in tumor initiation. This transformation is associated with a robust inflammatory response; it remains unclear if the inflammation associated with dedifferentiation is unique in composition.

Colorectal cancer can arise from direct mutation of crypt stem cells, but can also arise via NF- κ B mediated dedifferentiation of mature enterocytes

Pancreatic ductal adenocarcinoma can arise via reprogramming of acinar cells into pancreatic intraepithelial neoplasia (PanIN) or directly from duct cells without a 'pre-cancerous' PanIN-like intermediate

NF- κ B signaling, as well as pathways crucial for endodermal development, play crucial roles in dedifferentiation and subsequent oncogenesis in the colon, pancreas, and liver.

Reintroduction of acinar differentiation determinants to pancreatic cancer cells prevents proliferation and could hold promise as a therapeutic strategy.

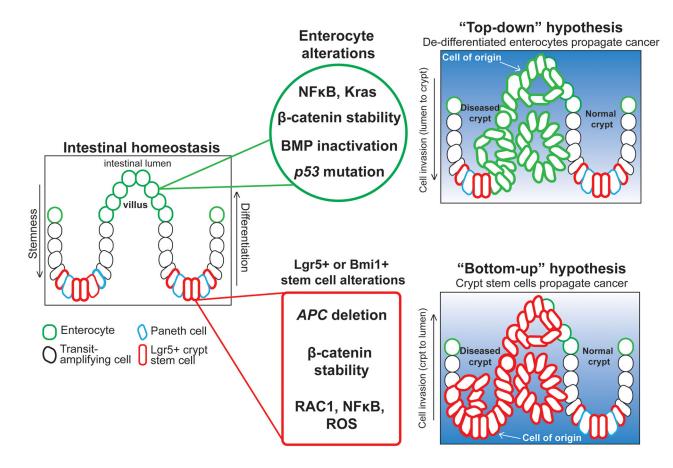


Figure 1.

Top-Down Versus Bottom-Up Hypotheses of Colorectal Cancer (CRC) Initiation. During intestinal homeostasis, Lgr5⁺ or Bmi1⁺ basal crypt stem cells proliferate and give rise to transit-amplifying (TA) cells (black outline) and, subsequently, differentiated enterocytes and other mature cell types (green). Alterations in non-stem cells, such as simultaneous NF- κ B activation and β -catenin stabilization, can produce adenomas, suggesting that CRC can initiate from the top of the villus and grow down into the crypt (top right). This 'top-down' hypothesis proposes that differentiated or committed cells acquire stem-like characteristics to drive tumor growth from the luminal side of the colon. According to the more-traditional 'bottom up' model, mutations occurring directly in Lgr5⁺ or Bmi1⁺ crypt stem cells, such as loss of *Apc*, initiate adenoma and CRC formation. The 'bottom-up' hypothesis suggests that mutations accumulate in crypt stem cells as they continually proliferate, and then can serve as the effective cell of origin in CRC; they propagate disease from the base of the crypt, growing toward the lumen (bottom right).

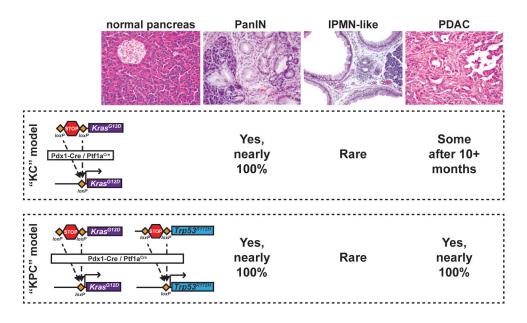


Figure 2.

Mouse Models of Pancreatic Ductal Adenocarcinoma (PDAC) Replicate Human Pathology. To activate cancer-causing alleles specifically within the mouse pancreatic epithelium, Cre recombinase is expressed under the control of the Pdx1 or Ptf1a/p48 promoter, both of which are active in embryonic pancreatic progenitor cells. In the 'KC' (Kras/Cre) model, one copy of the endogenous Kras allele is mutated to change amino acid 12 from glycine to aspartic acid, locking the Kras protein in its GTP-bound (active) form. A loxP-STOP-loxP cassette (LSL) is placed upstream of this mutation and, before recombination, inhibits transcription of the mutant allele. When Cre is expressed it excises the STOP cassette, activating Kras^{G12D} in all epithelial cells of the early pancreas. This produces fullypenetrant pancreatic intraepithelial neoplasia (PanIN) formation, rare intraductal papillary mucinous neoplasia (IPMN) formation, and occasional PDAC (in ~50% of aged animals). In the 'KPC' (Kras/p53/Cre) model of invasive PDAC, the same oncogenic Kras allele is activated along with a Trp53 'gain-of-function' (neomorphic) allele. The R172H point mutation in this *Trp53* allele models a mutation frequently found in human PDAC. Expression of both Kras^{G12D} and p53^{R172H} using Pdx1-Cre results in fully-penetrant PanIN and PDAC development in young animals.

Results of cell type-specific Kras^{G12D} activation

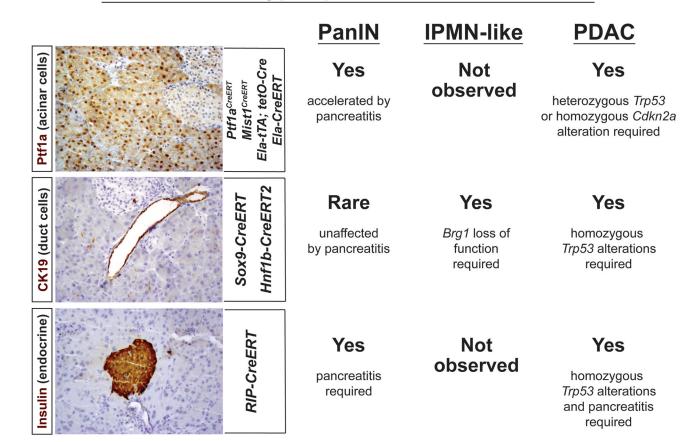


Figure 3.

Outcome of $Kras^{G12D}$ Activation in Different Adult Pancreatic Cell Types. Expression of $Kras^{G12D}$ specifically in acinar cells (Ptf1a⁺ nuclei, top panel) using $Ptf1a^{CreERT}$, $Mist1^{CreERT}$, elastase (Ela)–tTA tetO–Cre, or Ela–CreERT, results in focal PanIN formation, which can be greatly accelerated and enhanced by pancreatitis. When compounded with mutations in either p53 (heterozygous) or p16-INK4a/p19-ARF (homozygous), PDAC develops within 2–6 months. Activating $Kras^{G12D}$ using a duct-specific Sox9–CreERT or Hnf1b– $CreER^{T2}$ transgene (CK19⁺ duct cells, middle panel) only leads to rare PanIN formation even in the presence of pancreatitis. Combining duct-specific $Kras^{G12D}$ expression and Brg1 deletion, however, induces lesions that are reminiscent of IPMNs, while combining ductal $Kras^{G12D}$ with homozygous $p53^{R172H/R172H}$ induces rapid PDAC development without intermediate PanIN precursors. Finally, one study has found that combining $Kras^{G12D}$ expression in β -cells (insulin⁺ cells, bottom panel) with pancreatitis is sufficient to induce PanIN formation, while the combination of β cell-specific $Kras^{G12D}$, pancreatitis, and homozygous Trp53 deletion can produce PDAC.