

## Basic Study

**Indole phytoalexin derivatives induce mitochondrial-mediated apoptosis in human colorectal carcinoma cells**

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**Abstract****AIM**

To investigate the mechanism of the antiproliferative effect of synthetic indole phytoalexin derivatives on human colorectal cancer cell lines.

**METHODS**

Changes in cell proliferation and the cytotoxic effect of the tested compounds on human colorectal cancer cell lines and human fibroblasts were evaluated using MTS and BrdU assay, allowing us to choose the most potent substance. Cell cycle alterations were analyzed using flow cytometric analysis. The apoptosis-inducing effect of compound K-453 on the HCT116 cell line was examined with annexin V/PI double staining using flow cytometry, as well as acridine orange/propidium iodide (AO/PI) staining. The flow cytometry method also allowed us to measure changes in levels or activation states of other factors associated with apoptosis, such as poly (ADP-ribose) polymerase (PARP), caspase-3 and -9, cytochrome c, Bcl-2 family proteins, and also the integrity of the mitochondrial membrane. To evaluate activity of the transcription factors and proteins involved in signaling pathways we used Western blot analysis together with flow cytometry.

**RESULTS**

Among the ten tested compounds, compound K-453

{(±)-*trans*-1,2-dimethoxy-2'-(3,5-bis-trifluoromethyl-phenylamino)spiro{indoline-3,5'[4',5']dihydrothiazol} exhibited the most potent activity with  $IC_{50} = 32.22 \pm 1.14 \mu\text{mol/L}$  in human colorectal HCT116 cells and was thus selected for further studies. Flow cytometric analysis revealed a K-453-induced increase in the population of cells with sub- $G_1$  DNA content, which is considered as a marker of apoptotic cell death. The apoptosis-inducing effect of compound K453 was also confirmed by annexin V/PI double staining and AO/PI staining. The apoptosis was associated with the loss of mitochondrial membrane potential, PARP cleavage, caspase-3 and caspase-9 activation, release of cytochrome c, as well as changes in the levels of Bcl-2 family members. Moreover, flow cytometry showed that compound K-453 stimulates phosphorylation of p38 MAPK but decreases phosphorylation of Akt and Erk 1/2. Activation of p38 MAPK was also confirmed using Western blot analysis. This analysis also revealed down-regulation of NF- $\kappa$ B1 (p50) and RelA (p65) proteins and the loss of their anti-apoptotic activity.

## CONCLUSION

In our study compound K-453 exhibited an anti-proliferative effect by induction of intrinsic apoptosis as well as modulation of several signaling pathways.

**Key words:** Colorectal carcinoma; Indole phytoalexins; Antiproliferative; Apoptosis; Intrinsic pathway

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**Core tip:** In this study we inform about our first results obtained from cell proliferation assays, flow cytometry analysis and Western blot analysis of HCT116 cells after treatment with compound K-453, which belongs to a group of synthetic derivatives of indole phytoalexins originally isolated from cruciferous vegetables. This study demonstrates that our compound induces the mitochondrial pathway of apoptosis and that the antiproliferative effect of our substance may be linked to inhibition of several signaling pathways. Our results provide a rationale for further *in vivo* anticancer efficacy studies.

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## INTRODUCTION

Cancer is a leading cause of death in more as well as less economically developed countries. Based on GLOBOCAN estimates, about 8.2 million cancer

deaths occurred in 2012 worldwide. From all cancers, colorectal cancer (CRC) is the third most common cancer in men and the second in women, with higher incidence in developed countries<sup>[1]</sup>. Despite improvements in cancer diagnosis and treatment, the mortality rate of CRC is still rising and is expected to increase from 693900 in 2012 to more than 1.1 million deaths by 2030<sup>[2]</sup>. Chemotherapy is known to be an effective strategy for colon cancer patients. On the other hand, due to the relative nonselectivity of current anticancer drugs (malignant vs non-malignant cells), severe chemotherapy-related adverse reactions often limit the therapeutic effectiveness of these agents<sup>[3]</sup>. Therefore, novel therapeutic agents for treatment of colorectal cancer are needed.

Natural compounds have attracted attention for use as agents for cancer chemoprevention and treatment. It is generally accepted that consumption of cruciferous vegetables is inversely associated with the risk for variety of cancers, including CRC<sup>[4-8]</sup>. It is believed that glucosinolates, sulfur-containing phytochemicals, and their metabolic derivatives (*e.g.*, isothiocyanates) play an important function in the cancer-chemopreventive role of cruciferous plants<sup>[9]</sup>. They act through multiple mechanisms in the prevention of cancer. These include inhibition of metabolic activation or induction of metabolic deactivation of procarcinogens<sup>[10,11]</sup>, inhibition of key steps in angiogenesis<sup>[12]</sup>, induction of apoptosis and cell cycle arrest<sup>[13]</sup>, inhibition of metastasis<sup>[14]</sup> or suppression of different signaling pathways associated with cell proliferation and growth<sup>[15,16]</sup>.

Moreover, in the last ten years another group of cruciferous-derived compounds has attracted the interest of scientists: the indole phytoalexins. These secondary metabolites are produced *de novo* by plants, and they are involved in protection against biotic and abiotic stresses<sup>[17]</sup>. Although these phytochemicals are important components of plant defenses against bacterial and fungal infection, it has been observed that indole phytoalexins may also have health-promoting effects in humans<sup>[18]</sup>. Beside other effects, some reports have documented an antiproliferative effect of cruciferous phytoalexins.

Recently, we found that brassinin and its derivatives (*e.g.*, 1-methoxybrassinin, homobrassinin) caused blocking of the cell cycle in the  $G_2/M$  phase and dysregulation of tubulins expression in colorectal cancer cells. Moreover, both compounds increased the number of cells having sub- $G_1$  DNA content, which is considered to be a marker of apoptotic cell death simultaneously with down-regulation of antiapoptotic as well as up-regulation of proapoptotic genes. Furthermore, we detected increased production of reactive oxygen species (ROS), decreased mitochondrial membrane potential (MMP) and modulation of selected signaling pathways in cancer cells treated with indole phytoalexins<sup>[19,20]</sup>. Another natural indole phytoalexin, camalexin, was found to inhibit proliferation of human

leukemia cells<sup>[21]</sup>. It activated different caspases, decreased MMP and also increased the concentration of ROS. Later, we observed that the addition of benzene to the camalexin structure significantly increased its antiproliferative activity<sup>[22]</sup>. For more details about the mechanisms of the antiproliferative effect of indole phytoalexins, you may also read the review article by Chripkova and co-workers 2016<sup>[23]</sup>.

Encouraged by our previous results we synthesized a series of new indole phytoalexins that underwent preliminary *in vitro* testing in our laboratory. Among the tested molecules, the compound (±)-*trans*-1,2-dimethoxy-2'-(3,5-bis-trifluoromethylphenylamino)spiro{indoline-3,5'-[4',5']dihydrothiazol} (K-453) possessed the highest activity against HCT116 cells. Our results generate a rationale for *in vivo* efficacy studies with this compound in preclinical cancer models.

## MATERIALS AND METHODS

### Tested compounds

(±)-*cis*-1,2-dimethoxy-2'-(4-trifluoromethylphenylamino)spiro{indoline-3,5'-[4',5']dihydrothiazol} (K-452), (±)-*trans*-1,2-dimethoxy-2'-(3,5-bis-trifluoromethylphenylamino)spiro{indoline-3,5'-[4',5']dihydrothiazol} (K-453), 5-fluorobrassicin (K-455), 5-fluoro-1-methoxybrassicin (K-459), (±)-*cis*-5-fluoro-1-methoxyspirobrassinolmethylether (K-462), (±)-1-methoxy-2'-(4-bromophenylamino)spiro{indoline-3,5'-[4',5']dihydrothiazol}-2-one (K-463), (±)-1-methoxy-2'-(4-trifluoromethylphenylamino)spiro{indoline-3,5'-[4',5']dihydrothiazol}-2-one (K-465), (±)-1-methoxy-2'-(4-methylphenylamino)spiro{indoline-3,5'-[4',5']dihydrothiazol}-2-one (K-466), *trans*-(±)-1-(*terc*-butoxycarbonyl)-2-(1-piperidyl)-2'-(4-chlorophenylamino)spiro{indoline-3,5'-[4',5']dihydrothiazol} (K-467), *trans*-(±)-1-(*terc*-butoxycarbonyl)-2-(1-piperidyl)-2'-(4-trifluoromethylphenylamino)spiro{indoline-3,5'-[4',5']dihydrothiazol} (K-471).

The compounds were dissolved in dimethyl sulfoxide (DMSO, Sigma-Aldrich, St. Louis, Missouri, United States). The final concentration of DMSO in the culture medium was < 0.2% and exhibited no cytotoxicity.

### Cell culture

The human cancer cell line HCT116 (human colorectal carcinoma) was cultured in RPMI 1640 medium (Biosera, Kansas City, MO, United States). Caco-2 (human colorectal adenocarcinoma) and 3T3 (murine fibroblasts) cell lines were maintained in a growth medium consisting of high glucose Dulbecco's Modified Eagle Medium with sodium pyruvate (GE Healthcare, Piscataway, NJ, United States). The growth medium was supplemented with a 10% fetal bovine serum, penicillin (100 IU/mL) and streptomycin (100 µg/mL)

(all Invitrogen, Carlsbad, CA, United States) in an atmosphere containing 5% CO<sub>2</sub> in humidified air at 37 °C. Cell viability, estimated by trypan exclusion, was greater than 95% before each experiment.

### MTS cell proliferation/viability assay

Cell viability and proliferation were determined using colorimetric microculture assay with MTS (3-(4,5-dimethylthiazol-2-yl)-5-(3-carboxymethoxyphenyl)-2-(4-sulfophenyl)-2H-tetrazolium) dye (Promega, Madison, WI, United States). Cells were seeded at a density of 10 × 10<sup>3</sup> cells/well in 96-well polystyrene microplates. Twenty-four hours after cell seeding, different concentrations (100, 50, 10, 5, 1 and 0.1 µmol/L) of the compounds or Z-VAD-FM (50 µmol/L as pre-treatment; Enzo Life Sciences, Inc., Farmingdale, NY, United States) were tested. After 72 h of incubation, 10 µL of MTS were added to the each well. After an additional 2 h, cell proliferation was evaluated by measuring the absorbance at wavelength 490 nm using the automated Cytation™ 3 Cell Imaging Multi-Mode Reader (Biotek, Winooski, VT, United States). Absorbance of control wells was taken as 100%, and the results were expressed as a percentage of the untreated control.

### BrdU cell proliferation assay

Cell proliferation was confirmed using the 5-bromo-2'-deoxyuridine (BrdU) Cell Proliferation Assay Kit (Roche Diagnostics, Mannheim, Germany). Cells were seeded at a density of 10 × 10<sup>3</sup> cells/well in 96-well polystyrene microplates (SARSTEDT, Nümbrecht, Germany). Twenty-four hours after cell seeding, different concentrations (100, 50, 10 µmol/L) of compound K-453 were added. After 48 h of treatment, cells were incubated with BrdU labeling solution (10 mmol/L final concentration) for another 24 h at 37 °C followed by fixation and incubation with anti-BrdU peroxidase conjugate for an additional 1.5 h at room temperature. Finally, after substrate reaction, the "stop solution" was added (25 µL 1 mol/L H<sub>2</sub>SO<sub>4</sub>), and color intensity was measured with an automated Cytation™ 3 Cell Imaging Multi-Mode Reader (Biotek). Absorbance of control wells was taken as 100%, and the results were expressed as a percentage of the untreated control.

### Cell cycle analysis

Floating and adherent HCT116 cells (1 × 10<sup>6</sup>) were harvested 24, 48 and 72 h after treatment with the tested compound (K-453, c = 40 µmol/L), washed in PBS, fixed in cold 70% ethanol and kept at +4 °C overnight. Prior to analysis the cells were washed in PBS, resuspended in staining solution (0.2% Triton X-100, 0.5 mg/mL ribonuclease A and 0.025 mg/mL propidium iodide in 500 µL PBS; all Sigma Aldrich, St. Louis, MO, United States) and incubated for 30 min at room temperature in the dark. The DNA

**Table 1** Flow cytometry staining

Analysis	Staining solution	Manufacturer
Caspase activation	Caspase-3-PE	BD Biosciences Pharmingen, San Diego, CA, United States
	Cleaved Caspase-9-FITC	BIOS Antibodies, Woburn, MA, United States
Cytochrome c release	Cytochrome c Antibody (6H2) FITC Conjugate	Invitrogen, Carlsbad, CA, United States
Cleavage of PARP	Cleaved-PARP (Asp214) XP® Rabbit mAb (PE Conjugate)	Cell Signaling Technology, Danvers, MA, United States
Mitochondrial membrane potential	TMRE (tetramethylrhodamine ethyl ester perchlorate) Final concentration 0.1 µmol/L	Sigma-Aldrich, St. Louis, Missouri, United States
Protein analysis	Phospho-Bcl-2 (Ser70) Rabbit mAb Alexa Fluor® 488 Conjugate	Cell Signaling Technology®, Danvers, MA, United States
	Phospho-Bad (Ser112) Rabbit mAb (PE Conjugate)	

**Table 2** List of Western blot antibodies

Name	Mr (kDA)	Origin	Manufacturer
Primary antibodies			
PARP	116 + 89	Rabbit	Cell Signaling Technology®, Danvers, Massachusetts, United States
β-actin	45	Mouse	
p38 MAPK	43	Rabbit	
NF-κB p65	65	Rabbit	
NF-κB p105/p50	105/50	Rabbit	
Secondary antibodies			
Anti-rabbit IgG HRP-Linked	-	Goat	Cell Signaling Technology®, Danvers, Massachusetts, United States
Anti-mouse IgG/HRP	-	Goat	Dako, Glostrup, Denmark

contents of the stained cells were analyzed using a BD FACSCalibur flow cytometer (BD Biosciences, San Jose, CA, United States). For each sample, a minimum of  $1 \times 10^4$  cells were evaluated and the sample flow rate during analysis did not exceed 200-300 cells per second.

#### Apoptosis detection via externalized phosphatidylserine

For apoptosis detection, floating and adherent HCT116 cells ( $1 \times 10^6$ ) were harvested 24, 48 and 72 h after treatment with the tested compound (K-453,  $c = 40 \mu\text{mol/L}$ ), washed in PBS and stained using Annexin-V-FLUOS Staining Kit (Roche Diagnostics, Mannheim, Germany) for 15 min at room temperature in the dark. Prior to analysis the cells were stained with PI and were observed using a BD FACSCalibur flow cytometer.

#### Microscopic detection of apoptosis using acridine orange/PI staining

HCT116 cells were seeded at a density of  $5 \times 10^4$ /well into 6-well polystyrene microplates (SARSTEDT).

Twenty-four hours after seeding, cells were treated with K-453 at  $40 \mu\text{mol/L}$  concentration. At 24, 48 and 72 h after treatment, the culture medium was removed; the cells were washed in PBS and fixed with 4% paraformaldehyde for 20 min. After fixation, the paraformaldehyde was removed, the cells were washed in PBS, and the staining solution ( $10 \mu\text{g/mL}$  Acridine Orange and  $10 \mu\text{g/mL}$  propidium iodide; Sigma) was added to each well for 1 h incubation at room temperature. After incubation, the staining solution was removed, the cells were washed in PBS and apoptosis was observed using an automated Cytation™ 3 Cell Imaging Multi-Mode Reader (Biotek).

#### Flow cytometric analysis

HCT116 cells ( $1 \times 10^6$ ) were treated with K-453 at  $40 \mu\text{mol/L}$  concentration for 24, 48 and 72 h. Floating and adherent cells were harvested, washed in PBS, divided for particular analysis and stained prior to analysis (Table 1). Fluorescence was detected after 15 min incubation at room temperature in the dark using a BD FACSCalibur flow cytometer. A minimum of  $1 \times 10^4$  events were analyzed per analysis.

#### Detection and analysis of specific proteins using Western Blotting

Protein lysates from our cells were prepared using Laemli lysis buffer containing 1 mol/L Tris/HCl (pH 6.8), glycerol, 20% SDS (sodium dodecyl sulfate) and deionized H<sub>2</sub>O in the presence of PIC (protease inhibitor cocktail) and a sonication process. The concentration of proteins was evaluated using the Pierce® BCA Protein Assay Kit (Thermo Scientific, Rockford, IL, United States) and measured using an automated Cytation™ 3 Cell Imaging Multi-Mode Reader (Biotek) at wavelength 570 nm. Proteins were separated on SDS-PAA gel (12%) at 100 V for 2 h. They were then transferred to a PVDF Blotting Membrane (GE Healthcare, Chicago, IL, United States) at 200 mA for 2 h using a BioRad Mini Trans-Blot cell (BioRad, Hercules, CA, United States). The membrane with the transferred proteins was blocked in 4% milk with TBS-Tween (pH 7.4) for 1 h at room temperature to minimize non-specific binding. The membrane was subsequently incubated with primary antibodies during the night at 4 °C. Immunoblotting was carried out with the antibodies stated below (Table 2). The next day, the membranes were washed in TBS-Tween ( $3 \times 5$  min) and incubated with a corresponding secondary antibody associated with horseradish peroxidase for 1 h at room temperature. After incubation, the membranes were again washed in TBS-Tween ( $3 \times 5$  min), and the expression of proteins was detected using a chemiluminescent ECL substrate (Thermo Scientific, Rockford, IL, United States) and MF-ChemiBIS 2.0 Imaging System (DNR Bio-Imaging Systems, Jerusalem, Israel).

**Table 3** IC<sub>50</sub> (μmol/L) of tested compounds in different cell lines after 72 h incubation

Compound	Cancer Cell Lines		
	CaCo-2	HCT116	3T3
K-452	> 100	> 100	> 100
K-453	67.39 ± 0.78	32.22 ± 1.14	60.55 ± 3.35
K-455	> 100	> 100	> 100
K-459	> 100	> 100	> 100
K-462	> 100	> 100	> 100
K-463	74.18 ± 0.001	33.04 ± 2.67	61.13 ± 2.25
K-465	35.66 ± 6.49	33.74 ± 2.78	34.94 ± 2.01
K-466	> 100	> 100	> 100
K-467	> 100	> 100	> 100
K-471	> 100	> 100	> 100

Data are presented as the mean ± SD of three independent experimental determinations performed in triplicate.

### Statistical analysis

Results are expressed as the mean ± SD. Statistical analysis of the data were performed using standard procedures, with one-way ANOVA followed by the Bonferroni multiple comparisons test. Differences were considered significant when *P* values were smaller than 0.05.

## RESULTS

### MTS cell proliferation/viability assay

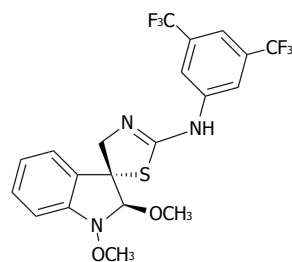
Using the colorimetric MTS assay, the antiproliferative effect of the studied substances was determined. The IC<sub>50</sub> values of the newly synthesized derivatives of indole phytoalexins on human cancer and non-cancer (3T3) cell lines are presented in Table 3. Among the tested derivatives, the compound K-453 (Figure 1) exhibited the most significant inhibitory effects on the growth of HCT116 cells, with an IC<sub>50</sub> value of 32.22 (± 1.14) μmol/L. Other tested derivatives of indole phytoalexins displayed weaker or no effect at all on cell proliferation. Based on these results, further experiments were performed with the most effective compound, K-453, on the most sensitive cancer cell line HCT116, using a concentration of 40 μmol/L.

### BrdU cell proliferation assay

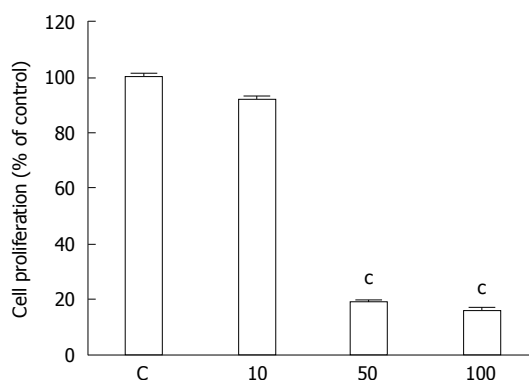
5-bromo-2'-deoxyuridine (BrdU) is commonly used for labeling replicating DNA in proliferating cells of living tissues. BrdU is incorporated into newly synthesized DNA during the S phase of the cell cycle in place of thymidine. Specific antibodies for BrdU are then used to detect the incorporated nucleoside and to differentiate between living and dead cells. Our results showed significantly slower BrdU incorporation rate in the K-453-treated HCT116 cells compared with control (Figure 2), indicating cell proliferation inhibition.

### Cell cycle analysis

Cell cycle distribution was determined using flow cytometric analysis of HCT116 cells after treatment



**Figure 1** Chemical structure of (±)-trans-1,2-dimethoxy-2'-((3,5-bis-trifluoromethylphenylamino)amino)spiro[indoline-3,5'[4',5']dihydrothiazol] (K-453).



**Figure 2** Effect of compound K-453 (c = 40 μmol/L) on HCT116 cell proliferation using BrdU incorporation assay. Data were obtained from three independent experiments, and significant differences were marked as <sup>c</sup>*P* < 0.001 vs control cells (untreated).

with K-453 for 24, 48 and 72 h. Results showed a significant increase of cells with sub-G<sub>1</sub> DNA content after 24 h treatment which enhanced after 48 and 72 h. At the same time, a decrease in the population of cells in S and G<sub>2</sub> phase was observed shortly after 24 h treatment with K-453 (Table 4, Figure 3). These findings suggest significant changes in cell cycle progression and induction of apoptosis.

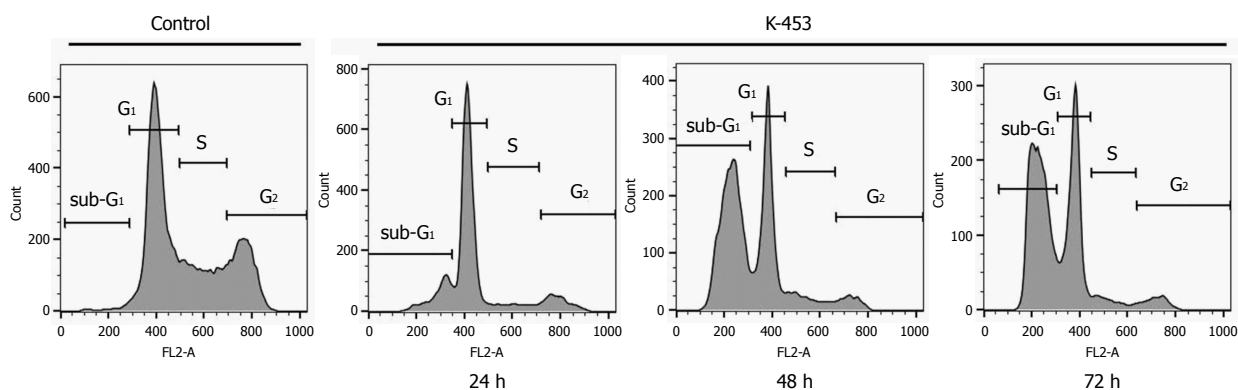
### Apoptosis detection via externalized Phosphatidyl serine

Phosphatidyl serine (PS) is normally localized on the internal surface of the lipid bilayer of the plasma membrane. When cells undergo apoptosis, the PS is externalized and available to detection by the annexin V-FITC conjugate. Annexin therefore acts as a marker of programmed cell death. Compound K-453 induced a significant increase in cellular apoptosis of HCT116 cells (in early stage) and PS externalization even after just 24 h treatment, with a persistent and slight increase after 48 h (Table 5). Moreover, we observed an increase in cells positively stained with both Annexin V and PI (late apoptotic events or cell death) after 48 h. Therefore, we suggest that apoptosis plays an important role in the death mechanisms of HCT116 cells treated with the K-453 derivative of indole phytoalexins.

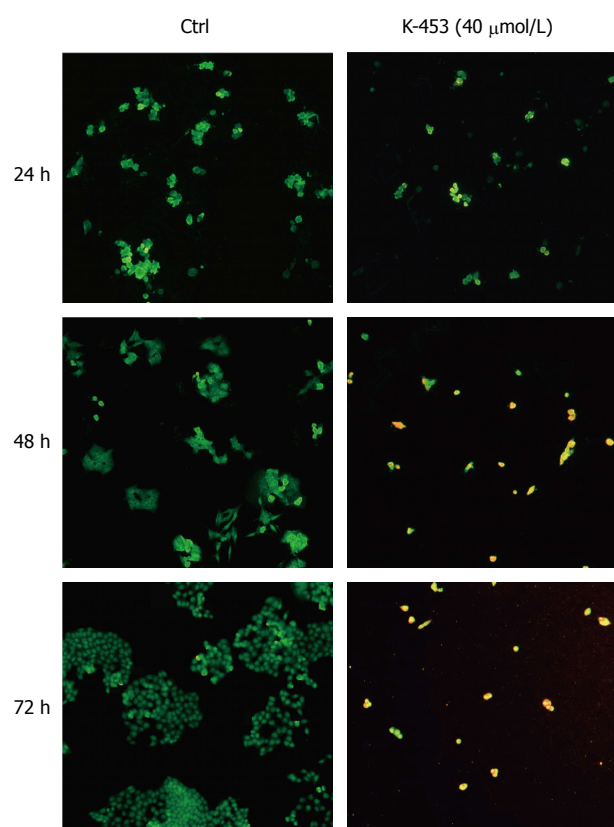
**Table 4** Flow cytometric analysis of cell cycle distribution in HCT116 cells treated with compound K-453 (in %)

Treatment	Time (h)	sub-G1	G0/G1	S	G2/M
Control	24	1.30 ± 0.07	48.38 ± 0.81	23.28 ± 0.53	27.05 ± 0.35
	48	1.75 ± 0.22	52.1 ± 2.12	22.88 ± 0.08	23.30 ± 2.26
	72	1.64 ± 0.09	48.57 ± 0.52	24.85 ± 0.64	24.95 ± 0.21
K-453	24	19.7 ± 2.26 <sup>b</sup>	62.97 ± 0.37 <sup>b</sup>	7.05 ± 1.35 <sup>b</sup>	10.29 ± 1.29 <sup>b</sup>
	48	48.9 ± 7.21 <sup>c</sup>	35.58 ± 3.22 <sup>a</sup>	9.31 ± 2.54 <sup>b</sup>	6.22 ± 1.45 <sup>b</sup>
	72	55.11 ± 14.13 <sup>c</sup>	34.11 ± 9.89 <sup>a</sup>	5.71 ± 1.73 <sup>b</sup>	5.07 ± 2.52 <sup>b</sup>

The results are presented from three independent experiments as the mean ± SD; significantly different, <sup>a</sup>*P* < 0.05, <sup>b</sup>*P* < 0.01, <sup>c</sup>*P* < 0.001 *vs* untreated cells (control); sub-G1 fraction of cells identified as apoptotic population.



**Figure 3** Cell cycle distribution in HCT116 cells treated with compound K-453 at concentration 40 μmol/L after 24, 48 and 72 h.



**Figure 4** Microscopic detection of apoptosis using AO/PI staining in HCT116 cells 24, 48 and 72 h after treatment with compound K-453. Green = live, yellow = early apoptotic, orange = late apoptotic, red = death.

#### Apoptosis and proliferation imaging by fluorescence microscopy

Apoptosis was also confirmed by staining with acridine orange and PI (AO/PI). Acridine orange is a vital dye that will stain both living and dead cells, whereas PI will stain only those cells that have lost their membrane integrity. Living cells stain uniformly green and can thus be distinguished from apoptotic cells. Microscopic detection showed a significant decrease in proliferation and viability of HCT116 cells after K-453 treatment (Figure 4). The number of cells stained red (necrotic) increased time dependently. This indicates that the most of the cells were not undergoing necrosis, and cell death occurred primarily through apoptosis.

#### Mitochondrial membrane potential dissipation

Mitochondria are described as key factors in controlling apoptosis. The mitochondrial respiratory chain generates transmembrane potential ( $\Delta\Psi_m$ ), and its decrease or even loss is one of the indicators of mitochondrial dysfunction. Integrity of the mitochondrial membrane and disruption of its potential can be detected using the cationic dye, TMRE (tetramethylrhodamine ethyl ester perchlorate). In our experiments, changes in the Mitochondrial membrane potential (MMP) were observed 24, 48 and 72 h after treatment with K-453, demonstrating significant impairment of mitochondrial function (Figure 5).

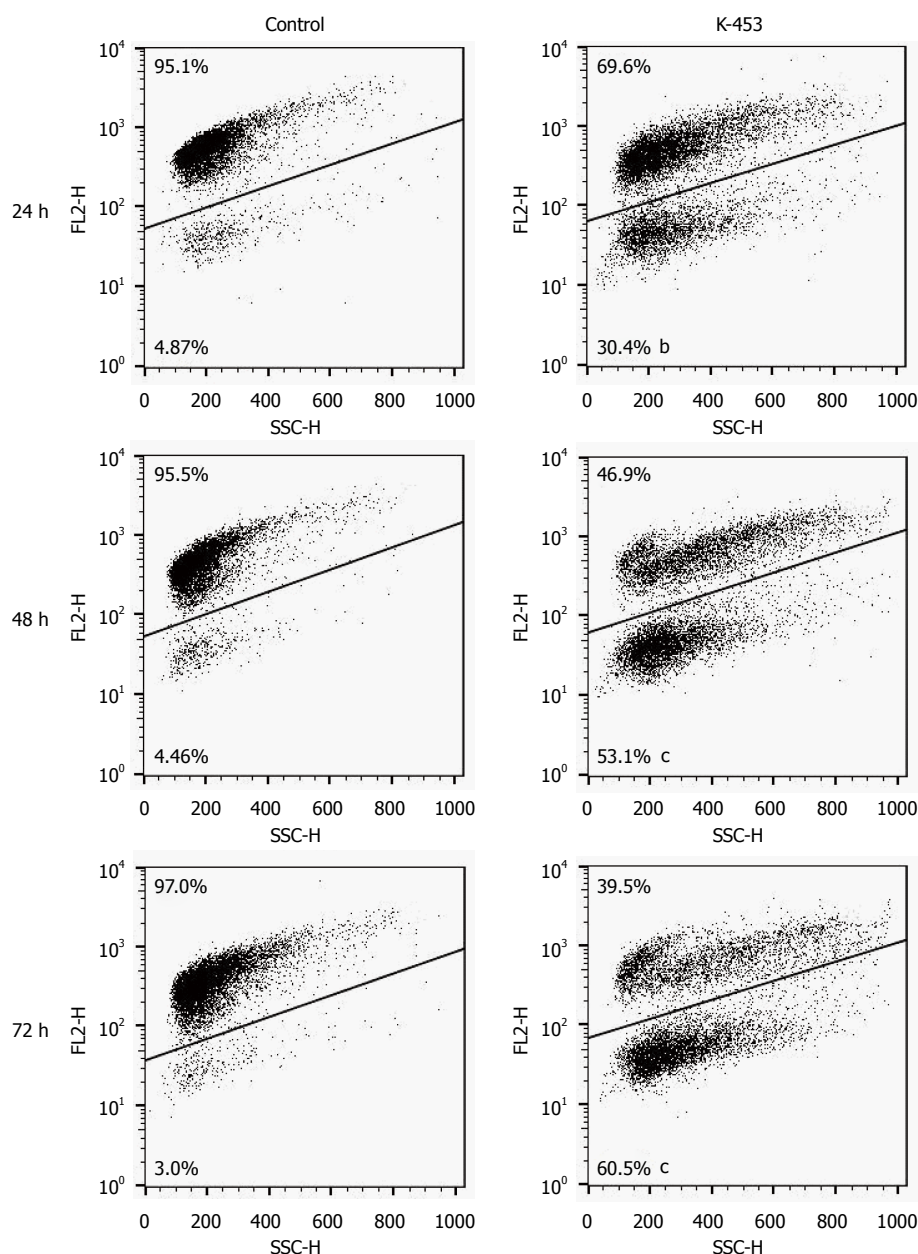


Figure 5 Representative dot-blot diagram of mitochondrial membrane potential changes after K-453 treatment. Data were obtained from three independent experiments, and significant differences were marked as <sup>b</sup> $P < 0.01$ , <sup>c</sup> $P < 0.001$  vs control cells (untreated).

Table 5 Annexin V/PI flow cytometry analysis of apoptosis occurrence in HCT116 cells after K-453 treatment (in %)

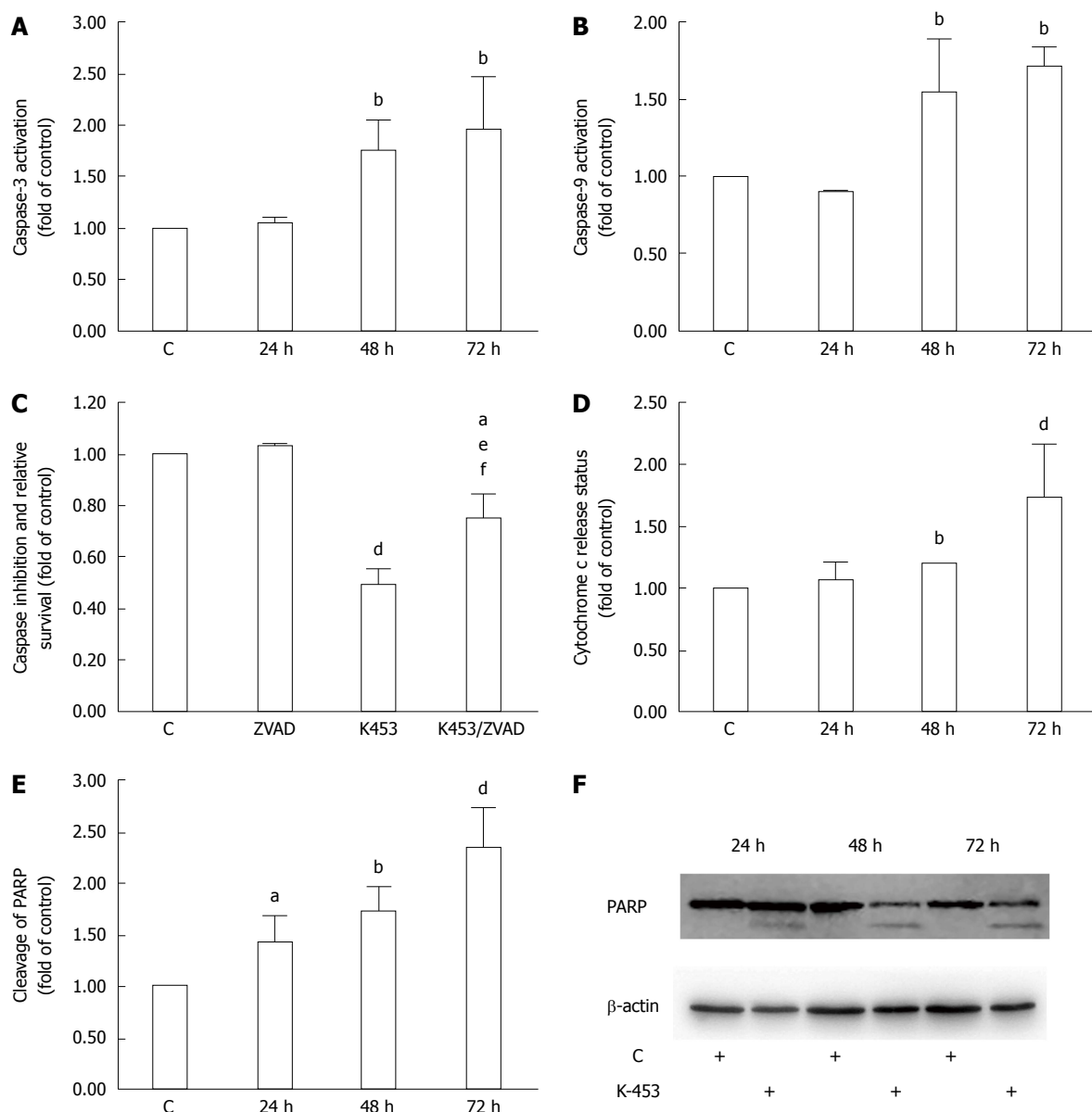
Treatment	Time (h)	An <sup>-</sup> /PI <sup>-</sup>	An <sup>+</sup> /PI <sup>-</sup>	An <sup>+</sup> /PI <sup>+</sup>
Control	24	89.8 ± 1.41	4.46 ± 0.35	1.82 ± 1.03
	48	92.45 ± 0.07	2.38 ± 0.45	1.71 ± 0.25
	72	94.55 ± 0.92	2.13 ± 0.59	1.51 ± 0.31
K-453	24	66.35 ± 7.0 <sup>b</sup>	23.4 ± 3.25 <sup>b</sup>	6.38 ± 6.84
	48	37.85 ± 9.12 <sup>c</sup>	34.75 ± 4.88 <sup>c</sup>	11.43 ± 7.88 <sup>a</sup>
	72	36.05 ± 14.07 <sup>c</sup>	30.25 ± 11.1 <sup>c</sup>	10.2 ± 3.39 <sup>a</sup>

The results are presented from 3 independent experiments. Living cells are presented as An<sup>-</sup>/PI<sup>-</sup> events, cells in a stage of early apoptosis are presented as An<sup>+</sup>/PI<sup>-</sup>, late apoptotic and necrotic cells as An<sup>+</sup>/PI<sup>+</sup>. Significantly different, <sup>a</sup> $P < 0.05$ , <sup>b</sup> $P < 0.01$ , <sup>c</sup> $P < 0.001$  vs untreated cells (control).

#### Cleavage of PARP after K-453 treatment

Poly (ADP-ribose) polymerase (PARP) is involved in several biological processes, such as cell cycle progression, DNA repair and regulation of transcription

and programmed cell death. Proteolytic cleavage of PARP is nowadays used as a marker for apoptosis. Our flow cytometry (Figure 6E) and Western blot (Figure 6F) analysis showed cleavage of PARP after 24 h of



**Figure 6** Effect of K-453 treatment on caspase activity and PARP cleavage. A: Activation of caspase-3; B: caspase-9 evaluated by flow cytometric analysis in HCT116 cells 24, 48 and 72 h after treatment; C: Analysis of caspase inhibition evaluated by MTS after 72 h treatment; D: Release of cytochrome c evaluated by flow cytometric analysis in HCT116 cells 24, 48 and 72 h after treatment; E: Cleavage of PARP evaluated by flow cytometric analysis 24, 48 and 72 h after treatment. Data represents the mean  $\pm$  SD compared to the control of 3 independent experiments; F: Cleavage of PARP evaluated by Western blot analysis. Significance: <sup>a</sup> $P < 0.05$ , <sup>b</sup> $P < 0.01$ , <sup>d</sup> $P < 0.001$  vs untreated cells (control); <sup>e</sup> $P < 0.05$  vs ZVAD; <sup>f</sup> $P < 0.01$  vs K453.

incubation; however, the most significant increase was observed after 72 h of the treatment with K-453.

#### Release of cytochrome c after K453 treatment

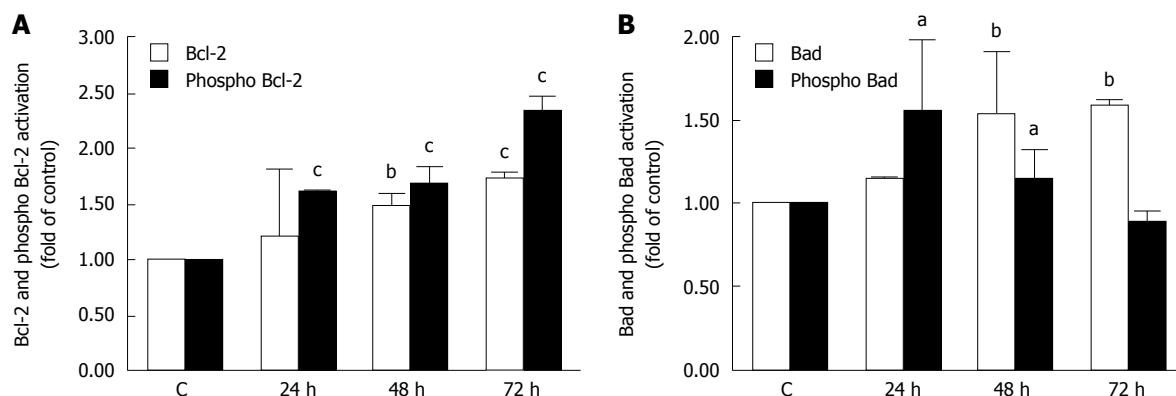
An important member of the apoptosis cascade as an intermediate is cytochrome c, a component of the electron transfer chain in mitochondria. During apoptosis, this protein is released from mitochondria to cytosol, where it binds to Apaf-1 protein creating the apoptosome complex and triggering activation of initiator caspase-9. Notable changes in the release of cytochrome c were observed using flow cytometry analysis, particularly 72 h after treatment with compound K-453 (Figure 6D).

#### Effect of K-453 on caspase-3 and caspase-9 activity

Caspases are proteolytic enzymes playing an important role in controlling cell death. Initiator caspases (such as caspase-9) activate executioner caspases (including caspase-3) and subsequently coordinate their activity to impact main structural proteins and activate other enzymes, leading to apoptosis as well. Caspase-3 activity was in strong correlation with PARP cleavage, mainly 48 and 72 h after treatment with K-453 (Figure 6A). Similar effects are observed in caspase-9 activation, with significance after 48 h and 72 h of treatment (Figure 6B). Activity of both caspases was evaluated using flow cytometry analysis.

Caspase-dependent apoptotic pathways were also





**Figure 7 Analysis of mitochondrial apoptotic pathway associated proteins.** Relative levels and activation of Bcl-2 (A) or Bad (B) proteins after K-453 treatment. Significance: <sup>a</sup> $P < 0.05$ , <sup>b</sup> $P < 0.01$ , <sup>c</sup> $P < 0.001$  vs untreated cells (control).

confirmed by using pan-caspase inhibitor Z-VAD-FM. Inhibition of caspases clearly demonstrated an increase of HCT116 cell viability after 72 h treatment with K-453 compound compared with the treated groups without inhibition (Figure 6C).

#### **Bcl-2 and Bad phosphorylation in K-453-treated cells**

Activation of initiator caspases during apoptosis is mediated by two pathways: the mitochondrial (intrinsic) pathway and the death receptor (extrinsic) pathway. To determine activation of the intrinsic pathway of apoptosis after treatment with our compound, we evaluated changes in activation of Bcl-2 family members. Our results showed a significant increase in the levels of Bcl-2 (anti-apoptotic) (Figure 7A) and Bad (pro-apoptotic) (Figure 7B) proteins after 24, 48 and 72 h of treatment. After 24, 48 and mainly after 72 h, the amount of the phosphorylated (inactive) form of Bcl-2 significantly increased, as well (Figure 7A). On the other hand, phosphorylation of Bad increased shortly after 24 h and then decreased 48 and 72 h after treatment (Figure 7B).

#### **K-453 modulate activity of p38 MAPK, Akt and Erk proteins**

Several signaling pathways play an important role in cell death. To evaluate the mechanisms of apoptosis after K-453 treatment, activation of the p38 MAPK, ERK 1/2 and Akt signalling pathways was examined. Flow cytometry analysis revealed a significant increase in phosphorylation of p38 MAPK after 48 and 72 h of treatment with compound K-453 (40  $\mu\text{mol/L}$ ), thus stimulation of its tumor-suppressing activity (Figure 8A). On the other hand, phosphorylation of Akt (Figure 8B) and ERK 1/2 (Figure 8C) decreased 48 and 72 h after treatment. Alterations of the Akt and Erk signaling pathway plays a major role in proliferation, cell survival and apoptosis. Moreover, we observed an increase of p38 MAPK proteins in whole cell lysates (Figure 8D) from K-453-treated cells, which indicates stress promotion and activation of signal transduction mechanisms.

#### **Detection and analysis of specific proteins using Western blot**

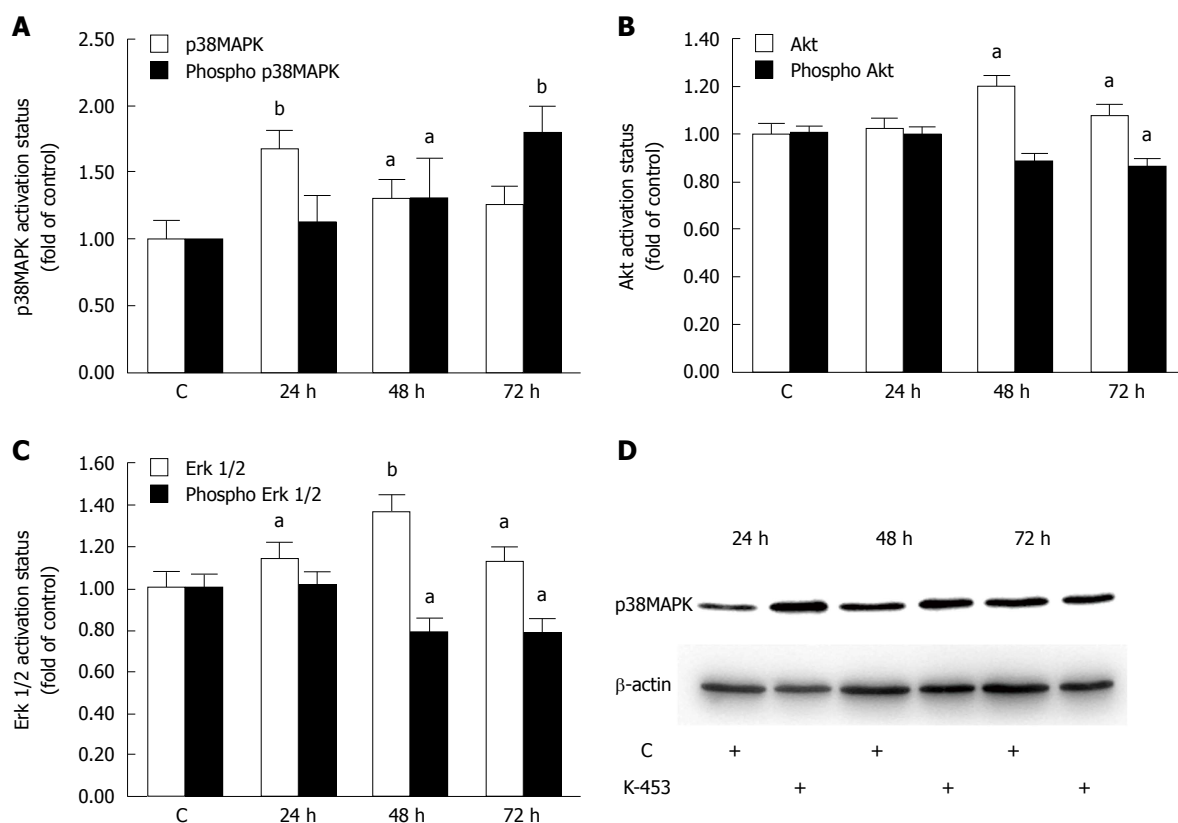
In our experiments we also analyzed the ability of the K-453 compound to modulate the activity of NF- $\kappa$ B/Rel proteins in cell death. The transcription factor NF- $\kappa$ B is involved in promoting proliferation and inhibition of apoptosis. Our results showed that treatment with K-453 caused down-regulation of NF- $\kappa$ B1 (p50) after 72 h and RelA (p65) protein mainly after 48 h (Figure 9). These results suggest that K-453 can suppress NF- $\kappa$ B activity, followed by cell growth suppression and apoptosis induction.

## **DISCUSSION**

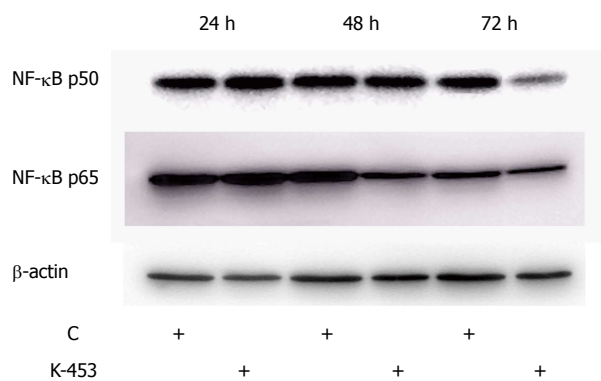
Apoptosis plays a key role in the elimination of needless cells, including malignant cells, and induction of apoptosis is crucial for the anticancer activity of many conventional antitumor agents. On the other hand, it is well known that most cancer cells acquire the ability to evade apoptosis<sup>[24]</sup>.

The present study was designed to discover whether newly synthesized indole phytoalexins have an antiproliferative effect in HCT116 colorectal carcinoma cells and further to investigate the possible mechanisms of the pro-apoptotic effect of selected compounds. Using the MTS test we observed a broad range in antiproliferative activity of the studied compounds. Because of the highest antiproliferative effect in cancer cells as well as higher selectivity to cancer vs non-cancer cells, the compound ( $\pm$ )-*trans*-1,2-dimethoxy-2'-(3,5-bis-trifluoromethylphenylamino)spiro{indoline-3,5'[4',5']dihydrothiazol} (K-453) was chosen for further study.

It has been observed that either naturally occurring indole phytoalexins or their synthetic derivatives induce cell cycle arrest and apoptosis in cancer cells<sup>[19,20,22,25]</sup>. Viability and proliferation assays showed that K-453 suppressed growth of HCT116 cells when compared with untreated cells. We demonstrated that the K-453-induced antiproliferative effect in human colorectal cells was associated with induction of apoptosis, as



**Figure 8** Relative levels and phosphorylation status of p38 MAPK (A, D), Akt (B) and ERK 1/2 (C) proteins after 24, 48, 72 h treatment with K-453. Significance: <sup>a</sup>*P* < 0.05, <sup>b</sup>*P* < 0.01 vs untreated cells (control).



**Figure 9** Western blot analysis of NF-κB1 (p50) and RelA (p65) down-regulation in cell lysates obtained from HCT116 cell culture for 24, 48 and 72 h with compound K-453 (c = 40 μmol/L).

detected by several complementary assays.

We found that compound K-453 induced an increase in the G<sub>0</sub>/G<sub>1</sub> phase of the cell cycle, with a simultaneous increase in cells having sub-G<sub>1</sub> DNA content, which is considered to be a marker of programmed cell death. Compared with untreated cells, the number of cells with sub-G<sub>1</sub> DNA content increased 15.1-, 27.9- and 33.6-fold after 24, 48 and 72 h of incubation, respectively. Moreover, in our study we observed a time-dependent significant increase in the number of apoptotic HCT116 cells, as evidenced by annexin V/PI, AO/PI staining and PARP cleavage.

Because the mechanisms of the apoptotic effect of K-453 in cancer cells has not yet been elucidated, in the present study we tried to analyze the machinery by which this compound induces apoptosis.

Mitochondria play a crucial role in regulating cell life and death<sup>[26]</sup>. Stress-induced mitochondrial dysfunction (e.g., DNA damage, chemotherapeutic agents) leads to apoptotic cell death<sup>[27]</sup>. Mitochondrial collapse can be connected with the loss of mitochondrial membrane potential ( $\Delta\Psi_m$ )<sup>[28]</sup> and the release of cytochrome c into the cytosol, where it triggers the formation of the apoptosome, which activates procaspase-9<sup>[29]</sup>. This activated caspase-9 in turn activates the executioner caspase-3, with subsequent execution of the apoptotic process<sup>[30]</sup>. In our experiments we observed a significant loss of MMP in the K-453-treated HCT116 cells after 24, 48 and 72 h of incubation, associated with an increase in cytosol cytochrome c content in cancer cells after 48 and 72 h of treatment. In the same treatment period we detected activation of both caspase-9 and caspase-3 in the K-453-treated colon cancer cells.

Furthermore, proteins of the Bcl-2 family, either anti-apoptotic (e.g., Bcl-2 and Bcl-xL) or pro-apoptotic (e.g., Bax and Bad), play an important role in the mitochondrial pathway of apoptosis *via* controlling of mitochondrial permeability<sup>[31]</sup>. Bcl-2 protein, a negative regulator of cell death, blocks apoptosis by preventing mitochondrial permeabilization *via*

neutralizing the activity of pro-apoptotic proteins<sup>[32]</sup>. It is generally accepted that either phosphorylation or dephosphorylation may significantly influence the activity of the Bcl-2 family proteins. It has been suggested that phosphorylation of Bcl-2 can lead to its inactivation<sup>[33-36]</sup>. On the other hand, it was found that BAD dephosphorylation was associated with induction of apoptosis in prostate cancer cells<sup>[37]</sup>. Our results showed that K-453-induced apoptosis in HCT116 was associated with an increase in Bcl-2 phosphorylation after 24, 48 and 72 h of treatment as well as BAD dephosphorylation after 72 h of treatment.

Furthermore, modulation of the signaling pathways, including p38 MAPK, ERK1/2, Akt and NF- $\kappa$ B by K-453, was also examined. MAP kinases have been implicated in a variety of intracellular pathways associated with different cellular activities, such as cell survival, proliferation, transformation and apoptosis. Extracellular signal-regulated kinase (ERK), p38, and c-Jun NH2-terminal kinase (JNK) are members of the mammalian MAPK family<sup>[38]</sup>.

The kinase p38, with an important function in regulating cellular response to a variety of stressors, is ubiquitous, and activation of the p38 MAPK signaling pathway resulted in apoptosis induction. Furthermore, activation of p38 requires its phosphorylation<sup>[39]</sup>. In the present study we observed that K-453-induced phosphorylation of p38 MAPK. As was mentioned above, phosphorylation of Bcl-2 protein can lead to its inactivation. Activated p38 MAPK has been identified as a kinases able to mediate such phosphorylation, and it is suggested that p38 MAPK-induced Bcl-2 phosphorylation can be crucial for the induction of apoptosis under conditions of cellular stress<sup>[40]</sup>.

In contrast to p38 MAPK, we found decreased phosphorylation of Akt and ERK 1/2 in K-453-treated colon cancer cells after 48 and 72 h treatment. Because activation (*i.e.*, phosphorylation) of both kinases is mostly associated with cell survival, growth, proliferation, migration and apoptosis inhibition<sup>[41-43]</sup>, we suggest that inactivation of both kinases may play a role in K-453-induced apoptosis.

Last but not least, treatment of HCT116 cells with K-453 resulted in induction of apoptosis, which correlated with lowering of NF- $\kappa$ B/p65 and p50 levels. NF- $\kappa$ B is generally regarded, although not absolutely, as an anti-apoptotic factor and its inactivation by anticancer compounds is possible method of eliminating malignant cells through apoptosis induction<sup>[44,45]</sup>. Since the NF- $\kappa$ B generally exists as a heterodimer of the p50 and p65 polypeptides<sup>[45]</sup>, we suggest that lower expression of both subunits in K-453-treated cells may lead to dysregulation of the NF- $\kappa$ B pathway, with concomitant loss of the anti-apoptotic function of NF- $\kappa$ B.

Collectively, these findings demonstrated that K-453 induced caspase-dependent apoptosis in colon cancer cells. Loss of MMP, modulation of Bcl-2 and BAD phosphorylation and cytochrome c release indicate the

mitochondrial pathway of apoptosis. In addition, K-453 induced apoptosis at least in part through activation of the p38 MAPK pathway as well as through inactivation of the ERK 1/2, Akt and NF- $\kappa$ B signaling pathways.

## COMMENTS

### Background

Indole phytoalexins represent a large group of natural plant metabolites involved in several cell functions, including antiproliferative functions. These substances are produced by plants several hours or days after a chemical, physical or biological stress attack. The structure of phytoalexins depends on the type of plant that synthesizes them and partially on the elicitor inducing their synthesis. Presently, the ability of indole phytoalexins to inhibit the growth of cells was tested *in vitro* in a number of cancer cell lines.

### Research frontiers

Besides the antimicrobial properties of indole phytoalexins, remarkable antiproliferative activity was discovered within these compounds that may be beneficial in chemotherapy of tumors in the human population. To improve the anti-cancer activity of natural phytoalexins, several synthetic derivatives are now being introduced. However, further studies are necessary to determine mechanism of their activity.

### Innovations and breakthroughs

This is the first study to demonstrate the complex antiproliferative mechanism of novel synthetic spiro derivatives of indole phytoalexins in the HCT116 colon cancer cell line.

### Applications

This study was designed to elucidate the apoptosis mechanism of anti-proliferative action of the synthetic derivative K-453 in human colorectal cancer cell lines. They found activation of mitochondrial and stress pathway machinery after K-453 treatment. These results provide a rationale for further *in vivo* anticancer efficacy studies.

### Terminology

GLOBOCAN is the project aimed to provide actual estimates of the incidence, mortality and prevalence from major types of cancer for 184 countries around the world. Estimates are based on the most recent data available at International Agency for Research on Cancer.

### Peer-review

Authors investigate the mechanism of indole phytoalexin on colorectal cancer cell lines. Research design was well established. Their results indicate interesting pathway of apoptosis.

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