



Mitochondrial dysfunction induced by a SH2 domain-targeting STAT3 inhibitor leads to metabolic synthetic lethality in cancer cells

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In addition to its canonical role in nuclear transcription, signal transducer and activator of transcription 3 (STAT3) is emerging as an important regulator of mitochondrial function. Here, we demonstrate that a novel inhibitor that binds with high affinity to the STAT3 SH2 domain triggers a complex cascade of events initiated by interference with mitochondrial STAT3 (mSTAT3). The mSTAT3–drug interaction leads to mitochondrial dysfunction, accumulation of proteotoxic STAT3 aggregates, and cell death. The cytotoxic effects depend directly on the drug's ability to interfere with mSTAT3 and mitochondrial function, as demonstrated by site-directed mutagenesis and use of STAT3 knockout and mitochondria-depleted cells. Importantly, the lethal consequences of mSTAT3 inhibition are enhanced by glucose starvation and by increased reliance of cancer cells and tumor-initiating cells on mitochondria, resulting in potent activity in cell cultures and tumor xenografts in mice. These findings can be exploited for eliciting synthetic lethality in metabolically stressed cancer cells using high-affinity STAT3 inhibitors. Thus, this study provides insights on the role of mSTAT3 in cancer cells and a conceptual framework for developing more effective cancer therapies.

STAT3 | mitochondria | small-molecule inhibitor | synthetic lethality | OPB-51602

Signal transducer and activator of transcription 3 (STAT3) is a key element in multiple signaling pathways and is aberrantly activated in many human cancers (1, 2). STAT3 promotes cell proliferation, survival, angiogenesis, and immune-evasion (1–3). Phosphorylation at Tyr705 (pTyr705), catalyzed by Janus kinases (JAK) and other tyrosine kinases, induces STAT3 dimerization through the interaction of the SH2 domain (SH2D), nuclear accumulation, and target gene transcription (1, 3, 4). Emerging evidence indicates that STAT3 also localizes to mitochondria and controls mitochondrial functions (2, 5–7). Mitochondrial localized STAT3 (mSTAT3) is critical for survival of RAS-transformed mouse embryo fibroblasts (MEF) under glucose-starvation, reflecting a specific dependency of cancer cells on mitochondria in certain conditions (6). Interestingly, mSTAT3 is prevalently phosphorylated at Ser727 (pSer727), which enhances its mitochondrial functions (5, 6). Furthermore, constitutive pSer727 is found in many human cancers and is apparently sufficient to drive tumorigenesis in various model systems (8–10).

STAT3 is an attractive cancer therapeutic target because of its central role in multiple oncogenic processes and great effort has been devoted in recent years to discover STAT3 inhibitors (STAT3i) (11, 12). To date, small-molecule STAT3i have shown relevant activity in preclinical models and few of them are currently investigated in clinical trials (11, 13–17). However, an important gap persists in our knowledge of the biological mechanisms of antitumor activity, the critical cellular processes affected, and the factors determining sensitivity of cancer cells to

STAT3i, hindering further clinical development of these highly promising anticancer drugs. Indeed, great attention has been devoted to the effects of direct and indirect STAT3i on nuclear and transcriptional functions of STAT3, whereas much less is known about the consequences of STAT3 inhibition on mitochondrial activity (11, 12). The emerging role of mitochondria as central organelles in metabolic adaptation, drug resistance, and stemness in human cancers makes this issue timely and relevant (18–21).

In this study, we investigated how a novel small-molecule compound, OPB-51602, which is currently in clinical trials (16, 17), interferes with the STAT3 functions in cancer cells. We show that OPB-51602 binds with high affinity to the SH2D and inhibits very effectively STAT3 phosphorylation and cancer cell proliferation. Intriguingly, we found that, upon binding to STAT3, OPB-51602 triggers a complex cascade of events that includes disruption of mitochondrial function and protein homeostasis, culminating in cell death. These events were initiated by binding to the SH2D and interference with mSTAT3. Notably, the lethal consequences of OPB-51602 were greatly enhanced by glucose starvation and reliance on mitochondrial function. This created a condition of synthetic lethality, leading to high vulnerability of cancer cells and tumor-initiating stem-like cells to STAT3i and potent antitumor effect in tumor xenografts. Importantly, this concept of mitochondrial targeting by small-molecule STAT3i could be exploited to design novel therapeutic strategies and drug combinations for cancer treatment.

Significance

The transcription factor STAT3 is involved in multiple oncogenic signaling pathways and is an attractive therapeutic target. This study shows that a potent inhibitor of STAT3 interferes with mitochondrial activity and protein homeostasis, leading to a synthetic lethality effect in glucose-depleted cancer cells. These findings provide a rationale for novel strategies based on the use of STAT3 inhibitors for cancer treatment.

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Results

OPB-51602 Inhibits STAT3 by Binding to the SH2D. To gain insights in the biological activity of OPB-51602, we tested its effects on cell proliferation and STAT3 signaling in human cancer cells. OPB-51602 inhibited cell growth (Fig. 1A) and clonogenic capability (Fig. 1B) of DU145 and H358 cells. The response to OPB-51602 in cell growth assay was greatly affected by the culture conditions, with increased sensitivity when cells were plated at higher density (Fig. 1A). Under similar high-density culture conditions, inhibition of pTyr705 and pSer727 was seen at nanomolar concentrations and, within few hours of treatment ($\geq 90\%$ at 10 nM at 4 h), in DU145 and H358 cells with constitutive activation of pTyr705 and in LNCaP cells with inducible pTyr705 in the presence of IL-6 (Fig. 1C and Fig. S1A). We reasoned that the increased response at higher cell density could be because of rapid depletion of nutrients from the cell culture

medium influencing the metabolic state of the cells. Consistently, when we replaced the high-density culture medium—defined as conditioned medium (CM)—with fresh medium (FM), the effects of the treatment on STAT3 phosphorylation was dramatically reduced (Fig. S1B). This phenomenon was not unique to OPB-51602 and the response to other STAT3i (OPB-31121 and STA-21) was equally decreased in the presence of FM (Fig. S1C). Conversely, we observed that the inhibition of pTyr705 by the JAK inhibitor NVP-BSK805 was higher in FM than CM (Fig. S1D).

To prove that OPB-51602 binds to STAT3, we used computational modeling and binding assays by isothermal titration calorimetry (ITC). Computational docking and molecular dynamics simulation identified a potential binding site for OPB-51602 in the STAT3 SH2D (Fig. 1D) partially overlapping the binding site of OPB-31121 (22). Energy decomposition analysis was used to determine the contributions of individual amino

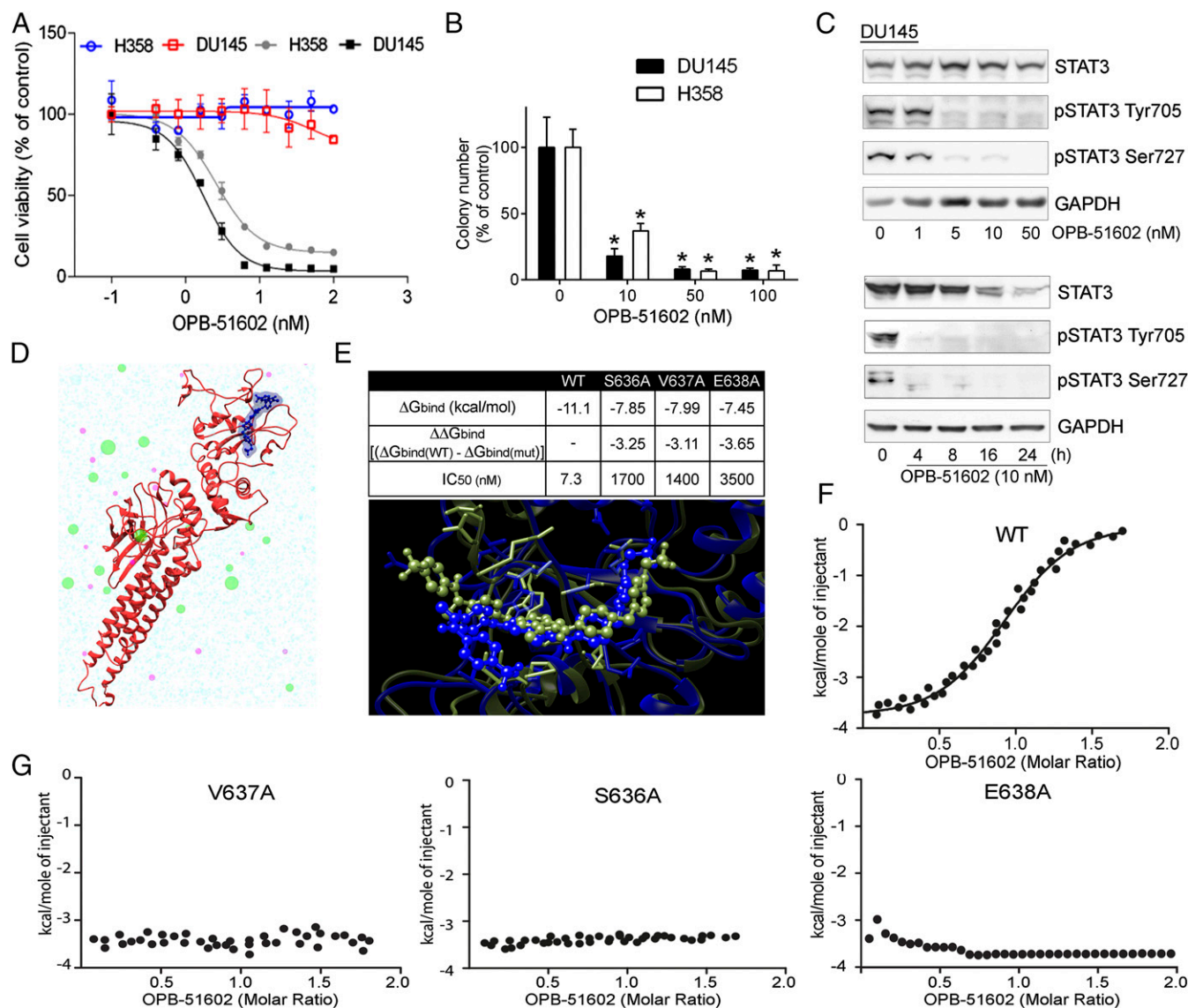


Fig. 1. Binding and inhibition of STAT3 signaling by OPB-51602. (A) Proliferation of DU145 and H358 cells incubated for 72 h with OPB-51602 at high (filled symbols) and low (open symbols) cell density. (B) Colony-forming ability of DU145 and H358 cells treated with OPB-51602. * $P < 0.01$. (C) pTyr705, pSer727, and tSTAT3 in DU145 cells treated with OPB-51602 for 16 h (Upper) or 10 nM for the indicated time (Lower) in CM. (D) Model of the full-length STAT3 (firebrick ribbon) in complex with OPB-51602 (blue). (E) Estimated binding free energy of WT, S636A, V637A, and E638A mutants of the STAT3 SH2D with OPB-51602. Lower section shows molecular simulation of OPB-51602 bound to WT (green) or E638A (blue) SH2D. (F) ITC analysis of OPB-51602 binding to WT STAT3 SH2D. (G) ITC analysis of OPB-51602 binding to STAT3 SH2D mutants (E638A, V637A, and S636A).

acids in the binding pocket (Fig. S1E). In silico mutagenesis of S636, V637, and E638 reduced substantially binding with estimated IC_{50} values increasing from about 7 nM to >1,000 nM (Fig. 1E). The in silico predictions were confirmed by ITC with recombinant GST-tagged STAT3 SH2D, which showed that OPB-51602 binds with K_d of 5 nM (Fig. 1F). Furthermore, confirming the binding-site predictions, OPB-51602 did not bind the S636A, V637A, and E638A SH2D mutants (Fig. 1G).

OPB-51602 Interferes with mSTAT3 and Mitochondrial Function. We took advantage of the high-affinity binding of OPB-51602 to examine the effects of STAT3 inhibition on mitochondrial function in cancer cells. Mitochondrial membrane potential (MMP) was drastically affected in cells treated with OPB-51602 in CM (Fig. 2A and Fig. S2A). Conversely, MMP decreased only slightly after treatment in FM (Fig. S2B). ATP production also decreased significantly after treatment in CM (Fig. S2C). Lactate level (Fig. S2D), ATP production (Fig. S2E), and glucose uptake (Fig. S2F) were not or minimally affected by the treatment in

complete FM, excluding a direct influence of the drug on glucose metabolism. Furthermore, basal mitochondrial oxygen consumption rate (OCR), along with respiratory capacity and mitochondrial ATP production, were almost completely suppressed after a 2-h incubation with OPB-51602 (Fig. 2B and Fig. S2G). Interestingly, addition of OPB-51602 during the assay progressively inhibited mitochondrial activity compared with control cells, suggesting that the drug acted rapidly to block mitochondrial function (Fig. S2H). Using confocal microscopy, we monitored the morphology of mitochondria in control and OPB-51602-treated cells. Mitochondria were elongated and hyperfused in control cells placed in nutrient-depleted CM and glucose-free medium (Fig. 2C, Upper). This finding was consistent with dynamic adaptation to nutrient starvation, whereby elongated mitochondria ensure higher bio-energetic efficiency. Notably, in these conditions OPB-51602 induced drastic changes in mitochondria that appeared more fragmented, indicating an imbalance between fission and fusion events, consistent with impaired mitochondrial activity (Fig. 2C, Lower). These changes in mitochondrial function

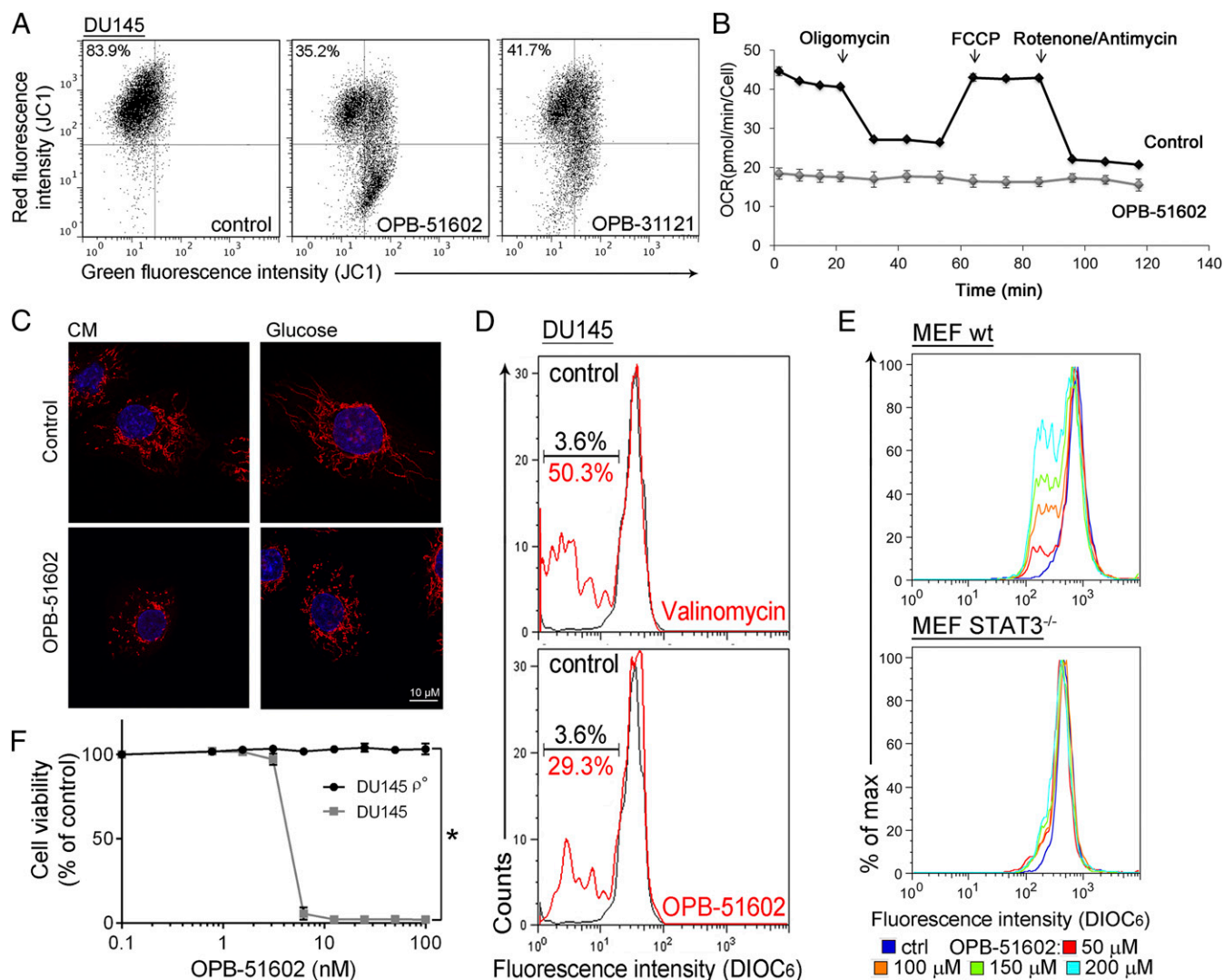


Fig. 2. OPB-51602 impairs mitochondrial function. (A) MMP (JC1 staining) in DU145 cells treated with OPB-51602 and OPB-31121 for 2 h in CM. (B) Mitochondrial OCR in control and OPB-51602-treated (100 nM for 2 h) DU145 cells. (C) Mitochondrial shape in DU145 cells treated with OPB-51602 (100 nM) in CM (16 h) or glucose-depleted medium (4 h) and stained with MitoTracker Orange (red) and DAPI (blue). (D) MMP (DIOC₆ staining) in isolated mitochondria from DU145 cells treated with OPB-51602 (50 μ M) or Valinomycin (100 μ M) for 3 h. (E) MMP (DIOC₆ staining) in isolated mitochondria from WT and STAT3^{-/-} MEF treated with OPB-51602 for 3 h. (F) Cell viability [sulforhodamine B (SRB) assay] in DU145 and DU145 ρ^0 cells treated with OPB-51602. * $P < 0.01$.

and dynamics occurred rapidly, suggesting a direct effect on a key mitochondrial target, and were favored by nutrient or glucose depletion, reminiscent of the effect of STAT3 ablation in glucose-starved cancer cells (6).

OPB-51602 binds tightly to STAT3 and the effect on mitochondria could be because of direct interference with mSTAT3 independent of the drug's effect on nuclear STAT3. To test this hypothesis, we treated with OPB-51602 mitochondria isolated from DU145 cells. OPB-51602 reduced the MMP in line with a direct impact on mSTAT3 (Fig. 2D). To further link this effect to mSTAT3, we treated mitochondria isolated from WT and STAT3^{-/-} MEF. OPB-51602 affected mitochondria from WT cells but was completely ineffective on mitochondria from STAT3-depleted cells (Fig. 2E). Thus, mSTAT3 is a key target of OPB-51602 in mitochondria and is essential for inducing mitochondrial dysfunction. To establish whether interference with mSTAT3 was also relevant for the drug's cytotoxicity, we generated DU145 ρ^0 cells depleted of functional mitochondria (Fig. S2J). The ρ^0 cells were maintained in medium supplemented with uridine and pyruvate, which are essential for survival in the absence of mitochondria (23). Notably, mitochondria-depleted DU145 ρ^0 cells were less affected by OPB-51602 (Fig. 2F). Thus, the drug's cytotoxic effects depend on the ability to interfere with mSTAT3 and inhibit mitochondrial function.

STAT3 Forms Proteotoxic Aggregates in the Presence of OPB-51602.

The induction of mitochondrial dysfunction and the dependency on the cell metabolic state suggested that cancer cells in conditions of increased reliance on mitochondria activity might be more sensitive to the cytotoxic effects of OPB-51602. Consistent with this hypothesis, cotreatment with inhibitors of glucose uptake and metabolism, 2-deoxy-D-glucose (2-DG) and 2-fluorodeoxy-D-glucose (2-FDG) increased the cytotoxic effect of OPB-51602 in cancer cells (Fig. S3A and B). Notably, OPB-51602 in combination with 2-DG had a much greater effect in Ras-transformed (LHS-Ras) compared with nontransformed (LHS) prostate epithelial cells (Fig. 3A), in line with the increased dependency of tumorigenic cells on mSTAT3 under glucose starvation

(6). Glucose depletion also influenced the effect of OPB-51602 on STAT3 phosphorylation and activity. The addition of glucose (10 mM) to CM counteracted the drug's effect on pTyr705 and pSer727 (Fig. 3B). Conversely, removal of glucose from FM increased the efficacy of OPB-51602 (Fig. S3C). Blocking glucose metabolism with 2-DG had a similar effect on the cell response to OPB-51602 (Fig. S3D). Glucose starvation also enhanced the effect of OPB-51602 on STAT3 transcriptional activity (Fig. 3C), indicating that glucose level affected both nuclear and mitochondrial functions of STAT3. Together, these results indicate that OPB-51602 exhibited higher activity toward cancer cells in conditions of nutrient starvation or metabolic stress, which are typically found in the tumor microenvironment *in vivo* (24), and suggest the possibility of metabolic synthetic lethality because of the drug's impact on mitochondrial function.

To understand the basis of the striking effects in nutrient-depleted cancer cells, we examined more closely the consequences on STAT3 distribution. In addition to reduced pSTAT3, treatment with OPB-51602 decreased the level of total STAT3 (tSTAT3). Depletion of tSTAT3, along with pSTAT3, occurred both in the cytoplasm and nuclei (Fig. S4A). In addition, the phenomenon was not affected by the state of pTyr705 phosphorylation and its induction by IL-6 in LNCaP cells (Fig. 3D). The depletion of tSTAT3 was highly reproducible and was particularly evident when the cells were treated in nutrient-depleted CM. Interestingly, the decline of tSTAT3 followed by few hours the reduction of pSTAT3, and was more evident after 24 h of treatment (Fig. S4A).

The effect on tSTAT3 could be a consequence of reduced transcription or enhanced protein degradation. However, STAT3 mRNA level did not change after drug treatment (Fig. S4B). Furthermore, proteasome inhibition by PS341 did not prevent the decline of tSTAT3 induced by OPB-51602 (Fig. S4C), ruling out reduced transcription and enhanced degradation. We considered the alternative possibility of entrapment of STAT3 into cellular substructures poorly solubilized under the relatively mild conditions used for cell lysis. Indeed, lysis of cells in high-detergent buffer increased the detectability of tSTAT3 compared with the standard low-detergent lysis buffer, likely by disrupting the

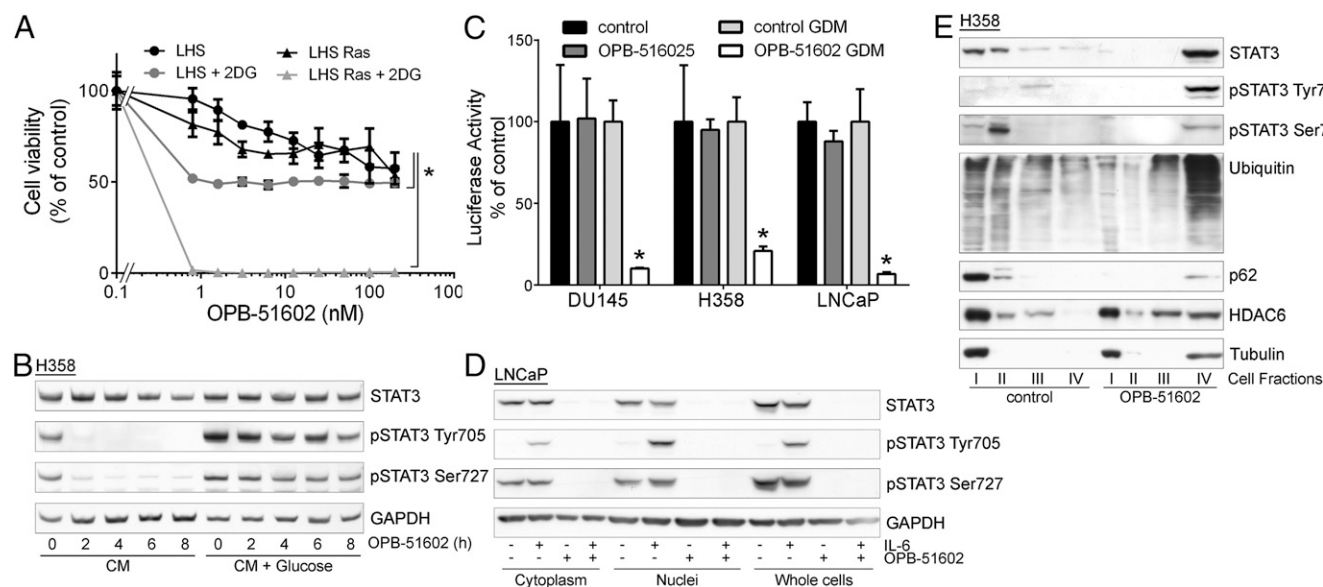


Fig. 3. Altered distribution of STAT3 and proteostasis in response to OPB-51602. (A) Proliferation of immortalized normal (LHS) and Ras-transformed (LHS-Ras) prostate epithelial cells treated with OPB-51602 with or without 2-DG. * $P < 0.01$. (B) STAT3 and pSTAT3 in H358 cells treated with OPB-51602 in CM or glucose-supplemented CM. (C) STAT3 reporter activity in stably engineered cell lines treated with OPB-51602 for 16 h in complete or glucose-depleted FM (GDM). * $P < 0.01$. (D) STAT3 and pSTAT3 in cytoplasm, nuclei, and whole-cell lysates from LNCaP treated with OPB-51602 for 16 h. (E) Distribution of STAT3, p62, ubiquitinated proteins, and HDAC6 in cell fractions from H358 cells untreated or treated with OPB-51602 for 16 h.

poorly soluble complexes entrapping STAT3 (Fig. S4D). To further test this hypothesis, we performed cell fractionation and sucrose gradient centrifugation to monitor the subcellular distribution of STAT3. In control cells total, pTyr705, and pSer727 STAT3 were mainly present in the cytoplasm, nuclei, and mitochondria, respectively (Fig. S4 E and F). However, after drug treatment most of tSTAT3 and pSTAT3 were depleted from these cell compartments and recovered in the insoluble protein fraction.

To confirm this finding, we used an additional cell fractionation method that separated cytoplasm (fraction I), mitochondria and intracellular organelles (fraction II), nuclei (fraction III), and cytoskeleton/insoluble proteins (fraction IV) (Fig. S4G). Using this method, we detected depletion of tSTAT3 and pSTAT3 from cytoplasm, mitochondria, and nuclei, and a substantial shift in the insoluble protein fraction after treatment with OPB-51602 (Fig. 3E). Intriguingly, other proteins, such as p62, histone deacetylase 6 (HDAC6), and tubulin, which are recruited to protein aggregates and assist in their disposal (25), relocated in the insoluble fraction along with STAT3 in OPB-51602-treated cells (Fig. 3E). In addition, a substantial fraction of ubiquitinated proteins accumulated in the cellular insoluble fraction. The presence of components of the aggresome machinery and ubiquitinated proteins indicated that OPB-51602 entrapped STAT3 in aggresome-like structures and caused broad alterations in protein homeostasis. Again, this phenomenon was enhanced by glucose starvation and the presence of glucose-rich FM prevented the aggregation and protein shift in the insoluble fractions (Fig. S4H).

Using confocal microscopy and EGFP-tagged STAT3, we monitored directly the fate of STAT3 in intact cells. Control cells exhibited a diffuse and uniform distribution of EGFP-STAT3 (Fig. 4A, Upper). In contrast, EGFP-STAT3 localized in discrete foci resembling aggresome-like structures in cells treated with OPB-51602 in CM (Fig. 4A, Lower). To determine whether STAT3 aggregation was a direct consequence of the binding to the SH2D, we examined the behavior of the E638A-STAT3 mutant unable to bind OPB-51602 (Fig. 4B). The E638A-STAT3 mutant did not form aggregates and retained a diffuse distribution in drug-treated cells. Thus, the formation of the STAT3 aggregates was a direct consequent to the interaction of the drug with the SH2D and abrogating it prevented the aggregation.

Next, we examined whether other STAT3 domains were involved in the formation of STAT3 aggregates using a series of EGFP-STAT3 deletion mutants (Fig. 4C). The C-terminal domain (Δ CTD, Δ 671) mutant formed aggregates in presence of OPB-51602 (Fig. 4D). Surprisingly, the mutants lacking the N-terminal (Δ NTD, Δ 137) or both the N-terminal and coiled-coil domain (Δ NTD-CCD, Δ 312) did not aggregate in presence of the drug, implicating these regions in the aggregation phenomenon. We hypothesized that the drug binding to the SH2D could disrupt interactions between the NTD and SH2D that would prevent self-aggregation. Based on this assumption, we generated a mutant lacking the SH2D and CTD (Δ SH2-CTD) (Fig. 4C). We found that the Δ SH2-CTD mutant formed spontaneous aggregates that resembled the aggresome-like structures induced by OPB-51602 (Fig. 4E). This result was in line with the hypothesis

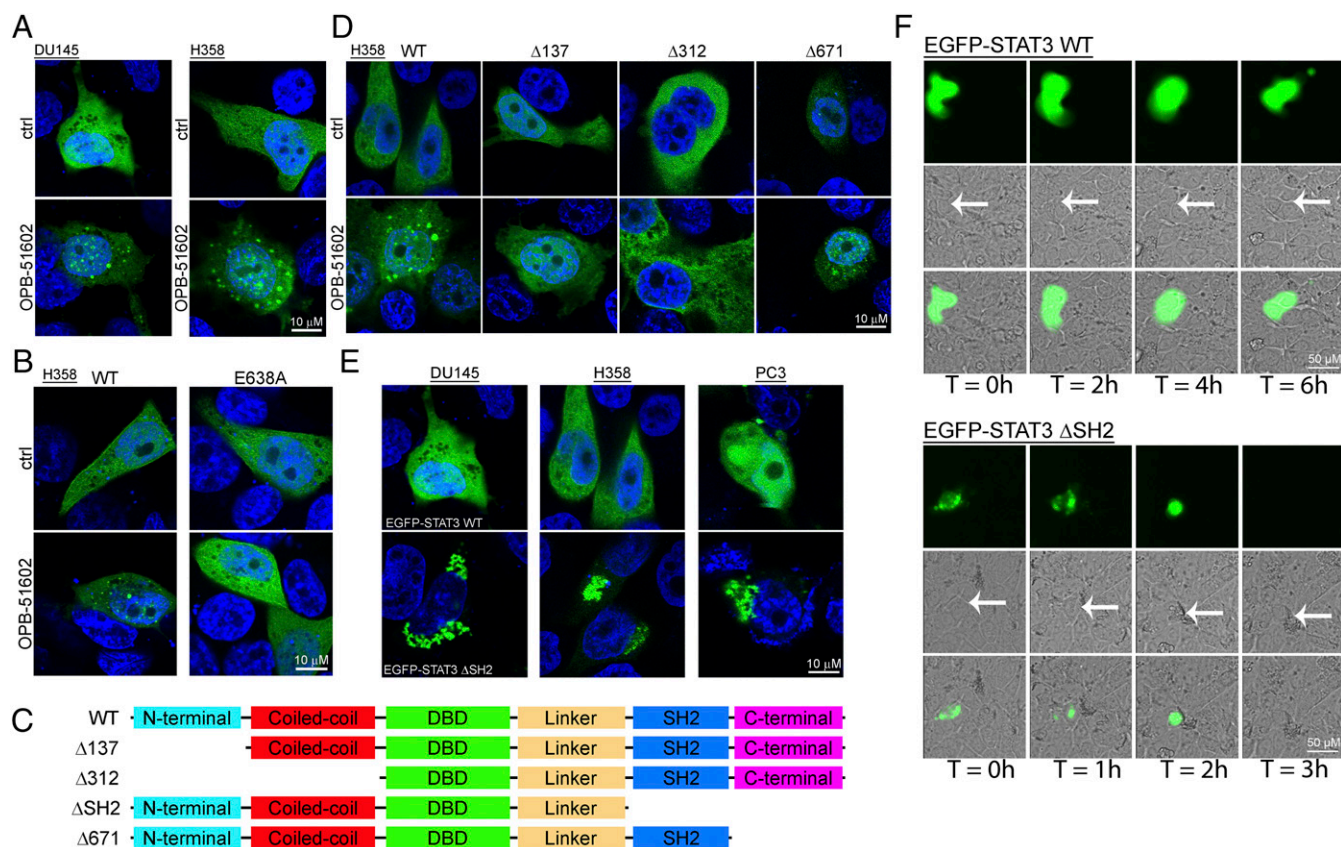


Fig. 4. Induction of STAT3 protein aggregates by OPB-51602. (A) Distribution of EGFP-STAT3 (green) in DU145 and H358 cells untreated or treated with OPB-51602 for 6 h in CM. Nuclei were stained with DAPI (blue). (B) Effect of OPB-51602 on WT and mutated (E638A) EGFP-STAT3. (C and D) WT and truncated variants of EGFP-STAT3 (C) and differential responses to treatment with OPB-51602 (D). (E) Spontaneous aggregation of Δ SH2 EGFP-STAT3 variant in CM cultures. (F) Live fluorescence, phase contrast, and composite images of H358 cells expressing WT or Δ SH2 EGFP-STAT3 at the indicated time points. Arrows indicate the position of EGFP⁺ cells in phase contrast images.

that disruption of SH2D-NTD contacts could be the initial cause of the drug-induced STAT3 aggregation.

Massive accumulation of protein aggregates can lead to proteotoxicity and cell death (25, 26). Furthermore, protein aggregation is enhanced by metabolic stress and mitochondrial dysfunction (25, 26), which also occur in OPB-51602-treated cells. To examine whether STAT3 aggregates could per se affect cell viability, we took advantage of the aggregation-prone Δ SH2-CTD STAT3 mutant. Cells expressing WT-STAT3 were healthy and viable (Fig. 4F). In contrast, cells expressing the Δ SH2-CTD mutant rapidly showed signs of STAT3 aggregation and drastic changes in cell morphology, resulting in progressive cell shrinkage and death (Movies S1–S4). These effects were observed in cells incubated in CM, although a detectable amount of STAT3 self-aggregates formed also in FM. Although cells expressing WT-STAT3 divided and expanded within 16–24 h, the number of cells expressing the Δ SH2-CTD mutant did not increase because of impaired cell division and increased cell death (Fig. S4J). Thus, the accumulation of STAT3 aggregates is toxic to cells and is an important element contributing substantially to the OPB-51602 cytotoxicity.

Entrapment of p62 and Inhibition of Autophagy by Drug-Induced Proteotoxic STAT3 Aggregates. Our data indicate that drug-induced STAT3 aggregation is detrimental to cancer cells. Cells have well-defined mechanisms to deal with proteotoxic aggregates (25, 27). p62 is a critical player promoting the elimination of protein aggregates through autophagy (28). However, massive sequestration of p62 into aggresome-like structures could result in depletion and interference with p62-dependent processes. We found that a large portion of p62 coimmunoprecipitated with STAT3 in drug-treated cells, indicating that the interaction between the two proteins increased substantially with the formation of the STAT3 aggregates (Fig. 5A). Using confocal microscopy, we monitored the changes in distribution EGFP-STAT3 and Cherry-p62 in control and drug-treated cells (Fig. 5B). Individual Cherry-p62 punctae not overlapping with EGFP-STAT3 were detected in control cells. Conversely, Cherry-p62 and EGFP-STAT3 colocalized in large aggresome-like structures after treatment with OPB-51602. These results prompted us to further investigate the role of p62 in the formation of the aggregates. Notably, knockdown of p62 did not prevent STAT3 aggregation, indicating that p62 was not required for their formation (Fig. S5A). However, deletion of the ubiquitin binding domain (Δ UBA) in p62 reduced colocalization with EGFP-STAT3 and caused formation of smaller and more dispersed aggregates (Fig. 5C). Thus, the initial stages of aggregate formation did not depend on p62, whereas p62 that was recruited through the UBA domain contributed to their expansion.

Entrapment of p62 in the presence of massive formation of STAT3 aggregates could impact on the ability of cells to activate autophagy, an important survival mechanism in nutrient-depleted cells (29). We found that there was no effect of OPB-51602 on autophagy in glucose-rich FM (Fig. S5B). However, OPB-51602 inhibited autophagy in cells treated in nutrient-depleted CM (Fig. 5D), consistent with the notion that the pathway was activated and susceptible to inhibition in metabolically stressed cells. OPB-51602 led to the accumulation of free cytoplasmic LC3-I and reduction of membrane-bound LC3 (LC3-II), indicating a block at the early phase of autophagosome assembly (29) (Fig. 5E). Furthermore, treatment with the autophagy inhibitor chloroquine and the proteasome inhibitor PS-341, both alone and in combination, did not prevent the drug's effects on STAT3, ubiquitinated proteins, and LC3-I/II, consistent with an early block of autophagy (Fig. S5C). Conversely, we found that treatment with rapamycin, an autophagy inducer (29), delayed the effects of OPB-51602 on LC3-I/II and partially reduced loss of pSTAT3 at early times (≤ 8 h) (Fig. S5D). However, this protective effect was lost with prolonged exposure to the drug (≥ 16 h) and

did not block the entrapment of STAT3 in the insoluble fraction (Fig. S5E). This finding indicated that, although autophagy initially assist in the disposal of STAT3 aggregates, prolonged exposure to OPB-51602 exhausts the cell capacity for processing them, leading to the accumulation of proteotoxic aggregates. In this context, the mitochondrial dysfunction induced by OPB-51602 could further exacerbate the formation of aggregates and prevent their elimination.

Mitochondrial Dysfunction and Proteotoxic Aggregate Formation Occur with Other STAT3i. Treatment with OPB-51602 induced a complex cascade of events contributing to the drug's cytotoxicity. These events depend primarily on the binding of OPB-51602 to the SH2D, leading to impaired STAT3 function and aggregation. We examined whether other STAT3i induced similar effects. We selected compounds reported to interact with the STAT3 SH2D (11), although they differ in their mode of interaction and binding affinity, as we recently reported for some of them (22). We also included WP1066, which was initially described as a JAK2 inhibitor (30) and later shown to block also SH2D–protein interactions independently of pTyr705 phosphorylation and nuclear STAT3 activity (31), suggesting an alternative mechanism of interference with STAT3 functions. Notably, we found that all of these compounds impaired MMP and ATP production (Fig. S6A), indicating that mitochondrial dysfunction was a common event. Sensitivity to these compounds was also affected by glucose starvation (Fig. S6B) and their cytotoxic activity was enhanced by 2-DG (Fig. S6C). STA21 and WP1066 progressively reduced pTyr705, pSer727, and tSTAT3 in cells treated in glucose-free medium, as seen with OPB-51602 (Fig. S6D). The STAT3i also induced the inclusion of STAT3 in insoluble complexes (Fig. S6 E–H) and formation of STAT3 aggregates (Fig. 5B), in line with an interference with SH2D functions. Similar to OPB-51602, many of these compounds inhibited autophagy in nutrient-starved cells (Fig. S7A). Conversely, the JAK2 inhibitor NVP-BSK805 (32) did not affect mitochondria (Fig. S6A), autophagy (Fig. S7A), and STAT3 intracellular distribution (Fig. S7B). Thus, the effects on mitochondrial activity, STAT3 aggregation, and protein homeostasis were phenomena shared by most, if not all of the compounds interfering with STAT3 function directly. Furthermore, the induction of proteotoxic cell death in metabolically stressed cancer cells was a common event underlying the anticancer activity of these STAT3i.

Proteotoxic STAT3 Aggregate Formation and in Vivo Antitumor Activity of OPB-51602. Tumor cells in the in vivo tumor microenvironment experience metabolic stress because of nutrient and glucose starvation (24). Our present data suggest that in this condition, tumor cells might be highly sensitive to STAT3i. A key event induced by OPB-51602 was the depletion of STAT3 and the formation of protein aggregates, which were direct consequences of the binding to STAT3 and were enhanced by nutrient depletion. We examined whether OPB-51602 induced similar effects in vivo. Mice with DU145 tumor xenografts were treated with vehicle or OPB-51602 daily for 3 or 5 d. The level of pTyr705, pSer727, and tSTAT3 declined in tumors from OPB-51602-treated mice (Fig. 6 A and B). Ki67 immunostaining was also reduced, confirming the concomitant inhibition of tumor cell proliferation. Moreover, aggresome-like structures, similar to those detected in vitro, were observed in tumor xenografts from OPB-51602-treated mice using ProteoStat, a specific stain for protein aggregates (Fig. 6C, Upper). Aggresome formation peaked at day 5, along with the reduction of tSTAT3. Immunofluorescence microscopy showed the concomitant formation of p62⁺ aggregates in OPB-51602-treated mice with a similar kinetics (Fig. 6C, Lower). These results confirmed the induction of STAT3 and p62-rich aggregates in tumor xenografts, suggesting that this phenomenon could be relevant for the drug's activity in vivo.

Next, we assessed the impact of OPB-51602 on the growth of DU145 tumor xenografts. Treatment with OPB-51602 daily for

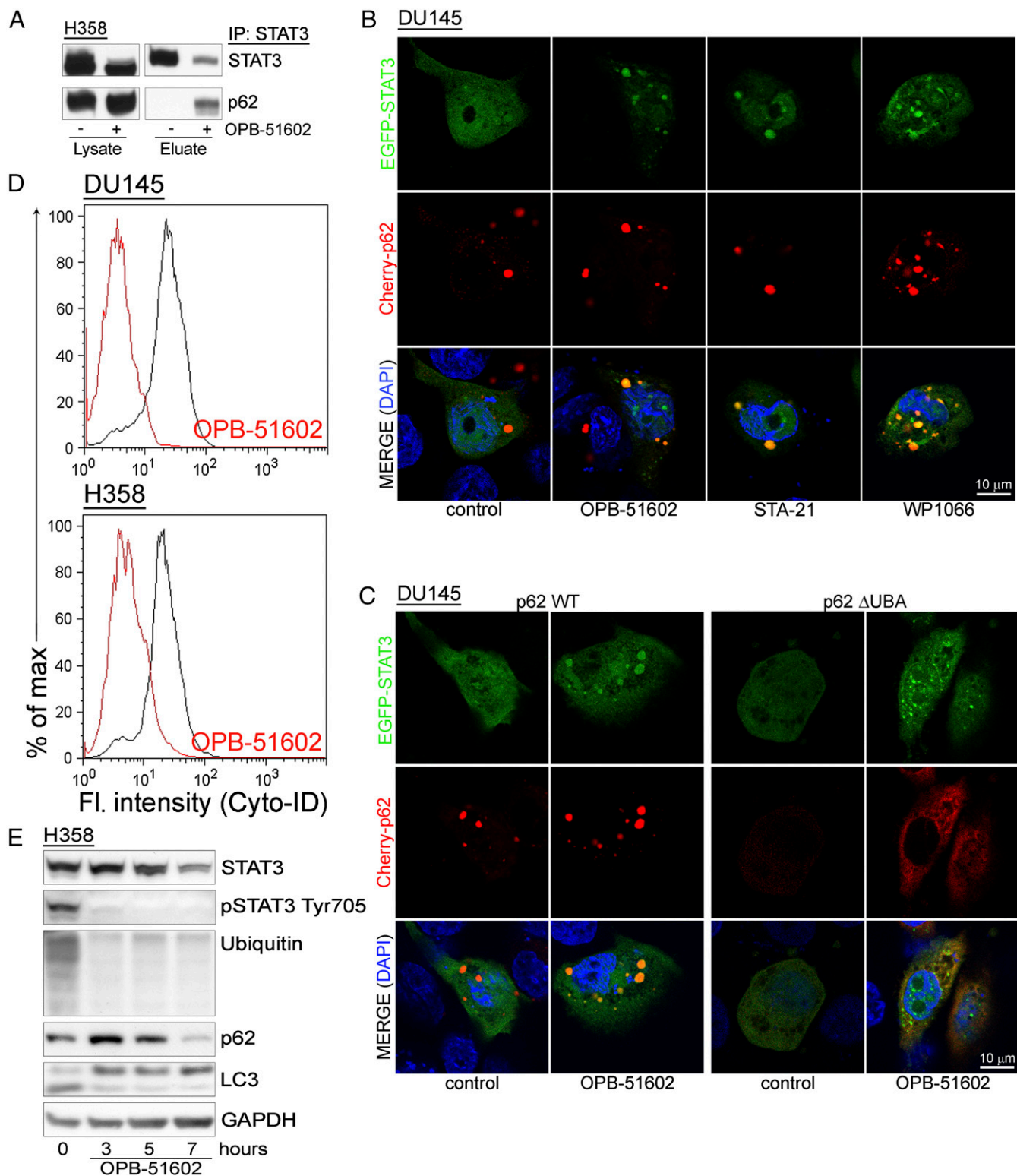


Fig. 5. Entrapment of p62 in STAT3-rich aggregates. (A) Coimmunoprecipitation of STAT3 and p62 upon lysis in DISK buffer of control and OPB-51602-treated H358 cells. (B) Distribution of EGFP-STAT3 (green) and Cherry-p62 (red) in cells treated with the indicated drugs for 6 h in CM cultures. (C) Distribution of EGFP-STAT3 (green) and WT or Δ UBA Cherry-p62 (red) in cells treated with OPB-51602 for 6 h in CM. (D) Flow cytometry analysis of autophagy in H358 and DU145 cells treated with OPB-51602 for 6 h in CM. (E) Immunoblot analysis of LC-3 along with STAT3, p62, and ubiquitinated proteins in H358 cells treated with OPB-51602 for the indicated time in CM cultures.

2 wk strongly suppressed tumor growth as assessed by tumor volume (Fig. 6D), *in vivo* bioluminescence (Fig. S8A), and tumor weight (Fig. S8B) measurements. Immunohistochemistry

(IHC) showed marked reduction of pTyr705, pSer727, and K_i67 , confirming the ability of OPB-51602 to inhibit STAT3 signaling and tumor cell proliferation *in vivo* (Fig. 6E and F). Notably,

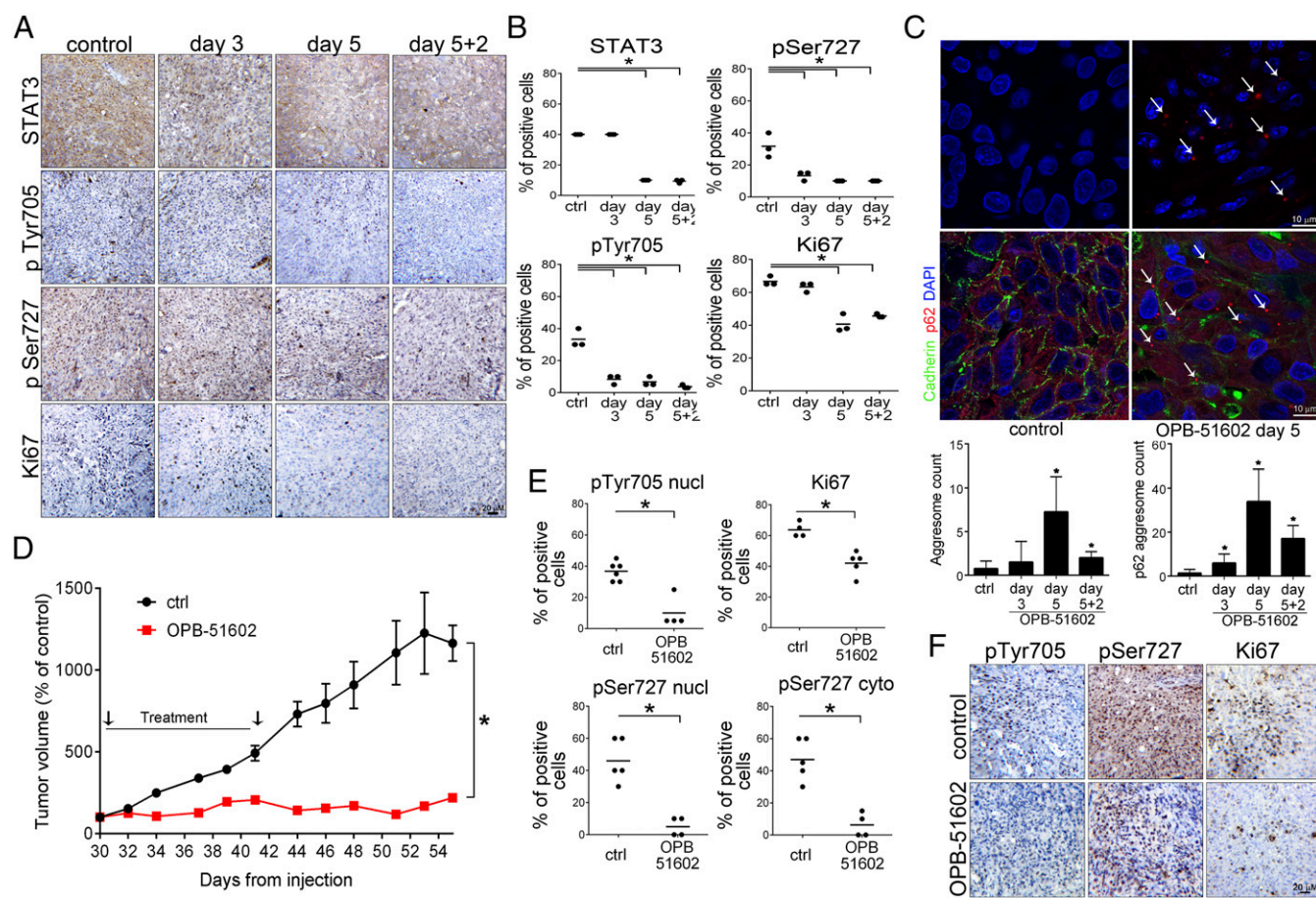


Fig. 6. Inhibition of tumor growth and impairment of tumor-initiating stem-like cells in vivo. (A and B) STAT3, pSTAT3, and *Ki67* in DU145 tumor xenografts after treatment with OPB-51602 (40 mg/kg, daily by mouth) for 3 and 5 d and off treatment for 2 d (5+2). Representative images (A) and IHC quantification (B). (C) Aggresome formation in DU145 tumor xenografts from control and OPB-51602-treated mice (40 mg/kg, daily by mouth) detected by ProteoStat staining (Upper) or p62 immunostaining (Lower). Arrows, large aggregates in drug-treated xenografts. (D) Growth of tumor xenografts of DU145 cells in mice treated with 20 mg·kg⁻¹ of OPB-51602 (*n* = 5 per group). (E and F), pTyr705 STAT3, pSer727 STAT3, and *Ki67* IHC in tumor xenografts of DU145 cells in mice treated with vehicle or 20 mg·kg⁻¹ OPB-51602 for 2 wk. Quantification of pTyr705, pSer727 STAT3 and *Ki67* immuno-staining (E) and representative images (F). **P* < 0.01.

tumor growth did not resume in the 2-wk following treatment discontinuation, suggesting that OPB-51602 impaired the repopulating capacity of the surviving cancer cells in the residual tumors. We reasoned that this inhibition of tumor regrowth could be because of depletion of stem-like cancer cells, which would determine tumor cell repopulation. Prostate cancer stem-like cells are highly depended on STAT3 and sensitive to its inhibition (33–35). To test our hypothesis, we assessed the fraction of stem-like cancer cells in tumor xenografts after OPB-51602 treatment using ex vivo flow cytometry and tumor-sphere-forming assays (36, 37). These assays reliably monitor the stem-like cancer cell subpopulation in tumor xenografts, showing high correlation with the tumorigenic capability of stem-like cancer cells in vivo (36, 37). Notably, the fraction of CD44⁺/CD24⁻ (Fig. S8C) and ex vivo tumor-sphere-forming cells (Fig. S8D), representing the surviving stem-like cancer cells in tumor xenografts, decreased significantly in the OPB-51602-treated group. Thus, OPB-51602 induced a substantial depletion of tumor-initiating stem-like cancer cells in line with the prolonged impairment of the tumor cell repopulating capability in vivo.

Discussion

STAT3 has a pivotal role in multiple oncogenic processes and is emerging as an important cancer therapeutic target (2, 12). In this study we examined the mechanism by which a small-

molecule inhibitor that binds to the SH2D interferes with STAT3 functions in cancer cells. We found that the high-affinity STAT3i, OPB-51602, triggers a complex cascade of events leading to interference with multiple cellular functions and culminating in cell death. We dissected the contribution of each element of this cascade to the cytotoxic activity of this compound. Our data show that interference with mSTAT3, mitochondrial dysfunction, and formation of STAT3 proteotoxic aggregates were central events for the lethal effects in cancer cells exposed to nutrient starvation and metabolic stress. These findings challenge the current view that inhibition of nuclear STAT3 signaling and transcriptional activity are the main elements underlying the in vivo antitumor activity of STAT3i (2, 12). Moreover, these data open new perspectives for the clinical use of this class of anticancer drugs.

Our findings are consistent with a central role of mSTAT3 in sustaining survival of cancer cells in conditions of metabolic stress (5–7). Binding of OPB-51602 to the SH2D was the initial trigger for the disruption of intradomain interactions and the formation of STAT3 aggregates. This initial event, then, had broad consequences on many cellular processes starting with impairment of mSTAT3 functions. This was also associated with impaired STAT3 nuclear and transcriptional activity, although this occurred at later times and was not sufficient for the induction of cytotoxic effects in mitochondrial-depleted DU145 ρ^0

cells. Conversely, we observed rapid changes in mitochondrial activity after treatment with OPB-51602. Consistent with impaired mitochondrial function, the drug induced profound effects on mitochondria morphology, indicating an imbalance between fusion and fission events and accumulation of fragmented mitochondria (38, 39). Changes in mitochondrial dynamics and energy homeostasis are emerging as important elements in cancer (18, 19). These processes might be particularly relevant for tumor-initiating cancer stem-like cells, which exhibit greater metabolic plasticity (40, 41) and often increased reliance on mitochondrial functions (42–44). We show that the drug's lethal effects were directly related to the interference with mSTAT3 and mitochondrial function using isolated mitochondria from STAT3^{-/-} MEF and mitochondria-depleted cancer cells, which were insensitive to OPB-51602. Conversely, we found that conditions that increased the cell dependency on mitochondria, like glucose starvation, increased the response to STAT3i. This phenomenon was particularly evident in Ras-transformed cells compared with nontransformed prostate epithelial cells, indicating a potential selectivity of this approach toward cancer cells. Notably, limited nutrient and glucose availability are commonly faced by cancer cells in the tumor microenvironment *in vivo* (24, 45, 46).

Our study shows that the cell lethality induced by OPB-51602 derived from a combination of events, among which mitochondrial dysfunction and altered proteostasis had major roles. Mitochondria have an important function in preventing protein misfolding and aggregation (25). Mitochondrial dysfunction, particularly in glucose-depleted conditions, could contribute to the drug-induced accumulation of STAT3 aggregates. Furthermore, despite the ability of the autophagy and proteasomal machinery to remove protein aggregates (25–27), the progressive accumulation of aggregates caused the sequestration of essential components of the autophagy and proteasomal system and saturated the capacity of the cells to dispose of protein aggregates. Impaired autophagy and proteostasis compromised the survival of cancer cells under nutrient starvation. This combination of events can lead to a total collapse of cell functions and proteotoxic cell death (25–27, 47). Mitochondrial dysfunction, impaired proteostasis, and proteotoxic cell death are commonly seen in many neurodegenerative diseases (25–27, 47, 48). Our data indicate that cancer cells under specific growth conditions are highly susceptible to the metabolic imbalance and proteotoxic stress induced by STAT3i.

Importantly, the relevance of mSTAT3 and its mitochondrial functions, which emerges from this study, raises the possibility that features linked to the tumor metabolic status, such as the degree of mitochondrial or glucose dependency, could identify tumors more likely to respond to STAT3i (24, 42, 49, 50). Furthermore, metabolic reprogramming with increased reliance on mitochondrial function has emerged as an important mechanism for survival of tumor-initiating cells and development of therapy-induced resistance (42, 50–52). Our data suggest the interesting hypothesis that these phenomena might be specifically associated with enhanced vulnerability of cancer cells to STAT3i. Thus, OPB-51602 and other STAT3i could take advantage of the Achilles' heel represented by the specific metabolic dependencies

of cancer cells and tumor-initiating cells and elicit a metabolic synthetic lethality effect (53, 54).

The strategies used by cancer cells to ensure survival in the *in vivo* tumor microenvironment may vary depending on the tumor type, primary and metastatic sites, and epigenetically distinct cancer cell subpopulations (49, 55). Metabolic adaptation is emerging as a major mechanism of cancer cell survival and treatment resistance (20, 54). Decoding the processes involved in metabolic reprogramming in cancer cells, and particularly tumor-initiating stem-like cancer cells, is key to identifying novel targets and envisioning new therapeutic strategies (19, 56, 57). Our data indicate that STAT3 is an important factor in this context because of its involvement in key metabolic and survival pathways through both its nuclear and nonnuclear functions. Moreover, drugs like OPB-51602 block STAT3 in multiple cell compartments and interfere effectively with the metabolic flexibility essential for survival of cancer cells in the challenging tumor microenvironment.

Methods

Detailed description of reagents and protocols is provided in *SI Materials and Methods*.

Cell Lines and Reagents. All of the cell lines, including LHS and LHS-Ras cells, have been described previously (52). DU145 p⁺ cells were produced by continuous culturing in the presence of ethidium bromide (50 ng/mL) and were maintained in RPMI supplemented with uridine (10 mg/mL) and sodium pyruvate (1 mM). OPB-51602 and OPB-31121 were obtained from Otsuka Pharmaceuticals. Sources of all other chemicals and antibodies are indicated in *SI Materials and Methods*. Treatments were performed in complete RPMI, glucose-free RPMI, or conditioned medium. Cell fractionation was performed using *ProteoExtract* Subcellular Proteome Extraction Kit (Merck) or sucrose gradient centrifugation. Tumor-sphere formation and assessment of stem-like subpopulation (CD44⁺/CD24⁻ cells) by flow cytometry were performed as described previously (37). Mitochondria were isolated with Mitochondria Isolation Kit (Thermo Scientific). Mitochondria membrane potential was assessed with JC-1 or DIOC₆ (ENZO). OCR was measured on a Seahorse XFP Analyzer (Seahorse Bioscience). All assays were performed in triplicate and repeated in at least three independent experiments.

Animal Studies. All protocols were approved by the Swiss Veterinary Authority. DU145-Luc cells were injected subcutaneously in athymic male nude mice. Mice were treated with OPB-51602 in 5% arabic gum or vehicle. Tumor growth was monitored with a caliper and *in vivo* bioluminescence imaging using an IVIS Spectrum (Caliper LifeSciences). *Ex vivo* assays to assess stem-like cancer cells in tumor tissues were performed as previously described (36, 37).

Statistical Analysis. Differences between experimental groups were analyzed for statistical significance using unpaired two-tailed *t* test. *P* values ≤ 0.01 were considered statistically significant.

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