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## Measured Wavelength-Dependent Absorption Enhancement of Internally Mixed Black Carbon with Absorbing and Non-absorbing Materials

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### Abstract

Optical absorption spectra of laboratory generated aerosols consisting of black carbon (BC) internally mixed with non-absorbing materials (ammonium sulfate, AS, and sodium chloride, NaCl) and BC with a weakly absorbing brown carbon surrogate derived from humic acid (HA) were measured across the visible to near-IR (550 nm to 840 nm). Spectra were measured *in-situ* using a photoacoustic spectrometer and step-scanning a supercontinuum laser source with a tunable wavelength and bandwidth filter. BC had a mass-specific absorption cross section (*MAC*) of  $7.89 \pm 0.25 \text{ m}^2 \text{ g}^{-1}$  at  $\lambda = 550 \text{ nm}$  and an absorption Ångström exponent (*AAE*) of  $1.03 \pm 0.09$  ( $2\sigma$ ). For internally mixed BC, the ratio of BC mass to the total mass of the mixture was chosen as 0.13 to mimic particles observed in the terrestrial atmosphere. The manner in which BC mixed with each material was determined from transmission electron microscopy (TEM). AS/BC and HA/BC particles were fully internally mixed and the BC was both internally and externally mixed for NaCl/BC particles. The AS/BC, NaCl/BC and HA/BC particles had *AAEs* of  $1.43 \pm 0.05$ ,  $1.34 \pm 0.06$  and  $1.91 \pm 0.05$ , respectively. The observed absorption enhancement of mixed BC relative to the pure BC was wavelength dependent for AS/BC and decreased from 1.5 at  $\lambda = 550 \text{ nm}$  with increasing wavelength while the NaCl/BC enhancement was essentially wavelength independent. For HA/BC, the enhancement ranged from 2 to 3 and was strongly wavelength dependent. Removal of the HA absorption contribution to enhancement revealed that the enhancement was  $\approx 1.5$  and independent of wavelength.

### Graphical Abstract

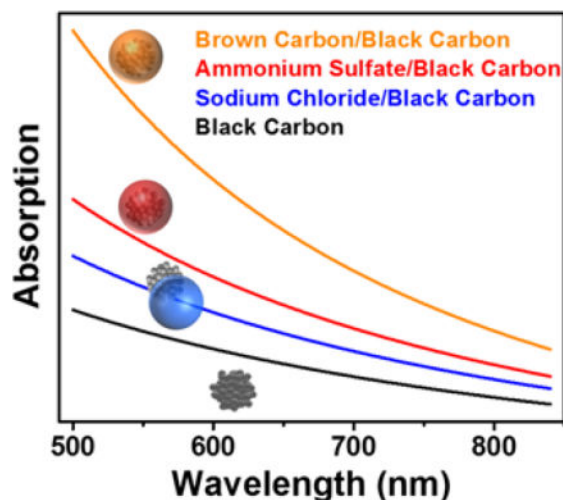
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#### Author Contributions

The manuscript was written through contributions of all authors. All authors have given approval of the final version of the manuscript.

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## INTRODUCTION

Black carbon (BC) is produced from the incomplete combustion of biomass and fossil fuels, and consists of layered graphitic carbon monomers 20 nm to 40 nm in diameter<sup>1</sup> that are aggregated into more complex structures that strongly absorb light across the visible spectrum.<sup>2</sup> It has been estimated that BC is the second largest contributor to global warming after CO<sub>2</sub>.<sup>3</sup> BC aggregates are often internally and/or externally mixed with other species (sulfate, nitrates, carbonaceous organics, etc.).<sup>4-12</sup> The resulting particle morphology, referred to here as the mixing state, can have BC surface bound, or partially- or fully-embedded (engulfed) with BC either centrally (concentric) or off-centrally (eccentric) located within the particle.<sup>8,13</sup>

Changes to the per particle absorption strength (i.e. absorption cross section) and spectral shape when other atmospheric components coat, embed in or mix with BC have drawn significant attention<sup>14-17</sup> as absorption is typically enhanced beyond the bare particle case. Consistent with previous investigations,<sup>17,20,22</sup> we define the wavelength dependent absorption enhancement factor ( $E_{Abs,\lambda}$ ) as the ratio of the absorption cross section ( $C_{Abs}$ ) of the embedded particle ( $C_{Abs,embed BC,\lambda}$ ) to that of the bare BC particle ( $C_{Abs,BC,\lambda}$ )

$$E_{Abs,\lambda} = \frac{C_{Abs,embed BC,\lambda}}{C_{Abs,BC,\lambda}} \quad (1)$$

where  $C_{Abs}$  is defined as

$$C_{Abs} = \frac{\alpha_{Abs}}{N} \quad (2)$$

and  $N$  is the number concentration of particles. The quantity  $\alpha_{Abs}$  represents the absorption coefficient and is the fractional loss in light intensity due to absorption per unit propagation distance.

Considering the size of particles and the thickness of embedding material relevant in the atmosphere (i.e. comparable to or smaller than the wavelength of light), absorption enhancement arises from the gradual step-wise refractive index change between the medium (air), embedding material and the absorbing material (BC in most cases); i.e. the embedding material facilitates improved refractive index matching between the medium and absorbing material thereby behaving similar to an antireflective coating.<sup>18</sup> The observed enhancement is strongly dependent upon the size and absorption strength of the absorbing material, embedding thickness and embedding material. Previous investigations have referred to this refractive index matching as lensing whereby the embedding material focuses light towards the absorbing core.<sup>17,19,20</sup> We note that while lensing may be an appropriate descriptor in the geometric optics regime (particles much larger than the wavelength of light) it is not appropriate to use at these sizes.

For an absorbing core comprised of 10 nm aggregates embedded in a 1  $\mu\text{m}$  non-absorbing shell the  $E_{Abs,\lambda}$  can be greater than 10. However, for atmospherically relevant particles, the  $E_{Abs,\lambda}$  is more modest and ranges between 1.5 and 1.9 for particles with shell diameters that are less than 1.6 times larger than the core diameter.<sup>14</sup> Ambient measurements show that the  $E_{Abs,\lambda}$  of BC can be up to 2.4.<sup>11,21–26</sup> The discrepancy between field measurements and theoretical calculations of internally mixed, embedded particles can be computationally reduced with the consideration of an eccentrically-located single BC core in an internally mixed embedded particle or by the application of discrete dipole approximation method (DDA) or multiple sphere T-matrix method (MSTM) to account for the complex aggregate morphology and the interaction between spherules.<sup>27–29</sup> Moreover, it has been shown computationally that the mixing state of BC has a great impact on the absorption enhancement factor.<sup>30</sup> In contrast to the ambient field-based observations, laboratory measurements tend to coincide more closely to  $E_{Abs,\lambda}$  predicted by the concentric sphere model.<sup>2,31–33</sup> Previous laboratory studies have concentrated on BC embedded in materials generated from the ozonolysis products from  $\alpha$ -pinene,<sup>34</sup> glycerol and oleic acid,<sup>32</sup> and dioctyl sebacate,<sup>21</sup> and can produce a BC core embedded in an organic shell (core-shell model). These investigations observed that the core-shell model can be adequately captured from current theory, but are limited in relevance to particles observed in many field studies.<sup>35</sup> Further, in ambient studies the embedding material must be physically removed to measure the absorption by the BC core. Removal of the embedding material is typically done by volatilization, although the efficacy of removal remains questionable.<sup>25,36</sup> To our knowledge, however, no laboratory study has been reported on the absorption enhancement of heterogeneous BC particles with different mixing states in a controlled manner.

In addition to  $E_{Abs,\lambda}$ , spectral shape can be affected by particle mixing state. The absorption spectral dependence is commonly described by the absorption Ångström exponent ( $AAE$ )

$$C_{Abs,\lambda} = C_{Abs,0} \left( \frac{\lambda}{\lambda_0} \right)^{-AAE} \quad (3)$$

where  $C_{Abs,\lambda}$  is the absorption cross section at wavelength  $\lambda$ . The terms  $C_{Abs,0}$ , and  $\lambda_0$  have been included as the values for a reference wavelength; presently,  $\lambda_0 = 550$  nm for comparison to prior work. The  $AAE$  for BC is typically quoted as being near unity and assumes a wavelength independent refractive index for particles  $< 40$  nm in diameter.<sup>37</sup> Recent modeling has shown that BC cores embedded within a non-absorbing matrix (50 nm embedding in a 300 nm BC core) can increase the  $AAE$  from an initial value of 1.3 up to 1.6.<sup>17</sup> BC can also be mixed or embedded with weakly absorbing carbonaceous materials derived from combustion of biomass and biofuels, termed brown carbon (BrC). BrC is typically quoted as having  $AAE$  values ranging from 1.5 to  $\approx 7.0$ .<sup>24,31,38–45</sup> Additional experimental studies are required to fully understand the impact of BC embedded within BrC.

Direct *in-situ* absorption measurements can be made using a photoacoustic spectrometer. Ideally, absorption measurements at many points spanning the visible portion of the spectral window would be collected to elucidate the wavelength dependence of embedded BC. In practice, four or fewer wavelengths are typically used as a sufficiently intense source (e.g. laser) is necessary to generate a measurable acoustic signal; further, the use of multiple sources may require the use of multiple acoustic resonators and data acquisition systems. It is possible to equip a photoacoustic spectrometer with a broad-band source such as an optical parametrical oscillator,<sup>46</sup> a Hg arc lamp<sup>47</sup> or supercontinuum laser<sup>48,49</sup> to acquire step-scanned absorption spectra. In the present study 7 points were used to comprise a spectrum, although only two wavelengths are required to fit Eq. 3, where it will reduce to the explicit form used extensively in prior studies.<sup>50–53</sup>

The discrepancy between ambient field-based measurements and computational-based predictions of absorption for spherical systems of BC in different mixing states requires measurements of well-characterized laboratory-based aerosol. This investigation describes absorption measurements of BC mixed with non-absorbing materials (ammonium sulfate and sodium chloride) and a weakly absorbing BrC surrogate, humic acid (HA); all of which are atmospherically relevant species. The mass mixing ratio ( $\chi_{BC}$ ) defined as the ratio of BC mass to the mass of the total mixture, was chosen as 0.13, similar to particles collected in the terrestrial atmosphere.<sup>13</sup> The absorption spectra were measured using a photoacoustic spectrometer coupled to a supercontinuum light source with a tunable wavelength and bandwidth filter. The measured data as a function of embedding material and mixing state were compared to  $E_{Abs}$  calculated using the T-matrix method.

## MATERIALS & METHODS

A schematic of the experimental setup used is shown in Figure 1. Aerosols were generated and conditioned before being passed to a differential mobility analyzer (DMA) and an aerosol particle mass analyzer (APM) to select the mobility diameter ( $D_p$ ) and mass ( $m_p$ ), respectively. Optical absorption was measured by a photoacoustic spectrometer (PA) that

utilizes a supercontinuum laser as its light source. A condensation particle counter (CPC) was situated downstream to measure aerosol number density. Using both mobility and mass selection facilitates isolation and selection of particles bearing a +1 charge. Care was used to ensure that only +1 particles were selected by measuring particle extinction as function of  $m_p$  as previously described in Radney and Zangmeister (2016).<sup>54</sup>

### Aerosol Generation and Morphological Characterization

BC was generated from Cab-O-Jet 200 (Cabot Corp., 20.03 weight percent solids), a material generated from the combustion of organic fuel stock.<sup>55</sup> This material was chosen for its water solubility and its spectroscopic and morphological similarities to aged BC (see discussions in Results section). Cab-O-Jet in solution consists of dispersed monomers  $\approx 30$  nm in diameter as evidenced by transmission electron microscopy (TEM) images (see Supporting Information Fig. S1). Atomization of a Cab-O-Jet solution produces water droplets containing multiple monomers. Upon drying, the monomers combine and collapse into a structure that appears similar to collapsed BC observed in the terrestrial atmosphere.<sup>56,57</sup>

A BrC surrogate (AAE > 4) consisting of humic acids (HA) was prepared from Ful-Humix (Faust BioAg Inc., 50 weight percent humic acids) by dissolving 500 mg in 15 mL of DI H<sub>2</sub>O. The solution was centrifuged for 30 min, the supernatant was decanted and collected, and centrifuged for an additional 30 min. The supernatant was again collected, combined and subsequently filtered to remove any residual solids. The filtered solution was then air dried. The density of the HA was measured in bulk (mass per unit volume) and calculated as the average effective density from the mass-mobility scaling relationship (see discussion in Results section and Supporting Information). From the residual dried solid material, a stock solution of 5 mg mL<sup>-1</sup> was prepared for absorption measurements. The non-absorbing materials, ammonium sulfate (AS, Sigma Aldrich) and sodium chloride (NaCl, Sigma Aldrich) were used as-received and stock solutions of 5 mg mL<sup>-1</sup> were prepared.

To mimic BC containing aerosol measured in Mexico City aerosol plumes,<sup>13</sup> internally mixed particles were produced by co-atomization of a single aqueous solution containing both BC and either AS, NaCl or HA at a 0.13 BC mass fraction ( $\chi_{BC}$ ) in a liquid jet cross flow atomizer (TSI 3076, 30 psig); BC volume fractions were 0.12, 0.15 and 0.11 for the AS/BC, NaCl/BC and HA/BC particles, respectively. At this pressure,  $\approx 2.2$  L min<sup>-1</sup> of flow is generated by the atomizer of which  $\approx 0.5$  L min<sup>-1</sup> was sampled and the remainder exhausted into a laboratory exhaust. Both Cab-O-Jet (BC) and HA required extensive drying due to their hygroscopic nature and extra effort was made to remove particle-bound water in order to avoid additional absorption enhancement<sup>48</sup> or potential photoacoustic response dampening<sup>58-61</sup> from water adsorption. After atomization, aerosols were passed through two SiO<sub>2</sub> desiccation dryers (TSI 3062) and a Nafion drying tube (PermaPure MD-700-48F-3) with the counter flow relative humidity (RH) held at < 5 %. After drying, particles were size selected by a DMA (TSI 3082) using 5 L min<sup>-1</sup> sheath flow and 0.5 L min<sup>-1</sup> aerosol flow. The particles were then mass-selected using an APM as described previously.<sup>48</sup>

For TEM measurements particles were collected using an electrostatic aerosol precipitator (TSI 3089) on TEM grids (200-mesh copper grids embedded with lacey carbon film) at  $-9.3$

kV collection voltage. The morphology and mixing states of the BC particles were imaged using a JEOL 2100 TEM at an accelerating voltage of 200 kV.

### Optical Measurements

Aerosol optical absorption spectra were measured using a PA spectrometer as described in Radney and Zangmeister (2015),<sup>48</sup> which can operate across a range spanning from visible to near IR ( $\lambda = 550$  nm to 840 nm) using a supercontinuum laser (NKT Photonics SuperK Extreme EXR-15) and tunable wavelength and bandpass filter (NKT Photonics SuperK Varia). The particles were illuminated by an intensity modulated laser (via a mechanical chopper) at the resonant frequency of the acoustic cavity ( $\approx 1640$  Hz at 296 K in ambient air).<sup>62</sup> Absorbed optical energy is thermally re-emitted generating a standing pressure wave (i.e. sound wave) at the modulation frequency that was measured by a calibrated microphone located at the resonator antinode, as described previously.<sup>48</sup> A lock-in amplifier (Stanford Research Systems, SR830) was employed for phase-sensitive detection allowing for the absorption coefficients to be calculated from

$$\alpha_{Abs} = \frac{\sqrt{(x-x_0)^2 + (y-y_0)^2}}{C_c \beta_m W_{pp}} \quad (5)$$

where  $x$ ,  $y$ ,  $C_c$ ,  $\beta_m$  and  $W_{pp}$  are the in-phase and quadrature signals measured by the lock-in amplifier, the acoustic cell constant, the microphone responsivity and the peak-to-peak laser power, respectively. For the current system  $C_c \beta_m$  is  $0.187$  V m W<sup>-1</sup>. The terms  $x_0$  and  $y_0$  have been included to account for the lock-in amplifier's small, but non-zero background signal measured when the laser is off.

### Modeling BC Optical Properties

The optical properties of bare BC and BC mixed with other components were modeled using the multiple-sphere superposition T-matrix method.<sup>63</sup> BC aggregates were constructed using a diffusion-limited aggregation algorithm<sup>64</sup> as described in Supporting Information. Calculated absorption of BC mixed with other species (i.e. AS, NaCl & BrC) assumed BC possessed a similar morphology to bare BC, except that the number of monomers was scaled relative to the mass ratio (0.13 mass ratio). Two cases were investigated, fully- and partially-embedded where either: 1) a single host sphere was used with the diameter identical to the  $D_p$  selected by the DMA, with the BC aggregate's center of mass overlapping that of the host sphere, or 2) half of the monomers in the BC aggregate were moved radially to the outside of the sphere and remained attached, respectively.

## RESULTS

### Characterization of Bare BC

Bare BC aerosol had a compact, spherical morphology comprised of 30 nm monomers. TEM images revealed monomers with discontinuous onion-like fringes, consistent with flame generated soot and indistinguishable from TEM images of aged soot in the

atmosphere,<sup>1</sup> see Fig. 2a. The measured mass-mobility scaling exponent  $D_{fm}$  was  $2.83 \pm 0.01$  consistent with the nearly spherical shape observed in TEM images. The effective density  $\rho_{eff}$  defined as

$$\rho_{eff} = \frac{6m_p}{\pi D_p^3} \quad (6)$$

was measured as  $(0.78 \pm 0.05) \text{ g cm}^{-3}$  over a  $D_p$  range between 100 nm and 300 nm. Figure 2b shows the measured  $C_{Abs}$  at  $\lambda = 600 \text{ nm}$ ,  $700 \text{ nm}$  and  $800 \text{ nm}$  of bare BC as a function of particle mass spanning from 0.47 fg to 10.48 fg;  $D_p = 100 \text{ nm}$  to  $300 \text{ nm}$  in 50 nm increments. The linearity at each wavelength indicates that the  $C_{Abs}$  scales with particle mass (i.e. Rayleigh regime) across the spectral region used in this investigation up to the pure 10.48 fg BC particle. For comparison, the average internally mixed AS/BC and NaCl/BC particles (see below) contained  $\approx 1.4 \text{ fg}$  and  $1.7 \text{ fg}$  of BC, respectively. Due to the wide mass range over which  $C_{Abs}$  is linear for pure BC particles, we expect the BC portion of the internally mixed particles to behave similarly.

A better resolved absorption spectrum ( $\lambda = 50 \text{ nm}$ ) for BC particles with a 250 nm mobility diameter corresponding to a BC mass of 6.3 fg is shown in Fig. 2c. Here the data has been plotted as  $C_{Abs}$  versus wavelength, instead of  $C_{Abs}$  versus mass as in Fig. 2b, as absorption depends only upon mass for all measured wavelengths (i.e. linearity of traces in Fig. 2b).<sup>65</sup> The  $MAC$  (defined as  $C_{Abs}/m_p$ ) at 550 nm is  $(7.89 \pm 0.25) \text{ m}^2 \text{ g}^{-1}$  and the  $AAE$  is  $1.03 \pm 0.09$  over the measured wavelength range, similar to the average  $MAC$  and  $AAE$  for BC reported in prior studies (all reported uncertainties are  $2\sigma$ ).<sup>19,66</sup>

The RI at 660 nm of bare BC was calculated as  $(1.77 \pm 0.02) + (0.80 \pm 0.02)i$ , see discussion in Supporting Information. A broad range of RIs for atmospheric BC are documented in the literature.<sup>67–69</sup> The RI of the bare BC measured in this study is at the upper end of the previously published RI values for atmospheric BC, but similar to other investigations for particles with compact morphology.<sup>19,68</sup> To validate the BC parameters used in subsequent calculations, the absorption spectrum of bare BC was calculated using the T-matrix method<sup>29</sup> using a refractive index of  $m = 1.77 + 0.80i$  and an aggregate structure calculated using DLCA with  $k_0 = 1.2$ ,  $D_f = 2.83$ ,  $N_m = 218$  and using the calculated BC RI (see Supporting Information). The BC RI was assumed to be wavelength independent as discussed in Bond and Bergstrom (2006).<sup>19</sup> Using these assumptions, the T-matrix is able to adequately capture the measured  $C_{Abs}$  and  $AAE$ , see solid red line in Fig. 2c.

### Characterization of Embedded BC Mixing State

The absorption spectrum of BC embedded in three atmospherically relevant materials was measured for two non-absorbing materials (AS and NaCl) and a surrogate for brown carbon, HA (i.e. Ångström exponent  $\approx 4$ ).<sup>17</sup> BC particles can be embedded with AS and/or other aerosol at a BC mass fraction ( $\chi_{BC}$ )  $\approx 0.1$ .<sup>13</sup> Particle mixing state and optical properties are influenced by the interaction between BC and the embedding material,<sup>13</sup> drying rate<sup>22</sup> and history.<sup>70</sup>

In the present investigation, TEM images show structural differences for AS/BC, NaCl/BC and HA/BC, see Fig. 3, for 250 nm mobility diameter particles. AS/BC and HA/BC formed spherical particles with BC aggregates fully embedded within an AS or HA shell (Fig. 3a and 3c, respectively). NaCl/BC particles have a cubic NaCl shell with BC aggregates protruding from the particle interior to its periphery (Fig. 3b). Further evidence of the particle mixing state was determined by utilizing the electron beam from the TEM to melt and desorb the embedding material and reveal the particle interior, showing the presence of BC aggregates (see Supporting Information Figure S6 for images showing time series of embedding material melting). For NaCl/BC, aggregates were observed both internal and external to the embedding material.

### Absorption of BC Embedded in Non-absorbing Materials

Below we focus on how mixing state influences particle absorption. We first concentrate on the optical properties of BC mixed with non-absorbing materials. Figure 4a shows the measured  $C_{Abs}$  spectra spanning between  $\lambda = 550$  nm and 840 nm for particles that were size and mass selected at  $D_p = 250$  nm and  $m_p = (13.50 \pm 0.06)$  fg and  $(11.48 \pm 0.06)$  fg for AS and NaCl, respectively with  $\chi_{BC} = 0.13$ . The absorption cross section is higher for the fully embedded in AS versus the partially embedded NaCl. The spectra of the embedded particles have higher  $AAEs$  than bare BC;  $1.43 \pm 0.05$  for AS/BC and  $1.34 \pm 0.06$  for NaCl/BC versus  $1.03 \pm 0.09$  for bare BC. The absorption enhancement of embedded BC ( $E_{Abs}$ ) is based on Eq. 1 with  $C_{Abs}$  of the BC core calculated from the particle mass, BC mass fraction and  $MAC$  of 250 nm particles:

$$E_{Abs,\lambda} = \frac{C_{Abs,embed\ BC,\lambda}}{m_p \chi_{BC} MAC_{BC,\lambda}} \quad (7)$$

At the shortest wavelengths,  $E_{Abs}$  is within  $2\sigma$  for both the AS/BC and NaCl/BC systems. Towards the long-wave side of the spectrum,  $E_{Abs}$  values for both systems differ as NaCl/BC has remained nearly constant and AS/BC has decreased.

Wavelength dependent  $E_{Abs}$  have been calculated previously for embedded BC using spherical particle Mie theory,<sup>17</sup> but to our knowledge the AS/BC data represent the first measured spectra showing a wavelength dependent  $E_{Abs}$  for embedded BC; the NaCl/BC  $E_{Abs}$  is wavelength independent across the measured spectral range. We used the T-matrix method to investigate if the method is able to capture the measured spectral dependence for each embedding material. Calculations were run for fully-embedded and half-embedded BC. The simulated enhancement spectra are shown in Fig. 4a for each case where the lower (dashed) and upper (solid) bounds represent the half-embedded and the fully-embedded cases, respectively. The region in between these two values represents mixing states migrating from half-embedded to fully- embedded BC, and assumes the BC aggregate's center of mass overlaps that of the host sphere. Moving BC aggregates off center results in less than 3% decrease in absorption cross section, consistent with previous calculations.<sup>26</sup> The corresponding simulated and measured  $AAEs$  are shown in Table 1.



## Absorption of BC with Absorbing Material

A weakly absorbing BrC surrogate material was isolated from humic acid extracts as described in the Materials & Methods. Upon atomization and drying, pure HA forms spherical particles with an average effective density of  $(1.5 \pm 0.1) \text{ g cm}^{-3}$ , as determined from the mass-mobility scaling relationship ( $D_{fm} = 3.10 \pm 0.04$ ). The RI of HA was determined to be  $(1.58 \pm 0.01) + (0.02 \pm 0.01)i$  at  $\lambda = 660 \text{ nm}$ . For T-matrix calculations, we assumed the real component of RI was invariant with wavelength and the imaginary part of the RI is well described by the absorption spectrum of the material in aqueous solution (see Supporting Information for HA refractive index determination and aqueous absorption spectrum). The absorption spectrum of HA aerosol is shown in Fig. 5a, and exhibits an *AAE* of  $5.31 \pm 0.14$ . The *MAC* of HA aerosol was  $(0.85 \pm 0.06) \text{ m}^2 \text{ g}^{-1}$  at 550 nm, nearly an order of magnitude lower than that of BC at the same wavelength, and is comparable to strongly absorbing BrC in prior work.<sup>71</sup>

At a  $\chi_{BC} = 0.13$ ,  $C_{Abs}$  increases by a factor of 2 to 3 relative to the pure HA at the same mobility diameter. The *AAE* of BC embedded in HA is  $1.91 \pm 0.05$ , lower than that of pure HA but higher than that of BC embedded in AS or NaCl. For modeling we adopted a single sphere model for the pure HA and a fully-embedded model for HA/BC particles, consistent with TEM images of BC embedded in HA. The T-matrix calculated  $C_{Abs}$  spectrum agrees well with the experimental results in each case, as shown by the solid lines in Fig. 5a.

The  $E_{Abs,\lambda}$  of BC embedded in HA was calculated using two methods. Using Eq. 1,  $E_{Abs,\lambda}$  includes the impact of HA absorption and enhancement of BC absorption. For the second method, the inherent relative contributions to absorption from HA is removed by redefining  $E_{Abs,\lambda}$  as

$$E_{Abs-HA,\lambda} = \frac{C_{Abs,embed BC,\lambda}}{(1-\chi_{BC}) m_p MAC_{HA,\lambda} + \chi_{BC} m_p MAC_{BC,\lambda}} \quad (8)$$

where  $MAC_{HA,\lambda}$  represents the *MAC* of the pure HA. Note that  $E_{Abs-HA,\lambda}$  when applied to BC embedded in HA, describes the  $E_{Abs}$  arising only from BC absorption enhancement and reduces to Eq. 7 when applied to the non-absorbing material. Both results are shown in Figure 5b. The  $E_{Abs,\lambda}$  shows an increase up to a factor of 3 at the shortest measured wavelengths and is 1.6 in the near-IR. Removing HA absorption from  $E_{Abs,\lambda}$  shows that much of the enhancement at short wavelengths is a result of HA absorption as seen by the relatively constant  $E_{Abs-HA,\lambda}$  in Fig. 5b. This trend was first predicted in prior calculations using spherical particle Mie theory, where the data are similar in shape and magnitude to 300 nm absorbing core with a weakly absorbing 100 nm thick embedding.<sup>17</sup>

## DISCUSSION

In this investigation the mass mixing ratio and mobility diameter of internally mixed BC and NaCl, AS or HA particles were held constant at  $\chi_{BC} = 0.13$  and 250 nm, respectively. The primary variables that differ between the embedding materials are density, refractive index and how each material interacts with BC under the conditions of aerosol generation to form

particles (mixing state). Below we highlight how each variable impacts  $E_{Abs,\lambda}$  using the simplest case of spherical particles. Due to density differences between the embedding materials, the  $\chi_{BC}$  corresponds to a 133 nm, 127 nm and 120 nm BC core embedded in NaCl, AS and HA, respectively. Using a core-shell model in conjunction with Mie theory to calculate  $E_{Abs,\lambda}$  for each of the non-absorbing core/shell diameters results in an  $E_{Abs,\lambda}$  that is  $4\% \pm 1\%$  higher for NaCl/BC than AS/BC over the 550 nm and 850 nm wavelength range studied here (see Supporting Information Figure S7). The refractive index of the coating material also affects the calculated  $E_{Abs,\lambda}$ . This is best shown by keeping the BC core diameter constant (127 nm) and varying the coating refractive index, where  $E_{Abs,\lambda}$  increases an additional 0.5% to 1% for NaCl/BC over AS/BC. Thus, for equivalent 250 nm particles using an idealized spherical core-shell model, the  $E_{Abs,\lambda}$  for NaCl/BC is calculated to be 5% to 6% higher than AS/BC. TEM images show that for NaCl/BC BC is partially embedded in NaCl, whereas BC is fully embedded by AS. Despite these differences, the measured  $E_{Abs,\lambda}$  are equivalent at the shortest measured wavelength region and is up to 15% higher in the near-IR for NaCl/BC, suggesting that using simple core-shell spherical models do not adequately capture  $E_{Abs,\lambda}$  for embedded particles.

The spectral shape and wavelength dependence of  $C_{Abs}$  can also be described by the measured  $AAE$  when compared to pure BC. The  $AAE$  for NaCl/BC and AS/BC are within the  $2\sigma$  measurement uncertainty, see Table 1, indicating that for BC mixed with non-absorbing materials the  $AAE$  is near 1.4 and independent of particle mixing state. For HA/BC the  $AAE$  is nearly 2. Prior modelling studies of BC embedded in absorbing and non-absorbing materials have classified the  $AAE$  into two regimes to help better describe measured ambient aerosol, where BC embedded with non-absorbing materials have an  $AAE < 1.6$ , whereas only BC embedded with an absorbing materials have  $AAE > 1.6$ .<sup>17</sup> Although this study covers a small parameter space of the possible aerosol combination of BC core diameters, core-shell ratios and BrC imaginary RI values, the presented data support this classification.

## ASSOCIATED CONTENT

Additional information regarding drop cast TEM images from a BC solution, determination of the refractive index of BC and BrC at  $\lambda = 660$  nm using a cavity ring-down spectrometer, the mass-mobility scaling relationship of BC and HA, the modeling parameters used T-matrix calculations, a comparison of Mie theory and T-matrix calculations for homogeneous and coated spheres, a comparison of BC MAC from Figure 2b and 2c, TEM images of particle melting and the influence of core/shell size and refractive index on absorption enhancement is provided. This information is available free of charge via the Internet at <http://pubs.acs.org/>.

## Supplementary Material

Refer to Web version on PubMed Central for supplementary material.

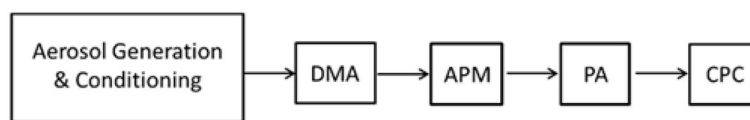
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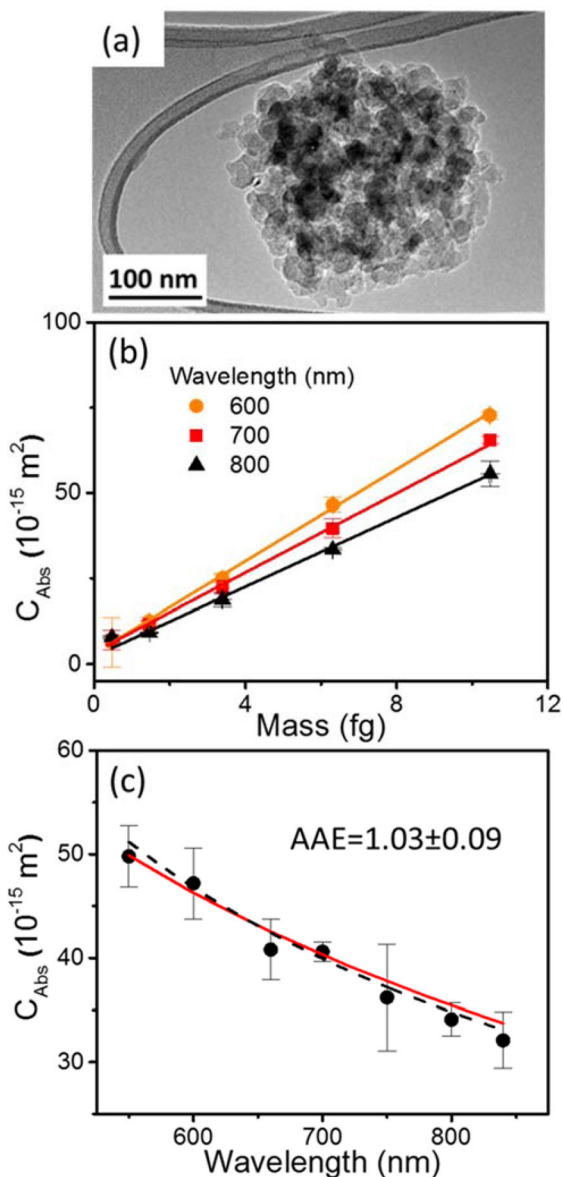
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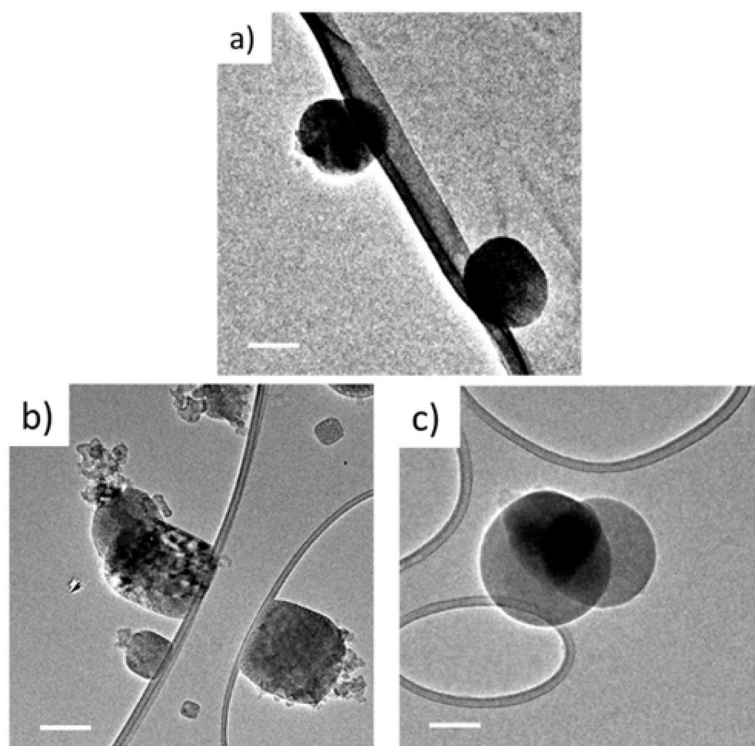


**Figure 1.** Experimental schematic used for the characterization of aerosol size, mass and optical absorption properties. Abbreviations: differential mobility analyzer (DMA), aerosol particle mass analyzer (APM), photoacoustic spectrometer (PA) and condensation particle counter (CPC).

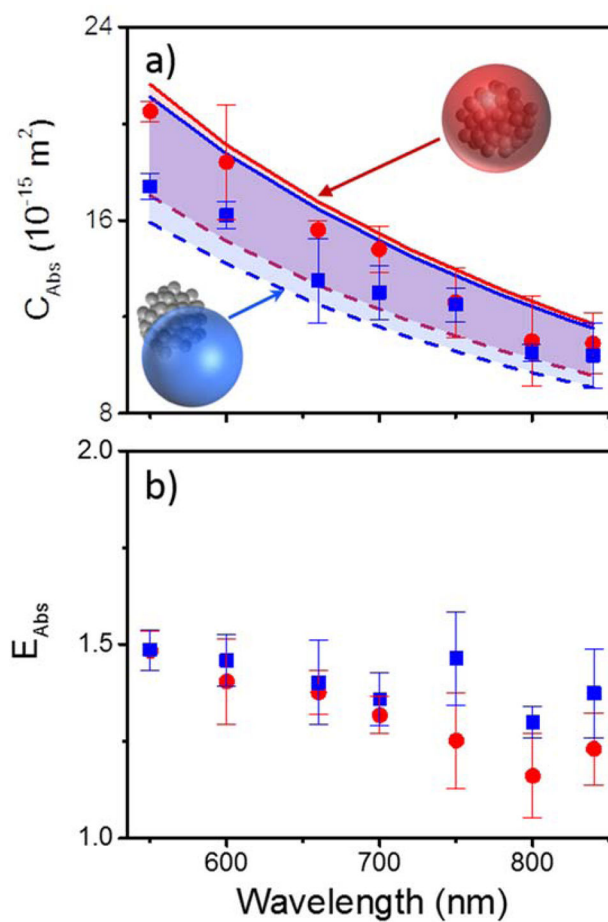


**Figure 2.** a) TEM image of bare 250 nm BC particles used in study. b) BC absorption cross section ( $C_{Abs}$ ) as a function of particle mass at  $\lambda = 600 \text{ nm}$ ,  $700 \text{ nm}$  and  $800 \text{ nm}$ . c) Absorption spectrum ( $C_{Abs}$ ) of 250 nm BC from  $\lambda = 550 \text{ nm}$  to  $840 \text{ nm}$ . Dashed black line represents AAE fit of the experimental data over full range. Solid red line represents calculated absorption spectrum utilizing T-matrix method described in text. Measured results are reported as experimental mean and  $2\sigma$  uncertainty propagated across all measurements.

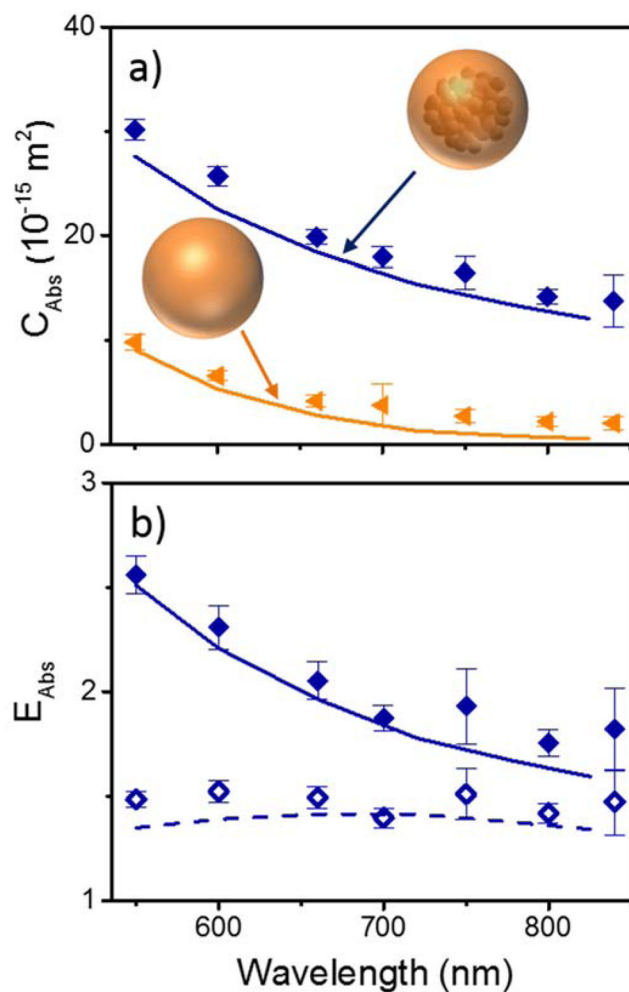




**Figure 3.** TEM images of 250 nm a) AS/BC, b) NaCl/BC, c) HA/BC. The scale bar corresponds to 100 nm.



**Figure 4.** a) Measured absorption cross section ( $C_{Abs}$ ) spectra of AS/BC (red circles) and NaCl/BC (blue squares) for 250 nm mass selected particles ( $\chi_{BC} = 0.13$ ) from  $\lambda = 550$  nm to 840 nm. Lines represent fully embedded (solid) and half embedded (dashed) BC for each system; the shaded regions represent mixing states in between these two extremes. b)  $E_{Abs}$  for AS/BC (red circles) and NaCl/BC (blue squares). Measured results are reported as experimental mean and  $2\sigma$  uncertainty propagated across all measurements.



**Figure 5.**  
 a) Measured absorption cross section ( $C_{Abs}$ ) spectra of pure HA (gold triangles) and HA/BC (blue diamonds) with  $\chi_{BC} = 0.13$  for 250 nm size-mass selected particles from  $\lambda = 550$  nm to 840 nm. Lines represent calculated  $C_{Abs}$  for each system. b)  $E_{Abs}$  for HA/BC including HA absorption (solid blue diamonds) and only including enhancement from HA after subtraction HA absorption (open blue diamonds); see Eq. 8 in discussion and text. Lines represent calculated  $E_{Abs}$  using fully internal mixing model for both definitions. Measured results are reported as experimental mean and  $2\sigma$  uncertainty propagated across all measurements.

**Table 1**

Measured and modeled *AAEs* for half- and fully-embedded AS/BC and NaCl/BC. Propagated uncertainties are shown along with the fit *AAEs*.

	Measured <i>AAE</i>	Calculated <i>AAE</i>	
		half-embedded	fully-embedded
AS/BC	$1.43 \pm 0.05$	1.36	1.45
NaCl/BC	$1.34 \pm 0.06$	1.32	1.41