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Nocturnal Autonomic Nervous System Activity and Morning Pro-inflammatory Cytokines in Young Adult African-Americans

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Abstract

Compromised sleep and increased sympathetic nervous system (SNS) activity are implicated in the pathogenesis of, and disparities in, cardiovascular disease. Parasympathetic dominance during sleep may be important for cardiovascular health. Sleep and autonomic balance influence immune activity which impacts atherogenesis. We evaluated relationships between autonomic balance during sleep and morning levels of the immune activating cytokines, C-reactive protein (CRP) and Interleukin 6 (IL-6). Ninety four (59 female) young adult African Americans without medical conditions and substance use disorders spent two consecutive nights in a clinical research unit for sleep recordings and blood drawing on awakening. Cardiac tracings from the 2nd sleep recording were analyzed for heart rate variability (HRV). BMI was the only non-HRV measure correlated with cytokine levels. Indicators of SNS activity for the pre-sleep, and first non-REM and REM sleep periods were independently correlated with morning IL-6 levels. Altered autonomic balance during sleep may be a modifiable factor that influences immune activation.

Keywords

Autonomic nervous system; sympathetic nervous system; heart rate variability; sleep; proinflammatory cytokines

Compromised sleep (e.g. short sleep duration, insomnia, sleep apnea) is associated with adverse health consequences including obesity (Cappuccio et al., 2008), diabetes (Ayas, et al., 2003; Beihl et al., 2009), hypertension and cardiovascular disease (CVD) (Ayas, et al., 2003; Phillips, et al., 2007), and early mortality (Gallicchio et al., 2009; Kripke, et al., 2002). These conditions disproportionately affect African Americans (Center for Disease

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Control and Prevention, 2011). African Americans are more likely to report short sleep duration than whites, and this appears to be influenced by living in high density urban environments (Hale et al., 2007).

Autonomic balance is determined by the relative contributions of the parasympathetic nervous system (PNS) and the sympathetic nervous system (SNS). The contribution of the SNS increases during exercise and stress. Persistent increases in SNS activity is an important contributor to the negative effects of stress on cardiovascular health (Guzzetti et al., 2002; Kohara, et al., 1995; Phillips et al., 2000).

During sleep, particularly non-rapid-eye-movement (NREM) stages, there is an increase in PNS and decrease in SNS activity (Bonnet et al., 1997; Burgess et al., 2004; Trinder et al., 2001). Evidence of adverse consequences from shifts towards PNS dominance during sleep being compromised includes observations of increased nocturnal SNS activity with blood pressure non-dipping which is a well-established cardiovascular risk factor (Kohara et al., 1995), the association of sleep apnea with increased nocturnal SNS activity and hypertension (Narkiewicz et al., 1997), and observations of blunted nighttime reduction of SNS activity in individuals with histories of myocardial infarction (Guzzetti et al., 2002). These associations suggest that PNS dominance during sleep may be important toward maintaining cardiovascular health.

Heart rate variability (HRV) is a non-invasive method that analyzes patterns in time series of consecutive R-peaks in the cardiac cycle and is used to assess autonomic nervous system (ANS) activity (Task Force of the European Society of Cardiology and the North American Society of Pacing and Electrophysiology, 1996). Evidence that the shift during sleep toward PNS dominance can be compromised with insomnia and stress includes a study by Bonnet et al. (1998), in which the HRV index of parasympathetic tone (normalized high frequency, nHF) was reduced among participants with insomnia, who showed increased low frequency/high frequency (LF/HF) ratios, the standard index of SNS activity, compared with normal sleepers. Our group examined nocturnal ANS in PTSD and resilience (Kobayashi, et al., 2014) and found greater nHF power with resilience and a strong positive relationship between sleep duration and nHF and negative relationship to LF/HF in the resilient group but not in the PTSD group.

SNS influences on immune activity is now considered an important mechanism by which sustained SNS activity adversely affects cardiovascular health (Marvar, et al., 2011). Immune activation is often indexed by blood levels of pro-inflammatory cytokines including interleukin-6 (IL-6), interleukin-1, C-reactive protein (CRP), and tumor necrosis factor (TNF – α). Elevated levels of these cytokines have been associated with decreased HRV (Haarala et al., 2011), and increased risk of cardiovascular and metabolic disease (Cesari et al., 2003; Pai et al., 2004). In a recent comprehensive review and meta-analysis of investigations relating sleep duration, sleep disturbance, and experimental sleep deprivation with immune markers, Irwin et al. (2015) concluded that the evidence relates sleep disturbance and sleep duration to IL-6 and CRP levels (and not TNF – α).

Given the associations of disturbed or altered sleep, increased SNS activity, and elevated pro-inflammatory cytokine blood levels (all of which have been independently associated with cardiovascular disease risk), we hypothesized that ANS activity during sleep would contribute to sleep's effect on immune activity. We evaluated this hypothesis by measuring CRP and IL-6 from morning blood samples and relating them to sleep measures, including HRV from the previous night, in young adult, urban residing African Americans, a population that is demographically at increased risk for future cardiovascular disease.

Method

Participants and Procedure

Participants of this study were the subsample of young adult African Americans who had completed a protocol consisting of two PSG recordings and blood drawing in the morning after the second PSG recording as a part of a larger study evaluating relationships of sleep, stress and nocturnal blood pressure. Participants were recruited from the Washington DC metropolitan region through flyers and referrals from previous participants. During initial phone and in-person evaluation, participants were screened for exclusionary criteria including: body mass index (BMI) ≥ 40 , > 5 cups of coffee/day or equivalent levels with any other caffeinated beverages, > 20 cigarettes/day, > 14 alcoholic drinks/week for men, > 7 drinks/week for women, chronic medical conditions that can affect blood pressure or sleep and required continuous medication use, severe psychiatric illnesses (psychotic disorders, bipolar disorder, severe recurrent depression), and habitual bedtime and rise time after 2 AM and 10 AM, respectively, or habitual napping > 1 hour/day. Individuals who were eligible for the study were invited to the Clinical Research Unit at Howard University Hospital, and after participants were further informed about the study procedure, they signed informed consent documents approved by the Howard University Institutional Review Board.

A total of 543 participants completed a self-report survey packet including demographics and health questionnaires and a measure of posttraumatic stress disorder (PTSD) symptoms. One hundred eighty-five participants who completed self-report surveys were selected to participate in the laboratory phase. The selection was made to over-sample participants with probable PTSD and balance for gender and community representation (Mellman et al., 2015). All participants were assessed with the Clinician Administered PTSD Scale (CAPS). We defined subthreshold PTSD (DSM-IV) as meeting 2 of the 3 diagnostic symptom clusters. The laboratory phase included a diagnostic interview for psychiatric disorders, urine screening, height and weight measurement, and two consecutive overnight PSG recordings in the Clinical Research Unit. Additional exclusionary criteria including sleep breathing disorder, positive urine toxicology or observed intoxication were assessed during the laboratory phase. Of the 185 participants selected, 104 provided a blood sample. Participants were excluded due to positive urine toxicology ($n = 2$) and other protocol violations ($n = 2$), and PSG data technical problems ($n = 6$); therefore, this analysis includes 94 participants (59 females; 35 males). Participant characteristics are presented in Table 1.

Measures

Polysomnography (PSG)—Two consecutive overnight PSG recordings in the Clinical Research Unit were completed with the first night being for apnea screening and lab accommodation. Participants who had Apnea Hypopnea Index greater than ten were excluded from the study. Recordings were collected using an Embla (Denver, CO) titanium portable unit and included bilateral frontal, central, and occipital leads, 2 electrooculograms, and chin electromyograms. Independent scoring required 90% concordance of epoch scoring for at least 3 records. Scorers visually scored sleep records on a computer monitor and designated the sleep stage of each 30-second epoch applying the American Academy of Sleep Medicine scoring rules (American Academy of Sleep Medicine, 2007). The REM logic scoring system (Embla) calculated standard PSG measures.

Heart rate variability—Electrocardiogram signals collected during the PSG recordings were used to assess frequency-domain HRV parameters. The sampling rate for the ECG was 250Hz. Electrocardiogram data were imported to LabChart Pro (ADInstruments, Colorado Springs, CO) and visually inspected on a computer monitor to confirm or correct identification of R-peaks. Segments with ectopic beats or artifacts that did not allow for R-peak identification were excluded. The Lomb periodogram (Clifford et al., 2005; Lomb, 1976) was performed to compute frequency domain HRV parameters including low frequency power (LF 0.04 – 0.15 Hz), high frequency power (HF, 0.15 – 0.4 Hz), and LF/HF ratios for each non-overlapping 5-minute epoch. For the purpose of this study HRV parameters were computed for the 5-minute period prior to sleep onset and the first and last REM and NREM sleep periods that lasted 5 minutes.

IL-6 and CRP—Blood samples were obtained from participants by venipuncture within 15 minutes of awakening in the morning after the second night PSG recording. After clotting, blood samples were centrifuged and serum was collected and stored in a freezer at -70°C . IL-6 and CRP levels were detected and quantified using enzyme-linked immunosorbent assays (ELISA) (Quantikine HS ELISA Human IL-6 Immunoassay kit, R&D Systems, Minneapolis, MN and High sensitivity C-reactive protein ELISA kit, Calbiotech, Spring Valley, CA), and a microplate spectrophotometer.

Data Analyses

All data were analyzed using SPSS 22.0 (IBM), and $\alpha = .05$ (two tailed) was applied for all analyses. Data were checked for normality. Log transformations were performed on IL-6 and CRP levels and pre-sleep LF/HF, first and last NREM LF/HF, and first and last REM LF/HF. Pearson correlations were computed between IL-6, CRP, HRV parameters, sleep measures, and participant characteristics. Hierarchical regression analyses were conducted to examine whether HRV parameters that were significantly correlated with IL-6 or CRP continued to predict IL-6 or CRP after controlling for BMI, which was significantly correlated with the cytokine levels. Separate regression analyses were performed for each predictor.

Results

Correlation coefficients between cytokines, HRV parameters, sleep measures and participant characteristics are presented in Table 2. BMI was the only clinical and non-HRV measure that was significantly correlated with IL-6 and CRP. In addition to the absence of a relationship to PTSD severity, *t*-tests did not reveal differences between IL-6 and CRP as a function of PTSD diagnosis (PTSD = 25, No PTSD = 69; $t(1, 92) = 0.953$; $p = .343$; $t(1, 92) = 1.403$, $p = .164$). Normalized HF was also not correlated with IL-6 or CRP. IL-6 was significantly positively correlated with LF/HF during the pre-sleep, first NREM, and first REM periods. CRP was significantly positively correlated with first REM LF/HF. LF/HF during the last NREM and REM sleep periods were not correlated with either IL-6 or CRP. The hierarchical regression analyses showed after controlling for BMI, pre-sleep, first NREM and REM LF/HF continued to be significantly associated with morning IL-6 levels ($\beta = .252$, $p = .019$, $R^2 = .063$; $\beta = .260$, $p = .010$, $R^2 = .067$; $\beta = .204$, $p = .044$, $R^2 = .040$; respectively) while LF/HF during the first REM period was no longer significantly associated with CRP ($\beta = .166$, $p = .098$, $R^2 = .027$). SDRR was only correlated with IL-6 during the pre-sleep period. When we added SDRR to the regression model, it did not significantly account for additional variance beyond BMI.

Discussion

The present study was conducted to examine relationships between nocturnal autonomic nervous system activity during sleep, evaluated by HRV indices, and morning levels of the pro-inflammatory biomarkers IL-6 and CRP. Findings of this study show that LF/HF ratios from pre-sleep, first NREM and first REM, but not from the last NREM or REM sleep periods were significant predictors of IL-6 after controlling for BMI. The nature of these relationships is consistent with the half-lives reported for the respective cytokines, 2–4 hours for IL-6 and 20 hours for CRP (Marino et al., 2008). Thus effects of autonomic activity on IL-6 secretion during the first half of the sleep period would likely be reflected by morning levels, whereas effects on longer enduring CRP would not necessarily be evident the next morning. Our findings are consistent with the emerging research linking immune activation to SNS arousal (Marvar et al., 2011). Given the absence of a relationship to standard PSG measures in our study, autonomic arousal may be a mechanism underlying the influence of compromised sleep on immune activation. The possible influence of nocturnal autonomic activity influencing immune activation is also supported by Irwin et al.'s finding a relationship between nocturnal circulating norepinephrine and compromised immune function with insomnia (Irwin, et al., 2003). These findings suggest the possibility that decreasing sympathetic nervous system activity prior to sleep, could reduce immune activation. It would be of interest to determine if recent popular approaches from complimentary medicine such as mindfulness, as well as increased daytime physical activity, favorably influence this mechanism.

Study limitations include utilizing only a single blood drawing and measurement of two cytokines, albeit the two most consistently linked to sleep (Irwin et al., 2015). Sleep was recorded and samples were obtained in a clinical laboratory setting in which sleep and related processes can vary from naturalistic settings. Further, interpretation of HRV

parameters used in this study needs to be done with caution as it has been suggested that LF power is also contributed by parasympathetic nervous system (Reyes del Paso et al., 2013). In addition to this, menstrual cycle was not controlled for as this may have had an impact on **autonomic** nervous system balance. The present study focused on young adult African Americans which may limit generalizability to other populations, however, the study population is of high significance as the participants are demographically at elevated risk for adverse cardiovascular outcomes and interventions could have preventive benefits during early adulthood.

The possibility suggested by our preliminary findings, that autonomic activity during sleep influences cytokine activity, requires confirmation by more comprehensive evaluations, as there is still much variance that is not explained. Prospective studies are needed in order to evaluate nocturnal autonomic nervous system activity as a modifiable contributor to atherogenesis. The significance of further establishing such relationships is underscored by elevated autonomic arousal prior to sleep onset and during the early hours of sleep being a potentially modifiable contributor to processes that accelerate atherogenesis and related cardiovascular dysfunction in populations at risk.

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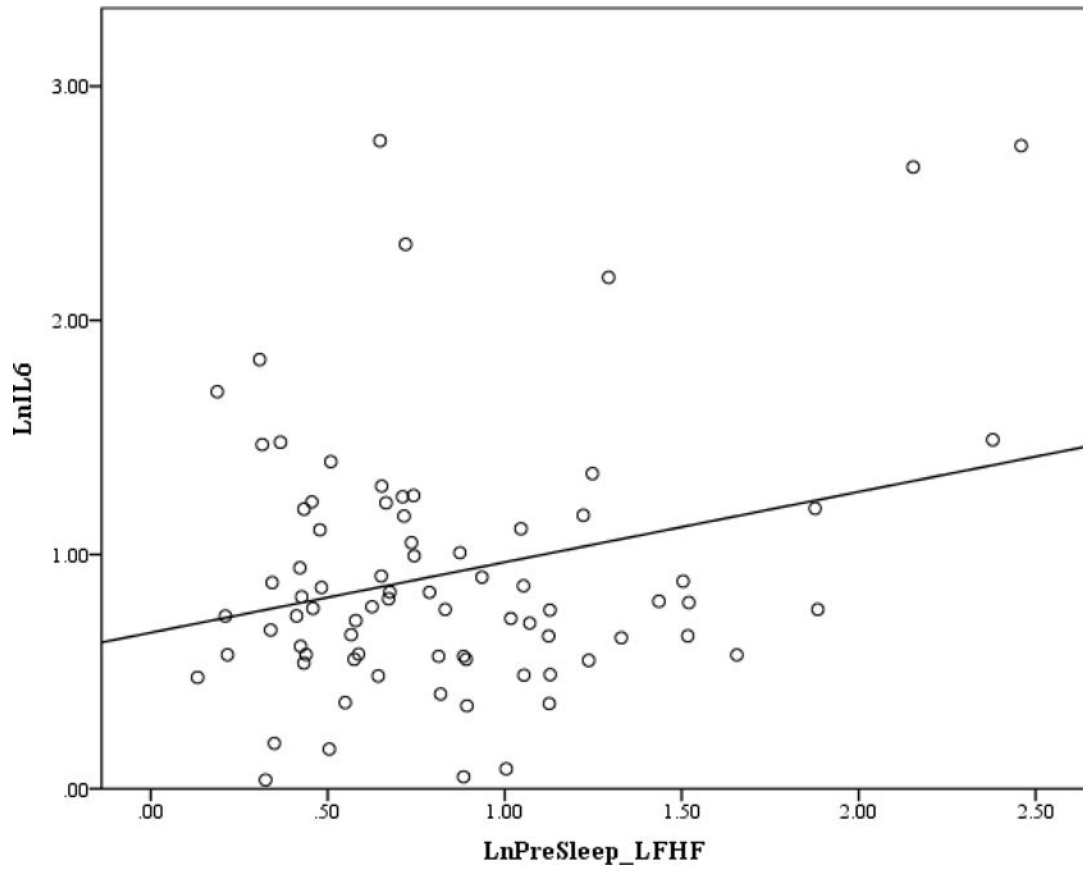


Figure 1. Scatterplot for pre-sleep LF/HF and IL-6
Note. Pre-sleep LF/HF and IL-6 were log transformed.

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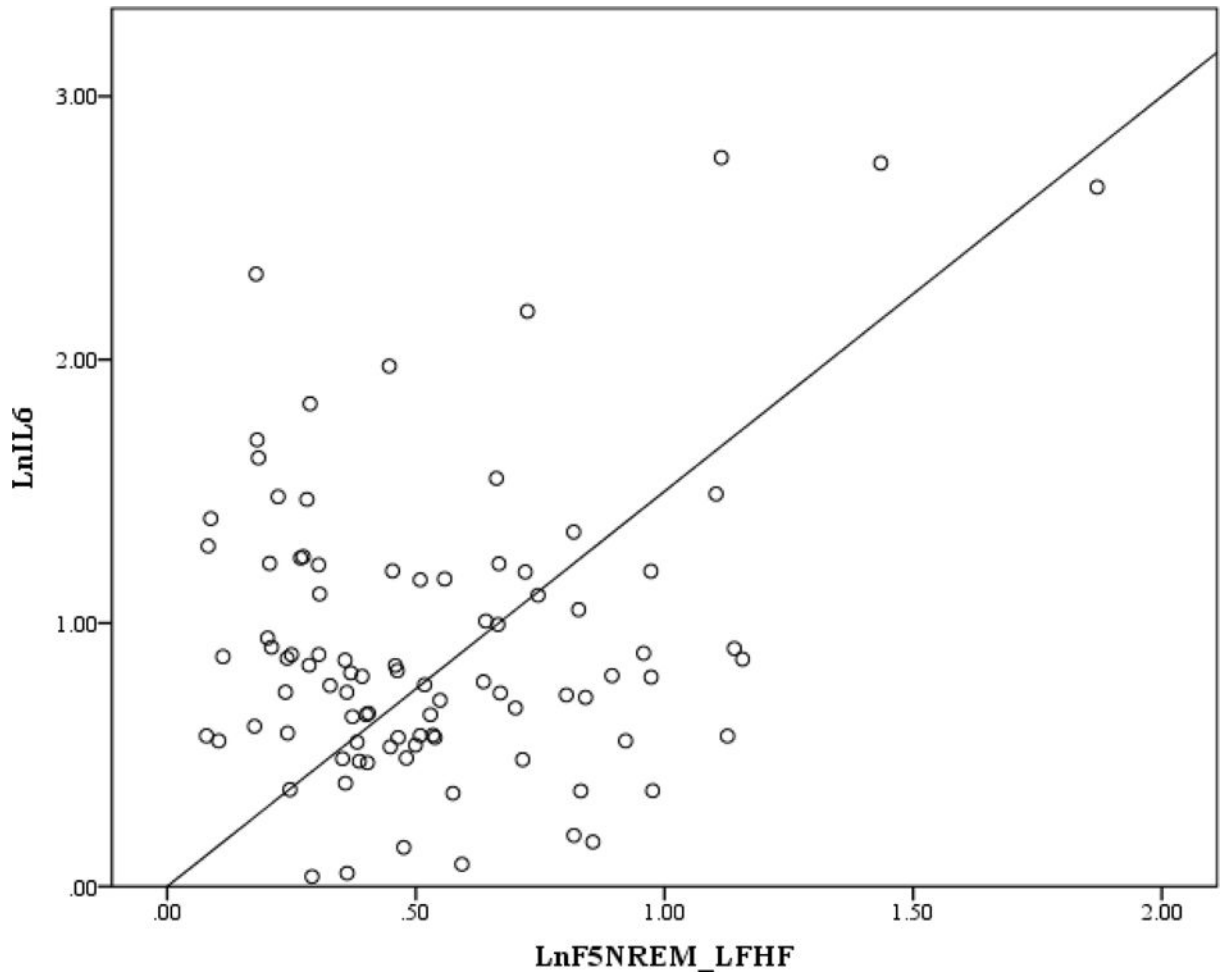


Figure 2. Scatterplot for first Non-REM LF/HF and IL-6
Note. First non-REM LF/HF and IL-6 were log transformed.

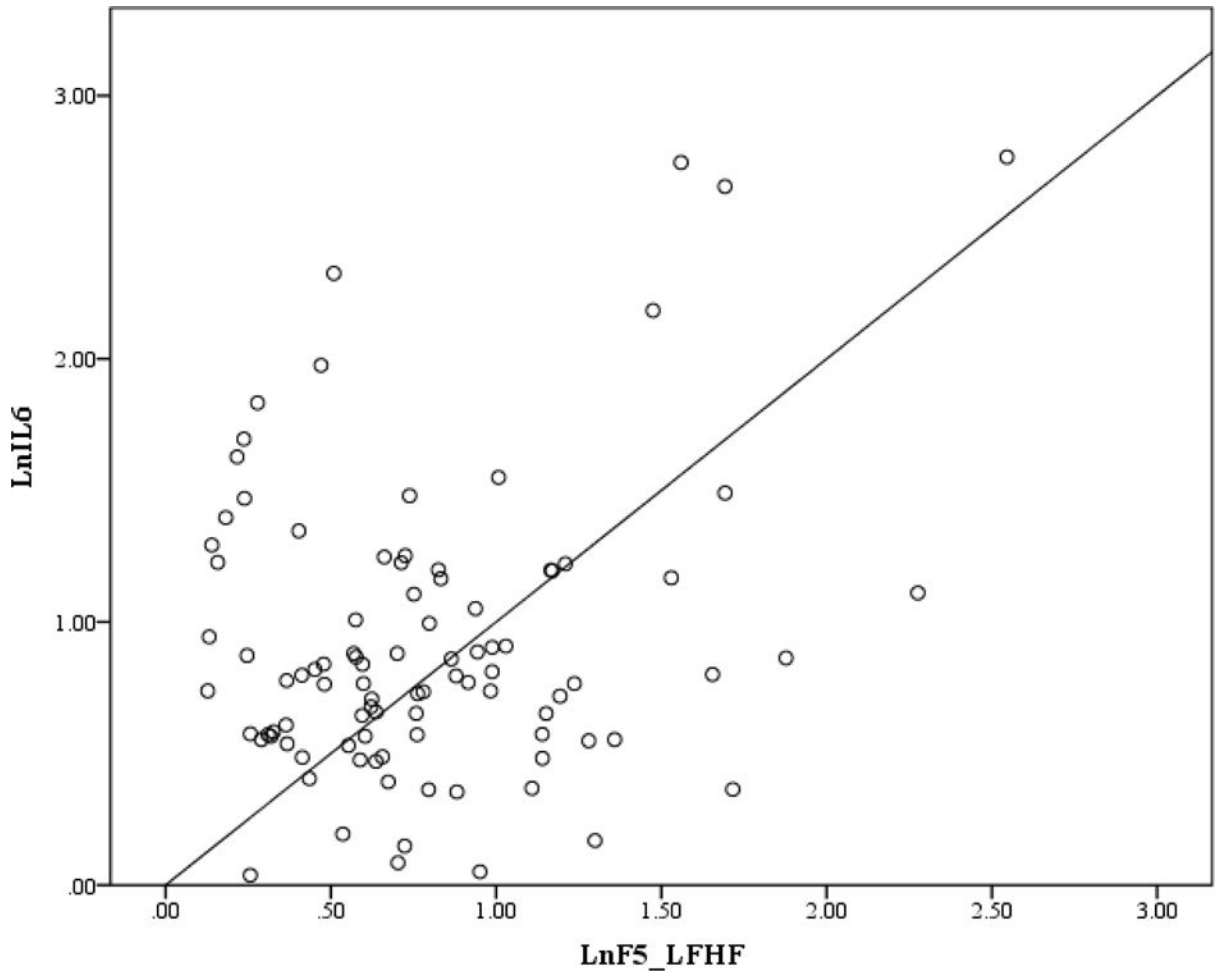


Figure 3. Scatterplot for First REM LF/HF and IL-6
Note. First REM LF/HF and IL-6 were log transformed.

Table 1

Participant characteristics and sleep measures.

	<i>n</i>	Mean	SD
Female	59 (63%)		
Male	35 (37%)		
Trauma exposure	77 (82%)		
Full PTSD	11 (12%)		
Subthreshold PTSD	14 (15%)		
PTSD Severity (CAPS) ^a		19.30	19.99
Age		22.57	4.50
Body mass index		25.10	4.52
Total sleep time (min)		386.10	74.40
WASO		27.496	25.32
Sleep efficiency (%)		86.03	10.08
N1 (%)		2.43	1.68
N2 (%)		52.24	7.89
N3 (%)		24.18	9.15
REM sleep (%)		21.12	5.90
REM latency (min)		80.57	37.64
Pre-Sleep LF/HF		1.69	1.92
First NREM LF/HF		.81	.76
First REM LF/HF		1.53	1.72
Last NREM LF/HF		.8649	.8664
Last REM LF/HF		1.44	2.08

Note. *N* = 94. PTSD = posttraumatic stress disorder. REM = rapid-eye-movement. Subthreshold PTSD participants met diagnostic criteria for two of the three PTSD symptom clusters.

^a Among 77 with trauma exposure

Table 2

Pearson correlation coefficients between demographic, sleep measures, IL-6 and CRP, sleep HRV parameters.

	IL-6	CRP
Gender	-.184	-.142
Body mass index	.287**	.319**
Age	.192	.122
CAPS	-.017	-.015
TST	-.012	-.034
REM (%)	-.027	-.098
N3 (%)	-.012	-.060
Pre-sleep LF/HF	.376**	.154
First NREM LF/HF	.347**	.085
Last NREM LF/HF	.142	.149
First REM LF/HF	.349**	.237*
Last REM LF/HF	.026	.137
Pre-sleep SDRR	-.258**	-.152
First NREM SDRR	-.124	-.119
First REM SDRR	-.032	-.069
Last NREM SDRR	-.070	-.175
Last REM SDRR	-.122	-.145

Note.

*
 $p < .05$,

**
 $p < .01$,

 $< .001$. n varied between 75 and 94 due to missing data. Gender code: 0 = women, 1 = men IL-6 = interleukin-6. CRP = C-reactive protein. LF/HF = low frequency to high frequency ratio. SDRR = Standard deviation of R-R interval. TST = Total sleep time.