



HHS Public Access

Author manuscript

JAMA Psychiatry. Author manuscript; available in PMC 2017 July 11.

Published in final edited form as:

JAMA Psychiatry. 2017 April 01; 74(4): 407–415. doi:10.1001/jamapsychiatry.2016.3325.

An Integrated Neuroscience Perspective on Formulation and Treatment Planning for Posttraumatic Stress Disorder An Educational Review

David A. Ross, MD, PhD,

Department of Psychiatry, Yale School of Medicine, Yale University, New Haven, Connecticut

Melissa R. Arbuckle, MD, PhD,

Department of Psychiatry, Columbia University Medical Center, New York, New York; New York State Psychiatric Institute, New York

Michael J. Travis, MD,

Department of Psychiatry, University of Pittsburgh School of Medicine, Pittsburgh, Pennsylvania

Jennifer B. Dwyer, MD, PhD,

Department of Psychiatry and Child Study Center, Yale School of Medicine, Yale University, New Haven, Connecticut

Gerrit I. van Schalkwyk, MBChB, and

Department of Psychiatry and Child Study Center, Yale School of Medicine, Yale University, New Haven, Connecticut

Kerry J. Ressler, MD, PhD

McLean Hospital, Harvard Medical School, Belmont, Massachusetts

Abstract

Importance—Posttraumatic stress disorder (PTSD) is a common psychiatric illness, increasingly in the public spotlight in the United States due its prevalence in the soldiers returning from combat in Iraq and Afghanistan. This educational review presents a contemporary approach for how to incorporate a modern neuroscience perspective into an integrative case formulation. The article is organized around key neuroscience “themes” most relevant for PTSD. Within each theme, the article highlights how seemingly diverse biological, psychological, and social perspectives all intersect with our current understanding of neuroscience.

Observations—Any contemporary neuroscience formulation of PTSD should include an understanding of fear conditioning, dysregulated circuits, memory reconsolidation, epigenetics, and genetic factors. Fear conditioning and other elements of basic learning theory offer a

Corresponding Author: David A. Ross, MD, PhD, Department of Psychiatry, Yale School of Medicine, Yale University, 300 George St, Ste 901, New Haven, CT06511 (david.a.ross@yale.edu).

Conflict of Interest Disclosures: Drs Ross, Arbuckle, and Travis, ascochairs of the National Neuroscience Curriculum Initiative, receive support from the National Institutes of Health (R25 MH10107602S1 and R25 MH086466 07S1).

Additional Contributions: Katherine Blackwell, MD, PhD (Institute of Living), and Steven Southwick, MD (Yale School of Medicine), provided thoughtful feedback and contributions in the drafting of this manuscript. There was no compensation for the work.

framework for understanding how traumatic events can lead to a range of behaviors associated with PTSD. A circuit dysregulation framework focuses more broadly on aberrant network connectivity, including between the prefrontal cortex and limbic structures. In the process of memory reconsolidation, it is now clear that every time a memory is reactivated it becomes momentarily labile—with implications for the genesis, maintenance, and treatment of PTSD. Epigenetic changes secondary to various experiences, especially early in life, can have long-term effects, including on the regulation of the hypothalamic-pituitary-adrenal axis, thereby affecting an individual's ability to regulate the stress response. Genetic factors are surprisingly relevant: PTSD has been shown to be highly heritable despite being definitionally linked to specific experiences. The relevance of each of these themes to current clinical practice and its potential to transform future care are discussed.

Conclusions and Relevance—Together, these perspectives contribute to an integrative, neuroscience-informed approach to case formulation and treatment planning. This may help to bridge the gap between the traditionally distinct viewpoints of clinicians and researchers.

In the Clinical Challenge in this issue of *JAMA Psychiatry*,¹ we describe the case of a soldier who experienced multiple life-threatening events during a military deployment and then struggled with a number of problems on his return home.

Although the details of the case are contemporary, the overall arc of the narrative is hardly new. Through much of history there are accounts of similar individuals who, following exposure to a life-threatening event, have struggled to readjust to “normal” life. These accounts include descriptions in *The Odyssey* of soldiers returning from the Trojan war and of a survivor of the Great Fire of London in the 1600s.^{2,3} At different times in history, various names have been used to describe the broad phenomenon of difficulty recovering from combat experiences, including *nostalgia* or *soldier's heart* (Civil War), *shell shock* (World War I), *battle exhaustion* (World War II), and *post-Vietnam syndrome*. Although some core features are similar across these entities, each has distinct aspects reflecting the unique time and culture.

In 1980, in part because of political factors, the *DSM-III* introduced the diagnosis of posttraumatic stress disorder (PTSD). These criteria were held constant until publication of the *DSM-5* in 2013⁴ (although experts continue to debate this nosology, including vis-à-vis what constitutes a traumatic experience and the role of complex neuroscience domains in diagnosis).^{5,6}

Phenomenologically, most individuals who are exposed to traumatic events experience transient aftereffects that resolve within the first month (eg, numbness or hyperemotionality, nightmares, anxiety, and hypervigilance). In a minority of individuals (approximately 10%-20%, depending on the type of trauma), these symptoms may persist and cause lasting and potentially debilitating dysfunction.⁷

With PTSD—perhaps more than with other psychiatric illnesses—it is critical to recognize the context of each individual's personal history: prior experiences (including trauma or resilience), belief systems, culture, social supports, and myriad other exacerbating and protective factors. As psychiatrists, we aspire to treat people rather than diseases—doing so

requires a broad approach that incorporates diverse clinical perspectives. Within this complexity, a range of biological factors play crucial roles. To this end, we review a set of core neuroscience themes relevant to PTSD.

Theme 1: Fear Conditioning

Any conversation about the neurobiology of PTSD needs to begin with what happens in the brain following a traumatic event. How does the brain, from the lowest vertebrates to humans, reflexively respond to a life-threatening event to ensure survival? We study this process through a behavior called *fear* (or *threat*) *conditioning*, a form of classical conditioning in which an innate response to an unconditioned stimulus (eg, a shock or other unexpected painful stimulus) becomes associated with another previously neutral (conditioned) stimulus. From an evolutionary perspective, this form of learning is highly adaptive: it is very beneficial to know—and thereby avoid—contextual cues that may predict dangerous outcomes.

When an individual experiences a traumatic event (eg, as happened to the soldier described in the Clinical Challenge¹), the physiologic response to the trauma can become paired with previously neutral environmental cues. Long after the precipitating traumatic event, environmental cues will continue to serve as triggers for a similar physiologic response. This process corresponds to the *DSM-5* symptom of intense or prolonged distress after exposure to traumatic reminders⁴ (Table). The patient may be consciously aware of these triggers, such as walking on a city street or being in the desert. Importantly, there may also be subtle contextual cues that induce symptoms of fear and anxiety without conscious awareness of the trigger (eg, fleeting peripheral movement, an unexpected object at the side of the road, or even the aroused emotional response of asexual partner). The physiologic responses of increased startle, hypervigilance, increased heart rate and respiration, dry mouth, and emotional reactivity and defensive behavior may all be triggered by these experiences, with the most extreme experiences activating a *flashback* in which the patient has temporary difficulty separating past traumatic experiences from the present. Figure 1 shows a diagram of the basic neural circuits that are relevant to fear conditioning.⁸

On multiple levels, it is not surprising that individuals exposed to trauma would avoid situations that remind them of these events. This process reflects a form of operant conditioning (Box) known as *negative reinforcement*, that is, when a behavior that leads to the avoidance or removal of an aversive stimulus is increased in frequency.⁹ This process correlates with the *DSM-5* category C avoidance symptoms of PTSD.⁴ For example, a patient exposed to an ambush while traveling in a military convoy abroad may subsequently avoid driving on major roads at home so as to prevent the physiologic and affective response that occurs with trauma reminders. As described in the accompanying Clinical Challenge,¹ because speaking about traumatic experiences may be a potent trigger of negative affect, the patient may also avoid therapy. This avoidance is a significant barrier to treatment and may underlie recent concerns about certain forms of therapy being less effective in the real world.^{10,11}

Fear conditioning and the avoidance of conditioned contextual cues are adaptive in a dangerous environment—they support survival. However, the same behaviors become maladaptive when one is returned to a safe environment, where rational, nonreactive, and socially “appropriate” responses are preferred over defensive reflexes. In this regard, ongoing PTSD in the aftermath of trauma exposure may be thought of as a failure to *unlearn* adaptive thoughts and behaviors on the return to a safe place.¹²

The best evidence-based treatments for PTSD are forms of psychotherapy that are designed to reverse the lasting impact of fear conditioning (eg, prolonged exposure therapy and cognitive processing therapy).^{13,14} To do so, patients are encouraged to engage and process traumatic memories in the absence of the feared outcome. Early on in treatment, patients may experience increased anxiety as they engage with these difficult memories. However, over time, exposure to the conditioned stimulus in a safe environment without the expected adverse outcome can lead to habituation (weakening of the intensity of response to a stimulus over time) and extinction (the conditioned stimulus is no longer associated with the aversive unconditioned stimulus) (Box). A visual schematic of these opponent processes is shown in Figure 2. Helping patients understand this process—critically, including the role of negative reinforcement and avoidance in perpetuating symptoms, and that these are robust neurobiological phenomena—may improve patients' motivation, decrease their self-doubt about recovery, and improve their ability to engage in therapy.

Future Directions

One promising line of inquiry is the use of plasticity-enhancing agents, such as D-cycloserine, a partial agonist of the N-methyl-D-aspartate receptor, to augment the effects of psychotherapy. By increasing the brain's capacity for learning, these medications may allow patients to complete an exposure-based therapy more rapidly, as shown in studies of acrophobia.^{15,16} Although D-cycloserine itself has limitations,¹⁷ enhanced plasticity may be a shared mechanism of action by which other medications benefit patients with PTSD: for example, a known downstream effect of selective serotonin reuptake inhibitors is to increase brain-derived neurotrophic factor and thereby enhance plasticity.¹⁸

A different approach to treatment may be to interfere with the initial process of fear conditioning. The strength of an initial memory will depend on many factors (eg, it may be increased in the context of elevated norepinephrine levels, as seen in trauma). There is also a temporal window during which the consolidation of this initial memory occurs. Thus, in some circumstances, it may be possible to disrupt or diminish the strength of the initial encoding. This principle underlies both medication trials (eg, with propranolol and opiates) to potentially prevent the onset of PTSD and, similarly, forms the rationale for early cognitive-behavioral interventions.^{19,20}

Theme 2: Dysregulated Circuits

Some of the earliest research findings with PTSD suggested abnormalities in regulation of the sympathetic nervous system and the hypothalamic-pituitary-adrenal (HPA) axis.²¹ This hypothesis led to clinical trials with adrenergic blockers (eg, clonidine and prazosin) that ultimately were not shown to be effective, although recent research has found prazosin to be

effective for treating trauma-related nightmares, in part through its α -1 antagonist properties in normalizing sleep.²² The most common HPA dysregulation finding included enhanced cortisol suppression following low-dose dexamethasone treatment.^{23,24} These data suggested enhanced sensitivity to glucocorticoid activation. More recent work has also suggested that the stress response system may be hyperreactive to triggers, both in the magnitude of response and in the time it takes to return to baseline.²⁵ Figure 3 illustrates aspects of the above-described commonly observed aspects of HPA dysregulation seen in individuals with PTSD.

Connecting this work back to the basic circuit diagrammed in Figure 1, a core aspect of normal functioning is the reciprocal inhibition between the medial prefrontal cortex (mPFC) and the amygdala: during stress, limbic activation inhibits PFC functioning; conversely, PFC activity is able to inhibit the amygdala and, resultantly, decrease the stress response. Individuals with PTSD may have a regulatory imbalance in which amygdala activation is exaggerated while the function of the PFC is diminished. Much work on the output of amygdala activation has led to a greater understanding of many of the downstream neural pathways that mediate the enhanced startle response, hyperarousal, increased heart rate, and other core aspects of response to fear and threats.²⁶⁻³⁰

From this perspective, a wide range of treatments for PTSD may share a central therapeutic mechanism of restoring balance between PFC and amygdala function. Selective serotonin reuptake inhibitors may exert their benefit by decreasing hyperreactivity in the amygdala.³¹ In addition, different forms of psychotherapy may help individuals restore top-down (PFC) control to regulate arousal and anxiety. A helpful line of inquiry comes from research into the phenomenon of *resilience*. This area of work aims to identify factors that protect individuals from developing PTSD. Resilient individuals have been shown to have better regulation of their stress response, mediated by a number of possible pathways, including neuropeptide Y.³² It has also been shown that early exposure to manageable stress may confer resilience toward future trauma—a process known as *stress inoculation*.⁷

Future Directions

As described above, many current treatments align well with a circuit-based model of PTSD including, most notably, forms of psychotherapy that may help to restore balance between PFC and limbic structures.

In recent years, considerable research in psychiatry has explored the role of a wide range of interventional approaches to help regulate circuits. These interventions include electroconvulsive therapy, deep brain stimulation, vagal nerve stimulation, and, more recently, repetitive transcranial magnetic stimulation and transcranial direct current stimulation. To date, research findings with interventional approaches for PTSD have been limited.³³ However, one might hope that these methods may eventually prove to be able to restore balance to dysregulated circuits by altering function in specific regions.

Theme 3: Memory Reconsolidation

Autobiographical memories are formed when stimuli that represent an experience are encoded in working and short-term memory and then consolidated into long-term memory. At one time, it was thought that such memories were indelible and reflected the initial information that was encoded. Recent research, including examining the accuracy of “flashbulb memories” for major events (eg, the assassination of President Kennedy or the 9/11 terrorist attacks), has suggested a different story.³⁴

The concept of memory reconsolidation is that every time a memory is recalled it is momentarily made labile and then needs to be reconsolidated. During this process, the memory may be updated or changed based on new experience. From this perspective, any particular memory may be thought of as being only as old as the last time it was recalled (Figure 2).^{35,36}

This process has clear implications in PTSD. For better or for worse, each time a traumatic experience is recalled, the patient's memory may be updated. Returning to our Clinical Challenge patient¹: left to his own, one imagines that each time he recalls the trauma there is a high potential that the reconsolidation process may reinforce prior beliefs and interpretations (likely including cognitive distortions around guilt, responsibility, and self-blame); in contrast, in the context of therapy one might view this as an opportunity for a combination of fear extinction, as outlined above, along with updating the memory to incorporate new data and perspectives into a more adaptive overall representation.

Future Directions

There is considerable interest in developing treatments that may capitalize on this process. Some behavioral therapies have been explicitly designed to leverage the reconsolidation process.³⁶ Other studies have sought to combine therapy with pharmacologic agents that may help to block the reconsolidation of traumatic memories (eg, propranolol³⁷ or xenon gas, the latter of which is thought to inhibit the *N*-methyl-D-aspartate receptor³⁸). A recent study also demonstrated the possibility of using the globally amnesic properties of electroconvulsive therapy to disrupt the reconsolidation of memories.³⁹

Theme 4: Epigenetic Considerations

Epigenetics refers to mechanisms (eg, DNA methylation or histone acetylation) by which environmental exposures may influence the functional expression of genes. A large amount of literature has demonstrated that early childhood neglect or trauma can epigenetically program the stress system, leading to aberrant regulation of the HPA axis and maladaptive, prolonged responses to stressors encountered later in life. This effect appears to occur by the inhibition of the expression of hippocampal glucocorticoid receptors (GRs) via DNA methylation along the GR gene promoter.

As illustrated in Figure 4, GRs in the hippocampus are central to effective regulation of the HPA axis. Under ideal conditions, the body is able to mount a cortisol stress response that quickly shuts off once the danger has passed. This response occurs through negative

feedback at the level of the GR (with increased density of receptors correlating with improved regulation). Studies of rodents and humans suggest that GR expression is significantly reduced by childhood abuse or neglect and that this difference persists into adulthood. These individuals then have inefficient negative HPA feed-back and a prolonged stress response, similar to that in patients with PTSD.^{41,42}

From this perspective, for the veteran we have been discussing, it is clinically important to recognize that his history of childhood trauma is itself a risk factor for developing PTSD^{43,44} and other psychiatric illnesses (including depression and substance use disorders),⁴⁵ perhaps in part through a dysregulated stress response system.⁴⁶ Of interest, this same process of dysregulated stress response may also be associated with a range of other health problems, including heart disease and stroke, thus giving cause for increased vigilance in routine health monitoring.⁴⁵

Recent work has also provided evidence that epigenetic mechanisms may be able to act across generations, possibly being transmitted through gametes. Thus, environmental exposures experienced by an individual may even affect gene expression in offspring, with potentially broad influences including susceptibility to trauma.^{47,48} In this regard, clinicians should be thoughtful in obtaining a family history and also in considering supports and resources that may be appropriate for patients' children and other family members.

Future Directions

Several researchers are exploring the potential value of an epigenetic perspective for the diagnosis and treatment of PTSD. Major areas of inquiry include whether epigenetic data could be used to identify populations at risk for developing PTSD, to help diagnose PTSD, and as biomarkers to predict who will respond to specific types of treatment. Early positive findings for each of these ideas have been shown in studies that examined military service members before and after deployment.^{49,50} Of particular interest, some patterns of methylation that are associated with PTSD were shown to be reversed during the course of psychotherapy, thus suggesting that, although epigenetic changes are enduring, they are not immutable.⁵⁰

There is also interest in developing pharmacotherapies that could help modify epigenetic changes. The best-explored line of inquiry has examined histone deacetylase inhibitors. In animal models, these medications have been shown to augment fear extinction through multiple complex pathways, including brain-derived neurotrophic factor and *N*-methyl-D-aspartate receptor signaling.⁵¹ To date, these ideas have not translated into clinical populations, although sodium valproate seems to have some action as a histone deacetylase inhibitor, possibly accounting for some of its efficacy in a broad range of psychiatric disorders.⁵²

Of course, the ideal intervention from an epigenetic perspective would be to implement interventions that either prevent early trauma and/or minimize its long-term impact.⁵³ Improved public health measures would be invaluable.

Theme 5: Genetic Considerations

As alluded to above, a central research question is why, in the face of trauma, only some individuals develop PTSD. Despite the disease being definitionally linked to an external event, research studies (eTable in the Supplement) have consistently shown that PTSD is highly heritable (approximately 40%-50%). Here, we would continue to emphasize that there are many nonbiological factors that may also confer risk or resilience. As far as identifying specific risk genes, findings to date have been mixed, likely reflecting methodological challenges, including the difficulty of achieving adequate sample sizes in which cases can be compared with trauma-exposed controls. The most promising findings have involved genes influencing molecules that are associated with neural plasticity (eg, brain-derived neurotrophic factor), neural inhibition (γ -aminobutyric acid), and stress response (glucocorticoids).⁵⁴ A large, recent genome-wide association study reflecting more than 13 000 trauma exposed soldiers found no genome-wide significant loci in their main analysis. The investigators found the association of a single nucleotide polymorphism at genome wide significance in the *ANKRD55* gene (known to be involved in inflammatory and autoimmune disorders) only in African American participants. The authors of that study noted that their sample size may not have been adequately powered to detect other significant findings. The eTable in the Supplement highlights key findings pertaining to the recent genome-wide association study of unbiased genetic approaches to understanding PTSD.

As discussed above, and as with all patients, it is important to take a careful family history. Given the frequent role of guilt and self-blame as a core aspect of PTSD (now acknowledged in the *DSM-5* criterion of “persistent, distorted cognitions about the cause or consequences of the traumatic event(s) that lead the individual to blame himself/herself or others”),⁴ discussing biological predisposing factors may be a valuable tool in the process of therapeutic communication.

Future Directions

A major obstacle in psychiatric practice today is that clinical diagnoses are based on behaviorally defined criteria that may encompass heterogeneous populations at a neurobiological level. The Research Domain Criteria project was created with the goal of understanding psychiatric illness based on relevant neurobiological domains.⁵⁵ This parallels broader efforts—most notably in oncology—to move toward precision medicine.

From this perspective, understanding relevant genetic contributions serves 2 purposes. First, identifying genes implicated in PTSD may help researchers better understand underlying molecular mechanisms, which could inform the development of future treatments. Second, it is possible that patterns in gene expression may allow us to identify subgroups that are either at risk for PTSD or are more likely to respond to a specific treatment.

Caveats and Additional Perspectives

Throughout this article, we have discussed PTSD in a relatively generic manner, as if it were a single diagnostic entity. Of course, in psychiatry every case is unique, as is especially true

with trauma. Factors that may affect both the incidence and severity of PTSD include type of trauma (eg, natural disasters vs assault vs motor vehicle accidents vs combat related), severity of the trauma (in conjunction with an individual's pre-existing resilience/vulnerability), the cultural context of the event, and the individual's perception and interpretation of the event. This last idea is especially relevant for cognitive models of PTSD (consider, as an example, the literature on “moral injury”⁵⁶) and is also reflected in the considerable controversy regarding the update made to *DSM-5* criteria.⁵⁷

In addition, although we have generally discussed PTSD as a discrete condition, it is highly comorbid with other psychiatric illnesses, including depression and substance use disorders. Each of these possible diagnoses would carry its own implications for formulation and treatment planning.

Another important caveat with respect to neuroscience is that much of our understanding comes from animal models. Although useful in many ways, these models are also intrinsically limited. This point may be especially relevant to our discussion of fear conditioning, wherein the protocols used to induce fear conditioning in animals may differ greatly from the types of experiences that cause PTSD in our patients.

Finally, although we have selected 5 key themes to discuss, there are obviously other relevant domains. One especially important area relates to sleep, in which there is extensive literature on rapid eye movement disturbances that occur following trauma. Although findings have been variable, it is plausible that sleep disruption plays a central role in the development and/or persistence of PTSD symptoms.⁵⁸

Conclusions

Modern neuroscience is leading to dramatic shifts in how we understand psychiatric illness. Amid this revolution, PTSD is one of the disorders (along with substance use disorders) for which we have the most compelling evidence relating to the underlying neurobiology. In this article, we have highlighted 5 compelling neuroscience themes relevant to PTSD: the role of fear conditioning and associated processes (including extinction and negative reinforcement); a circuit-based perspective, with a central emphasis on the reciprocal inhibitory connections between the mPFC and the amygdala; the new concept of memory reconsolidation, suggesting that any time a memory is reactivated it becomes briefly labile and thereby amenable to strengthening or weakening; the role of epigenetics, including extensive data on how early traumatic experiences may lead to long-term dysregulation in the HPA axis; and the role of genetic factors in this highly heritable disease, opening doors for new research approaches and, perhaps, someday leading to a precision medicine–based approach.

References

1. Ross DA, Travis MJ, Ar Buckley MR. Posttraumatic stress disorder in a young adult military veteran. *JAMA Psychiatry*. published online March 8, 2017.
2. Daly RJ. Samuel Pepys and post-traumatic stress disorder. *Br J Psychiatry*. 1983; 143:64–68. [PubMed: 6349738]

3. Shay, J. *Odysseus in America: Combat Trauma and the Trials of Homecoming*. New York, NY: Scribner; 2002.
4. American Psychiatric Association. *Diagnostic and Statistical Manual of Mental Disorders*. 5th. Washington, DC: American Psychiatric Association; 2013.
5. Friedman MJ, Kilpatrick DG, Schnurr PP, Weathers FW. Correcting misconceptions about the diagnostic criteria for posttraumatic stress disorder in *DSM-5*. *JAMA Psychiatry*. 2016; 73(7):753–754. [PubMed: 27223123]
6. Hoge CW, Yehuda R, Castro CA, et al. Unintended consequences of changing the definition of posttraumatic stress disorder in *DSM-5*: critique and call for action. *JAMA Psychiatry*. 2016; 73(7):750–752. [PubMed: 27224895]
7. Southwick, SM., Charney, DS. *Resilience: the Science of Mastering Life's Greatest Challenges*. New York, NY: Cambridge University Press; 2012.
8. Parsons RG, Ressler KJ. Implications of memory modulation for post-traumatic stress and fear disorders. *Nat Neurosci*. 2013; 16(2):146–153. [PubMed: 23354388]
9. Blechert J, Michael T, Vriends N, Margraf J, Wilhelm FH. Fear conditioning in posttraumatic stress disorder: evidence for delayed extinction of autonomic, experiential, and behavioural responses. *Behav Res Ther*. 2007; 45(9):2019–2033. [PubMed: 17442266]
10. Steenkamp MM. True evidence-based care for posttraumatic stress disorder in military personnel and veterans. *JAMA Psychiatry*. 2016; 73(5):431–432. [PubMed: 26886378]
11. Yehuda R, Hoge CW. The meaning of evidence-based treatments for veterans with posttraumatic stress disorder. *JAMA Psychiatry*. 2016; 73(5):433–434. [PubMed: 26886229]
12. Hoge, CW. *Once a Warrior, Always a Warrior: Navigating the Transition From Combat to Home—Including Combat Stress, PTSD, and mTBI*. Guilford CT: GPP Life; 2010.
13. Chard KM, Ricksecker EG, Healy ET, Karlin BE, Resick PA. Dissemination and experience with cognitive processing therapy. *J Rehabil Res Dev*. 2012; 49(5):667–678. [PubMed: 23015578]
14. Foa EB. Prolonged exposure therapy: past, present, and future. *Depress Anxiety*. 2011; 28(12):1043–1047. [PubMed: 22134957]
15. Ressler KJ, Rothbaum BO, Tannenbaum L, et al. Cognitive enhancers as adjuncts to psychotherapy: use of d-cycloserine in phobic individuals to facilitate extinction of fear. *Arch Gen Psychiatry*. 2004; 61(11):1136–1144. [PubMed: 15520361]
16. Rodrigues H, Figueira I, Lopes A, et al. Does d-cycloserine enhance exposure therapy for anxiety disorders in humans? a meta-analysis. *PLoS One*. 2014; 9(7):e93519. [PubMed: 24991926]
17. Hofmann SG. Schrödinger's cat and d-cycloserine to augment exposure therapy—both are alive and dead. *JAMA Psychiatry*. 2016; 73(8):771–772. [PubMed: 27367470]
18. Duman RS, Aghajanian GK. Synaptic dysfunction in depression: potential therapeutic targets. *Science*. 2012; 338(6103):68–72. [PubMed: 23042884]
19. Seal KH, Stein MB. Preventing the pain of PTSD. *Sci Transl Med*. 2013; 5(188):188fs22.
20. Singewald N, Schmuckermair C, Whittle N, Holmes A, Ressler KJ. Pharmacology of cognitive enhancers for exposure-based therapy of fear, anxiety and trauma-related disorders. *Pharmacol Ther*. 2015; 149:150–190. [PubMed: 25550231]
21. Rasmusson, AM., Shalev, AY. Integrating the neuroendocrinology, neurochemistry, and neuroimmunology of PTSD to date and the challenges ahead. In: Friedman, MJ, Keane, TM., Resick, PA., editors. *Handbook of PTSD: Science and Practice*. 2nd. New York, NY: Guilford Press; 2014. p. 275–299.
22. George KC, Kebejian L, Ruth LJ, Miller CW, Himelhoch S. Meta-analysis of the efficacy and safety of prazosin versus placebo for the treatment of nightmares and sleep disturbances in adults with posttraumatic stress disorder. *J Trauma Dissociation*. 2016; 17(4):494–510. [PubMed: 26835889]
23. Yehuda R, Southwick SM, Krystal JH, Bremner D, Charney DS, Mason JW. Enhanced suppression of cortisol following dexamethasone administration in posttraumatic stress disorder. *Am J Psychiatry*. 1993; 150(1):83–86. [PubMed: 8417586]
24. de Kloet CS, Vermetten E, Geuze E, Kavelaars A, Heijnen CJ, Westenberg HG. Assessment of HPA-axis function in posttraumatic stress disorder: pharmacological and non-pharmacological challenge tests, a review. *J Psychiatr Res*. 2006; 40(6):550–567. [PubMed: 16214171]

25. Southwick SM, Vythilingam M, Charney DS. The psychobiology of depression and resilience to stress: implications for prevention and treatment. *Annu Rev Clin Psychol.* 2005; 1:255–291. [PubMed: 17716089]
26. Campese VD, Sears RM, Moscarello JM, Diaz-Mataix L, Cain CK, LeDoux JE. The neural foundations of reaction and action in aversive motivation. *Curr Top Behav Neurosci.* 2016; 27:171–195. [PubMed: 26643998]
27. Davis M. Neural systems involved in fear-potentiated startle. *Ann N Y Acad Sci.* 1989; 563:165–183. [PubMed: 2570545]
28. Davis M. The role of the amygdala in fear and anxiety. *Annu Rev Neurosci.* 1992; 15:353–375. [PubMed: 1575447]
29. Fanselow MS, LeDoux JE. Why we think plasticity underlying Pavlovian fear conditioning occurs in the basolateral amygdala. *Neuron.* 1999; 23(2):229–232. [PubMed: 10399930]
30. LeDoux JE. Emotion circuits in the brain. *Annu Rev Neurosci.* 2000; 23:155–184. [PubMed: 10845062]
31. Shin LM, Rauch SL, Pitman RK. Amygdala, medial prefrontal cortex, and hippocampal function in PTSD. *Ann NY Acad Sci.* 2006; 1071:67–79. [PubMed: 16891563]
32. Morgan CA III, Wang S, Rasmusson A, Hazlett G, Anderson G, Charney DS. Relationship among plasma cortisol, catecholamines, neuropeptide Y, and human performance during exposure to uncontrollable stress. *Psychosom Med.* 2001; 63(3):412–422. [PubMed: 11382268]
33. Novakovic V, Sher L, Lapidus KA, Mindes JJAG, Yehuda R. Brain stimulation in posttraumatic stress disorder. *Eur J Psychotraumatol.* published online October 17, 2011.
34. Hirst W, Phelps EA, Meksin R, et al. A ten-year follow-up of a study of memory for the attack of September 11, 2001: Flashbulb memories and memories for flashbulb events. *J Exp Psychol Gen.* 2015; 144(3):604–623. [PubMed: 25751741]
35. Sandrini M, Cohen LG, Censor N. Modulating reconsolidation: a link to causal systems-level dynamics of human memories. *Trends Cogn Sci.* 2015; 19(8):475–482. [PubMed: 26170029]
36. Schiller D, Monfils MH, Raio CM, Johnson DC, Ledoux JE, Phelps EA. Preventing the return of fear in humans using reconsolidation update mechanisms. *Nature.* 2010; 463(7277):49–53. [PubMed: 20010606]
37. Brunet A, Orr SP, Tremblay J, Robertson K, Nader K, Pitman RK. Effect of post-retrieval propranolol on psychophysiologic responding during subsequent script-driven traumatic imagery in post-traumatic stress disorder. *J Psychiatr Res.* 2008; 42(6):503–506. [PubMed: 17588604]
38. Meloni EG, Gillis TE, Manoukian J, Kaufman MJ. Xenon impairs reconsolidation of fear memories in a rat model of post-traumatic stress disorder (PTSD). *PLoS One.* 2014; 9(8):e106189. [PubMed: 25162644]
39. Kroes MC, Tendolkar I, van Wingen GA, van Waarde JA, Strange BA, Fernández G. An electroconvulsive therapy procedure impairs reconsolidation of episodic memories in humans. *Nat Neurosci.* 2014; 17(2):204–206. [PubMed: 24362759]
40. Labonte B, Yerko V, Gross J, et al. Differential glucocorticoid receptor exon 1(B), 1(C), and 1(H) expression and methylation in suicide completers with a history of childhood abuse. *Biol Psychiatry.* 2012; 72(1):41–48. DOI: 10.1016/j.biopsych.2012.01.034 [PubMed: 22444201]
41. Zannas AS, West AE. Epigenetics and the regulation of stress vulnerability and resilience. *Neuroscience.* 2014; 264:157–170. [PubMed: 24333971]
42. Anacker C, O'Donnell KJ, Meaney MJ. Early life adversity and the epigenetic programming of hypothalamic-pituitary-adrenal function. *Dialogues Clin Neurosci.* 2014; 16(3):321–333. [PubMed: 25364283]
43. Brewin CR, Andrews B, Valentine JD. Meta-analysis of risk factors for posttraumatic stress disorder in trauma-exposed adults. *J Consult Clin Psychol.* 2000; 68(5):748–766. [PubMed: 11068961]
44. LeardMann CA, Smith B, Ryan MA. Do adverse childhood experiences increase the risk of postdeployment posttraumatic stress disorder in US Marines? *BMC Public Health.* 2010; 10:437. [PubMed: 20659342]

45. Felitti VJ, Anda RF, Nordenberg D, et al. Relationship of childhood abuse and household dysfunction to many of the leading causes of death in adults: the Adverse Childhood Experiences (ACE) Study. *Am J Prev Med.* 1998; 14(4):245–258. [PubMed: 9635069]
46. Yehuda R, Bierer LM. The relevance of epigenetics to PTSD: implications for the *DSM-V*. *J Trauma Stress.* 2009; 22(5):427–434. [PubMed: 19813242]
47. Dias BG, Maddox SA, Klengel T, Ressler KJ. Epigenetic mechanisms underlying learning and the inheritance of learned behaviors. *Trends Neurosci.* 2015; 38(2):96–107. [PubMed: 25544352]
48. Yehuda R, Daskalakis NP, Lehrner A, et al. Influences of maternal and paternal PTSD on epigenetic regulation of the glucocorticoid receptor gene in Holocaust survivor offspring. *Am J Psychiatry.* 2014; 171(8):872–880. [PubMed: 24832930]
49. Rusiecki JA, Chen L, Srikantan V, et al. DNA methylation in repetitive elements and post-traumatic stress disorder: a case-control study of US military service members. *Epigenomics.* 2012; 4(1):29–40. [PubMed: 22332656]
50. Yehuda R, Daskalakis NP, Desarnaud F, et al. Epigenetic biomarkers as predictors and correlates of symptom improvement following psychotherapy in combat veterans with PTSD. *Front Psychiatry.* 2013; 4:118. [PubMed: 24098286]
51. Whittle N, Singewald N. HDAC inhibitors as cognitive enhancers in fear, anxiety and trauma therapy: where do we stand? *Biochem Soc Trans.* 2014; 42(2):569–581. [PubMed: 24646280]
52. Nalivaeva NN, Belyaev ND, Turner AJ. Sodium valproate: an old drug with new roles. *Trends Pharmacol Sci.* 2009; 30(10):509–514. [PubMed: 19762089]
53. Kaufman J, Gelernter J, Hudziak JJ, Tyrka AR, Coplan JD. The Research Domain Criteria (RDoC) project and studies of risk and resilience in maltreated children. *J Am Acad Child Adolesc Psychiatry.* 2015; 54(8):617–625. [PubMed: 26210330]
54. Almli LM, Fani N, Smith AK, Ressler KJ. Genetic approaches to understanding post-traumatic stress disorder. *Int J Neuropsychopharmacol.* 2014; 17(2):355–370. [PubMed: 24103155]
55. Cuthbert BN. Research Domain Criteria: toward future psychiatric nosologies. *Dialogues Clin Neurosci.* 2015; 17(1):89–97. [PubMed: 25987867]
56. Grossman, D. *On Killing: The Psychological Cost of Learning to Kill in War and Society*. New York, NY: Little Brown & Co; 1996.
57. Guina J, Welton RS, Broderick PJ, Correll TL, Peirson RP. *DSM-5* criteria and its implications for diagnosing PTSD in military service members and veterans. *Curr Psychiatry Rep.* 2016; 18(5):43. [PubMed: 26971499]
58. Ross RJ. The changing REM sleep signature of posttraumatic stress disorder. *Sleep.* 2014; 37(8): 1281–1282. [PubMed: 25083007]

Box**PTSD Terms and Definitions****Classical Conditioning**

Classical conditioning is a process wherein an innate response to a specific stimulus (eg, salivating at the sight of food) becomes paired to a neutral stimulus (such as a bell ringing) by repeated presentation of the two stimuli, in the case of appetitive classical conditioning. One prototypical example of aversive conditioning is fear (or threat) conditioning, in which an aversive event (unconditioned stimulus) triggers autonomic arousal and intense fear (the unconditioned response) and contextual cues in the environment (eg, sights, sounds, and smells) become conditionally associated with the trauma. The conditional cues will then trigger the experience of autonomic arousal and intense fear as in the original situation (the conditioned response) as shown in Figure 1.⁹

Operant Conditioning

Operant conditioning, by contrast, is a process by which behaviors are increased or decreased in frequency based on the presence of rewards or noxious stimuli. Reinforcement means that a behavior is increased in frequency based on either the presence of reward (positive reinforcement, as seen in cocaine-seeking behavior) or the removal/avoidance of a noxious stimulus (negative reinforcement, as seen in avoidance of unpleasant situations/circumstances). Punishment means that a behavior is decreased in frequency based on either the addition of a noxious stimulus (positive punishment, such as spanking a child) or the removal of a rewarding stimulus (negative punishment, such as taking away a child's toys).

Extinction

The term extinction was originally coined in a behavioral context: repeated exposure to a conditioned stimulus led to the disappearance of the fear response behavior. Recent work, however, has shown that the conditioned association and response can be brought back (reinstated) by re-exposure to the fear-inducing cue. Thus, it appears that behavioral extinction paradigms are actually teaching individuals to overlearn a safety association on top of the existing fear conditioning. This contrasts with the discussion of reconsolidation that occurs later in this article (a process which may genuinely alter or disrupt the memory of an event). A visual schematic of these opponent processes is shown in Figure 2.

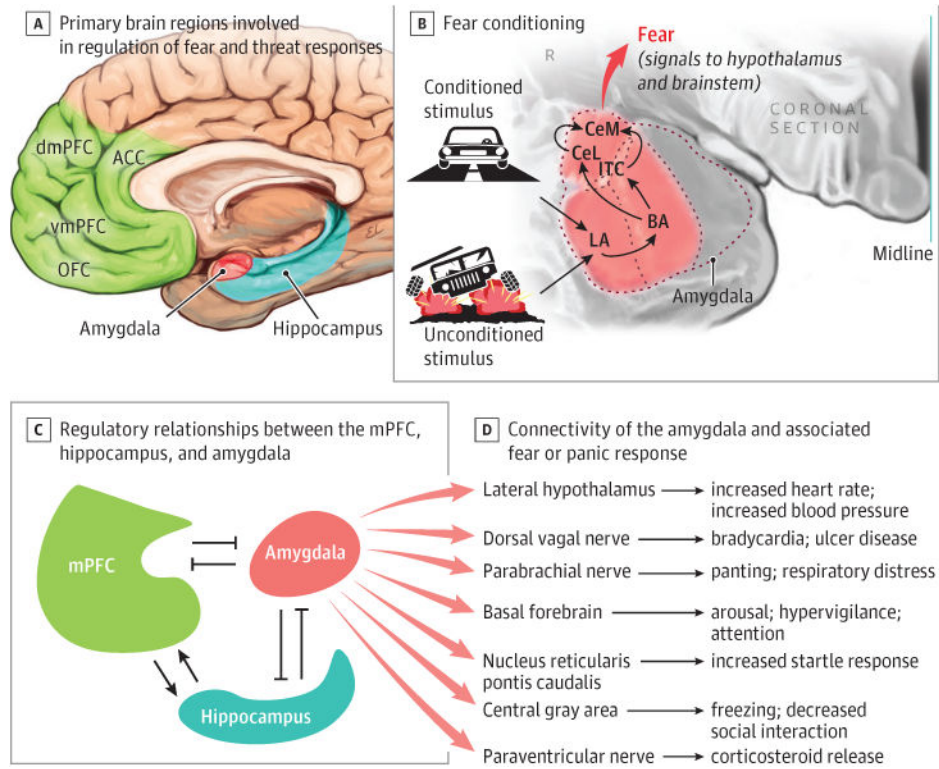


Figure 1. Schematic Diagram of Neural Circuitry Involved in Fear Conditioning and Posttraumatic Stress Disorder

A, Primary brain regions involved in regulating fear and threat responses are the amygdala, the hippocampus, and the medial prefrontal cortex, which is comprised of dorsal (dmPFC) and ventral (vmPFC) subdivisions, the orbitofrontal cortex (OFC), and the anterior cingulate cortex (ACC). B, Amygdala-specific circuits that are involved in fear conditioning. The sensory information representing the conditioned stimulus (eg, previously neutral stimulus such as driving a car) is integrated within the amygdala with the unconditioned stimulus information (eg, a traumatic event such as an explosion in a car). The amygdala is central in the neural circuit involved in regulating fear conditioning. In general, input to the lateral nucleus (LA) of the amygdala leads to learning about fear, whereas the central amygdala (lateral [CeL] and medial [CeM] subdivisions) is responsible for sending output signals about fear to the hypothalamus and brainstem structures. The intercalated cell masses (ITC) are thought to regulate inhibition of information flow between the basal nucleus (BA) and central amygdala. C and D, Interactions between components of the mPFC and the hippocampus constantly regulate the amygdala's output to subcortical brain regions activating the fear reflex. The mPFC (in particular, the vmPFC) is classically thought to inhibit amygdala activity and reduce subjective distress, while the hippocampus plays a role both in the coding of fear memories and also in the regulation of the amygdala. The hippocampus and mPFC also interact in regulating context and fear modulation. Panels C and D adapted from Parsons RG and Ressler KJ.⁸

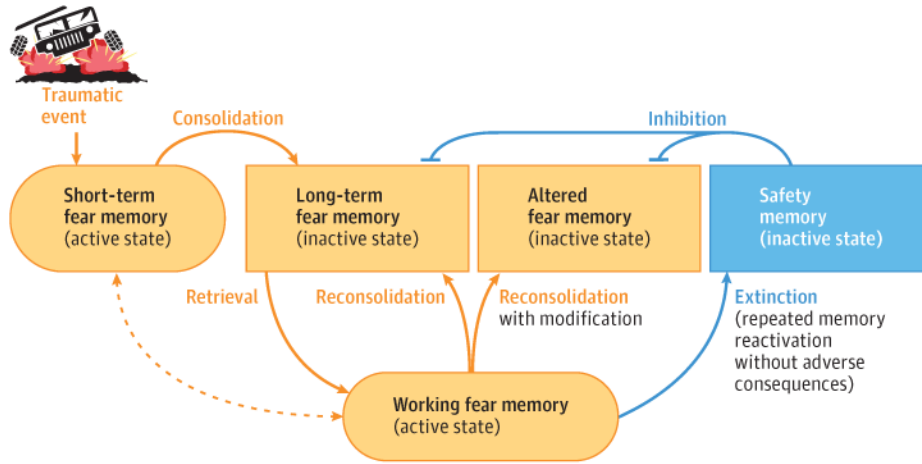


Figure 2. Process of Memory Reconsolidation

Schematic diagram of the primary phases of memory consolidation, reconsolidation, and extinction after a traumatic event. Shortly after the fear conditioning process (conditioned stimulus–unconditioned stimulus pairing illustrated in Figure 1), a memory is in an active state in short-term memory until it gets consolidated and stabilized into long-term memory. As short-term memories are immediately available to conscious awareness, these memories are temporarily available to working memory as they are also being consolidated. At later time points, the retrieval of a consolidated memory returns the memory from an inactive state in long-term memory to an active state in working memory from which it needs to be stabilized anew. The process during which reactivated memories are stabilized again is called reconsolidation. Reconsolidation occurs most readily after brief reactivation, which strengthens the long-term memory. During reconsolidation, the active memory traces are potentially vulnerable to modification. Repeated reactivation of a memory without adverse consequences creates an extinction, or safety, memory which inhibits the original fear memory. Reconsolidation and extinction are opposing processes that act to strengthen or inhibit, respectively, fear memory expression over time.

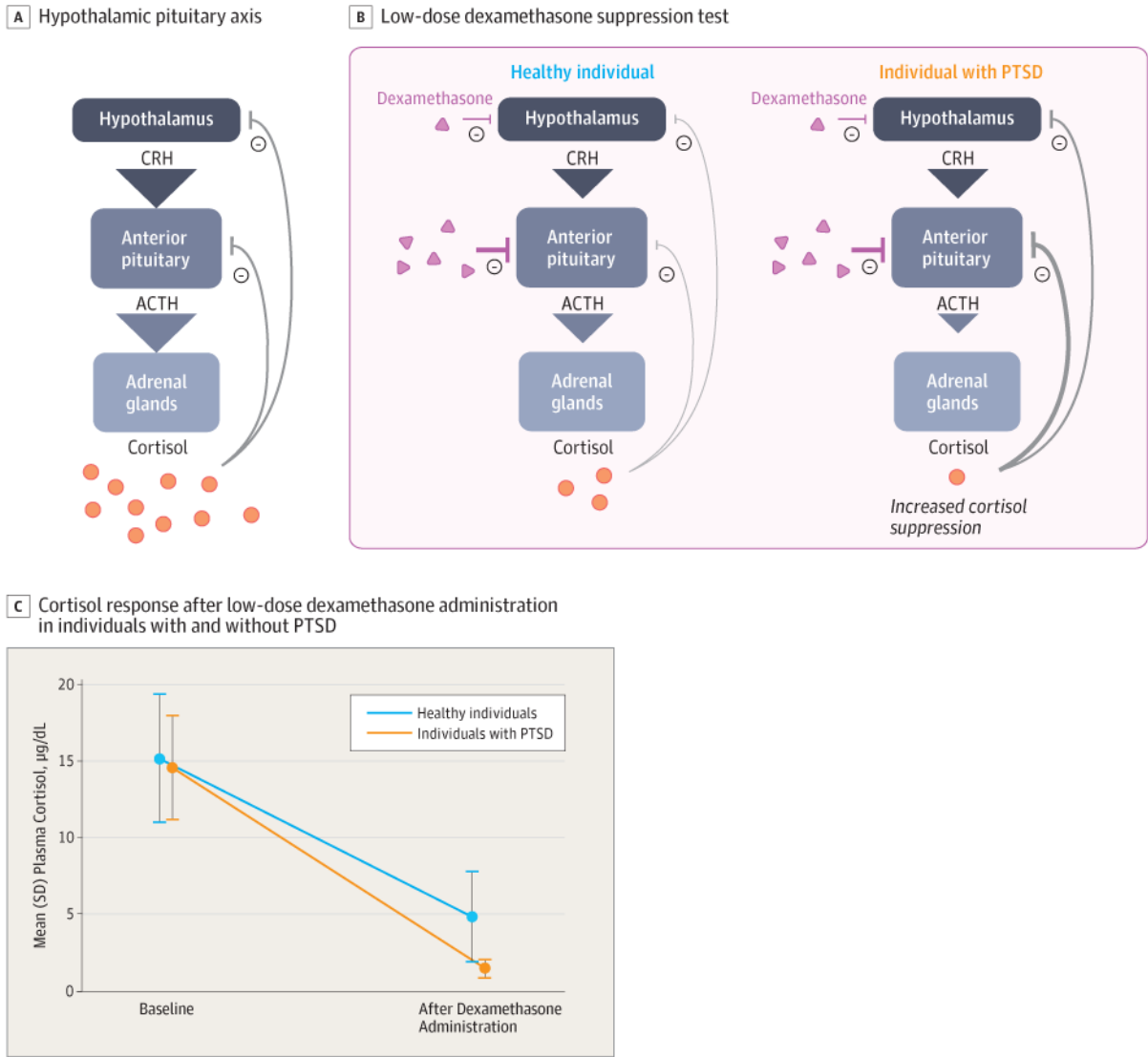


Figure 3. Regulation of the Hypothalamic-Pituitary-Adrenal (HPA) Axis in Posttraumatic Stress Disorder (PTSD)

Evidence suggests that the sensitivity to glucocorticoid receptor activation in the HPA feedback system is increased in individuals with PTSD compared with healthy individuals. In studies that compared the response of individuals with and without PTSD to the low-dose dexamethasone suppression test, individuals with PTSD demonstrated increased suppression of the HPA axis as measured by plasma cortisol levels. When dexamethasone, a cortisol agonist, activates glucocorticoid receptors in individuals with PTSD, increased negative feedback on the HPA axis results in more rapid and robust decreases in ACTH release and in adrenal activation and cortisol release. Data in the graph (C) are from Yehuda et al.²³

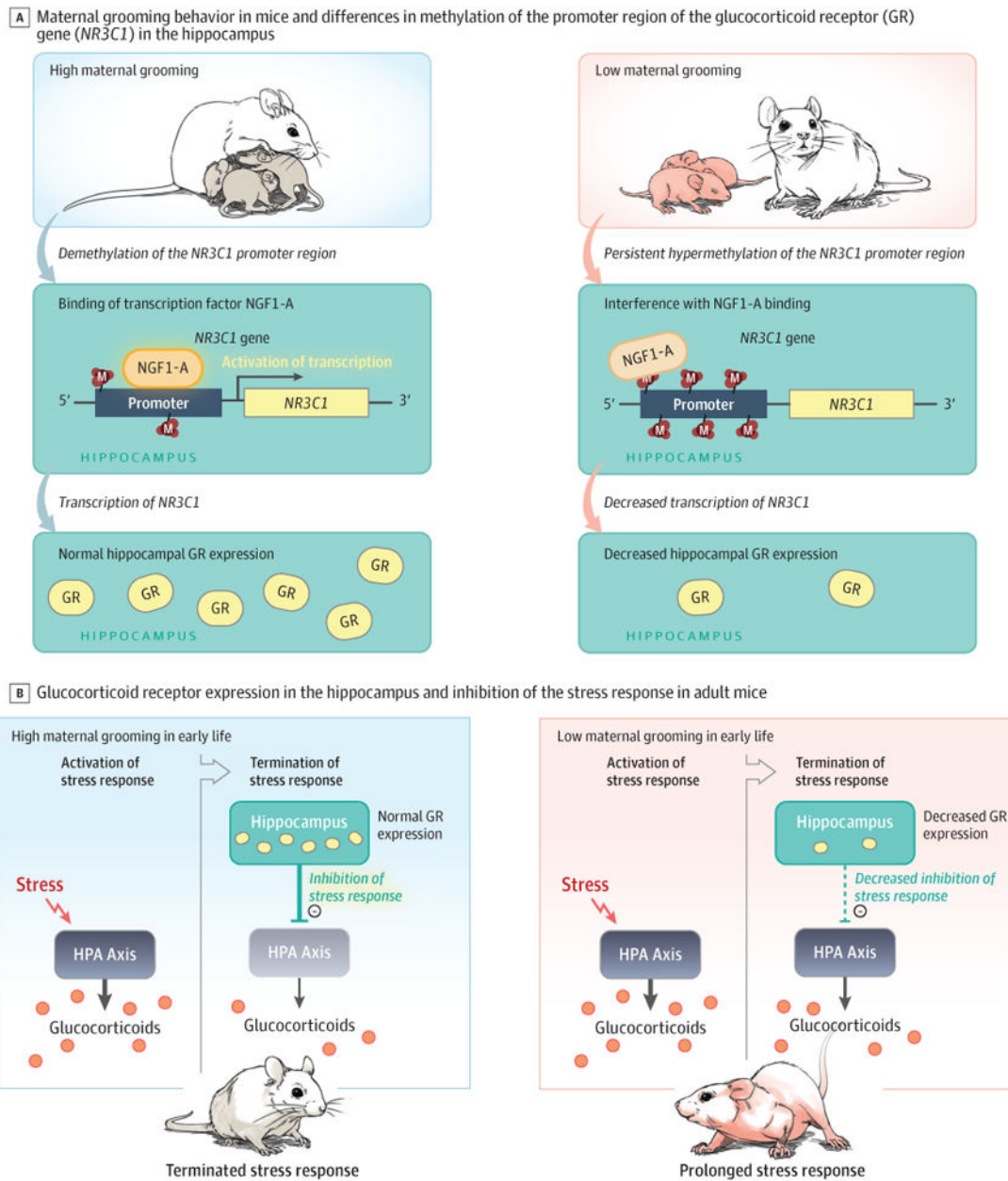


Figure 4. Early Life Experience and Epigenetic Modulation of the Stress Response in Mice
 A, left: Mice reared in a high licking and grooming (enriched or supportive) environment have less stress during the early part of their lives. In these mice, the glucocorticoid receptor (GR) promoter region is demethylated, which allows binding of transcription factors like NGF1-A and normal hippocampal GR expression. B, left: Normal GR expression results in more efficient feedback inhibition of the hypothalamic-pituitary-adrenal (HPA) axis and lower overall stress reactivity as adults. A, right: Mice reared in a low licking and grooming (neglectful) environment have persistent hypermethylation of the promoter region of the GR gene in the hippocampus, resulting in decreased GR expression. B, right: Reduced activity of hippocampal GRs results in decreased inhibition of the HPA axis with prolonged activation of the stress response. Consistent with rodent studies, hypermethylation of

hippocampal GRs has been demonstrated in postmortem brains of patients with histories of childhood abuse.⁴⁰

Author Manuscript

Author Manuscript

Author Manuscript

Author Manuscript

Table
DSM-5 Symptoms and Related Neuroscience Constructs

DSM-5 Symptoms	Related Constructs Informed by Neuroscience
Intrusive recollection: intense or prolonged distress after exposure to traumatic reminders	Classical fear conditioning or the pairing of an innate response (startle, increased heart rate and respiration, dry mouth, and emotional reactivity) to an unconditioned stimulus (eg, a shock or other unexpected painful stimulus) with another previously neutral (conditioned) stimulus
Avoidance symptoms	Operant conditioning or negative reinforcement when a behavior that leads to the avoidance or removal of an aversive stimulus is increased in frequency
Increased arousal	Abnormalities in regulation of the sympathetic nervous system and the hypothalamic-pituitary-adrenal stress response (perhaps through epigenetic changes)

Author Manuscript

Author Manuscript

Author Manuscript

Author Manuscript