

HHS Public Access

Author manuscript *Crit Care Med.* Author manuscript; available in PMC 2017 October 01.

Published in final edited form as:

Crit Care Med. 2016 October ; 44(10): 1891-1900. doi:10.1097/CCM.00000000001835.

Cumulative Fluid Balance and Mortality in Septic Patients with or without Acute Kidney Injury and Chronic Kidney Disease

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Abstract

Objective—Incident acute kidney injury (AKI) and prevalent chronic kidney disease (CKD) are commonly encountered in septic patients. We examined the differential effect of AKI and CKD on the association between cumulative fluid balance (CFB) and hospital mortality in critically ill septic patients.

Design—Retrospective cohort study.

Setting—Urban academic medical center ICU.

Patients—ICU adult patients with severe sepsis or septic shock and serum creatinine measured within 3 months prior to and 72 h of ICU admission. Patients with estimated glomerular filtration rate <15 mL/min/1.73m² or receiving chronic dialysis were excluded.

Interventions-None.

Measurements and Main Results—2632 patients, 1211 with CKD, were followed until hospital death or discharge. AKI occurred in 1525 (57.9%), of whom 679 (44.5%) had CKD.

Conflicts of Interest: The authors declare that they have no relevant financial interests.

Author Contributions

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Copyright form disclosures: Dr. Toto received support for article research from the National Institutes of Health (NIH) and received funding from Boehringer Ingelheim Abbvie Relypsa ZS Pharma, Reata Pharmaceuticals, and Celgene. The remaining authors have disclosed that they do not have any potential conflicts of interest.

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Hospital mortality occurred in 603 (22.9%) patients. Every 1 L increase in CFB at 72 h of ICU admission was independently associated with hospital mortality in all patients, adjusted odds ratio (aOR) 1.06, 95% CI (1.04–1.08), p < 0.001, and in each AKI/CKD subgroup: aOR 1.06 (1.03–1.09) for AKI+/CKD+; 1.09 (1.05–1.13) for AKI–/CKD+; 1.05 (1.03–1.08) for AKI+/CKD–; and 1.07 (1.02–1.11) for AKI–/CKD–. There was a significant interaction between AKI and CKD on CFB, p = 0.005, such that different CFB cut-offs with the best prognostic accuracy for hospital mortality were identified: 5.9 L for AKI+/CKD+; 3.8 L for AKI–/CKD+; 4.3 L for AKI+/CKD–; and 1.5 L for AKI–/CKD–. The addition of CFB to the admission SOFA score had increased prognostic utility for hospital mortality when compared to SOFA alone, particularly in patients with AKI.

Conclusions—Higher CFB at 72 h of ICU admission was independently associated with hospital mortality regardless of AKI or CKD presence. We characterized CFB cut-offs associated with hospital mortality based on AKI/CKD status, underpinning the heterogeneity of fluid regulation in sepsis and kidney disease.

Keywords

cumulative fluid balance; sepsis; acute kidney injury; chronic kidney disease; mortality

Introduction

Sepsis is the most common cause of intensive care unit (ICU) admissions and is associated with significant morbidity and mortality (1, 2). Acute kidney injury (AKI) is a frequent complication in critically ill patients and occurs in nearly 45% of septic patients and 60% of those with septic shock (3–5). The combination of sepsis and AKI may synergistically increase mortality rates to up to 50% (5–7). Most patients with sepsis have pre-existing comorbidities, including chronic kidney disease (CKD) (1). As compared to those without CKD, those with CKD have a higher incidence and severity of sepsis, as well as increased mortality from sepsis (8–10). CKD is now recognized as a relevant poor prognostic factor in patients with sepsis (11, 12).

Despite the known benefits of fluid therapy in sepsis (13–15), the recognition of potential deleterious effects of excessive fluid administration is alarming. Humphrey *et al* (16) demonstrated a significant decrease in mortality with a fluid-conservative resuscitation strategy in a small sample with acute respiratory distress syndrome. More recently, Wiedemann *et al* (17) showed that a fluid-conservative approach shortened the duration of mechanical ventilation in patients with acute lung injury. Subsequent studies have proposed "fluid accumulation" or "positive fluid balance" as a marker of adverse outcomes in patients with septic shock (2, 18, 19). Importantly, fluid overload (defined as fluid accumulation >10% above baseline weight) and mean daily fluid balance were independently associated with mortality in critically ill patients with AKI (20, 21).

Previous studies have not investigated the impact of cumulative fluid balance (CFB) on adverse outcomes based on incident AKI and/or prevalent CKD stratification. The purpose of the present study was to determine whether CFB was independently associated with hospital mortality in critically ill septic patients with or without incident AKI and prevalent

CKD, and whether a differential effect of AKI or CKD on this association could be identified. We also investigated whether the addition of CFB to the admission SOFA score would improve the prognostic accuracy for hospital mortality.

Materials and Methods

Study Design and Participants

We conducted a single-center, retrospective cohort study utilizing a database of patients with severe sepsis or septic shock admitted to the ICU in an urban, tertiary care hospital. Study participants were identified using administrative-linked electronic databases for ICU admissions from May 2007 through April 2012. Severe sepsis or septic shock was defined by Angus (1) criteria, using International Classifications of Diseases, Ninth Revision, Clinical Modification (ICD-9-CM) codes (22) for both a bacterial or fungal infection and a diagnosis of acute organ dysfunction excluding gastrointestinal failure. We included adult patients admitted from the emergency department (ED) to the ICU with a diagnosis of severe sepsis or septic shock who had at least one serum creatinine (SCr) measured and documented in the electronic medical records (EMR) at two different time points: within 3 months prior to and within the first 72 h of admission. Patients with absent or incomplete recorded daily fluid balance within the first 72 h of ICU stay, and those with estimated glomerular filtration rate (eGFR) <15 mL/min/1.73m² or receiving chronic dialysis were excluded. The protocol was approved by the Institutional Review Board (IRB #7044).

Study Variables

Baseline SCr was defined as the most recent SCr within the 3-month period before ICU admission, which was used to calculate the baseline eGFR using the 4-variable Modification of Diet in Renal Disease (MDRD) study equation (23). Patients were categorized as having AKI if the baseline SCr increased by 0.3 mg/dl or by 150%, or required acute dialysis as defined by KDIGO SCr-based criteria (24). The highest SCr within 72 h of admission was used to determine the occurrence of AKI. Pre-existing CKD was defined as baseline eGFR of 15–59 mL/min/1.73m² in the absence of chronic dialysis or end-stage renal disease.

Cumulative fluid balance was calculated as follows: total fluid input minus total fluid output within the first 72 h of ICU stay. Subject-specific variables were obtained from EMR. Acute Physiology and Chronic Health Evaluation II (APACHE-II) (25) and Sequential Organ Failure Assessment (SOFA) (26) scores were calculated integrating clinical and laboratory data from the first day of ICU admission. Oliguria was defined as urine output <500 mL within 24 h. Prevalent comorbidity was identified using ICD-9-CM codes, except for anemia that was defined as admission hematocrit <39% for men and <36% for women. Data pertaining to drug exposure, red blood cell transfusion, mechanical ventilation, and acute dialysis were based on hospital billing codes for the indexed admission. All collected data were validated through comprehensive individual review of 10% of EMR by data management personnel blinded to the study.

Study Outcome

The observation period was from admission to the ICU until the time of hospital death or discharge. The primary outcome measure was all-cause hospital mortality, adjudicated based on EMR review by data management personnel blinded to the study.

Statistical Analysis

The study sample was analyzed as a whole group and stratified into 4 subgroups by the occurrence of AKI (incident AKI) and pre-existing CKD (prevalent CKD) as follows: AKI +/CKD+; AKI-/CKD+; AKI+/CKD-; AKI-/CKD-. Categorical data were reported as percentages and continuous data as means ± SD or median [25th – 75th percentile]. Comparisons between groups for categorical variables were made using the Fisher Exact test. For continuous variables, analysis of variance was used for Gaussian and Wilcoxon Rank-Sum test for non-Gaussian distributed data.

Multivariable logistic regression models were constructed for hospital mortality as the dependent variable and to evaluate CFB as an independent variable. The two-way interaction between incident AKI and prevalent CKD (AKI*CKD) on CFB and on hospital mortality was first evaluated in the entire cohort to validate subgroup stratification if significant (p < 0.1). CFB was modeled as a continuous variable (per 1 L increase) and categorical variable (vs < cut-off value). Optimal predicted probability cut-offs were determined by Youden's index from receiver-operating characteristic (ROC) analysis. Candidate variables for the multivariable models included demographic data (age, gender, and race); comorbidities (diabetes, hypertension, heart failure, and anemia); indicators of critical illness (SOFA and APACHE-II scores, oliguria, mechanical ventilation, red blood cell transfusion, and length of hospital stay); and drug exposure (vasoactive drug and diuretic). Length of hospital stay was dichotomized as vs < median value of 12 days. Inclusion into the final model was based upon significance of univariable results and clinical relevance. Only 1 of 2 variables was included in the event of collinearity between variables.

To test the model performance of CFB + admission SOFA score vs SOFA alone for the prediction of hospital mortality, ROC-areas under the curve (AUCs) were compared and continuous net reclassification index (NRI) and absolute integrated discrimination improvement (IDI) were calculated (27). NRI quantifies the hospital mortality events correctly reclassified with the addition of CFB to the model that included SOFA alone. IDI measures the increment in the predicted probabilities for the hospital mortality subset and the decrement for the subset without hospital mortality. The 95% confidence intervals (CI) reported for the logistic regression odds ratios (ORs) were based on Wald estimation. Two-sided P-values <0.05 indicated statistical significance. Spreadsheet software and SAS 9.4 (SAS Institute, Cary, NC) were used in data acquisition and analysis.

Sensitivity Analyses

CFB Adjustment by Body Weight—We adjusted CFB by ICU admission body weight (W) in order to quantify fluid overload percentage (FO) using the following formula: FO = $[(W+CFB/W) - 1] \times 100\%$. FO was similarly evaluated as an independent variable in multivariable logistic regression models for hospital mortality.

Multiple Imputation Method for Missing Baseline SCr Values (28)—A total of 3070 patients had to be excluded from the primary analysis because of absent baseline SCr within 3 months prior to ICU admission. As part of a sensitivity analysis, these missing SCr values were imputed using a linear regression model derived from subject-specific characteristics of the primary study cohort (2632 patients). Log-transformed SCr was the dependent variable and independent predictors included age, gender, race, diabetes, hypertension, APACHE-II score, and their interactions. The association between CFB and hospital mortality was further evaluated in this secondary cohort of 5688 patients (2632 with known baseline SCr + 3056 with imputed baseline SCr, after exclusion of 14 patients with imputed baseline eGFR <15 ml/min/1.73 m²).

Propensity-regression analysis—The primary cohort logistic regression model of CFB (independent variable) and hospital mortality (dependent variable) included a continuous propensity score as a covariate for statistical adjustment. This propensity score was generated from all available study covariates that influenced the occurrence of AKI and/or CKD.

Standardized Mortality Ratio (SMR) determination to examine the relationship between CFB and hospital mortality—SMR for each AKI/CKD subgroup by CFB quintiles was calculated as follows: SMR =observed/predicted mortality; where predicted mortality was determined by the multivariable logistic regression estimate for each AKI/CKD subgroup.

Results

Clinical Characteristics

Of 6490 patients admitted from the ED to the ICU with the diagnosis of severe sepsis or septic shock, 3858 were excluded due to the following reasons: no recorded measures of baseline SCr within 3 months before admission; incomplete CFB data at 72 h; or receiving chronic dialysis (Figure 1). The primary study cohort included 2632 patients: 1211 (46%) with pre-existing CKD defined as an eGFR of 15–59 mL/min/1.73m² and 1421 (54%) without CKD (eGFR 60 mL/min/1.73m²). AKI occurred in 1525 (57.9%) patients, 679 (44.5%) with pre-existing CKD and 846 (55.5%) without CKD (Figure 1). A total of 238 (9.0%) patients required acute dialysis for AKI.

Clinical characteristics of the cohort are reported in Table 1. Patients that suffered from AKI, independently of CKD status, had a higher frequency of pressor or inotrope requirement, higher APACHE-II and SOFA scores, and more frequent use of mechanical ventilation (Table 1). The median length of hospital stay (LOS) $[25^{th} - 75^{th} \text{ percentile}]$ was 12 [7 - 21] days in the entire cohort. In the CKD group, LOS was not different based on the presence of AKI, whereas in the non-CKD group, those with AKI had a LOS of 12 [7 - 20] days as compared to those without AKI, 13 [8 - 22], p = 0.01 (Table 1). Importantly, this difference was influenced by the observation that AKI patients that died had shorter LOS when compared to their non-AKI counterparts: 9 [4 - 19] vs 14 [7 - 25] days, p = 0.007 (data not shown).

Study Outcomes

A total of 603 (22.9%) patients died during the observation period, median LOS 10 [4 – 20] days. A higher proportion of patients with AKI (28.1%) vs without AKI (15.8%) died, p <0.001. There was significant interaction between AKI and CKD (AKI*CKD) on hospital mortality (p=0.04): 173 (25.5%) patients with AKI vs 91 (17.1%) without AKI died in the CKD group, p <0.001; and 255 (30.1%) with AKI vs 84 (14.6%) without AKI died in the non-CKD group, p <0.001.

CFB (mean ± SD) at 72 h was higher in those who died: 7.67 ± 7.94 vs 2.95 ± 6.05 L in survivors, p < 0.001. CFB was also higher in those with AKI requiring dialysis (9.16 ± 8.91 L) when compared to those with AKI not requiring dialysis (4.61 ± 7.24 L) or those that did not suffer from AKI (2.80 ± 5.60 L), p for trend <0.001. CFB was independently associated with hospital mortality in the entire cohort (adjusted OR per 1 L increase [95% CI] 1.06 [1.04 - 1.08], p < 0.001). The occurrence of AKI was an independent predictor of hospital mortality (adjusted OR 1.28 [1.01 - 1.62], p = 0.04) but pre-existing CKD was not (p = 0.22).

There was significant interaction between AKI and CKD (AKI*CKD) on CFB, p=0.005 (Supplemental Figure 1). After subgroup stratification by incident AKI and prevalent CKD, univariable analyses revealed a significant association between CFB and hospital mortality in all subgroups (Figure 2A). After multivariable adjustment, every 1 L increase of CFB at 72 h was independently associated with hospital mortality, with adjusted ORs (95% CI) of 1.06 (1.03 – 1.09), p<0.001, for AKI+/CKD+; 1.09 (1.05 – 1.13), p<0.001, for AKI-/CKD +; 1.05 (1.03 – 1.08), p<0.001, for AKI+/CKD–; and 1.07 (1.02 – 1.11), p=0.002, for AKI –/CKD– (Figure 2A and Table 2). A similar association with hospital mortality was found when CFB was adjusted by ICU admission body weight (FO per 1% increase). The adjusted ORs (95% CI) were: 1.04 (1.01 – 1.06), p=0.005, for AKI+/CKD+; 1.06 (1.03 – 1.10), p<0.001, for AKI-/CKD+; 1.04 (1.02 – 1.06), p<0.001, for AKI+/CKD–; 1.05 (1.02 – 1.09), p=0.003, for AKI-/CKD– (Figure 2B).

CFB Cut-offs

For each of the 4 AKI/CKD subgroups, different CFB cut-offs with the best prognostic accuracy for hospital mortality were identified: 5.9 L for AKI+/CKD+; 3.8 L for AKI-/CKD +; 4.3 L for AKI+/CKD-; and 1.5 L for AKI-/CKD-. The CFB cut-off was lowest if both AKI and CKD were absent (Supplemental Table 1). A stronger association with hospital mortality was found when CFB was tested as a dichotomized variable (vs < cut-off value). The adjusted ORs (95% CI) were: 2.65 (1.70 – 4.12), p <0.001, for AKI+/CKD+; 2.34 (1.41 – 3.89), p =0.001, for AKI-/CKD+; 2.37 (1.60 – 3.50), p <0.001, for AKI+/CKD-; 2.61 (1.53 – 4.45), p <0.001, for AKI-/CKD- (Supplemental Figure 2).

Utility of CFB and SOFA Score for the Prediction of Hospital Mortality

CFB at 72 h was combined with the admission SOFA score in ROC plots for the prediction of hospital mortality in each of the 4 AKI/CKD subgroups (Figure 3). In all subgroups, the model (SOFA + CFB) significantly improved the predictive value for hospital mortality when compared to SOFA alone. This observation was more pronounced in those patients who suffered from AKI regardless of whether CKD was present or absent. The model

(SOFA + CFB) significantly improved the risk reclassification of hospital mortality over admission SOFA score alone, as evident by NRI and IDI metrics (Table 3).

Sensitivity Analyses

After multiple imputation of missing baseline SCr values, a secondary cohort of 5688 patients was generated (2632 with known baseline SCr + 3056 with imputed baseline SCr). In this secondary cohort, results were essentially the same: CFB was also independently associated with hospital mortality in all patients, adjusted OR per 1 L increase (95% CI) 1.07 (1.06 – 1.08), p < 0.001. After subgroup stratification by incident AKI and prevalent CKD, CFB at 72 h was also independently associated with hospital mortality, adjusted ORs (95% CI) of 1.07 (1.05 – 1.09), p < 0.001, for AKI+/CKD+; 1.07 (1.04 – 1.09), p < 0.001, for AKI+/CKD+; 1.07 (1.03 – 1.10), p < 0.001, for AKI+/CKD–; and 1.07 (1.03 – 1.10), p < 0.001, for AKI+/CKD–; and 1.07 (1.03 – 1.10), p < 0.001, for AKI-/CKD– (Supplemental Figure 3A). Furthermore, this independent association persisted after adjustment by ICU admission body weight or FO (Supplemental Figure 3B).

The propensity-regression adjusted odds ratio for hospital mortality in the primary cohort was 1.09 (95% CI, 1.07 - 1.11, p < 0.001) for every 1 L increase in CFB at 72 h. In addition, there was a stepwise increase in SMR across CFB quintiles, evident in the entire cohort and in each AKI/CKD subgroup (Figure 4).

Discussion

The principle new finding in our study is that the association of higher CFB with hospital mortality is evident in all critically ill septic patients, regardless of the occurrence of AKI and/or pre-existing CKD. However, we found a significant interaction between AKI/CKD categories and CFB such that for the first time, to our knowledge, we characterized different CFB cut-offs associated with hospital mortality based on whether AKI and/or CKD were present. Finally, we showed that combining CFB at 72 h and admission SOFA score improves the predictive value of the universally-accepted SOFA score for hospital mortality.

Fluid therapy in septic shock consists of initial fluid resuscitation followed by conservative fluid management and regulation (29–32). The inflammatory cascade of sepsis is thought to disrupt the endothelial surface, alter the microvascular system, and cause capillary leakage (33–35). Fluid therapy may enhance filling pressures and improve microcirculation in early sepsis but not in late sepsis (15, 36). In this context, detrimental consequences of fluid accumulation in critically ill patients, including mortality, have been previously reported in acute lung injury (17, 37), in sepsis (2, 38), and in patients with AKI with or without requirement for dialysis (20, 21, 39–41).

An observational study of 198 ICUs in 24 European countries revealed that CFB within the first 72 h of sepsis onset was directly associated with higher mortality, with an OR per 1 L increase of 1.1 (1.0 – 1.1), p =0.001 (2). A secondary analysis of this study later reported that CFB was associated with increased mortality specifically in the subgroup of patients with AKI (29). One limitation of this study was that AKI was defined as a SCr of >3.5 mg/dL (310 µmol/L) or urine output of <500 mL/day, and baseline SCr was not taken into

consideration for AKI definition. Our investigation extends these findings by using a more contemporary and accepted AKI definition taking the baseline SCr into account. Later, Bouchard *et al* (20) reported that fluid overload defined as >10% increase in body weight was associated with 60-day mortality in critically ill patients with AKI, with or without requirement for dialysis. Although this analysis did consider the baseline SCr in the definition of AKI, only patients for whom a nephrology consultation for AKI was obtained were included which could have led to selection bias. In addition, a non-AKI control group was not included for comparison, and the influence of pre-existing CKD was not examined. Furthermore, fluid overload was defined arbitrarily as the accumulation of fluid from 3 days prior to nephrology consultation until hospital discharge, which may not represent a uniform CFB estimate in patients who develop AKI later in the course of ICU stay. In contrast, we used a widely accepted definition for CFB as net fluid accumulated over the first 72 h of ICU stay. This strategy has been previously tested (2) and provides clinically useful information to more uniformly risk-stratify critically ill septic patients using CFB as an additional clinical parameter.

More recently, Texeira *et al* (41) confirmed the association of higher fluid balance with mortality in ICU patients with AKI and demonstrated higher CFB in non-survivors *vs.* survivors in the first 7 days of ICU stay. However, this study included only 132 participants with AKI, and the adjudication of AKI occurrence for the primary analysis was based on SCr 3.5 mg/dL (310 µmol/L) or urine output <500 mL/day, without the use of baseline SCr to assess absolute or relative changes in SCr. Moreover, recent studies have shown that higher fluid overload at the time of acute dialysis initiation for AKI was associated with 90-day mortality (39) and worse renal recovery at 1 year (40).

Another important finding in our study was that patients without pre-existing CKD that did not develop AKI had the lowest CFB and FO cut-offs associated with hospital mortality. A possible explanation for this observation may be that although in patients without kidney disease excess fluid is usually self-regulated and excreted by preserved renal function, this subgroup may be more susceptible to the negative consequences of acute fluid accumulation than those with pre-existing CKD. Patients with CKD, particularly those with edema, may have greater interstitial system adaptation to fluid overload than patients with preserved kidney function (42). The adaptive response and compliance of the interstitial system (43) can tolerate up to 4.5 L of excess total body fluid before edema becomes evident on physical examination (44). Ebah et al (45) demonstrated in patients with CKD stages 3 to 5 and obvious edema that both interstitial volume and pressure were significantly increased in comparison to healthy volunteers. This observation may illustrate chronic fluid overload adaptation (42). An additional observation was that FO cut-offs were all lower than the >10% FO cut-off associated with mortality previously reported in literature (20, 46, 47) (Supplemental Table 1). The heterogeneity of these different cut-offs for adverse hospital outcomes in the context of critical illness, sepsis, and kidney disease may be prognostically important but needs further investigation for validation. The purpose of our study was to characterize this heterogeneity rather than determine specific cut-offs that are readily available for implementation in clinical practice.

Our study has important strengths that need to be delineated. First, we utilized universallyaccepted AKI and CKD definitions, taking into consideration the baseline SCr. Second, we adjusted the analyses for appropriate confounders, including objective and comprehensive critical illness indicators. Third, we demonstrated a significant interaction between AKI/CKD categories and CFB and therefore justified our subgroup stratification. Fourth, we characterize CFB cut-offs associated with hospital mortality in each AKI/CKD subgroup. Fifth, we performed rigorous sensitivity analyses: 1) CFB adjustment by ICU admission body weight (FO); 2) imputation method of missing values of baseline SCr to overcome the selection bias inherent to the lack of these data in all participants; 3) propensity-regression analysis; and 4) SMR determination to further examine the association between CFB relative to baseline and risk-adjusted hospital mortality. Sixth, the accuracy of CFB data collection was validated by individual EMR review of 10% of data. Finally, unique to our study is the stratification of participants based on kidney disease status (e.g., the occurrence of AKI and pre-existing CKD), and the use of CFB both as continuous and categorical independent predictors.

Our study also has important limitations. First, we did not have hourly urine output data for all participants and therefore did not use urine output criteria for AKI adjudication. Nonetheless, we included oliguria (urine output <500 mL/day) as a potential confounder in the multivariable models. Second, data pertaining to fluid administration prior to ICU admission were not available for inclusion in the study. However, given that the study subjects are from an institution where standardized goal-directed fluid resuscitation is generally practiced, we can assume similar patterns of pre-ICU fluid therapy for most, if not all participants. Third, the determination of eGFR by using the MDRD equation may have led to over-classification of CKD status in a small number of patients, although this would have affected only <10% of the cohort. Fourth, although we adjusted for confounding by rigorous multivariable regression analyses, residual confounding by unmeasured covariates may not have been completely eliminated. Howbeit, different sensitivity analyses, including propensity-regression analysis, confirmed our results.

Conclusions

Higher CFB at 72 h of ICU admission was independently associated with hospital mortality in adult patients with severe sepsis or septic shock, regardless of AKI or CKD presence. The combination of CFB at 72 h and admission SOFA score improved the predictive value of SOFA score for hospital mortality. Stratification of patients by the occurrence of AKI and pre-existing CKD identified different CFB cut-offs associated with hospital mortality, with the lowest CFB cut-off in those without incident AKI or prevalent CKD. The characterization of different CFB cut-offs underpins the heterogeneity of fluid regulation in critical illness, sepsis, and kidney disease. These differences should be further investigated in future prospective studies in which measurements of interstitial volume and microcirculatory dynamics, in addition to intravascular volume, can be used for guiding fluid therapy in critically ill patients with or without kidney disease.

Supplementary Material

Refer to Web version on PubMed Central for supplementary material.

Acknowledgments

Source of Funding: Research reported in this publication was supported by the University of Texas Southwestern Medical Center O'Brien Kidney Research Core Center (NIH, P30 DK079328-06), the National Center for Advancing Translational Sciences of the National Institutes of Health (NIH, UL1TR001105), and the Division of Nephrology and Hypertension of Henry Ford Hospital. The content is solely the responsibility of the authors and does not represent the official views of the National Institutes of Health, the University of Texas Southwestern, Henry Ford Hospital, or the Veterans Affairs North Texas Health Care System. JAN is supported by the Ben J. Lipps Research Fellowship Program of American Society of Nephrology Foundation for Kidney Research and the Truelson Fellowship Fund at UT Southwestern Charles and Jane Pak Center of Mineral Metabolism and Clinical Research.

The authors express their gratitude to Roberta Mooney and Wendy Koscierzynski for expert data extraction and validation. We thank Song Zhang, Ph.D. for helpful interactions and critical review of the manuscript.

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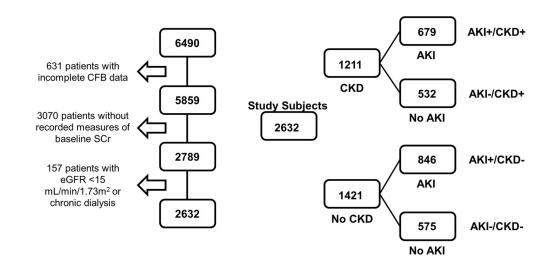


Figure 1.

Cohort derivation and study scheme. AKI =occurrence of acute kidney injury; CFB =cumulative fluid balance; CKD =pre-existing chronic kidney disease; eGFR =estimated glomerular filtration rate; SCr =serum creatinine

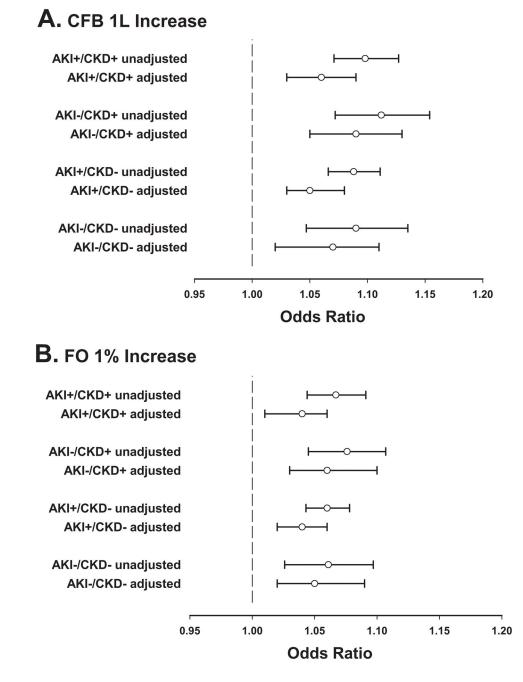


Figure 2.

Forest plots of unadjusted and adjusted odds ratios for hospital mortality in the primary cohort (n =2632). A) CFB per 1 L increase at 72 h of ICU admission. Adjusted odds ratio (95% CI) for hospital mortality in the entire cohort 1.06 (1.04 - 1.08); B) FO per 1% increase at 72 h of ICU admission. Adjusted odds ratio (95% CI) for hospital mortality in the entire cohort 1.04 (1.03 - 1.06). AKI =occurrence of acute kidney injury; CFB =cumulative fluid balance; CKD =pre-existing chronic kidney disease; FO =fluid overload percentage

1.00

SOFA + CFB vs SOFA: p =0.04

0.75

1.00

0.25

0.50

1-SPECIFICITY

B. AKI-/CKD+ A. AKI+/CKD+ 1.00 0.75 SENSITIVITY SENSITIVITY 0.50 0.25 SOFA + CFB AUC =0.75 CFB AUC =0.70 SOFA AUC =0.68 SOFA + CFB vs SOFA: p <0.001 0.25 0.50 0.75 1.00 **1-SPECIFICITY** C. AKI+/CKD-1.00 0.75 SENSITIVITY 0.50

0.75 0.50 0.25 SOFA + CFB, AUC =0.70 CFB AUC =0.66 SOFA AUC =0.66 SOFA + CFB vs SOFA: p =0.04 0.25 0.50 0.75 **1-SPECIFICITY** D. AKI-/CKD-1.00 0.75 SENSITIVITY 0.50 0.25 SOFA + CFB, AUC =0.72 SOFA + CFB, AUC =0.67 CFB AUC =0.68 CFB AUC =0.65 SOFA AUC =0.66 SOFA AUC =0.63

1.00

Figure 3.

0.25

0.25

0.50

1-SPECIFICITY

Receiver-Operating Characteristic plots representing the area under the curve (AUC) for the prediction of hospital mortality by the model of admission SOFA score + CFB at 72 h (red), CFB at 72 h (blue), and SOFA score (black). Comparison P-values of SOFA + CFB vs SOFA alone for each AKI/CKD subgroup were calculated. AKI =occurrence of acute kidney injury; CFB =cumulative fluid balance; CKD =pre-existing chronic kidney disease; SOFA =Sequential Organ Failure Assessment score

SOFA + CFB vs SOFA: p <0.001

0.75

1.00

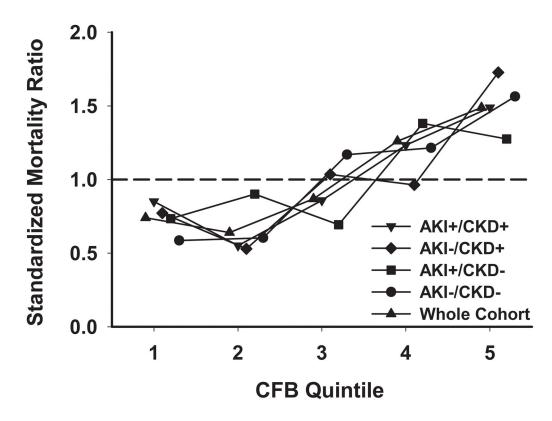


Figure 4.

Association between cumulative fluid balance relative to baseline and risk-adjusted hospital mortality. AKI =occurrence of acute kidney injury; CFB =cumulative fluid balance expressed as quintiles; CKD =pre-existing chronic kidney disease; SMR =Standardized Mortality Ratio. SMR for each AKI/CKD subgroup by CFB quintiles was calculated as follows: SMR =observed/predicted mortality; where predicted mortality was determined by the multivariable logistic regression estimate for each AKI/CKD subgroup

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Table 1

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Patient characteristics

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	AKI+/CKD+ (n =679)	AKI-/CKD+ (n =532)	AKI+/CKD- (n =846)	AKI-/CKD- (n =575)	AKI * CKD interaction
Demographics					
Age, years, mean \pm SD	69.4 ± 14.2^f	70.0 ± 14.8^{f}	62.8 ± 15.8	61.5 ± 16.4	0.13
Women, (%)	336 (49.5) ^e	284 (53.4) ^e	355 (42)	254 (44.2)	0.001
African-American, (%)	261 (38.4) ^e	178 (33.5) ^e	401 (47.4) b	238 (41.4)	<0.001
Chronic conditions					
* Baseline SCr, mg/dl, mean \pm SD	1.80 ± 0.66^f	1.86 ± 0.73^f	0.93 ± 0.24	0.92 ± 0.23	0.12
* Baseline eGFR, mL/min/1.73m ² , mean \pm SD	$41.7 \pm 11.7 bf$	40.0 ± 12.5^{f}	93.4 ± 36.2	92.3 ± 29.7	0.04
Diabetes, (%)	176 (25.9) ^e	136 (25.6) ^e	170 (20.1)	99 (17.2)	0.001
Hypertension, (%)	181 (26.7) <i>cf</i>	$213 (40.0)^{e}$	432 (51.1)	297 (51.7)	<0.001
Systolic heart failure, (%)	30 (4.4)	15 (2.8)	25 (3)	18 (3.1)	0.36
Anemia, (%)	600 (89)	455 (86.7)	722 (86.4)	488 (85.6)	0.26
Drug exposure					
Diuretic, (%)	47 (6.9)	45 (8.5)	66 (7.8)	55 (9.6)	0.12
Statin, (%)	$257~(37.9)^f$	$185 (34.8)^{\mathcal{O}}$	211 (25)	151 (26.3)	<0.001
Iodine contrast, (%)	103 (15.2) bf	$115(21.6)^{f}$	222 (26.2) b	199 (34.6)	<0.001
Aminoglycoside, (%)	44 (6.5) ^e	33 (6.2) ^e	101 (11.9)	66 (11.5)	<0.001
Critical indicators					
Oliguria, (%)	112(20.2) cd	24 (5.6)	$105~(14.7)^{\mathcal{C}}$	16 (3.3)	0.002
CFB 72 h, liters, mean \pm SD	$4.16\pm7.34 bf$	2.85 ± 5.91	$5.55 \pm 7.50^{\mathcal{C}}$	2.74 ± 5.31	0.005
FO 72 h, %, mean \pm SD	$5.7\pm9.7e$	4.7 ± 9.1	$8.0\pm11.1{\cal C}$	4.0 ± 7.7	0.001
*LOS, days, median [25 th –75 th percentile]	12.0 [6.0 – 21.0]	$12.0\ [7.0-20.0]^{e}$	12.0~[7.0-20.0]b	$13.0\ [8.0-22.0]$	0.07
Pressor or inotrope, (%)	290 (42.7) ^C	144 (27.1)	$366~(43.3)^{\mathcal{C}}$	167 (29.0)	<0.001
Mechanical ventilation, (%)	297~(43.7)b	190 (35.7)	$407 (48.1)^{b}$	219 (38.1)	<0.001
Blood transfusion, (%)	19 (2.8)	19 (3.6)	29 (3.4)	18 (3.1)	0.77

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	AKI+/CKD+ (n =679)	AKI-/CKD+ (n =532)	AKI+/CKD- (n =846)	AKI-/CKD- (n =575)	$KI+/CKD+ (n=679) \left[\begin{array}{c} AKI-/CKD+ (n=532) \\ AKI-/CKD- (n=846) \\ \end{array} \right] \\ AKI-/CKD- (n=575) \\ \end{array} \right] \\ AKI^{*} CKD interaction \\ AKI^{*} CKD interacti$
APACHE-II score, mean \pm SD	$14.5\pm7.3c$	$12.2\pm5.8^{\mathcal{O}}$	$13.4\pm6.9^{\mathcal{C}}$	11.1 ± 6.1	0.91
* SOFA score, median [25th–75th percentile]	5.0[3.0-9.0]	$4.0 \ [2.0-6.0]^{e}$	$5.0 [3.0 - 8.0]^{\mathcal{C}}$	$3.0 \; [1.0-6.0]$	0.002

(MDRD) Study equation, mL/min/1.73m²; FO =fluid overload percentage [(W+CFB/W) - 1] × 100%, W is ICU admission body weight; iodine contrast =only if intravenous or intra-arterial; LOS =length AKI =occurrence of acute kidney injury; anemia =hematocrit <39% for men and <36% for women; APACHE-II = Acute Physiology and Chronic Health Evaluation II score; CFB 72 h =cumulative fluid balance (total fluid input minus oupput within the first 72 h of ICU admission); CKD = pre-existing CKD; eGFR = estimated glomenular filtration rate based on Modification of Diet in Renal Disease of hospital stay; oliguria = urine output less than 500 mL in 24 h; SCr = serum creatinine; SOFA = Sequential Organ Failure Assessment score.

* Data were log-transformed before analysis. Comparisons for categorical variables were made using the Fisher Exact test. For continuous variables, analysis of variance was used for Gaussian and Wilcoxon Rank-Sum test for non-Gaussian distributed data.

 $^{a}_{p < 0.05}$;

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 $^{b}_{p < 0.01;}$

 $\overset{\mathcal{C}}{p}{<}0.0001;$ AKI vs no AKI for the same CKD status

 $^{d}_{p < 0.05}$; $^{e}_{p < 0.01}$; $\stackrel{f}{p}{<}0.0001;$ CKD vs no CKD for the same AKI status

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Table 2

Multivariable analysis of hospital mortality as the dependent variable and CFB as the study independent variable in all AKI/CKD subgroups

Clinical variables	AKI+/CKD+ aOR (95%CI)	р	AKI-/CKD+ aOR (95%CI)	d	AKI+/CKD- aOR (95%CI)	d	AKI-/CKD-aOR (95%CI)	d
CFB, per 1 L increase	1.06(1.03 - 1.09)	<0.001	1.09(1.05 - 1.13)	<0.001	$1.05\ (1.03-1.08)$	<0.001	1.07 (1.02 - 1.11)	0.002
Age, per 10-year increase	$1.05\ (0.91 - 1.22)$	0.52	$1.40\ (1.16-1.68)$	<0.001	$1.08\ (0.96 - 1.21)$	0.21	$1.12\ (0.96 - 1.30)$	0.15
SOFA, per 1-unit score	1.07 (1.01 – 1.13)	0.02	$1.08\ (1.00-1.17)$	0.04	$1.05\ (1.00-1.11)$	0.05	1.08(1.00 - 1.17)	0.07
LOS, <12 vs 12 days	0.70~(0.45-1.07)	0.10	$0.74 \ (0.44 - 1.24)$	0.26	$0.57\ (0.39-0.83)$	0.003	$1.02 \ (0.63 - 1.66)$	0.94
Mechanical ventilation, yes vs no	1.65 (1.02 – 2.65)	0.04	2.81 (1.58 – 4.99)	<0.001	2.78~(1.82 - 4.24)	<0.001	1.57 (0.90 - 2.73)	0.11
Oliguria, yes vs no	1.85 (1.11 – 3.08)	0.02	1		2.31 (1.42 – 3.75)	<0.001	ł	;
				17.157 77.715				

Candidate variables for the multivariable models included demographic data (age, gender, and race); comorbidities (diabetes, hypertension, heart failure, and anemia); indicators of critical illness (SOFA and AK1+/CKD-, and 0.67 (0.61 - 0.74) for AK1-/CKD-. aOR =adjusted odds ratio; CFB =cumulative fluid balance; CI =confidence interval; LOS =length of hospital stay, oliguria =urine output less than 500 APACHE-II scores, oliguria, mechanical ventilation, red blood cell transfusion, and length of hospital stay); and drug exposure (vasoactive drug and diuretic). Inclusion into the final model (depicted in the collinearity between CFB and oliguria was detected in all subgroups (VIF =1.0). Model C-statistic (95% CD; 0.71 (0.65 - 0.76) for AKI+/CKD+, 0.75 (0.69 - 0.81) for AKI-/CKD+, 0.74 (0.69 - 0.78) for above Table) was based upon significance of univariable results (p < 0.10) and clinical relevance. APACHE-II was not included in the multivariable model because of collinearity with the SOFA score. No mL in 24 h Author Manuscript

Improvement in the discrimination of admission SOFA score for the prediction of hospital mortality by combining SOFA score + CFB at 72 h in all AKI/CKD subgroups

Model performance metric	AKI+/	AKI+/CKD+ (n =679)	AKI-	AKI - (CKD + (n = 532))	AKI+/(AKI+/CKD- (n =846)	AKI-/	AKI-/CKD- (n =575)
ROC AUC (95% CI)								
CFB	0.70	(0.65, 0.75)	0.66	(0.60, 0.73)	0.68	(0.64, 0.72)	0.65	(0.58, 0.71)
SOFA	0.69	(0.64, 0.73)	0.66	(0.59, 0.72)	0.66	(0.62, 0.70)	0.63	(0.56, 0.70)
SOFA + CFB	0.74	(0.70, 0.79)	0.71	(0.64, 0.77)	0.72	(0.68, 0.75)	0.67	(0.60, 0.73)
P-value # SOFA vs SOFA + CFB		<0.001		0.04		<0.001		0.08
Continuous NRI ² (95% CI)	0.53	(0.37, 0.70)	0.38	(0.16, 0.60)	0.46	(0.32, 0.61)	0.35	(0.13, 0.58)
NRI events correctly reclassified	0.21	(0.15, 0.27)	0.01	(0.002, 0.06)	0.14	(0.10, 0.19)	0.07	(0.03, 0.15)
NRI non-events correctly reclassified	0.32	(0.28, 0.36)	0.37	(0.33, 0.42)	0.33	(0.29, 0.37)	0.28	(0.24, 0.32)
Absolute IDI ^b (95% CI)	0.052	(0.032, 0.072)	0.058	(0.028, 0.087)	0.053	(0.036, 0.070)	0.023	(0.008, 0.037)
IDI events	0.039	(0.019, 0.079)	0.047	(0.019, 0.113)	0.037	(0.020, 0.068)	0.019	(0.005, 0.076)
IDI non-events	-0.013	(-0.028, -0.006)	-0.010	(-0.024, -0.004)	-0.016	-0.016 (-0.03 , -0.009)	-0.003	(-0.014, -0.001)

Crit Care Med. Author manuscript; available in PMC 2017 October 01.

NRI evaluates the incremental effect of adding CFB to SOFA score for the prediction of hospital mortality and quantifies the net number of individuals reclassified correctly using the model of SOFA + CFB compared to SOFA alone with upward reclassification considered beneficial.

^bIDI measures the increment in the predicted probabilities for the hospital mortality subset and the decrement for the subset without hospital mortality between the model of SOFA + CFB vs SOFA alone.

#P-value compares model ROC AUC for SOFA + CFB vs SOFA alone.

=pre-existing CKD; IDI =absolute integrated discrimination improvement; NRI =net reclassification index; ROC =receiver-operating characteristic; SOFA =Sequential Organ Failure Assessment score. NRI AKI =occurrence of acute kidney injury; AUC =area under the curve; CFB =cumulative fluid balance (total fluid input minus output within the first 72 h of ICU admission); CI =confidence interval; CKD and IDI are presented as proportions.