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The dual modulatory effects of efavirenz on GABA_A receptors are mediated via two distinct sites

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Abstract

Efavirenz is a widely prescribed medicine used to treat type 1 human immunodeficiency virus (HIV-1), the most prevalent pathogenic strain of the virus responsible for the acquired immune deficiency syndrome (AIDS) pandemic. Under prescribed dosing conditions, either alone or in combination therapy, efavirenz-induced CNS disturbances are frequently reported. Efavirenz was recently reported to interact in a similar concentration range with a number of receptors, transporters and ion channels including recombinant rat $\alpha 1\beta 2\gamma 2$ GABA_A receptors whose actions were potentiated (Gatch et al., 2013; Dalwadi et al., 2016). Now we report on the molecular mechanism of efavirenz on GABAA receptors as a function of concentration and subunit composition via whole-cell recordings of GABA-activated currents from HEK293 cells expressing varying subunit configurations of GABA_A receptors. Efavirenz elicited dual effects on the GABA response; it allosterically potentiated currents at low concentrations, whereas it inhibited currents at higher concentrations. The allosteric potentiating action on GABAA receptors was pronounced in the $\alpha 1\beta 2\gamma 2$, $\alpha 2\beta 2\gamma 2$ and $\alpha 4\beta 2\gamma 2$ configurations, greatly diminished in the $\alpha 6\beta 2\gamma 2$ configuration, and completely absent in the $\alpha 3\beta 2\gamma 2$ or $\alpha 5\beta 2\gamma 2$ configuration. In stark contrast, the inhibitory modulation of efavirenz at higher concentrations was evident in all subunit configurations examined. Moreover, efavirenz-induced modulatory effects were dependent on GABA concentration ([GABA]), with a pronounced impact on currents activated by low [GABA] but little effect at saturating [GABA]. Mutation of a highly-conserved threonine to phenylalanine in transmembrane domain 2 of the $\alpha 1$ subunit abolished the inhibitory effect of efavirenz in $\alpha 1\beta 2$ receptors. Finally, mutations of any of the three conserved extracellular residues in $\alpha 1/2/4$ subunits to the conserved residues at the corresponding positions in $\alpha 3/5$ subunits (i.e., R84P, M89L or I120L) completely eliminated he potentiating effect of efavirenz in $\alpha 1\beta 2\gamma 2$ configuration. These findings demonstrate that efavirenz's positive allosteric modulation of the GABAA receptor is mediated via a novel allosteric site associated with the extracellular domain of the receptor.

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Chemical compounds: GABA (PubChem CID:119), Flumazenil (PubChem CID:3373), Efavirenz (PubChem CID: 64139), Diazepam (PubChem CID: 3013), Picrotoxin (PubChem CID: 518601)

Graphical abstract



Keywords

recombinant GABAA receptors; adverse CNS side effects; picrotoxin; AIDS; antiretroviral

1. Introduction

The neurotransmitter γ -aminobutyric acid (GABA) inhibits neuronal activity in the mammalian CNS via activation of a chloride (Cl⁻) selective ligand-gated ion channel subtype called the GABA_A receptor. The GABA_A receptor is a known mediator of anxiety states, somnolence, and seizures/epilepsy. Diverse therapeutic and pharmacological agents, such as neurosteroids, carisoprodol, benzodiazepines, barbiturates, and anesthetics modulate GABA_A receptor function (Huang et al., 2006; Gonzalez et al., 2009; Tan et al., 2011).

Efavirenz (Sustiva®, Stocrin®) is a non-nucleoside reverse transcriptase inhibitor (NNRTI) widely used in combination with other antiretrovirals for the treatment of HIV-1. However, efavirenz also known to exert neuropsychiatric adverse events in some patients (Barreiro et al., 2002; Treisman and Kaplin, 2002; Cespedes and Aberg, 2006; Sutterlin et al., 2006; Arendt et al., 2007; Treisman and Soudry, 2016). For instance, in a large clinical study (>1000 patients) CNS disturbances were reported in over half of all patients taking a standard dose of efavirenz including dizziness (28%), depression (19%), insomnia (16%), anxiety (9%), impaired concentration (8%), somnolence (7%), nervousness (7%), abnormal dreams (6%), and hallucinations (1.2%) (Sustiva package insert, 1998). Other reports indicated 40–70% of patients treated with efavirenz suffer from CNS symptoms (Blanch et al., 2001; Puzantian, 2002). The apparent psychoactivity of efavirenz may even be encouraging its diversion for recreational use (Gatch et al., 2013; Grelotti et al., 2014). In a previous report, GABA_A receptors ($\alpha 1\beta 2\gamma 2$) were identified as one of several CNS targets of efavirenz (Gatch et al., 2013). In the present study we investigated the concentration and subunit-dependency of efavirenz on GABA_A receptors in a molecular mechanistic context.

2. Materials and Methods

2.1. Expression of cloned receptors

Human embryonic kidney cell lines (HEK293) stably expressing recombinant human or rat $\alpha 1\beta 2\gamma 2$, $\alpha 2\beta 2\gamma 2$, $\alpha 3\beta 2\gamma 2$ or $\alpha 6\beta 2\gamma 2$ GABA_A receptors were studied in the present investigation (short isoform of the $\gamma 2$ subunit in all cases). Cells transiently expressing various wild type human or rat GABA_A subunit configurations were also studied. A human HEK293 cell line was transiently transfected with recombinant receptor subunits using PolyJetTM DNA *In Vitro* transfection reagent (SignaGen Laboratories, Rockville, MD). Briefly, HEK293 cells were washed and placed in fresh Dulbecco's modified eagle medium containing 10% FBS and antibiotics (penicillin 100 U/mL). For human or rat $\alpha_x(x=1, 4, 5)\beta 2\gamma 2$ GABA_A receptors, a 1:1:3 ratio (total cDNA: 2.5 µg) of α_x , $\beta 2$ and $\gamma 2$ subunits was added to cells growing exponentially on poly-L-lysine coated coverslips placed in a 35-mm culture dish. Transfected cells were used for electrophysiological analysis 24–48 h after the transfection.

2.2. Mutagenesis

Mutations of receptor cDNA were generated using a commercially available site-directed mutagenesis kit (QuickChange, Strategene, La Jolla, CA) and commercially produced mutagenic primers (MWG Biotech, NC). All mutants were verified by DNA sequencing (MWG Biotech, NC).

2.3. Electrophysiology

Whole-cell patch recordings were made at room temperature $(22-25^{\circ}C)$ at a holding potential of -60 mV. Patch pipettes of borosilicate glass (M1B150F, World Precision Instruments, Inc., Sarasota, FL) were pulled (Flaming/Brown, P-87/PC, Sutter Instrument Co., Novato, CA) to a tip resistance of $3-5 \text{ M}\Omega$. The pipette solution contained (in mM): 140 CsCl, 10 EGTA, 10 HEPES, 4 Mg-ATP; pH 7.2. A coverslip containing cultured cells was placed in a small chamber (~1.5 mL) on the stage of an inverted light microscope (Olympus IMT-2) and superfused continuously (5-8 mL/min) with the following external solution containing (in mM): 125 NaCl, 5.5 KCl, 0.8 MgCl₂, 3.0 CaCl₂, 10 HEPES, 10 D-glucose, pH 7.3. GABA-evoked currents from the whole-cell configuration were obtained using a patch clamp amplifier (Axopatch 200A, Axon Instruments, Foster City, CA) equipped with a CV201A headstage. The currents were low-pass filtered at 5 kHz, monitored on an oscilloscope and a chart recorder (Gould TA240), and stored on a computer for subsequent analysis. To monitor the possibility that access resistance changed over time or during different experimental conditions, at the initiation of each recording we measured and stored the current response to a 5 mV voltage pulse on our digital oscilloscope. This stored trace was continually referenced throughout the recording. If a change in access resistance was observed throughout the recording period, the patch was aborted and the data were not included in the analysis. Current-voltage (I-V) relationship of GABA currents was assessed from a single cell using a ramp stimulus protocol. A transmembrane voltage ramped from -60 to +60 mV over 0.5 s time course was applied prior to (passive conductance phase) and during GABA application. The difference of these two current ramps (pA) represented the

GABA-induced current, and was plotted as a function of applied holding potential (mV) to yield the I–V curve of GABA-induced currents.

2.4. Experimental protocol

GABA was prepared in extracellular solution and was applied to cells via gravity flow using a Y-shaped tube positioned near the target cell. With this system, the 10–90% rise time of the junction potential at the open tip is 60–120 ms (Huang and Dillon, 1999). Once a control response was determined, the effect of efavirenz was examined by co-applying it with a roughly EC₃₀ concentration of GABA. Because recovery from the drug-induced effect was readily obtained upon wash out, the effects of multiple concentrations of efavirenz could generally be obtained from same cell. Efavirenz was tested at concentrations below 100 μ M, because high concentrations resulted in a nonspecific cytotoxicity as indicated by loss of patch during drug application.

2.5 Chemicals

GABA stock was made in doubly distilled H₂O. Efavirenz, diazepam, picrotoxin and flumazenil were solubilized in dimethyl sulfoxide (DMSO) and added to cells at a final concentration of less than 0.05 % (v/v) DMSO. DMSO (0.05%) had no effect on GABA response. Efavirenz was purchased from Sequoia Research Products Limited (Pangbourne, UK) or provided by NIH via NIH AIDS Reagent Program (https://www.aidsreagent.org/). All other drugs were purchased from Sigma Aldrich or Tocris Biosciences (Minneapolis, MN).

2.6. Data analysis

All data were recorded on a chart recorder, and stored on a computer for subsequent off-line analysis (pClamp 6.0, Axon Instruments; and Origin 5.0, Microcal Software, Inc). GABA concentration-response profiles were fitted to the following equation: $I/I_{max} = [GABA]^n/$ $(EC_{50}^{n}+[GABA]^{n})$, where I and I_{max} represent the normalized GABA-induced current at a given concentration and the maximal current induced by a saturating concentration of GABA, respectively, EC_{50} is 50% effective GABA concentration, and *n* is the Hill coefficient. Concentration-response profiles for the positive modulatory actions of efavirenz were generated using the equation $I I_{max} = [efavirenz]^n / ([efavirenz]^n + EC_{50}^n)$, where I is the normalized current amplitude at a given concentration of efavirenz, I_{max} is the maximum GABA current induced by efavirenz, EC_{50} is the half-maximal effective concentration of efavirenz, and *n* is the Hill coefficient. The concentration-response relationship for the inhibitory action of efavirenz was fitted with the equation: $I/I_{max} = [efavirenz]^n/$ $([efavirenz]^n + IC_{50}^n)$, where I is GABA current amplitude normalized to control, IC₅₀ is the half-blocking concentration, and n is the Hill coefficient. Since efavirenz substantially enhanced current decay, during analysis of efavirenz-mediated inhibitory action, GABA current amplitudes with and without efavirenz were quantified at the end of the GABA application (end-application current).

All data are presented as mean \pm SEM. The control current amplitude prior to efavirenz was designated 100% in all cases, and current amplitudes (initial or end-application current)

were expressed as a percentage of that control. Student's t-test (paired or unpaired) was used to determine statistical significance (p < 0.05).

3. Results

In our initial report (Gatch et al., 2013), we demonstrated that efavirenz potentiates recombinant rat $GABA_A \alpha 1\beta 2\gamma 2$ receptor function. Here we provide evidence that efavirenz has dual modulatory effects on $GABA_A$ receptors that are concentration and subunit configuration dependent and further that these dual functions are mediated by efavirenz's interaction with two distinct sites.

3.1. Efavirenz-mediated potentiation of GABA response

To ensure equipotent concentrations were used to elicit GABA responses, EC₃₀ values from concentration-response data collected from various GABA_A subunit configurations were calculated and used in the investigation of efavirenz modulatory effects (Table 1). Our results demonstrate that the α isoforms significantly impact the sensitivity of GABA_A receptors to efavirenz. As shown in Figure 1, efavirenz potentiated the peak amplitude of GABA-gated currents in the $\alpha x\beta 2\gamma 2$ GABA_A receptors (x=1, 2, 4) in a concentrationdependent and reversible manner when co-applied with EC₃₀ GABA. Among three receptor subtypes, $\alpha 1\beta 2\gamma 2$ receptors were most sensitive to potentiation by efavirenz. The current amplitude was increased by 71% at 50 μ M efavirenz and the average EC₅₀ value was 13 \pm 2.3 μ M for efavirenz's potentiation effect (n=5). In addition to enhancing peak current amplitude, efavirenz increased current decay at the higher concentrations (Fig. 1A). Consequently, 30 µM efavirenz reduced current amplitude at conclusion of GABA application to $46 \pm 11\%$ of control in $\alpha 1\beta 2\gamma 2$, $38 \pm 14\%$ in $\alpha 2\beta 2\gamma 2$ and $56 \pm 12\%$ in $\alpha 4\beta 2\gamma 2$ (p<0.05, paired t-test), indicating possible co-existence of an inhibitory component mediated by efavirenz. We then examined the effect of pre-applied 30 μ M efavirenz for 1 min on the subsequent response to efavirenz co-applied with 10 μ M GABA in α 1 β 2 γ 2 receptors. As shown in Fig. 2, compared to the response to co-application of efavirenz, pretreatment cells with efavirenz did not affect potentiation on initial GABA currents but considerably inhibited end-application currents (p<0.01, n=5, paired t-test). Pre-treatment with efavirenz reduced the relative end-application currents to $33 \pm 7.9\%$ of control compared to $132 \pm 16\%$ without pretreatment from the same cells (Fig. 2B).

3.2. Efavirenz-mediated inhibition of GABA response

Further investigation of the subunit dependence of efavirenz modulatory effects uncovered an inhibitory action predominately present in $\alpha 3\beta 2\gamma 2$ and $\alpha 5\beta 2\gamma 2$ GABA_A receptors. In contrast, to the prominent enhancement of GABA currents by efavirenz observed in $\alpha 1/2/4\beta 2\gamma 2$ configurations (Fig.1), efavirenz inhibited the peak current in a concentrationdependent manner (Fig. 3) with IC₅₀ values of $14 \pm 6.3 \mu$ M and $9.7 \pm 0.8 \mu$ M for $\alpha 3\beta 2\gamma 2$ and $\alpha 5\beta 2\gamma 2$, respectively (Fig. 3B). The end-application currents were almost completely inhibited by high concentrations of efavirenz (30 or 50 μ M). In $\alpha 6\beta 2\gamma 2$, however, efavirenz elicited a biphasic effect: a slight potentiating effect on GABA currents ($114 \pm 5.1\%$ of control, n=6, p<0.05) at 10 μ M and a dominant inhibition at 30 μ M (Fig. 3A&B). End-

application amplitudes were reduced to $72 \pm 7.2\%$ of control and $46 \pm 6.1\%$ by 10 and 30 μ M efavirenz, respectively (p<0.05, paired t-test, compared to control).

3.3. Role of γ 2 subunit and the benzodiazepine site

The $\gamma 2$ subunit appears to be required for mature postsynaptic GABA_A receptors and essential for GABA_A receptor clustering during synaptogenesis (Essrich et al., 1998). From a pharmacological perspective, the $\gamma 2$ subunit is also required for sensitivity to the benzodiazepine (BDZ) family of compounds (Sigel and Buhr, 1997). We thus examined the role of the $\gamma 2$ subunit of the GABA_A receptor on efavirenz-mediated modulation of GABA_A currents. Experiments were performed on the receptors which lack $\gamma 2$ subunits (i.e., $\alpha 1\beta 2$) receptors. Currents activated using the GABA EC₃₀ (0.5 µM) were recorded with co-application of varying concentrations of efavirenz. As shown in Figure 4, efavirenz was able to enhance the GABA response in the $\alpha 1\beta 2$ configuration. The potentiation of initial peak current by 10 and 30 µM efavirenz was $41 \pm 11\%$ and $56 \pm 14\%$ above the control, respectively in the $\alpha 1\beta 2$ compared to $\alpha 1\beta 2\gamma 2$ receptors. The end-application current was reduced to $56 \pm 11\%$ and $1.6 \pm 1.7\%$ of control by 10 and 30 µM efavirenz, respectively (n=8, p<0.05, unpaired t-test).

As an additional assessment of potential involvement of the BDZ site in the actions of efavirenz, its effect was examined in the presence of a saturating concentration (3 μ M) of flumazenil (FLU), a competitive antagonist of the BDZ site. As shown in Figure 5, 3 μ M flumazenil was able to significantly block the potentiating effect of diazepam (1 μ M) in $\alpha 1\beta 2\gamma 2$ receptors (n=9, p>0.05, paired t-test, compared between DZ and DZ+FLU); however, it failed to block the potentiating effect of efavirenz (n=13, p<0.05, paired t-test, compared between EFAV and EFAV+FLU). Flumazenil alone had a modest allosteric agonist actions at 3 μ M (27 ± 6.3% increase in current amplitude compared to control, n=7, p<0.05, paired t-test), a phenomenon that has been reported previously (Weiss et al., 2002). This effect likely accounts for the additive potentiating effect when both efavirenz and flumazenil were co-applied with GABA (n=5, p<0.05, unpaired t test, Fig. 5).

3.4. Effect of efavirenz on the GABA concentration-response relationship

Efavirenz may produce its modulatory action on GABA-activated currents by affecting the potency and/or the efficacy of GABA at the receptors. To distinguish between these possibilities, the effect of efavirenz on concentration-response curves was studied in $\alpha 1\beta 2\gamma 2$ receptors for its potentiating action and in $\alpha 3\beta 2\gamma 2$ for its inhibitory action, respectively. As shown in Fig. 6A, efavirenz's enhancing effects appear to depend on [GABA]. Efavirenz (10 µM) had greater potentiating effect on the currents activated by low [GABA] but had little effect on the response to saturating [GABA] in $\alpha 1\beta 2\gamma 2$ receptors. As a result, EC₅₀ for GABA values were significantly reduced by efavirenz (EC₅₀: from 36 ± 6.0 to 24 ± 5.8 µM; Hill coefficient: from 1.5 ± 0.09 to 1.1 ± 0.09. n=10, p<0.01, paired t-test). In contrast, the maximal GABA current was not significantly changed by efavirenz (p>0.05, paired t-test, Fig. 6B). The inhibitory effect of efavirenz on $\alpha 3\beta 2\gamma 2$ receptors also displayed a dependence on [GABA] (Fig. 6C). Efavirenz significantly increased the EC₅₀

for GABA from $34 \pm 4.2 \,\mu\text{M}$ in the control to $89 \pm 18 \,\mu\text{M}$ in the presence of 15 μM efavirenz (n=6, p<0.01, paired t-test) whereas the maximum current and Hill coefficient were not significantly altered (Fig. 6D). These data suggest that efavirenz blocks GABA currents in a competitive manner.

3.5. Influence of the TM2 6' amino acid on inhibitory effects of efavirenz

That efavirenz had inhibitory effects on all receptor subunit configurations examined suggested a conserved site for this action. A pore-lining threonine residue (T'6) within the second transmembrane domain (TM2), which is highly conserved among all GABAA subunits, confers sensitivity of GABAA receptors to picrotoxin (Gurley et al., 1995; Zhang et al., 1995), pentylenetetrazole (Dibas and Dillon, 2000), ethanol (Johnson et al., 2012) and 4'-ethynyl-4-n-propylbicycloorthobenzoate (EBOB) (Hisano et al., 2007). Thus, we examined whether this residue is also involved in efavirenz's inhibitory effect. Since the inhibitory component is predominant in receptors lacking the γ^2 subunit (Fig. 4), we assessed the efavirenz inhibitory modulation in $\alpha 1\beta 2$ receptors. The effect of efavirenz on GABA currents activated by an EC₃₀ concentration of GABA (Gonzales et al., 2008) was assessed in wild type $\alpha 1\beta 2$, $\alpha 1\beta 2$ (T6'F) and $\alpha 1$ (T6'F) $\beta 2$ receptors. As shown in Figure 7, a point mutation of T6[']F in either the a1 or β 2 subunit eliminated sensitivity to picrotoxin, as reported previously (Sedelnikova et al., 2006). Similarly, the T6'F mutation in the β 2 subunit significantly reduced the inhibitory effect of efavirenz, while the same mutation in the al subunit resulted in a complete abolishment of efavirenz-induced inhibition (Fig. 7). The average end-application current was $124 \pm 10.6\%$ and $95 \pm 18\%$ of control at 10 μ M and $30 \,\mu\text{M}$ efavirenz, respectively (p>0.05, n=5–10, paired t-test, compared to control). In contrast, the T6'F mutation, when present in either the $\alpha 1$ or $\beta 2$ subunit, did not influence the potentiating effect of efavirenz on peak current, suggesting that the threonine resides at the TM2 6' position are not involved in allosteric potentiating effect of efavirenz. We were unable to test the efavirenz response in the $\alpha 1(T6'F)\beta 2(T6'F)$ configuration, because no GABA-activated current was detected, as reported previously (Gonzales et al., 2008). Our results indicate that the TM2 6' position plays a key role in the inhibitory effect of efavirenz on GABA_A receptors.

3.6. Voltage-dependence of efavirenz modulation of GABAA receptors

Because a voltage-dependent effect of a drug may shed light on possible sites of action, we assessed the extent to which the effects of efavirenz on GABA_A receptors may be influenced by membrane voltage. First, we tested efavirenz's ability to modulate the current-voltage (I–V) relationship of GABA-induced currents in $\alpha 1\beta 2\gamma 2$ receptors. In the absence of efavirenz, the I–V relationship displayed outward rectification (Fig.8A1) consistent with our previous report (Huang et al., 2001). In the presence of 10 µM efavirenz, the reversal potential of GABA-activated current was not altered (-0.18 ± 1.83 mV at control, -0.24 ± 1.39 mV at efavirenz, n=5, p>0.05. 0.35 mV for calculated value with Nernst equation, Fig.8A1). However, the efavirenz-induced potentiation of the GABA response displayed an apparent voltage– dependence. Potentiation was more pronounced at negative membrane potentials, resulting in an I–V relationship that was close to linear in the presence of efavirenz (Fig. 8A1). Moreover, the fraction of GABA-gated current potentiated by efavirenz was negatively correlated with membrane potential (R=-0.98, p<0.01, Fig.8A2). These

observations indicate that efavirenz modulates GABA_A receptors in a voltage-dependent manner. Considering the coexistence of potentiating and inhibitory actions of efavirenz on $\alpha 1\beta 2\gamma 2$ receptors, we conducted two additional experiments to characterize I–V relationships for efavirenz-induced inhibitory and potentiating components using $\alpha 3\beta 2\gamma 2$ receptors and $\alpha 1(T6'F)\beta 2$ receptors, respectively. In $\alpha 3\beta 2\gamma 2$ receptors in which efavirenz has only an inhibitory effect, efavirenz did not alter the reversal potential for GABA-induced Cl⁻ currents (1.48 ± 1.01 mV in the control, 2.81 ± 0.80 mV in the presence of efavirenz, n=9, p>0.05, Fig. 8B1). Moreover, inhibition of the GABA current by efavirenz was more pronounced at positive potentials and positively correlates with membrane potentials (R=0.96, p<0.01, Fig. 8B2). In $\alpha 1(T6'F)\beta 2$ receptors, efavirenz potentiated GABA currents without altering reversal potential for Cl⁻ ions (4.8 ± 1.3 mV in the control, 4.6 ± 1.4 mV in efavirenz, p>0.05, n=6. Fig.8C1). Figure 8C2 shows efavirenz-induced potentiation was dependent upon voltage in a manner similar to $\alpha 1\beta 2\gamma 2$ receptors (R=-0.79, p<0.01).

3.7. Mapping the site for the potentiating effect of efavirenz

Efavirenz-mediated potentiation is present in $\alpha x(x=1,2,4)$ -containing configurations but absent in α 3- or α 5-containing receptors, indicating that the potentiating action is likely mediated via the residues that are conserved in $\alpha 1/\alpha 2/\alpha 4$ subunits but different from $\alpha 3$ or α 5 subunits. Analysis on amino acid alignment of α subunit revealed three residues located in the position of 84, 89 and 120 of extracellular domain that meet such criteria (Table 2). Thus we assessed the role of these residues in efavirenz-mediated positive modulation in $\alpha 1\beta 2\gamma 2$ configuration using site-directed mutagenesis. Individual or combined mutation of the α 1 subunit residues to the corresponding residues in the α 3/5 isoforms, R84P, M89L or I120L, resulted in complete loss of potentiating effect of efavirenz on the currents activated by EC₃₀ GABA (Table 1, Fig. 9). Compared to 76% potentiating effect induced by 30 μ M efavirenz in wild type $\alpha 1\beta 2\gamma 2$, the average of relative GABA currents was $96 \pm 2.2\%$ of control in $a_1(R84P)\beta_2\gamma_2$, $91 \pm 4.9\%$ in $a_1(M89L)\beta_2\gamma_2$ and $108 \pm 4.9\%$ in $a_1(I120L)\beta_2\gamma_2$ receptors (p>0.05, paired t-test, compared to the control. p<0.05, unpaired t-test, compared to WT). Furthermore, double (R84P/M89L) or triple (R84P/M89L/I120L) mutations did not vield additional change of efavirenz-induced modulation of GABA response compared to any single mutation (p>0.05, unpaired t-test. Fig. 9). Additionally, the receptors containing mutations of R84P and M89L in a1 subunit had a greatly reduced EC50 for GABA compared to wild type receptors (Table 1).

4. Discussion

Our original report was the first to demonstrate the ability of efavirenz to positively modulate $\alpha 1\beta 2\gamma 2$ GABA_A receptors stably expressed in HEK293 cell lines (Gatch et al., 2013). Here we sought to gain a more molecular mechanistic understanding of its actions on GABA_A receptors. First, the effects of efavirenz on GABA-currents were rapid (millisecond range) and reversible, suggesting that efavirenz acts directly on the GABA_A receptors, likely at an extracellular site(s). Second, the present results demonstrate that efavirenz can exert both potentiating and inhibiting effects on the GABA response and the potentiating effect depends on the GABA_A receptor subunit composition, being most prominent in $\alpha 1\beta 2\gamma 2$ configuration, greatly diminished in $\alpha 1\beta 2$ and $\alpha 6\beta 2\gamma 2$ configurations, and absent in the

 $\alpha 3\beta 2\gamma 2$ and $\alpha 5\beta 2\gamma 2$ configuration. The inhibitory effect is readily recognized in the $\alpha 3$, $\alpha 5$ and a6-containing receptors in which both initial and end-application current were eventually inhibited by efavirenz. In $\alpha 1$, $\alpha 2$ and $\alpha 4$ -containing receptors where the initial and end-application currents were oppositely affected by efavirenz, enhanced current decay (i.e., reduction of end-application) could reflect receptor desensitization or channel block caused by efavirenz. It is somehow difficult to completely dissect two actions that may occur simultaneously. However, our results especially from mutagenesis indicate that the efavirenz-induced current decay is uncoupled with its potentiating effect but associated with its inhibitory effect. First, the effect of efavirenz on current decay is more evident in $\alpha 1\beta 2$ than $\alpha 1\beta 2\gamma 2$ while potentiation of initial current is comparable between these two receptors. At 30 µM efavirenz, the end-application currents were completely inhibited in $\alpha 1\beta 2$ but were reduced to $46 \pm 11\%$ in $\alpha 1\beta 2\gamma 2$. In contrast, the potentiation elicited by 30 μ M efavirenz was similar between the receptors (relative initial current: 156 ±14% in a1β2 and $164 \pm 16\%$ of control in $\alpha 1\beta 2\gamma 2$, p>0.05, unpaired t-test). Second, efavirenz-induced current decay is associated with its inhibitory action. The effect of efavirenz on current decay was diminished by a point mutation of T6'F in TM2 that conferred the sensitivity of pore blocker picrotoxin (PTX). Furthermore, as shown in Fig. 7C, the effect of the mutation on current decay was stoichiometrically similar between efavirenz and PTX. These data suggest that efavirenz shares similar action site and mechanism with PTX. More importantly, the ability of efavirenz to potentiate GABA response was not altered by the T6'F mutation (Fig. 7A). Our results suggest that efavirenz-induced current decay reflects its antagonistic action mediated by known PTX sites at TM2. Finally, pre-incubation of efavirenz for 1 min resulted in greater blockade on end-application currents but similar potentiation on initial peak current compared to coapplication. It appears that efavirenz is able to access and occupy its inhibitory site when the channels are closed, maximizing its inhibitory action without affecting potential action. Dual effects of efavirenz present in α 1, α 2 and α 4-containing GABA_A receptors are mediated by two distinct sites. In fact, similar dual modulation has also been demonstrated in other GABAA receptor modulators such as pentobarbital (Akk and Steinbach, 2000; Akk et al., 2004), lactones (Rho et al., 1997), carisoprodol (Kumar et al., 2015) and meprobamate (Kumar and Dillon, 2016). Dual modulatory effects of efavirenz on GABA_A receptor is also dependent on [GABA]. Specifically, the potentiating effect is dependent on the concentration of GABA without apparently affecting maximum GABA currents in $\alpha 1\beta 2\gamma 2$ receptors. Efavirenz displayed only antagonistic modulation on $\alpha 3\beta 2\gamma 2$ receptors in a competitive manner.

While one might speculate that efavirenz acts at the BDZ site to exert its potentiating effect because efavirenz's potentiating ability is less on BDZ-insensitive $\alpha 6\beta 2\gamma 2$ receptors, this is likely not the case as the BDZ site antagonist flumazenil failed to block efavirenz-induced potentiation in $\alpha 1\beta 2\gamma 2$ receptors. In addition, $\alpha 3\beta 2\gamma 2$ and $\alpha 5\beta 2\gamma 2$ receptors were completely insensitive to the potentiating effect of efavirenz, and the BDZ site is homologous to that in $\alpha 1\beta 2\gamma 2$ receptors (Buhr and Sigel, 1997; Hanson and Czajkowski, 2008; Hanson et al., 2008; Morlock and Czajkowski, 2011). For these reasons, the stimulatory effect of efavirenz does not appear to be due to it interacting at the BDZ site. Moreover, the lack of potentiation on $\alpha 3\beta 2\gamma 2$ and $\alpha 5\beta 2\gamma 2$ receptors also diminishes the likelihood that efavirenz interacts with the same sites as barbiturates (Thompson et al.,

1996), neurosteroids (Lambert et al., 1990; Puia et al., 1993; Belelli et al., 2002), or carisoprodol (Kumar et al., 2015), as each of these ligands positively modulate these two receptors. The potentiating effect of efavirenz is highly dependent on α isoforms, being present in a1-, a2-, and a4-containing receptors but absent in a3- or a5-containing receptors. This unique subunit profile of positive modulation by efavirenz further led us to locate three homologous residues, R84, M89 and I120 on the extracellular domains in $\alpha 1/2/4$ subunits as the putative sites responsible for positive modulation of efavirenz. Mutating any one of the three residues was sufficient to disrupted the ability of efavirenz to potentiate $\alpha 1\beta 2\gamma 2$ receptors, suggesting that these residues likely mediate the potentiation effect of efavirenz in $\alpha 1\beta 2\gamma 2$ receptors. The presence of M89 and I120 in the $\alpha 6$ isoform, which retains a partial potential effect for efavirenz, seems consistent with their role in efavirenz's action. However, how efavirenz interacts with GABAA receptors via these residues is not clear. While no crystal structure is available to disclose their threedimensional location, we may gain some insights about the roles of these residues from analogies with 4 Å resolution of acetylcholine binding protein (AChBP) (Miyazawa et al., 2003; Unwin, 2005) and 3 Å resolution of GABA_A receptor β 3 crystal structures (Miller and Aricescu, 2014). The a 1 R84 and M89 residues (equivalent to T82 and V87 in \$3 subunit) are located between agonist binding loop D and A and I120 (equivalent to L118 in β 3 subunit) at agonist binding loop E (Cromer et al., 2002). The interaction of R86 in the β 3 subunit with its surrounding residues in the complementary subunit has been proposed to form the inter-subunit interface (Miller and Aricescu, 2014). Our data show that efavirenz increases GABA potency (i.e., reduces GABA EC_{50}) without affecting efficacy. Consistent with this is the finding that the R84P and M89L also increases GABA potency. In addition, M is a polar and uncharged residue and contains sulfur, and usually participates in hydrogen bounds (Biswal et al., 2012). L has larger accessible surface than I as revealed by a study from a nonredundant bank of 587 3D structure proteins (Lins et al., 2003). We speculate that substitution of residue M or I with L may alter the secondary and tertiary structures governing the interaction of efavirenz with GABAA receptors, influencing GABA-binding and/or inter- subunit interface. However, whether efavirenz directly binds to these residues remains a topic of further investigation.

O'Toole and Jenkins (2012) reported that the outward rectification of GABA_A receptors is inversely related to the degree of channel activation. As a result, positive modulators have less effect when Cl⁻ ions are entering into the cell at positive membrane potentials (O'Toole and Jenkins, 2012). Consistent with their observation, the potentiating effect of efavirenz displays an apparent voltage-dependence with less potentiation at positive membrane potentials. The ability of efavirenz to inhibit all GABA_A receptor compositions examined suggests a conserved site for its inhibitory action. The present results demonstrate that the inhibitory effects of efavirenz are influenced by membrane voltage, being greater at positive potentials. This type of voltage dependence is consistent with efavirenz binding within the electric field potential of the membrane, presumably within the ion channel pore. A similar pattern of voltage-dependence has been also reported for penicillin, an open channel blocker (Fujimoto et al., 1995). Penicillin interacts with the GABA_A receptor channel at the same or overlapping sites for picrotoxin another channel pore blocker (Sugimoto et al., 2002; Bali and Akabas, 2007). Point mutation of a conserved threonine to phenylalanine at the pore

lining 6' position of the second transmembrane domain (TM2 T6'F) in either the α 1 or β 2 subunit weakened the antagonism by efavirenz, and implicating this residue in the inhibitory actions of efavirenz. In the case of picrotoxin, binding has been proposed to occur at the intracellular vestibule of the channel through a series of H-bonds formed with the 2' position of the receptor (Zhorov and Bregestovski, 2000; Hibbs and Gouaux, 2011). While multiple sites of action for picrotoxin have been proposed (Buhr et al., 2001; Chen et al., 2006; Bali and Akabas, 2007), the replacement of threonine with the bulky benzyl side chain phenylalanine at the 6' position sterically blocks picrotoxin access to its binding site from the extracellular side when the channel is in the open state (Gurley et al., 1995; Sedelnikova et al., 2006). This same mutation abolishes or significantly attenuates effects of several other ligands that block the pore of GABA_A receptors, including pentylenetetrazole (Dibas and Dillon, 2000), ethanol (Johnson et al., 2012), and EBOB (Hisano et al., 2007) and carisoprodol (Kumar et al., 2011). Thus, additional studies will be needed to discern whether the TM2 T6' threonine interacts directly with efavirenz, or is critical for receptor conformational changes elicited subsequent to efavirenz binding.

5. Conclusion

The present results demonstrate that efavirenz has both stimulatory and inhibitory effects in GABA_A receptors, and that the stimulatory effect is sensitive to subunit configuration. The positive allosteric modulatory effect of efavirenz that occurs at lower concentrations appears is sensitive to residues R84, M89 and I120 located on the extracellular domains of $\alpha 1/2/4$ subunits, which is a site distinct from the benzodiazepine, neurosteroid and barbiturate sites. The ability of efavirenz to inhibit GABA_A receptors is sensitive to a channel-lining region of the second transmembrane domain of the α and/or β subunit(s), which is consistent with its channel blocking actions at higher concentrations. Brain accumulation of efavirenz in humans and rodents is expected to be in the tens of μ M under normal dosing conditions (Dalwadi et al., 2016). Based on our present results, efavirenz may primarily act as an agonist or antagonist depending on efavirenz concentration and GABA_A receptor subunit compositions expressed in the specific brain regions. Therefore, the CNS side effects of efavirenz related to its action on GABA_A receptors could vary from depression (potentiation of the receptor function) to anxiety and nervousness (inhibition).

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Abbreviations

| BDZ | benzodiazepine |
|------|------------------------|
| CNS | central nervous system |
| DMSO | dimethyl sulfoxide |

| DZ | diazepam |
|--------|--|
| EFAV | efavirenz |
| FLU | flumazenil |
| LGICs | ligand-gated ion channels |
| HEK293 | Human embryonic kidney cell line |
| NNRTI | non-nucleoside reverse transcriptase inhibitor |
| РТХ | picrotoxin |
| TM2 | second transmembrane domain |

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Highlights

- Efavirenz produces inhibitory and potentiating effects on recombinant GABA_A receptors depending on concentration and/or receptor subunit configurations.
- The two opposing modulatory effects of efavirenz are mediated via two distinctive sites on GABA_A receptors.
- Efavirenz's allosteric potentiation of GABA_A receptors via a new modulatory site at the extracellular domains.



Figure 1. Concentration-response relationship for efavirenz-mediated potentiation of a1 β 2 γ 2, a2 β 2 γ 2 and a4 β 2 γ 2 GABA_A receptors

A, Representative traces showing whole-cell currents activated by EC₃₀ GABA recorded from HEK293 cells expressing $\alpha 1\beta 2\gamma 2$ GABA_A receptors. Efavirenz (1–50 µM) was coapplied with GABA for 10 sec. Arrows indicate the time at which end-application currents were measured. **B**, A plot of the efavirenz concentration-response relationship. All currents are normalized to the response to GABA without efavirenz. EC₅₀ values for efavirenz response were 13 ± 2.3 µM, 8.4 ± 4.9 and 5.9 ± 1.3 µM for $\alpha 1\beta 2\gamma 2$, $\alpha 2\beta 2\gamma 2$ and $\alpha 4\beta 2\gamma 2$ GABA_A receptors, respectively. Hill coefficients were 1.2 ± 0.2 , 1.2 ± 0.0 and 1.2 ± 0.0 for $\alpha 1\beta 2\gamma 2$, $\alpha 2\beta 2\gamma 2$ and $\alpha 4\beta 2\gamma 2$ GABA_A receptors, respectively. The curve shows the fit of the mean of at least 5 cells as described in the methods. EFAV=efavirenz.



Figure 2. Effect of pre-applied efavirenz on the response of $\alpha 1\beta 2\gamma 2$ receptors to efavirenz *A*, Representative traces showing whole-cell currents activated by 10µM GABA recorded from HEK293 cells expressing $\alpha 1\beta 2\gamma 2$ receptors. Efavirenz (30 µM) was co-applied with GABA for 10 sec to the cell without or with 1-min pre-treatment with efavirenz (30 µM). Note that end-application currents (indicated by arrows) were remarkably inhibited by pre-treatment with efavirenz compared to co-application only. *B*, Summary data on efavirenz-induced modulation of GABA response from the same cells without (No pre-EFAV) or with 1-min pretreated efavirenz (Pre-EFAV). **, p<0.01, unpaired t-test, n=5.



Figure 3. Efavirenz-mediated inhibitory response in $\alpha3\beta2\gamma2, \alpha5\beta2\gamma2$ and $\alpha6\beta2\gamma2$ $GABA_A$ receptors

A, Representative traces showing whole-cell currents activated by EC_{30} GABA recorded from HEK293 cells expressing $\alpha 3\beta 2\gamma 2$, $\alpha 5\beta 2\gamma 2$ and $\alpha 6\beta 2\gamma 2$ GABA_A receptors. Efavirenz (10–30 µM) concentration-dependently inhibited GABA currents without any potentiating effect in $\alpha 3\beta 2\gamma 2$ and $\alpha 5\beta 2\gamma 2$, and elicited slight enhancement on GABA initial peak currents but inhibited end-application currents in $\alpha 6\beta 2\gamma 2$. *B*, Summary data for effect of efavirenz on GABA response. IC₅₀ values were 14 ± 6.3 (n=15) and 9.1 ± 7.7 µM (n=6) for $\alpha 3\beta 2\gamma 2$ and $\alpha 5\beta 2\gamma 2$, respectively. Hill coefficient were 1.4 ± 0.5 and 1.0 ± 0.5 for $\alpha 3\beta 2\gamma 2$ and $\alpha 5\beta 2\gamma 2$, respectively. Biphasic effect of efavirenz on $\alpha 6\beta 2\gamma 2$. *, p<0.05; **, p<0.01, paired t-test. n=6.





A, Representative traces showing whole-cell currents activated by EC₃₀ GABA (0.5 μM) recorded from HEK293 cells transiently expressing $\alpha 1\beta 2$ GABA_A receptors. Efavirenz (1–30 μM) was co-applied with GABA for 10 sec. *B*, A Summary data showing the efavirenz concentration-response relationship. All currents are normalized to the response to 0.5 μM GABA. *, p<0.05; **, p<0.01, paired t-test, compared to the control, n=5–6.



Figure 5. Effect of flumazenil on efavirenz response in $\alpha 1\beta 2\gamma 2$ GABA_A receptors

A, Representative traces showing whole-cell GABA-activated currents recorded from HEK293 cells expressing $\alpha 1\beta 2\gamma 2$ GABA_A receptors. Flumazenil (3 µM) blocked potentiation caused by 1 µM diazepam but not by 10 µM efavirenz. Flumazenil (3 µM) alone potentiated the currents activated by 10 µM GABA. EFAV=efavirenz. DZ=diazepam. FLU=flumazenil. *B*, Summary data for effect of flumazenil on diazepam, efavirenz and GABA response. All currents were normalized to the control. *, p<0.05; **, p<0.01, paired t-test, compared to the control. n=7–13.







Figure 7. Effect of TM2 T6'F mutation on efavirenz sensitivity in a1β2 GABA_A receptors

A, Representative traces showing EC₃₀ GABA currents with or without co-application of efavirenz (EFAV, 10 or 30 μM) or picrotoxin (PTX, 100 μM) recorded from HEK293 transiently expressing a 1β2, a 1β2(T6[']F) and a 1(T6[']F)β2 receptors. PTX-induced inhibition was abolished by the T6[']F mutation in both a 1β2(T6[']F) or a 1(T6[']F)β2 receptors. Efavirenz-induced potentiation on initial current amplitude was not affected by TM2 T6[']F mutations. In contrast, efavirenz-mediated inhibition on end-application current amplitude was greatly attenuated in a 1β2(T6[']F) and a 1(T6[']F)β2 receptors. *B&C*, Summary data for efavirenz and picrotoxin effect on initial (B) and end-application amplitude (C) activated by GABA in wild type a 1β2, mutant a 1β2(T6[']F) and a 1(T6[']F)β2 receptors. Minimum of four cells were tested for efavirenz or PTX sensitivity. *, p<0.05; **, p<0.01, paired t-test, compared to control response without efavirenz or PTX. +, p<0.05; ++, p<0.01, unpaired t-test, compared to wild type.



Figure 8. Current-voltage relationship for efavirenz effect on GABAA receptors

Whole-cell currents were recorded from single cells expressing $\alpha 1\beta 2\gamma 2$ (A), $\alpha 3\beta 2\gamma 2$ (B) or $\alpha 1(T6'F)\beta 2$ receptors (C). Efavirenz (10 μ M) was co-applied with EC₃₀ GABA [10 μ M for $\alpha 1\beta 2\gamma 2$, 20 μ M for $\alpha 3\beta 2\gamma 2$ and 1 μ M for $\alpha 1(T6'F)\beta 2$]. *A1&B1&C1*, Average current response to a voltage ramp from -60 to +60 mV (0.24 mV/ms) at control and during efavirenz application. *A2&B2&C2*, Voltage-dependence of efavirenz effect on GABA currents. The currents are normalized to the control response at the same holding potential (V_H). Solid line shows fit the mean of efavirenz-induced effect with a linear regression. $\alpha 1\beta 2\gamma 2$: n=5; $\alpha 3\beta 2\gamma 2$: n=9; $\alpha 1(T6'F)\beta 2$: n=6.



Figure 9. Effect of mutations of a1 R84P, M89L and I120L on efavirenz sensitivity in a1 $\beta 2\gamma 2$ GABA_A receptors

A, Representative traces showing EC₃₀ GABA currents with or without co-application of efavirenz (10 or 30 μ M) in wild type, $\alpha 1(R84P/M89L)\beta 2\gamma 2$ and $\alpha 1(R84P/M89L/1120L)\beta 2\gamma 2$ receptors. **B**, Summary data for efavirenz effect on wild type and mutant $\alpha 1\beta 2\gamma 2$ GABA_A receptors. Minimum of five cells were tested for efavirenz. Note that efavirenz-mediated potentiation was completely lost in all mutant receptors. p>0.05, paired t-test, compared to control; p<0.05, unpaired t-test, compared to wild type.

Table 1

GABA sensitivity of different GABAA receptor configurations

| Receptor configurations | EC ₅₀ (µM) | Hill coefficient | Sample size | EC ₃₀ (µM) |
|-------------------------|-----------------------|------------------|-------------|-----------------------|
| α1β2γ 2 | 34 ± 4.9 | 1.08 ± 0.15 | 8 | 10 |
| α2β2γ2 | 40 ± 2.3 | 1.48 ± 0.11 | 6 | 23 |
| α3β2γ2 ¹ | 33 ± 2.1 | 1.1 ± 0.03 | 4 | 20 |
| α4β2γ2 | 4.3 ± 0.2 | 1.19 ±0.05 | 5 | 2 |
| α5β2γ2 | 16 ± 1.0 | 1.27 ± 0.09 | 4 | 9 |
| α6β2γ2 ¹ | 1.6 ± 0.1 | 1.6 ± 0.11 | 4 | 1 |
| a1β2 ² | 1.6 ± 0.2 | 1.3 ± 0.10 | 4 | 0.5 |
| α1(T6'F)β2 ² | 1.7 ± 0.3 | 0.9 ± 0.10 | 4 | 0.5 |
| α1β2(T6'F) ² | 3.6 ± 0.5 | 1.1 ± 0.10 | 4 | 1.2 |
| a1(R84P)β2γ2 | 7.9 ± 1.2 | 1.54 ± 0.21 | 7 | 4 |
| a1(M89L)b2y2 | 6.6 ± 0.5 | 1.50 ± 0.08 | 4 | 4 |
| a1(I120L)β2γ2 | 32 ± 1.0 | 1.17 ±0.04 | 5 | 10 |
| a1(R84P/M89L)β2γ2 | 4.1 ± 0.1 | 0.93 ± 0.03 | 5 | 1.7 |
| a1(R84P/M89L/I120L)β2γ2 | 5.3 ± 0.4 | 1.24 ± 0.09 | 4 | 2.5 |

1, 2. indicate the values reported in our previous publications:

¹(Bell-Horner et al., 2000),

²(Gonzales et al., 2008).

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Table 2

| receptor $\alpha(1-6)$ subunits. |
|----------------------------------|
| $f GABA_A$ |
| domain oi |
| racellular (|
| t of ext |
| alignmen |
| sequence |
| o acid |
| Aminc |

| | 83 | 8 | 85 | 86 | 87 | 88 | 89 | 6 | 91 | 92 | 118 | 119 | 120 | 121 | 122 | 123 |
|----|----|---|----|----|----|----|----|---|----|----|-----|-----|-----|-----|-----|-----|
| lα | Г | R | Г | z | z | L | M | A | s | К | Г | К | Ī | F | Щ | D |
| 2α | L | R | Г | Z | N | L | M | А | s | К | L | R | Ī | б | D | D |
| 4α | L | R | Г | Z | N | L | M | Λ | Т | К | F | R | Ī | Μ | R | z |
| 3α | L | Ī | Г | Z | N | L | L | А | s | К | L | R | L | ^ | D | z |
| Σα | L | Ī | Г | Z | Ν | L | L | А | s | K | L | R | L | Е | D | D |
| ξα | L | S | Г | z | z | L | М | > | s | К | ц | R | I | Μ | ð | z |

The resides that are conserved in $\alpha 1$, $\alpha 2$ and $\alpha 4$ but not $\alpha 3$, $\alpha 5$ or $\alpha 6$ are marked in bold and underline