

Diagnosis of DWI-negative acute ischemic stroke

A meta-analysis



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ABSTRACT

Objective: To determine the prevalence of diffusion-weighted imaging (DWI)-negative acute ischemic stroke (AIS) and to identify clinical characteristics of patients with DWI-negative AIS.

Methods: We systematically searched PubMed and Ovid/MEDLINE for relevant studies between 1992, the year that the DWI sequence entered clinical practice, and 2016. Studies were included based upon enrollment of consecutive patients presenting with a clinical diagnosis of AIS prior to imaging. Meta-analysis was performed to synthesize study-level data, estimate DWI-negative stroke prevalence, and estimate the odds ratios (ORs) for clinical characteristics associated with DWI-negative stroke.

Results: Twelve articles including 3,236 AIS patients were included. The meta-analytic synthesis yielded a pooled prevalence of DWI-negative AIS of 6.8%, 95% confidence interval (CI) 4.9–9.3. In the 5 studies that reported proportion data for DWI-negative and DWI-positive AIS based on the ischemic vascular territory ($n = 1,023$ AIS patients), DWI-negative stroke was strongly associated with posterior circulation ischemia, as determined by clinical diagnosis at hospital discharge or repeat imaging (OR 5.1, 95% CI 2.3–11.6, $p < 0.001$).

Conclusions: A small but significant percentage of patients with AIS have a negative DWI scan. Patients with neurologic deficits consistent with posterior circulation ischemia have 5 times the odds of having a negative DWI scan compared to patients with anterior circulation ischemia. AIS remains a clinical diagnosis and urgent reperfusion therapy should be considered even when an initial DWI scan is negative. *Neurology*® 2017;89:256–262

GLOSSARY

AAN = American Academy of Neurology; **AIS** = acute ischemic stroke; **CI** = confidence interval; **DWI** = diffusion-weighted imaging; **NIHSS** = NIH Stroke Scale; **OR** = odds ratio; **PRISMA** = Preferred Reporting Items for Systematic Reviews and Meta-Analyses; **PWI** = perfusion-weighted imaging; **tPA** = tissue plasminogen activator.

Rapid diagnosis is critical for optimizing outcomes in patients with acute ischemic stroke (AIS). Since time is brain,¹ a clinician's ability to quickly recognize AIS and administer thrombolytic or endovascular therapy has consistently been shown to improve long-term functional recovery.^{2,3} Historically, AIS has been a clinical diagnosis based on the sudden onset of focal neurologic deficits. Accordingly, the inclusion criteria for the landmark 1995 National Institute of Neurological Disorders and Stroke study of IV tissue plasminogen activator (tPA) for AIS included a neurologic deficit that is measurable on the NIH Stroke Scale.⁴ Imaging data, mostly head CT, were used to exclude patients with intracranial hemorrhage or other pathology such as tumor or abscess. However, because CT is not sufficiently sensitive to diagnose ischemia, radiographic findings were not an inclusion criterion for thrombolysis.

Recently, there has been a significant increase in the use of brain MRI in the evaluation of patients with AIS.⁵ The rationale for MRI, in particular diffusion-weighted imaging (DWI), in the evaluation of AIS is that this modality has substantially higher sensitivity (88%–100%)^{6,7} than that of head CT for detecting acute ischemia,^{8–11} with a specificity reported to be as high as 95%–100%.⁶ In addition, MRI identifies acute intracranial hemorrhage with similar reliability

Supplemental data
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to CT.^{11–13} In 2010, the American Academy of Neurology (AAN) published an evidence-based guideline on the role of DWI for the diagnosis of AIS, stating that “DWI should be performed for the most accurate diagnosis of acute ischemic stroke.”¹⁴ This recommendation represents a paradigm shift in the diagnostic evaluation of patients with AIS. Rather than using head CT solely to rule out a contraindication to thrombolysis, brain MRI is now being used to rule in AIS.

However, there is emerging evidence that DWI fails to identify AIS in a substantial minority of patients. These DWI-negative stroke cases broadly fall into 3 categories. First, multiple reports and observational cohort studies indicate that posterior circulation ischemia is associated with DWI negativity.^{15–17} Second, small strokes, particularly in the brainstem, may evade detection by DWI.^{16–18} Third, hyperacute ischemia may be underestimated^{19,20} or missed by DWI.^{21,22} These reports of patients with a clinical diagnosis of AIS but normal DWI scans have led to questions about the appropriate balance between clinical and radiologic diagnostic criteria for AIS. Here, we perform a meta-analysis of DWI-negative AIS to determine the prevalence of DWI-negative AIS and to identify clinical characteristics associated with DWI-negative AIS. We hypothesize that posterior circulation ischemia, small volume infarction, and hyperacute DWI (within 6 hours of symptom onset) are associated with DWI-negative AIS. We aim to help clinicians avoid misdiagnosis or delays in diagnosis, since it is essential that thrombolysis or endovascular therapy be administered urgently in eligible patients to optimize outcomes.

METHODS This meta-analysis adheres to the Preferred Reporting Items for Systematic Reviews and Meta-Analyses (PRISMA) guidelines.²³

Information sources and study selection. We created a search strategy to identify studies of DWI-negative AIS. We searched PubMed and Ovid/MEDLINE from January 1, 1992, to April 1, 2016, using the following search terms: “acute ischemic stroke” or “acute ischaemic stroke” and “diffusion-weighted imaging” or “diffusion weighted imaging” or “DWI.” Studies published in non-English-language journals were excluded, as were studies focusing exclusively on TIA and experimental studies involving animals. Two authors (B.L.E., J.A.E.) identified potentially relevant studies from the PubMed and Ovid/MEDLINE searches. Reference lists from all articles and the authors’ own files were also searched for relevant publications.

Studies were selected for meta-analysis if they included participants with a diagnosis of DWI-negative AIS. Studies that tested the effect of DWI sequence parameters (e.g., spatial resolution, b value) on DWI lesion conspicuity but did not report the number of patients with DWI-negative AIS were excluded. If there was disagreement between the 2 authors performing the literature search about inclusion of a study, agreement was reached during a second consensus review.

Data classification. For each study, we extracted demographic, clinical, and radiologic data in accordance with the PRISMA criteria²³ for preferred reporting in meta-analyses: type of study (i.e., prospective or retrospective), number of adult participants with AIS, mean age, sex distribution, number of DWI-negative AIS cases, criteria for AIS diagnosis, types of neurologic deficits, NIH Stroke Scale (NIHSS) scores, cerebrovascular circulation implicated in pathogenesis of AIS (i.e., posterior vs anterior), and neuroanatomic localization of stroke lesions. Studies were critically appraised to determine if they met the a priori inclusion criterion: enrollment of consecutive patients presenting with AIS as a clinical diagnosis prior to any imaging.

Studies that selected patients based upon presence or absence of treatment (e.g., IV tPA or antithrombotic therapy) were considered for inclusion, because patient selection based on therapy is unlikely to bias the results of a meta-analysis away from the null hypotheses pertaining to cerebrovascular circulation, stroke size, or timing of DWI scan. However, studies that selected patients based upon symptom type, NIHSS score, or cerebrovascular territory were excluded because of the possibility of introducing systematic bias.

For studies that reported DWI results using both clinical and investigational DWI sequences (e.g., DWI sequences with high b values), only data from the clinical DWI sequences were analyzed. We included studies in which the DWI data were obtained within 72 hours of symptom onset. This conservative time threshold reduced the likelihood that an association of DWI negativity with hyperacute DWI data acquisition might confound the relationship between DWI negativity and cerebrovascular circulation or stroke size. Furthermore, this conservative time threshold reduced the likelihood that we would overestimate the prevalence of DWI-negative AIS.

Statistical analysis. Data were analyzed using Comprehensive Meta-Analysis software, version 2.2 (Biostat, Englewood, NJ). We used a random effects model to estimate a pooled prevalence and odds ratio (OR) with 95% confidence intervals (CI), where weights were calculated using the inverse variance method for random effects. We assessed heterogeneity using I^2 , reflecting the percentage of observed variation across studies that is due to true differences, and we assessed publication bias by inspecting funnel plot symmetry. To evaluate whether any study had an extreme influence as an outlier, we checked the standardized residuals and the range of results when the meta-analysis was repeated with each individual study excluded.

RESULTS Literature search. Our literature search yielded 1,132 eligible articles, of which 1,122 were identified via PubMed and Ovid/MEDLINE searches and 10 were identified from our personal reference libraries. Of these 1,132 articles, 45 were identified by both authors performing the literature search as containing patients with DWI-negative AIS. Eleven articles were identified by 1 of the 2 authors during the first literature review, followed by agreement during a second consensus review. The search of

Table 1 Demographics, clinical characteristics, and diffusion-weighted imaging (DWI) sequence measures for patients with DWI-negative acute ischemic stroke (AIS) (n = 12 studies; n = 3,236 patients)

Study author (year)	Study design	No. of AIS with DWI	Mean ± SD age, y	% Male	Criteria for AIS diagnosis	DWI measures		
						Spatial resolution, mm	b Value, s/mm ²	No. (%) of DWI-negative AIS
Lovblad et al. ²⁵ (1998)	R	151	NR	48.4	Clinical dx at d/c	2 × 2 × 7	1,000	18 (11.9)
Lansberg et al. ⁴¹ (2000)	P	49	71 ± 13	NR	Clinical dx at d/c	NR × NR × 7.5	849	3 (6.1)
Oppenheim et al. ²⁴ (2000)	R	130	NR	NR	Clinical dx at d/c, f/u MRI	2.9 × 3.3 × 7.5	800–1,000	8 (5.8)
Oppenheim et al. ²⁶ (2000)	P	59	58 ^a	53.9 ^a	Clinical dx at d/c, f/u CT or MRI	2.9 × 3.3 × 7.5	800	3 (5.1)
Perkins et al. ⁴² (2001)	R	79	NR	NR	Clinical dx at d/c	NR	NR	4 (5.1)
Mullins et al. ⁴³ (2002)	R	410	67.5 ± 16 ^a	46.0 ^a	Clinical dx at d/c	1.6 × 1.6 × 6	1,221	21 (5.1)
Kim et al. ³⁸ (2005)	P	85	62 ± 8 ^a	60.6 ^a	Clinical dx at d/c, f/u MRI	2.0 × 2.0 × 7	1,000	5 (5.9)
Shintani et al. ⁴⁴ (2005)	P	366	NR	NR	Clinical dx at d/c, f/u MRI	1.8 × 1.8 × 6.5	1,000	4 (1.1)
Chalela et al. ¹¹ (2007)	P	190	NR	NR	Clinical dx at d/c	1.9 × 1.9 × 7	1,000	33 (17.4)
Thomalla et al. ⁴⁵ (2011)	R	543	66	NR	Clinical dx at d/c, f/u MRI	NR	1,000	27 (5.0)
Brunser et al. ⁴⁶ (2013)	P	609	71.1 ± 16.2	50.8	Clinical dx at d/c, f/u MRI	2.8 × 1.8 × 5	1,000	58 (9.5)
Simonsen et al. ⁷ (2015)	P	565	67 ^b	62 ^b	Clinical dx at d/c, f/u MRI	NR	NR	47 (8.3)

Abbreviations: d/c = hospital discharge; dx = diagnosis; f/u = follow-up; NR = not reported; P = prospective; R = retrospective.

^aData only available for entire study cohort, which included patients with suspected stroke upon presentation to the emergency department who were not ultimately diagnosed with AIS.

^bWeighted averages derived from the data reported. For spatial resolution of the DWI sequence, the interslice gap is included in the Z dimension (i.e., a voxel size of 2 × 2 × 5 mm with a 2 mm interslice gap is reported as 2 × 2 × 7 mm).

PubMed, Ovid/MEDLINE, and personal reference libraries thus yielded a total of 56 studies.

Of these 56 studies, 12 met our a priori inclusion criterion that patients were enrolled based upon a clinical diagnosis of AIS prior to imaging (table 1). These 12 studies included 231 patients with a clinical diagnosis of DWI-negative AIS (table 2). Of the 44 studies that were excluded, 33 were excluded because they only enrolled AIS patients with a specific type of symptom (e.g., dizziness) or stroke syndrome (e.g., middle cerebral artery territory syndrome), 10 were excluded because they were case reports or case series of nonconsecutive AIS patients, and 1 was excluded because the total number of AIS patients who underwent DWI was not reported¹⁷ (figure 1).

Prevalence of DWI-negative AIS. The meta-analytic synthesis of the studies listed yielded a pooled prevalence of 6.8%, 95% CI 4.9–9.3, with *I*² of 81.

Prevalence estimates obtained by repeating the meta-analysis with each of the 12 studies individually excluded ranged from 6.2%, 95% CI 4.6–8.3 to 7.7%, 95% CI 5.8–10.2.

Clinical characteristics of patients with DWI-negative AIS. Five of the 12 studies reported the proportions of anterior circulation strokes and posterior circulation strokes that were DWI-negative. The meta-analytic synthesis of these 5 studies showed that with DWI-negative scan as the outcome, the OR for posterior circulation ischemia relative to anterior circulation ischemia was 5.1, 95% CI 2.3–11.6, *p* < 0.0005 (figure 2). Thus, patients with neurologic deficits consistent with posterior circulation ischemia had 5 times the odds of having a negative DWI scan than patients with anterior circulation ischemia. The *I*² was 39, and OR estimates obtained by repeating the meta-analysis with each of the 5 individual studies excluded ranged from 4.3, 95% CI 2.0–9.2, to 8.1, 95% CI 3.4–18.9, all 5 analyses with *p* < 0.005.

There were insufficient data for a meta-analysis of the potential association between DWI-negative AIS and small stroke volume, as only one study reported the volume of infarction, as detected by follow-up DWI scan.²⁴ In this study, the mean ± SD infarct size was 0.19 ± 0.16 cm³ (range 0.05–0.5 cm³). There were also insufficient data to test for an association between DWI-negative AIS and hyperacute DWI. Only 2 studies reported the proportion of DWI-negative AIS patients who underwent DWI within 6 hours of ictus as compared to after 6 hours.^{25,26} In the first study, the proportions of DWI negativity within and after 6 hours were 5.9% (2 of 34) and 13.7% (16 of 117), respectively.²⁵ In the second study, the proportions of DWI negativity within and after 6 hours were 14.3% (2 of 14) and 2.2% (1 of 45), respectively.²⁶ Additional studies

Table 2 Demographics and clinical characteristics of patients with diffusion-weighted imaging (DWI)-negative acute ischemic stroke (AIS) (n = 12 studies; n = 231 patients)

Study author (year)	DWI-negative AIS (%)	Mean ± SD age, y	Median NIHSS score (range)	Time to DWI, h	Posterior circulation, %	Anatomic localization
Lovblad et al. ²⁵ (1998)	18 (11.9)	NR	NR	<24	NR	NR
Lansberg et al. ^{e1} (2000)	3 (6.1)	NR	NR	<48	100	NR
Oppenheim et al. ²⁴ (2000)	8 (5.8)	60.0 ± 11.4	1 (1-5)	8.8 ± 8.5	75	Pons (5), central gyrus (1), subthalamic area (1), paracentral lobule (1)
Oppenheim et al. ²⁶ (2000)	3 (5.1)	NR	NR	5.3 ± 2.1	33	Pons (1), central sulcus (1), internal capsule (1) ^a
Perkins et al. ^{e2} (2001)	4 (5.1)	NR	NR	NR	NR	NR
Mullins et al. ^{e3} (2002)	21 (5.1)	NR	NR	NR	NR	NR
Kim et al. ³⁸ (2005)	5 (5.9)	NR	NR	<6	0	Basal ganglia (2), MCA (2), posterior limb of the internal capsule (1) ^a
Shintani et al. ⁴⁴ (2005)	4 (1.1)	73.3 ± 17.1	NR	9.1 ± 9.4	25	Corona radiata (1), parietal lobe (1), medulla (1), temporo-parieto-occipital (1)
Chalela et al. ¹¹ (2007)	33 (17.4)	NR	3 (0-37)	NR	NR	NR
Thomalla et al. ^{e5} (2011)	27 (5.0)	NR	NR	<12	NR	NR
Brunser et al. ^{e6} (2013)	58 (9.5)	NR	NR	NR	NR	NR
Simonsen et al. ⁷ (2015)	47 (8.3)	62	4	120 min	31.9	NR

Abbreviations: NIHSS = NIH Stroke Scale; NR = not reported.

^a In the Oppenheim et al.²⁶ and Kim et al.³⁸ studies, we classified DWI-negative infarcts of the internal capsule as being supplied by the anterior circulation, even though the internal capsule may be supplied by the posterior circulation due to anatomic variability. This classification assumption biases our results toward the null hypothesis that there is no association between DWI-negative stroke and posterior circulation localization.

reported results on an association between DWI negativity and time to DWI, albeit not using a 6-hour threshold. Chalela et al¹¹ found that DWI negativity was strongly associated with DWI acquisition at less than 3 hours, with an OR (95% CI) for DWI negativity of 5.8 (2.3–14.9). Similarly, Simonsen et al⁷ observed that patients with DWI-negative AIS underwent DWI sooner after symptom onset than patients with DWI-positive AIS: mean 109 minutes vs 120 minutes, respectively ($p < 0.05$).

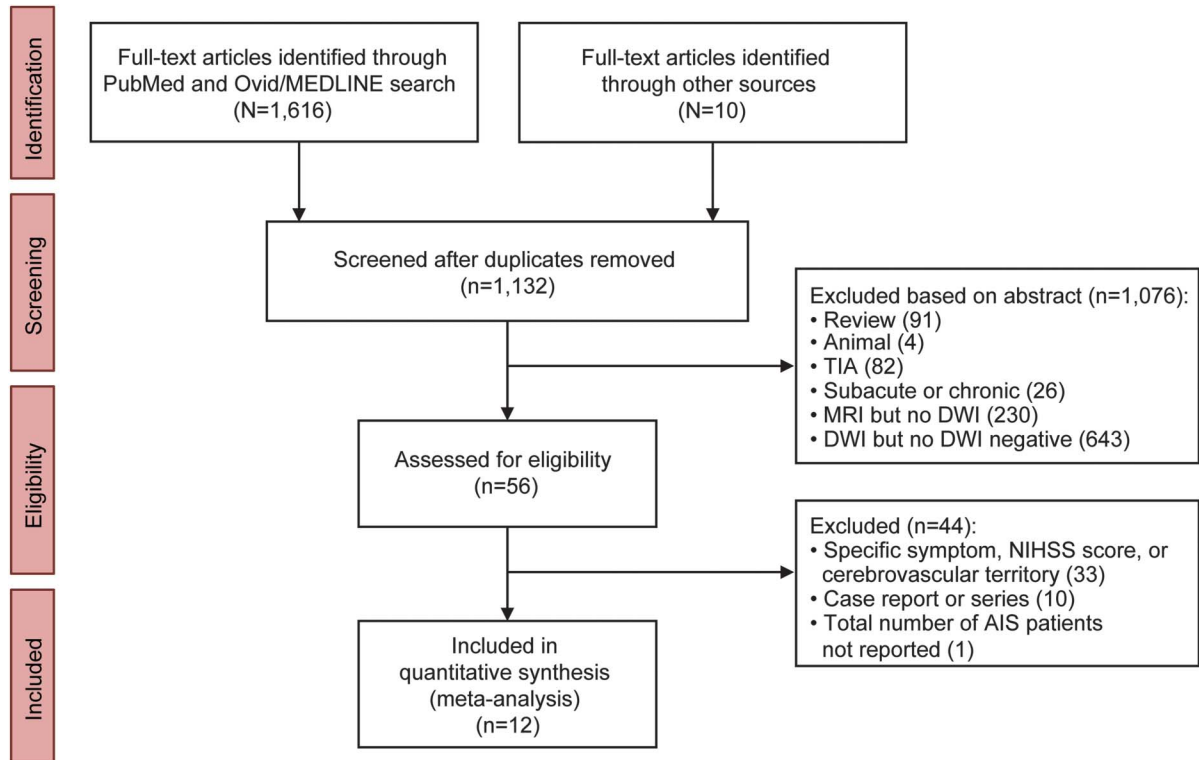
DISCUSSION In this meta-analysis of 3,236 patients from 12 studies that enrolled consecutive patients with a clinical diagnosis of AIS prior to imaging between 1992 and 2016, we found that the pooled prevalence of DWI-negative AIS was 6.8%. Neurologic deficits consistent with posterior circulation ischemia were significantly associated with DWI-negative AIS, as patients with posterior circulation ischemia were 5 times more likely than patients with anterior circulation ischemia to present with a negative DWI scan. These findings suggest that AIS should remain a clinical diagnosis and that clinicians should not exclude patients from urgent IV or endovascular reperfusion therapies based on a negative DWI scan.

The 2010 AAN Guideline authors acknowledged that “the sensitivity of DWI for the diagnosis of ischemic stroke in a general sample of patients with possible

acute stroke is not perfect.” Here, we support and quantify this assessment by providing an unbiased, population-based evaluation of the prevalence of and risk factors for DWI negativity. Our meta-analytic findings also support and expand upon observations from case reports and series that have been accumulating over the last 2 decades,^{27–30} confirming a correlation between posterior circulation ischemia and DWI-negative AIS. Indeed, our observed OR of 5.1 for an association between posterior circulation ischemia and DWI-negative AIS was robust despite the small number of studies included in this analysis. When each study was individually excluded, the effect size remained large and highly significant. Patients with posterior circulation stroke who present with acute dizziness may have an even higher likelihood of DWI negativity in the first 48 hours.^{31,32}

Our findings should not call into question the utility of DWI as an essential tool in the evaluation of patients with clinically suspected AIS. DWI has repeatedly been shown to be the most sensitive technique for identifying acute ischemia due to its ability to detect rapid shifts in the ratio of extracellular to intracellular water content in the brain.³³ Use of DWI in the evaluation of suspected AIS also helps to distinguish stroke from stroke mimics. Although seizure, tumor, traumatic axonal injury, and abscess can present with diffusion restriction on DWI,³⁴ the

Figure 1 Flow diagram of literature search results, study screening, and study inclusion numbers



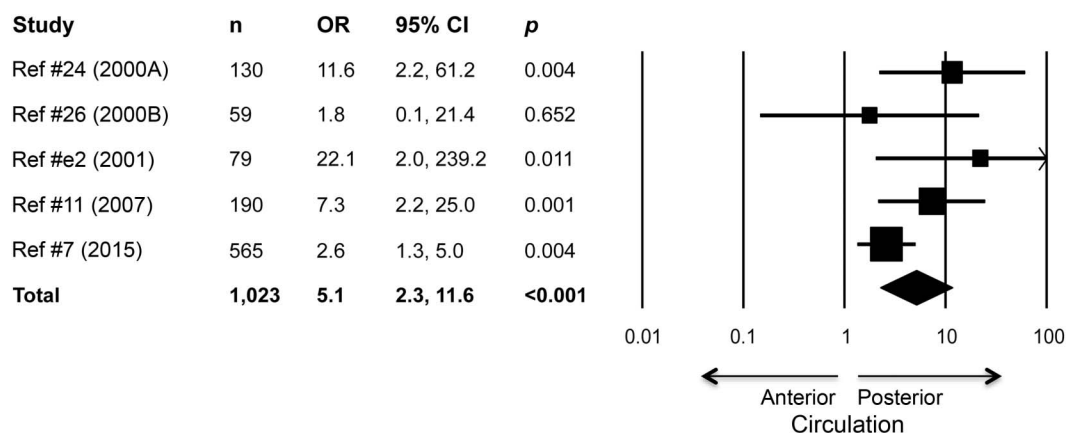
The PubMed and Ovid/MEDLINE searches were performed between January 1, 1992, and April 1, 2016, using the following search terms: “acute ischemic stroke” or “acute ischaemic stroke” and “diffusion-weighted imaging” or “diffusion weighted imaging” or “DWI.” Studies published in non-English-language journals were excluded, as were studies focusing exclusively on TIA and experimental studies involving animals. For articles excluded based on the abstract, some had multiple reasons for exclusion and the primary reason listed by the 2 raters may have differed. Thus, we report average numbers for the 2 reviewers, rounded to the nearest integer. AIS = acute ischemic stroke; DWI = diffusion-weighted imaging; NIHSS = NIH Stroke Scale.

signal characteristics of these lesions on conventional T1-weighted, T2-weighted, and T2*-weighted MRI sequences typically allow distinction between stroke and nonstroke etiologies.³⁴ Moreover, complicated migraine headache and conversion disorder³⁵ may

be identified by the absence of signal change on DWI and other sequences.

Because of a growing appreciation in the field of AIS for the possibility of DWI negativity, various diagnostic approaches have been developed to

Figure 2 Forest plot shows association between posterior circulation localization and diffusion-weighted imaging (DWI)-negative acute ischemic stroke (n = 1,023 patients who underwent DWI)



Odds ratios (OR) and 95% confidence intervals (CI) for DWI-negative scan are plotted, with the area of square proportional to the study's weight in meta-analysis. ORs and CIs are calculated from frequency data reported in the articles, except for the adjusted OR and 95% CI reported in Chalela et al.¹¹ (2007).

increase the sensitivity of MRI for detecting acute ischemia. A leading approach has been MRI perfusion-weighted imaging (PWI), which includes gadolinium-based techniques and arterial-spin-labeled techniques. Several studies of concurrent PWI-DWI have suggested that PWI provides enhanced sensitivity for detecting acute ischemia,^{7,16} and PWI continues to be an active area of investigation. Parallel efforts to increase the sensitivity of MRI for detecting AIS have focused on optimization of the DWI sequence itself. These efforts include increasing the spatial resolution,³⁶ reducing geometric distortion,³⁷ and performing a second coronal DWI acquisition through the posterior fossa. Furthermore, multiple investigators have tested the hypothesis that DWI sensitivity can be optimized by increasing the b value, a value that reflects the strength and duration of the diffusion gradients exerted on water molecules within the brain. While several studies have indicated that higher b values provide increased sensitivity for and increased conspicuity of small infarcts,^{38,39} this observation has not been consistently replicated.⁴⁰ At present, neither complementary MRI sequences like PWI nor high-b-value DWI techniques have gained wide acceptance in the clinical evaluation of patients with AIS.

Several limitations should be considered when interpreting the results of this meta-analysis. First, there have been multiple technical improvements in DWI since 1992, the year that DWI entered clinical practice. Given that 8 of the 12 studies included in this meta-analysis were published prior to or in 2005, it is possible that these early studies used DWI sequences that were not as sensitive as today's sequences for detecting AIS. Nevertheless, the imaging measures that are most relevant to DWI sensitivity (e.g., spatial resolution and b value) were similar between the 8 early studies and the 4 recent studies (table 1). Moreover, the study with the highest rate of DWI-negative AIS (17.4%) was published in 2007,¹¹ utilizing a DWI sequence whose parameters were similar to those currently used at many stroke centers. Thus, it remains to be determined whether the prevalence of DWI-negative AIS will decline with future technical improvements in the DWI sequence. Another important limitation is that we included studies that imaged patients up to 72 hours after symptom onset, which likely biased our results toward a lower prevalence of DWI-negative AIS than would have been observed if we limited the analysis to patients scanned earlier. Thus, it is possible that within 6 hours, a time window of greater clinical relevance for therapeutic decision-making, the rate of DWI negativity is even higher than 6.8%. Currently, the role of MRI in guiding hyperacute treatment decisions remains an area of active research.

It is essential that clinicians recognize current limitations in the diagnostic sensitivity of DWI for detecting acute ischemia, so as not to miss opportunities to treat AIS patients with thrombolysis and endovascular thrombectomy. Clinicians using DWI to diagnose stroke need to be aware of the possibility of DWI-negative AIS, especially in patients with neurologic deficits suggestive of posterior circulation ischemia. Future studies of DWI-negative patients should include follow-up imaging to determine whether final infarct volume is correlated with DWI negativity.

AUTHOR CONTRIBUTIONS

Design and/or conceptualization of the study: J.A.E. Analysis and/or interpretation of the data: B.L.E., S.H., J.A.E. Statistical analysis: S.H. Drafting and/or revising the manuscript: B.L.E., S.H., J.A.E.

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