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Impulse Control Disorders and Related Complications of Parkinson's Disease Therapy

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Abstract

Impulsive and compulsive behaviors in Parkinson's disease (PD) patients are most often attributed to dopamine agonist therapy; dysregulation of the mesocorticolimbic system accounts for this behavioral phenotype. The clinical presentation is commonly termed *impulse control disorder* (ICD): Behaviors include hypersexuality, compulsive eating, shopping, pathological gambling, and compulsive hobby participation. However, not all PD individuals taking dopamine agonists develop these behavioral changes. In this review, the authors focus on the similarities between the phenotypic presentation of ICDs with that of other reward-based behavioral disorders, including binge eating disorder, pathological gambling, and substance use disorders. With this comparison, we emphasize that the transition from an impulsive to compulsive behavior likely follows a ventral to dorsal striatal pattern, where an altered dopaminergic reward system underlies the emergence of these problematic behaviors. The authors discuss the neurobiological similarities between these latter disorders and ICDs, emphasizing similar pathophysiological processes and discussing treatment options that have potential for translation to PD patients.

Keywords

Parkinson's disease; dopamine agonist; impulse control disorder; striatum

Within the last decade, an increased recognition of clinically significant impulsive and compulsive behaviors in Parkinson's disease (PD) patients has resulted in a greater emphasis on the study of nonmotor dopamine effects on cognition and behavior.^{1–4} Despite the clinical efficacy of dopaminergic therapy for motor symptoms, certain PD patients experience alterations in the pursuit of reward or incentive-based behavior, commonly referred to as *impulse control disorder* (ICD).⁵ The clinical phenotype of ICD is a repetitive participation in reward-driven activities, which can include a combination of different pursuits related to sexuality, eating, shopping, gambling.⁶ In addition, patients can spend hours on hobbies instead of attending to daily living activities.^{1,4,5} There exist similar

phenotypic behaviors in non-PD populations, and the neurobiologic bases for these behaviors offer insights into why certain patients are susceptible to these symptoms. In this review, we focus on the neurobiology of impulsive compulsive behaviors in both PD and non-PD populations.

Parkinson's Disease: Personality and Dopamine

The neurodegenerative process in PD clinically manifests with motor symptoms linked to nigral-striatal pathology and dopaminergic disruption. Motor symptoms, such as resting tremor and bradykinesia, are often exquisitely responsive to exogenous dopaminergic therapy. Unfortunately, this same therapy can adversely impact key aspects of cognition and behavioral function in patients, leading to ICD.⁴ Symptoms are characterized by a compulsive reward-seeking behavior, and likely reflect dopamine effects on the mesolimbic and mesocortical networks, which receive dopaminergic inputs from the ventral tegmental area- (VTA-)associated networks.⁷⁻¹⁰ Second-generation nonergot dopamine agonists are the most common risk factor for ICD, and the preferential selectivity for D2-like receptors (D3 and D2 receptors), which are co-localized to the mesocorticolimbic system, likely explains the unique side-effect profile for this class of medication.¹¹

Even before receiving dopamine therapy, PD patients may develop changes in personality, which may reflect changes to this mesocorticolimbic system. Descriptions such as “harm-avoidant,” “introverted,” and “meek” often are attributed to the PD-personality phenotype. These descriptions are generated from cross-sectional studies and are difficult to experimentally replicate, but overall, PD patients are thought to display increased caution and be risk averse prior to diagnosis.¹² Termed as *Parkinson's disease personality*, these characteristics are certainly not predictive of a patient developing PD, as others have not replicated this personality as a risk factor or precursor for PD in larger longitudinal cohorts.¹³

In contrast to the risk-averse PD-personality description, patients with impulsive and compulsive behaviors are more likely to pursue risks, make poorly informed decisions without foresight, and compulsively pursue certain reward-based activities. Another clinically troubling symptom that contrasts the PD-personality phenotype is dopamine dysregulation syndrome (DDS). This is characterized by a patient's compulsive desire to take and increase dopaminergic medication dosage to maintain their “high” (when in “on” state) or avoid the “lows” (when in “off” state) associated with the nonmotor fluctuations commonly encountered with levodopa therapy.¹⁴ Although the clinical symptoms of ICD and DDS contrast in presentation, the biological link appears to be the mesocorticolimbic network, where improper regulation of the reward pathways account for these symptoms.^{15,16}

Biologically, dopamine receptors are differentiated by their mechanism of action, where D1-type receptors (subtypes D1 and D5) modify gamma-aminobutyric acid (GABA) transmission directly to the globus pallidus interna and subsequently the substantia nigra pars reticulata. Dopaminergic D2 type (subtypes D2, D3, D4) receptors modify substantia nigra pars reticulata activity in a different manner. These receptors send inhibitory

projections to the globus pallidus externa, then excitatory glutamatergic signaling via the subthalamic nucleus to converge at the globus pallidus interna and substantia nigra pars reticulata. D1-type and D2-type receptors are thus responsible for inhibitory and excitatory signaling, respectively. These two receptor families have contrasting roles with regards to reward-based decision making, where D1-type receptors localize to the direct pathway reward-based behaviors, and D2-type the indirect pathway.¹⁷ The direct pathway is associated with cue-based reward responses. When an unexpected reward occurs, D1-receptor-mediated phasic signaling results in a “positive” response through an increased stimulation of striatal projections to the nucleus accumbens (NAc)/ventral striatum. On the other hand, it is thought that D2 receptors play an opposing role to the D1 receptors, where they signal aversive or negative behavior and elicit suppression of the cortico-accumbens network.^{6,18–20} An imbalance between these pathways could lead to altered reward signaling and subsequent behavioral changes.⁶

Unlike D1 receptors, which cannot be easily imaged with conventional imaging techniques, D2 receptors are visualized by various positron emission tomography (PET) ligands. For instance, a comparison [¹¹C]raclopride (competitive D2-receptor ligand) PET study with PD patients with and without ICD showed that during a gambling task, PD patients with ICD had reduced binding to D2 receptors in the ventral, but not the dorsal striatum, illustrating that they might have difficulty relating negative valence to actions. Impulsive choice correlates to reduced D2 receptor expression on the ventral striatum, where an unopposed stimulation of the direct pathway and D1 receptors, and stimulation of the dorsal striatum, may bias reward-based choice.²¹

Mesocorticolimbic Network: From Stimulus to Compulsion

Two important brain dopaminergic pathways are the mesolimbic and mesocortical pathways. These circuits are responsible for reward learning and executive decision making, respectively, with dysregulation of the mesolimbic reward network underlying the clinical manifestation of impulsive and compulsive behaviors. The mesocorticolimbic dopaminergic network links key cortical and subcortical regions, especially the prefrontal cortex (PFC), ventral striatum, VTA, and amygdala.²² Cue incentive actions are encoded by the VTA and project to the ventral striatum, more specifically the NAc. Unlike the anatomically well-defined NAc in rodents, this region is not well-defined in humans; thus, the ventral striatum and NAc are interchangeable terms when describing clinically relevant behaviors in human studies). The NAc plays a crucial role in learning reinforcement. Conversely, aversive actions localize through the VTA’s projection to the NAc, ventral pallidum, amygdala, and lateral habenula. These regions receive GABA-ergic stimulation from the NAc, resulting in a negative stimulus response.^{23–25}

The mesocortical pathway is important for executive function, as the prefrontal cortex projects to the ventral striatum.^{26–28} The ventral striatum also receives reciprocal inputs from the VTA, and striatal changes appear to account for the translation from impulsive action into compulsive addiction.^{29–31} In this case, the dorsal striatum is responsible for habitual and addictive behaviors. For instance, dopamine blockade of the dorsal, but not ventral striatum can alter compulsive cocaine use and addiction behavior.^{27,32}

Although ICD development in PD patients appears largely drug-induced, the presentation and behavioral pattern mirrors pathological ICD in the general (i.e., non-PD) population. Similar changes to dopaminergic pathways seen in PD-ICD patients also occur in patients with binge eating disorder (BED), pathological gambling (PG), and substance use disorders. Study of these disorders can offer insight into the neurobiological basis for ICD manifestation in PD patients, and vice versa. In addition, various therapeutic treatments used for non-PD ICD inform novel treatment strategies for PD ICD symptoms.

Like substance use disorders, ICD behaviors emerge after exposure to certain rewards, which over time become compulsive in nature.²⁹ During the first instance of a reward, there is an “unexpected” activation of the ventral striatum, eliciting a strong emotional response, and an increase in ventral striatal dopamine.¹⁹ After this action is repeated, the behavior starts to become a “habit,” and may be associated with craving.²² This is thought to localize initially at the ventral striatum, then later behaviors are reinforced by the dorsal striatum, illustrating a Pavlovian conditioning.³³ For instance, a [11C]raclopride study in cocaine-addicted subjects showed that patients had a greater release of dopamine from the dorsal, but not ventral striatum. This finding has not been replicated in PD-associated reward behaviors, but deserves further study. The compulsion to keep performing a task stems from the dorsal striatum, as it is used in the maintenance of drug-seeking behaviors with little activity by the NAc.³² This shows the transition of how an action can become a compulsive behavior as the shift from the ventral to dorsal striatum occurs.²⁹

Neurobiology and Treatments of Other Impulsive and Compulsive Behaviors

Binge eating disorder, included as a psychiatric disorder in the *Diagnostic and Statistical Manual of Mental Disorders, Fifth Edition*,³⁴ is characterized by bouts of increased food consumption beyond comfort, with an inability to stop or control food intake. It can lead to excessive weight gain, causing self-loathing and resulting in further binge eating. Binge eating disorder is the most prevalent eating disorder in the United States.³⁵ An impairment in the dopamine signaling in the reward circuit can result in the progression of BED.³⁶ There exist similar neuropathological changes between patients with BED and PD-ICD patients who note symptoms of compulsive eating.³⁷ The manifestation of BED is linked to changes of dopamine regulation in the ventral striatum and associated alterations to dopamine receptor biology. In a comparison functional magnetic resonance imaging (fMRI) study between obese BED and obese non-BED individuals, there was a decrease in ventral striatal activation to reward cues in BED participants.³⁸ This may be due to atrophy of the ventral striatum in BED individuals.³⁹ These findings are associated with reduced D2-receptor availability in obese BED patients. Dopamine receptor polymorphisms on allele A1, located within the *ANKK1* gene and lying downstream of the dopamine receptor *D2* gene, is also associated with reduced D2-receptor density in obese BED patients, causing an increase in the D1/D2 ratio.⁴⁰ Also, polymorphisms in the dopamine transporter gene (*DAT1*; 9-repeat allele) are associated with BED, and increased synaptic dopamine and reduced transporter function may result in important changes to mesolimbic biology and appetite stimulation.⁴¹

Treatments for BED in the general population range from psychotherapy to psychopharmacology, including serotonin selective reuptake inhibitors (SSRI), anticonvulsants, and stimulants. Cognitive-behavioral therapy (CBT) seeks to improve inhibitory control over appetite, but suffers from a high relapse rate and has little effect on changing weight.⁴¹ A placebo-controlled trial of fluoxetine did not appear to improve symptoms.^{42,43} In addition, fluoxetine with CBT had no additive effect when used together.⁴² Topiramate, an anticonvulsant, can decrease appetite and is associated with weight loss, and appears to decrease weight in patients with BED.⁴⁴ Topiramate increases the synaptic transmission of GABA, blocking voltage-gated-sodium channels.⁴⁵ Although the mechanism of how topiramate reduces weight and improves appetite is poorly understood, altering GABA levels may ultimately improve inhibitory ability through the D2-mediated indirect pathway. The most recent treatment for BED involves the prescription of amphetamines to inhibit monoamine oxidase, the same enzyme that breaks down dopamine.⁴⁶ This treatment significantly improved symptoms by reducing the number of binge-eating days per week.

Pathological gambling is considered a behavioral impulse control disorder, with the individual unable to resist urges to gamble. Within the United States and Canada, the prevalence of PG is 1.6% in a normal population and 2.2 to 7% in the dopamine agonist-treated PD population.^{47,48} In the general population, there is an association between the development of PG and premorbid alcohol abuse, anxiety, and depression.^{49,50} In fMRI studies with non-PD-PGs, the activity of ventromedial prefrontal cortex and ventral striatum are diminished in PG individuals, suggesting a loss of higher order input to the limbic system.^{51,52} Individuals with more severe cases of PG tended to have greater reductions of network activation in these areas.⁵³ Studies of receptor polymorphisms in PG emphasize similar pathways as in BED.⁵⁴ Pathological gambling patients have an increased prevalence of allelic variations in D2-receptors, as well as in polymorphisms in dopamine transporter (DAT1), mono-amine oxidase (MAO) A and B, suggesting that alterations to dopamine turnover may be associated with developing PG.⁵⁵⁻⁵⁸ Increased MAO-B and MAO-A polymorphisms are more prominent in severe male PG.^{59,60}

Treatment for PG also includes nonpharmacological and pharmacological therapies. Cognitive-behavioral therapy is not especially effective in helping PG individuals.⁶¹ For pharmacological treatment, PG individuals taking opioid antagonists, such as nalmefene and naltrexone, had a significant decrease in the severity of PG symptoms.^{48,62,63} In addition, topiramate and carbamazepine, GABA receptor agonists, helped in improving mood in PG patients.^{63,64} Modafinil, a dopamine reuptake inhibitor, improved PG outcome by increasing synaptic dopamine and activating D2-receptors, thus reducing impulsivity.^{66,67} N-acetyl cysteine treatment increases glutamate concentration in the NAc, and experimentally diminished PG severity in patients.⁶⁸

Cocaine is a drug of abuse that acts by blocking dopamine reuptake channels. Cocaine use results in a “rush” of energy, euphoria, increased heart rate, self-confidence, and alertness. However, this feeling only lasts for a few minutes and is followed by a period of lethargy and depression. This negative reaction tends to make the person crave to try to maintain the “positive” sensations associated with cocaine. However, despite continued use, the original

“high” is never reattained. This results in the individual developing compulsive cravings for cocaine. What was once used for recreation turns into a drug of abuse. Studies of cocaine addiction point to biological changes in the mesocorticolimbic system. Cocaine largely impacts dopamine concentrations in the NAc/ventral striatum.⁶⁹ Strong “pleasurable” feelings with drug administration are likely from increased connectivity between the NAc and amygdala.⁷⁰ Prolonged cocaine use has the potential to change various dopamine receptors in the striatum. Dopamine-release PET studies show a reduction in D2-like receptor activity in the ventral striatum; fMRI imaging illustrates that changes to the dorsal striatum are associated with compulsive behavior.³² In addition, the dorsal striatum is seen to be engaged more often in rodent models of chronically administered cocaine use.⁷¹

The treatment for cocaine addiction starts with detoxification of the patient and managing the accompanying symptoms. Some patients may suffer signs of withdrawal such as abdominal pain, nausea, drenching sweats, and seizures, and should be treated accordingly. Reduced synaptic release of dopamine is seen with prolonged cocaine use. Careful therapeutic dosing of dopamine agonists, such as bromocriptine, have been proposed as therapeutic interventions to improve diminished dopamine transmission.⁷² However, studies have shown that bromocriptine has no efficacy on cocaine dependence.⁷³ By slowly reducing the activation of dopamine receptors, this approach would help reduce excessive withdrawals. However, due to bromocriptine’s ability to stimulate dopamine receptors, this drug can actually induce cocaine cravings, resulting in relapse if drug concentrations are inappropriately administered. Other forms of treatment include using GABA agonists and β -adrenoceptor antagonists such as topiramate and propranolol, respectively.⁷⁴ Vigabatrin, a gamma-vinyl GABA, is an irreversible inhibitor of GABA transaminase that increases GABA concentrations.^{75,76} This medication has been shown to block the expression of cocaine seeking behavior and sensitization to cocaine. Nonpharmacological therapy such as CBT is useful in helping patients develop control over their own actions, and is useful in preventing relapse: A combination of medication and psychosocial therapy may be the best treatment.^{77,78}

Overall, the aforementioned disorders share a similar dys-regulation of the mesocorticolimbic network, manifesting as similar behavioral changes (Table 1). Decreased activation of the D2-receptors in the ventral striatum and increased stimulation of the dorsal striatum seem to be hallmark traits of compulsive behaviors. In addition, these syndromes share an alteration to dopamine transmission by decreased reuptake, increased dopamine metabolism, or synthesis at the level of the synapse. These variations could result in an improper balance of the direct pathway, with treatments focusing on alleviating cravings, and GABA-targeted therapy, thereby improving indirect pathway activation.

Managing PD-ICD Patients

Studies of risk factors for ICD suggest that a family history of alcoholism may place a PD individual at risk for this behavior.⁷⁹ One biological reason for this is alteration to serotonin levels, as reduced levels of tryptophan, an amino acid necessary for making serotonin, is associated with alcoholism. Individuals with low levels of the serotonin (5-HT) metabolite, HIAA, have greater impulsivity characteristics and early signs of alcoholism.^{80,81}

Experimentally, primate models of 5-HT depletion result in increased impulsivity and risk behavior.^{82,83} In general, using SSRIs to treat impulsivity and ICD has not shown efficacy.^{84,85} In PD, SSRI use has shown minimal improvement in ICD behaviors.⁸⁶

Interestingly, opioid antagonists have been looked at as another form of therapy for their ability to modulate dopamine in the VTA. Opioid receptor antagonists, such as naltrexone and nalmefene, were effective in case studies treating compulsive sexual behavior, alcoholism, and compulsive buying in non-PD patients. In addition, a randomized trial using naltrexone in PD-ICD suggested that concomitant use of naltrexone could reduce the severity of ICD behaviors, even though this trial failed to meet its primary endpoint.⁶³

Deep brain stimulation (DBS) is thought to improve ICD symptoms largely through reduction in dopaminergic requirements. The subthalamic nucleus (STN) plays a key role in the frontostriatocortical loop, which is involved in motor and cognitive function.⁸⁷ It is hypothesized that the stimulation of the subthalamic nucleus enhances the inhibitory effect in the indirect dopamine pathway, resulting in an increase in reward-driven behaviors in certain patients. Furthermore, the lead placement in the dorsal and ventral STN may result in a sensorimotor and executive/associative circuit, respectively.⁸⁸⁻⁹⁰ However, STN-targeted DBS can result in new-onset ICD behavior.⁹¹ Deep brain stimulation treatment can be used to replace the use of dopamine agonist treatment to treat motor symptom, and as a result, theoretically reduce the risk of developing dopamine agonist-induced ICD. However, in one report, individuals with STN-DBS were just as likely to develop ICD as patients on dopamine agonists.⁹²

Overall, the most useful treatment for PD-ICD remains reduction in dopamine agonist treatment. Dopamine agonist withdrawal syndrome and worsening motor symptoms remain the greatest side effects of this reduction. No studies have convincingly shown that add-on therapy can improve ICD symptoms in PD.

Conclusions

Patients suffering from BED, PG, and cocaine abuse appear to have similar neurobiological changes of increased dopaminergic activity in the dorsal striatum, where compulsive behaviors localize. A shared risk factor between these three non-PD behavioral phenotypes is an altered regulation of dopamine at the synaptic level. Mesocorticolimbic changes seen in ICD in PD may progress in a similar manner, where initial ventral-striatal-associated reward responses transition to compulsive behaviors via decreased ventral-striatal activation and increased dorsal-striatal activity. Future studies localizing neurobiological mechanisms and improved treatment options for PD patients suffering from maladaptive behaviors are necessary.

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Table 1

Similarities in the neurobiology and treatment of various neurobehavioral disorders

| Syndrome | Mesocorticolimbic system localization | Dopamine-based polymorphisms | Treatment |
|-----------------------|---|--|--|
| Binge eating disorder | Decreased D2-receptor activation in ventral striatum during reward cue tasks Increased dorsal striatal activity seen with compulsive behaviors ³⁸ | D2 receptor & DAT polymorphisms ^{40,41} | Increase in GABA (topiramate) ⁴⁵ & inhibition of monoamine oxidase (amphetamines) ⁴⁶ |
| Pathological gambling | Ventromedial prefrontal and ventral striatum in an fMRI study are diminished. ^{51,52} | D2, DAT, MAO polymorphisms ⁵⁵⁻⁵⁸ | Opioid antagonist (naltrexone), ⁶² increase GABA (topiramate/ carbamazepine), ^{64,65} dopamine reuptake inhibitor (modafinil), ^{66,67} ventral striatal glutamate (N-acetyl cysteine) ⁶⁸ |
| Cocaine abuse | Reduction in D2-like receptors in the ventral striatum and changes in the dorsal striatum are associated with compulsive behaviors. ³² | DAT polymorphism ⁴¹ | Increase in GABA (topiramate), ⁷⁴ inhibit GABA transaminase and increase GABA (vigabatrin) ^{75,76} |
| DA- induced ICD in PD | Reduced D2-receptor stimulation in the ventral striatum and maintained D2-receptor activation in the dorsal striatum appears related to compulsive behaviors. ²¹ | To be determined | Reduction in dopamine agonist levels |

Abbreviations: DAT, dopamine transporter gene; ICD, impulse control disorder; fMRI, functional magnetic resonance imaging; GABA, gamma-aminobutyric acid; MAO, monoamine oxidase.