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## Osr1 functions downstream of Hedgehog pathway to regulate foregut development

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### Abstract

During early fetal development, paracrine Hedgehog (HH) ligands secreted from the foregut epithelium activate Gli transcription factors in the surrounding mesenchyme to coordinate formation of the respiratory system, digestive track and the cardiovascular network. Although disruptions to this process can lead to devastating congenital defects, the underlying mechanisms and downstream targets, are poorly understood. We show that the zinc finger transcription factor *Osr1* is a novel HH target as *Osr1* expression in the foregut mesenchyme depends on HH signaling and the effector of HH pathway *Gli3* binds to a conserved genomic loci near *Osr1* promoter region. Molecular analysis of mouse germline *Osr1* mutants reveals multiple functions of *Osr1* during foregut development. *Osr1* mutants exhibit fewer lung progenitors in the ventral foregut. *Osr1* is then required for the proper branching of the primary lung buds, with mutants exhibiting miss-located lung lobes. Finally, *Osr1* is essential for proper mesenchymal differentiation including pulmonary arteries, esophageal and tracheal smooth muscle as well as tracheal cartilage rings. Tissue specific conditional knockouts in combination with lineage tracing indicate that *Osr1* is required cell autonomously in the foregut mesenchyme. We conclude that *Osr1* is a novel downstream target of HH pathway, required for lung specification, branching morphogenesis and foregut mesenchymal differentiation.

### Keywords

Odd-skipped; Hedgehog; Lung; Trachea; Esophagus; Specification; Lobulation; Mesenchyme

## 1. Introduction

The formation of the respiratory system, upper digestive track and cardiovascular network requires the coordinated development of the foregut epithelium and the surrounding

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### Competing interests

The authors declare no competing or financial interests.

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mesenchyme so that these organ systems are properly integrated for breathing and feeding. The hedgehog (HH) signaling pathway has emerged as a critical mediator of the paracrine signals between the epithelium and mesenchyme to regulate multiple steps in foregut organogenesis (McCulley et al. 2015; Swarr and Morrisey 2015). HH pathway mutations in humans and animal models result in severe congenital birth defects in the foregut including tracheoesophageal stenosis, lung hypoplasia with disrupted lobulation, tracheal-bronchial cartilage malformations (Kugler et al. 2015) and aberrant pulmonary arteries (Peng et al. 2013). Although the HH pathway is clearly a critical regulator of foregut development, its downstream target genes that control organogenesis are poorly understood.

During fetal development HH ligands secreted from the foregut epithelium (Shh and Ihh) cause Gli2 and Gli3 (Gli2/3) transcription factors to be proteolytically activated in the surrounding mesenchyme to stimulate HH-target gene expression and regulate multiple aspects of foregut organogenesis. At embryonic day (E) 9.5, HH ligands signal from the epithelium to the surrounding mesenchyme to support the expression of Wnt and Bmp ligands, which in turn induce the specification of the respiratory lineage, marked by the expression of homeobox gene *Nkx2-1*, in the ventral foregut endoderm (Rankin et al. 2016). After specification, *Shh* is enriched at the distal tip of the lung epithelial to regulate lobulation and further branching morphogenesis (Swarr and Morrisey 2015). Mesenchymal differentiation also depends on Shh signaling. Various HH and Gli mutants demonstrate the necessity of this pathway in tracheoesophageal differentiation including proper formation of the C-shaped cartilage rings in the ventral-lateral side of trachea (Motoyama et al. 1998; Park et al. 2010). Moreover, epithelial HH signaling is critical to support smooth muscle differentiation in the more distal GI tract (Mao et al. 2010). HH is also required for the development of common cardiopulmonary progenitor cells that contribute to the outflow tract and pulmonary arteries that connect the heart and lungs (Peng et al. 2013).

The tissue specific target genes that HH/Gli regulate to control foregut organogenesis are not well understood. The mesenchymal Fork head transcription factor *Foxf1* is one of the well-characterized targets of Shh in small intestine (Madison et al. 2009) and in the second heart field (Hoffmann et al. 2014). However the *Foxf1* mutant only partially recapitulates the foregut phenotypes of *Shh* and *Gli2/3* mutants. For example, *Foxf1* germline mutant mice die about E8.5 due to extra-embryonic defects (Mahlapuu et al. 2001) while the *Foxf1* heterozygous show lung lobe fusion and medial lobe malformation (Lim et al. 2002). Thus it's unclear if and to what extent *Foxf1* might mediate HH function during specification of the respiratory lineage. Endothelial specific *Foxf1* mutants display similar distal vasculature defects as in the *Shh* mutants (Li et al. 2004; Ren et al. 2014), but formation of the pulmonary arteries is not examined. Recent studies in early lung and heart development implicated the zinc finger transcription factor Odd Skipped Related 1 (*Osr1*) as a potential Gli target (Xie et al. 2012; Rankin et al. 2016). Moreover studies in *Xenopus* show that *Osr1* and *Osr2* regulate lung-inducing signals such as *Wnt2*, *Wnt2b* and *Bmp4* in the splanchnic mesoderm (Rankin et al. 2012), further supporting the idea that *Osr* factors might mediate some of the effects of HH in the developing respiratory system. *Osr1*<sup>-/-</sup> germ line null mutants exhibit heart, kidney and tongue malformations (Wang et al. 2005; Liu et al. 2013), and die between E12.5–E16.5 due to cardiac defects. We have also noticed that these

embryos display lung tissue hypoplasia based on histology, but the respiratory and digestive systems have not been examined in detail.

In this study, we tested the hypothesis that *Osr1* regulates foregut organogenesis downstream of HH/Gli signaling. We show that *Osr1* expression in the splanchnic mesoderm surrounding the foregut is dependent on Gli2 and Gli3 activity. We show that *Osr1* plays crucial roles at multiple stages of foregut development. First *Osr1* supports robust respiratory specification by promoting expression of lung-inducing mesenchymal signals and then *Osr1* directs precise lobe formation at the correct locations. Lastly, *Osr1* is required for proper development of the main pulmonary arteries and differentiation of both the tracheal cartilage rings and esophageal smooth muscle. Our data established *Osr1* as a novel mediator of HH signaling during foregut organogenesis, which may inform the developmental basis of congenital birth defects.

## 2. Materials and methods

### 2.1 Animals

Animal husbandry was performed in accordance with protocols approved by the Cincinnati Children's Hospital Medical Center IACUC. *Gli2<sup>+/-</sup>* (Or *Gli2<sup>l2ki</sup>*) (Bai and Joyner 2001), *Gli3<sup>+/-</sup>* (Or *Gli3<sup>Xt-J</sup>*) (Johnson 1967), *Osr1<sup>+/-</sup>* (Wang et al. 2005), *Osr1<sup>f/f</sup>* (Lan et al. 2011), *Foxa2<sup>creER</sup>* (Park et al. 2008), *Dermo1cre* (Sosic et al. 2003), *Osr1<sup>creER</sup>* (Mugford et al. 2008), *Gli1<sup>lacZ</sup>* (Bai et al. 2002), *ROSA<sup>mT/mG</sup>* (Muzumdar et al. 2007) reporter mice have been described previously. All mice were kept in a mixed background. Timed matings were performed and the date when a plug was detected was noted as embryonic day 0.5. Control and mutant littermate embryos were age-matched by somite numbers.

For *Osr1<sup>creER</sup>* lineage tracing, tamoxifen was intraperitoneally injected at E7.5 and E8.5 at 2mg/40g body weight dosage and embryos were collected at E12.5. For *Foxa2<sup>creER</sup>* conditional deletion, oral gavage of tamoxifen of 0.12mg/g body weight was performed at E6.75.

### 2.2 Analysis of public ChIP data

Gli3-flag ChIP data were downloaded from GEO database (accession number GSE44756) (Hoffmann et al. 2014) and reanalyzed as follows. The fastq DNA sequence files were quality control assessed and raw reads were trimmed using FastQC and Trimmomatic. Reads were mapped to the mouse genome assembly mm10 using Bowtie2 (Langmead 2012) at default thresholds. Duplicate and multi-mapped reads were removed using picard and samtools respectively and ChIP-seq peaks were called using MACS2 (Zhang et al. 2008) using mentioned thresholds [--bw 200 --mfold 2 200 -p 0.05 -g 2e9 --call-summits] (Hoffmann et al. 2014). Mapped reads were converted into BigWig format for visualization purposes. We used Homer's (Heinz et al. 2010) annotatePeaks.pl for obtaining genes close to the peaks. Transcription factor binding motif analysis was performed in the CIS-BP website (Weirauch et al. 2014) (<http://cisbp.cabr.utoronto.ca>, last time accessed 2/28/2017).

### 2.3 Histology, immunostaining and *in situ* hybridization assays

Mouse embryos were harvested at specific developmental stages and fixed in 4% paraformaldehyde in PBS at 4°C overnight and then washed with PBS. H&E staining was performed according to standard protocol after paraffin embedding. For all others, cryosections were used. After fixation, tissue were embedded in OCT and sectioned at 10µm thickness. For mouse *in situ* hybridization, DIG-labeled probes were generated using linearized full-length mouse cDNA plasmids. Immunofluorescent (IF) staining or *in situ* hybridization on sections as well as whole mount IF staining were performed as described previously (Rankin et al. 2016). Primary antibodies used are listed in Table s1. For whole foregut IF followed with quantification, 3D confocal images were captured on a Nikon A1Rsi inverted confocal microscope. The numbers of phospho-histone H3+ (pHH3), Foxa2+ and Nkx2-1+ cells in dissected foregut (n>3 control and n=3 *Osr1* mutant embryos) were quantified using IMARIS software. For lobulation quantification, following IF staining with dissected lungs, the three dimensional distance were quantified (n>3 control and n=3 *Osr1* mutant embryos) using IMARIS software. The center of branching points and distal point of trachea bifurcation were used to determine the distances. If located anterior to trachea bifurcation, the distance was given as minus. Pairwise student T-tests between control and mutants were used to determine statistical significance \*p<0.05.

Whole mount *in situ* hybridization was performed as follows. Briefly, after fixation, tissue was rehydrated and digested with proteinase K. A short fixation was performed after digestion. *In situ* probes were then incubated with tissue at 70°C overnight. Serial washes were done before blocking and adding anti-DIG alkaline phosphatase. Overnight 4°C incubation and several washes were done before color development using BM purple.

## 3. Results

### 3.1 *Osr1* expression in the foregut mesenchyme is regulated by HH/Gli signaling

Our recent analysis of the signaling networks controlling respiratory system specification suggested that the zinc finger transcription factor *Osr1* is a Gli-target in the splanchnic mesenchyme (Rankin et al. 2016). To directly test this possibility, we first compared the expression pattern of *Osr1* to *Shh* and *Gli1*. Noteworthy is the fact that *Gli1* transcription is directly activated by HH pathway effectors, and thus is a reliable readout of Hh-responsive tissue (Bai et al. 2002). In the E9.0 (12–16 somite numbers) foregut, *Shh* was restricted to the epithelium (Fig. 1A) whereas expression of a *Gli1-lacZ* knock-in allele was restricted to the mesenchyme (Fig. 1B), consistent with previous reports (Rankin et al. 2016). In comparison, *Osr1* was robustly expressed in the both the presumptive respiratory epithelium and the surrounding splanchnic mesenchyme (Fig. 1C). Though normal *Osr1* expression was observed in *Gli2* and *Gli3* single mutants (data not shown), analysis of *Gli2*<sup>-/-</sup>;*Gli3*<sup>-/-</sup> double mutants confirmed that *Osr1* expression was specifically lost in the HH-responsive mesenchyme, but not in the foregut epithelium (Fig. 1D). This suggested that the mesenchymal but not epithelial *Osr1* expression is HH regulated.

*In situ* hybridization of E10.5–E12.5 foreguts showed that *Osr1* is expressed in the medial mesenchyme surrounding the developing trachea, esophagus and main stem bronchi but is

down regulated in the respiratory epithelium and the peripheral lung mesenchyme (Fig. 1E–I). This *Osr1* expression pattern in the E12.5 respiratory mesenchyme was similar to that of *Gli1* in the mesenchyme, suggesting a continuous regulation by HH signaling (1K–1M).

To test the hypothesis that *Osr1* transcription is directly regulated by HH/Gli, we re-analyzed the published Chromatin Immuno-precipitation followed with genomic sequencing (ChIP-seq) data of *Gli3T-flag* transgenic mice (GEO accession number GSE44756) where chromatin binding sites of HH effector Gli3 were identified in E9.5 second heart field tissue (Hoffmann et al. 2014). The *Gli3/Osr1*-expressing splanchnic mesenchyme partially overlaps with the second heart field at this stage of development. Therefore, we expected that common Gli-binding sites should be detected. We identified one statistically significant Gli3-binding peak (chr12: 9622096–9622310, mm10) located about 40 kb downstream of the *Osr1* transcription start site. This genomic region is evolutionarily conserved (Fig. 1M) and transcription factor DNA-binding motif analysis of this peak confirmed the presence of a Gli DNA-binding consensus sequence (GGGACCACCCTG) in the middle of the peak, supporting the hypothesis that *Osr1* is a direct target of HH/Gli in the foregut mesenchyme. Together these data indicate that *Osr1* is a transcriptional target of HH/Gli signaling in the foregut mesenchyme.

### 3.2 *Osr1* mutants exhibit lung hypoplasia, lobe deformation and defective mesenchymal differentiation

To further test the hypothesis that *Osr1* partially mediates HH function, we examined foregut development in *Osr1*<sup>-/-</sup> germ line null mutants (Wang et al. 2005). *Osr1* mutants die between E12.5–E16.5 due to heart defects, but examination of surviving mutants at revealed much smaller lungs than littermate controls (Fig. 2A,B) despite comparable body size (Fig. s2A). Additionally, about 67% (n= 10/15) of *Osr1* mutants display reproducible misshaping of the lung lobes, with the cranial lobe extending more rostrally with a pointed shape as opposed to a rounded shape in the control littermates (Fig. 2E,F). Histological sections at E13.5 confirmed the reduced lung size, though overall the major lung tissue organization appeared relatively normal in mutants (Fig. 2G,H).

Similar to the HH mutants, mesenchymal differentiation defects were also identified in *Osr1* mutants. We examined E16.5 dissected tracheas using alcian blue staining, which revealed reduced and disrupted cartilage rings in the *Osr1* mutants (Fig. 2C,D), similar to what has been described in *Shh* mutants (Park et al. 2010), though not as severe. Furthermore, histological analysis of the main stem bronchi showed pulmonary artery defects, including loss of the right artery and mislocation of the left artery in between the esophagus and bronchi instead of ventral-lateral to the bronchi (n=5/6) (Fig. 2I,J).

### 3.3 *Osr1*<sup>-/-</sup> mutants specify fewer respiratory progenitors

To better understand why the lungs are smaller and dysmorphic in the *Osr1* mutants we sought to identify the earliest defects. Since *Osr1* is implicated in specification of respiratory progenitors in *Xenopus* (Rankin et al. 2012), we examined the expression of Nkx2-1, the earliest marker of respiratory progenitors at E9.5. Whole mount immunostaining of Nkx2-1 and Sox2 (a marker of foregut/esophageal epithelium) in dissected foreguts revealed

dramatically fewer Nkx2-1+ respiratory progenitors in *Osr1* mutants compared with somite-matched littermate controls (Fig. 3A). Quantitative image analysis with *Imaris* software confirmed a four-fold reduction in the percentage of Nkx2-1+ progenitor cells (normalized to the total number of foregut epithelial cells from the pharynx to the liver bud) at E9.5. The smaller respiratory domain in *Osr1* mutants persisted at E10.5. Analysis of cell mitosis by phospho-Histone H3 (pHH3) staining and apoptosis as marked by cleaved Caspase-3 showed no difference between mutants and littermate controls (Fig. 3A,B). These results indicate that the overall lung hypoplasia in *Osr1* mutants is due, at least in part, to fewer progenitors being specified early in development.

In *Xenopus* embryos, *Osr1* and *Osr2* are redundantly required for specification of the respiratory lineage (Rankin et al. 2012). To test this redundancy in mice, we examined *Osr2* expression in control and *Osr1* mutants. We found that unlike *Xenopus*, *Osr2* was not expressed in wildtype foregut at E9.5 (Fig. s1B). Even in the absence of *Osr1*, *Osr2* was not up-regulated (Fig. s1C). Consistently, *Osr1*<sup>-/-</sup>;*Osr2*<sup>-/-</sup> double mutants were indistinguishable from *Osr1*<sup>-/-</sup> single mutants as judged by Nkx2-1 expression at E9.5 and lung bud morphology at E10.5 (Fig. s1D), indicating that *Osr2* is not involved in lung specification in mice.

Next, we sought to determine why *Osr1* mutants had fewer respiratory progenitors. We have previously shown that HH/Gli signal is required for the expression of lung-inducing factors *Wnt2*, *Wnt2b* and *Bmp4* in the splanchnic mesenchyme. Therefore, we assessed the expression and activity of these growth factors. We found a modest reduction in *Wnt2* and *Wnt2b* expression along with reduced levels of the Wnt-target gene *Axin2* (Fig. 3C). On the other hand *Bmp4* in the splanchnic mesenchyme appeared normal in *Osr1*<sup>-/-</sup> mutants, although p-Smad 1,5,9 staining in the foregut epithelium appeared reduced (Fig. 3C). Given that the foregut is smaller in the *Osr1* mutants than in somite-matched controls, we also compared *Wnt2* expression in a *Osr1* mutant with a four-somites younger control, so that the overall foregut morphology is more comparable (Fig. s3A). *Wnt2* expression does not differ in this comparison, consistent with the observation that respiratory specification is delayed but not completely abolished. Taken together, these data show that *Osr1* is required for robust specification of respiratory progenitors, which might be explained in part by reduced Wnt and Bmp signals.

### 3.4 *Osr1* is required for proper establishment of the primary lung lobes

In addition to having smaller lungs at E13.5–E16.5 (Fig. 1), the *Osr1* mutants exhibited disrupted lung lobe morphology. The stereotypical lobe pattern is established at E11.5 when three additional branches form on the primary right lung bud to generate total of five lobes in mice. Examination of early branching morphogenesis revealed delayed primary lobulation and misplaced stereotypical locations in the *Osr1* mutants (Fig. 3D). The primary lung bud branch that gives rise to the cranial lobe is normally located distal to the tracheal bifurcation where the trachea bifurcates into the two main stem bronchi. However, in 67% of *Osr1* mutants, the cranial lobe was anteriorly shifted to about the same level as the tracheal bifurcation (Fig. 3D). Quantification using confocal images of wholemount staining of E11.5 fetal lungs revealed that the cranial lobe was located at  $169 \pm 20 \mu\text{m}$  distal to the

tracheal bifurcation in controls, but was on average  $25 \pm 43 \mu\text{m}$  proximal to the bifurcation in the *Osr1* mutants. This phenotype is similar to a human condition known as tracheal bronchus, or “pig bronchus”, where a bronchus emerges from the trachea in an abnormally more rostral position. This condition is often non-symptomatic but, it can occur with tracheal stenosis, which significantly complicates the surgical repair (Morita et al. 2016).

Although the cranial lobe is the most severely disrupted, several other lobes were also mispositioned in *Osr1* mutants. For example, while the medial lobe is relatively less affected, the length of the left lobe as well as the secondary branches on the left lobe is much shorter and delayed. Also, the accessory lobe is significantly shifted distally relative to the medial lobe (control:  $18 \pm 13 \mu\text{m}$  and mutant:  $81 \pm 27 \mu\text{m}$ ,  $n > 3$ ,  $p < 0.05$ ). Interestingly, the subsequent branching morphogenesis after the establishment of the initial five lobes appears similar between controls and mutants, consistent with *Osr1* expression pattern in the proximal large airways but absent from distal lung where most of branching morphogenesis occurs. This suggests that the overall lung misshaping might derive from the earlier events when the primary lobes were established.

During branching morphogenesis, the lungs also establish the proximal and distal domains marked by Sox2 and Sox9 expression respectively. Immunostaining analysis with these markers at E16.5 demonstrated that the overall proximal-distal patterning was normal. However consistent with the mislocalization of the primary cranial lobe, the distal Sox9+ domain does appear to have a slight medial and anterior shift even as early as E10.5 and the cells at the tracheal bifurcation level adopt a Sox9+ fate instead of Sox2+ at E11.5 (Fig. s2B).

The disrupted lung bud morphogenesis in the *Osr1* mutants is reminiscent of what is seen in some Hh pathway mutants. *Shh*<sup>-/-</sup> mutants (Li et al. 2004) and HH feedback inhibitor *Hhip* mutants (Chuang et al. 2003) fail to establish lobes. *Gli2* mutants (Motoyama et al. 1998) only form one lobe on the right. *Gli3* mutants have narrowed caudal and left lobes (Grindley et al. 1997). Epithelial Shh is well-known to regulate branching morphogenesis through a signaling network including Fgf10, Bmp and Wnt signals in the distal lung bud tips (Swarr and Morrisey 2015). To test the possibility that *Osr1* might participate in this signaling network, we examined *Shh*, *Wnt2*, *Bmp4* and *Fgf10* expression in control and *Osr1* mutant lungs. However we found little if any difference in expression between mutants and controls (Fig. s3A–C) suggesting that *Osr1* regulates lobulation independently of these known pathways, or acts downstream of them.

### 3.5 *Osr1* is required for mesenchymal differentiation and proper formation of the pulmonary arteries

HH signaling is known to be required for several aspects of mesenchymal differentiation including formation of the pulmonary arteries, tracheal cartilage, and digestive tract smooth muscle. *Osr1* mutants appeared to exhibit similar defects (Fig. 1), prompting us to investigate in more detail.

First we characterized the earliest vascular defect by examining the initial vascular plexus between the cardiac outflow tract and fetal lungs at E10.5, which is thought to give rise to

pulmonary arteries later. The formation of this pulmonary vasculature from cardiopulmonary progenitors is known to be regulated by Shh signaling (Peng et al. 2013). Immunostaining for CD31, an endothelial marker, demonstrated that *Osr1* mutants had reduced blood vessels in the distal region of the trachea where the pulmonary artery connects to the lungs; a phenotype that was similar to that observed in the *Gli2*<sup>-/-</sup>;*Gli3*<sup>+/-</sup> mutants (Fig. 4A). In the more severe case where all alleles of *Gli2/3* were mutated, the connecting plexus appeared completely absent between the outflow tract and the presumptive lung region (Fig. 4A). This is consistent with the previously reported disrupted connections between the heart and lungs in *Shh*<sup>-/-</sup> mutants and the mesenchymal conditional knockout of the HH receptor *Smoothened* (Peng et al. 2013). This suggests that the Shh-Osr1 axis is crucial for the proper connection between the heart and the lungs.

After E11.5, pulmonary arteries can be clearly identified as endothelial vessels connecting the outflow tract and the lungs. Those vessels are lined by endothelial cells marked by endomucin, surrounded by smooth muscle cells marked by  $\alpha$ -smooth muscle actin ( $\alpha$ SMA). Our initial histological analysis indicated that pulmonary arteries were misplaced or absent in *Osr1* mutants (Fig. 1). To examine this in more detail we dissected the trachea, esophagus and lungs with associated vasculature and mesenchyme from E11.5 embryos and performed whole mount immunostaining for  $\alpha$ SMA and epithelial marker Foxa2. To better visualize the structures, the different  $\alpha$ SMA<sup>+</sup> structures were pseudo-colored differently for different regions (smooth muscle associated with pulmonary arteries in red; esophagus purple, with trachea and bronchi green) (Fig. 4B,C). We found that *Osr1* mutants consistently (n=5/6) had only the left pulmonary artery that was mislocated dorsally to the trachea from its normal location on either side of the ventral trachea (Fig. 4B,C; Vid. s1,2), in agreement with the histology analysis (Fig1). By E12.5–13.5 the mutant left artery appears to extend into the right side crossing between the esophagus and the main bronchi as evidenced in both whole mount immunostaining (Fig. 4D,E; Vid. S3,4) and transverse sections at E12.5 (Fig. s5A) and E13.5 (Fig. 4H,I). This phenotype is similar to a “pulmonary artery sling”, where the left artery branches from the right artery instead of the pulmonary trunk and crosses between esophagus and trachea. This is an uncommon congenital pediatric condition identified in humans, usually associated with respiratory distress and requiring surgical intervention (Tretter et al. 2016). The etiology of such lesion is completely unknown and the similarity of *Osr1* mutant phenotype offers an interesting model to explore this further. Pertinently, the disruption to the vasculature was restricted to the main vessels as the endothelial plexus in the distal lung region at E12.5 was indistinguishable between controls and mutants (Fig. s4A). Additionally the expression of Gli target Foxf1 is largely normal in *Osr1* mutants between E9.5 and E12.5, suggesting its independence from cross regulation of Osr1 (Fig. s4A).

SMA staining also revealed reduced smooth muscle differentiation on the dorsal trachea, the main bronchi and around the esophagus in the *Osr1*<sup>-/-</sup> mutants (Fig. 4B,C). In addition, the remnant tracheal smooth muscle mislocated to the right instead of on the dorsal side (Fig. 4F,G). Meanwhile smooth muscle were expanded around the right bronchus but were reduced around the left bronchus (Fig. 4H,I). Finally there was a dramatic reduction of  $\alpha$ SMA<sup>+</sup> smooth muscle around the esophagus of E11.5–13.5 *Osr1* mutants (Fig. 4B,C,F–I),



although the esophageal epithelial tube is still present and the surrounding mesenchymal cells are in similar numbers.

Sox9 is both a marker of chondrocytes and also an important transcription factor supporting normal cartilage development. During tracheal mesenchymal differentiation, Sox9 and  $\alpha$ SMA expression occupy the ventral and dorsal side, respectively, in a complementary pattern. Subsequently the longitudinal strip of Sox9 domain remodels into horizontal C-shaped rings (Yi et al. 2009). In the *Osr1* mutant, the ventral tracheal Sox9 expression is still quite comparable to controls at E12.5 (Fig. s5A), suggesting that the cartilage ring phenotype might derive from the later remodeling of Sox9+ domains. HH, Wnt and Fgf10 signaling pathways have been shown to regulate mesenchymal patterning (Park et al. 2010; Sala et al. 2011; Snowball et al. 2015), but they appear to be largely comparable between *Osr1* mutants and controls (Fig. s5B). Taken together *Osr1* is likely to function downstream of those signaling pathways and function within the mesenchyme cell-autonomously to regulate patterning.

In summary, we showed that *Osr1* mutants partially pheno-copy HH mutants in pulmonary artery, cartilage and smooth muscle formation, further supporting that *Osr1* mediates HH function during foregut development.

### 3.6 *Osr1* is required cell autonomously in the mesenchyme

Since *Osr1* is expressed in both epithelial and mesenchymal cells in the foregut at E9 but only the mesenchymal *Osr1* is dependent on HH signaling, we next performed tissue-specific conditional deletion of *Osr1* using floxed *Osr1<sup>f/f</sup>* mice to identify the tissue in which *Osr1* function is required. When combined with ubiquitously expressed *E11a-Cre*, the *Osr1<sup>f/f</sup>* embryos recapitulated the germ line null mutant phenotypes, indicating that *Osr1<sup>f</sup>* allele can be efficiently inactivated by Cre (Lan et al. 2011). We crossed *Dermo1<sup>Cre</sup>; Osr1<sup>+/-</sup>* and *Foxa2<sup>creER</sup>; Osr1<sup>+/-</sup>* male mice to *Osr1<sup>f/f</sup>* females, respectively, to generate embryos with *Osr1* inactivation specifically in mesenchymal or epithelial cells.

Epithelial-specific *Osr1*-deleted embryos showed the respiratory domains of similar size and shape as in control littermates at E10.5 (Fig. s6B), indicating that the epithelial *Osr1* is dispensable in supporting lung development. In contrast, the mesenchymal-specific *Osr1*-deleted embryos recapitulated the germ line null phenotype. In the *Dermo1<sup>Cre</sup>; Osr1<sup>f/-</sup>* mutants, the cranial lobe shifted anteriorly at E12.5 (Fig. 5A,B) and hypoplastic lungs with anteriorly extended right lobes were observed at E15.5 (Fig. 5C,D). Moreover, the mesenchymal specific mutants also had only one pulmonary artery with reduced expression of endothelial marker, reduced esophageal and tracheal smooth muscle and disorganized Sox9+ cartilage domains (Fig. 5E–J). Similar to the germline knockout, the proximal-distal patterning and distal vasculature were not affected in the mesenchymal specific knockout (Fig. s6A). The less severe phenotype in the mesenchymal specific knockout is likely due to incomplete deletion of *Osr1*. These data support the conclusion that the *Osr1* is predominantly required in the foregut mesenchyme to regulate foregut development.

### 3.7 *Osr1* expressing multi-potent cells gave rise to smooth muscle and chondrocytes in the foregut

To further narrow down which of the mesenchymal defects were cell autonomous, we first determined which cell populations were derived from *Osr1* expressing cells by lineage tracing experiment. *Osr1<sup>creER</sup>* were crossed with *Rosa<sup>mTmG</sup>* reporter mice. When the mTmG locus is recombined upon CreER activation with tamoxifen, the CreER expressing cells are permanently labeled with membrane bound GFP expression. Tamoxifen was administered to dams at E7.5–E8.5 to label *Osr1* expressing cells and foreguts were analyzed at E12.5. We found GFP<sup>+</sup> cells which co-expressed markers of the smooth muscle around the arteries, esophagus and trachea as well as in the Sox9<sup>+</sup> tracheal chondrocytes, but not arterial endothelial cells (Fig. 6A). This demonstrated that *Osr1* expression marks a multi-potential cell population at E7.5–E8.5 in the foregut, indicating that the mesenchymal tissue defects in the *Osr1* mutants were likely to be cell-autonomous.

Furthermore,  $\beta$ -gal immuno-staining in the *Osr1<sup>lacZ/+</sup>* heterozygous knockin embryos revealed LacZ-expressing mesenchymal cells around the esophagus, trachea, bronchi and pulmonary arteries at E12.5 (Fig. 6B, C), consistent with the lineage tracing (Fig. 6A) and *Osr1 in situ* data (Fig. 1). Interestingly, *Osr1<sup>LacZ</sup>* expression was very low in the Sox9-expressing chondrocytes, even though the lineage tracing indicate that this tissue is derived from *Osr1*-expressing cells (Fig. 6A). This suggests that *Osr1* is down regulated as the proximal mesenchyme around the trachea differentiated into chondrocyte (Fig. 6B,C,D). Examination of LacZ expression in the *Osr1<sup>lacZ/lacZ</sup>* mutant embryos (Fig. 6C) revealed comparable  $\beta$ -gal expressing mesenchymal tissue around the esophagus and pulmonary arteries of both homozygous mutants and heterozygous controls, suggesting that the *Osr1* mutant cells did not die or fail to proliferate, but rather did not differentiate into  $\alpha$ SMA expressing smooth muscle.

Together these data indicate that *Osr1* is cell-autonomously required in the foregut mesenchymal cells for proper development of the esophagus, airway and pulmonary artery smooth muscle as well as trachea cartilage.

## 4. Discussion

In this study we demonstrated that the zinc finger transcription factor *Osr1* is a transcriptional target of HH signaling in the mouse embryonic foregut mesenchyme. We showed that *Osr1* expression is dependent on HH activity and the HH effectors Gli3 binds to a potential enhancer region near the *Osr1* genomic loci. Analysis of *Osr1* mutant embryos revealed that *Osr1* function can account for some of the key roles of HH in foregut development including respiratory specification, lobulation and pulmonary artery development (Fig. 7).

We recently demonstrated that HH ligands are essential, in both mice and frogs, to activate expression of lung-inducing signals *Wnt2/2b* and *Bmp* in the splanchnic mesoderm (Rankin et al. 2016), but it was unclear from these studies whether these were direct or indirect HH/Gli targets. In the present study we found that *Osr1<sup>-/-</sup>* embryos have fewer lung progenitors, possible due in part to reduced lung-inducing Wnt signals. However our data

suggest that *Osr1* alone cannot account for *Wnt2/2b* and *Bmp4* transcription and it is likely they that are activated by HH/Gli or other HH-dependent factors. Such factors include Tbx transcription factors, supported by the evidence that Tbx5 is reduced without Gli2/3 (Rankin et al. 2016) and Tbx4/5 regulate *Wnt2/2b* in the fetal lung (Arora et al. 2012). Interestingly, those HH targets might cross-regulate each other as well, to fine-tune the transcriptional network. For example, *Osr1* has been suggested to be a downstream target of Tbx5 in the second heart field (Xie et al. 2012). It remains to be determined if and how Tbx5 cooperates with the HH pathway to regulate *Osr1* during foregut development.

Our analysis indicates that *Osr1* is required for the proper location of the primary branching of the lung lobes. Based on the medial expression pattern of *Osr1*, one possibility was that *Osr1* needs to be down regulated in regions of the growing lung buds where branching occurs. However, preliminary experiments when we expressed an inducible *Osr1* using a ubiquitous Cre driver to ectopically express *Osr1* in all cells including the branching regions, we did not observe obvious changes in branching (data not shown). Nevertheless, the fact that *Osr1* has a defect in primary lobulation but not branching morphogenesis as such makes *Osr1* mutants a good model for further studies into the distinct molecular mechanisms that control lobulation versus branching.

Our data indicate that *Osr1* is a potential effector of HH pathway to support pulmonary artery development. *Osr1* mutants have reduced vasculature connection between the heart and the lungs, similar to *HH* mutants. Meanwhile the known HH target *Foxf1* mutants appear only recapitulate the distal lung vasculature plexus defects of HH pathway (Li et al. 2004; Ren et al. 2014). Interestingly, HH activity is required in mesenchymal but not in endothelial or smooth muscle cells during pulmonary artery formation (Peng et al. 2013). Given that *Osr1* is expressed in arterial smooth muscle cells as well as the surrounding mesenchymal cells, it remains to be determined the more lineage specific functions of *Osr1* using particular lineage restricted Cre lines. Various congenital pulmonary artery defects are identified in humans, including the previously mentioned “pulmonary artery sling” and pulmonary atresia, where one or two arteries are lacking (Lofland 2009). The similar phenotype of *Osr1* mouse mutant suggests *Osr1* as a potential risk allele for such a distinct lesion. Moreover an *OSR1* gene variant is associated with high blood pressure in a GWAS study (Kato et al. 2015). Our finding here that *Osr1* is expressed in smooth muscle cells surrounding pulmonary arteries provides some clue for this association.

The absence or malformation of cartilage rings is a pathological feature of tracheomalacia patients where the trachea walls collapse during expiration due to lack of support and rigidity provided by the cartilage (Kugler and Stanzel 2014). The HH pathway is required to support tracheal cartilage differentiation (Miller et al. 2004), and the disorganization of cartilage rings observed in *Osr1* mutants suggested that *Osr1* functions downstream of HH signaling to regulate such events. Little is known how the cartilage rings remodeling occurs and the observation here suggests that either *Osr1* is required in progenitor cells before *Sox9* differentiation to prime those cells for proper remodeling later, or that *Osr1* is re-expressed in subpopulations of such cells to downregulate *Sox9* to segregate the domain into rings.

Despite the functional importance of esophageal muscle layers for food intake and transport to stomach (Krauss et al. 2016), very little is known about their development, especially the early events that establish the initial two layers of smooth muscle. We show here that the *Osr1* is required for smooth muscle differentiation, likely in a cell autonomous way. HH signaling is required in stomach and intestine SMC differentiation (Mao et al. 2010). The HH target *Foxf1* is also required in smooth muscle cells to support such differentiation as smooth muscle cell conditional *Foxf1* mutants display thinner esophageal smooth muscle (Hoggatt et al. 2013), which is apparently less severe than in the *HH* mutants. Considering the almost absent esophageal smooth muscle in the *Osr1* mutants, we propose that the HH-*Foxf1/Osr1* regulatory element is required for esophageal SMC development.

In summary, our study suggests *Osr1* coordinates development of the mammalian respiratory system, digestive track and the cardiovascular network downstream of HH signaling. So far *OSR1* has been associated with congenital kidney and heart defects in both animal models (Wang et al. 2005) and in human patients (Zhang et al. 2016). Our results suggest *Osr1* is a potential gene associated with human respiratory and digestive diseases as well, serving as another screening candidate and potential treatment target in humans with congenital respiratory and digestive defects.

## Supplementary Material

Refer to Web version on PubMed Central for supplementary material.

## Acknowledgments

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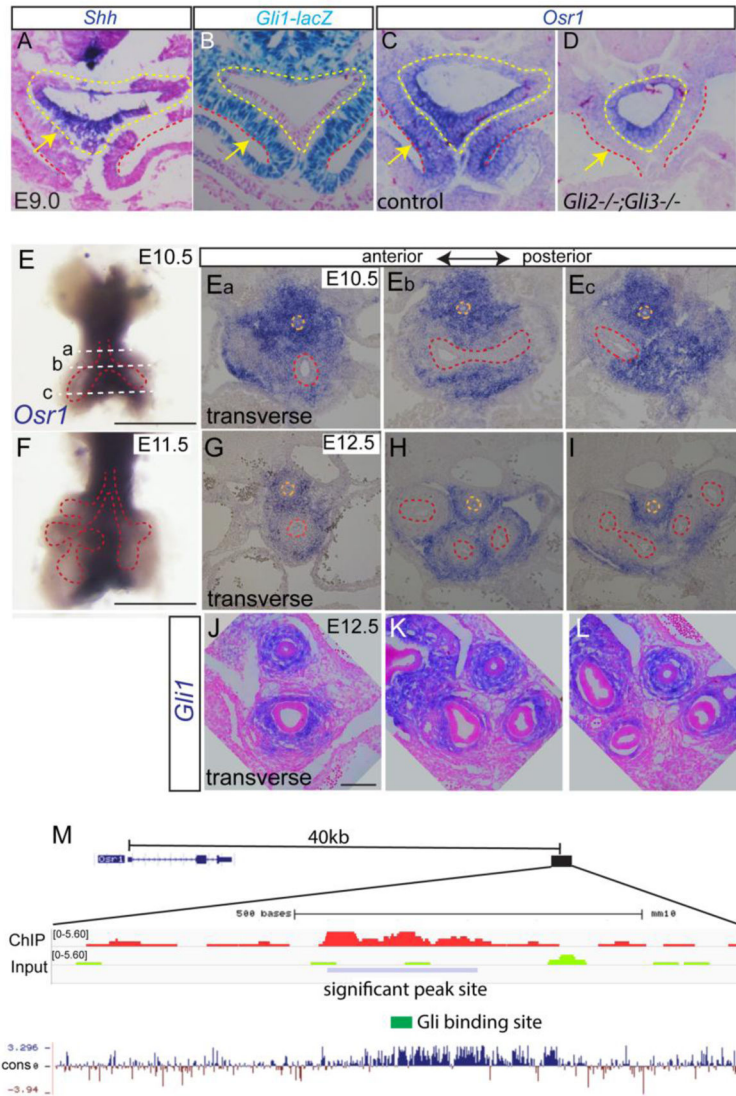
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### Highlights

- Zinc finger transcription factor Osr1 is a Hedgehog target in the foregut mesenchyme.
- Osr1 promotes respiratory specification through modulating mesenchymal signals.
- Osr1 is required for proper positioning of primary lung buds.
- Osr1 expression marks multi-potential foregut mesenchymal progenitors.
- Osr1 is required in the foregut mesenchyme for development of pulmonary arteries, smooth muscle and cartilage rings.





**Fig. 1.** *Osr1* is a Gli2,3 target and displays dynamic expression pattern during foregut development. (A) *Shh* *in situ* staining on E9.0 transverse foregut section. Arrow points to foregut epithelium. (B) LacZ staining in foregut using *Gli1<sup>lacZ</sup>* reporter mouse. (C–D) *Osr1* *in situ* on transverse sections of E9.0 foregut in control and *Gli2<sup>-/-</sup>;Gli3<sup>-/-</sup>* double mutant. Yellow dotted lines mark endoderm while the region in between yellow and red dotted lines denotes the splanchnic mesoderm. Arrows point to splanchnic mesenchyme. (E) Whole mount *in situ* of *Osr1* in dissected E10.5 foregut. Scale bar: 500 μm. Dotted lines corresponds to the section levels in Fig. Ea–Ec. (Ea–Ec) *Osr1* *in situ* on transverse sections of foregut region at E10.5 from anterior to posterior. (F) Whole mount *Osr1* *in situ* in E11.5 dissected respiratory tissue. Scale bar: 500 μm. (G–I) *Osr1* *in situ* on transverse sections of foregut at E12.5 from anterior to posterior region. Orange dotted line marks esophagus and red dotted line marks respiratory epithelial cells. (J) *Gli1* *in situ* on transverse sections through trachea and main bronchi. Scale bar: 100 μm. (M) Browser shot of previously published ChIP-seq data in

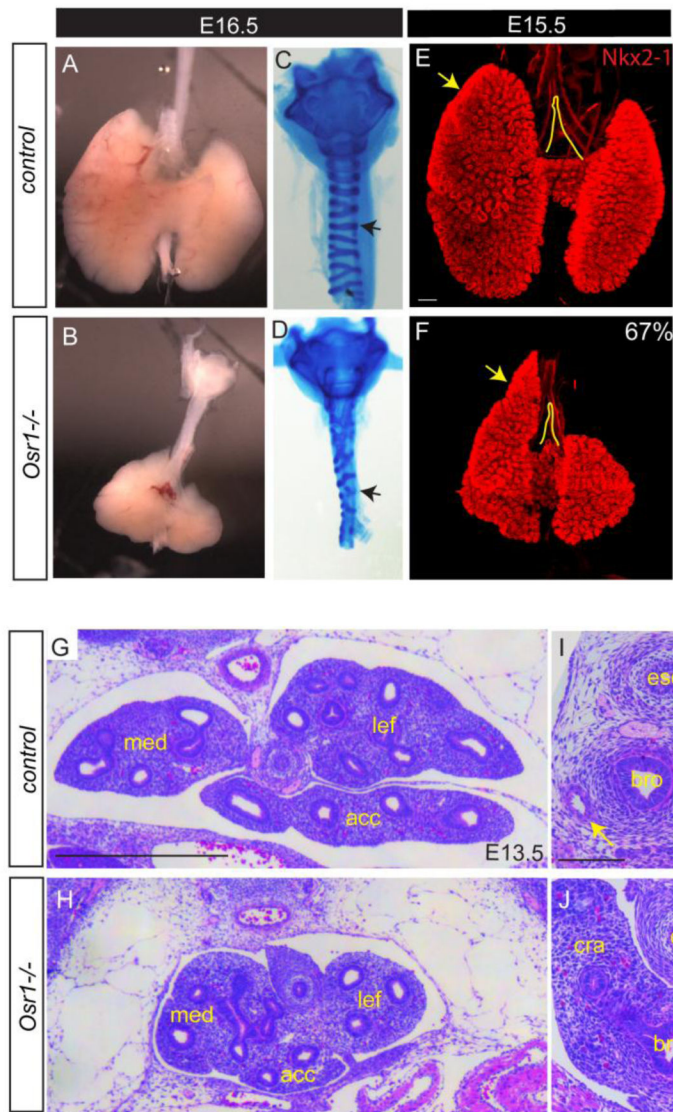
second heart field tissue comparing Gli3-flag ChIP to input around *Osr1* region together with mammal pairwise conservation. Blue box indicates the significant Gli3-flag ChIP signal located 40kb downstream of *Osr1* transcription start site.

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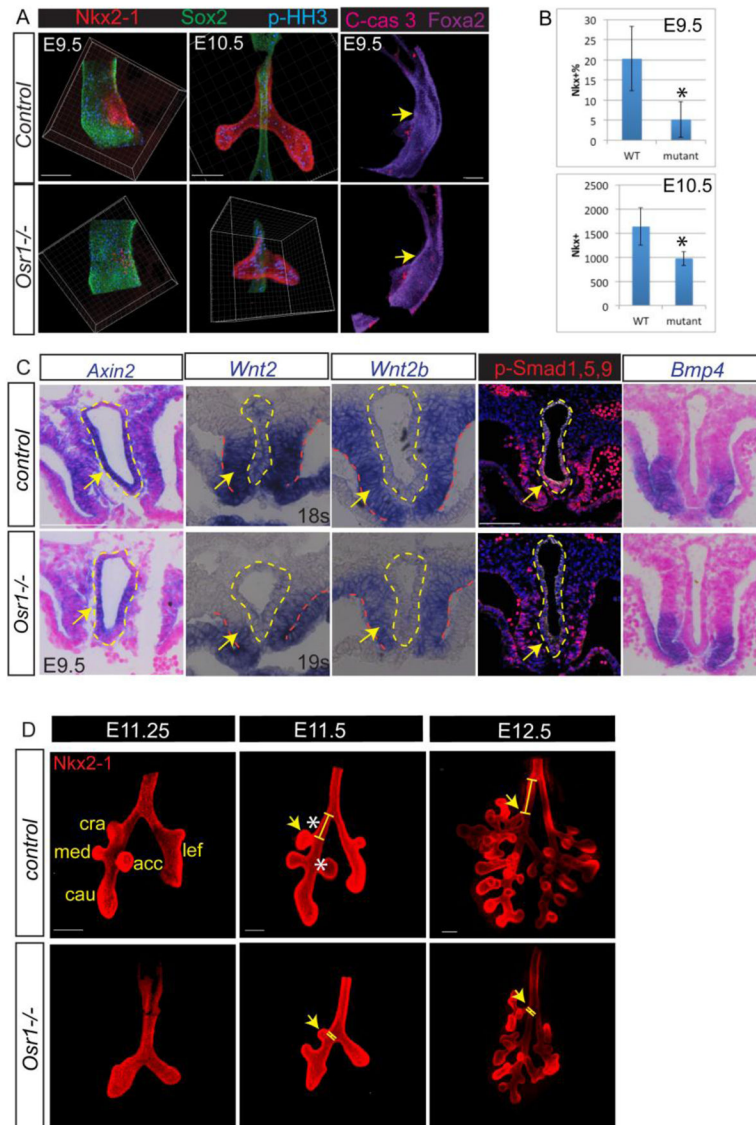
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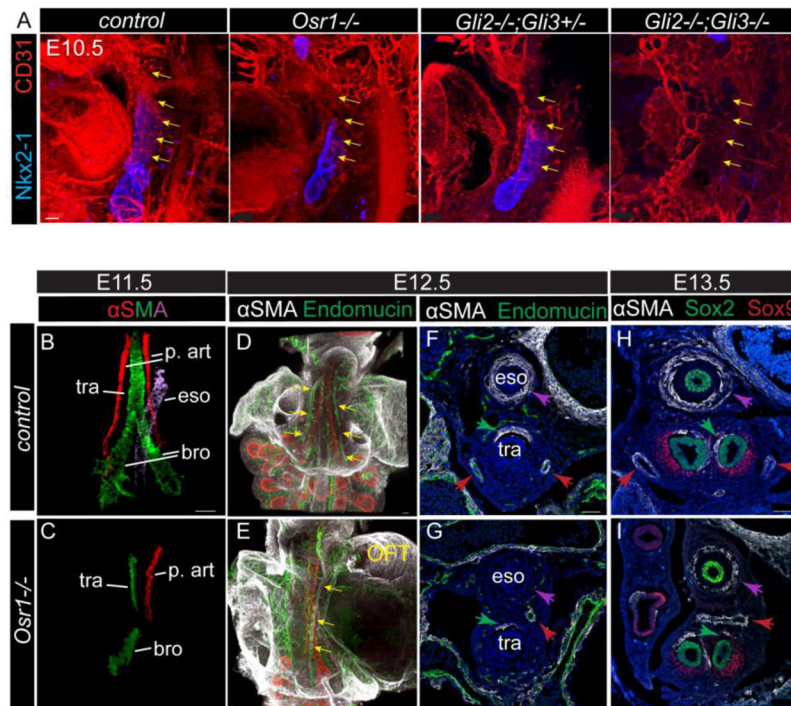
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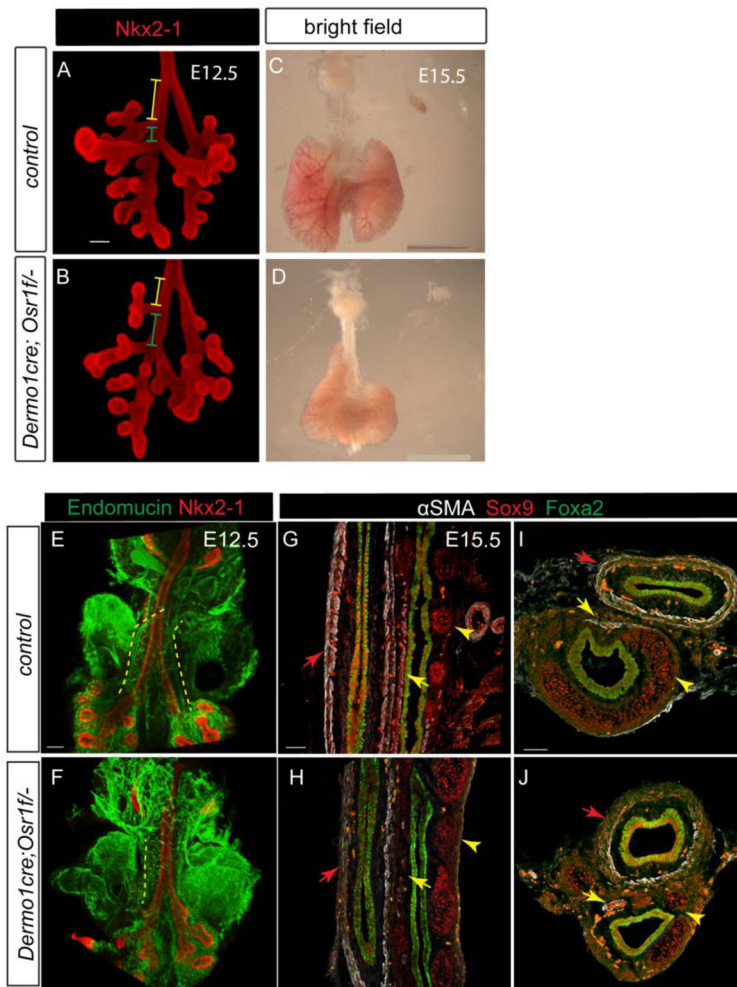
**Fig. 2.** *Osr1* mutants display lung hypoplasia, dysmorphic lobulation and mesenchymal differentiation defects. (A–B) Bright field view of dissected esophagus, trachea and lung. (C–D) Alcian blue staining of dissected trachea. Arrows show broken and disorganized cartilage rings in *Osr1* mutant compared to control. (E–F) Whole mount IF of Nkx2-1 in wildtype and mutant lungs. Arrows point to the anterior extension of the cranial lobe relative to the trachea bifurcation. Scale bar: 200  $\mu$ m. (G–J) H&E staining of transverse sections lung (G–H, scale bar: 500  $\mu$ m) and main bronchi (I–J, scale bar: 100  $\mu$ m) regions. Arrows indicate pulmonary arteries. Med, medial lobe; lef, left lobe; acc, accessory lobe; eso, esophagus; bro, bronchus; cra, cranial lobe.



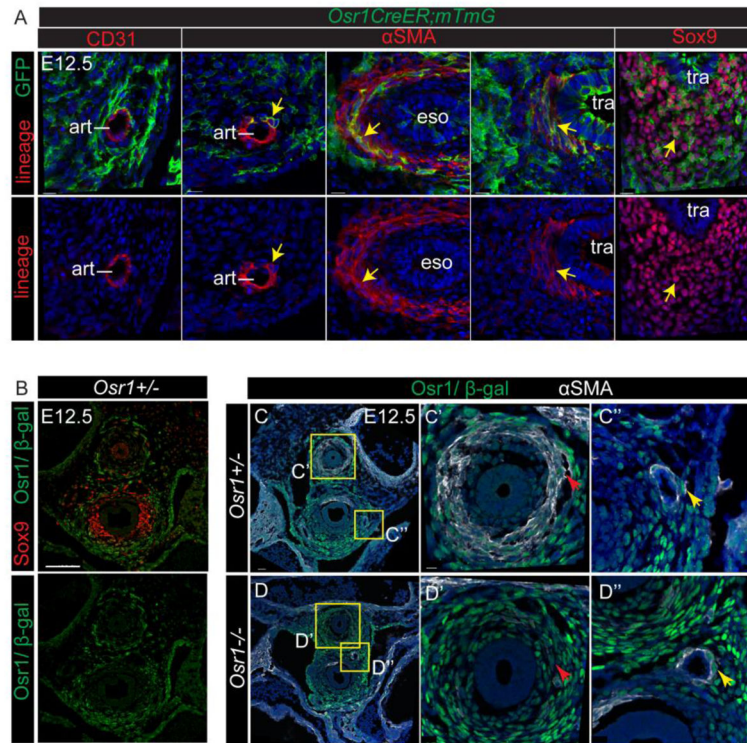
**Fig. 3.** Osr1 is required for sufficient respiratory specification and accurate lobe formation. (A) Whole mount immunostaining of foregut with respiratory marker (Nkx2-1), esophageal marker (Sox2), proliferation (p-HH3) and apoptosis (C-caspase 3) markers in dissected foreguts. (B) Quantification of respiratory specification marked by Nkx2-1+ cells normalized by the entire foregut epithelial cells in between pharynx and liver at E9.5 and the total number of Nkx2-1+ cells at E10.5. (C) *In situ* staining of *Axin2*, *Wnt2*, *2b* and *Bmp4* and IF staining of p-Smad 1,5,9 in wildtypes and *Osr1* mutants. (D) Whole mount IF with dissected lungs. Yellow lines indicate the distance between cranial lobe and trachea bifurcation. Cra, cranial lobe; med, medial lobe; cau, caudal lobe; lef, left lobe; acc, accessory lobe. Asterisks indicate the distances from cranial lobe to tracheal bifurcation and from accessory lobe to medial lobe are both significantly changed. Arrows indicate cranial lobe locations. Scale bars: 100  $\mu$ m.



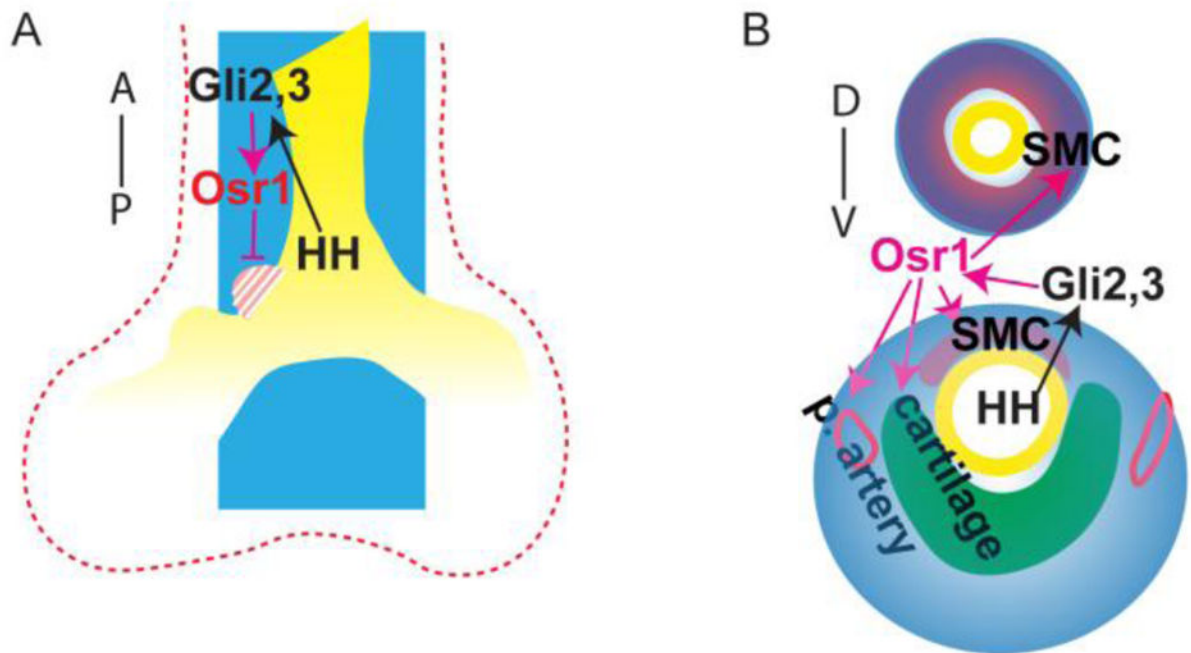
**Fig. 4.** Mesenchymal development including pulmonary arteries and smooth muscle differentiation in foregut is dependent on *Osr1*. (A) Whole mount IF staining in E10.5 embryos. Yellow arrows mark the vascular plexus connecting the out flow tract to the lungs. Scale bar: 50  $\mu$ m. (B–C)  $\alpha$ SMA whole mount staining of dissected foregut. Epithelial staining (not shown) is used to guide the identification of different smooth muscle cell structures. Different regions of the staining are isolated and pseudo-colored using Imaris. (D–E) Whole mount staining of the dissected foregut with the heart attached. Arrows point to the pulmonary arteries. Scale bars: 100  $\mu$ m. (F–I) IF on transverse sections at the trachea level (F–G) and the main bronchi level (H–I). Purple arrows indicate esophagus SMC, green arrows indicate tracheal SMC, while red arrows indicate pulmonary arteries SMC. OFT, out flow tract; p. art, pulmonary artery; tra, trachea; eso, esophagus; bro, bronchi. Scale bars: 50  $\mu$ m.



**Fig. 5.** *Osr1* is required in the mesenchyme to support foregut development. (AD) Confirmation of loss of *Osr1* in the mesenchyme. Exon specific *in situ* detecting either *exon1* which is not floxed, and *exon2* which is within the floxed region. (E–F) In the mesenchymal conditional knockout of *Osr1*, cranial lobe anterior shifting is evident at E12.5 (the relative distance ratio of yellow to green line), though to a lesser extent compared to the germline *Osr1* mutant. Scale bars: 100  $\mu$ m. (G–H) At E15.5, the mesenchymal conditional knockout lung demonstrates very similar hypoplasia and misshaping as the germline mutant. Scale bar: 2 mm. (I–J) Whole mount IF shows compromised pulmonary artery (dotted line) development in mesenchymal *Osr1* knockout. Scale bar: 100  $\mu$ m. (K–N) Longitudinal (K,L) and transverse (M–N) sections demonstrate failure of two layers of smooth muscle (red arrows) formation surrounding esophagus without *Osr1* in mesenchyme, sparse trachea smooth muscle (yellow arrows) formation as well as disorganized tracheal cartilage (yellow arrowheads). Scale bars: 50  $\mu$ m.

**Fig. 6.**

Osr1 expressing progenitors contribute to multiple mesenchymal lineages. (A) GFP lineage labeling is co-stained with multiple mesenchymal lineage markers at E12.5. The upper panel shows co-staining while the lower panel shows the lineage markers alone. Arrowheads indicate co-stainings. Art, artery; eso, esophagus; tra, trachea. Scale bars: 15 μm. (B) β-gal co-staining with Sox9 on transverse sections. Scale bar: 100 μm. (C–D) β-gal co-staining with αSMA on transverse sections. Red arrows indicate esophageal smooth muscle, while yellow arrows indicate pulmonary artery. Scale bar: 50 μm. Scale bars in inserts: 10 μm.



**Fig. 7.**

In conclusion, *Osr1* functions downstream of HH pathway and regulate multiple aspects of foregut development. (A) during lobulation, *Osr1*, which expression is restricted in the medial mesenchyme, prevents medial/anterior shifting of cranial lobe; (B) during trachea and esophagus mesenchyme differentiation, *Osr1* is expressed in the peripheral mesenchyme surrounding trachea and esophagus, supporting esophagus and trachea smooth muscle differentiation, trachea cartilage ring formation and pulmonary arteries development. Blue spaces indicate *Osr1* expression domains; red dotted line outlines the splanchnic mesenchyme. Yellow marks the endoderm; red spaces mark esophageal smooth muscle in the dorsal and tracheal smooth muscle in the ventral; green space marks the cartilage; red circles show pulmonary artery smooth muscle. D, dorsal; V, ventral; A, anterior; P, posterior.