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The association of lipoprotein(a) with incident heart failure hospitalization: Atherosclerosis Risk in Communities Study

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Author contributions

A. Agarwala: conceived and designed the research; drafted the manuscript; made critical revision of the manuscript for important intellectual content.

Y. Pokharel: drafted the manuscript; made critical revision of the manuscript for important intellectual content.

A. Saeed: made critical revision of the manuscript for important intellectual content.

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Abstract

Background and aims—Lipoprotein(a) [Lp(a)] is a proatherogenic lipoprotein associated with coronary heart disease, ischemic stroke, and more recently aortic stenosis and heart failure (HF). We examined the association of $Lp(a)$ levels with incident HF hospitalization in the Atherosclerosis Risk in Communities (ARIC) study. We also assessed the relationship between Lp(a) levels and arterial stiffness as a potential mechanism for development of HF.

Methods—Lp(a) was measured in 14,154 ARIC participants without prevalent HF at ARIC visit 1 (1987–1989). The association of Lp(a) quintiles with incident HF hospitalization was assessed using Cox proportional-hazards models. Arterial stiffness parameters were stratified based on $Lp(a)$ quintiles, and p -trend was calculated across ordered groups.

Results—At median follow-up of 23.4 years, there were 2,605 incident HF hospitalizations. Lp(a) levels were directly associated with incident HF hospitalization in models adjusted for age, race, gender, systolic blood pressure, history of hypertension, diabetes, smoking status, body mass index, heart rate, and high-density lipoprotein cholesterol (quintile 5 vs. quintile 1: hazard ratio [HR] 1.24, 95% confidence interval [CI] $1.09-1.41$; p -trend across increasing quintiles <0.01), but not after excluding prevalent and incident myocardial infarction cases (HR 1.07, 95% CI 0.91– 1.27; p -trend = 0.70). When adjusted for age, gender, and race, $Lp(a)$ quintiles were not significantly associated with arterial stiffness parameters.

Conclusions—Increased Lp(a) levels were associated with increased risk of incident HF hospitalization. After excluding prevalent and incident myocardial infarction, the association was no longer significant. Lp(a) levels were not associated with arterial stiffness parameters.

Keywords

lipoproteins; heart failure; risk factors; risk prediction

Introduction

Lipoprotein (a) $[Lp(a)]$ is a proatherogenic lipoprotein composed of a low-density lipoprotein (LDL)–like moiety with a unique glycoprotein, apolipoprotein (a) [apo(a)],

which is covalently linked to a single molecule of apolipoprotein B-100 (apoB-100) of the LDL moiety. Elevated plasma levels of $Lp(a)$ are a significant risk factor for atherosclerotic cardiovascular disease.^{1–6} We have previously shown that high levels of $Lp(a)$ are significantly associated with an increased risk for coronary heart disease (CHD) and stroke in the Atherosclerosis Risk in Communities (ARIC) cohort.^{1,7}

Elevated levels of $Lp(a)$ may be associated with higher risk for heart failure for several reasons. Given its atherothrombotic properties, Lp(a) may increase the risk for heart failure after ischemic myocardial injury. Additionally, Lp(a) has been implicated in the development of aortic valve stenosis, $8-12$ a phenomenon thought to be related to valvular calcification and stiffness, which also contribute to the development of heart failure.¹³ Furthermore, through enhanced atherosclerosis, Lp(a) may increase arterial stiffness, which could also augment heart failure risk.¹⁴

To our knowledge, only one study has assessed the relationship between Lp(a) levels and risk for heart failure.15 In addition, no published study has examined the relationships among Lp(a) levels, arterial stiffness, and heart failure. We hypothesized that higher levels of Lp(a) would be associated with greater risk for incident heart failure hospitalization. We also postulated that increased $Lp(a)$ levels would be associated with increased arterial stiffness and subsequent incident heart failure hospitalization.

Therefore, the purpose of this study is to examine the association of $Lp(a)$ levels with incident heart failure hospitalization and arterial stiffness in the ARIC study.

Participants and methods

Study participants

The ARIC study is a prospective study of cardiovascular disease incidence in 15,792 men and women between the ages of 45 and 64, who were recruited from four US communities (Minneapolis, Minnesota; Washington County, Maryland; Forsyth County, North Carolina; Jackson, Mississippi) in 1987–1989. Additional description of the ARIC study design has been published elsewhere.16 At ARIC visit 1 (1987–1989), 752 participants had a preexisting diagnosis of heart failure. We excluded those without data on Lp(a), incident heart failure hospitalization, or covariates $(n = 1,535)$. We also excluded race other than African American or White ($n = 48$) and African Americans from Minnesota and Washington County field centers $(n = 55)$, as these cohorts have numbers that are too small for adequate statistical adjustment. This resulted in a total of 14,154 participants who were included in our analysis of Lp(a) and incident heart failure hospitalization (Supplemental Fig. 1). Arterial stiffness parameters from ARIC visit 2 (1990–1992) were available in 9,523 participants, in whom we assessed associations of Lp(a) with arterial stiffness parameters and heart failure.

The apo(a) component of $Lp(a)$ may contain a variable number of kringle IV type 2 repeats that can affect characteristics such as isoform size and plasma $Lp(a)$ levels.^{17,18} ARIC investigators measured $Lp(a)$ at ARIC visit 1 with a kringle IV type 2 repeat–sensitive $assay¹⁹$ for analysis of Lp(a) and incident heart failure hospitalization. We performed

confirmatory analyses with Lp(a) values measured a decade later at visit 4 (1996–1998) using a kringle IV type 2 repeat–insensitive assay.²⁰ Participants with prevalent heart failure at visit 4 were excluded.

Outcomes and covariates

Incident heart failure hospitalization was defined by International Statistical Classification of Diseases and Related Health Problems (ICD) codes of 428.x (9th Revision) or I50 (10th Revision) in any position on the hospital discharge list or on a death certificate with death from heart failure in any position.²¹ CHD events are defined as definite or probable MI, fatal CHD, or cardiac procedure.

Cigarette smoking and the use of antihypertensive and lipid-lowering medications were obtained from a standardized questionnaire. Hypertension was defined as systolic blood pressure 140 mm Hg and diastolic blood pressure 90 mmHg, or the use of antihypertensive medications during the previous 2 weeks. Diabetes was defined as a fasting plasma glucose level 126 mg/dL, a nonfasting plasma glucose level 200 mg/dL, or a selfreported history of physician-diagnosed diabetes or treatment for diabetes.

Lipids and lipoproteins

Lipid measurements were performed on 12-hour fasting plasma samples that were stored at −70°C with ethylenediaminetetraacetic (EDTA) acid as the anticoagulant. Plasma total cholesterol, high-density lipoprotein cholesterol (HDL-C), and triglycerides were measured using enzymatic methods.22 LDL cholesterol (LDL-C) was calculated using the Friedewald equation.²³ At visit 1, Lp(a) was measured using a double-antibody enzyme-linked immunosorbent assay technique as previously described¹⁹ and at visit 4 using a commercially available automated immunoturbidimetric assay (Denka Seiken Co. Ltd., Tokyo, Japan).²⁰ Lp(a) values at visit 1 were standardized using a conversion equation derived from a comparison between samples at visit 1 measured by both assays in 100 samples from an entire $Lp(a)$ distribution with equal representation from both genders and ethnic groups, and there was excellent correlation (Pearson $r=0.88$) without evidence of systematic bias at high or low $Lp(a)$ levels, as previously described.¹

Ultrasound imaging and determination of arterial wall parameters

At ARIC visit 2, participants were asked to refrain from smoking, vigorous exercise, and caffeine the night prior to ultrasound imaging. Methods for the acquisition of electrocardiography-gated B-mode ultrasound images and for echo tracking of arterial diameter in the ARIC study have been described previously.16,24–26 Arterial wall characteristics were determined by measuring arterial diameter changes over the cardiac cycle for an average of 5.6 cardiac cycles. Arterial wall characteristics were derived from ultrasound measurements as well as from supine brachial blood pressure measured at ARIC visit 2. The arterial wall parameters used in the current study include pressure-strain modulus, carotid arterial strain, arterial distensibility, arterial compliance, and stiffness index, $24-26$ and equations used to calculate these indices are shown in Supplemental Table 1. Higher pressure-strain modulus and stiffness index and lower carotid arterial strain, arterial distensibility, and compliance suggest increased arterial stiffness.²⁵

Statistical analysis

In the current analysis, we examined the association between $Lp(a)$ levels from ARIC visit 1 and incident heart failure hospitalization. Characteristics were compared by Lp(a) levels at baseline (ARIC visit 1) using chi-squared test for categorical variables and Student's t-test or Kruskal–Wallis rank test for continuous variables as appropriate. The p -trend tests a linear increase in log relative hazard with increasing quintiles. Participants were followed up for incident heart failure hospitalization or until loss to follow-up, death, or December 31, 2012 (whichever came first). We used multivariable Cox proportional-hazards regression models to investigate the association between visit $1 \text{ Lp}(a)$ levels and incident heart failure hospitalization. Lp(a) level was treated as a categorical variable by quintiles. The lowest quintile was considered as the reference in the categorical analysis. The proportional hazard assumption was confirmed using time-dependent covariates and likelihood ratio tests. We adjusted for age, gender, and race in model 1. In model 2, we additionally adjusted for systolic blood pressure, history of hypertension, diabetes, current smoking status, body mass index, and heart rate (which have been shown to predict incident heart failure in ARIC).²⁷ In model 3, we also added HDL-C. In model 4, we additionally adjusted for prevalent CHD at baseline to assess if the relationship would attenuate after accounting for prevalent CHD. Model 5 adjusts for LDL-C in addition to covariates used in models 1–4. Finally, model 6 additionally adjusts for Lp(a)- cholesterol corrected LDL-C that is not taken into account by the Friedewald equation. $Lp(a)$ - cholesterol corrected LDL-C is derived using the formula Lp(a)-cholesterol = Lp(a) mass (mg/dL) \times 0.3.²⁸ Using models 1–6 as described, we also examined the relationship between Lp(a) levels and incident heart failure hospitalization after excluding both prevalent and incident myocardial infarction (MI) during the follow up period. Confirmatory analyses were done in visit 4 samples (1996–1998) using the Denka Seiken assay to measure Lp(a) levels.

We also assessed the relationship between $Lp(a)$ and vascular arterial stiffness parameters. We examined the distribution of various arterial stiffness parameters across $Lp(a)$ quintiles in unadjusted models and in models adjusted for age, gender and race, since the arterial stiffness parameters can vary by these demographic variables.²⁹

The institutional review boards at all participating centers approved the ARIC study protocol, and all participants provided informed consent. We used SAS version 9.4 (SAS Institute Inc., Cary, NC) and Stata version 12 (StataCorp, College Station, TX) to carry out the above analyses. All tests were 2-tailed with a p -value <0.05 considered statistically significant.

Results

Baseline characteristics

Among the 14,154 ARIC participants included for the analysis of Lp(a) and incident heart failure hospitalization, mean age was 55 years; 55% were women, and 25% were African Americans. The mean follow-up period was 20 ± 6.55 years (median follow-up $[25^{th}, 75^{th}]$ percentiles], 23.4 [16.8, 24.5] years). The 5th (highest) quintile of Lp(a) included more women (62% *vs.* 50% in quintile 1, $p \le 0.001$) and African Americans (46% *vs.* 6% in

quintile 1, $p < 0.001$) (Table 1). Participants in quintile 5 were also more likely to have a higher prevalence of cardiovascular risk factors and higher levels of total cholesterol and LDL-C than those in quintile 1. Individuals with $Lp(a)$ levels in the highest quintile were also more likely to have incident MI and CHD after visit 1 than individuals in quintile 1.

Lp(a) levels and incident heart failure hospitalization

The median $Lp(a)$ levels at visit 1 were 8 mg/dL for participants without heart failure and 10 mg/dL for participants with incident heart failure hospitalization. There were 2,605 incident heart failure hospitalizations after visit 1. Higher Lp(a) quintiles at visit 1 were significantly associated with greater risk for incident heart failure hospitalization in unadjusted models (log-rank $p < 0.001$; Fig. 1) as well as adjusted models (Table 2). The risk was apparent in higher Lp(a) quintiles (i.e., quintiles 4–5) only, not in lower quintiles. Sequential adjustments in the models described earlier did not meaningfully alter the associations of Lp(a) and incident heart failure hospitalization within each quintile (Table 2). When adjusted for age, gender, race, systolic blood pressure, hypertension, diabetes, current smoking, body mass index, heart rate, and HDL-C (i.e., model 3), higher Lp(a) quintiles were significantly associated with an increased risk for incident heart failure hospitalization (quintile 5 vs. quintile 1: hazard ratio [HR] 1.24, 95% confidence interval [CI] 1.09–1.41; p-trend across increasing quintiles $\langle 0.01 \rangle$. Upon exclusion of participants with prevalent and incident MI (n $= 490$ and 1,411, respectively), the association between Lp(a) levels and incident heart failure hospitalization was no longer significant (quintile 5 *vs.* quintile 1: HR 1.07, 95% CI 0.91–1.27; p -trend across increasing quintiles = 0.70; Table 3). There was no significant interaction by race, gender, or diabetes (p for interaction: 0.65, 0.22, and 0.31, respectively). There was a significant association between the top 10% of $Lp(a)$ values ($Lp(a)$ range 33– 108 mg/dL) and incident heart failure hospitalization (vs lowest quintile: HR 1.34, 95% CI 1.12–1.60; $p = 0.001$), but this association no longer remained significant after MI was excluded (HR 1.16, 95% CI 0.91–1.47). Results based on Lp(a) levels from visit 4, measured approximately a decade later using an automated assay, were qualitatively similar to those from visit 1 (p -trend across quintiles = 0.02; Supplemental Table 2). The results at visit 4 were also similar for the top 10% versus the lowest quintile: there was a significant association with incident heart failure hospitalization (HR 1.25, 95% CI 1.00–1.55; $p =$ 0.046), but this association no longer remained significant after MI was excluded (HR 1.18, 95% CI 0.90–1.55; $p = 0.230$).

Lp(a) and arterial stiffness

Although higher Lp(a) quintiles were associated with greater pressure-strain modulus and stiffness index and lower arterial distensibility and compliance in the unadjusted model (p trend across Lp(a) quintiles <0.01 for each; Table 4), after adjustment for age, race, and gender, no significant association was observed.

Discussion

In this study, we demonstrated that higher levels of $Lp(a)$ are significantly associated with an increased risk for incident heart failure hospitalization. After the exclusion of prevalent and incident MI, the association was no longer statistically significant.

Agarwala et al. Page 7

A recent study by Kamstrup et al. in the Copenhagen City Heart Study and Copenhagen General Population Study showed that elevated Lp(a) levels were associated with an increased risk for heart failure.¹⁵ We confirmed this association between $Lp(a)$ and heart failure in the ARIC study. After the exclusion of MI and aortic stenosis, Kamstrup et al. found an attenuated, but significant, association between $Lp(a)$ and heart failure. Their analyses suggested that 63% of the increased risk for heart failure was mediated by MI and aortic stenosis, and they hypothesized that arterial stiffness and vascular noncompliance may be a potential mechanism to explain the relationship of $Lp(a)$ and heart failure.¹⁵

In our study, we examined the association of $Lp(a)$ measured at visit 1 with parameters of arterial stiffness assessed at visit 2. Although higher Lp(a) levels were associated with increased pressure-strain modulus and stiffness and reduced arterial compliance and distensibility in a simple model, the associations were no longer significant after adjustment for age, race, and gender. These findings do not support a direct effect of Lp(a) on arterial stiffness as a likely mechanism to explain the increased risk for heart failure with increased Lp(a) levels.

In the current study, the association between $Lp(a)$ quintiles and heart failure hospitalization was no longer significant after excluding prevalent and incident MI. We did not find evidence in the ARIC study that the association between Lp(a) and heart failure is due to a mechanism independent of CHD.

Strengths of the current study include a large, well-characterized, randomly sampled population with lengthy follow-up in a prospective study designed to examine cardiovascular disease incidence and risk factors. Lp(a) was measured in the entire cohort at two separate time points with two different assays. The findings based on Lp(a) levels measured at visit 4, approximately a decade after visit 1, were quantitatively similar to those based on $Lp(a)$ at visit 1 and suggest that higher levels of Lp(a) continue to be associated with heart failure when measured later in life.

One limitation of this study is that the endpoint was determined based on ICD codes and that echocardiographic data were not available to characterize heart failure as with preserved or reduced ejection fraction. However, validation of hospitalizations for heart failure indicated that the positive predictive value of discharge and death certificate codes was 93% for acute decompensated heart failure and 97% for chronic heart failure.²¹

Kamstrup et al. also demonstrated an association between high-risk genetic variants in the LPA gene ($rs3798220$ and $rs10455872$) and heart failure in the Copenhagen studies.¹⁵ We confirmed a strong association between these variants and $Lp(a)$ levels (data not shown). Although we did not find a significant association with incident heart failure hospitalization, the high-risk variants were associated with numerically greater HRs (data not shown). Because of the smaller size of the ARIC study, the mixed racial cohort in ARIC, and the low allele frequency of these variants, our sample size had insufficient statistical power to confirm the findings of the Copenhagen studies, which included a much larger and more homogeneous cohort.

Agarwala et al. Page 8

Future studies may consider distinguishing between heart failure with preserved and with reduced ejection fraction when assessing the relationship between Lp(a) and heart failure. Since echocardiography data were not collected at earlier ARIC visits, we could not examine the associations of Lp(a) levels, aortic stenosis, and heart failure in the ARIC study. Although we assessed the associations of $Lp(a)$, arterial stiffness, and heart failure in 9,523 individuals, our study could still be underpowered if the association of Lp(a) with arterial stiffness is only modest. In that case, a much larger study population would help confirm our findings. Another limitation of this investigation is that we assessed only local arterial stiffness as opposed to regional arterial stiffness. Finally, despite comprehensive adjustment, residual confounding is still possible in an observational study.

In summary, individuals with higher levels of $Lp(a)$ had an increased risk for heart failure hospitalization even after adjustment for other risk factors in ARIC. We have previously shown that high levels of Lp(a) were significantly associated with an increased risk for CHD and stroke in both European Americans and African Americans. These combined data suggest that novel therapies such as PCSK9 inhibitors, which lower both LDL-C and $Lp(a)$, and antisense oligonucleotides targeting apo(a), 30 which selectively lower Lp(a), may reduce risk for heart failure hospitalization as well as CHD and stroke in high-risk individuals with high levels of Lp(a).

Supplementary Material

Refer to Web version on PubMed Central for supplementary material.

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Highlights

• Lipoprotein(a) level was associated with heart failure risk in the ARIC study

- **•** The association persisted after adjustment for other risk factors
- **•** The relation was not significant after excluding prevalent and incident MI
- **•** Lipoprotein(a) level was not associated with arterial stiffness parameters

Agarwala et al. Page 12

Fig. 1.

Cumulative incidence of heart failure hospitalization by Lp(a) quintiles at ARIC visit 1. Higher Lp(a) quintiles at visit 1 were significantly associated with greater risk for incident heart failure hospitalization in unadjusted models. p values were calculated by log-rank trend tests of survival functions across Lp(a) quintiles.

Baseline characteristics in the overall cohort based on Lp(a) quintiles at ARIC visit 1. Baseline characteristics in the overall cohort based on Lp(a) quintiles at ARIC visit 1.

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BMI, body mass index; CHD, coronary heart disease; HDL-C, high-density lipoprotein cholesterol; Lp(a), lipoprotein(a); LDL-C, low-density lipoprotein cholesterol; MI, myocardial infarction. BMI, body mass index; CHD, coronary heart disease; HDL-C, high-density lipoprotein cholesterol; Lp(a), lipoprotein(a); LDL-C, low-density lipoprotein cholesterol; MI, myocardial infarction.

ARIC visit 1 Lp(a) quintiles hazard ratios (95% CI) for incident heart failure hospitalization (prevalent MI included). ARIC visit 1 Lp(a) quintiles hazard ratios (95% CI) for incident heart failure hospitalization (prevalent MI included).

Follow-up to December 31, 2012; mean follow-up 20.0±6.55 years, median follow-up 23.4 (16.8, 24.5) years. Lp(a) presented as mg/dL. P trend tests a linear increase in log relative hazard with increasing Follow-up to December 31, 2012; mean follow-up 20.0±6.55 years, median follow-up 23.4 (16.8, 24.5) years. Lp(a) presented as mg/dL. P trend tests a linear increase in log relative hazard with increasing quintiles.

Model 1: adjusted by age, gender, and race. Model 1: adjusted by age, gender, and race.

Model 2: model 1 plus systolic blood pressure, hypertension, diabetes, current smoking, BMI, and heart rate. Model 2: model 1 plus systolic blood pressure, hypertension, diabetes, current smoking, BMI, and heart rate.

Model 3: model 2 plus HDL-C. Model 3: model 2 plus HDL-C.

Model 4: model 3 plus prevalent CHD. Model 4: model 3 plus prevalent CHD.

Model 5: model 4 plus LDL-C Model 5: model 4 plus LDL-C Model 6: model 4 plus Lp(a)-cholesterol corrected LDL-C Model 6: model 4 plus Lp(a)- cholesterol corrected LDL-C

HF, incident heart failure hospitalization. HF, incident heart failure hospitalization.

ARIC visit 1 Lp(a) quintiles hazard ratios (95% CI) for incident heart failure hospitalization (prevalent and incident MI excluded). ARIC visit 1 Lp(a) quintiles hazard ratios (95% CI) for incident heart failure hospitalization (prevalent and incident MI excluded).

Follow-up to December 31, 2012; mean follow-up 20.0±6.55 years, median follow-up 23.4 (16.8, 24.5) years. Lp(a) presented as mg/dL. p trend tests a linear increase in log relative hazard with increasing Follow-up to December 31, 2012; mean follow-up 20.0±6.55 years, median follow-up 23.4 (16.8, 24.5) years. Lp(a) presented as mg/dL. p trend tests a linear increase in log relative hazard with increasing
quintiles.

Models 1-3 are as described for Table 2. Models 1–3 are as described for Table 2.

Arterial stiffness parameters by Lp(a) quintiles. Arterial stiffness parameters by Lp(a) quintiles.

Data expressed as median (25th, 75th percentiles). Ĺ, p-trend was calculated by trend test across ordered groups. p-trend was calculated by trend test across ordered groups.

Lp(a) levels were measured at ARIC visit 1 (1987-1989). Arterial stiffness parameters were measured at ARIC visit 2 (1990-1992). Lp(a) levels were measured at ARIC visit 1 (1987–1989). Arterial stiffness parameters were measured at ARIC visit 2 (1990–1992).

 ${}^{\,2}_{\,}$ Adjusted for age, race, and gender. Adjusted for age, race, and gender.