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Review

Genetically engineered mouse models of PI3K signaling in breast cancer



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ABSTRACT

Breast cancer is the most common type of cancer in women. A substantial fraction of breast cancers have acquired mutations that lead to activation of the phosphoinositide 3-kinase (PI3K) signaling pathway, which plays a central role in cellular processes that are essential in cancer, such as cell survival, growth, division and motility. Oncogenic mutations in the PI3K pathway generally involve either activating mutation of the gene encoding PI3K (PIK3CA) or AKT (AKT1), or loss or reduced expression of PTEN. Several kinases involved in PI3K signaling are being explored as a therapeutic targets for pharmacological inhibition. Despite the availability of a range of inhibitors, acquired resistance may limit the efficacy of single-agent therapy. In this review we discuss the role of PI3K pathway mutations in human breast cancer and relevant genetically engineered mouse models (GEMMs), with special attention to the role of PI3K signaling in oncogenesis, in therapeutic response, and in resistance to therapy. Several sophisticated GEMMs have revealed the cause-and-effect relationships between PI3K pathway mutations and mammary oncogenesis. These GEMMs enable us to study the biology of tumors induced by activated PI3K signaling, as well as preclinical response and resistance to PI3K pathway inhibitors.

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1. Introduction

Breast cancer is by far the most common cancer and the most frequent cause of cancer-related death in women (Ferlay et al., 2010). Breast cancer is a very heterogeneous disease, with marked variation in genetic mutations and protein expression. The epidermal growth factor receptor HER2 (or ERBB2) and estrogen receptor alpha (ER α) are widely used targets to select breast cancer patients for treatment with HER2 targeting therapeutics or endocrine agents. In addition to these

two targets, many breast tumors are characterized by activation of the PI3K pathway (Stemke-Hale et al., 2008). This signaling pathway drives cellular processes such as growth, cell cycling, survival and motility, which are associated with cancer. Indeed, increased PI3K signaling leads to oncogenesis in several experimental models. A diversity of pharmacological inhibitors has been developed to inactivate this signaling pathway in cancer cells (Courtney et al., 2010). Thus far, however, it seems that monotherapy with a single targeted inhibitor is not capable of eradicating cancer. Various mechanisms

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of resistance to PI3K pathway inhibitors have been identified in cancer cells, including the activation of feedback loops or upregulation of receptor tyrosine kinases (RTKs). To counteract the inevitable resistance against inhibitors, it will be necessary to employ combination therapies. Finding effective combination therapies is, however, a daunting task. Since tumors contain different combinations of mutations, different combinations of inhibitors may be required for effective treatment of individual patients. There is a need for realistic pre-clinical models to predict response to treatment. Using patient-derived data on mutation status of PI3K signaling, advanced genetically engineered mouse models (GEMMs) have been developed to mimic human genetics of breast cancer. These models enable us to study the impact of each mutation on breast cancer development. In preclinical research, GEMMs can play an important role in a “mouse cancer clinic” setting. Mouse models can be treated with inhibitors that are in development, and the biology of response and resistance can be studied. Candidate resistance mechanisms may be identified and targeted in combination therapies. Here, we review the role of PI3K signaling in human breast cancer, the currently published mouse models, the clinical and preclinical studies with targeted therapy, and resistance to PI3K pathway inhibition.

2. PI3K signaling in human breast cancer

Cellular survival, growth and division normally support development and maintenance of tissues and organs. In cancer, cellular division has become uncoupled from physiological regulation, and as a result, a pathological increase in cell number takes place, disturbing organ function. Cancer cells may become invasive and, in the worst case, form metastases in other organs, which is the most life-threatening consequence of cancer. The PI3K pathway is a major contributor to cellular proliferation and survival and aberrations in components of the PI3K pathways are frequently found in human cancers including breast cancer. Oncogenic mutations in the PI3K signaling pathway generally involve either activating mutations in the genes encoding the kinases PI3K (*PIK3CA*) or AKT (*AKT1*), or loss or reduced expression of the phosphatases PTEN, SHIP or INPP4B (Stemke-Hale et al., 2008).

2.1. The PI3K signaling pathway

The PI3K pathway is an intracellular signaling pathway which signals from growth factor receptors at the cell membrane down to many effector proteins through many kinases, including PI3K, AKT and mTOR (Figure 1). In addition to its role in normal cell function, the PI3K pathway is also one of the most frequently activated signaling pathways in cancer. Phosphoinositide 3-kinases (PI3Ks) act by phosphorylating phosphatidylinositol (PtdIns) lipid substrates, which activate multiple effector proteins, governing many cellular processes, including cell cycle progression, growth, survival and migration. There are three classes of PI3K isoforms. Class I PI3Ks are heterodimeric lipid kinases composed of two subunits: the p110 catalytic subunit and the p85 regulatory subunit. Three p110 isoforms have been described: p110 α , p110 β , and

p110 δ , encoded by *PIK3CA*, *PIK3CB* and *PIK3CD*, respectively. As reviewed recently (Vanhaesebroeck et al., 2010), the α and β isoforms are expressed ubiquitously. Expression of the p110 δ isoform is largely restricted to the immune system. Signaling to PI3K may be provided by growth factor binding to tyrosine kinase receptors, or by Ras and other small GTPases, and G protein-coupled receptors. Upon activation, PI3K converts phosphatidyl-inositol-4-5-bisphosphate (PIP2) to phosphatidyl-inositol-3,4,5-trisphosphate (PIP3), which facilitates recruitment of PDK1 and AKT. Subsequently PDK1 phosphorylates AKT on T308, and full activation of AKT is established by phosphorylation on S473 by mTORC2, resulting in activation of growth, proliferation and survival signaling. Negative regulation of the PI3K signaling is provided by the phosphatases PTEN, SHIP and INPP4B, which dephosphorylate PIP3 and therefore function as tumor suppressors.

2.2. PI3K pathway mutations in human breast cancer

The PI3K signaling pathway is frequently activated in breast cancer. *PIK3CA* is mutated in approximately 25–40% of all breast cancers (The Cancer Genome Atlas Network, 2012). It is the second most commonly mutated gene after *TP53*, and the most frequently mutated gene in the PI3K signaling network. Activating mutations in *AKT* are also reported in breast cancer, albeit at a lower frequency of around 8% (Carpten et al., 2007). *PIK3CA* and *AKT* mutations are more frequent in hormone receptor positive breast cancers (Gonzalez-Angulo et al., 2011; Stemke-Hale et al., 2008). Especially *PIK3CA* is often mutated in ER-positive breast cancer, with a reported incidence of up to 41.3% (Campbell et al., 2004; Ellis et al., 2012; Loi et al., 2010; Santarpia et al., 2012; Stephens et al., 2012).

High-throughput RNA sequencing has pointed to PI3K signaling as one of the overrepresented pathways in hormone receptor- and HER2-negative (“triple-negative”) breast cancer (Shah et al., 2012). In triple-negative breast cancers, the top three genes most frequently affected by somatic mutations are *TP53*, *PIK3CA* and *PTEN*, with *PTEN* loss occurring more frequently in this breast cancer subtype than in hormone receptor positive tumors. *PTEN* loss is also common in breast cancers in *BRCA1* mutation carriers, underlining the relevance of this tumor suppressor in *BRCA1*-associated hereditary breast cancer (Martins et al., 2012; Saal et al., 2008).

The prognostic role of *PIK3CA* mutations in breast cancer has been investigated in several retrospective studies, which yielded conflicting results. Recently, a large meta-analysis of 2587 breast cancer patients from 12 independent studies showed that patients with tumors harboring a *PIK3CA* mutation have a better clinical outcome than those with a wildtype *PIK3CA* gene (Dumont et al., 2012), but this correlation with improved prognosis appears to be restricted to patients with mutations in the kinase domain of p110 α and to postmenopausal women with ER-positive breast cancer. Loss of *PTEN* and phosphorylation of AKT, as determined by immunohistochemistry, do not appear to be prognostic markers in breast cancer (Panigrahi et al., 2004).

PIK3CA or *AKT* mutations appear to occur early in breast cancer development. This is suggested by the complete concordance in mutation status between matched invasive

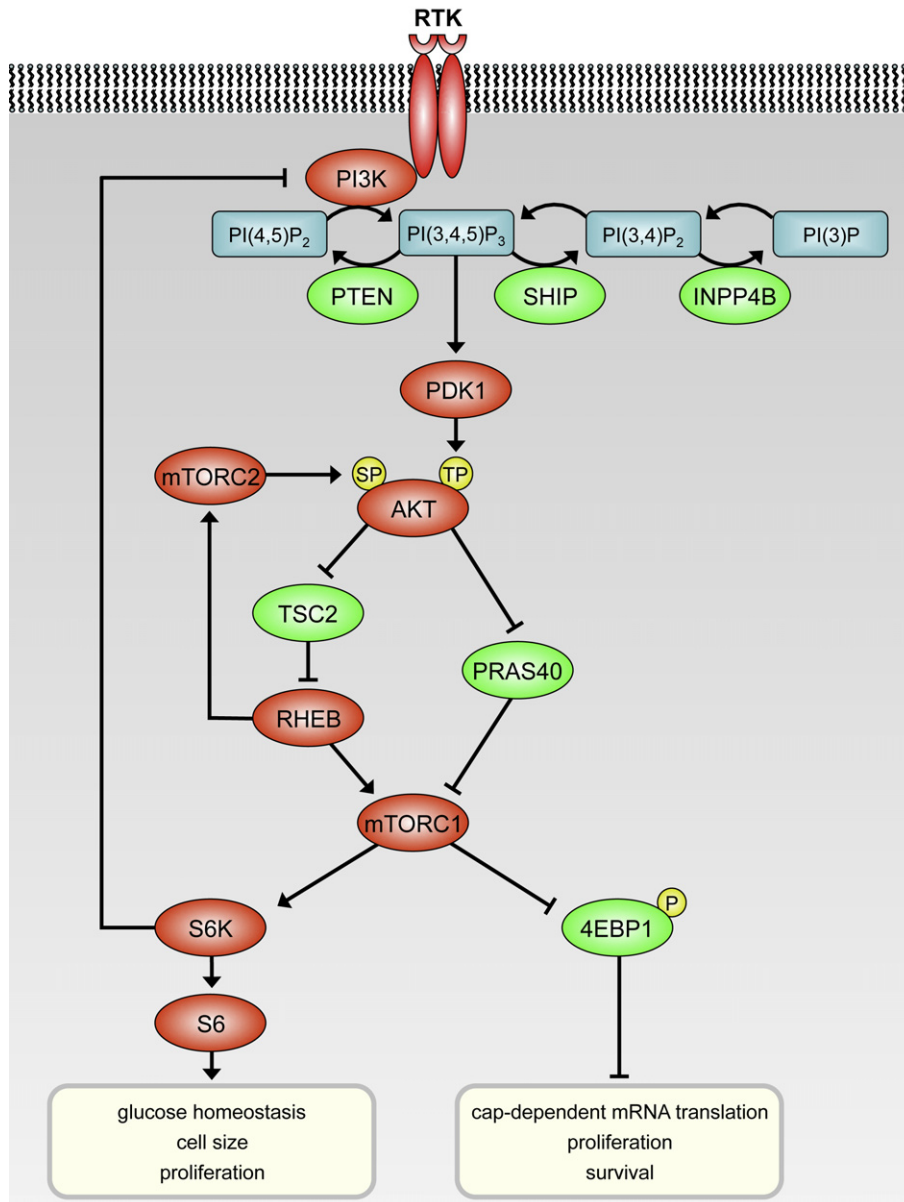


Figure 1 – Simplified representation of the PI3K signaling pathway. Proteins that activate signaling are depicted in red; proteins that inhibit in green. Upon ligand binding to the receptor tyrosine kinase (RTK), PI3K is activated, leading to formation of PI(3,4,5)₃. AKT is phosphorylated at threonine 308 (TP) by PDK1, and on serine 473 (SP) by mTORC2. Note that most kinases are activated by phosphorylation, whereas 4EBP1 is inactivated by phosphorylation.

and in situ tumor tissue in invasive breast carcinomas with an accompanying in situ component. (Dunlap et al., 2010). Analysis of *PIK3CA* mutations and *PTEN* loss in matched primary and metastatic breast cancer tissues revealed a high level of discordance in *PTEN* levels and *PIK3CA* mutations between primary tumors and metastases, which may confound patient selection based on analysis of primary tumor tissue and concurrently influence response to PI3K-targeted therapies (Dupont Jensen et al., 2011; Gonzalez-Angulo et al., 2011).

Since activating *PIK3CA* mutations and *PTEN* loss both lead to increased levels of phosphatidylinositol 3,4,5-triphosphate, one might not expect them to co-occur in tumors. Several studies have indeed reported statistically significant mutual exclusivity among *PIK3CA*, *PTEN* and *AKT1* mutations (Saal

et al., 2005; The Cancer Genome Atlas Network, 2012). However, other studies have shown that activating *PIK3CA* mutations and *PTEN* loss may co-occur in breast cancer (Pérez-Tenorio et al., 2007; Stemke-Hale et al., 2008), which may point to functional differences between these genetic lesions.

2.3. *PIK3CA* mutations

In breast cancer, activating *PIK3CA* mutations occur mostly in exons 9 and 20, encoding the helical domain and the kinase domain of p110 α , respectively. Helical domain mutations are generally glutamic acid (E) to lysine (K) substitutions at one of two favored positions: E542K or E545K. Exon 9 mutations relieve the inhibitory interaction between the N-terminal

SH-domain of p85 and the helical domain of p110 α , resulting in constitutive activation of the PI3K pathway. Both of these mutations correlate with poor prognosis (Barbareschi et al., 2007). The majority of the kinase domain mutations in exon 20 are H1047R (histidine to arginine) substitutions, which allow easier access of substrates to the catalytic sites of p110 α by inducing an allosteric change, leading to constitutive PI3K pathway activation. The H1047R genotype has been associated with enhanced survival as compared to either wildtype or helical domain mutant PIK3CA (Barbareschi et al., 2007).

The different hotspot mutations in PIK3CA are not equally distributed between breast cancer subtypes. Helical domain mutations occur more frequently in the molecular luminal A subtype and in lobular carcinoma than in other molecular subtypes or ductal carcinoma, respectively (Barbareschi et al., 2007; The Cancer Genome Atlas Network, 2012). Indeed, mutations in the different domains of PIK3CA are not functionally identical. Helical domain mutant cells show increased directionality in chemotaxis assays *in vitro*, and increased intra- and extravasation *in vivo*, compared to both wildtype p110 α and kinase domain mutant cells (Pang et al., 2009). Furthermore, the mechanisms underlying PI3K pathway activation seem to be different for both classes of p110 α mutants. In case of the helical domain mutants, pathway activation requires interaction with RAS-GTP, while kinase domain mutants depend on interaction with p85. These different gain-of-function mechanisms have been associated with different conformations of the molecule (Zhao and Vogt, 2010).

2.4. AKT mutation

AKT, also known as protein kinase B (PKB), was discovered as an oncogene in the mouse leukemia virus AKT8 (Staal, 1987). AKT can be phosphorylated by PDK1 at T308, and by mTOR complex 2 (mTORC2) at S473. AKT activates mTOR complex 1 (mTORC1), leading to activation of downstream targets such as 4E-BP1 and S6 kinases (reviewed by Manning and Cantley, 2007). A small subset of breast cancers harbors an AKT point mutation causing substitution of glutamic acid by lysine at amino acid 17 (E17K). The E17K mutation promotes pathological localization of AKT to the plasma membrane, resulting in increased downstream signaling and decreased sensitivity of AKT to allosteric kinase inhibitors (Carpten et al., 2007).

2.5. PTEN loss

The PTEN tumor suppressor, identified in 1997, is a phosphatase that negatively regulates intracellular levels of phosphatidylinositol (3,4,5) triphosphate through dephosphorylation (Li et al., 1997; Stambolic et al., 1998). Reduced expression of PTEN in cancer can be caused by several mechanisms, including loss of heterozygosity at the gene locus, germline and somatic gene mutations, epigenetic silencing by methylation of the PTEN promoter, protein interactions, and PTEN protein degradation (Sadeq et al., 2011; Shetty et al., 2011).

During recent years, additional functions of PTEN have been discovered, as reviewed by Song (Song et al., 2012). PTEN possesses both lipid phosphatase and protein phosphatase activity, and plays tumor suppressive roles in both the

cytoplasm and the nucleus. Several studies have reported multiple roles of both nuclear and cytoplasmic PTEN in the maintenance of genome stability. Nuclear PTEN was shown to promote association of APC/C with its activator CDH1, enhancing its E3 ligase activity, leading to degradation of oncoproteins such as polo-like kinase 1 (PLK1) and Aurora kinases (AURKs) (Gao et al., 2009; Song et al., 2012, 2011). Nuclear PTEN also upregulates RAD51 to positively regulate DNA repair (Shen et al., 2007). Loss of PTEN leads to AKT-mediated phosphorylation and cytoplasmic sequestration of the cell cycle regulator checkpoint kinase 1 (CHEK1), disrupting the G2-M cell cycle checkpoint and leading to DNA double-strand breaks (Puc et al., 2005). In support of a role of PTEN in the maintenance of genome stability, PTEN loss was shown to cause homologous recombination defects in human tumor cells, sensitizing them to inhibitors of poly(ADP-ribose) polymerase (PARP) (Mendes-Pereira et al., 2009).

2.6. INPP4B loss

PI3K activates intracellular signaling by the formation of phosphatidylinositol-(3,4,5)triphosphate (PI(3,4,5)P₃ or PIP₃; Figure 1). Control of signaling is maintained by PTEN, which dephosphorylates the 3-position of PIP₃. The 5-position can be dephosphorylated by SHIP phosphatases to produce phosphatidylinositol-3,4-bisphosphate (PI(3,4)P₂) (Damen et al., 1996). This phospholipid can be further dephosphorylated by inositol polyphosphate 4-phosphatase type II (INPP4B). Thus, similarly to PTEN, INPP4B suppresses PI3K signaling. Indeed, knockdown of INPP4B leads to increased AKT activation, thereby promoting anchorage-independent proliferation, migration and invasion, and *in vivo* tumor growth (Fedele et al., 2010; Gewinner et al., 2009). Although loss of SHIP family genes has not been correlated with solid tumor formation, frequent loss of INPP4B has been observed in basal-like breast cancers (The Cancer Genome Atlas Network, 2012).

3. Pharmacological inhibition of PI3K signaling in breast cancer

Several pharmacological inhibitors of the PI3K signaling pathway have been developed and tested in preclinical models as well as in clinical trials (Table 1). The first available agents were rapamycin analogs (rapalogs), which inhibit PI3K signaling through allosteric inhibition of mTORC1. Despite their activity in tumor cell lines, rapalogs have shown only modest clinical activity, most likely due to the fact that mTORC1 inhibition results in abrogation of an S6K-IRS1-PI3K negative feedback loop, resulting in activation of AKT (Carracedo et al., 2008; O'Reilly et al., 2006). More recently, several small molecule inhibitors have been developed that function as an ATP-competitive inhibitor of mTOR in both the mTORC1 and the mTORC2 complex (Chresta et al., 2010; Feldman et al., 2009; García-Martínez et al., 2009; Thoreen et al., 2009; Yu et al., 2009). These inhibitors can completely block phosphorylation of 4E-BP1 and have been reported to be more effective than rapamycin in inhibiting tumor growth. Also dual inhibitors of mTOR (TORC1/2) and PI3K, such as NVP-BE225 and GDC-0980, have been shown to inhibit signal

Table 1 – PI3K pathway inhibitors in clinical development.

Inhibitor	Target	Company	Clinical trials	Reference
Everolimus (RAD-001)	mTORC1	Novartis	Phase II/III	Beuvink et al., 2001
Temsirolimus	mTORC1	Wyeth	Phase II/III	Dudkin et al., 2001
AZD8055	mTORC1/2	Astrazeneca	Phase I	Chresta et al., 2010
Ku-0063794	mTORC1/2	Astrazeneca	–	García-Martínez et al., 2009
GDC-0980	Pan-PI3K + mTORC1/2	Genentech	Phase II	Sutherland et al., 2011; Wallin et al., 2011
NVP-BEZ235	Pan-PI3K + mTORC1/2	Novartis	Phase I/II	Maira et al., 2008
GDC-0941	Pan-PI3K	Genentech	Phase II	Folkes et al., 2008; O'Brien et al., 2010
NVP-BKM120	Pan-PI3K	Novartis	Phase II/III	Maira et al., 2012
GDC-0032	PI3K α	Genentech	Phase I	Friedman et al., 2012
NVP-BYL719	PI3K α	Novartis	Phase I/II	Fritsch et al., 2012
AZD5363	Pan-AKT	AstraZeneca	Phase I	Davies et al., 2012
GDC-0068	Pan-AKT	Genentech	Phase I	Blake et al., 2012; Lin et al., 2013
MK-2206	Pan-AKT	Merck & Co	Phase II	Hirai et al., 2010

transduction and tumor growth *in vitro* and in xenograft models (Maira et al., 2008; Wallin et al., 2011).

Since activation of the PI3K pathway in human cancers occurs mostly through activating mutations in *PIK3CA*, several inhibitors have been developed against this kinase. The pan-class I PI3K inhibitors NVP-BKM120 and GDC-0941 show high activity in human cancer cell lines with mutations in *PIK3CA* (Maira et al., 2012; O'Brien et al., 2010). Also for AKT several inhibitors have been developed, including AZD5363 and MK-2206 (Davies et al., 2012; Hirai et al., 2010).

3.1. Clinical intervention studies in breast cancer patients

The clinical use of mTOR inhibitors in breast cancer has been reviewed recently (Zagouri et al., 2012). The rapalog everolimus is one of the best-described mTOR inhibitors in clinical trials, and is approved for various cancers. The drug forms a complex with FKBP12, which binds to mTOR and prevents downstream signaling. Everolimus has been developed both as an immunosuppressant to treat organ transplant rejection and as an anticancer agent. Results from clinical phase I, II and III trials in breast cancer patients have been published. In the neoadjuvant setting, an improved clinical response rate has been shown for everolimus with the aromatase inhibitor letrozole compared with letrozole alone (Baselga et al., 2009). Clinical studies evaluating everolimus in combination with the HER2 targeting monoclonal antibody trastuzumab and chemotherapeutics have yielded promising results with an overall response rate (ORR) of up to 44% and the combinations were well tolerated (Andre et al., 2010; Jerusalem et al., 2011; Morrow et al., 2011). In hormone receptor-positive breast cancer, everolimus has been used in combination with aromatase inhibitors and tamoxifen. In the TAMRAD study, time to progression (TTP) and overall survival (OS) were increased in postmenopausal breast cancer patients who were treated with tamoxifen plus everolimus compared with tamoxifen alone. The combination is well tolerated, and the main toxicities are fatigue, stomatitis, rash, anorexia and diarrhea (Bachelot et al., 2012, 2011). The randomized phase III BOLERO-2 trial compared everolimus and the aromatase inhibitor exemestane vs. exemestane and placebo in patients with hormone receptor-positive advanced breast cancer who relapsed or progressed during previous adjuvant treatment

with aromatase inhibitors (Baselga et al., 2012). The addition of everolimus extended median progression-free survival from 3.2 to 7.8 months. The most common adverse events were stomatitis, anemia, hyperglycemia (which in fact has been used as a pharmacodynamic marker), fatigue and pneumonitis. This combination was recently approved by the U.S. Food and Drug Administration. Another phase III study (BOLERO-3) is being performed to determine efficacy and safety of everolimus combined with vinorelbine and trastuzumab.

The rapalog temsirolimus inhibits mTOR kinase activity by forming a complex with the immunophilin FK506/rapamycin binding protein, which binds to mTOR and inhibits its kinase activity. Temsirolimus has shown modest activity in clinical trials. In a phase III trial however, a combination with letrozole did not show a convincing benefit compared with letrozole alone. According to various trials, temsirolimus may prove to have clinical value in specific groups of breast cancer patients, but more work is needed to define the target population (reviewed by Zagouri et al., 2012).

Several other inhibitors of PI3K signaling in various stages of clinical development (Zardavas et al., 2012). BKM120 is a pan-class I PI3K inhibitor that will be studied in two randomized phase III trials (BELLE-2 and BELLE-3), focusing on fulvestrant vs. fulvestrant and BKM120 therapy in postmenopausal women with hormone receptor-positive breast cancer refractory to AI. Another pan-PI3K inhibitor, GDC-0941, is currently evaluated in a phase II trial with fulvestrant in patients with ER-positive locally advanced or metastatic breast cancer. BEZ235 is a dual inhibitor of PI3K and mTOR, which is currently studied in phase I/II as monotherapy and in combination with trastuzumab in patients with HER2-positive breast cancer after failure of trastuzumab treatment. Another dual PI3K/mTOR inhibitor, GDC-0980, is currently evaluated in combination with fulvestrant in the same phase II trial as GDC-0941. Of the different AKT inhibitors that are currently in clinical development, MK-2206 is the most advanced, being studied in a phase II trial in breast cancer patients (Zardavas et al., 2012).

4. Resistance to PI3K pathway inhibitors

Despite the central role PI3K signaling in breast cancer, it is becoming clear that, even in tumors with PI3K pathway

mutations, inhibitors of PI3K signaling do not cause tumor eradication or durable remission. Some tumors respond initially but become resistant after prolonged treatment, whereas other tumors do not respond at all. An explanation for the limited antitumor effects of PI3K pathway inhibition is that a number of negative feedback loops exist within this signaling network. When signaling is inhibited, negative feedback is also relieved, which may limit the therapeutic efficacy of inhibitors by re-activation of signaling. As mentioned above, mTORC1 inhibition by rapalogs leads to AKT activation through abrogation of a negative feedback loop that controls RTK signaling. However, also combined mTORC1/2 inhibition can lead to activation of AKT through increased RTK-PI3K-PDK1 activity (Rodrik-Outmezguine et al., 2011). Through a similar relief of feedback, induction of RTK expression and phosphorylation has been observed after inhibition of AKT (Chandarlapaty et al., 2011). Together, these studies support combined inhibition of mTOR and RTKs (Zhu et al., 2012). Similar effects have been observed for the PI3K inhibitor XL147, which leads to upregulation and phosphorylation of multiple RTKs in HER2-overexpressing human breast cancer cells, attenuating its therapeutic efficacy. This may however be overcome by the addition of HER2/HER3 antagonists (Chakrabarty et al., 2012).

Another interesting mechanism of adaptive resistance to PI3K inhibition has been described in three-dimensional (3D) cell cultures, in which breast cancer cells are grown as so-called spheroids. The dual-specificity PI3K/mTOR inhibitor BEZ235 has been shown to induce apoptosis exclusively in the inner region of tumor cell spheroids, whereas the matrix-attached cells are resistant. This resistance has been associated with the upregulation and/or activation of multiple proteins, including several RTKs, anti-apoptotic proteins, and transcription factors. Although these observations were made in ovarian cancer cells, subsequent experiments with 3D cultures of breast cancer cells resulted in a similar adaptive response to BEZ235, which could be overcome by combined inhibition of PI3K/mTOR and BCL2 (Muranen et al., 2012).

Activation of MEK-ERK signaling is another relevant mechanism mediating resistance to PI3K signaling inhibitors. If both the PI3K pathway and the ERK pathway are activated in tumor cells, these cells are resistant to inhibition of either one of these pathways. In this setting, a combination of inhibitors for both pathways may be beneficial. 4E-BP1 seems to be a key integrating downstream effector of both these pathways, and combined inhibition of both the PI3K and ERK pathways is effective in inhibiting phosphorylation of 4E-BP1 and tumor growth (She et al., 2010).

5. The role of PI3K signaling in therapy resistance

The PI3K signaling pathway can also play a role in resistance to other targeted therapies. In breast cancer, PI3K signaling may play a role in resistance to both endocrine therapy and RTK inhibition. Understanding the biology of therapeutic response and mechanisms of resistance will be crucial for deciding which patient to treat with which therapeutics.

5.1. Resistance to endocrine therapy

For ER-positive breast cancer, treatment with selective ER modulators (SERMs) or aromatase inhibitors (AI) is often chosen to inhibit the proliferative effects of estrogen on the tumor. However, not all patients benefit from such therapy, and there is a need for biomarkers to predict response. It seems that an increased activity of the PI3K signaling pathway correlates with poor response to endocrine therapy, and that this may be overcome by pharmacological inhibition of PI3K signaling. In support of this notion, the TAMRAD study has shown a clinical benefit of combining everolimus with tamoxifen in metastatic breast cancer, compared with tamoxifen alone (Bachelot et al., 2012). Unfortunately, assessment of PI3K pathway activity via measurement of single phosphorylated proteins in patient material can be difficult. In formalin-fixed, paraffin-embedded tissue, proteins can be rapidly dephosphorylated, and variation in fixation can complicate immunohistochemical detection and, a fortiori, semi-quantitative measurement of phosphorylated proteins. These and other methodological issues need to be addressed before markers of PI3K pathway activity can be reliably validated as predictors of response to endocrine therapy (Beelen et al., 2012).

5.2. Resistance to RTK inhibitors

Trastuzumab (Herceptin) is a HER2/ERBB2-targeting antibody used in patients with HER2-overexpressing breast tumors. It has been found that rapid activation of PTEN contributes to the antitumor activity of trastuzumab, and that PTEN loss is associated with trastuzumab resistance in breast cancer (Nagata et al., 2004). A functional genetic screen in HER2-overexpressing breast cancer cells identified low PTEN expression as a critical determinant of trastuzumab resistance, which can also be conferred by PIK3CA mutants. By using oncogenic PIK3CA mutations and low PTEN expression as determinants of trastuzumab resistance, patients at increased risk for progression can be identified (Berns et al., 2007). Several groups have confirmed the correlation of activated PI3K signaling with poor clinical response of HER2-amplified breast cancer patients to trastuzumab treatment (Esteve et al., 2010; Gallardo et al., 2012; Razis et al., 2011). Interestingly, PTEN loss or activating PI3KCA mutations mark resistance to trastuzumab but not to lapatinib, a dual HER2/ERBB2 and EGFR inhibitor (O'Brien et al., 2010).

6. Mouse models of human cancer

Many studies have identified recurrent (epi)genetic alterations in human cancer. It is, however, difficult to identify the exact cause-and-effect relationships and the mechanisms by which these alterations promote malignant transformation, using observational data from patient material. While *in vitro* studies with cultured human cell lines aid in the empirical testing of hypotheses related to cellular transformation, they do not take into account some of the main hallmarks of cancer, as there is no immune system or vasculature. Human tumor cells can be xenografted into mice to study their *in vivo*

behavior, with the caveat that cell lines do not fully represent the primary tumor as it grows in a patient, but rather a sub-population from a tumor or effusion, selected by and adapted to growth in a laboratory environment in artificial media. Hence, tumor cell line xenograft models may be regarded as “animal culture systems” (Frese and Tuveson, 2007). More informative and clinically predictive *in vivo* models of human breast cancer can be produced using orthotopic transplantation of fresh human tumor tissue or introduction of cancer driver mutations in mice using genetic engineering.

6.1. PDX models of human cancer

By engrafting actual tumor tissue into immune-deficient mice, *in vivo* models can be directly derived from patients. These models are termed patient-derived tumor xenograft (PDX or PDTX) models (Tentler et al., 2012). PDX tumors may be serially passaged, with preservation of biological aspects such as gene expression patterns, mutational status, metastatic potential, drug responsiveness and tumor architecture (DeRose et al., 2011). Although PDX models permit *in vivo* propagation of human tumors that retain several characteristics of the patient’s tumor, they also have their limitations. In practice, not all xenografted human breast cancers grow out in mice, although co-engraftment of mesenchymal stem cells has been reported to promote growth and stability of the grafts (DeRose et al., 2011). Also metastatic potential is not always fully recapitulated in the PDX models, and the recipient mice lack important components of the immune system, which plays a major and complex role in tumor biology.

6.2. GEMMs of human cancer

Genetically engineered mouse models (GEMMs) are useful *in vivo* model systems to study the impact of specific genetic alterations on tumor onset, progression and/or therapy response. GEMMs of human cancer can be created through various approaches (Figure 2). By transferring a foreign gene into the genome of a mouse, a transgenic mouse model can be generated. In the strict sense, “transgenic” implies the insertion of genetic material from another species, but it is often applied to mouse models with insertion of a gene from the same species as well. The term “knock-in” is used if the insertion is targeted into a specific locus, for example to replace an endogenous allele with a corresponding mutant allele. The *Rosa26* (*R26*) and *Col1a1* loci are frequently used as target sites for knock-in strategies to achieve widespread expression of (candidate) cancer genes or shRNAs targeting tumor suppressors (Beard et al., 2006; Masui et al., 2005).

To study loss-of-function mutations, endogenous genes can be inactivated or modified with great precision via homologous recombination in mouse embryonic stem cells, which can be used to generate mutant mice. In conventional transgenic mice and germline mutants, the mutation is present in all cells of an organ or even the whole animal. Genetically, this does not reflect sporadic cancer, which is initiated by somatic mutations in single cells within a non-mutated (micro-) environment. To inactivate genes in a cell-type specific fashion, different site-specific recombination systems have been employed in mice (Lewandoski, 2001). In case of the

commonly used Cre/loxP system, the Cre recombinase specifically targets loxP sequences, which may be introduced into the mouse genome to flank critical exons of cancer genes or transcriptional terminators that prevent expression of (candidate) oncogenes (Figure 2). Tissue-specific excision of the “floxed” alleles or transcriptional terminators may be achieved via transgenic Cre expression or via somatic gene transfer involving e.g. Cre encoding adenoviruses.

Mutations can be temporally controlled by making use of inducible systems, such as the doxycycline (dox) inducible system in which transcriptional repression or activation of target genes is controlled by binding of dox to the tet-regulatable transcriptional activators tTA or rtTA, respectively (Lewandoski, 2001). Temporal control can also be achieved by fusing oncoproteins or Cre to a tamoxifen-responsive mutant version of the estrogen receptor (ER) hormone-binding domain. In this case, the oncoprotein or Cre recombinase is activated after administration of tamoxifen.

Several promoters can be used for (over)expression of transgenes in mouse mammary glands. The whey acidic protein (WAP), beta-lactoglobulin (*BLG*) and mouse mammary tumor virus (MMTV)-long terminal repeat (MMTV-LTR) promoters are more or less selectively active in the mammary epithelial cells (Pittius et al., 1988; Stewart et al., 1984; Webster et al., 1995). The cytokeratin 14 (*K14*) gene promoter has also been used to drive Cre recombinase expression in mammary gland epithelium, but it is also active in the epidermis, meaning that tumorigenesis may also be induced in the skin (Jonkers et al., 2001).

6.3. Utility of GEMMs of human cancer

There are several ways in which GEMMs can contribute to fundamental and preclinical cancer research. Firstly, GEMMs allow for *in vivo* assessment of the causal role of genes that are found to be mutated or otherwise modified in human cancer patients. By selectively modifying a gene in a mouse and with the use of the proper control cohorts, cause and effect relationships in cancer can be identified with much stronger evidence than with use of correlative data that can be collected from patients.

Secondly, GEMMs permit *in vivo* analysis of all stages of tumor development. After induction of the genetically engineered mutation(s), early histologic lesions are formed, which can progress into more invasive and malignant tumors, and eventually may lead to metastatic disease in some models. In conventional transgenic mice, the disease-initiating oncogenic event is simultaneously induced in many cells. Cre/loxP-based conditional GEMMs, in which the initiating mutation occurs more stochastically, in a limited cell population, allow for development of tumors in a normal tissue environment (Frese and Tuveson, 2007; Jonkers and Berns, 2002). The developing tumors may accumulate additional genetic and epigenetic events resulting in an increased level of genetic complexity, which is characteristic for human cancers. These secondary events may play a role in tumor progression and metastasis. By comparing primary and metastatic tissues, metastasis-specific traits may be identified, which can generate hypotheses for further studies on the metastatic process.

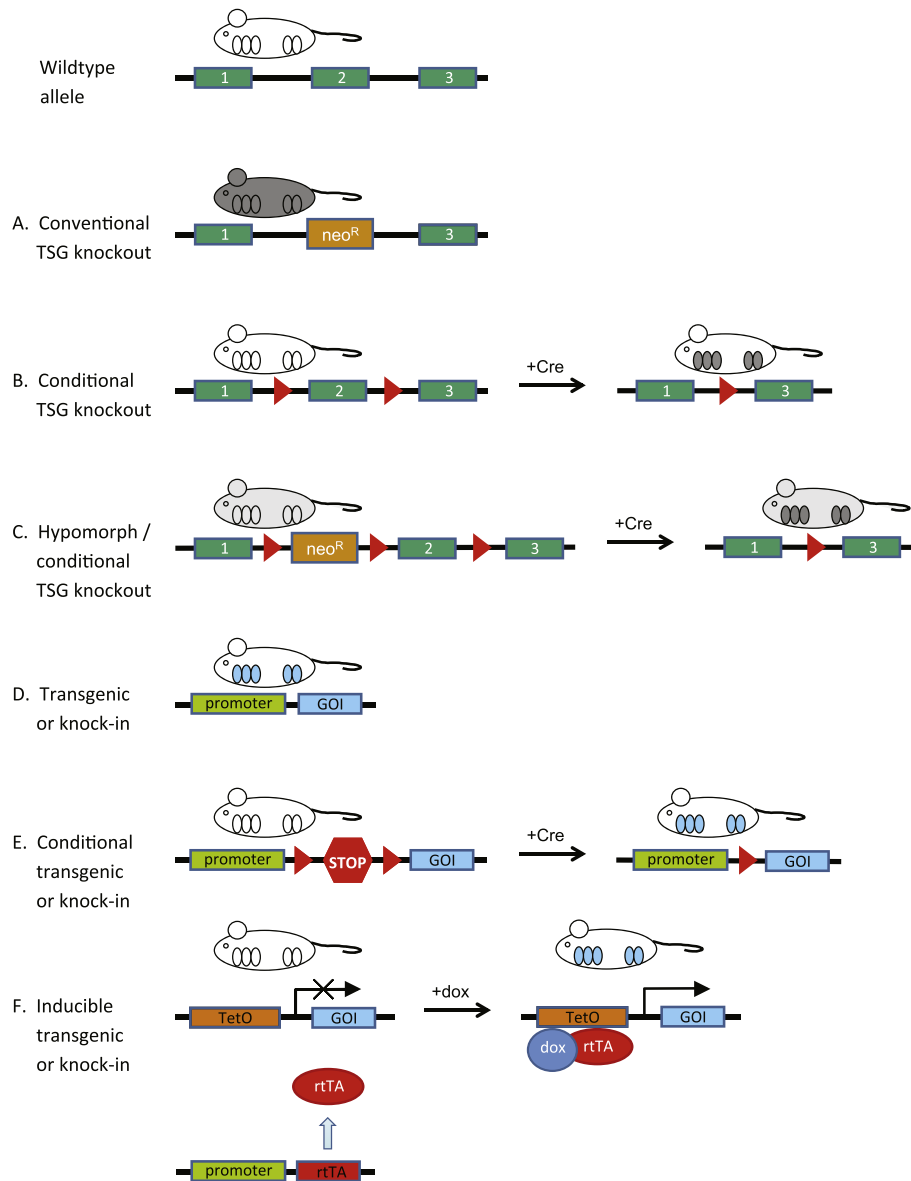


Figure 2 – Types of GEMMs of PI3K-driven breast cancer. Wildtype tissues are represented in white, while knockout tissues are depicted in gray. Tissues with expression of a transgene or knock-in gene are depicted in blue. (A) Conventional knockout mice show tumor suppressor gene (TSG) inactivation in all tissues. (B) A conditional tumor suppressor gene (TSG) knockout can be engineered by flanking one or more critical exons with loxP sequences, which are substrates for the Cre site-specific recombinase. Tissue specificity for a conditional knockout may be achieved by placing the Cre gene under control of a tissue-specific promoter. (C) A hypomorphic mouse model can be engineered by intronic insertion of a neomycin cassette, which leads to transcriptional interference and lower expression of the targeted TSG. (D) A constitutive transgene can be inserted into the host genome in a construct containing a tissue-specific promoter (TSP) to achieve tissue specific expression of the gene of interest (GOI). (E) In a conditional transgenic system, loxP sites (red triangles) flank a transcriptional termination sequence (represented as a STOP sign). Recombination by Cre leads to removal of the STOP sequence and tissue-specific expression of the GOI. (F) Doxycycline-inducible ‘tet-on’ systems are controlled by tissue-specific expression of a transactivator (rtTA), which can only bind the Tet operator (TetO) and drive expression of the GOI in the presence of doxycycline (dox).

Thirdly, GEMM tumors arise in their natural tissue micro-environment in an immune competent host. Tumor cell extrinsic factors, such as angiogenesis, extracellular matrix and immunologic interactions can therefore be effectively studied in GEMMs (Walrath et al., 2010). For example, studies in sarcoma models have shown that immune cells influence tumor progression via immunoeediting, leading to a selective advantage of tumor cells that escape T lymphocyte attack by

losing tumor antigen expression or presentation of major histocompatibility complex I (DuPage et al., 2012). Also the impact of the immune system on chemotherapy response can be studied in GEMMs, for example by inactivating Rag genes, which renders mice T and B cell-deficient (Ciampricotti et al., 2012; DeNardo et al., 2011).

Finally, GEMMs of human cancer may be useful for preclinical evaluation of novel chemoprevention and tumor

intervention strategies. Chemopreventive agents can be tested in cancer-prone GEMMs that have not yet developed tumors, and mice with primary tumors or metastatic disease can be treated with (combinations of) chemotherapy agents or targeted therapeutics. Therapeutic responses as well as intrinsic or acquired resistance mechanisms may be serially monitored during and after treatment. Therapeutic targets may also be validated in GEMMs by monitoring the effect of genetic target inactivation on tumor regression (Blasco et al., 2011).

GEMMs may improve the quality of preclinical intervention studies. Compared to tumor cell line xenografts, several studies with relevant GEMMs have shown a better prediction of therapy benefit in human patients. This has for example been described for *Kras*-driven models for non-small-cell lung carcinoma and pancreatic adenocarcinoma (Singh et al., 2010). In an interesting co-clinical trial, GEMMs of *Kras*-mutant lung cancers were enrolled in ‘co-clinical’ trial that mirrored an ongoing human clinical trial with the MEK inhibitor selumetinib in combination with docetaxel. In the lung epithelium, *Kras*, *p53* and *Lkb1* were mutated in different combinations. The genotypes correlated with different responses to single-agent or combination therapy. The results from the preclinical study not only mimicked those of the human trial but also predicted resistance in patients with mutations in *LKB1*, which could be confirmed by retrospective analysis of *LKB1* mutation status in the clinical trial. This work elegantly illustrates that co-clinical trials may be useful to generate important hypotheses for clinical trials in human cancer patients (Chen et al., 2012).

Although there are important biological differences between mice and humans as well as legal and patent-related barriers for the use of GEMMs, an increased use of these models in cancer research is expected to make a significant contribution to the oncology field (Sharpless and Depinho, 2006). The establishment of a growing number of “mouse cancer clinics” in the US and Europe illustrates the ambition of the research community to engineer and utilize mouse models for a range of cancer types, which may help us to better understand the biology of cancer, to predict therapeutic response and resistance of cancer treatments, and to guide clinical trials.

7. GEMMs of PI3K pathway-driven breast cancer

7.1. PIK3CA models

Several GEMMs with tissue-specific mutation of *Pik3ca* have been published (Table 2). Using the ROSA26 knock-in system, R26-*Pik3ca*^{H1047R};MMTV-Cre mice have been generated (Adams et al., 2011). These mice develop mammary tumors of either adenosquamous carcinoma or adenomyoepithelioma phenotype. In combination with a heterozygous *Trp53* knockout allele, tumorigenesis is accelerated and tumor phenotype is shifted to adenosquamous carcinoma or spindle cell/EMT type (Adams et al., 2011). Several groups have shown that expression of the H1047R *Pik3ca* mutant in the luminal mammary epithelium results in the formation of mammary

tumors of several phenotypes, some of which express ER (Meyer et al., 2011; Tikoo et al., 2012; Yuan et al., 2013). In these tumors, spontaneous additional mutations were found in several genes, including *Trp53*. Indeed, co-occurrence of *PIK3CA* and *TP53* mutations has also been found in human breast cancer (Boyault et al., 2012).

GEMMs in which tumorigenesis is driven by mutant *PI3K* expression are suitable for preclinical intervention studies aimed at determining the response to pharmacologic inhibition of *PI3K* signaling. Tetracycline-inducible expression of human *PIKCA*^{H1047R} in the mammary gland has also been shown to induce tumors of heterogeneous pathological types, including adenocarcinomas and adenosquamous carcinomas (Liu et al., 2011). After doxycycline removal, two-thirds of the tumors resumed growth after *PIKCA*^{H1047R} inactivation. This could partially be explained by *Met* amplifications, leading to *PI3K*-dependent tumor survival. Other tumors had *Myc* amplifications and were independent on *PI3K* signaling (Liu et al., 2011).

GEMMs have also been instrumental in elucidating isoform-specific roles of p110 in tumorigenesis. Ablation of p110 α impairs normal development of the mammary gland. Ablation of p110 β in the mammary gland, however, results in increased ductal branching as well as tumor formation. It has been suggested that the less active p110 β competes with the more active p110 α for receptor binding sites, thereby downregulating signaling activity (Utermark et al., 2012).

7.2. AKT models

GEMMs have been instrumental in unraveling the *in vivo* functions of *AKT* in the mammary gland. *AKT1* deficiency results in mammary gland developmental defects in mice (LaRocca et al., 2011). During pregnancy and lactation, prolactin generates signaling via *AKT*, leading to phosphorylation of *STAT5*. *AKT1* ablation interferes with *STAT5* phosphorylation and delays the differentiation of mammary epithelium, whereas ablation of *AKT2* has the opposite effect (Maroulakou et al., 2008).

Overexpression or activation of *AKT* contributes to tumor development and malignancy in the mammary gland. Expression of human *AKT1* under the control of the MMTV promoter causes delayed postlactational involution mammary gland, but no neoplastic transformation (Ackler et al., 2002). Ablation of *Akt1* inhibits the development of mammary tumors in MMTV-*Neu* and MMTV-polyoma middle T (MMTV-PyMT) transgenic mice, which is associated with reduced cell proliferation and survival. Conversely, *Akt2* ablation accelerates tumorigenesis in these models (Maroulakou et al., 2007).

Addition of a myristoylation signal to the murine *Akt1* gene activates the protein by localizing it to the plasma membrane. Mammary-specific expression of *myrAKT1* in MMTV-*myrAkt1* transgenic mice delays post-lactation involution (Schwertfeger et al., 2001) and increases susceptibility of mice to induction of mammary tumors by the carcinogen 9,10-dimethyl-1,2 benzanthracene (DMBA). DMBA-treated wildtype mice develop mostly sarcomatous mammary tumors, whereas MMTV-*myrAkt1* mice develop mainly ER-positive adenocarcinomas or adenosquamous tumors (Blanco-Aparicio et al., 2006). *MyrAKT1* also accelerates

Table 2 – GEMMs of PI3K-driven breast cancer.

GEMM	Type	Comments	Reference
<i>Pten</i> ^{+/-}	Knockout	Targeted disruption of <i>Pten</i>	(Podsypanina et al., 1999; Stambolic et al., 2000; Suzuki et al., 1998)
MMTV-Cre; <i>Pten</i> ^{loxP/loxP} <i>Pten</i> ^{hy/+}	Conditional knockout Hypomorphic allele	Transcriptional interference of <i>Pten</i> by insertion of CMV-neo ^R in <i>Pten</i> intron 3	(Li et al., 2002) (Alimonti et al., 2010)
MMTV-myrAkt1	Transgenic	Constitutive activation	(Blanco-Aparicio et al., 2006; Schwertfeger et al., 2001)
R26-Pik3ca ^{H1047R} ; MMTV-Cre WapiCre; Pik3ca ^{H1047R}	Conditional transgenic Conditional transgenic	<i>Rosa26-lox-stop-lox-Pik3ca</i> ^{H1047R} <i>CAGS-lox-stop-lox-Pik3ca</i> ^{H1047R}	(Adams et al., 2011) (Meyer et al., 2011)
MMTV-Cre; Pik3ca ^{H1047R} MMTV-Cre; Pik3ca ^{H1047R}	Conditional knock-in	<i>lox-stop-lox-Pik3ca</i> ^{H1047R} in endogenous <i>Pik3ca</i> locus	(Tikoo et al., 2012)
MMTV-Cre; Pik3ca ^{H1047R}	Conditional knock-in	<i>lox-stop-lox-Pik3ca</i> ^{H1047R} in endogenous <i>Pik3ca</i> locus	(Yuan et al., 2013)
MMTV-rtTA; TetO-PIK3CA ^{H1047R}	Inducible transgenic	Transgenic MMTV-rtTA Transgenic TetO-PIK3CA ^{H1047R}	(Liu et al., 2011)

tumorigenesis in MMTV-*c-ErbB2* transgenic mice with overexpression of wildtype ERBB2. Tumors in these mice show a reduced requirement for signaling through the EGF family and resistance to ErbB2-targeted therapies (Young et al., 2008). Mammary-specific expression of myrAKT2 in MMTV-*Neu* and MMTV-*PyMT* mice does not affect the latency of tumor development, but it does increase metastasis to the lungs (Dillon et al., 2009). We do need to keep in mind, however, that expression of myrAKT1 or myrAKT2 in transgenic mice does not precisely copy the naturally occurring AKT mutations found in human breast cancer. It will therefore be important to generate mouse models with mammary-specific expression of the AKT^{E17K} hotspot mutant.

7.3. PTEN models

Following the discovery of PTEN, several groups generated *Pten* knockout mice. The *Pten* null genotype is embryonic lethal in mice, resulting in death occurring between gestation day E6.5 and E9.5 (Podsypanina et al., 1999; Suzuki et al., 1998). *Pten* heterozygous knockout (*Pten*^{+/-}) mice are viable and develop a range of tumors and hyperplasia in multiple organs after an age of 6 months, with mammary tumors occurring in half of the female mice. Loss of PTEN expression is associated with basal-like breast cancer in humans and, correspondingly, heterozygous inactivation of *Pten* leads to formation of basal-like mammary tumors in mice (Saal et al., 2008).

Loss of heterozygosity at the *Pten* locus is seen in most tumors in *Pten*^{+/-} mice (Stambolic et al., 2000). PTEN loss-of-function abrogates inhibitory regulation of PI3K signaling, leaving cells vulnerable to activating stimuli and possible oncogenic transformation. In vitro studies indicate that human breast cancer cells with PTEN loss mainly depend on the p110 β isoform of PI3K (Torbett et al., 2008; Wee et al., 2008). When the p110 β isoform is genetically inactivated in *Pten*^{+/-} mice, prostate tumorigenesis is inhibited, while p110 α inactivation protects from glomerulonephritis, pheochromocytoma and thyroid cancer induced by *Pten* loss. The incidence of mammary tumors remains unchanged (Berenjeno et al., 2012). Tissue-specific deletion of *Pten* in the mouse mammary gland leads to precocious lobulo-alveolar

development, excessive ductal branching, delayed involution and severely reduced apoptosis, and tumor formation (Li et al., 2002).

Collaboration between PTEN loss and other oncogenic mutations has been demonstrated, for example in MMTV-*Wnt-1* transgenic mice heterozygous for *Pten*, which showed accelerated formation of ductal carcinomas. In most of these tumors, the *Pten* wildtype allele was lost, indicating a selective growth advantage of *Pten*^{-/-} cells (Li et al., 2001). In MMTV-*Neu* transgenic mice with mammary gland-specific overexpression of activated ERBB2, concomitant tissue-specific inactivation of PTEN leads to acceleration of mammary tumor onset and increased metastasis (Schade et al., 2009).

In knock-down experiments, even a small reduction of PTEN to 80% of normal levels results in tumorigenesis in several organs, with highest penetrance in the mammary gland. From these experiments it followed that loss of heterozygosity is not necessary for tumorigenesis in *Pten*^{+/-} mice (Alimonti et al., 2010). These studies have contributed to the “continuum model of tumor suppression” (Berger et al., 2011), succeeding the two-hit model, in which two mutations are required (Knudson, 1971). Conversely, PTEN overexpression leads to reduced Akt phosphorylation and interferes with differentiation and proliferation of the mammary gland in young mice, resulting in a lactation defect (Dupont et al., 2002).

8. Targeting PI3K signaling in GEMMs of human breast cancer

8.1. Single-agent therapies

The antitumor efficacy of PI3K pathway inhibitors has been evaluated in several GEMMs of human cancer, including breast cancer. Using the dual PI3K/mTOR inhibitor BEZ235 in an *Apc* conditional knockout model of colorectal cancer, Roper et al. observed significant regression of tumors that lacked activating *Pik3ca* mutations, suggesting that antitumor activity of this inhibitor is not restricted to *PIK3CA* mutant cancers (Roper et al., 2011). Yuan et al. tested the *in vivo* activity of the pan-class I PI3K inhibitor GDC-0941 in a conditional

knock-in mouse model for breast cancer, in which the endogenous *Pik3ca* allele was modified to allow mammary gland-specific expression of *Pik3ca*^{H1047R} in mammary epithelium (Yuan et al., 2013). *Pik3ca*^{H1047R} expressing mammary tumor explants grown as allografts showed variable responses to treatment with GDC-0941, but in no instance tumor stasis or regression was observed, suggesting that GDC-0941 has limited antitumor activity as single agent.

Although rapalogs show limited activity as single agents in most cancers, they may be effective against MYC-driven lymphomas. Whereas short-term-dosing with rapamycin showed no activity against established lymphomas from E μ -Myc transgenic mice (Wendel et al., 2006, 2004), longer-term mTORC1 inhibition with everolimus resulted in tumor regression and a significantly improved survival (Wall et al., 2013). A possible explanation for this unexpected response is that MYC-driven lymphoma cells require mTORC1 activity to avoid entering senescence. In support of this notion, the response of E μ -Myc lymphomas to everolimus was dependent on a functional p53 pathway (Wall et al., 2013).

8.2. Combination therapies

Most PI3K pathway inhibitors show modest antitumor activity as single agent but synergize effectively with other targeted inhibitors or chemotherapy drugs in combination therapy. Clear examples are the mTORC1 inhibitor everolimus, the pan-class I PI3K inhibitors BKM120 and GDC-0941, and the dual PI3K/mTOR inhibitors BEZ235 and GDC-0980, which are currently tested in combination with endocrine agents or trastuzumab in various phase II/III clinical trials in breast cancer patients. Some of these trials show major synergism between e.g. everolimus and the aromatase inhibitor exemestane (Baselga et al., 2012), prompting for preclinical *in vivo* evaluation of other combinations in GEMMs of human cancer.

The limited antitumor activity of rapalogs is caused by the fact that mTORC1 inhibition leads to loss of PI3K-mediated feedback inhibition and consequent hyperactivation of AKT and mitogen-activated protein kinase (MAPK) signaling via RTKs (Carracedo et al., 2008; O'Reilly et al., 2006). Combination of rapalogs and RTK inhibitors might therefore provide an improved therapeutic benefit in the treatment of cancer patients. To test, this concept, rapamycin was tested alone or in combination with the epidermal growth factor receptor (EGFR) inhibitor erlotinib in a GEMM of pancreatic neuroendocrine tumors. In contrast to either monotherapy, the combination treatment conferred unprecedented survival benefit in mice with aggressive multifocal cancer (Chiu et al., 2010). Interestingly, the antiapoptotic protein survivin was identified as a potential predictive biomarker for this combination therapy.

Other studies have focused on combinations of dual mTOR/PI3K inhibitors and MAPK-kinase (MEK) inhibitors. Engelman et al. investigated the response of lung adenocarcinomas induced by tissue-specific expression of mutant *Pik3ca*^{H1047R} or *Kras*^{G12D} to the dual PI3K/mTOR inhibitor BEZ235 alone or in combination with the MEK inhibitor ARRY-142886 (Engelman et al., 2008). Whereas mutant *Pik3ca*^{H1047R} tumors regressed in response to BEZ235, *Kras*^{G12D}-driven

tumors did not respond to single-agent therapy. However, combination of BEZ235 with ARRY-142886 showed a marked synergy in shrinking mutant *Kras*^{G12D} tumors, highlighting the potential of this combination against KRAS mutant lung cancers (Engelman et al., 2008). BEZ235 has also been shown to synergize with the MEK inhibitor AZD6244 in GEMMs of mutant EGFR^{T790M-L858R}-driven lung cancer (Faber et al., 2009), HRas^{G12V}-driven melanoma and different GEMMs of human breast cancer (Roberts et al., 2012). Together, these results predict that combinations of PI3K/mTOR and MEK inhibitors will show antitumor activity in a wide range of human cancers.

Also combinations of PI3K pathway inhibitors with inhibitors of DNA repair pathways show promising results in GEMMs. Following the discovery that inactivation of poly(ADP-ribose)-polymerase (PARP), a key enzyme in DNA single-strand break repair, is selectively toxic to BRCA1/2-deficient cells, several selective PARP inhibitors have been developed (Yap et al., 2011). Recently, synergistic antitumor activity of a combination of the pan-class I PI3K inhibitor BKM120 and the PARP inhibitor AZD2281 (olaparib) has been observed in a GEMM of BRCA1-related breast cancer (Juvekar et al., 2012). Whereas treatment with olaparib alone showed only attenuated tumor growth in the mouse model (in which tumors still express a BRCA1- Δ 11 protein with residual activity), the combination of BKM120 and olaparib prolonged tumor-doubling time from 5 to more than 70 days, suggesting that combined PI3K and PARP inhibition might be an effective treatment of BRCA1-related tumors. Importantly, the combination of BKM120 and olaparib did not result in measurable toxicity, even in mice that were treated for over 3 months (Juvekar et al., 2012).

9. Future perspectives

9.1. Accelerated production of novel GEMMs of PI3K-driven breast cancer

Genetically engineered mice have contributed greatly to the field of cancer research. Production of novel GEMMs of human cancer is hampered, however, by the fact that generation of complex multi-allele models using conventional breeding is time-consuming and requires large number of animals. Recent advances in embryonic stem cell (ESC) technology now permit efficient derivation of ESC lines from validated GEMMs (dubbed GEMM-ESCs) and generation of chimeric mice harboring all desired modifications (Figure 3). Additional mutant alleles can be rapidly and reproducibly introduced into GEMM-ESC via recombinase-mediated cassette exchange (RMCE) and the resulting GEMM-ESCs can be used to produce chimeric mice via microinjection into pre-implementation embryos. The resulting chimeras are composed of normal cells and genetically engineered cells from which spontaneous tumors may arise (Dow and Lowe, 2012; Huijbers et al., 2011). In contrast to germline GEMMs, generating chimeric mice is relatively fast and requires fewer animals, as all genetic modifications are introduced *ex vivo*. Moreover, the GEMM-ESC approach effectively eliminates any potential confounding effects due to variation in genetic background,

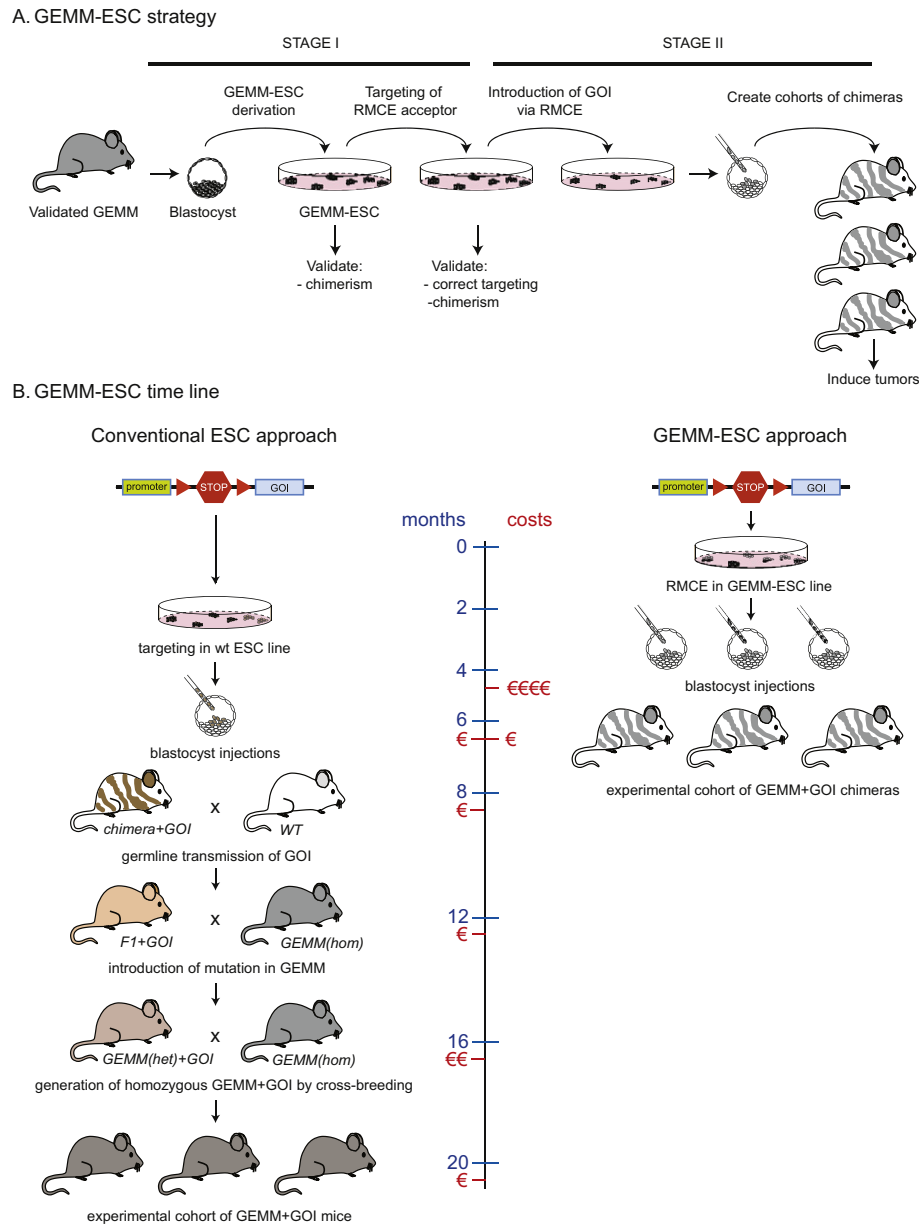


Figure 3 – (A) Outline of the GEMM-ESC strategy. Embryonic stem cell (ESC) lines are derived from genetically engineered mouse models (GEMMs) and equipped with vectors containing FRT sequences that permit rapid and reproducible introduction of GOI via FLP recombinase-mediated cassette exchange (RMCE). **(B) Time line and costs of introduction of a conditional GOI allele in a multi-allele GEMM using conventional gene targeting vs. the GEMM-ESC strategy.** The conventional approach takes around 20 months and involves introduction of the allele via homologous recombination in wildtype ESCs, generation of chimeras, germline transmission of the mutant allele and subsequent breeding of the F1 mice to the GEMM model. One or more additional rounds of breeding are required to generate mice that are homozygous for all conditional TSG alleles present in the original GEMM. The GEMM-ESC approach takes only 6 months and involves accelerated introduction of the conditional GOI allele into GEMM-ESCs via recombinase-mediated cassette exchange (RMCE) and direct production of an experimental cohort of chimeras via microinjection of the modified GEMM-ESCs into blastocysts. Compared to the conventional approach, the GEMM-ESC approach will deliver a considerable reduction in time and costs as well as full control over genetic background, as no breeding is required.

as no breeding is involved. The GEMM-ESC strategy has already been successfully employed to study the *in vivo* effects of (reversible) RNAi-mediated suppression of p19-ARF in a GEMM of mutant KRAS-driven lung cancer (Premrur et al., 2011). Since generation of chimeric mice from individual GEMM-ESCs is relatively fast, The GEMM-ESC technology is particularly useful for *in vivo* analysis of multiple individual

mutations within the same gene or pathway. For example, different mutant alleles of *Pik3ca* (E542K, E545K and H1047R), *Akt1* (E17K) and short-hairpin (sh)RNA expression vectors targeting *Pten* can be introduced into GEMM-ESCs from established GEMMs of human breast cancer to study the effects of different PI3K pathway perturbations on tumor development, progression and response to PI3K pathway inhibitors.

9.2. Elucidating mechanisms of response and resistance to PI3K pathway inhibition in GEMMs of human cancer

Although *in vitro* studies in cancer cell lines have identified a number of resistance mechanisms to PI3K pathway inhibitors, it can be expected that these mechanisms will not explain all forms of clinical resistance. *In vivo* tumor intervention studies in GEMMs of PI3K pathway-driven cancer represent an alternative strategy that may uncover additional resistance mechanisms. A first example of such an alternative mechanism has been reported by Liu et al., who investigated genomic alterations in mammary tumors that grew back after doxycycline withdrawal in a GEMM with doxycycline-inducible expression of PIKCA^{H1047R} (Liu et al., 2011). A subset of recurrent tumors showed independence of PI3K signaling and acquired resistance to GDC-0941. This resistance phenotype could be explained by *Myc* amplification, suggesting that c-MYC elevation is a potential mechanism for resistance to PI3K-targeted therapy in breast cancer (Liu et al., 2011).

Although comparative genomic analysis of sensitive and resistant tumors has already yielded novel mechanism of resistance to PI3K pathway inactivation, this approach can be cumbersome as many genomic alterations will be passenger mutations that do not contribute to the resistance phenotype. To accelerate the identification of genes that can confer resistance, retroviral or transposon-based *in vivo* insertional mutagenesis strategies may be employed. These approaches are based on the fact that slow-transforming retroviruses or engineered transposons may randomly integrate in the genome of mouse cells and activate or inactivate genes located at or near the insertion site. Cells with insertional mutations at or near genes that confer a selective advantage in their mutated state will expand clonally and create a pool of cells that are amenable to additional rounds of insertional mutagenesis and clonal expansion (Kool and Berns, 2009). Since this continuous process can contribute to every step in tumor development and progression, also acquired traits such as therapy resistance can be effectively studied using insertional mutagenesis. Retroviral and transposon insertion sites can be rapidly isolated from resistant tumors by ligation-mediated PCR and mapped to the mouse genome after high-throughput sequencing (Uren et al., 2009). This concept was elegantly demonstrated by Lauchle et al. who used a retroviral insertion mutagenesis screen in a GEMM of acute myeloid leukemia to identify several candidate genes mediating resistance to MEK inhibition (Lauchle et al., 2009).

Although slow-transforming retroviruses can be employed to identify resistance genes, the utility of these viral agents is restricted to hematopoietic malignancies and mammary tumors due to their specific tissue tropism. In contrast, transposon-based insertional mutagenesis systems can be engineered to function in virtually every mouse tissue. These mutagenesis systems are based on transposase enzymes that can mobilize defined genetic elements from a transgene array to new loci in the host genome via a ‘cut and paste’ mechanism. To date, the Sleeping Beauty (SB) and PiggyBac (PB) transposon systems have been successfully employed in genetically engineered mice. Inducible SB and PB transposase enzymes have been engineered to enable spatiotemporal

control of the insertional mutagenesis process (Keng et al., 2009; March et al., 2011; Rad et al., 2010). The value of both transposon systems has been demonstrated in several *in vivo* insertional mutagenesis screens aimed at identifying novel cancer genes (reviewed in Copeland and Jenkins (2010)).

10. Concluding remarks

Since the original discovery of PTEN and the first (retroviral) oncogenic variant of PIK3CA in 1997 (Chang et al., 1997; Li et al., 1997; Steck et al., 1997), the PI3K network has been recognized as one of the most frequently mutated signaling pathways in human cancer. The PI3K pathway contains several critical and druggable kinases for which potent and selective inhibitors have been developed; however, the clinical development of these drugs has proven more difficult and less straightforward than initially anticipated. Several inhibitors show no or only modest antitumor activity as single agents, and in other cases tumors rapidly develop resistance after initial response. It is becoming clear that rational combinations of PI3K pathway inhibitors and other targeted therapeutics will be required to achieve durable remissions or – ultimately – complete tumor eradication. Analysis of mechanisms of resistance to single-agent therapy may identify druggable targets that offer opportunities for rationally designed combination therapies.

GEMMs of human cancer offer unique opportunities to test antitumor activity of drug combinations in clinically relevant model organisms. A growing number of well-characterized GEMMs of PI3K pathway driven cancer are available for pre-clinical drug testing and additional models can be relatively easily generated using the GEMM-ESC approach. For breast cancer GEMMs, pieces of mammary tumor tissue can be effectively cryopreserved, resulting in a cumulative biobank of tumor fragments that can be used to generate allografts by orthotopic transplantation into syngeneic wildtype mice (Rottenberg et al., 2010). This approach allows for greater flexibility and permits evaluation of different (e.g. both single-agent and combination) therapies on the same tumor. Genomic characterization of biobanked tumors may even permit pre-selection of tumors with specific genomic features or mutations in defined cancer genes prior to allotransplantation and initiation of the intervention study.

GEMMs also offer opportunities to uncover relevant drug resistance mechanisms. In addition to pharmacological drug target inhibition, genetic approaches can be employed, permitting oncogene de-induction in established tumors. Genes that confer therapy resistance or drive tumor recurrence after oncogene de-induction can be identified using conventional genomic approaches (gene expression profiling, analysis of DNA copy number aberrations or mutational analysis through next-generation sequencing) or by employing transposon-based insertional mutagenesis strategies, which allow rapid mapping of loci that are mutated in multiple independent resistant tumors.

In conclusion, much progress has been made in modeling PI3K pathway-driven breast cancer in GEMMs. Recapitulation of the most common genetic mutations in the PI3K pathway

in GEMMs results in the formation of mammary tumors that closely resemble their human counterparts. The ensuing models have already been used in a number of preclinical tumor intervention studies with PI3K pathway inhibitors and other therapeutics, and we believe the best is yet to come. We therefore expect that the use of realistic and clinically relevant GEMMs will contribute significantly to furthering our understanding of PI3K-driven breast cancer and play an increasingly important role in the development of smart combination therapies that maximize the response to PI3K pathway inhibition.

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