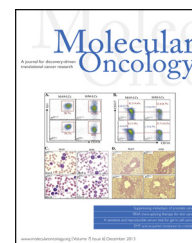


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Targeted serum miRNA (TSmiR) test for diagnosis and follow-up of (testicular) germ cell cancer patients: A proof of principle

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ABSTRACT

Germ cell cancers (GCC) are the most frequent malignancy in young Caucasian males. GCC can consist of seminomas (SE) and non-seminomas (malignant NS: embryonal carcinoma (EC), yolk sac tumor (YS), choriocarcinoma (CH) and teratoma (TE)). Current serum-markers used for diagnosis and follow-up (AFP, hCG) are predominantly related to YS and CH and marker positivity can vary during disease. Therefore, stable markers consistently identifying more GCC components, specifically the stem cell components SE and EC, are of interest. Expression of the embryonic stem cell miR-371-3 and miR-302/367 clusters in SE/EC/YS suggest possible application of these micro-RNAs as GCC tumor-markers. The TSmiR protocol constitutes a complete, quality-controlled pipeline for the detection of miRs in serum, based on magnetic bead-based purification and qPCR quantification. As a proof of principle, TSmiR was applied to five independent serum sample series including 80 GCCs, 47 controls, 11 matched pre/post orchidectomy samples and 12 no-GCC testicular masses. GCC serum samples showed a consistent, significant ($p < 0.0064$) increase of miR-371/372/373/367 levels. Analogous, serum levels returned to baseline after orchidectomy (stage-I disease). Moreover, there was a trend toward higher miR levels in patients with metastasis. These results imply suitability for diagnosis and follow-up. TSmiR showed an overall sensitivity of 98%, clearly outperforming the traditional serum markers AFP/hCG (36%/57%, sensitivity_{AFP} = 3%/45%; sensitivity_{hCG} = 62%/66%, SE/NS). TSmiR misclassified one tumor as a control. Serum AFP/hCG and TSmiR combined identified all T samples correctly. In

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conclusion, TSmiR constitutes a highly sensitive and reproducible serum test for GCC patients, suitable to be prospectively tested for diagnostic and follow-up purposes.

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1. Introduction

Human germ cell tumors are a heterogeneous group of neoplasms, derived from the germ cell lineage and originating at various stages of development with different cells of origin and pathogenesis (Oosterhuis and Looijenga, 2005). The proposed classification system has been adopted by the World Health Organization (WHO) (Woodward et al., 2004), and specialized pathologists (Reuter, 2005; Ye and Ulbright, 2012). Malignant germ cell tumors, referred to as germ cell cancer (GCC), include seminoma (SE) and nonseminoma (NS) and are predominantly found in adolescents and young adults, although also diagnosed in neonates and infants (Bray et al., 2006). It is the most frequent malignancy in young Caucasian males and incidence is increasing. GCC originate from a pluripotent embryonic germ cell (primordial germ cell/gonocyte) blocked in its maturation. This is referred to as carcinoma in situ (CIS) (Skakkebaek, 1972) or intratubular germ cell neoplasia unclassified (IGCNU) (Woodward et al., 2004) in the context of testicular GCC of adolescents and adults. Their pluripotency is reflected in the capacity to form the germ cell lineage (CIS/IGCNU, SE, *de novo* germ cells (Honecker et al., 2006)), embryonic stem cell components (embryonal carcinoma, EC) and all differentiation lineages (teratoma (TE), yolk sac tumor (YS) and choriocarcinoma (CH)) as found in adolescents and adults. In neonates and infants, TE and YS are distinguished, in which YS is the malignant component. Pluripotent GCC (including their precursor lesions) exhibited expression of various embryonic pluripotency markers of significant diagnostic value (OCT3/4 (POU5F1) (Looijenga et al., 2003; Rijlaarsdam et al., 2011), NANOG (Hart et al., 2005), SOX2/SOX17 (Looijenga, 2009) and LIN28 (Gillis et al., 2011; Looijenga, 2008)). In addition, CIS/SE/EC/YS components, diagnosed at pediatric and adult age, express a specific set of embryonic stem cell related microRNAs (miRs), including the miR-371-372-373 (miR-371-3) and miR302a,b,c,d/367 (miR-302/367) clusters (Gillis et al., 2007; Novotny et al., 2012; Palmer et al., 2010; Voorhoeve et al., 2006).

Depending on tumor stage and histology, pediatric and adult GCC (SE/EC/YS/CH) are effectively treatable by surgery, possibly followed by either irradiation and/or chemotherapy (Horwich et al., 2006). However, significant long term effects of the systemic treatment protocols have become evident (Haugnes et al., 2010; Van Leeuwen et al., 1993). Therefore, early and accurate diagnosis as well as detailed follow-up of GCC patients is of crucial relevance for optimal treatment, preventing possible under- or overtreatment. In clinical management of GCC, evaluation of a set of serum markers is informative for diagnosis, risk assessment and follow-up. Alpha Feto-Protein (AFP) is predominantly informative for YS and sporadically positive in EC and human Chorionic Gonadotrophin (hCG) is predominantly informative for CH with sporadic positivity in SE/EC (Horwich et al., 2006). LDH-1 has

also been reported as a (less informative) serum marker (von Eyben et al., 2000). So far, no consistent markers for the stem cell components SE and EC are available, which limits the use of serum markers for diagnosis/follow-up in a large proportion of GCC patients.

Recently, three studies reported that a higher expression of members of the embryonic miR clusters miR-371-3 and miR-302/367 can be detected in serum of GCC patients (adult and pediatric) as compared to controls (Belge et al., 2012; Murray and Coleman, 2012; Murray et al., 2011). One study showed a decline to normal levels after surgical removal of stage I GCC (Belge et al., 2012). These findings could be a significant step forwards in clinical management (diagnosis and follow-up) of GCC patients, especially for the high number of “marker-negative” cases, i.e., those without elevated AFP or hCG serum levels.

The TSmiR protocol described in the manuscript constitutes a complete, quality-controlled pipeline for the detection of miRs in serum, based on magnetic bead-based purification and qPCR quantification. Using five independent sample series we show that TSmiR shows high sensitivity and specificity (GCC vs control). Also, the effect of metastasis/surgical removal of the tumor on miR levels, is investigated. This proof of concept indicates TSmiR as a potential additional tool for diagnosis & follow-up of GCC.

2. Materials and methods

2.1. Patient and control serum samples

Detailed information on the composition of the various independent sample series ($R_V/R_I/UK/D/no-GCC$) is presented in Figure 1A. Samples in the $R_V/R_I/no-GCC$ series were selected to be distributed over different clinical stages and histological subtypes. R_V/R_I samples were extracted at the Department of Pathology, Erasmus MC, Rotterdam, the Netherlands. Use of tissue samples for scientific reasons was approved by an institutional review board (MEC 02.981 and CCR2041). Samples were used according to the “Code for Proper Secondary Use of Human Tissue in The Netherlands” developed by the Dutch Federation of Medical Scientific Societies (FMWV (Version 2002, update 2011)). The UK and D samples were sent frozen to Rotterdam, handled similarly, and used in accordance to regulations set by the local institutional review boards.

2.2. miR analysis of primary GCC and normal testis

Small RNA was prepared from 47 primary, independent GCC and three normal testis samples as described in (Gillis et al., 2007). Expression of 156 miRs was analyzed, quantified and normalized according to (Mestdagh et al., 2009).

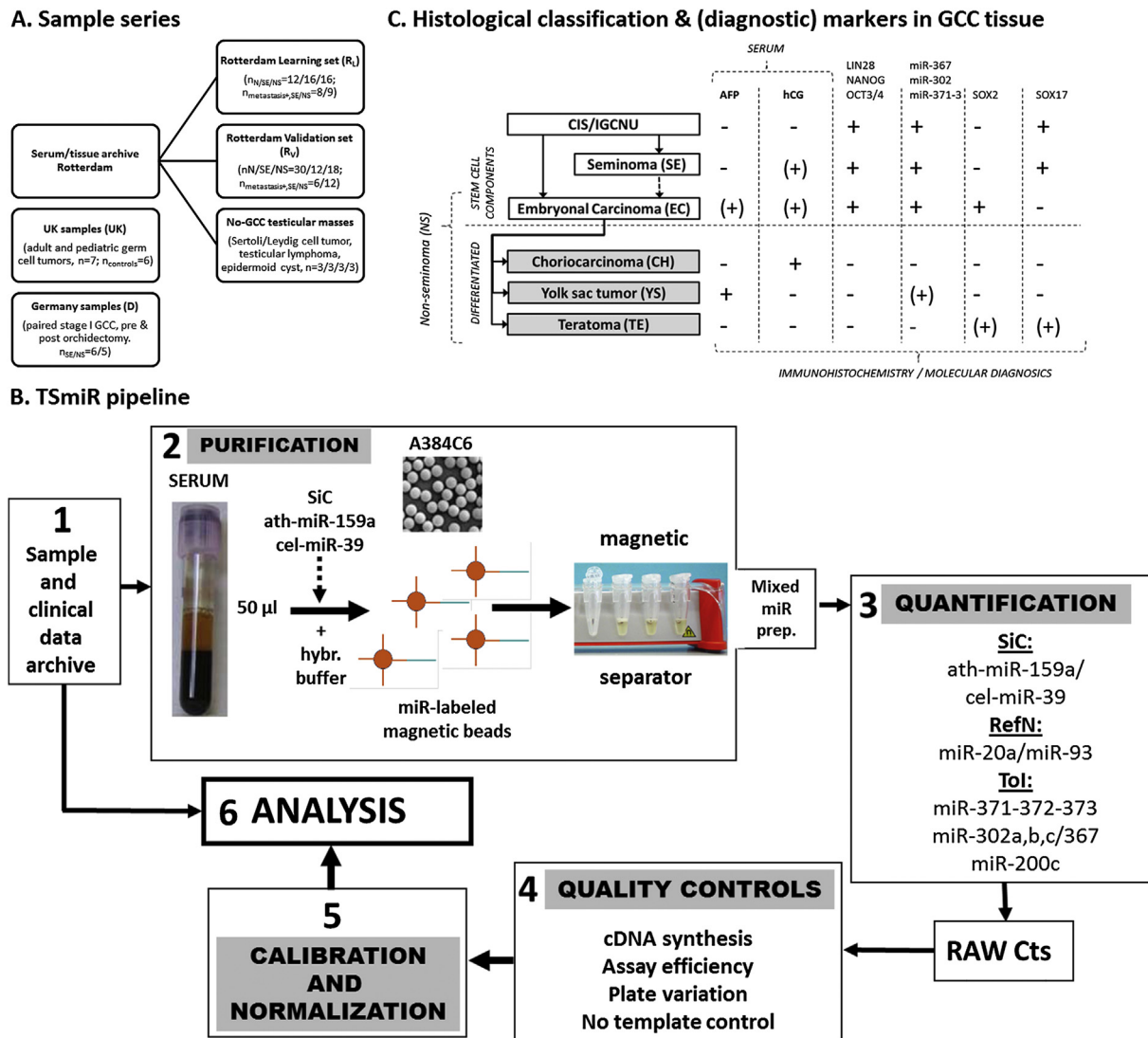


Figure 1 – Sample series, histological classification/markers & TSmiR pipeline. (A) Sample series investigated. Five independent series were investigated: the Rotterdam-Learning (R_L) and Validation (R_V) sets including GCC cases and controls, a series of serum samples of patients with a testicular tumor other than GCC (no-GCC) and two previously published series: UK, including GCC samples (pediatric and adult) and controls (Murray and Coleman, 2012; Murray et al., 2011) and Germany (D), composed of pre- and post orchidectomy samples (local disease only, stage I) (Belge et al., 2012). (B) Histological classification & overview of immunohistochemical, molecular and serum GCC markers (Gillis et al., 2011; Gillis et al., 2007; Hart et al., 2005; Looijenga, 2009; Looijenga, 2008; Looijenga et al., 2003; Novotny et al., 2012; Palmer et al., 2010; Voorhoeve et al., 2006). GCC originates from a common progenitor, further developing into the stem cell components SE and EC. EC can further differentiate into the various types of differentiated NS. Markers are summarized as described throughout the main text. + = consistently positive; – = consistently negative; (+) = sporadic positivity (inconsistent). (C) Complete pipeline of the TSmiR test. See Sections 2.3–2.5 for explanation. SiC = Spike in control; RefN = reference miRs/normalizers, ToI = Target of interest.

2.3. miRNA purification using anti-miRNA magnetic beads and quantification

For the purification, target-specific anti-miRNA magnetic beads were supplied by Applied Biosystems, Foster City, California, USA (Part Number 4473087, TaqMan® miRNA ABC Purification Kit – Human Panel A). This panel consists of superparamagnetic Dynabeads® covalently bound to a unique set of ~380 anti-miRNA oligonucleotides including endo-/exogenous controls, allowing for purification and quantification without pre-

amplification step. Detailed protocol was provided by the supplier (Applied Biosystems/Invitrogen). miRs were purified from 50 μ l serum using the Taqman miRNA ABC Purification Kit (Applied Biosystems). 100 μ l of lysis buffer was added as provided by the supplier as well as 2 μ l of a 1 nM Spike in Controls (SiC: 6 different non-human control miR) solution and 80×10^6 washed human panel A magnetic beads in 1.5 ml LoBind tubes (Eppendorf). Of note, hsa-miR-302d is not represented in the panel A bead set and was therefore not included in the study. After shaking at 12,000 rpm at 30 °C for 40 min, unbound RNA was

removed by three wash steps using a magnetic bead separator. Bound miRs were eluted from the beads with 100 μ l of elution buffer (Applied Biosystems) at 12,000 rpm at 70 °C for 3 min and stored at -80 °C. cDNA synthesis was performed using the TaqMan Micro-RNA Reverse Transcription kit (Applied Biosystems, PN: 4,366,597) according to the instructions of the supplier. Quantification of miR levels was performed in duplicate (except for the R_L series) using the various TaqMan assays (Applied Biosystems catalog ID: hsa-miR-371-3p (002124); hsa-miR-372 (000560); hsa-miR-373 (000561); hsa-miR-302a (000529); hsa-miR-302b (000531); hsa-miR-302c (000533); hsa-miR-367 (000555); hsa-miR-200c (002300); RNU6b (001093); RNU44 (001094); RNU48 (001006); U6 snRNA (001973); ath-miR-159a (000338); cel-miR-39 (000200); hsa-miR-103 (000439); hsa-miR-16 (000391); hsa-miR-192 (000491); hsa-miR-20a (000580); hsa-miR-451 (001105); hsa-miR-93 (000432), hsa-let-7a (000377). Expression levels were measured on the TaqMan 7900HT using standard procedures.

2.4. Quality control and missing data

miR-200c, implicated in carcinomas (e.g. breast cancer) but not in GCC was included as a control (Shimono et al., 2009). This miR is of additional interest because it is mapped to the short arm of chromosome 12 which is found to be consistently gained in invasive GCC (Van Echten-Arends et al., 1995). Amplification curves of all targets and samples were inspected individually. Only in the R_L set nine samples showed irregular curves with no semi-linear phase. These were set to UNDETERMINED, and replaced with the top Ct value measured per assay in the R_V set + 2 ($C_{t_{max}}$). $C_{t_{max}}$ indicates a miR level just outside the known sensitivity of the assay. This way the risk of underestimating the miR-level by choosing a fixed $C_{t_{max}}$ (for example the total number of runs) is reduced. Assay-specific cDNA was generated separately from TCam-2 cells (SE cell line (de Jong et al., 2008)) diluted in serum from a healthy donor to be used for inter-plate calibration (plate controls (PIC)) and positive control (PC). Four tubes (50,000 TCam-2 cells in 50 μ l normal serum) were pooled for lysis, followed by RNA pull down as described above. The resulting RNA was used as positive control (PC) for cDNA synthesis when analyzing patient samples. cDNA was generated separately as well to be used for inter-plate calibration (plate controls (PIC)). Reference measurements for the PICs were calculated as the average of triplicate PCRs for each assay. PIC and PC were included in duplicate (per assay) on each plate with patient samples. Inter-plate variation and variation in assay efficiency was investigated in most samples and found to be constant (below 0.3 Ct) with the exception of the miR-93 and miR-302b assays (0.6–0.8 Ct, consistent between plates), without affecting suitability for usage. Non-human Spike In Controls (SICs) (ath-miR-159a and cel-miR-39) were included to monitor RNA recovery. One sample (N-UK) showed 22-fold less recovery and was discarded. For negative control (No Template Control: NTC) elution buffer was added instead of the bead eluate. Ct values > NTC were treated as UNDETERMINED (R_V set, $n = 1$).

2.5. Calibration & normalization

Stable target recovery using the magnetic bead method was investigated and demonstrated using SiCs in all subgroups with occasionally different distributions between subgroups ($p < 0.01$, Kruskal–Wallis test), warranting calibration for recovery before determination of the most stable reference miRs for normalization. Some samples in the UK set were corrected for <50 μ l input volume. A panel of possible targets for normalization (RefN) was selected based on published data: RNU44, U6 snRNA, hsa-miR-16, -93, -103, -192, -451 miR-20a, let-7a (Zubakov et al., 2010). Based on established algorithms for stability analysis (Normfinder (Vandesompele et al., 2002) and geNorm (Andersen et al., 2004)), miR-20a and miR-93 were the most stable combination for normalization in the R_L set after calibration, which was validated in the R_V set (Figure S3). Normalization was carried out using the mean of these normalizers according to the Δ Ct method (Livak and Schmittgen, 2001).

2.6. Statistical analysis & software

Data pre-processing was performed in Microsoft Excel 2010 & GenEx 5.3.7.332. Comparison of mean miR levels (Δ Ct) was performed using a Mann–Whitney U test, two-sided in GenEx. If not otherwise specified, significant indicates $p \leq 0.000639$, yielding a risk of type I error of 5% after correction for multiple testing (Bonferroni). Uncorrected comparisons are indicated separately ($p < 0.05 =$ significant), as putative additional interesting results might be lost because of too stringent selection. Principal Component Analysis (PCA), Kohonen Self Organizing Map (SOM) and Heatmap analysis were performed in GenEx. ROC analysis and SiC stability testing were performed in SPSS 18 & 20. Visualizations were generated using Microsoft Powerpoint and Excel 2010 and Photoshop CS4 & 5.5. Analyses were performed on a 64-bit Windows 7 system.

3. Results

A quality controlled pipeline for miR purification, recovery and quantification from serum (TSmiR) was developed as discussed extensively in Sections 2.3–2.5 and Figure 1B. To ensure standardized results, the pipeline includes a number of quality control steps and spike in miRs to assess and compensate for differences in assay efficiency, inter-plate variability and cDNA/qPCR reaction efficiency. Regarding normalization, a panel of nine potential reference miRs was investigated, identifying miR-93 and miR-20a as the most stable combination for normalization in both a learning and a validation sample series (R_L , R_V , Figure 1A).

The miR-371-3 and miR-302/367 clusters are known to be expressed in SE, EC and YS (Figure 1C) (Gillis et al., 2007; Palmer et al., 2010; Voorhoeve et al., 2006). In this study their serum levels were evaluated in five sample series (Figure 1A). First, the strength of the various miRs to distinguish between GCC samples (T) and controls (N) (or the presence/absence of metastasis) was investigated in the Rotterdam learning (R_L) series. These results were verified in three independent series (Rotterdam validation (R_V , Erasmus

MC Rotterdam Pathology), UK (Murray and Coleman, 2012) and D (Belge et al., 2012)). Specificity of the miRs was verified in twelve serum samples from patients with testicular masses other than GCC (no-GCC). Next, The R_L , R_V , UK and D series were combined to investigate the performance (sensitivity/specificity) of the most discriminative miRs. Finally, results from cases with and without metastasis and eleven matched stage I pre- and post orchidectomy serum samples (D series) were investigated to draw preliminary conclusions regarding the applicability of TSmiR to follow-up. Additional results, methods and raw data are presented in the supplementary data. By applying TSmiR to these sample series, the potential value in GCC diagnosis and follow-up of the serum levels of these GCC specific miRs was assessed in a controlled setup.

3.1. Serum miR-371-3/367 levels were significantly higher in tumor samples and were strongly correlated

miR-371-3/367 showed significant high differences between tumor and control samples, also when stratified for histological subtype. These observations were consistent between the learning and validation sample series. miR-373 showed higher differences between N and T in the R_V , as compared to the R_L series due to lower baseline levels in N samples and consistently higher levels in both the SE and the NS groups. Although there is no known difference between the samples in these series, this observation does not challenge the hypothesis that T samples exhibit higher miR levels than N samples. Even though miR-371 and miR-367 (uncorrected *p*-value) showed consistently higher levels in tumor versus normal, the results in the UK series were less consistent possibly due to the low number of samples and the inclusion of pediatric GCCs. miR-302a and to a lesser extent miR-302c showed significantly higher levels in many comparisons of T vs N but the fold difference was lower than that of miR-371-3/367, limiting their usefulness (Figure 2A, C). As expected miR-200c showed no increased levels in T vs N. There were no consistent significant differences between SE and NS. miR-371-3 and miR-302abc levels correlated most strongly within their own clusters but miR-367 correlated most strongly and consistently with the miR-371-3 cluster instead of its own miR-302abc cluster.

3.2. Combined serum miR-371-3/367 levels allowed for clear separation of tumor samples and controls

Based on the consistent, significant and large fold differences in serum miR-371-3/367 levels between tumor and control samples, only these miRs were selected for further analysis. The power of these miRs to separate tumor from control samples was investigated using a Kohonen Self Organizing Map (SOM), principal component analysis (PCA) and hierarchical clustering/heat map visualization (Figure 3A, B and Figure S4). The SOM was generated using the R_L data and then applied to the other datasets. The results of these different analyses were highly consistent. In the R_L series a tumor-only group and a second group containing all control and some tumor samples were distinguishable. Tumor and control samples were even more stringently separated in the R_V series. The UK series showed separation of most of the

controls from the tumor samples and illustrated a trend in which the pediatric cases showed more similarity to the control samples than the adult GCC serum samples.

3.3. Serum levels of miR-371-3/302abc/367 were not increased in patients with testicular masses other than GCC

A number of no-GCC testicular masses were investigated. Consistently, none of the miRs showed significant elevation in the serum compared to all pooled controls (N) samples. All no-GCC samples group together with the unaffected cases in PCA/SOM analysis. This confirmed the specificity of the investigated serum miR markers for GCC in the context of differentially diagnosing testicular masses (Figure S5).

3.4. miR-371-3/367 levels in serum showed high sensitivity/specificity in the classification of tumor versus control samples and outperformed current markers (AFP/hCG)

The trade-off between specificity and sensitivity was assessed for all miRs by receiver operating curve (ROC) analysis using all samples (pooled). As expected, the discriminative power was very high for miR-371-3/367 (Figure 4A–E) and much lower for miR-302abc/200c. Classification/inclusion of the post-orchidectomy samples as part of the control group did not significantly influence the Area Under the Curve (AUC) and results only differed marginally when the SE or NS subgroups were analyzed separately. Cutoffs of miR levels were set for a sensitivity of 90%, preserving a specificity of 60–91% for individual miRs (Figure 4F). Combining the resulting classification (T/N) for miR-371-3/367 lead to a sensitivity of 98% (specificity_{miR-371-3/367} = 48.3%). Addition of miR-302abc reduced the discriminative power and was therefore omitted (specificity_{all miRs} = 8.6%).

Sensitivity was compared to markers currently used as golden standard (AFP and hCG, only available for tumor samples). miR-371-3/367 levels were more informative than AFP and hCG, particularly for SE (Figure 4C–E). Even when the YS subgroup was investigated specifically, investigation of the miR levels showed higher sensitivity than AFP. Overall, application of the serum markers AFP and hCG alone resulted in ≈50% false-negative cases. Investigation of only the miR-levels resulted in one false negative NS serum sample (sensitivity = 98%). Combined interpretation of the established serum markers AFP/hCG and miR-371-3/367 levels led to the correct classification of all cases with a malignancy.

3.5. miR levels returned to baseline after surgical removal of a local tumor & there was a trend towards higher GCC-specific miR levels in metastasized disease

A series of matched sera from patients with local GCC was analyzed before and after orchidectomy to assess the reduction in serum miR levels after surgery. As expected, miR-371-3/367 showed significantly higher serum levels in the pre-orchidectomy samples. miR-302ac showed a similar trend without correction for multiple testing. SOM, PCA and clustering analysis illustrated that the majority of the pre- and

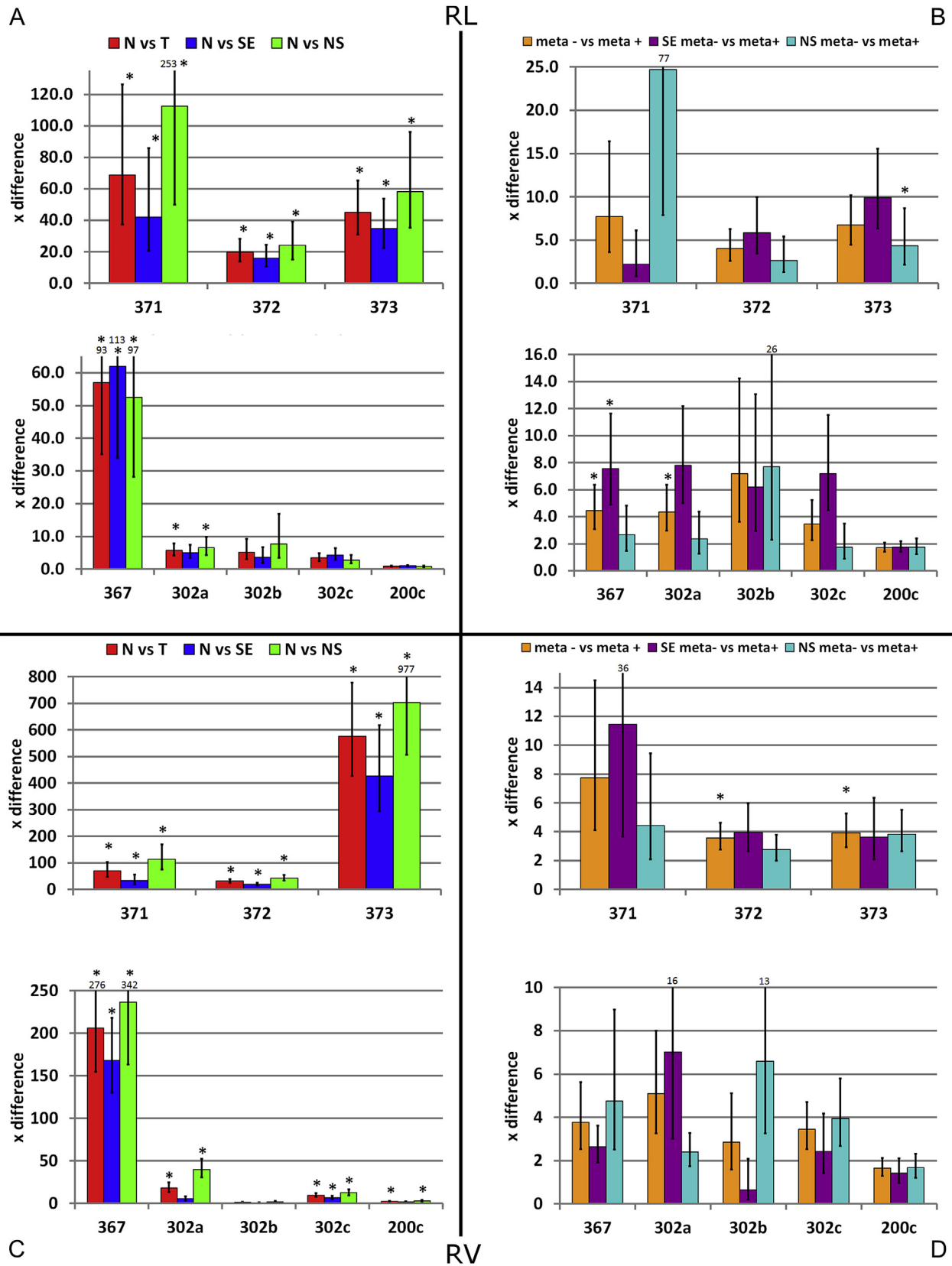


Figure 2 – Bars represent times (x) difference between groups, defined as difference between the mean of the ΔCt of group A vs B (fold change = $2^{(\Delta Ct_A - \Delta Ct_B)}$). High values represent relatively higher levels in group B. Error bars depict standard error of the mean. * = group A and B show significantly different levels based on the ΔCt values (Bonferroni corrected). R_L/R_V series: (A, C) Comparison of N versus histological subtype (SE or malignant NS) and all tumor samples ($T = SE + NS$); (B, D) Comparison of miR levels in serum samples from patients with localized and metastatic disease (stage I vs pooled stage II, III and IV) for all tumor samples together (meta+/meta-) and SE/NS separately.

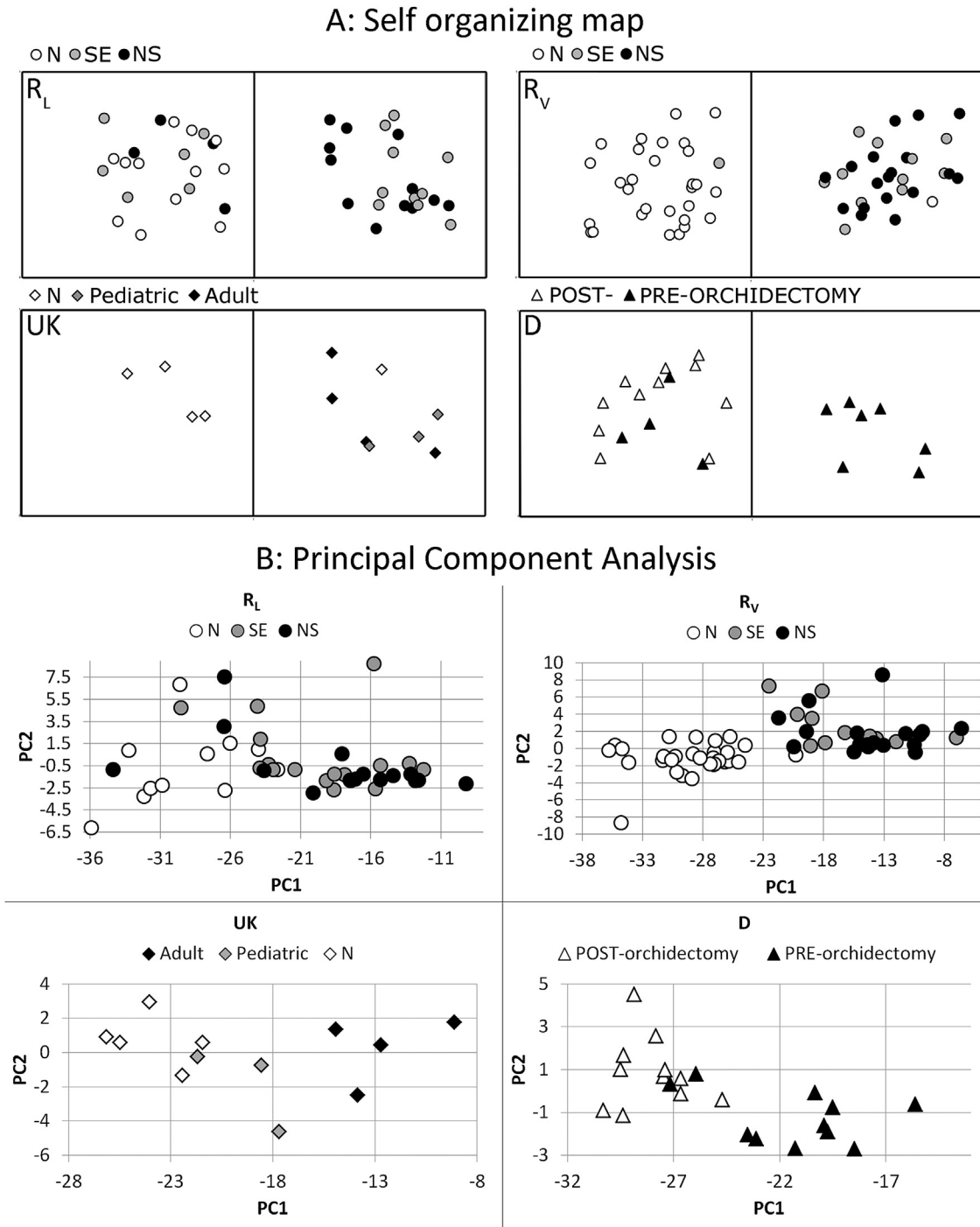


Figure 3 – (A) A Kohonen Self-Organizing-Map (SOM) was generated using the R_L series as training data. The SOM was set to identify two groups ($\alpha = 0.40$, 500 iterations). Only miR-371-3/367 data were included because these showed the highest, most significant and most consistent difference between serum samples of patients with a GCC and controls. The generated SOM was then applied to the R_V , D and UK series. R_L : In the R_L series, the right group only contained tumor samples, however the left group contained a mixed set of samples; R_V : The same SOM identified a left group with almost only control samples (N) and one SE in the R_V series. The right group contained all other tumor samples and one control sample; UK: The same SOM proved to also be able to separate all but one N samples from the T cases in the UK samples; D : All post-orchidectomy samples from the D set clustered together, together with some pre-orchidectomy samples. (B) Principal component analyses for all individual datasets. In all cases the first and second PC based on miR371-3 explained $> 99\%$ of the variance. R_L : although most of the tumor samples are clearly clustered and separated from the controls, there are some T ($n = 7$) intermixed with N ; R_V : All T and N samples cluster separately. One N (testicular torsion) is situated on the edge of the T/N border; UK: all N samples and T samples cluster separately. The pediatric YS are situated between the N samples and the adult GCC clusters; D : all but two pre-orchidectomy samples cluster together. All post-orchidectomy samples cluster together. This cluster also included two pre-orchidectomy samples.

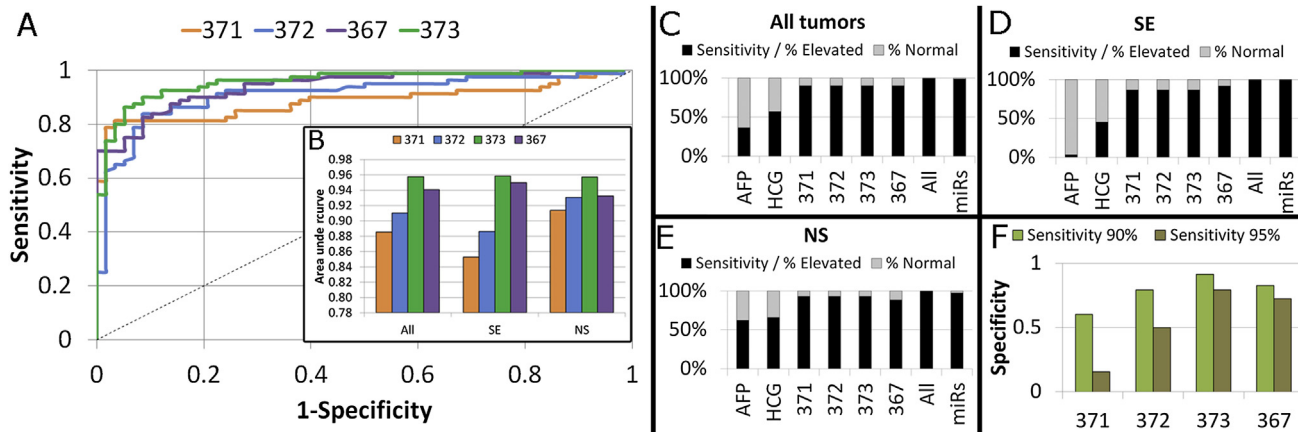


Figure 4 – (A) ROC curves were generated for the four most differentiating miRNAs (371-3/367). Samples from the $R_L/R_T/UK/D$ series were pooled for analysis; (B) The AUC varied from 0.89 to 0.96 for all ROCs. Separate ROCs generated for only the SE or the NS showed minor differences between the quality of these miRNAs as marker. (C–E) Stacked bar diagrams of the sensitivity and false negative rate for the conventional “gold standard” in current clinical use (AFP, hCG) and the four miRNAs: miR-371-3/372/373/367. Sensitivity was calculated when all miRNAs were assessed in combination, and again in combination with AFP/hCG. Missing values were discarded in the calculation of the frequencies; (C) All tumors; (D) SE; (E) NS; (F) A sensitivity of 90% (green) in the ROC analysis lead to an acceptable remaining specificity (y -axis). A sensitivity of 95% (brown) resulted in a big loss of specificity, especially for miR-371-3p/372. Cutoffs for dCt values indicating T were identified using the ROC including all pooled samples: miR-371-3p ≤ 15.62 , miR372 ≤ 11.02 , miR-373 ≤ 10.45 , miR-367 ≤ 12.48 .

post-orchidectomy cases could be clearly separated based on serum miR-371-3/367 levels (Figure 3), analogous to the results of the T vs N comparisons (Section 3.1). After surgery serum miR-371-3/367 levels returned to baseline, i.e. they were not significantly different from the levels in all pooled controls (Figure 5A). Consistent with the observations described above, miR-371-3/367 showed an overall steeper reduction in serum levels than miR 302abc (Figure 5B).

When metastasized disease was compared to local GCC, miR-371-3/367/302ac showed overall higher levels in metastasized cases. However, the fold difference in serum miR levels was much lower than in the tumor vs control comparisons (Section 3.1), results were not always consistent between the different sample series and the statistical strength was limited. miR-372-3/367/302a remained significant after correction for multiple testing (Figure 2B and D).

These results indicate possible applicability of serum miR-371-3/367 levels not only for diagnosis (Sections 3.1–3.4), but also for follow-up. However, these observations need to be validated and extended in a larger, prospective setup with longer follow-up period.

4. Discussion

GCC patients require intensive follow-up after primary diagnosis and treatment (Horwich et al., 2006). For diagnosis and follow-up, various approaches are currently used, including the established diagnostic/follow-up serum-markers AFP and hCG (Figure 1C). AFP is primarily related to YS and hCG to CH although they can be positive in sporadic cases of SE and SE/EC respectively as well. Therefore, a significant

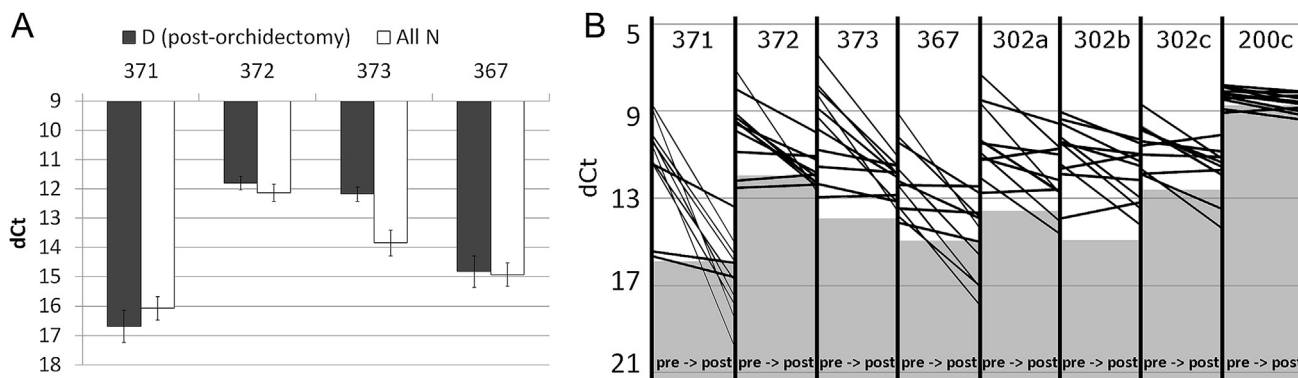


Figure 5 – (A) When all N from the R_L , R_T and UK series are pooled and compared to the post-orchidectomy D samples, there is no significant difference between the serum-miR levels in both groups for the most differentiating miRNAs (371-3/367). X-axis: most consistently differentially present miR (between N and T). Y-axis: dCt ; (B) Plot of all pre (left) and post (right) orchidectomy dCt levels per miR per case. Gray area indicates the average baseline level in all pooled N . This does not necessarily constitute a valid cutoff (see Figure 4).

number of GCC patients without YS and CH, will be negative for these serum markers. In addition, positivity for either marker can change during disease progression. Because of this, there is need for additional serum markers, particularly ones that are consistently positive in SE and EC. Several possible candidates have been suggested, including demethylated promoter regions of the XIST gene (Kawakami et al., 2004) (sensitivity of 64% in serum of GCC patients, small single series). More recently, a selected number of miRs are reported to be highly expressed in SE, EC and YS (both pediatric and adult patients). They belong to the embryonic stem cell miR-371-3 and miR-302/367 clusters and were validated in multiple independent GCC series (Gillis et al., 2007; Palmer et al., 2010; Voorhoeve et al., 2006).

Levels of these miRs have proven to be detectable in the serum of GCC patients (Belge et al., 2012; Murray and Coleman, 2012; Murray et al., 2011). Although exosomes have been suggested as a miR-transporters/-repository, the mechanism behind miR release into serum remains to be elucidated (Chen et al., 2012; Somasundaram and Herlyn, 2012). The protocols applied in earlier studies lacked the relevant quality controls, calibration and normalization steps required for clinical implementation. Although amplification steps were performed, no specific miR purification/recovery step was included, resulting in possible underscoring of miR levels in serum by qPCR. The TSmiR protocol addresses these quality control issues, resulting in a robust and informative pipeline to detect the levels of these miRs in serum of GCC patients and controls (Figure 1B). Specific quality control steps for miR purification and recovery artifacts were implemented and suitable targets for normalization of Ct values in serum identified. Moreover, inter-plate and inter-assay variations were evaluated and corrected for. The described pipeline is potentially suitable for clinical serum analysis in the context of other malignancies. miRs identified as candidate markers need to show a specific and consistent high level of expression in serum samples from patients with cancer compared to those from normal, healthy individuals.

The value of GCC specific miR-detection in serum using the TSmiR pipeline was demonstrated in learning and validation sets of independent serum samples from controls and GCC patients. miR-371-3 and miR-367 were most informative (Figure 2). At time of diagnosis, these specific serum miR levels allowed almost complete separation of control- and patient samples (Figure 3). When the miR-371-3 and miR-367 results were combined, only one tumor sample was misclassified as control, independent of the histology of the tumor (no consistent difference between SE and NS) (sensitivity 98%). In contrast, the sensitivity of AFP/hCG was worse (max ≈ 60%), especially in the SE (max ≈ 40%) samples (Figure 4). This proof of concept demonstrates the additional value of TSmiR as a “liquid biopsy” in primary GCC diagnosis, indicating superiority over the currently applied AFP/hCG test for the detection of the stem cell components (SE/EC). This is further confirmed by investigating a series of patients with a testicular mass of other origin, showing a pattern similar to the control group (Figure S5). Although the primary aim of this study was to investigate the additional value of quality controlled detection of GCC specific miRs in primary diagnosis of GCC, differences between cases with and without metastasis (Figure 2B and D)

and complete normalization of levels after complete resection of a local tumor (Figure 5) suggest an important role for TSmiR in follow-up as well as diagnosis (prospective setup currently initiated).

5. Conclusions

TSmiR constitutes a pipeline with stringent QC for the detection of miRs in serum, based on magnetic bead-based purification and qPCR quantification. In five independent GCC-sample series, TSmiR shows high sensitivity and specificity (GCC/control), especially for GCC stem cell components not consistently identified by current markers. Moreover, a higher miR levels in patients with metastasis were identified as well as normalization of miR levels after surgical removal of local disease. This proof of concept indicates that TSmiR has the potential to be of significant importance in the context of additional diagnostic (“liquid biopsy”) and follow-up tools for GCC patients, warranting further investigation.

Conflict of interest

The authors have no conflict of interest.

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Appendix A. Supplementary data

Supplementary data related to this article can be found at <http://dx.doi.org/10.1016/j.molonc.2013.08.002>.

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