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# Estrogen receptor- $\alpha$ 36 is involved in development of acquired tamoxifen resistance via regulating the growth status switch in breast cancer cells

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#### ABSTRACT

Acquired tamoxifen (TAM) resistance limits the therapeutic benefit of TAM in patients with hormone-dependent breast cancer. The switch from estrogen-dependent to growth factordependent growth is a critical step in this process. However, the molecular mechanisms underlying this switch remain poorly understood. In this study, we established a TAM resistant cell sub line (MCF-7/TAM) from estrogen receptor-a (ER-a66) positive breast cancer MCF-7 cells by culturing ER- $\alpha$ 66-positive MCF-7 cells in medium plus 1  $\mu$ M TAM over 6 months. MCF-7/TAM cells were then found to exhibit accelerated proliferation rate together with enhanced in vitro migratory and invasive ability. And the estrogen receptor-a36 (ER-a36), a novel 36-kDa variant of ER-a66, was dramatically overexpressed in this in vitro model, compared to the parental MCF-7 cells. Meanwhile, the expression of epidermal growth factor receptor (EGFR) in MCF-7/TAM cells was significantly upregulated both in mRNA level and protein level, and the expression of  $ER-\alpha$ 66 was greatly down-regulated oppositely. In the subsequent studies, we overexpressed ER-a36 in MCF-7 cells by stable transfection and found that ER-a36 transfected MCF-7 cells (MCF-7/ER-a36) similarly exhibited decreased sensitivity to TAM, accelerated proliferative rate and enhanced in vitro migratory and invasive ability, compared to empty vector transfected MCF-7 cells (MCF-7/V). Real-time qPCR and Western blotting analysis revealed that MCF-7/ER-a36 cells possessed increased EGFR expression but decreased ER-a66 expression both in mRNA level and protein level, compared to MCF-7/V cells. This change in MCF-7/ ER-a36 cells could be reversed by neutralizing anti-ER-a36 antibody treatment. Furthermore, knock-down of ER-a36 expression in MCF-7/TAM cells resulted in reduced

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<span id="page-1-0"></span>proliferation rate together with decreased in vitro migratory and invasive ability. Decreased EGFR mRNA and protein expression as well as increased ER-a66 mRNA expression were also observed in MCF-7/TAM cells with down-regulated ER-a36 expression. In addition, blocking EGFR/ERK signaling in MCF-7/ER-a36 cells could restore the expression of ER-a66 partly, suggesting a regulatory function of EGFR/ERK signaling in down-regulation of ER-a66 expression. In conclusion, our results indicated for the first time a regulatory role of ER-a36 in upregulation of EGFR expression and down-regulation of ER-a66 expression, which could be an underlying mechanism for the growth status switch in breast tumors that contribute to the generation of acquired TAM resistance. And ER- $\alpha$ 36 could be considered a potential new therapeutic target in breast tumors which have acquired resistance to TAM.

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## 1. Introduction

Breast cancer is the most common malignant tumor and is the leading cause of cancer-related deaths in women in the United States [\(Siegel et al., 2011\)](#page-13-0). Hormonal therapy to block the estrogen receptor-a (ER-a66, the classic estrogen receptor) pathway is highly effective for ER- $\alpha$ 66-positive breast cancer and the selective estrogen receptor modulator (SERM) tamoxifen (TAM) has emerged as the most effective drug in this therapy ([Jaiyesimi et al., 1995](#page-12-0)). However, the effectiveness of TAM therapy is limited as most advanced breast tumors eventually recur with acquired resistance despite initial responsiveness to TAM ([Ali and Coombes, 2002](#page-11-0); [Clarke et al., 2003\)](#page-12-0). A body of clinical and experimental studies suggests that molecular cross-talks between ER-a66 and other growth factors such as epidermal growth factor receptor (EGFR) might contribute to the development of acquired TAM resistance in breast cancer [\(Arpino et al.,](#page-12-0) [2008;](#page-12-0) [Fan et al., 2007](#page-12-0); [Knowlden et al., 2003](#page-12-0); [Massarweh and](#page-12-0) [Schiff, 2006;](#page-12-0) [Pancholi et al., 2008](#page-13-0)). Thus, ER-a66 is not the only survival pathway driving breast tumors, and escape pathways when ER-a66 is targeted are already functioning or begin to function during TAM treatment. As a matter of fact, the ERa66 positive breast tumors which possess low or normal levels of EGFR initially usually gain drastically overexpressed EGFR during development of acquired TAM resistance ([Osborne](#page-13-0) [and Schiff, 2011](#page-13-0)). However, the mechanisms underlying this switch are still not well established.

Recently, Wang et al. have identified and cloned a 36-kDa variant of ER-a66, ER-a36. This truncated variant is the product of a transcript initiated from a previously unidentified promoter located in the first intron of ER- $\alpha$ 66 gene, suggesting that its expression is subjected to a transcription regulation different from ER-a66. It lacks both transcriptional activation domains (AF-1 and AF-2) of ER- $\alpha$ 66, but retains a truncated ligand-binding domain and an intact DNAbinding domain [\(Wang et al., 2005](#page-13-0)). It is predominantly expressed on the plasma membrane and in the cytoplasm, modulates nongenomic estrogen signaling pathways that are resistant to antiestrogens [\(Kang et al., 2010](#page-12-0); [Lin et al.,](#page-12-0) [2009](#page-12-0), [2010](#page-12-0); [Tong et al., 2010;](#page-13-0) [Wang et al., 2006;](#page-13-0) [Zhang X](#page-13-0) [et al., 2012](#page-13-0)). Clinical studies have reported that approximately 40% of ER-a66-positive breast cancer patients also expressed ER-a36 in their tumors, and this subset of patients were less likely to benefit from TAM treatment compared with those with ER-a66-positive/ER-a36-negative tumors

([Shi et al., 2009](#page-13-0)). All these findings raise the possibility that ER-a36 expression may be involved in de novo TAM resistance in breast cancer. However, the molecular mechanisms for the association between ER-a36 expression and acquired TAM resistance are still not resolved.

In this study, we established a TAM resistant cell sub line (MCF-7/TAM) by culturing ER-a66-positive MCF-7 cells in medium plus 1  $\mu$ M TAM over 6 months, which was maintained in consistent medium continuously. We then found that MCF-7/ TAM cells possessed high levels of ER-a36 and EGFR expression but nearly undetectable ER-a66 expression. In addition, we revealed that ER-a36 played an important role in this growth status switch via overexpressing ER-a36 in MCF-7 cells and knocking down ER-a36 expression in MCF-7/TAM cells, which contributed to the generation of acquired TAM resistance.

#### 2. Materials and methods

#### 2.1. Regents and antibodies

Geneticin (G418), Tamoxifen, EGFR tyrosine kinase inhibitor AG1478 and MAP kinase inhibitor PD098059 were ordered from Sigma-Aldrich (St. Louis, MO, USA). RPMI 1640 medium, fetal bovine serum (FBS), charcoal-stripped fetal bovine serum (CFBS) were purchased from Thermo Scientific HyClone (South Logan, UT, USA). The monoclonal ER-a36 antibody was developed by Abmart, Inc. (Shanghai, China) as a custom service, which were raised against a synthetic peptide antigen corresponding to the C-terminal of ER-a36. The polyclonal ERK1/2 antibody and phospho-ERK1/2 (Thr<sup>202</sup>/Tyr<sup>204</sup>) antibody were purchased from Cell Signaling Technology, Inc. (Boston, MA, USA). The antibody against EGFR and ER- $\alpha$ 66 was purchased from Epitomics, Inc. (Burlingame, CA, USA). The antibody against β-actin was purchased from Santa Cruz Biotechnology, Inc. (Santa Cruz, CA, USA).

#### 2.2. Cell culture

Human breast cancer cell line MCF-7 (ATCC, No.HTB-22) was obtained from American Type Culture Collection (Manassas, VA, USA). These original cells were routinely cultured at 37  $^{\circ}$ C in the presence of 5%  $CO<sub>2</sub>$  in RPMI 1640 supplemented with 10% FBS. MCF-7/TAM cells were established by culturing ERa66-positive, E2b-responsive cell line MCF-7 in medium plus 1 mM TAM over 6 months, which was maintained in consistent medium continuously. For neutralizing anti-ER-a36 antibody treatment, empty vector transfected MCF-7 (MCF-7/V) cells and ER-a36 transfected MCF-7 (MCF-7/ER-a36) cells were treated with 10  $\mu$ g/ml of anti-ER- $\alpha$ 36 antibody or equivalent normal mouse IgG for 4 days. MCF-7/TAM cells were treated with 30  $\mu$ g/ml anti-ER- $\alpha$ 36 antibodies or equivalent normal mouse IgG for 10 days. For AG1478 and PD098059 treatment, MCF-7/V and MCF-7/ER-a36 cells were starved in serum free medium for 12 h and then treated with 5  $\mu$ M AG1478 (50  $\mu$ M PD098059) or equivalent vehicle for 1 h, as previous studies described [\(Alessi et al., 1995](#page-11-0); [Dudley et al., 1995;](#page-12-0) [Fox et al.,](#page-12-0) [2008](#page-12-0); [Levitzki and Gazit, 1995;](#page-12-0) [Zhu et al., 2001\)](#page-13-0).

#### 2.3. Plasmid preparation and transfection

The Coding sequence of ER-a36 cDNA was successfully cloned, which was consistent with the NCBI database. On that basis, a eukaryotic expression vector of pcDNA3.1+ER- $\alpha$ 36 was constructed and verified by sequencing. Transfection was performed using Fugene HD Transfection Reagent (Roche Applied Science, Mannheim, Germany) as recommended by the manufacturer. After transfection, stable transfectants were selected via incubating cells with 600 ug/mL G418 for 2 weeks. Surviving single colonies were then picked and amplified. Two of established clonal cell lines that highly expressed ER- $\alpha$ 36 are described in detail in this study (MCF-7/ER- $\alpha$ 36-1 and -2). More than 30 individual clones transfected with the empty vector  $pcDNA3.1+$  were pooled and used as a control (MCF-7/V).

To knock down the expression of  $ER-\alpha36$  in MCF-7/TAM cells, an artificial microRNA-expressing vector pcDNA3.1/ 6mi36 was designed before ([Zhang J et al., 2012](#page-13-0)). Stable transfection and selection of ER-a36 knock-down cells was performed as described above. Two of established clonal cell lines that expressed decreased level of ER-a36 are described in detail in this study (MCF-7/TAM-mi36-1 and -2). Cells transfected with the vector  $pcDNA3.1+$  were used as a control (MCF-7/TAM-V). For transient transfection with ER- $\alpha$ 66, a eukaryotic expression vector of pcDNA3.1+ER- $\alpha$ 66 was constructed and verified by sequencing. Transfection was performed as described above. About 48 h after transfection, cells were harvested to determine the expression of EGFR both in mRNA level and protein level.

#### 2.4. RNA purification and quantitative reverse transcriptase-PCR

Total RNA was extracted using TRIzol reagent according to the protocol provided by the manufacturer (Invitrogen, Carlsbad, Calif., USA). RNA concentrations were quantified by NanoDrop 1000 (Nanodrop, Wilmington, Del. USA). Reverse transcription reaction was performed using 2  $\mu$ g of total RNA with Reverse Transcription System (Promega, Madison, WI, USA). The mRNA level of EGFR and ER-α66 was analyzed using GoTa $\mathsf{q}^\circledast$ qPCR Master Mix Kit (Promega, Madison,WI, USA) in ABI PRISM 7500 Sequence Detection System (Applied Biosystems, CA, USA). The real time qPCR reaction was carried out in triplicate for each sample. The  $\beta$ -actin gene was used as an endogenous control for normalization and the mRNA levels of EGFR and ER-

 $\alpha$ 66 were determined using the 2<sup>- $\Delta$  $\Delta$ Ct method [\(Livak and](#page-12-0)</sup> [Schmittgen, 2001](#page-12-0)). Specific primer pairs are listed in Table 1.

#### 2.5. Western blotting analysis

Briefly, cell lysates for immunoblotting were prepared by adding lysis buffer (50 mM Tris-HCl (pH 7.4), 1% Nonidet P-40, 0.5% sodium deoxycholate, 150 mM NaCl, 0.02% sodium azide, and 0.1% SDS) containing protease and phosphatase inhibitors (Sigma-Aldrich, St. Louis, MO, USA). Appropriate protein extracts of cell lysates were fractionated by SDS-PAGE and electro-transferred to polyvinylidene difluoride (PVDF) membranes (Millipore, Billerica, MA, USA). After blocked at room temperature with 5% nonfat milk in TBS-T (10 mmol/L Tris-HCl (pH 7.5), 0.5 mol/L NaCl, and 0.05% (w/v) Tween 20) buffer for 1 h, the membranes were incubated with primary antibodies overnight at 4  $\degree$ C. The next day, the membranes were washed and then incubated with suitable peroxidaseconjugated secondary antibodies for 1 h at room temperature. After washing thrice with TBS-T, antibody binding was visualized using chemiluminescence detection system as described by the manufacturer (Millipore, Billerica, MA, USA). To show equal protein loading, the blots were stripped and reprobed for peroxidase-conjugated b-actin antibody. Molecular weights of the immunoreactive proteins were estimated based on PageRuler<sup>™</sup> Prestained Protein ladder (MBI Fermentas, USA). Experiments were repeated for at least three times.

#### 2.6. Methyl-thiazolyl-tetrazolium (MTT) assay

Cells grown to 70-80% confluence were harvested and seeded in 96-well microtiter plates at 1000 cells per well and 5 wells were used for every experiment. The assay was begun after 12 h (day 0). When measuring cell growth, 0.5 mg/mL 3-(4, 5 dimethylthiazol-2-yl)-2,5-diphenyltetrazolium bromide (MTT) (AMRESCO Inc, Solon, OH, USA) was added into the medium and cells were cultured for 4 h sequentially. Afterwards, the supernatant was removed and the formazan crystals were dissolved in 200 µL dimethyl sulfoxide (DMSO) at room temperature for 15 min. Absorbance of the solution was then measured at 570 nm wavelength using an ELx800 Absorbance Microplate Reader (Biotek, Winooski, VT, USA). Cell growth was measured daily and expressed as a multiple of the OD value at day 0. The experiments were performed in triplicate independently, mean  $\pm$  standard error of mean (SEM) of which were presented in the Results section. For estrogen depletion, cells were maintained in phenol red-free medium with 5% CFBS for 5 days to exhaust endogenous estrogen until the assay was begun.



#### 2.7. Monolayer colony formation assay

500 cells were seeded into a well of 6-well plate in triplicate, incubated in medium containing 1  $\mu$ M TAM or equivalent DMSO (vehicle). Every 3-4 days the medium was replaced with fresh medium containing 1  $\mu$ M TAM or equivalent DMSO. After 2 weeks, the colonies were fixed with 100% methanol, stained with 0.1% crystal violet and washed with phosphate buffer solution (PBS). Visible colonies  $(>=50$  cells) were then counted for quantification. For anti-ER-a36 antibody treatment, anti-ER-a36 antibodies or equivalent normal mouse IgG were put into the culture on the basis of 1  $\mu$ M TAM or equivalent DMSO.

## 2.8. In vitro migration and invasion assay

Cell migration and invasion was quantified using a previously described method ([Zhang J et al., 2012](#page-13-0)). While performing the assays, cells were pre-starved in serum-free medium for 12 h. According to the protocol provided by the manufacturer (Millipore, Billerica, MA, USA), 900 µL of medium with 10% FBS was added into the wells of a 24-well plate and  $8$ - $\mu$ m pore transwell inserts were plated into those wells for 1 h rehydration at 37  $^{\circ}$ C. For invasion assay, the membranes of the inserts were coated with Matrigel (BD Bioscience, San Jose, CA, USA) at 37 °C for 30 min before the rehydration. Then, starved cells were harvested with serum-free medium and  $5 \times 10^4$  (1.5  $\times$  10<sup>5</sup> for invasion assay) cells were seeded into the prepared inserts. After 24 h incubation at 37 °C with 5% CO $_2$ , the cells remaining inside of the inserts were removed using a cotton swab. Membranes were then fixed with 95% ethanol, stained with 0.1% crystal violet, washed with PBS. After that, they were cut from the inserts and fixed onto glass microscope slides using 50% glycerol with the cover glass. For quantification, the membranes were viewed at  $\times$  200 magnifications under light microscope. 5 separate fields per membrane were selected and the number of stained cells was counted in each field.

#### 2.9. Statistical analysis

The data were presented as the means  $\pm$  standard error of mean (SEM) of three independent experiments. One-way ANOVA and Student's t-test was used to determine the statistical differences between various experimental and control groups (GraphPad Prism v.5.0, La Jolla, CA, USA). Differences were considered statistically significant at a level of  $P < 0.05$ .  $*$  represents  $P < 0.05$ ;  $**$  represents  $P < 0.01$ .

## 3. Results

## 3.1. TAM-resistant MCF-7 (MCF-7/TAM) cells exhibited significantly accelerated proliferation rate together with enhanced in vitro migratory and invasive ability

Acquired TAM resistance is a Gordian knot in current breast cancer treatment and the underlying molecular mechanisms are still unclear. To overcome this obstacle, a TAM resistant cell sub line (MCF-7/TAM) was established by culturing ER- $\alpha$ 66-positive MCF-7 cells in medium plus 1 µM TAM over 6 months, which was maintained in consistent medium continuously. The TAM sensitivity of MCF-7/TAM cells was then examined using monolayer colony formation assay. Compared to parental MCF-7 cells, MCF-7/TAM cells exhibited dramatically decreased sensitivity to TAM (13.5  $\pm$  2.7% versus 62.3  $\pm$  2%) ([Figure 1](#page-4-0)A). Moreover, we found that MCF-7/TAM cells proliferated much more rapidly than parental MCF-7 cells using MTT assay ([Figure 1B](#page-4-0)). Even after depleting estrogen from the medium, MCF-7/TAM cells still possessed much faster proliferative rate than parental MCF-7 cells ([Figure 1](#page-4-0)C). Through an in vitro migration and invasion assay, we further discovered that MCF-7/TAM cells possessed much stronger in vitro migratory and invasive ability than parental MCF-7 cells. During seeded  $5 \times 10^4$  cells, 151.4  $\pm$  18.508 MCF-7/TAM cells per field migrated through the membrane after 24 h incubation, versus 7.2  $\pm$  1.281 MCF-7 cells per field  $(P < 0.01)$ . During seeded  $1.5 \times 10^5$  cells,  $106.8 \pm 9.41$  MCF-7/ TAM cells per field invaded through the membrane coated with matrigel after 24 h incubation, compared with 5.4  $\pm$  0.872 MCF-7 cells per field (P < 0.01) ([Figure 1](#page-4-0)D and E). And MCF-7/TAM cells were able to maintain this greatly enhanced in vitro migratory and invasive ability in depleted estrogen culture ([Figure 1F](#page-4-0) and G).

## 3.2. MCF-7/TAM cells possessed high levels of  $ER-\alpha36$ and EGFR expression, but nearly undetectable  $ER-\alpha$ 66 expression

Previous studies have showed that ER-a36 was widely expressed in breast cancer cell lines, though there was only trace expression in the classical ER-a66-positive cell line MCF-7 [\(Wang et al., 2006](#page-13-0); [Zhao et al., 2011;](#page-13-0) [Zhang X et al.,](#page-13-0) [2012\)](#page-13-0). However, we observed that the expression level of ERa36 in MCF-7/TAM cells was greatly increased compared to parental MCF-7 cells. Meanwhile, the expression of EGFR in MCF-7/TAM cells was significantly up-regulated both in mRNA level and protein level, with increased basal levels of ERK1/2 phosphorylation which indicated activation of EGFR signaling [\(Figure 2A](#page-5-0) and B). In contrast, the ER-a66 expression was lost at the protein level and greatly reduced at the mRNA level in MCF-7/TAM cells [\(Figure 2](#page-5-0)A and C). However, we found no increased HER-2 expression in MCF-7/TAM cells here (Figure S1), though previous studies have reported that elevated HER-2 was involved in the generation of acquired TAM resistance in breast cancer [\(Knowlden et al., 2003](#page-12-0); [Pancholi et al., 2008](#page-13-0)).

## 3.3. Overexpressed ER- $\alpha$ 36 in MCF-7 cells led to decreased sensitivity to TAM, accelerated proliferation rate together with enhanced in vitro migratory and invasive ability

The cDNA of ER- $\alpha$ 36 was first found and cloned by Wang et al. in 2005, which encoded a 310 amino acid open-reading frame and a protein with a predicted molecular weight of 35.7 kDa (GeneBank: CAE45969.1) [\(Wang et al., 2005\)](#page-13-0). To investigate the possible influence of ER-a36 status on acquired TAM resistance in breast cancer, we up-regulated the expression of ERa36 in MCF-7 cells via stable transfection. In order to recognize the ER-a36 protein, we developed a monoclonal anti-ER-a36

<span id="page-4-0"></span>

Figure 1 - Tamoxifen-resistant MCF-7 cells (MCF-7/TAM) exhibited accelerated proliferation rate together with enhanced in vitro migratory and invasive ability. (A). The TAM sensitivity in MCF-7 cells and MCF-7/TAM cells was examined using monolayer colony formation assay. Column: means of three independent experiments; bars, SEM. (B). Relative cell proliferation rate of parental MCF-7 cells and MCF-7/TAM cells were determined using MTT assay. Data presented are means ± SEM of three independent experiments. (C). Relative cell proliferation rate of parental MCF-7 cells and MCF-7/TAM cells was further determined via MTT assay after depleting E2b from the medium, as described in (B). (D & E). The in vitro migratory and invasive ability of MCF-7 and MCF-7/TAM cells was determined by in vitro migration and invasion assay. Cells migrated or invaded through the membrane were viewed at 3200 magnifications under light microscope, counted in 5 independent visual fields per transwell membrane. Photomicrographs were then taken. Cell numbers were presented as values of means ± SEM of triplicate experiments. (F & G). The in vitro migratory and invasive ability of MCF-7 and MCF-7/TAM cells was further determined by the same assay after depleting E2b from the medium, as described in (D & E).

antibody raised against the C-terminal of ER-a36 ([Materials](#page-1-0) [and Methods\)](#page-1-0). The anti-ER-a36 antibodies were used as a probe in Western blotting analysis of parental MCF-7 cells, empty vector transfected MCF-7/V cells and  $pcDNA3.1+ER$ a36 transfected MCF-7/ER-a36 cells. As shown in [Figure 3A](#page-6-0), MCF-7 and MCF-7/V cells expressed very low level of endogenous ER-a36, but MCF-7/ER-a36 cells possessed significantly increased level of recombinant ER-a36.

Lin et al. once demonstrated that MCF-7 cells exhibited decreased sensitivity to TAM treatment after obtaining high expression of recombinant ER-a36 [\(Lin et al., 2010\)](#page-12-0). Here, the TAM sensitivity of MCF-7/ER-a36-1 cells was determined using monolayer colony formation assay as mentioned above. Consistently, MCF-7/ER-a36-1 cells were found to exhibit significantly decreased sensitivity to TAM compared to MCF-7/V cells (24.2  $\pm$  2.7% versus 56.2  $\pm$  1.2%) ([Figure 3B](#page-6-0)). Furthermore, MTT assay revealed that MCF-7/ER-a36-1 cells proliferated much more rapidly than control MCF-7/V cells both in complete medium and in depleted estrogen medium, which suggested that there may have other stimulations to the growth of MCF-7/ER-a36-1 cells except estrogen only [\(Figure 3](#page-6-0)C and D). Similar to MCF-7/TAM cells, MCF-7/ERa36-1 cells were also found to migrate and invade at a significantly higher rate than MCF-7/V cells by in vitro migration and invasion assay (44.8  $\pm$  1.319 versus 8.8  $\pm$  1.356 cells per field in seeded 5  $\times$  10<sup>4</sup> cells for migration; 40.4  $\pm$  2.337 versus 2.8  $\pm$  1.2 cells per field in seeded  $1.5 \times 10^5$  for invasion) [\(Figure 3](#page-6-0)E and F). And MCF-7/ER- $\alpha$ 36-1 cells was also able to maintain this

<span id="page-5-0"></span>

Figure 2 - MCF-7/TAM cells possessed increased levels of ER- $\alpha$ 36 and EGFR, together with reduced level of ER- $\alpha$ 66. (A). Western blotting analysis of the protein levels of ER-a36, EGFR, ER-a66, phosphorylated ERK1/2 and total ERK1/2 in MCF-7 cells and MCF-7/TAM cells. bactin was used as the loading control. All experiments were repeated at least three times, and the representative results are shown. (B & C). Relative mRNA level of EGFR and ER-a66 in MCF-7/TAM cells was determined by real time qPCR. b-actin gene was used as an endogenous control for normalization. Results showed are means ± SEM of three independent reactions.

greatly enhanced in vitro migratory and invasive ability in culture without estrogen [\(Figure 3](#page-6-0)G and H).

### 3.4. MCF-7/ER- $\alpha$ 36 cells possessed increased EGFR expression, but decreased  $ER-\alpha$ 66 expression

In order to make the association between ER-a36 and EGFR clear, we examined the expression of EGFR in ER-a36 transfected MCF-7 cells. Both mRNA level and protein level of EGFR, as well as basal levels of ERK1/2 phosphorylation, were found to be increased in MCF-7/ER-a36-1 and MCF-7/ ER-a36-2 cells compared to MCF-7/V cells ([Figure 4A](#page-7-0) and B). In contrast, ER-a66 expression in MCF-7/ER-a36-1 and MCF-7/ER-a36-2 cells was reduced both in mRNA level and protein level ([Figure 4A](#page-7-0) and C). These results were consistent with what was showed in MCF-7/TAM cells, suggesting that overexpressed ER-a36 in MCF-7 cells may play a crucial role in promoting EGFR expression and inhibiting ER-a66 expression during development of acquired TAM resistance. Similar to MCF-7/TAM cells, no increased HER-2 expression was observed in MCF-7/ER-a36-1 cells either (Figure S1).

## 3.5. Blocking of ER- $\alpha$ 36 by neutralizing antibodies inhibited growth of MCF-7/ER-α36-1 cells but not MCF-7/TAM cells

 $ER-\alpha$ 36 is predominantly expressed on the plasma membrane (extracellular receptor) and in the cytoplasm, indicating that it could be blocked by specific antibodies. Wang et al. once developed an affinity-purified rabbit polyclonal anti-ER-a36 antibody raised against C-terminal of ER-a36 [\(Wang et al., 2006](#page-13-0)), which has been proved to be able to block ER-a36-mediated biological function in breast cancer cells [\(Kang et al., 2010,](#page-12-0) [2011](#page-12-0)). Here, a monoclonal anti-ER-a36 antibody developed by ourselves were also raised against the C-terminal of  $ER-\alpha$ 36, which may be able to block ER-a36-mediated biological function similarly. In order to confirm this hypothesis, we treated MCF-7/ER- $\alpha$ 36-1 cells with 10  $\mu$ g/ml anti-ER- $\alpha$ 36 antibody for 4 days. And both the expression change of EGFR and ER-a66 caused by overexpressed ER-a36 in MCF-7/ER-a36-1 cells were reversed to a certain extent by this treatment [\(Figure 5](#page-8-0)A-C). Furthermore, we discovered that anti-ER-a36 antibody treatment reduced the colony forming efficiency of MCF-7/ER-a36-1 cells in monolayer colony formation assay, though it did not significantly increase their sensitivity to TAM (26.1  $\pm$  4% in anti-ER- $\alpha$ 36 antibody group versus  $25.1 \pm 2.9\%$  in normal mouse IgG group) ([Figure 5](#page-8-0)D). In addition, we tested the effects of monoclonal anti-ER-a36 antibodies on MCF-7/TAM cells that possessed high levels of endogenous ER- $\alpha$ 36. Unfortunately, treating MCF-7/TAM cells with even higher concentration of anti-ERa36 antibodies could not obviously down-regulate EGFR expression or up-regulate ER-a66 expression, as it did in MCF-7/ERa36-1 cells. Thus, the sensitivity of MCF-7/TAM cells to TAM treatment could not be restored by treating them with neutralizing anti-ER- $\alpha$ 36 antibodies [\(Figure 5](#page-8-0)E-H).

## 3.6. Knock-down of ER- $\alpha$ 36 expression in MCF-7/TAM cells resulted in reduced proliferation rate as well as decreased in vitro migratory and invasive ability

As treating MCF-7/TAM cells with neutralizing anti-ER-a36 antibodies could not block ER-a36 mediated biological function, we further knocked down ER-a36 expression in MCF-7/TAM cells via stable transfection of an ER-a36 multi-hairpin vector (pcDNA3.1/mi36) ([Zhang J et al., 2012](#page-13-0)). Western blotting analysis confirmed the significantly decreased expression of endogenous ER-a36 in clones transfected with pcDNA3.1/ 6mi36 [\(Figure 6A](#page-9-0)). The TAM sensitivity of MCF-7/TAM-mi36- 2 cells was then determined using monolayer colony formation assay as mentioned above. Compared to MCF-7/TAM cells and MCF-7/TAM-V cells, MCF-7/TAM-mi36-2 cells exhibited significantly reduced colony forming efficiency, but no obvious increased sensitivity to TAM (12.8  $\pm$  3% versus 8.3  $\pm$  2.6%) [\(Figure 6](#page-9-0)B). MTT assay further revealed that MCF-7/TAM-mi36-2 cells proliferated much more slowly than MCF-7/TAM cells and MCF-7/TAM-V cells ([Figure 6C](#page-9-0)). In

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Figure 3 - Overexpressed ER- $\alpha$ 36 in MCF-7 cells caused resistance to TAM, accelerated proliferation rate together with enhanced in vitro migratory and invasive ability. (A) Whole cellular protein extracts of parent MCF-7 cells and MCF-7 cells transfected with empty vector or pcDNA3.1+ER-α36 were subjected to Western blotting analysis using an anti-ER-α36 antibody. β-actin was used as the loading control. All experiments were repeated at least three times, and the representative results are shown. (B) The TAM sensitivity in MCF-7/V cells and MCF-7/ ER-a36-1 cells was examined using monolayer colony formation assay. Column: means of three independent experiments; bars, SEM. (C) Relative cell proliferation rate of MCF-7/V cells and MCF-7/ER-a36-1 cells was determined using MTT assay. Data presented are means ± SEM of three independent experiments. (D). Relative cell proliferation rate of MCF-7/V cells and MCF-7/ER-a36-1 cells was further determined via MTT assay after depleting E2b from the medium, as described in (C). (E & F). The in vitro migratory and invasive ability of MCF-7/V and MCF-7/ER- $\alpha$ 36-1 cells was determined by in vitro migration and invasion assay. Cells migrated or invaded through the membrane were viewed at  $\times$ 200 magnifications under light microscope, counted in 5 independent visual fields per transwell membrane. Photomicrographs were then taken. Cell numbers were presented as values of means ± SEM of triplicate experiments (G & H). The in vitro migratory and invasive ability of MCF-7/V and MCF-7/ER-a36 cells was further determined by the same assay after depleting E2b from the medium, as described in (E & F).

addition, MCF-7/TAM-mi36-2 cells were found to migrate and invade at a considerably lower rate than MCF-7/TAM cells and MCF-7/TAM-V cells using in vitro migration and invasion assay (88.2  $\pm$  12.091 versus 213.2  $\pm$  12.153 cells per field during seeded 5  $\times$  10<sup>4</sup> cells for migration; 9.4  $\pm$  1.817 versus 111.2  $\pm$  9.96 cells per field during seeded 1.5  $\times$  10<sup>5</sup> cells for invasion) ([Figure 6D](#page-9-0) and E).

## 3.7. MCF-7/TAM-mi36-2 cells possessed decreased EGFR mRNA and protein expression, together with increased  $ER-\alpha$ 66 mRNA expression

We further observed that both mRNA level and protein level of EGFR expression were decreased in MCF-7/TAM-mi36-2 cells compared to MCF-7/TAM-V cells, which was still much higher than parental MCF-7 cells [\(Figure 7](#page-9-0)A and B). Meanwhile, the mRNA expression level of ER-a66 was more than doubled in MCF-7/TAM-mi36-2 cells [\(Figure 7C](#page-9-0)). These findings proved that ER-a36 indeed participated in the regulation of EGFR and ER-a66 expression. However, the protein expression of ER-a66 in MCF-7/TAM-mi36-2 cells was still undetectable by Western blotting analysis [\(Figure 7A](#page-9-0) and C), which may explain why MCF-7/TAM-mi36-2 cells that possessed significantly decreased proliferation ability could not regain sensitivity to TAM yet.

## 3.8. Activated EGFR/ERK signaling was involved in the down-regulation of  $ER-\alpha$ 66

There existed an inverse relationship between the expression of ER-a66 and EGFR in breast cancer [\(Arpino, 2004;](#page-11-0) [Lee et al.,](#page-12-0) [1990](#page-12-0)). Some studies demonstrated that ER- $\alpha$ 66 was involved in the repression of EGFR expression and decreased ER-a66 expression could increase the expression of EGFR [\(deFazio](#page-12-0) [et al., 1997](#page-12-0); [Yarden et al., 1996](#page-13-0), [2001](#page-13-0)), but others declared

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Figure 4 - Overexpressing ER-a36 in MCF-7 cells up-regulated EGFR expression and down-regulated ER-a66 expression. (A). Western blotting analysis of the protein levels of ER-a36, EGFR, ER-a66, phosphorylated ERK1/2 and total ERK1/2 in MCF-7/V cells and MCF-7/ER-a36 cells. b-actin was used as the loading control. All experiments were repeated at least three times, and the representative results are shown. (B & C). Relative mRNA level of EGFR and ER-a66 in MCF-7/ER-a36 cells was determined by real time qPCR. b-actin gene was used as an endogenous control for normalization. Results showed are means ± SEM of three independent reactions.

that activation of EGFR mediated signaling contributed to the down-regulation of ER-a66 expression in breast cancer cells ([Bayliss et al., 2007;](#page-12-0) [Stoica et al., 2000](#page-13-0)). Here, to explore the effect of EGFR signaling on ER-a66, MCF-7/ER-a36-1 cells were treated with AG1478, a highly selective inhibitor of EGFR tyrosine kinase. Both mRNA level and protein level of ER-a66 in treated MCF-7/ER-a36-1 cells were then found to be increased, with reduced ERK1/2 phosphorylation ([Figure 8A](#page-10-0)-C). It is well established that increased phosphorylated ERK1/2 indicated the activation of EGFR signaling pathway [\(Jorissen, 2003\)](#page-12-0). And previous studies have shown that the phosphorylated ERK1/2 was involved in the down-regulation of  $ER-\alpha66$ ([Creighton et al., 2006](#page-12-0); [Oh et al., 2001](#page-13-0); [Stossi et al., 2012\)](#page-13-0). In the present study, to investigate the role of phosphorylated ERK1/2 in down-regulation of ER-a66, MCF-7/ER-a36-1 cells were further treated with specific MAP kinase inhibitor PD098059. ERK1/2 phosphorylation was then found to be abolished and the expression of ER-a66 was found to be increased both in the mRNA level and protein level in treated MCF-7/ERa36-1 cells [\(Figure 8D](#page-10-0) and E). These data supported a regulatory function of activated EGFR/ERK signaling in downregulation of ER-a66 in MCF-7/ER-a36-1 cells. On the other hand, in order to investigate if ER-a66 was involved in repressing EGFR expression in MCF-7 cells, we restored the expression of ER-a66 in MCF-7/ER-a36-1 cells via transient transfection. The mRNA level and protein level of EGFR expression in MCF-7/ER-a36-1 cells were not found to be reduced after this transfection [\(Figure 8](#page-10-0)F and G).

### 4. Discussion

TAM is able to bind to the ligand-binding domain of the ER-a66, effectively blocking the potential for estrogen stimulation and inhibiting the activity of ER-a66, acting largely as an antagonist in ER- $\alpha$ 66-positive breast cancer cells [\(Jaiyesimi](#page-12-0) [et al., 1995;](#page-12-0) [Osborne and Schiff, 2011](#page-13-0)). On the basis of published literatures, it is well established that overexpression of EGFR accompany with the loss of ER-a66 after long term TAM treatment was responsible for development of acquired TAM resistance in ER-a66-positive primary tumors that initially respond to TAM therapy [\(Gutierrez et al., 2005](#page-12-0); [Johnston et al., 1995](#page-12-0); [Massarweh et al., 2008](#page-12-0); [Santen et al.,](#page-13-0) [2009;](#page-13-0) [Van den Berg et al., 1989;](#page-13-0) [Zhang et al., 2009](#page-13-0)). However, the mechanisms underlying this growth status switch are largely unknown yet.

In the present study, we established a TAM-resistant cell sub line MCF-7/TAM from ER-a66-positive breast cancer MCF-7 cells. Compared to parental MCF-7 cells, MCF-7/TAM cells were found to possess greatly increased ER-a36 and EGFR expression, together with nearly undetectable ER-a66 expression ([Figure 2](#page-5-0)). ER-a36, a variant of ER-a66, was reported to be transcriptionally regulated differently from ER-a66, and was found to be weakly expressed in ER-a66-positive breast cancer cells that expressed high levels of ER-a66, but highly expressed in ER-a66-negative breast cancer cells that lack ER-a66 expression [\(Wang et al., 2006](#page-13-0); [Zhao et al., 2011](#page-13-0); [Zhang, X. et al., 2012;](#page-13-0) [Zou et al., 2009\)](#page-13-0). Overexpression of ERa36 was demonstrated to be associated with poorer diseasefree survival and disease-specific survival in patients with ER-a66-positive breast cancer who received TAM treatment ([Shi et al., 2009](#page-13-0)). Some studies have demonstrated that the expression of ER-a36 and EGFR exhibited a significant positive correlation [\(Tu et al., 2011;](#page-13-0) [Zhang et al., 2011\)](#page-13-0). Zhao et al. recently found that compared to parental MCF-7 cells, TAM resistant MCF-7/TAM cells possessed greatly overexpressed ER- $\alpha$ 36 but significantly down-regulated ER- $\alpha$ 66, though the expression level of EGFR was not revealed ([Zhao et al., 2011\)](#page-13-0). Here, we revealed that overexpressing  $ER - \alpha 36$  in MCF-7 cells decreased their sensitivity to TAM ([Figure 3](#page-6-0)), which was consistent with previous study [\(Lin et al., 2010](#page-12-0)). We then found that MCF-7/ER-a36 cells possessed increased EGFR expression as well as decreased ER-a66 expression compared to MCF-7/V cells (Figure 4). And inhibiting ER-a36 by

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Figure 5 - Inhibition of ER-a36 with neutralizing anti-ER-a36 antibodies in MCF-7/ER-a36-1 and MCF-7/TAM cells. (A). MCF-7/V cells and MCF-7/ER-a36-1 cells were treated with 10 µg/ml anti-ER-a36 antibodies or equal control mouse IgG for 4 days. The survived cells were then harvested and the cell lysates were subjected to western blotting analysis. B-actin was used as the loading control. All experiments were repeated at least three times, and the representative results are shown (B & C). The mRNA level of EGFR and ER-a66 in treated MCF-7/V and MCF-7/ERa36-1 cells were analyzed by real time qPCR using b-actin gene as an endogenous control. Results showed are means ± SEM of three independent reactions. (D). The colony forming efficiency of MCF-7/ER- $\alpha$ 36-1 cells treated with 1 µM TAM plus 10 µg/ml anti-ER- $\alpha$ 36 antibodies or equivalent normal mouse IgG was examined using monolayer colony formation assay. Column: Means of three independent experiments; bars, SEM. (E). MCF-7/TAM cells were treated with 30 µg/ml anti-ER-a36 antibodies or equivalent normal mouse IgG for 10 days. The survived cells were then harvested and the cell lysates were subjected to western blotting analysis. b-actin was used as the loading control. Untreated parental MCF-7 cells were used as a control. All experiments were repeated at least three times, and the representative results are shown. (F & G). The mRNA level of EGFR and ER-a66 in treated MCF-7/TAM cells were analyzed by real time qPCR using b-actin gene as an endogenous control. Untreated parental MCF-7 cells were used as a control. Results showed are means ± SEM of three independent reactions. (H). The colony forming efficiency of MCF-7/TAM cells treated with 1  $\mu$ M TAM plus 30  $\mu$ g/ml anti-ER- $\alpha$ 36 antibodies or equivalent normal mouse IgG was examined using monolayer colony formation assay. Column: means of three independent experiments; bars, SEM.

neutralizing antibodies in MCF-7/ER-a36-1 cells could reverse this expression change of EGFR and  $ER-\alpha 66$  partly (Figure 5A-C). Above all, we discovered that knocking down ER-a36 expression in MCF-7/TAM cells decreased EGFR mRNA and protein expression and increased ER-a66 mRNA expression, though ER-a66 protein expression was still unde-tectable ([Figure 7\)](#page-9-0). All these findings prompted that  $ER-\alpha 36$ play a regulatory role in the expression change of EGFR and ER-a66 during the generation of acquired TAM resistance.

It has been revealed that most advanced breast tumors eventually recur with acquired resistance despite initial responsiveness to TAM [\(Ali and Coombes, 2002;](#page-11-0) [Clarke](#page-12-0) [et al., 2003\)](#page-12-0). Previous studies have identified that upon development of resistance to TAM, MCF-7 cells acquired an accelerated proliferation rate together with an enhanced capacity for in vitro migration and invasion ([Hiscox et al., 2004](#page-12-0), [2006;](#page-12-0) [Kownlden et al., 2003](#page-12-0)). And our recent study also reported that ER-a36 was correlated with the metastatic potential of ER-a66-negative breast cancer cells ([Zhang, J. et al., 2012](#page-13-0)). In the present study, we found that MCF-7/TAM cells exhibited accelerated proliferation rate together with enhanced in vitro migratory and invasive ability compared to parental MCF-7 cells, properties associated with malignant transformation ([Figure 1](#page-4-0)B, D and E). Furthermore, overexpressing ER-a36 in MCF-7 cells enhanced their multiplication capacity as well as in vitro migratory and invasive ability [\(Figure 3](#page-6-0)C, E and F). Chaudhri et al. once demonstrated that membrane estrogen signaling enhanced tumorigenesis and metastatic potential of breast cancer cells via ER-a36 [\(Chaudhri et al., 2012](#page-12-0)). Here, we found that both MCF-7/TAM and MCF-7/ER-a36-1 cells were able to maintain increased proliferative activity together with in vitro migratory and invasive ability in depleted estrogen medium ([Figure 1](#page-4-0)C, F and G; [Figure 3D](#page-6-0), G and H). This may be caused by overexpressed EGFR in MCF-7/TAM and MCF-7/ER-a36-1 cells, which was responsible for acquisition of an enhanced motile and invasive nature in

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Figure 6 – ER-a36 depletion by microRNA in MCF-7/TAM cells resulted in reduced proliferation rate together with decreased in vitro migratory and invasive ability. (A) Whole cellular protein extracts of parent MCF-7/TAM cells and MCF-7/TAM cells transfected with empty vector or pcDNA3.1/6mi36 were subjected to Western blotting analysis using an anti-ER-α36 antibody. β-actin was used as the loading control. All experiments were repeated at least three times, and the representative results are shown. (B). The TAM sensitivity in MCF-7/TAM cells, MCF-7/ TAM-V cells and MCF-7/TAM-mi36-2 cells was examined using monolayer colony formation assay. Column: means of three independent experiments; bars, SEM. (C). Relative cell proliferation rate of MCF-7/TAM cells, MCF-7/TAM-V cells and MCF-7/TAM-mi36-2 cells was determined using MTT assay. Data presented are means ± SEM of three independent experiments. (D & E). The in vitro migratory and invasive ability of MCF-7/TAM cells, MCF-7/TAM-V and MCF-7/TAM-mi36-2 cells was determined by in vitro migration and invasion assay. Cells migrated and invaded through the membrane were viewed at ×200 magnifications under light microscope, counted in 5 independent fields per transwell membrane. Photomicrographs were then taken. Cell numbers were presented as values of means ± SEM of triplicate experiments.



Figure 7 - Knock-down of ER-a36 in MCF-7/TAM cells resulted in decreased EGFR mRNA and protein expression, together with increased ER-a66 mRNA expression. (A). Western blotting analysis of the protein levels of EGFR and ER-a66 in MCF-7/TAM-V cells and MCF-7/ TAM-mi36-2 cells. B-actin was used as the loading control. All experiments were repeated at least three times, and the representative results are shown. (B & C). Relative mRNA level of EGFR and ER- $\alpha$ 66 in MCF-7/TAM-mi36-2 cells was determined by real time qPCR. B-actin gene was used as an endogenous control for normalization. Results showed are means ± SEM of three independent reactions.

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Figure 8 - EGFR/ERK signaling participated in the down-regulation of ER- $\alpha$ 66 in MCF-7/ER- $\alpha$ 36-1 cells. (A & B). MCF-7/V and MCF-7/ ER- $\alpha$ 36-1 cells were starved in serum free medium for 12 h and then treated with 5 µM AG1478 or equivalent vehicle for 1 h. The survived cells were then harvested and the cell lysates were subjected to western blotting analysis. B-actin was used as the loading control. Data shown were representative of three separate experiments. (C). The mRNA level of ER-a66 in AG1478 treated MCF-7/V and MCF-7/ER-a36-1 cells was analyzed by real time qPCR using b-actin gene as an endogenous control. Results showed are means ± SEM of three independent reactions. (D). MCF-7/ER- $\alpha$ 36-1 cells were starved in serum free medium for 12 h and then treated with 50 µM PD098059 or equivalent vehicle for 1 h. The survived cells were then harvested and the cell lysates were subjected to western blotting analysis. b-actin was used as the loading control. Data shown were representative of three separate experiments. (E). The mRNA level of ER-a66 in PD098059 treated MCF-7/ER-a36-1 cells was analyzed by real time qPCR using  $\beta$ -actin gene as an endogenous control. Results showed are means  $\pm$  SEM of three independent reactions. (F). MCF-7/ER- $\alpha$ 36-1 cells were transiently transfected with empty vector or pcDNA3.1+ER- $\alpha$ 66. The cell lysates were then subjected to Western blot analysis. B-actin was used as the loading control. Data shown were representative of three separate experiments. (G). The mRNA level of EGFR in transiently transfected cells was analyzed by real time qPCR using  $\beta$ -actin gene as an endogenous control. Results showed are means ± SEM of three independent reactions.

TAM resistant breast cancer cells [\(Hiscox et al., 2004\)](#page-12-0). Besides, we surprisingly found that both MCF-7 and MCF-7/V cells were also able to grow in estrogen-depleted medium, though slower than in complete medium [\(Figure 1](#page-4-0)C; [Figure 3D](#page-6-0)). This phenomenon may be induced by estrone-sulfate, which was present in high amount in fetal calf serum and could not be totally removed by the charcoal stripping ([Ruder et al.,](#page-13-0) [1972](#page-13-0)). In addition, knock-down of ER-a36 expression in MCF-7/TAM cells resulted in decreased proliferation capacity as well as in vitro migratory and invasive ability [\(Figure 6](#page-9-0)). These data indicated that  $ER - \alpha 36$  was involved in acquisition of an enhanced proliferative and invasive nature in TAM resistant breast cancer, which may explain why overexpression of ER-a36 was associated with poorer disease-free survival and disease-specific survival in patients with ER-a66 positive breast cancer who received TAM treatment [\(Shi](#page-13-0) [et al., 2009\)](#page-13-0).

Current research has proved that ER-a36-mediated biological function could be blocked by anti-ER-a36 antibody raised against C-terminal of ER-a36 ([Kang et al., 2010](#page-12-0), [2011;](#page-12-0) [Wang](#page-13-0) [et al., 2006\)](#page-13-0). In this study, we found that blocking ER-a36 via a monoclonal anti-ER-a36 antibody developed by ourselves could decrease growth ability and reverse the expression change of EGFR and ER- $\alpha$ 66 in MCF-7/ER- $\alpha$ 36-1 cells [\(Figure 5A](#page-8-0)-C). Unfortunately, treating MCF-7/TAM cells with even higher concentration of anti-ER-a36 antibodies for longer time could not obviously decrease EGFR expression or increase ER-a66 expression, as it did in MCF-7/ER-a36-1 cells. And the growth ability of MCF-7/TAM cells was not decreased by this treatment either [\(Figure 5F](#page-8-0)-H). That may because the biological function mediated by ER-a36 in MCF-7/TAM could not be blocked completely by neutralizing anti-ER-a36 antibodies, as its expression level in MCF-7/TAM was too high. Alternatively, the location of ER-a36 on the plasma membrane

<span id="page-11-0"></span>or in the cytoplasm of MCF-7/TAM cells might be different with MCF-7/ER-a36-1 cells, which need further research. However, knocking down ER-a36 expression via stable transfection in MCF-7/TAM cells decreased EGFR expression both in mRNA level and protein level, though only increased ER-a66 expression in mRNA level ([Figure 7](#page-9-0)). This may be able to explain why MCF-7/TAM-mi36-2 cells possessed significantly decreased proliferation rate than MCF-7/TAM-V cells, though did not regain sensitivity to TAM. Alternatively, the biological reprogramming during development of acquired TAM resistance was much more complicated than stable transfection of ER-a36 in breast cancer cells, containing dynamic and widespread genomic changes (Aguilar et al., 2010; [Osborne and](#page-13-0) [Schiff, 2011\)](#page-13-0). MCF-7/TAM cells, unlike MCF-7/ER-a36-1 cells, might have irreversibly turned into ER-a66-negative cells that were insensitivity to TAM therapy after long-term exposure to TAM, as a published research once reported ([Oesterreich et al., 2001](#page-12-0)).

Our results revealed that ER-a36 could modulate the mRNA expression of EGFR. However, current studies demonstrated that ER-a36 was predominantly expressed on the plasma membrane and in the cytoplasm, lacked both transcriptional activation domains of ER-a66 ([Wang et al., 2005](#page-13-0), [2006](#page-13-0)). Previous studies once reported that GPR30, another membranebound estrogen receptor, stimulated transactivation of EGFR in tamoxifen resistant MCF-7 cells via nongenomic estrogen signaling [\(Filardo, 2002](#page-12-0); [Ignatov et al., 2010](#page-12-0)). But Kang et al. declared that ER-a36, not GPR30, was involved in nongenomic estrogen signaling in breast cancer ([Kang et al., 2010](#page-12-0)). Zhang et al. once demonstrated that EGFR protein was stabilized by ER-a36 in ER-a66-negative breast cancer cells ([Zhang et al.,](#page-13-0) [2011\)](#page-13-0). In fact, though lacking both transcriptional activation domains of ER-a66, ER-a36 retained a truncated ligandbinding domain, suggesting that it may have a spectrum of ligand selectivity different from ER-a66, and may mediate some other unknown signaling [\(Wang et al., 2005](#page-13-0)). Alternatively, ER-a36 in cytoplasm may act indirectly by tethering to other transcription factors to modulate the mRNA expression of EGFR, as ER-a36 retained the nuclear localization signals found in ER-a66 [\(Wang et al., 2006](#page-13-0)). Anyway, further investigations were needed to elucidate the exact mechanisms underlying this regulation.

Both EGFR and ER-a66 could regulate downstream genes via mediating themselves' signaling pathway (even each other). Our data here revealed that inhibiting EGFR tyrosine kinase with AG1478, as well as inhibiting MAP kinase with PD098059, could partly restore ER-a66 expression in MCF-7/  $ER-\alpha 36-1$  cells ([Figure 8A](#page-10-0)-E). These results supported a regulatory function of EGFR/ERK signaling in down-regulation of ERa66 expression, which was consistent with previous reports ([Bayliss et al., 2007;](#page-12-0) [Creighton et al., 2006](#page-12-0); [Holloway et al.,](#page-12-0) [2004;](#page-12-0) [Oh et al., 2001](#page-13-0)). On the other hand, a role of ER-a66 as a down-modulator of EGFR could not be demonstrated here as re-expression of ER-a66 in MCF-7/ER-a36-1 cells by transient transfection could not reduce EGFR expression ([Figure 8F](#page-10-0) and G).

In summary, our findings strongly complement the current knowledge about the molecular mechanisms underlying acquired TAM resistance in breast cancer. Our data demonstrated for the first time that overexpressed  $ER-\alpha36$  in breast cancer MCF-7 cells could up-regulate EGFR and down-regulate ERa66, which contributed to the generation of acquired TAM resistance. Overexpressed ER-a36 also enhanced the proliferation capacity as well as the in vitro migratory and invasive ability of breast cancer MCF-7 cells, properties associated with cell malignancy. Furthermore, knock-down of ER-a36 expression in TAM resistant MCF-7/TAM cells resulted in decreased EGFR expression, reduced proliferation rate together with decreased in vitro migratory and invasive ability, suggesting a critical role of ER-a36 in maintaining malignant phenotype of MCF-7/TAM cells. All these findings indicate that ER-a36 can be used as a prognostic factor in breast cancer patients under TAM therapy, and can be considered a potential therapeutic target in tumors that have acquired resistance to TAM. Further study of the molecular mechanisms by which ER-a36 is activated during development of acquired TAM resistance in breast cancer will provide more detailed insights for the biological function of ER- $\alpha$ 36 in the future.

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#### Conflict of interest

The authors declare no conflict of interest.

## Appendix A. Supplementary data

Supplementary data related to this article can be found at <http://dx.doi.org/10.1016/j.molonc.2013.02.001>.

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