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## Rad51-mediated double-strand break repair and mismatch correction of divergent substrates

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### Abstract

The RecA/Rad51 family of recombinases execute the critical step in homologous recombination (HR): the search for homologous DNA to serve as the template during DNA double-strand break (DSB) repair<sup>1–7</sup>. Although budding yeast Rad51 has been extensively characterized *in vitro*<sup>3,4,6–9</sup>, the stringency of its search and sensitivity to mismatched sequences *in vivo* remain poorly defined. We analyzed Rad51-dependent break-induced replication (BIR) where the invading DSB end and its donor template share 108 bp homology and the donor carries different densities of single-bp mismatches (Fig. 1a). With every 8<sup>th</sup> bp mismatched, repair was ~14% compared to completely homologous sequences. With every 6<sup>th</sup> bp mismatched, repair was >5%. Thus completing BIR *in vivo* overcomes the apparent requirement for at least 6–8 consecutive paired bases inferred from *in vitro* studies<sup>6,8</sup>. When recombination occurs without a protruding nonhomologous 3' tail, mismatch repair protein Msh2 does not discourage homeologous recombination. However, when the DSB end contains a 3' protruding nonhomologous tail, Msh2 promotes rejection of mismatched substrates. Mismatch correction of strand invasion heteroduplex DNA is strongly polar, favoring correction close to the DSB end. Nearly all mismatch correction depends on the proofreading activity of DNA polymerase  $\delta$ , although Msh2-Mlh1 and Exo1 influence the extent of correction.

Each monomer of RecA/Rad51 binds 3 nt of single-strand DNA (ssDNA). The resulting nucleoprotein filament engages in a search for homologous double-stranded DNA (dsDNA) to carry out strand exchange, the first step in DSB repair by HR<sup>3</sup>. A recent *in vitro* study concluded that an initial, stable encounter between RecA/Rad51-bound ssDNA and mismatched dsDNA requires 8 perfectly matched bases, and that extension of the heteroduplex proceeds in steps of 3 nt bound to each Rad51/RecA monomer<sup>6</sup>. Another study, concluded that RecA-promoted strand exchange required segments of 8 nt that could tolerate a single mismatch<sup>7</sup>.

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Conflict of Interest: None declared

Contributions: R.A., and J.H. conceived, designed and developed the genetic assays to measure BIR efficiencies. R.A. designed synthetic constructs (“G blocks”) with defined mismatches. R.A. designed and developed Cas9-based protocols. R.A. and A.B. carried out experiments that measured BIR efficiencies. R.A. did the data analyses including, data compilation, statistical testing, and DNA sequence analyses of the break repair junctions. Theoretical modeling was done by K.L., and J.H. R.A., and J.H., wrote the paper.

To examine the stringency of Rad51-mediated recombination *in vivo*, we designed substrates that placed mismatches along a 108-bp donor template and monitored DSB repair by break-induced replication (BIR). In BIR, only one DSB end shares homology with a donor (Fig. 1a). Strand invasion between the resected, Rad51-coated single-stranded DSB end and the donor results in recruitment of DNA polymerases and copying of sequences to the end of the chromosome arm<sup>10</sup>. Our design sidesteps the need to excise a 3'-ended nonhomologous tail from the invading end before initiating new DNA synthesis<sup>11–15</sup>. Tail removal proves to be a confounding variable when measuring HR success (see below).

A DSB was created by the galactose-inducible, site-specific HO endonuclease in strains lacking natural HO recognition sites<sup>16</sup>. The 108-bp region of shared homology includes the 5' end of an artificial intron, so that when BIR is completed, a functional intron is formed and yeast become Ura3<sup>+</sup> (Fig. 1a).

In the absence of mismatches, the viability of Ura3<sup>+</sup> cells completing BIR was 14%. (Fig. 1b). When shared homology was reduced from 108 bp to 54 or 27 bp, the frequency of Ura3<sup>+</sup> fell to 1% and 0.002%, respectively. Nonhomologous end-joining events, which do not become Ura3<sup>+</sup>, occurred ~0.8 % and were not considered.

BIR was not sensitive to donors with a single mismatch at different positions relative to the 3' end of the invading strand (Fig. 1c and Extended Data Fig. 1a). A donor containing either a single bp insertion or deletion also did not reduce BIR (Fig. 1c). When donors contained 2, 3 or 4 evenly spaced mismatches, BIR frequencies decreased progressively to 45% of the no-mismatch control (Fig. 1d and Extended Data Fig. 1b). BIR was successful when donor sequences contained mismatches at every 12<sup>th</sup>, 11<sup>th</sup>, etc. position, down to every 6<sup>th</sup> bp (~6%) (Fig. 1e). Donors carrying mismatches every 5<sup>th</sup> bp were as defective as a *rad51* strain without mismatches (Fig. 1e); however, even these recombinants were Rad51-dependent (Extended Data Fig. 2). Thus recombination *in vivo* can occur when there is no possibility of 8 consecutive base pairs; indeed, 5 consecutive base pairs appears to be sufficient to assure a modest level of recombination when the strand exchange machinery can “step over” a mismatch and extend the heteroduplex. For successful recombination, heteroduplex DNA apparently must extend over most of the 108-bp length, because even 54 bp of perfect homology had a lower rate of recombination than the 108-bp segment with every 9<sup>th</sup> bp mismatched (compare Fig. 1b and e).

If we adopt the assumptions of the *in vitro*-modeled initial step<sup>7,17</sup>, involving 3 Rad51 monomers (9 possible base-pairs), our data imply that recombination can be successful in cases where the initial stable encounter *in vivo* can occur when 5 consecutive base pairs can be formed and when there is a single mismatch in the remaining base-pairing sites (Extended Data Fig. 3). That the basic unit of encounter could be a Rad51 dimer is supported by studies of the initiation of RecA filament formation on ssDNA<sup>18</sup> and from some structural studies of Rad51 and its paralogs<sup>4,19</sup>. We note that these models only address the first encounter between the Rad51 filament and the donor – analogous to the *in vitro* tests for initial, stable strand-pairing<sup>6–8</sup> - and do not address either barriers to extending the heteroduplex past other mismatches or cooperativity that could arise by extending the

duplex. That the fit is reasonably good suggests that the initial, stable encounter is likely to be the efficiency-determining step.

We discovered that the presence of a nonhomologous tail at the 3' end of the DSB profoundly affects recombination. We studied a previously described BIR assay<sup>16</sup>, where recombination between 'UR' and 'RA3' sequences share 300 bp perfect homology (Fig 2a). HO-induction generates a 68-bp nonhomologous tail that must be excised before new DNA synthesis can proceed from the 3' end. Shorter nonhomologous tails were generated by Cas9 endonuclease (Extended Data Figs. 4 and 5). Compared to the 68-bp tail, the absence of a tail improved BIR 6-fold. Even a 3-bp nonhomologous tail is a barrier to recombination (Fig. 2a).

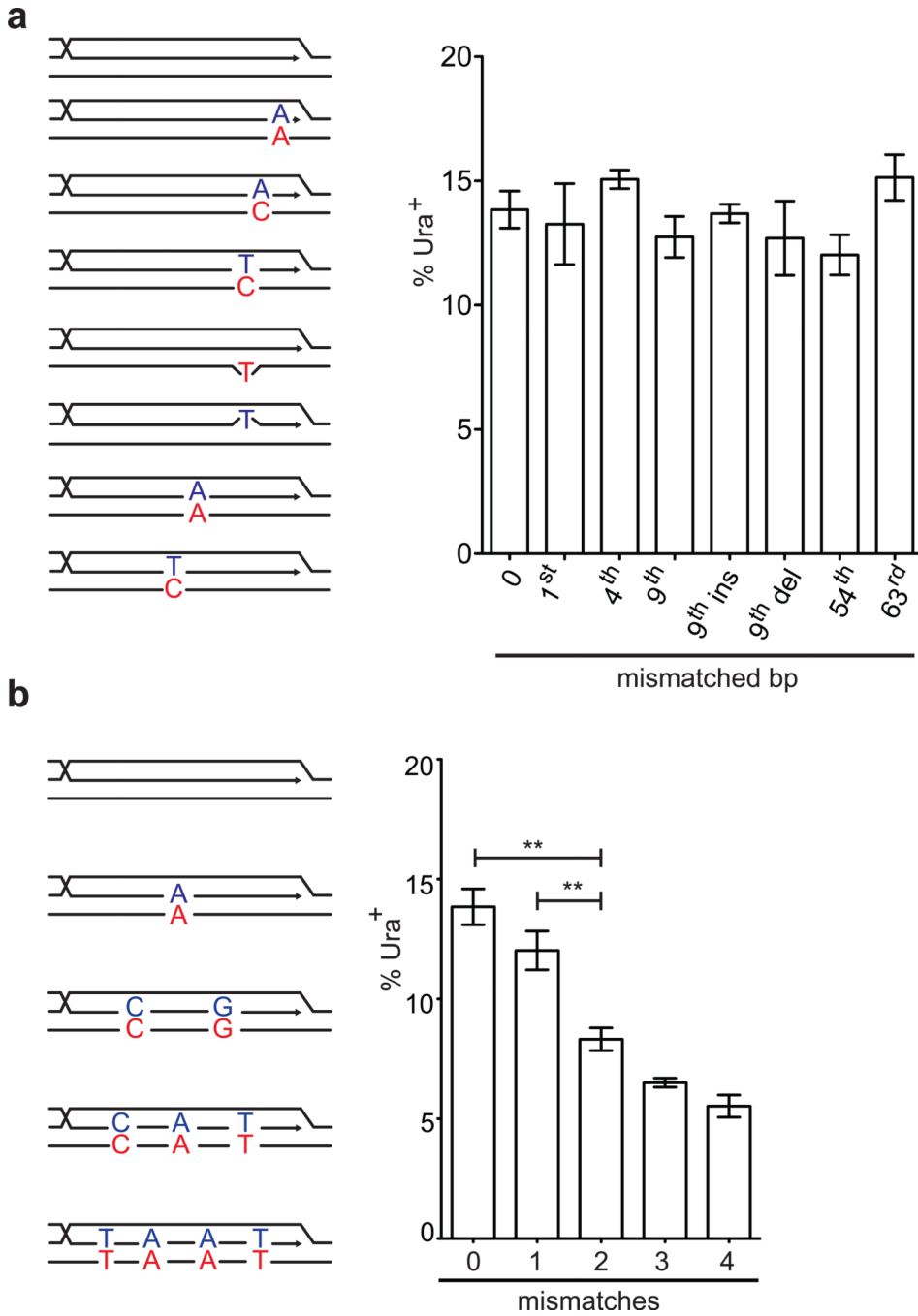
A nonhomologous tail also affects toleration of mismatches. In spontaneous recombination a single-bp mismatch decreased recombination efficiency by 4-fold<sup>20</sup>. However, in the BIR assay shown in Fig. 1, where HO cleavage does not produce a nonhomologous tail, a single mismatch had no effect, nor did deleting mismatch repair genes (Fig. 2b). However, when we used Cas9 endonuclease to cleave 34 bp away from the end of the 108-bp region, a single mismatch now caused a more than 2-fold reduction in BIR (Fig. 2c). Thus the presence of a nonhomologous 3' tail promotes strong heteroduplex rejection. Moreover, deleting *MSH2* improved recombination, even when there were no mismatches, as seen also in spontaneous recombination<sup>20</sup>. We conclude that a nonhomologous tail at the 3' end during strand invasion 1) is a major impediment to recombination and 2) is a confounding variable when examining recombination between mismatched substrates.

We next examined how mismatches were corrected in the strand invasion heteroduplex (Fig. 3a and Extended Data Fig. 6) by determining chimeric junction sequences of Ura3<sup>+</sup> recombinants. With substrates containing a single mismatch, there is a clear gradient of correction, with mismatches close to the 3' end almost always repaired in favor of the donor sequence (Fig. 3b). Donor sequences remained unchanged (data not shown). Correction at the 9<sup>th</sup> nt was >80% whether there was a single bp mismatch or a single nucleotide insertion or deletion. Mismatches at the 54<sup>th</sup> or the 63<sup>rd</sup> position were uncorrected, despite the fact that efficient recombination depends on homology extending >54 bp (Fig. 1b). The same gradient of correction was also seen for 2, 3 or 4 evenly-spaced mismatches (Fig. 3c). When every 9<sup>th</sup> bp was mismatched, including both transversion and transition mismatches or multiple adjacent mismatches (Fig. 3d-f), there is a clear polarity to mismatch correction, extending inwards about 50 nt. All mismatches 3' to a given corrected mismatch are corrected. While mismatches close to the invading terminus could create a short 3'-ended flap and might attract the 3' flap endonuclease Rad1/Rad10 to remove the non-homologous tail<sup>11,12</sup>, deleting Rad1 did not affect correction (Fig. 4b and Extended Data Table 1). Deleting mismatch repair genes also did not affect correction of a single base mismatch near the 3' end (Extended Data Table 1).

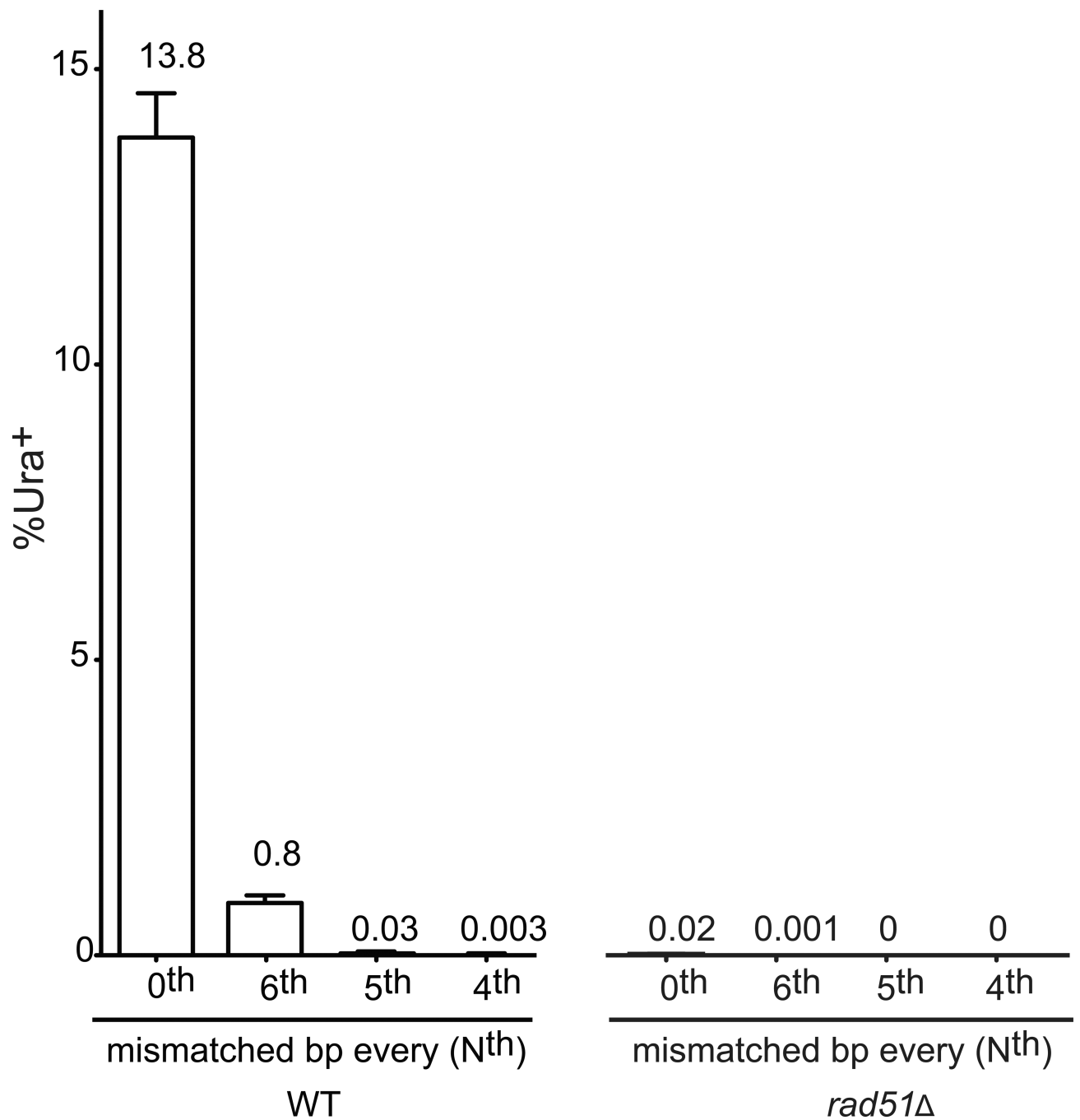
Nearly all correction was eliminated when the proofreading 3'-to-5' exonuclease activity<sup>21</sup> of DNA Pol $\delta$  was ablated (*pol3-01*) (Fig. 4b and Extended Data Table 1), suggesting that proofreading activity is responsible for correcting mismatches as far as 45 nt from the 3' end. *In vitro* studies have shown that 3' to 5' resection can degrade efficiently into a



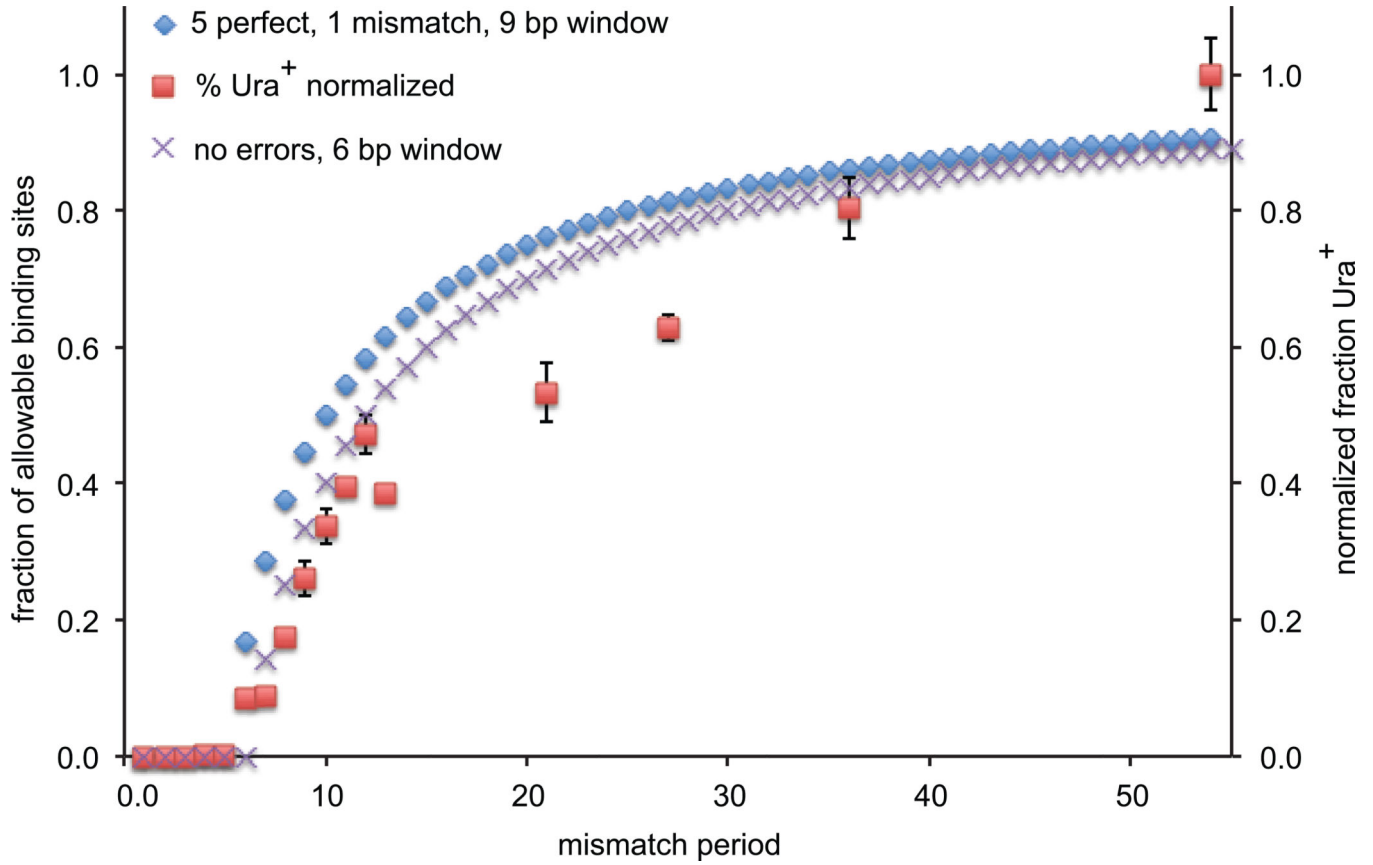
**Extended Data**



**Extended Data Figure 1.** Sensitivity of BIR to mismatches. **a**, Donors differing by a single bp. **b**, Donors containing 0, 1, 2, 3 or 4 mismatches. Experiments were independently repeated 3 times and averaged to arrive at experimental mean. Error bars represent s.e.m. Asterisks indicate  $p < 0.01$ , student's t test. Blue and red letters represent the mismatches in the recipient and donor.

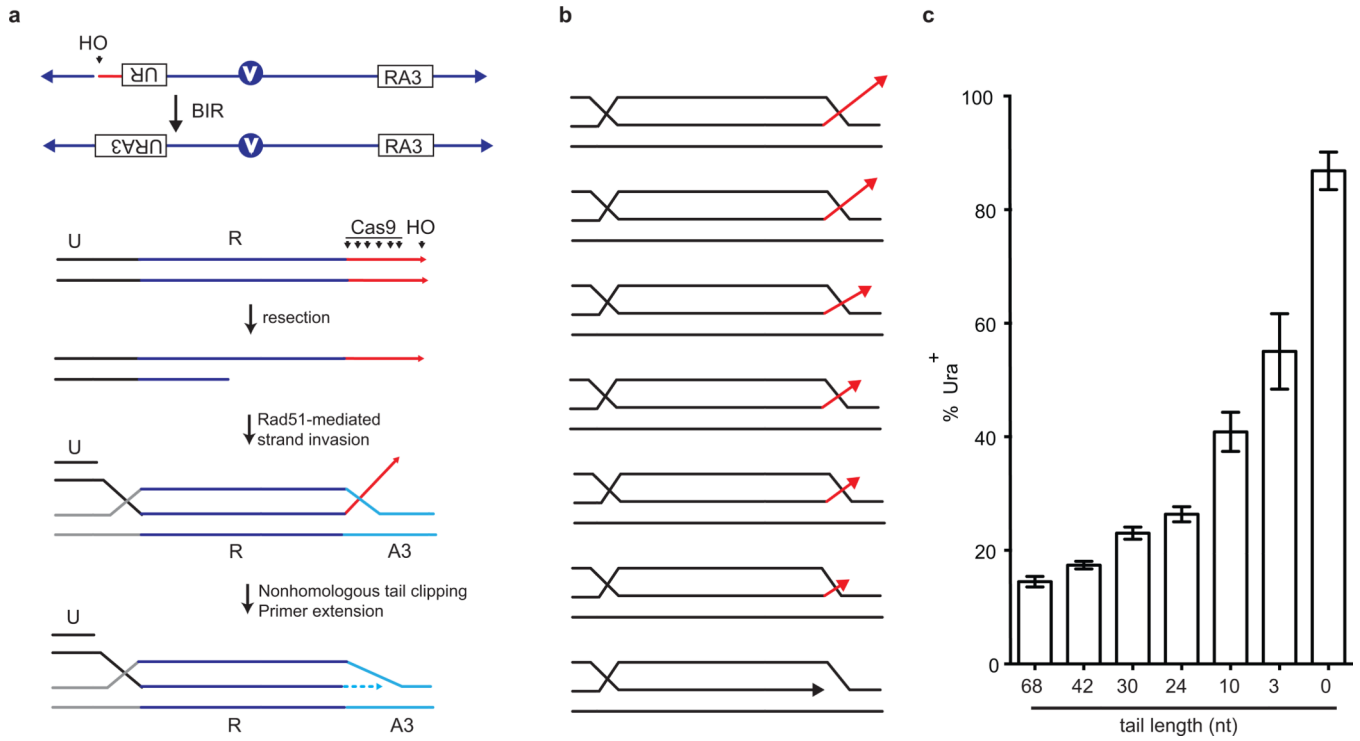
**Extended Data Figure 2.**

Sensitivity of BIR to mismatches. In the strains carrying mismatches at every 6<sup>th</sup>, 5<sup>th</sup> and 4<sup>th</sup> position, all of the residual recombinants were Rad51-dependent. BIR data for WT and *rad51* are shown. Mean of each of the experiments are shown at the top of the respective histogram. Error bars represent s.e.m based on a minimum of 3 independent experiments.



**Extended Data Figure 3.**

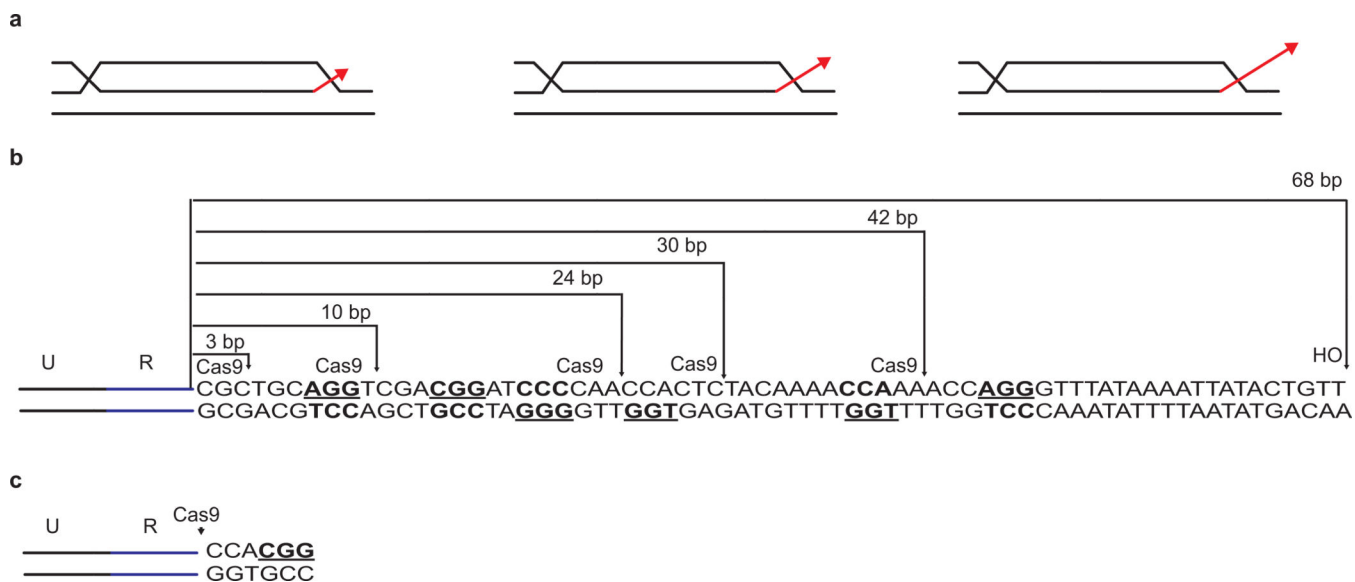
Theoretical modeling of recombination *in vivo*. Fraction of possible alignments of Rad51 multimers that meet the criteria for an initial, stable strand invasion between ssDNA and homeologous donor sequences. Blue symbols show a model in which 3 Rad51 monomers can bind if the first 5 sites are perfectly base-paired and the remaining 4 sites can tolerate a single mismatch, plotted for each possible donor with donors having uniformly spaced mismatches with 1 to 54 bp spacings, compared to the measured data (red symbols) derived from Fig. 1. Purple Xs show the expected fraction of possible alignments based on a dimer of Rad51 that must complete all 6 consecutive base pairs.



**Extended Data Figure 4.**

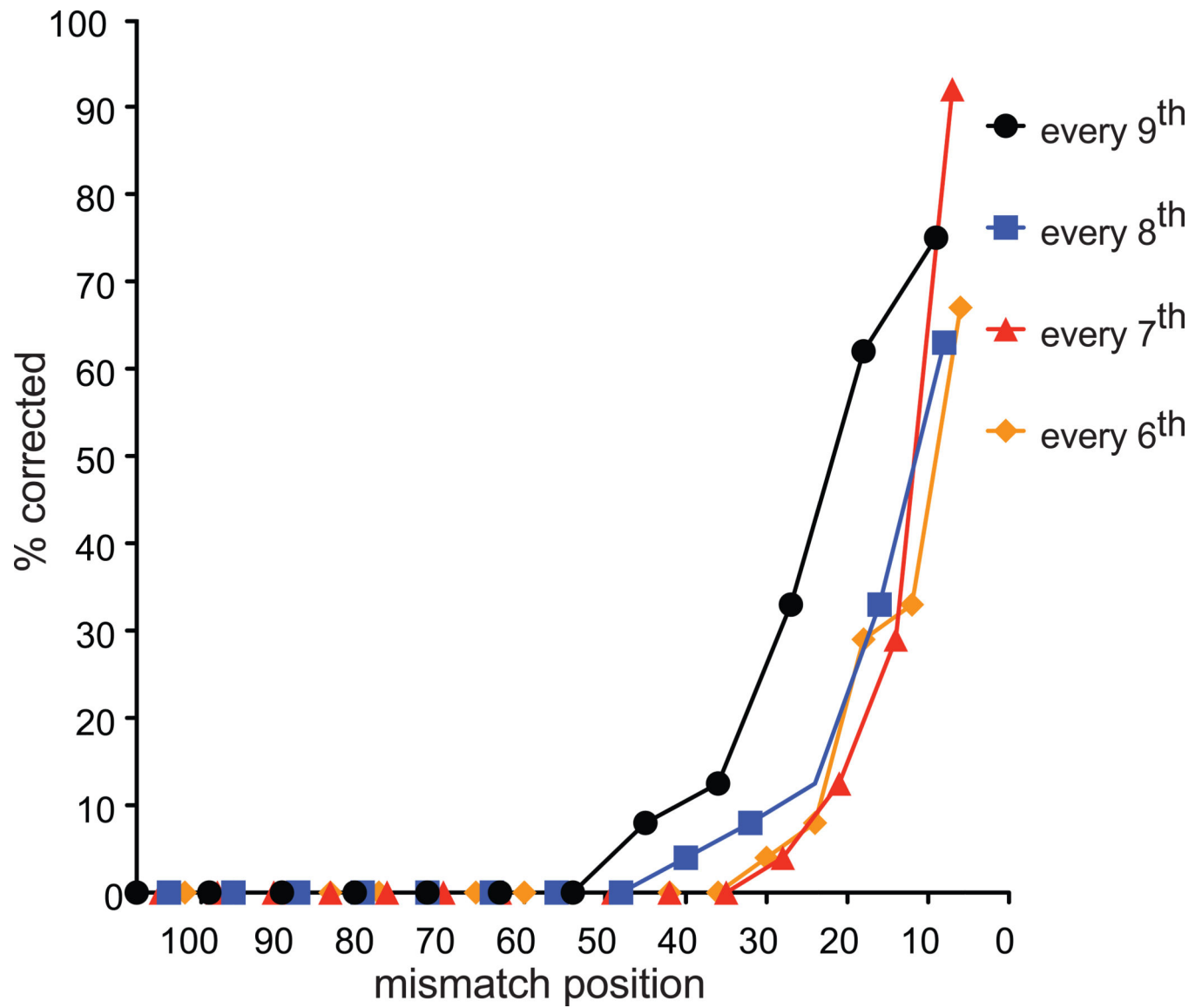
Presence of a nonhomologous tail affects recombination. **a**, Schematic of the chromosomal construct. A DSB is induced by the galactose-inducible HO endonuclease adjacent to the UR segment, located at the *CAN1* locus in a non-essential terminal region of chromosome 5 (Chr 5). This break can be repaired by a BIR mechanism using the donor sequences that share 300 bp of homology (R) located on the opposite arm of Chr 5 and situated about 30 kb proximal to the telomere. DSB induction by HO generates a 68-bp nonhomologous tail that is removed before primer extension by DNA polymerase. DSB induction by various Cas9 constructs generates 42, 30, 24, 10, 3 and 0 nt tails respectively. **b**, Invasion intermediates (D-loop) with or without a nonhomologous tail (red arrow). **c**, Influence of nonhomologous tail on BIR.





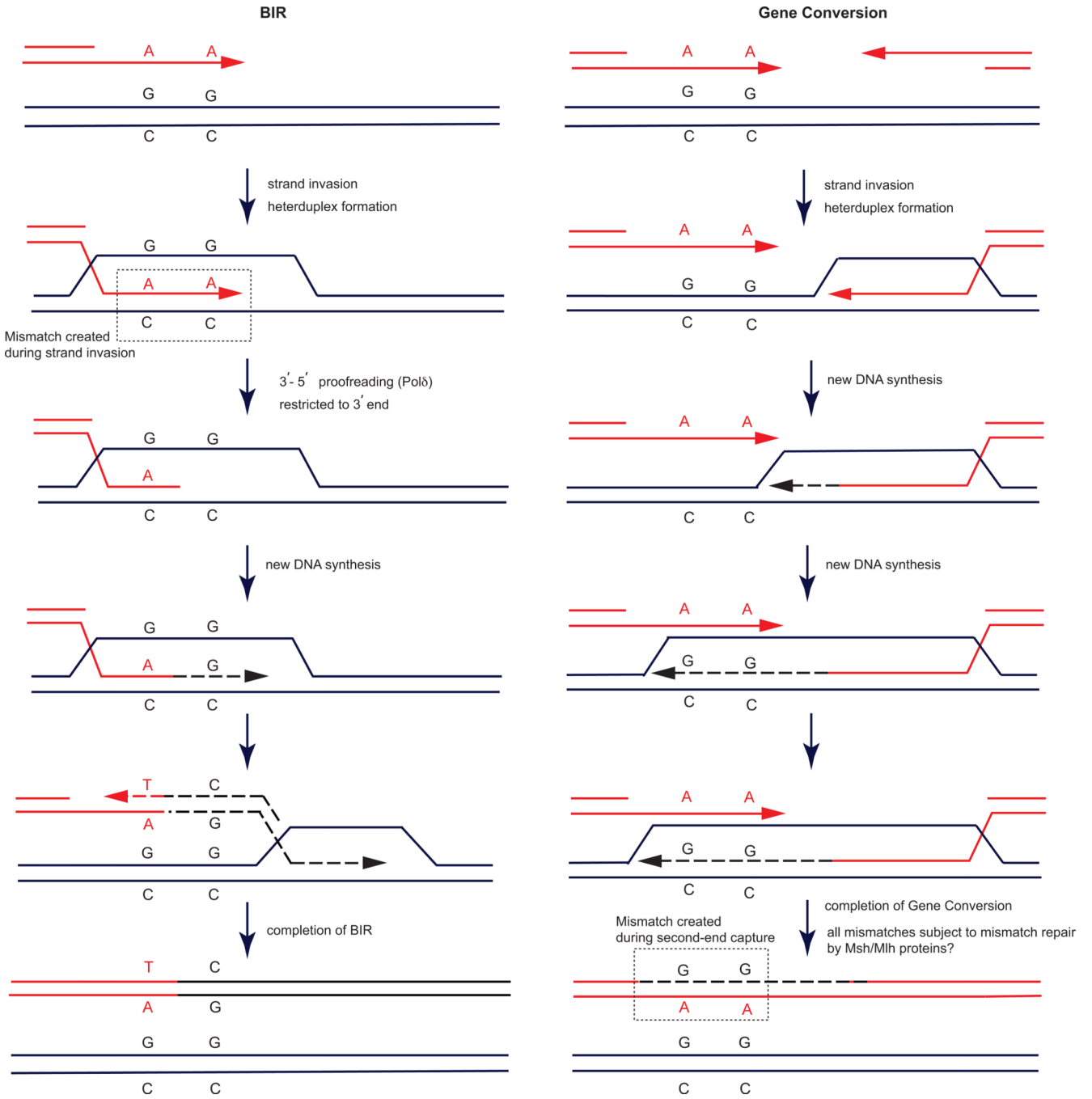
**Extended Data Figure 5.**

Nonhomologous tails generated by HO and Cas9 endonuclease. **a**, Schematic of the invasion intermediates (D-loop) with or without a nonhomologous tail (red arrow). **b**, To use pGAL1-Cas9, the HO cleavage site was first removed by selecting nonhomologous end-joining (NHEJ) survivors that had a 'CA' insertion at the HOcs after induction of pGAL1-HO endonuclease<sup>29</sup>. Such NHEJ survivors are immune to cutting by HO endonuclease. pGAL1-Cas9 constructs were then used to generate nonhomologous tails of various lengths. PAM sequences are in bold. **c**, For generating a 0-nt tail, a strain with CAACGG adjacent to the UR region was constructed that could be cut with Cas9. See Supplementary Table 1.



**Extended Data Figure 6.**

Mismatch correction of multiple, evenly-spaced mismatches. Donors differ at every 6<sup>th</sup>, 7<sup>th</sup>, 8<sup>th</sup> or 9<sup>th</sup> position. A minimum of 24 samples were sequence analyzed for each of the construct.



**Extended Data Figure 7.** Series of events that take place in BIR vs. GC. **a**, In BIR, we exclusively measure mismatch correction of heteroduplex DNA (dashed box) formed during the initial strand invasion. **b**, In GC, sequences copied from the donor by extending the invading strand may extend well beyond half the length of the homology on the second end. Annealing between this extended end and the resected second end (second-end capture) would result in heteroduplex DNA (dashed box). For simplicity, only 2 mismatches are shown. Mismatch correction in GC

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studies therefore could be a combination of correction in the context of invasion and second-end capture.

### Extended Data Table 1

Mismatch correction of a single bp mismatch in various repair defective mutants. Mismatch correction in the heteroduplex is primarily dependent on DNA polymerase  $\delta$ . For determining % correction, a minimum of 24 samples were sequence analyzed for each of the construct.

strain	WT	<i>msh2</i>	<i>msh6</i>	<i>mlh1</i>	<i>rad1</i>	<i>exo1</i>	<i>pol2-4</i>	<i>pol3-01</i>
% corrected	100	100	100	100	100	100	92	0

## Supplementary Material

Refer to Web version on PubMed Central for supplementary material.

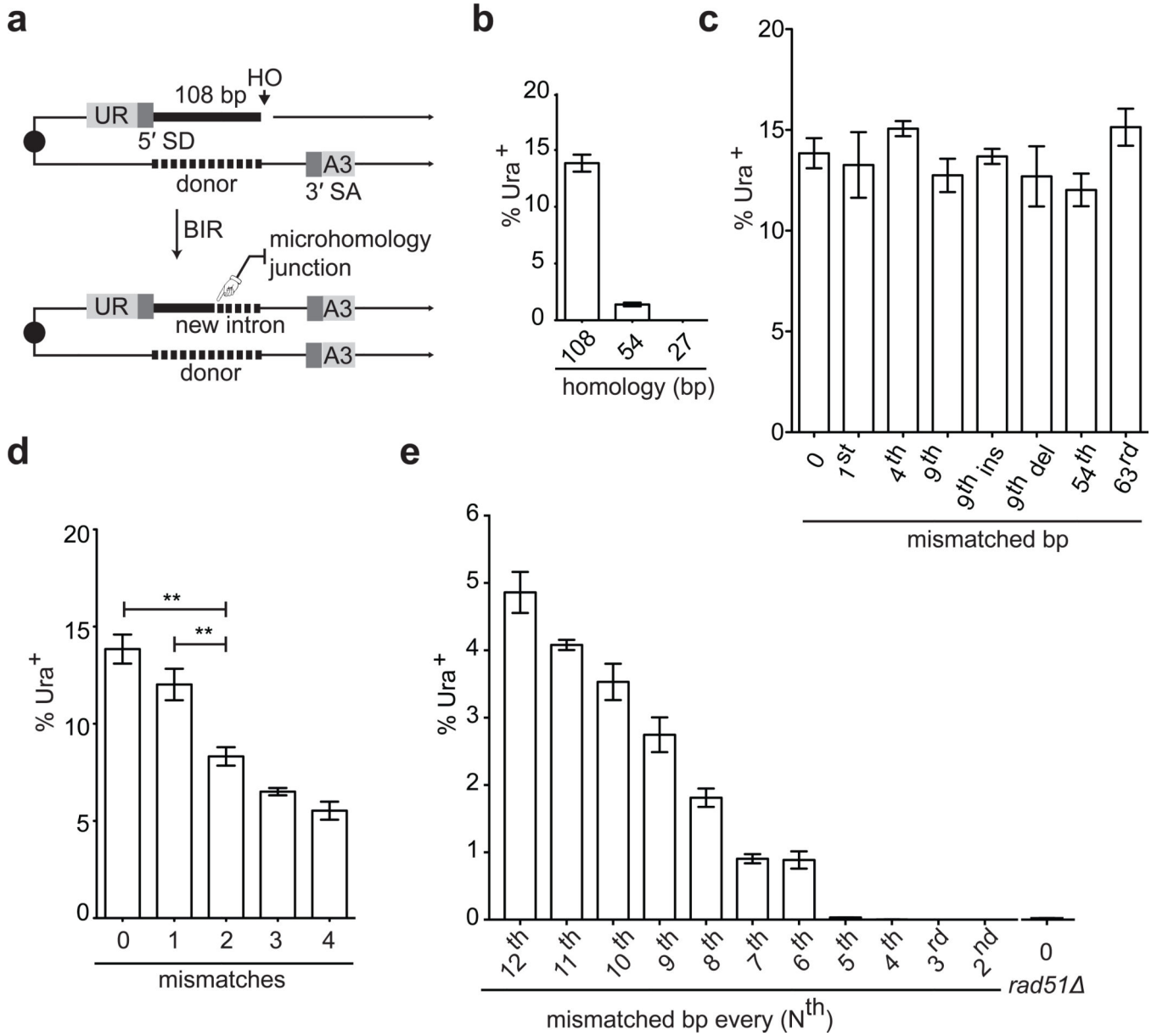
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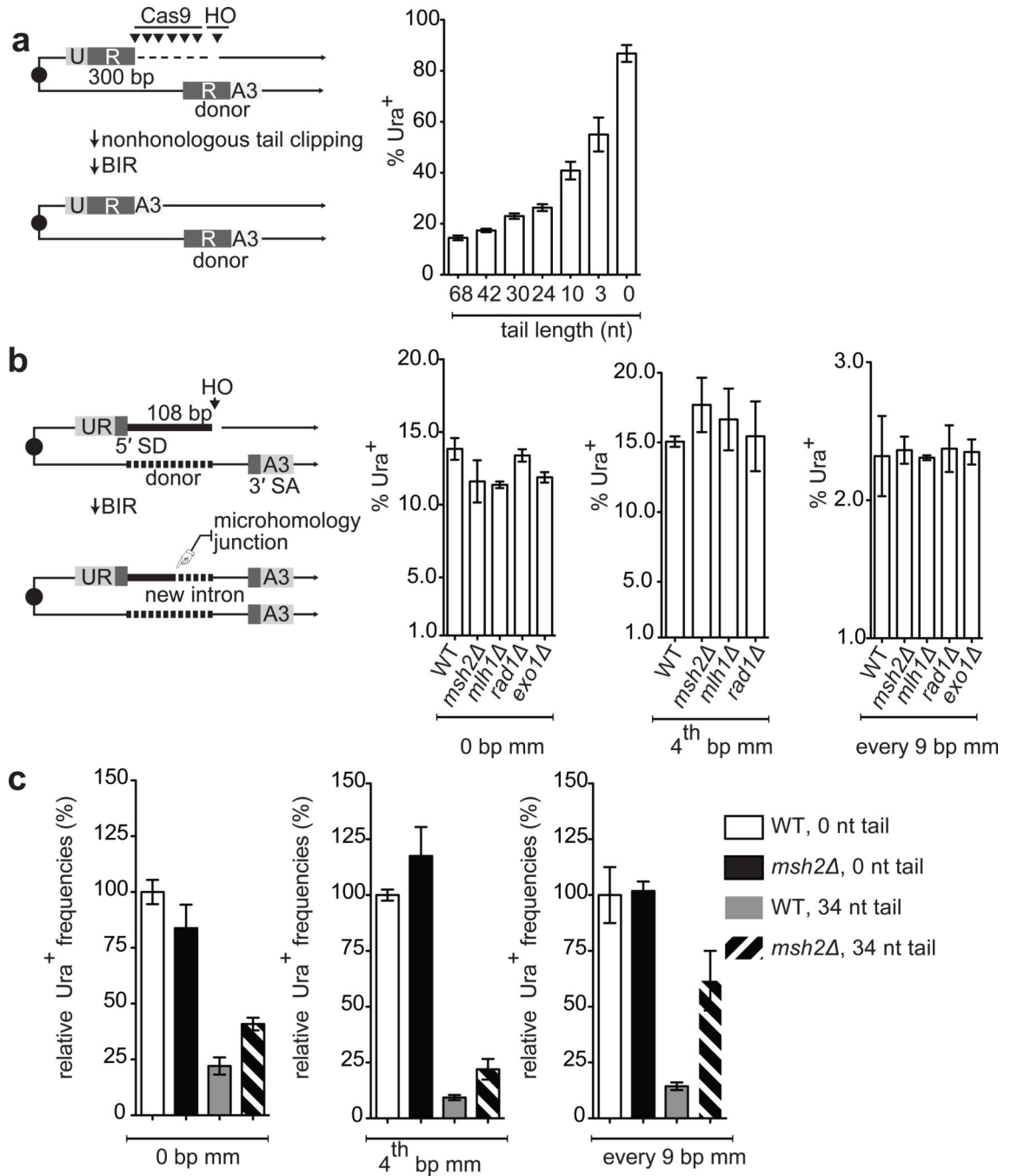
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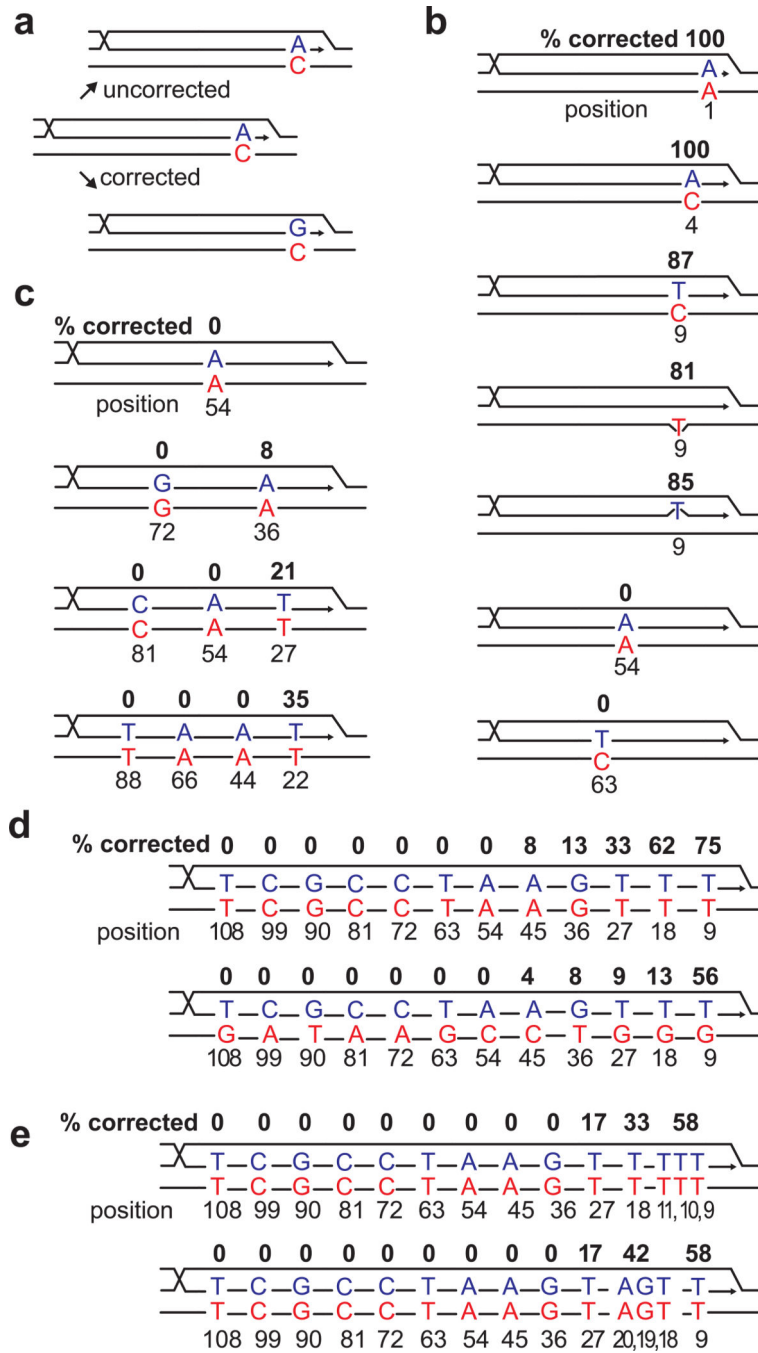
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**Fig. 1.** Stringency and sensitivity of Rad51-mediated recombination. **a**, A DSB is repaired by BIR using homologous or homeologous donor (108 bp). UR: first 400 bp of the *URA3* ORF. SD: splice donor. SA: splice acceptor. A3: the remaining 404 bp of the *URA3* ORF. **b**, 108, 54 and 27 bp donors. **c**, Donors differing by a single bp. **d**, Donors containing 0, 1, 2, 3 or 4 mismatches. **e**, Donors containing mismatches every 12<sup>th</sup>, 11<sup>th</sup>, etc. position. Error bars represent s.e.m. Asterisks indicate  $p < 0.01$ , student's t test.

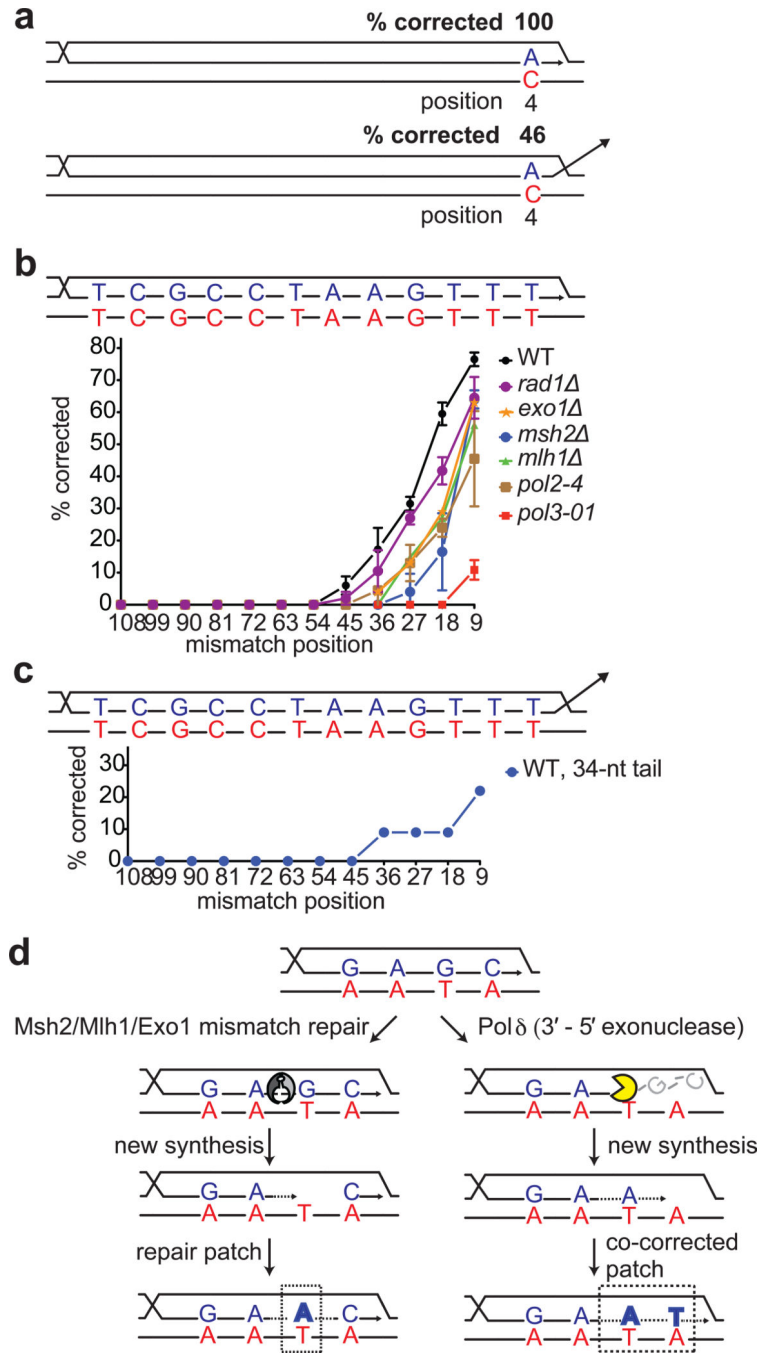


**Fig. 2.** Influence of nonhomologous tail on recombination. **a**, Efficiencies of BIR between ‘UR’ and ‘RA3’ in the presence of varying lengths of nonhomologous tail. UR and RA3 share 300 bp. HO endonuclease generates a 68 bp nonhomologous tail. Cas9 endonuclease generates 42, 30, 24, 10, 3 or 0 nt tails. **b**, Effect of deleting mismatch repair genes when there is no nonhomologous tail (108 bp donor). **c**, Effect of introducing a 34 bp nonhomologous tail with 108-bp donor (Fig. 2b) in the presence or absence of mismatches. Cas9 endonuclease was used to generate a 34 bp tail. Error bars represent s.e.m.



**Fig. 3.** Mismatch correction during BIR is dependent on the placement of the mismatch along the heteroduplex. **a**, Predicted sequence-changes in the recipient during BIR with or without correction. **b**, Correction of a single bp mismatch at different positions. **c**, Correction of 1, 2, 3 and 4 evenly-spaced mismatches. **d**, Correction of multiple, evenly-spaced mismatches. **e**, Correction of transversion (top) and transition (bottom) mismatches. **f**, Correction of clustered mismatches. Percent correction (%) and positional information of mismatches are indicated.





**Fig. 4.** Mismatch-correction in the heteroduplex. **a**, Effect of nonhomologous tail on correcting a single mismatch. **b**, Effect of mutations on correction of multiple mismatches. **c**, Effect of nonhomologous tail compared to panel **b**. **d**, Model depicting correction in the heteroduplex. Left panel: Mlh1/Pms1-mediated correction may result in a repair patch (dashed box) whereas mismatches closer to the 3' end are left unrepaired. No such instances were found.

Right panel: DNA polymerase  $\delta$ -mediated correction resulting in a co-corrected patch (dashed box). Predictions by each model are represented in bold letters.

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