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### Proteostasis control by the unfolded protein response

Claudio Hetz<sup>1,2,3,4</sup>, Eric Chevet<sup>5,6</sup>, and Scott A. Oakes<sup>7</sup>

<sup>1</sup>Biomedical Neuroscience Institute, Faculty of Medicine, University of Chile, Santiago, Chile

<sup>2</sup>Institute of Biomedical Sciences (ICBM), Program of Cellular and Molecular Biology, Center for Molecular Studies of the Cell, Santiago, Chile

<sup>3</sup>Neurounion Biomedical Foundation, CENPAR, Santiago, Chile

<sup>4</sup>Department of Immunology and Infectious Diseases, Harvard School of Public Health, Boston, USA

<sup>5</sup>ER440 "Oncogenesis, stress and signaling", University of Rennes 1, Rennes, France

<sup>6</sup>Centre Régional de Lutte Contre le Cancer Eugène Marquis, Rennes, France

<sup>7</sup>Department of Pathology, Diabetes Center, and Helen Diller Family Comprehensive Cancer Center, University of California, San Francisco, California, USA

### Abstract

Stress induced by accumulation of misfolded proteins in the endoplasmic reticulum (ER) is observed in many physiological and pathological conditions. To cope with ER stress, cells activate the unfolded protein response (UPR), a dynamic signaling network that orchestrates the recovery of homeostasis or triggers apoptosis, depending on the level of damage. Here we provide an overview of recent insights into the mechanisms cells employ to maintain proteostasis and how the UPR determines cell fate under ER stress.

> The endoplasmic reticulum (ER) orchestrates the synthesis, folding, and structural maturation of at least a third of all cellular proteins. Most proteins that are ultimately secreted or become resistant in the ER, Golgi apparatus, lysosomes, and plasma membrane are translated on ER membrane-associated ribosomes and transported into the ER lumen. As such, the ER is a key contributor to proteostasis -- a network of interconnected qualitycontrol processes in the cell that maintains the functional proteome<sup>1</sup>. Chaperones, oxidoreductases, and glycosylating enzymes ensure that secretory proteins are properly folded, modified, and assembled into multi-protein complexes in the ER before they traffic farther downstream in the secretory pathway. Despite the existence of these protein-folding machines, there is evidence to suggest that at least a third of all polypeptides translocated into the ER fail to satisfy the quality control mechanisms that ensure proper folding, and for some proteins the success rate is much lower<sup>2</sup>. Given that client proteins of the secretory pathway often carry out crucial signaling functions (e.g., polypeptide hormones, cell surface receptors), improperly folded forms are recognized and disposed of by stringent quality control systems such as ER-associated degradation (ERAD), which removes unfolded proteins to the cytosol for subsequent ubiquitinylation and degradation by the 26S proteasome. Moreover, inherited mutations in an individual protein can compromise its

folding efficiency and lead to disease from its deficiency. For example, mutations in the transmembrane conductance regulator (CFTR), an ion channel transporting chloride across epithelial tissues, disrupt its folding within the ER, leading to Cystic Fibrosis.

The protein-folding capacity within the ER greatly differs among cells types. Specialized secretory cells contain a large, well-developed ER. For example, each  $\beta$ cell of the endocrine pancreas is capable of synthesizing and secreting up to one million molecules of insulin per minute; in insulin-resistant states, this enormous protein synthetic load becomes even greater<sup>3</sup>. Regardless of the size of their ER, cells seem to perform near the functional limits of their secretory pathway capacity and often face conditions during which the load imposed on the ER protein-folding machinery overwhelms capability, a condition referred to as "ER stress." A wide range of cellular perturbations can induce ER stress, including hypoxia, nutrient deprivation, point mutations in secreted proteins that promote misfolding, redox changes, and loss of calcium homeostasis with harmful effects on ER resident calcium-dependent chaperones. Therefore, cells have evolved a robust surveillance system to respond to fluctuations of ER homeostasis before they become a threat to survival.

ER stress engages an adaptive signal transduction pathway called the unfolded protein response (UPR). However, irremediable ER stress turns signaling toward a "**terminal UPR**" that drives cells into apoptosis. Cell injury due to chronic ER stress is being increasingly recognized as a common contributor to a wide range of prevalent human diseases, including neurodegeneration, diabetes, cancer, stroke, pulmonary fibrosis, viral infection, inflammatory and metabolic disorders, and heart disease<sup>4</sup>. The shared thread among these seemingly dissimilar diseases is the presence of intracellular and/or extracellular conditions that compromise protein folding and cause ER stress. Here we discuss recent advances in our understanding of how the UPR network maintains proteostasis in healthy cells, but actively promotes cell loss when ER homeostasis cannot be restored.

#### Key players in the Unfolded Protein Response

The discovery of an ER stress-induced adaptive response in the yeast *S. cerevisiae* in the late 80's<sup>5–7</sup>, led to much speculation about a potential role for a UPR pathway in mammals. The field exploded with the discovery of the three main mammalian UPR sensors IRE1 $\alpha^8$ , PERK<sup>9</sup> and ATF6 $\alpha^{10}$  in 1993, 1998 and 1999, respectively. The signaling pathways activated downstream of these sensors constitute an adaptive response to allow cells to cope with protein misfolding by temporarily reducing *de novo* protein synthesis, and improving the folding and clearance capacity of the ER<sup>11</sup>. However, if these adaptive measures are inadequate to resolve ER stress, the mammalian UPR shifts signals toward the engagement of apoptosis<sup>12</sup>. In this section we discuss the main signaling mechanisms involved in the UPR and the downstream consequences in terms of the cellular processes affected.

Detection of misfolded protein species by the three UPR sensors mentioned above has been shown to be partly dependent on BiP/GRP78, a key ER chaperone. Under basal conditions, BiP constitutively binds to the luminal domains of the three sensors thus preventing their activation. However, when misfolded proteins accumulate in the ER, BiP strongly binds to their exposed hydrophobic domains, and dissociates from the UPR sensors, thereby priming

IRE1a and PERK for oligomerization and auto-transphosphorylation<sup>13</sup> and revealing an ER export motif in ATF6a<sup>14</sup>. BiP binding to UPR sensors was recently shown to be independent of its chaperon activity, suggesting an allosteric regulation<sup>15</sup>. The analysis of the ER luminal structure of IRE1p in *S. cerevisiae* revealed an MHC-like structure<sup>16</sup> that appears to operate as a direct sensing motif that binds to hydrophobic domains of unfolded proteins <sup>17</sup>. A similar model has been proposed for IRE1β<sup>18</sup>, but may not operate for IRE1a based on structural and *in vitro* analysis<sup>15, 19–21</sup>.

Stress signaling leads to selective activation of downstream cascades (Figure 1), in which active PERK phosphorylates the translation initiation factor eIF2a, leading to attenuation of global protein synthesis<sup>22, 23</sup>. Inhibition of eIF2a allows the selective expression of ATF4, a transcription factor controlling genes involved in protein folding, antioxidant responses, autophagy, amino acid metabolism and apoptosis<sup>24, 25</sup>. Moreover, active PERK phosphorylates and activates NRF2, a transcription factor involved in the control of the antioxidant pathway<sup>26–28</sup>. Finally, ATF6a belongs to a family of transmembrane transcription factors of about 10 members that function in different ways as stress response elements<sup>29</sup>. Following ER stress, ATF6a is exported from the ER<sup>10</sup> and activated in the Golgi complex through cleavage by the proteases S1P and S2P<sup>11</sup>. The ATF6a cytosolic domain (ATF6f) then translocates to the nucleus where it activates specific transcriptional programs that promote adaptation, including upregulation of ERAD components<sup>30</sup>.

The most striking advances have been recently made in understanding IRE1a signaling. IRE1a is an ER transmembrane protein with kinase and endoribonuclease (RNase) activities associated with its cytoplasmic tail. In response to luminal activation, IRE1a dimerizes and trans-autophosphorylates, inducing a conformational change that activates the RNase domain. IRE1a's RNase catalyzes the excision of a 26-nucleotide intron within the XBP1 mRNA, shifting the reading frame to translate a stable and active transcription factor known as XBP1s<sup>31-34</sup>. XBP1s controls genes involved in protein folding, secretion, ERAD and lipid synthesis<sup>35–37</sup>. In addition, XBP1s may heterodimerize with ATF6f to control distinct gene expression patterns<sup>38</sup>. The unspliced XBP1u protein has been suggested to have a regulatory activity in the efficient delivery of its mRNA to the ER for processing<sup>39, 40</sup>, in addition to controlling the degradation of XBP1s<sup>41, 42</sup>. IRE1a activity is involved in the degradation of RNAs (known as Regulated IRE1-dependent decay or RIDD<sup>43</sup>), including ER-localized mRNAs<sup>44-46</sup>, ribosomal RNA<sup>47</sup> and microRNAs<sup>48, 49</sup>. New insights into the mechanisms regulating IRE1a's switch from XBP1 splicing to RIDD activities have been recently reported. IRE1a's RNase substrate specificity can be controlled by its oligomeric state<sup>50</sup>. When IRE1a exists in lower order oligomers (e.g., dimer/tetramer), its RNase activity is largely restricted to XBP1 splicing. However, under high or chronic ER stress, IRE1a surpasses an oligomerization threshold that expands its RNase substrate repertoire to many ER-localized mRNAs, leading to RIDD. In contrast to this view, a recent study suggested that XBP1 splicing requires obligate IRE1a oligomers, but that IRE1a dimers suffice for RIDD<sup>51</sup>. Of note, this later report was largely restricted to *in vitro* analyses of IRE1a activity under saturating protein concentrations, which do not mimic the continuum of IRE1a oligomerization states that likely exist in vivo. However, the latter model was also supported in cell-based systems in which peptide-induced IRE1 high order oligomers correlated with enhanced IRE1-mediated XBP1 mRNA splicing and reduced RIDD<sup>52</sup>.

Furthermore, IRE1a RNase activity was also linked to its phosphorylation status with key residues involved (i.e., Ser724); other phosphorylation sites mediate its inactivation in yeast<sup>53, 54</sup>, whereas the remaining identified phosphorylation sites have not yet been functionally tested<sup>55</sup>. Very recently, the missing link in IRE1a-mediated XBP1 mRNA splicing was uncovered. While the *S cerevisiae* ligase responsible for HAC1 mRNA (the yeast equivalent of XBP1) ligation was identified in 2008<sup>56</sup>, the mammalian counterpart had remained unknown. Four studies have now uncovered the nature of the ligase as the tRNA ligase RtcB<sup>57–60</sup> and demonstrated its physiological role in plasma cell differentiation<sup>57</sup>. Altogether, these discoveries shed light on the activation and signaling mechanisms of the three UPR sensors and on the biological networks that could be involved in their regulation.

The main biological consequences of UPR activation are the recovery of ER proteostasis (adaptive response) and the induction of a terminal UPR (apoptotic phase). One of the first responses to ER homeostasis imbalance involves global translational attenuation to reduce entry of newly synthesized proteins into the ER. This is in part achieved through PERKmediated phosphorylation of eIF2a, but also through RIDD of mRNAs encoding secretory proteins or ribosomal RNAs. Recently, a general mechanism leading to translation shutdown was uncovered that results in massive detachment of translating ribosomes from the ER membrane upon ER stress<sup>61</sup>. Another safeguard set in place by the ER to alleviate stress involves an increased clearance of misfolded proteins. Although this has been shown to be controlled by ERAD and autophagy <sup>62, 63</sup>, a recent study has demonstrated that misfolded GPI-anchored proteins can escape ER retention and translocate to the plasma membrane where they are then degraded by the lysosomal pathway<sup>64</sup>. Finally, in order to re-establish homeostasis within the ER lumen, ER stress also leads to the activation of amino-acid metabolism and improvement of antioxidant responses, in addition to the reinforcement of folding and quality control mechanisms<sup>65</sup>. Altogether, these events reduce the misfolding burden on the ER thereby allowing the organelle to recover homeostasis.

### Cell death control under ER stress

When the buffering capacity of the UPR proves inadequate to restore ER proteostasis, the pathway alternates its signaling towards a terminal UPR<sup>66</sup>. Whereas the molecular details are still being solved and may differ depending on cell type, accumulating evidence suggests that a distinct program of pro-apoptotic signals activate the cell death machinery if ER stress cannot be remedied (Figure 2)<sup>12, 67</sup>. For example, while a temporary halt in protein translation due to eIF2a phosphorylation can be advantageous for cells under ER stress, a prolonged block in translation from sustained PERK activation is incompatible with survival. Under chronic ER stress, sustained PERK activation upregulates the transcription factor CHOP/GADD153, which inhibits expression of the anti-apoptotic BCL-2 to promote cell death<sup>68, 69</sup>. Moreover, it has been shown that CHOP and ATF4 cooperate to transcriptionally activate targets that enhance protein synthesis, and contribute to cell death through ROS production and ATP depletion<sup>70</sup>. A recent report also suggests that PERK activation increases expression of death receptor 5 (DR5) to trigger caspase-8 induced cell death<sup>71</sup>.

As mentioned above, chronic ER stress causes IRE1a to transition from a homodimeric state into higher order oligomeric structures, which appear to be the critical step in switching to its apoptotic program<sup>50</sup>. Sustained RIDD activity might deplete protein-folding components to further worsen ER stress<sup>46</sup>. IRE1a also induces the activation of a number of proinflammatory and pro-death proteins. For example, the RNase activity of IRE1a decreases the levels of select microRNAs that normally suppress pro-apoptotic targets such as prooxidant protein TXNIP (thioredoxin-interacting protein) and caspase-2, leading to their upregulation<sup>48, 49</sup>. Increased TXNIP then activates the inflammasome and caspase-1<sup>48</sup>. Finally, under sustained engagement, IRE1a assembles into an activation platform for apoptosis signal-regulating kinase 1 (ASK1) and its target c-Jun NH<sub>2</sub>-terminal kinase (JNK)<sup>72, 73</sup>.

Many of the pro-death signals emerging from UPR sensors ultimately regulate the canonical mitochondrial apoptotic pathway. This pathway is initiated when pro-apoptotic mitochondrial proteins, such as cytochrome *c*, are actively released into the cytoplasm to triggerthe proteolytic activation of effector caspases such as caspase-3. Pro- and anti- death proteins of the large BCL-2 family govern the intrinsic apoptotic pathway by regulating the permeability of the outer mitochondrial membrane<sup>74</sup>. This pathway is set in motion when cell injury is sensed and leads to the transcriptional upregulation and/or post-translational activation of one or more BH3-only proteins, a group of pro-death proteins all containing an alpha helix known as the BCL-2 homology 3 (BH3) domain that is necessary for their killing activity<sup>74, 75</sup>. Once activated, BH3-only proteins bind to and neutralize mitochondrial-protecting proteins (e.g., BCL-2, BCL-X<sub>L</sub>, MCL-1) and in some cases directly engage pro-apoptotic BAX and BAK proteins, causing their homo-oligomerization and resultant permeabilization of the outer mitochondrial membrane.

The terminal UPR activates at least four distinct BH3-only proteins (BID, BIM, NOXA, PUMA) that then signal mitochondrial apoptosis <sup>66</sup>. In fact, BIM/PUMA/BID triple knockout cells are much more resistant to ER stress than cells deficient in any one BH3-only protein<sup>76</sup>, and resemble the phenotype of BAX/BAK double deficiency<sup>77</sup>. Each of of the BH3-only proteins seems to be activated by ER stress in a unique way<sup>66</sup>. However, it remains unclear if these BH3-only proteins are simultaneously engaged by all forms of severe ER stress or if only a subset can be activated under specific insults. Another group of ancient cell death regulators, known as the TMBIM or Bax-inhibitor 1 (BI-1) family<sup>78</sup> also has relevant activities against ER stress (i.e. BI-1/TMBIM6 and GRINA/TMBIM3), possibly through the modulation of ER calcium release by the IP<sub>3</sub> receptors<sup>79, 80</sup>. Although multiple mechanisms mediating ER stress-induced apoptosis are available, their individual impact on cell viability is partial, suggesting that combinatorial mechanisms or so called cell death networks control apoptosis when the ER is irreversibly damaged<sup>67</sup>.

#### Cell fate decisions under ER stress: fine tuning of the UPR

The UPR operates as a highly dynamic signaling network that integrates information about the intensity and duration of the stress stimuli and possibly the type of perturbation to the secretory pathway. Depending on the experimental system, distinct UPR signaling branches operate independently in terms of their activation, signaling kinetics and downstream

cellular outputs. In this section, we discuss recent advances in defining how the UPR is finetuned, and its impact on determining the transition from adaptive to pro-apoptotic programs.

Analysis of the signaling kinetics of the three main UPR sensors has revealed that the temporal activation of each branch can vary depending on the nature of the pharmacological stressor used to perturb ER function<sup>81, 82</sup>. Moreover, ATF6a can be selectively activated by the overload of the ER lumen with proteins<sup>83</sup>, and its activity is also specifically modulated by its N-glycosylation state and the redox status of the ER<sup>84, 85</sup>. In addition, depending on the intensity of the stress, the signaling outputs of the UPR differ. Indeed low concentrations of ER stress agents engage all UPR signaling branches to initiate adaptive outputs, whereas high levels of ER stress results in apoptotic signals<sup>86</sup>. This is consistent with the observation that certain specialized secretory cells, such as pancreatic  $\beta$ -cells and B lymphocytes, are normally able to function under sustained levels of physiological ER stress without apoptosis induction<sup>87</sup>.

The differential modulation of UPR signaling may underlay the mechanism behind the integration of global responses toward proteostasis recovery and the threshold to induce apoptosis. Despite the fact that the ER-sensing domains of IRE1a and PERK have similar primary structure, and they are functionally interchangeable<sup>88</sup>, the temporal pattern of their signaling drastically differs depending on the experimental setting. Under prolonged ER stress, XBP1 mRNA splicing is diminished, whereas PERK signaling is maintained leading to the expression of downstream proapoptotic components. This may contribute to apoptosis also by attenuating the survival effects of XBP1s, sensitizing cells to ER stress. Besides, PERK-induced CHOP expression could also upregulate GADD34, a component of the eIF2a phosphatase complex that reverts translational inhibition, triggering oxidative stress and proteotoxicity<sup>69, 89</sup>. In other experimental settings, the sustained activation of IRE1a occurs under high ER stress to trigger cell death<sup>43, 46, 50</sup>. Using single cell imaging, a recent report also suggested that the relative kinetics of PERK and IRE1a signaling determines the induction of apoptosis rather than a switch between both branches<sup>90</sup>. These observations emphasize the highly dynamic and complex nature of the UPR regulatory network.

Given that the ER sensing domains of IRE1a and PERK are similar, the temporal behavior of UPR signaling may be in part mediated by intrinsic conformational changes of the sensors, post-translational modifications and/or the binding to specific positive and negative regulators (Figure 3). Most of the studies addressing the molecular basis of fine-tuning UPR activity have been performed with IRE1a. The concept of the *UPRosome* envisions IRE1a as a scaffold where many components assemble to selectively regulate its activity (amplitude and kinetics) and the control of specific downstream signaling outputs (Figure 3A)<sup>91</sup>. IRE1a activation and inactivation are instigated by a direct binding of several factors to the UPRosome that modulate the amplitude of UPR responses without affecting PERK<sup>92</sup>. Interestingly, many regulators of IRE1a have relevant roles in apoptosis<sup>93</sup>, including members of the BCL-2 family such as BAX, BAK<sup>94</sup>, some BH3-only proteins<sup>95</sup>, components of the MAP kinase pathway<sup>96–98</sup>, and its negative regulator BI-1<sup>99, 100</sup>. Although the list of IRE1-binding partners is increasing and a few screens have been recently reported, systematic interactome studies are still missing. Here we highlight several recent discoveries of interacting proteins that modulate the sustained signaling of IRE1a

under prolonged ER stress. N-MYC Interactor (NMI) protein is an IRE1 $\alpha$ -binding partner identified through an interactome screen, which selectively enhanced JNK activation and apoptosis, but does not affect XBP1 mRNA splicing<sup>101</sup>. Another proteomic screen also found non-muscle myosin heavy chain IIB (NMIIB), and possibly actin cytoskeleton, as a specific regulator of IRE1 $\alpha$  clustering, without affecting its dimerization and phosphorylation process<sup>102</sup>; a mechanism validated also in yeast<sup>103</sup>. Furthermore, specific protein complexes may be assembled at the level of the IRE1 $\alpha$  ER luminal domain. BiP has been known for more than a decade to operate as a negative regulator of IRE1 $\alpha$ <sup>13</sup>. The disulfide isomerase PDIA6 was recently shown to control activation and/or inactivation of IRE1 $\alpha$  signaling through a direct binding<sup>104, 105</sup>. Hence, IRE1 $\alpha$  signaling is fine-tuned by the assembly of distinct signaling complexes at the level of its cytosolic and ER-luminal regions.

Recent discoveries also indicate that PERK and ATF6 are regulated through the binding of specific factors (Figure 3A and B). For example, the kinase activity of PERK is selectively reduced by the binding of p58IPK<sup>106</sup>, a regulation antagonized by a cytosolic variant of BiP known as GRP78va<sup>107</sup>. An interactome analysis recently identified transducin (beta)-like 2 (TBL2) as a protein that associates with phosphorylated PERK under stress conditions, determining optimal signaling to drive ATF4 expression and stress mitigation<sup>108</sup>. The small GTPase Rheb was also recently shown to bind and activate PERK, repressing protein translation<sup>109</sup>. Finally, PDIA6 also binds to PERK and negatively modulates the decay of its signaling<sup>104</sup>. In the case of ATF6a, a few direct regulators have been reported such as Wolfram syndrome 1 (WFS1), which mediates the degradation of ATF6 by the proteasome<sup>110</sup>. Since the reduction of intra- and inter-luminal disulfide bonds in ATF6a. modulates its translocation to the Golgi, a cell-based RNAi screen was performed to target most PDIs and ER oxidoreductases<sup>111</sup>. This study identified PDIA5 as a selective and critical regulator of ATF6a activation. Overall, these novel studies highlight the concept that all UPR branches are modulated by protein-protein interactions through the assembly of dynamic complexes or UPRosomes that control the amplitude and temporal behavior of their signaling.

Although less explored, the UPR is also fine-tuned by post-translational modifications at the level of ER sensors and transcription factors. In the context of glucose metabolism, IRE1a function is directly instigated through the phosphorylation of Ser724 by PKA<sup>112</sup>. IRE1a phosphorylation is attenuated by different phosphatases, including PP2A<sup>113</sup> and PPM1<sup>114, 115</sup>, which were identified through proteomic screens. Protein Tyrosine Phosphatase-1B (PTP-1B) also has a selective effect on bursting IRE1a signaling<sup>116</sup>. Moreover, ADP-ribosylation of PERK and IRE1a enhances their activities<sup>117</sup>, and IRE1a ubiquitination is mediated by the E3 ligase CHIP increases JNK signaling without affecting XBP1 mRNA splicing<sup>118</sup>. p38MAPK also phosphorylates ATF6a.<sup>119</sup> and XBP1s<sup>120</sup>, having a positive effect on gene expression. XBP1s activity is also modulated by acetylation and sumoylation<sup>121, 122</sup>. Moreover, the association of ATF6f, ATF4 and XBP1s with other transcriptional patterns<sup>92, 123</sup>. In agreement with this concept, genome-wide transcriptional patterns<sup>92, 123</sup>. In agreement with this concept, genome-wide transcriptional postier effect that the genetic background highly influences the pattern of gene regulation<sup>124, 125</sup>. Finally, Nonsense Mediated RNA Decay also determines the

threshold of stress necessary to activate the UPR<sup>126,127</sup>, in addition to adjusting the amplitude of downstream responses and the termination phase by controlling the mRNA stability of UPR components<sup>128</sup>, highlighting the dynamic crosstalk between mRNA metabolism and the proteostasis network. These examples illustrate that the strength and temporal activation/inactivation of each UPR signaling arm is independently regulated at different stages depending on the stress condition and the tissue/cell type affected.

Although our understanding of how the UPR network is regulated is constantly growing, the mechanisms underlying the integration of the stress intensity and its duration are not well described. It is becoming clear that the assembly of UPRosome regulatory complexes determines the threshold of activation of UPR sensors and modulates the final outcome of the pathway. One of the major limitations in the field is the fact that most studies use acute stresses that irreversibly damage the ER, leading to apoptosis. These "non-physiological" conditions normally trigger the upregulation of pro-survival and pro-apoptotic components with virtually similar kinetics. Importantly, a new approach was recently developed to model "resolvable" ER stress, leading to full activation of the adaptive UPR in the absence of apoptosis features<sup>129</sup>. Using this approach, a novel signaling crosstalk was identified that mediates adaptation to ER stress involving estrogen signaling<sup>129</sup>. We believe these technological advances will enable the examination of cell fate mechanisms under ER stress in a tractable system.

#### Novel functions of the UPR

Secreted factors can control extracellular proteostasis. There is a continuum between the ER lumen and the extracellular space; and stressed cells have developed ways to control their microenvironment by secreting key enzymes. The most recent example of this mechanism is illustrated by the secretion of ERdj3, which extracellularly binds misfolded proteins, inhibits their aggregation, and attenuates proteotoxicity of disease-associated prion protein<sup>130</sup>. Similarly, enforced expression of XBP1s or ATF6a in a stress-independent manner was recently shown to reduce extracellular aggregation of amyloidogenic immunoglobulin variants<sup>131</sup>. Other chaperones of the GRP and PDI family are also secreted through a regulation dependent on ER stress<sup>132</sup>. This phenomenon is also observed with redox proteins such as QSOX1, which controls the structure of the extracellular matrix<sup>133</sup> most likely in conjunction with secreted PDI family members such as ERp57<sup>134</sup>.

Stress-independent functions of the UPR have been identified. The crosstalk between plasma membrane signaling and the ER is illustrated by cell surface receptors and UPR components (Figure 4A). In macrophages, optimal secretion of pro-inflammatory cytokines by Toll-like receptor (TLR) is mediated by XBP1, where TLR stimulation engages IRE1a in the absence of global ER stress markers, possibly mediated by NADPH oxidase 2 signaling<sup>135</sup>. Moreover, TLR signaling may even signal to the UPR under ER stress to repress ATF4/ CHOP expression<sup>136, 137</sup>. Similarly, the well-known function of XBP1s in plasma cell differentiation<sup>138</sup> has been suggested to occur in a stress-independent manner initiated by the signaling of B cell receptor modulating cell differentiation programs<sup>139</sup>. In agreement with this concept, XBP1 modulates the activity of MIST1, a master regulator of cell differentiation in different cell types<sup>140</sup>. IRE1a has been also proposed to monitor

fluctuation in glucose levels in the absence of ER stress, mediated by its phosphorylation independent of the release of BiP from the luminal domain<sup>113, 141</sup>. Similarly, CD40 signaling increases XBP1 mRNA splicing and thus protects the secretory pathway of hepatocytes from ER stress induced by either tunicamycin or oleic acid<sup>142</sup>. In endothelial cells, VEGF was recently shown to activate all UPR mediators via signaling through a PLC $\gamma$ -mediated crosstalk with mTORC1 in an ER stress-independent manner, contributing to endothelial cell survival and angiogenesis<sup>143</sup>. Thus, UPR signaling modules may have important cellular functions unrelated to ER stress.

Functional and physical connections between the ER and mitochondria have long been described in the literature, especially in the field of apoptosis and in mitochondrial dynamics. PERK is located at mitochondrial associated membranes (MAMs), and is required to maintain the ER-mitochondria juxtapositions and contributes to ROS production to engage mitochondrial-dependent apoptosis<sup>144, 145</sup> (Figure 4B). These observations were associated with a physical interaction between PERK and the ER tethering protein mitofusin 2<sup>145</sup>. Interestingly, mitofusin 2 deficiency leads to chronic ER stress<sup>146</sup>. IRE1a has been also detected in MAMs<sup>147</sup>, and a recent study suggested that IRE1a might contribute to apoptosis by modulating calcium transfer and ROS production by the mitochondrial calcium overload and compromised mitochondrial oxidative capacity<sup>149</sup>. Whether the localization of UPR stress sensors at MAMs affects mitochondrial bioenergetics and its dynamics remains an open question.

Classically, the mechanisms controlling responses to altered proteostasis are considered "cell intrinsic" (also termed cell-autonomous). Recent studies in the field have provided evidence for regulation of stress responses at a distance, largely mediated by the nervous system, on a cell non-autonomous manner<sup>150</sup>. One of the first examples for a cell non-autonomous control of the UPR came from aging studies in C. elegans. Enforced expression of XBP1s in neurons increases life span of this model organism<sup>151</sup>. Remarkably, neuronal XBP1s is able to promote IRE1a activation and XBP1 mRNA splicing in peripheral tissues, and this stress signaling propagation was crucial to protect against aging<sup>151</sup>. An analogous cell nonautonomous regulatory circuit was only recently uncovered in mammals where hypothalamic XBP1s expression modulates global metabolism through a cell nonautonomous propagation of UPR signals to the liver<sup>152</sup> (Figure 4C). In all these studies, whether the activation of the UPR in the distant cell is ER stress-independent remains to be clarified. The activation of a cell non-autonomous UPR is emerging as an evolutionary conserved mechanism to prevent uncontrolled protein misfolding on an organismal level. Thus, the UPR may be also fine-tuned at the level of complex tissues and even the whole organism.

#### Concluding remarks

The UPR is an evolutionarilyconserved signal transduction pathway activated when cells fail to keep up with the protein folding demands on the ER. In response to mild ER stress, the UPR promotes adaptive outputs that reduce unfolded protein load and improve the ability of the secretory pathway to restore proteostasis. However, under irreversible ER stress, the

UPR assembles into an alternate platform that engages a complex network of signals to hasten cell demise. Cell injury due to ongoing ER stress has emerged as a central contibutor of the pathophysiology of a wide range of common human diseases and aging. Recent advances in our knowledge of how the UPR alternates between life and death signaling, and the development of small molecule inhibitors of the UPR<sup>153</sup>, are the stepping stones for new strategies to combat these ER stress-associated diseases. Despite the immense progress made over the past decade into the biological relevance of the UPR, many questions remain open. The next few years promise to shed much needed light on the coordination and specific contribution of the three arms of the UPR in health and disease, what role each plays in the absence ER stress, and how they are regulated at the level of the whole organism. Many novel stress-independent functions of UPR signaling modules are also emerging as relevant contributors to cell physiology and disease, which may involve allosteric and posttranslational modifications to stress sensors through the assembly of distinct UPRosome complexes. It is becoming clear that this field is gaining complexity each year and the UPR can no longer be viewed as a linear pathway, as multiple modulatory steps and dynamic cross talk operate to integrate the UPR with the global proteostasis network and other relevant signaling pathways.

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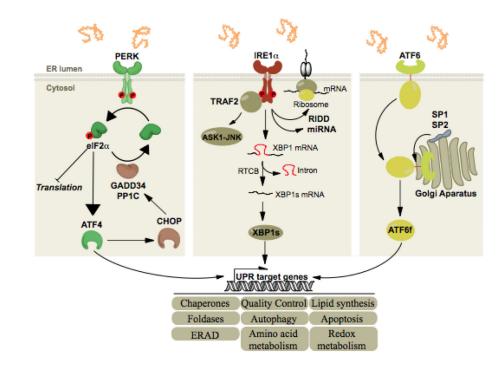
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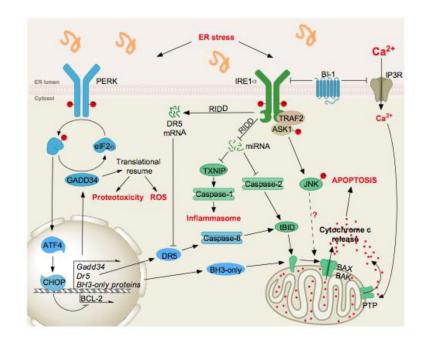
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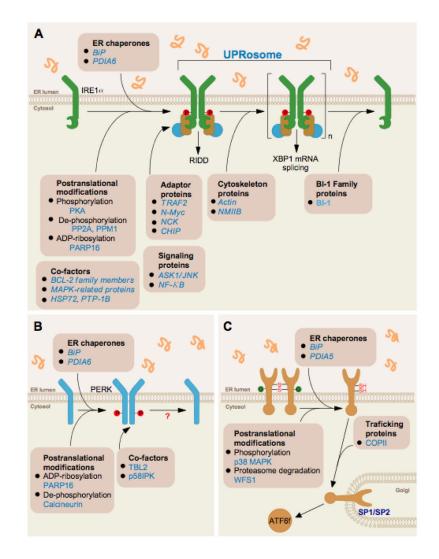
#### Figure 1. The Three Arms of the UPR

All three ER stress sensors (PERK, IRE1a, ATF6) initially activate signaling events that increase protein-folding capacity and reduce protein load on the ER. These transcriptional and translational outputs tend to re-establish protein-folding homeostasis in the ER and promote cell survival. PERK phosphorylates eIF2alpha, which in turn shut down global translation and in the mean time increases the expression of the transcription factor ATF4. The latter induces the transcription of select genes whose functions are to restore proteostasis (grey boxes) and of CHOP, itself inducing the transcription of GADD34, a regulatory subunit of PP1C. This creates a feedback mechanism leading to the dephosphorylation of eIF2alpha and translation is reinitiated. IRE1a signals through i) the recruitment of TRAF2 leading the activation of the ASK1-JNK cascade and ii) through its RNase via the splicing of XBP1 mRNA or the degradation of RNAs, thereby regulating gene expression at transcriptional and post-transcriptional levels. Finally, upon ER stress, ATF6 is exported from the ER to the Golgi complex where it is cleaved by the proteases S1P and S2P, releasing its cytosolic domain which is a potent transcription factor.



# Figure 2. Under irremediable ER stress, the UPR actively promotes proteotoxicity, sterile inflammation and apoptosis

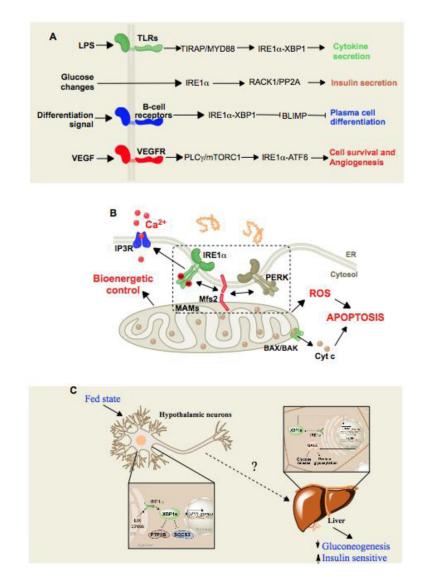
When exposed to chronically high levels of ER stress, PERK and IRE1a both have multiple signaling outputs that lead to cell dysfunction, activation of the inflammasome, and apoptosis. Among the ATF4 targets downstream of PERK (see Figure 1) are also found genes whose products are involved in the control of cell death (DR5 or BH3-only) through the activation of signaling pathways from the plasma membrane or the mitochondria. Similarly, IRE1a signals through the JNK and mRNA/miRNA degradation pathways to control cell survival outputs. Interestingly, specific cross-talks between IRE1a and PERK signaling, notably at the level of DR5, that tightly control cell fate.



## Figure 3. Fine-tuning the UPR through protein-protein interactions and/or post-translational modifications

(A) Fine-tuning IRE1 signaling through the dissociation of ER chaperones in the activation phase, recruitment of the UPRosome that controls signaling outputs and inactivation phase.
(B) Fine-tuning PERK activation through the dissociation of BiP in the activation phase and the control of phosphorylation and ADP-ribosylation. (C) ATF6a activation depends on its N-glycosylation and redox status in addition to the dissociation from BiP to allow egress from the ER and proteolytic cleavage in the Golgi apparatus by the S1P/S2P proteases. In addition, ATF6a protein stability plays a key role in its signaling outputs.

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#### Figure 4. ER stress-independent functions of the UPR

(A) Signaling (LPS, glucose, differentiation, VEGF)-dependent and protein misfoldingindependent activation of the canonical UPR sensors. (B) Control of mitochondrial function by UPR signaling at the ER-mitochondrion interface. (C) Cell-nonautonomous UPR activation. ER stress is triggered in neurons that subsequently prompt the activation of select UPR signals in the non-stressed liver through yet uncharacterized mediators.