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## CD49d associates with nodal presentation and subsequent development of lymphadenopathy in patients with chronic lymphocytic leukaemia

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### Summary

CD49d is a surface integrin that is expressed on chronic lymphocytic leukaemia (CLL) cells, and strongly correlates with more aggressive disease. Given its association with cell-cell adhesion and leucocyte trafficking, we hypothesized that patients with high CD49d expression would experience a clinical course dominated by lymphadenopathy. CD49d expression was measured by flow cytometry and considered positive if expressed by 30% of CLL cells. The study included 797 newly diagnosed CLL/small lymphocytic leukaemia patients; 279 (35%) were CD49d positive. CD49d-positive patients were more likely to present with lymphadenopathy ( $P < 0.001$ ); a finding that persisted after adjusting for fluorescence *in situ* hybridisation (FISH) and *IGHV* mutation status [odds ratio (OR) 2.51; 95% confidence interval (CI) 1.64–3.83;  $P < 0.001$ ]. Among CLL Rai 0 patients, CD49d positivity was associated with shorter time to development of lymphadenopathy (3.2 years vs not reached,  $P < 0.01$ ). This association was maintained after adjusting for either FISH [hazard ratio (HR) 2.18; 95% CI 1.25–3.81;  $P = 0.006$ ] or *IGHV* status (HR 2.02; 95% CI 1.11–3.69;  $P = 0.02$ ) individually, but was attenuated when adjusting by both (HR 1.72; 95% CI 0.88–3.38;  $P = 0.11$ ). These data demonstrate that CD49d-positive CLL patients experience a disease course dominated by lymphadenopathy. These findings could have implications for therapy selection and disease monitoring.

### Keywords

chronic lymphocytic leukaemia; small lymphocytic lymphoma; CD49d; lymphadenopathy

Chronic lymphocytic leukaemia (CLL) is a clonal lymphoproliferative disorder characterized by a clonal B-cell population co-expressing CD5, CD19 and CD23, and weak expression of CD20, CD79b and surface immunoglobulin (Hallek *et al*, 2008). Patients with

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#### Author contributions

TDS designed the study, analysed the data, provided clinical care to patients and wrote the paper; PS designed the study, analysed data and wrote the paper; TGC, SAP, WD and NEK provided clinical care to patients and co-authored the paper; KGC, SJA, and SLS collected and analysed the data and coauthored the paper; CAH and DFJ provided pathology and flow cytometry data.

#### Conflict of interest

The other authors declare no conflicts of interest.

such a population who present with lymphadenopathy without lymphocytosis ( $<5 \times 10^9/l$ ) are designated as having small lymphocytic lymphoma (SLL) (Santos & O'Brien, 2012). Chronic lymphocytic leukaemia presents in heterogeneous ways, including asymptomatic lymphocytosis, B-symptoms (fever, night sweats, weight loss, fatigue), cytopenias due to marrow failure, or a lymph node predominant presentation. Although patients with deletion 11q23 and, to a lesser extent, trisomy 12 are at increased likelihood to experience lymphadenopathy, the biological factors that determine clinical presentation are largely unknown (Dohner *et al*, 1997; Strati *et al*, 2015).

CD49d, also known as integrin  $\alpha 4\beta 1$  (ITGB1), CD49d/CD29 or very late antigen 4 (VLA-4), is a surface integrin expressed on the surface of CLL lymphocytes that is essential for their grafting in animal models (Aydin *et al*, 2011). It is a strong independent predictor of survival in patients with CLL. High levels of CD49d protein and/or *ITGB1* mRNA (Nuckel *et al*, 2009) are significantly associated with shorter time from diagnosis to treatment and overall survival (OS) (Zucchetto *et al*, 2005a,b, 2006). This prognostic value of CD49d is independent of other prognostic parameters, including fluorescence *in situ* hybridisation (FISH) and immunoglobulin heavy chain variable gene (*IGHV*) status (Gattei *et al*, 2008; Shanafelt *et al*, 2008), and is actually one of the strongest prognostic parameters identified to date (Bulian *et al*, 2014).

Given its association with cell-cell adhesion and leucocyte trafficking, we hypothesized that patients with high CD49d expression would experience a clinical course dominated by lymphadenopathy. Indeed, some studies have shown an association between high expression of CD49d and presentation as variant CLL (Cro *et al*, 2010), CLL with lymphadenopathies (Till *et al*, 2002), or SLL (Pinto *et al*, 1993). However, these series were small and nearly all the information to date is cross-sectional.

Here we present an analysis of the relationship between CD49d expression and the pattern of presentation and clinical course in a large cohort of newly diagnosed CLL patients.

## Methods

### Study population

This study was reviewed and approved by the Institutional Review Board of Mayo Clinic and was conducted in accordance with the principles of the Declaration of Helsinki. We identified all newly diagnosed CLL/SLL patients who were seen at Mayo Clinic within 12 months of diagnosis between August 2001 and December 2015. Analysis was limited to patients who had a pre-treated absolute B-cell count within 12 months of diagnosis and who underwent prognostic testing for CD49d.

Information regarding baseline clinical presentation, medical history, laboratory findings, and prognostic factors was obtained from clinical and research records. The latter included FISH for common CLL chromosome abnormalities, analysis of the *IGHV* mutation status and CD38, *ZAP70* and CD49d expression by flow cytometry. CD49d was considered positive if expressed by  $\geq 30\%$  of cells, as previously described (Bulian *et al*, 2014).

## Statistical analysis

To evaluate the association of CD49d with lymphadenopathy, we divided patients with Rai II–IV disease at diagnosis into 2 groups: Rai II–IV without lymphadenopathy or Rai II–IV with lymphadenopathy. To test for differences in CD49d (positive *versus* negative) cases by baseline characteristics, we used chi-square, rank sum, and trend tests where appropriate. A rank sum (Kruskal–Wallis) test for two-way comparisons was used to detect differences in CD49d expression levels by CLL presentation. A chi-square test was used to test the association of CD49d with presence of lymph nodes and presence of enlarged spleen at baseline. Multivariate logistic models, adjusted for *IGHV* mutation status and/or FISH, were used to test the association of CD49d status with presence of lymphadenopathy at diagnosis; odds ratios (OR) and 95% confidence intervals (CI) were computed.

We also evaluated time to development of lymphadenopathy based on CD49d status among patients who did not have lymphadenopathy at diagnosis. For this analysis, we plotted time to development of lymphadenopathy among patients who had Rai stage 0 disease at diagnosis using the cumulative incidence function, allowing for competing risks of treatment and death; Gray's test was used to test differences between CD49d groups. Patients with Rai II–IV without lymphadenopathies were not included because of small sample ( $n = 30$ ) and need for immediate treatment in many of these advanced stage patients (which eliminated the ability to evaluate the natural history with respect to lymphadenopathy). Multivariate Cox regression analysis, accounting for competing risks of treatment and death using Fine–Gray models, were used to test the association of CD49d and development of lymphadenopathy. Hazard ratios (HR) and 95% CI were computed. Analyses were run using SAS 9.4 (SAS Institute, Cary, NC, USA), and figures were created using R-3.1.1 (R Core Team, 2015).

## Results

### CD49d and presence of lymphadenopathy at diagnosis

The study included 797 patients: 386 patients with CLL Rai stage 0 disease, 137 with CLL Rai I, 30 with CLL Rai II–IV without lymphadenopathy, 62 with CLL Rai II–IV with lymphadenopathy and 182 patients with SLL. Two hundred and seventy-nine (35%) patients were CD49d positive. The remaining baseline characteristics are shown in Table I.

The median percentage of leukaemic cells expressing CD49d at diagnosis varied by stage and was lowest among patients with Rai 0 disease and highest among those with SLL ( $P < 0.001$ ; Fig 1A). Compared to CLL Rai 0, a higher percentage was observed for CLL Rai II–IV without lymphadenopathy ( $P = 0.01$ ), CLL Rai II–IV with lymphadenopathy ( $P < 0.001$ ) and SLL ( $P < 0.001$ ), but not for CLL Rai I ( $P = 0.11$ ). When patients were categorized by the presence (Rai I, Rai II–IV with lymphadenopathy, SLL) or absence (Rai 0 and Rai II–IV without lymphadenopathy) of lymphadenopathy at diagnosis, a significantly higher percentage of leukaemic cells expressing CD49d was observed among patients presenting with lymphadenopathy ( $P < 0.001$ ; Fig 1B).

Baseline lymphadenopathy was more frequent among CD49d-positive patients (69%) than CD49d-negative patients (36%) ( $P < 0.001$ ). Baseline lymphadenopathy was also more

frequent among patients with trisomy 12 as compared to patients without trisomy 12 (67% vs. 41%,  $P < 0.001$ ), among patients with deletion 11q as compared to patients without deletion 11q (64% vs. 43%,  $P < 0.001$ ) and among patients with unmutated *IGHV* as compared to patients with mutated *IGHV* (63% vs. 33%,  $P < 0.001$ ). The association between baseline lymphadenopathy and CD49d positivity was maintained on multivariate analyses after adjusting for FISH and *IGHV* status (OR = 2.51; 95% CI 1.64–3.83;  $P < 0.001$ ).

Interestingly, baseline splenomegaly was more frequent among CD49d-positive patients (15%) than among CD49d-negative patients (8%) ( $P = 0.003$ ).

### CD49d and development of lymphadenopathy among Rai 0 Patients

We next evaluated the association between baseline CD49d status and subsequent development of lymphadenopathy among the 386 patients with CLL Rai stage 0 disease at the time of diagnosis. Eighty-two patients with CLL Rai 0 developed palpable lymphadenopathy during the course of follow-up (median time to development of lymphadenopathy: not reached). CD49d status at baseline was strongly associated with development of lymphadenopathy among patients with Rai stage 0 disease at diagnosis (median time to lymphadenopathy: 3.2 years in CD49d-positive patients vs not reached in CD49d-negative patients; HR 2.44,  $P < 0.01$ ) (Fig 2). The association was maintained after adjusting for either FISH (HR 2.18; 95% CI 1.25–3.81;  $P = 0.006$ ) or *IGHV* status (HR 2.02; 95% CI 1.11–3.69;  $P = 0.02$ ), but was attenuated after adjusting for both at the same time (HR 1.72; 95% CI 0.88–3.38;  $P = 0.11$ ).

## Discussion

The clinical course of some CLL patients is dominated by lymphadenopathy whereas lymphocytosis, B-symptoms or cytopenias are characteristic in others. Other than an association with the genetic defects deletion 11q23 and trisomy 12, the biological characteristics associated with lymphadenopathy are unknown. Here, we demonstrate that CD49d expression is strongly related to the presence of lymphadenopathy at the time of diagnosis as well as development of lymphadenopathy during the course of the disease.

It should be noted that the expression of CD49d correlates with some other prognostic factors. Specifically, higher expression of CD49d is associated with unmutated *IGHV* (Sulda *et al*, 2012), *IGHV3-21* (Bomben *et al*, 2007), CD38 (Pittner *et al*, 2005) and ZAP70 (Degheidy *et al*, 2011). Notably, CD49d expression is typically lower among patients with deletion 11q (Sembries *et al*, 1999) despite the association of this genetic defect with lymphadenopathy. In contrast, CD49d expression is higher among patients with trisomy 12, probably facilitated through a *NOTCH1* (Riches *et al*, 2014) or methylation-mediated mechanism (Zucchetto *et al*, 2013). In our cohort, the association between CD49d expression and lymphadenopathy at the time of CLL diagnosis was maintained also after adjusting for FISH and *IGHV* status, confirming its independent role. In addition, among patients with Rai stage 0, CD49d was also associated with subsequent development of lymphadenopathy, independently of either FISH or *IGHV* status.

Given the role CD49d plays in lymphocyte adhesion and trafficking, there is a strong biological underpinning to these findings. Previous studies have in fact demonstrated that CLL cells collected from lymph nodes have higher CD49d expression than those in the peripheral blood (Pasikowska *et al*, 2016). CD49d interacts with the CLL microenvironment, including bone marrow stroma (Plander *et al*, 2011), fibroblasts (Hamilton *et al*, 2012) and vascular endothelial cells (Buggins *et al*, 2010). This is coordinated by chemokines (e.g., CCL3 and CCL4), adhesion molecules [e.g. VCAM (Zucchetto *et al*, 2009)], chemokine receptors [e.g., CXCR4 (Majid *et al*, 2011)], and metalloproteinases [e.g., MMP9 (Redondo-Munoz *et al*, 2008)]. CD49d also interacts with CLL-embedded molecules, such as CD38 (Zucchetto *et al*, 2012), ZAP70, the B-cell receptor (Calpe *et al*, 2011), CD26 (Cro *et al*, 2009), CD44 (Buggins *et al*, 2011) and AID (Palacios *et al*, 2010). Of interest, it doesn't interact with DPP4 (Sulda *et al*, 2010), and its biological effect may be subsidized by the c-met receptor (Eksioglu-Demiralp *et al*, 2011). In small and cross-sectional series, CD49d was associated with high disease burden (Lucio *et al*, 1998) and advanced Rai stage (Eksioglu-Demiralp *et al*, 1996) but not with splenomegaly (Bairey *et al*, 2004). The association between CD49d expression and positivity and initial presentation with lymphadenopathy or splenomegaly has been confirmed in our study, the largest series published to date.

In addition to new biological questions, the strong relationship between CD49d expression and lymphadenopathy raises several clinical crucial questions. While baseline computed tomography (CT) imaging is standard of care for most sub-types of low grade non-Hodgkin lymphoma, it is typically not recommended in patients with newly diagnosed CLL patients. The strong relationship between CD49d and lymphadenopathy suggests that the role of imaging in the monitoring of CD49d positive CLL patients should be explored. It also raises the question of whether baseline CT imaging should be pursued in the subset of individuals with clinical monoclonal B-cell lymphocytosis who are CD49d positive, as the likelihood they would be reclassified as SLL may be high. Further investigation of these aspects is needed before any change in clinical practice can be recommended. Finally, CD49d may play a predictive role, particularly in patients with lymphadenopathy; at present, five drugs have shown the ability to decrease CD49d expression and/or inhibit CD49d function, namely Ibrutinib (Pepper *et al*, 2015), Fosamatinib (Buchner *et al*, 2010), Idelalisib (Fiorcari *et al*, 2013), Natalizumab (Walsby *et al*, 2014), and OSU-T315 (Liu *et al*, 2015). The effects of these drugs on the biology of nodal disease and their impact on CD49d expression should be explored.

In conclusion, CD49d expression was associated with nodal disease at the time of presentation in patients with newly diagnosed CLL, as well as with the development of lymphadenopathy among those initially presenting with CLL Rai stage 0 disease. Additional studies are needed to determine the biological underpinnings of this observation and its potential implications for the role of imaging in disease monitoring in newly diagnosed CLL patients.

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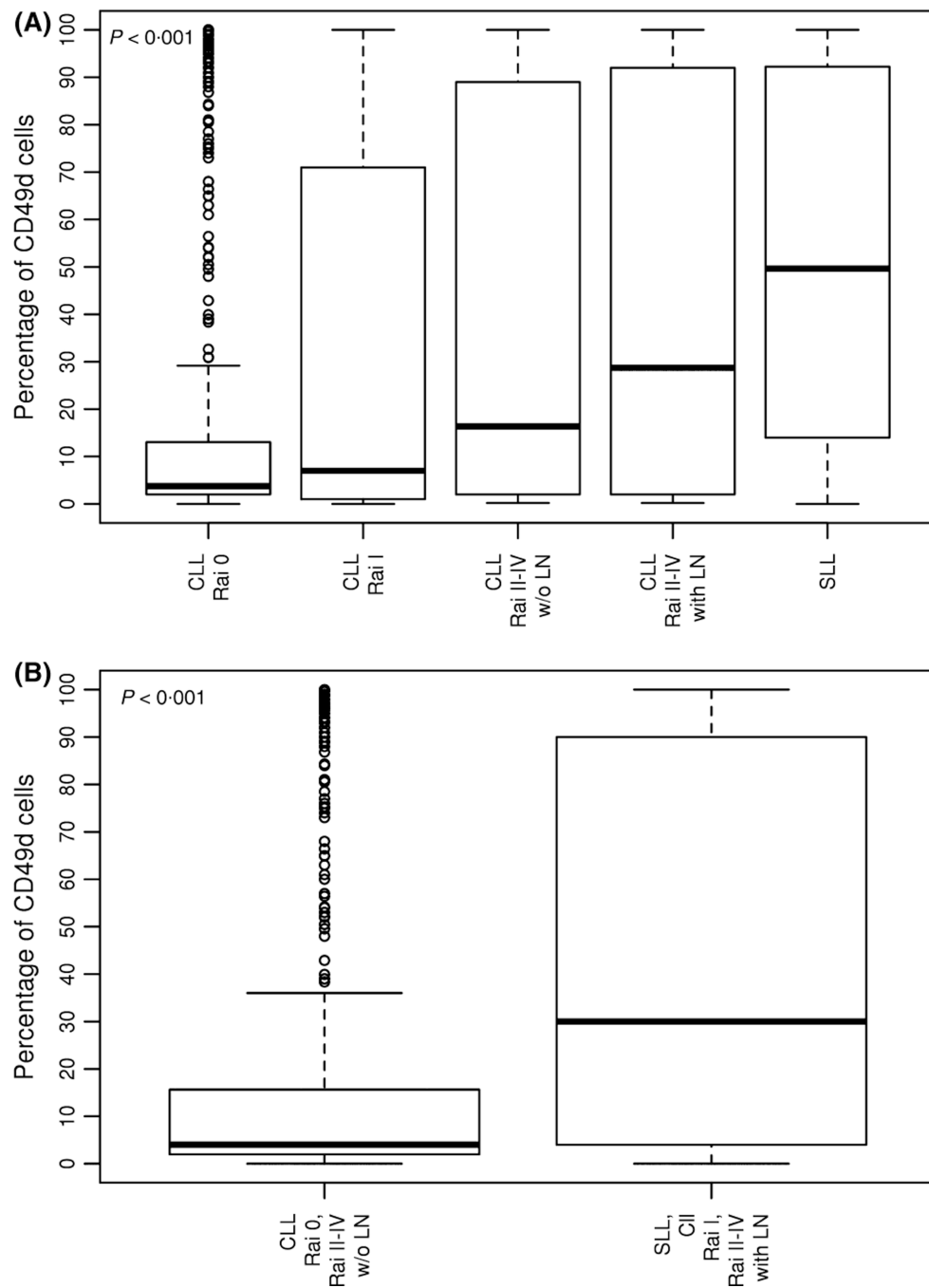


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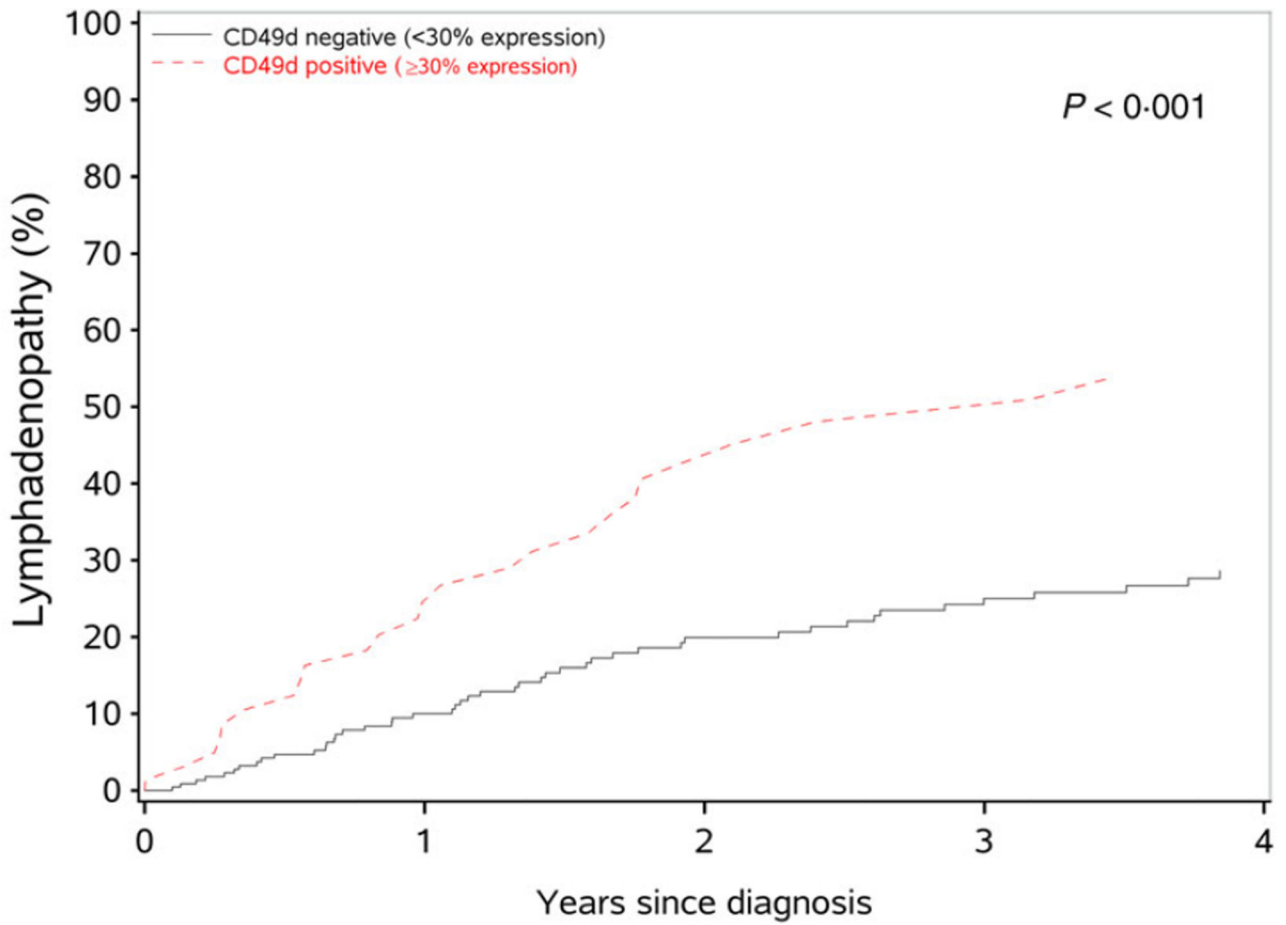
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**Fig 1.** Association between CD49d expression at time of diagnosis and type of CLL presentation. The percentage of lymphocytes expressing CD49d is shown on the y-axis (each bar shows median, first, and third quartiles). (A) By Rai stage; (B) By lymphadenopathy. CLL, chronic lymphocytic leukaemia; LN, lymphadenopathy; SLL, small lymphocytic leukaemia; w/o, without.



|          |     |     |     |    |    |
|----------|-----|-----|-----|----|----|
| Negative | 312 | 151 | 104 | 85 | 54 |
| Positive | 74  | 26  | 12  | 8  | 6  |

**Fig 2.** Time from diagnosis to lymphadenopathy development by CD49d negative (<30% expression), CD49d positive (>30% expression) among newly diagnosed chronic lymphocytic leukaemia patients presenting with Rai stage 0 disease.

**Table I**

Baseline characteristics of patients by CD49d status.

|                                               | Number (%), median [range] |                           |                           | P-value |
|-----------------------------------------------|----------------------------|---------------------------|---------------------------|---------|
|                                               | Total<br>N = 797           | CD49d Positive<br>n = 279 | CD49d Negative<br>n = 518 |         |
| Age (years)                                   | 63 [24–93]                 | 65 [36–93]                | 62 [24–93]                | 0.027   |
| Males                                         | 532 (67)                   | 199 (71)                  | 333 (64)                  | 0.044   |
| Females                                       | 265 (33)                   | 80 (29)                   | 185 (36)                  |         |
| Absolute lymphocyte count ( $\times 10^9/l$ ) | 12 [1–539]                 | 10 [1–539]                | 13 [1–297]                | <0.001  |
| Haemoglobin (g/l)                             | 139 [45–179]               | 138 [45–179]              | 140 [49–179]              | 0.012   |
| Platelet count ( $\times 10^9/l$ )            | 195 [29–675]               | 177 [41–469]              | 201 [29–675]              | <0.001  |
| CLL Rai 0                                     | 386 (48)                   | 74 (27)                   | 312 (60)                  | <0.001  |
| CLL Rai I                                     | 137 (17)                   | 45 (16)                   | 92 (18)                   |         |
| CLL Rai II–IV w/o LN                          | 30 (4)                     | 13 (5)                    | 17 (3)                    |         |
| CLL Rai II–IV with LN                         | 62 (8)                     | 31 (11)                   | 31 (6)                    |         |
| SLL                                           | 182 (23)                   | 116 (42)                  | 66 (13)                   |         |
| Baseline LN                                   | 381                        | 192 (69)                  | 189 (36)                  | <0.001  |
| No baseline LN                                | 416                        | 87 (31)                   | 329 (64)                  |         |
| CD38 negative                                 | 552 (72)                   | 114 (44)                  | 438 (87)                  | <0.001  |
| Positive                                      | 215 (28)                   | 148 (56)                  | 67 (13)                   |         |
| Missing                                       | 30                         | 17                        | 13                        |         |
| ZAP70 negative                                | 455 (60)                   | 117 (44)                  | 338 (68)                  | <0.001  |
| Positive                                      | 304 (40)                   | 148 (56)                  | 156 (32)                  |         |
| Missing                                       | 38                         | 14                        | 24                        |         |
| <i>IGHV</i> mutated                           | 356 (53)                   | 79 (35)                   | 277 (63)                  | <0.001  |
| Unmutated                                     | 312 (47)                   | 149 (65)                  | 163 (37)                  |         |
| Missing                                       | 129                        | 51                        | 78                        |         |
| FISH Del13q                                   | 315 (44)                   | 52 (21)                   | 263 (55)                  | <0.001  |
| Normal                                        | 182 (25)                   | 57 (23)                   | 125 (26)                  |         |
| +12                                           | 115 (16)                   | 100 (41)                  | 15 (3)                    |         |
| Del11q                                        | 68 (9)                     | 15 (6)                    | 53 (11)                   |         |
| Del17p                                        | 30 (4)                     | 14 (6)                    | 16 (3)                    |         |
| Other                                         | 13 (2)                     | 5 (2)                     | 8 (2)                     |         |
| Missing                                       | 74                         | 36                        | 38                        |         |

CLL, chronic lymphocytic leukaemia; FISH, fluorescence *in situ* hybridisation; LN, lymphadenopathy; SLL, small lymphocytic leukaemia; w/o, without.