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## The intestinal epithelial barrier: A therapeutic target?

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### Abstract

A fundamental function of the intestinal epithelium is to act as a barrier that regulates interactions between the luminal contents, such as the intestinal microbiota, the underlying immune system, and the remainder of the body, while supporting vectorial transport of nutrients, water, and waste products. Epithelial barrier function requires a contiguous layer of cells as well as the junctions that seal the paracellular space between epithelial cells. Compromised intestinal barrier function has been associated with a number of disease states, both intestinal and systemic. Unfortunately, most current clinical data are correlative, making it difficult to separate cause from effect in interpreting significance of barrier loss. Some data from experimental animal models suggest that compromised epithelial integrity may play a pathogenic role in specific gastrointestinal diseases, but no FDA-approved therapies for targeting the epithelial barrier are presently available. In order for this goal to be achieved, a deeper understanding of both disease pathogenesis and mechanisms of barrier regulation must be reached.

### Keywords

Cell Polarity; Epithelial Cells/cytology; Epithelium/growth & development; Tight junctions; Mammals/growth & development; Morphogenesis

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An essential function of the intestinal mucosa is to act as a barrier between luminal contents and the underlying immune system. The term “intestinal barrier” is increasingly used to refer to the mucus layer or the underlying mucosal immune system. While each of these mucosal components provides a type of barrier, the physical epithelial barrier confers the property of selective permeability to the intestinal mucosa. We will therefore use the term “intestinal barrier function” to refer to the ability of the intestinal epithelium to restrict free exchange of water, ions, and macromolecules between the intestinal lumen and the underlying tissues. Intestinal permeability is the inverse of intestinal barrier function, and because the intestinal mucosa must simultaneously promote nutrient and water transport while serving as a protective barrier, neither property is absolute. Instead, intestinal barrier

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function depends on a variety of mucosal structural components that are tightly regulated in homeostasis and during disease.

The luminal surface of the intestinal mucosa is lined by a hydrated gel, composed of mucins secreted by goblet cells. This layer prevents large particles and intact bacteria from coming into direct contact with the underlying epithelium. The importance of the mucus layer is emphasized by the observations that mucin structure is markedly altered in active enterocolitis and that knockout mice lacking the protein mucin-2 (Muc2), which is the major component of intestinal mucin, develop spontaneous colitis<sup>1</sup>. However, the mucus layer does not establish a significant barrier to transmucosal water or solute flux; that falls to the epithelial monolayer, which is the primary determinant of mucosal barrier function. The apical surface of the epithelium forms a single, continuous, community border as a result of the precise alignment of abutting cells. In an intact epithelium, this surface restricts passage of most hydrophilic solutes; however, in order to limit transmucosal flux, the paracellular space must also be sealed. The task of regulating paracellular transport is achieved by a series of intercellular junctions.

### Molecular composition of the apical junctional complex (AJC)

From apical-to-basal, the intercellular junctions are the tight junction (zonula occludens), the adherens junction (zonula adherens), and the desmosome (Fig. 1). Together these three types of intercellular junctions comprise the apical junctional complex<sup>2</sup>. The AJC is associated with a dense network of actin and myosin that encircles the apical aspect of each cell and surrounds the cortical actin web. The latter supports the dense microvillus brush border, while, as discussed below, the perijunctional actomyosin ring regulates epithelial barrier function.

Adherens junctions and desmosomes provide adhesive forces necessary for maintenance of cell-cell interactions. The most well-known component of the adherens junctions are the cadherins, single spanning transmembrane proteins that interact homotypically with the extracellular portion of cadherins on adjacent cells. On the cytoplasmic side, cadherins interact directly with p120-catenin and  $\beta$ -catenin, which in turn interact with  $\alpha$ -catenin<sup>3</sup>. Among other functions,  $\alpha$ -catenin regulates perijunctional actin assembly, which provides further strength to these structures<sup>4,5</sup>. In addition, the adherens junction is necessary for efficient tight junction assembly, a function that *in vitro* studies have attributed to both E-cadherin and  $\alpha$ -catenin<sup>6,7</sup>.

The tight junction is the primary determinant of paracellular permeability. When viewed by transmission electron microscopy, the tight junction appears to eliminate the intracellular space at so-called “kissing points,” and freeze-fracture electron microscopy clearly depicts that tight junctions consist of a series of anastomosing strands<sup>2,8</sup>. Results from a study using direct rapid freezing methods suggest that tight junction strands may exist as pairs of inverted micelles formed by the fusion of the outer leaflets from plasma membranes from abutting cells<sup>9,10</sup>, although this model was largely abandoned with the discovery of tight junction-associated structural and regulatory proteins. After the initial description of tight junctions, immuno-electron microscopy identified transmembrane tight junction proteins

within tight junction strands<sup>11</sup>. Multiple subsequent studies have shown that tight junction proteins reside in cholesterol-rich, detergent-insoluble lipid domains<sup>12–14</sup>. These findings have led to speculation that dynamic fusion and fission of lipid-based tight junction strands may account for selective permeability, and a detailed review of the lipidic nature of tight junctions can be found elsewhere<sup>10</sup>. It is probable that both specialized lipids and proteins are necessary components of the tight junction barrier; however, to date far more work has been done to identify structure and regulation of tight junction protein components.

Tight junction proteins can be broadly separated into transmembrane proteins, cytosolic plaque, or scaffolding, proteins, and regulatory proteins. Of the transmembrane tight junction proteins, the tetraspanning claudins are the most important. The extracellular domains of claudins on adjacent cells form pores to regulate tight junction ion selectivity<sup>15</sup>. A seminal study determined that the expression level of a single claudin family member, claudin-2, is largely responsible for differences in trans-epithelial resistance (TER) between two clones of Madin Darby canine kidney (MDCK) cells<sup>16</sup>. Subsequent analyses have shown that claudin-2 driven decreases in epithelial barrier function are due to increases in ion transport without accompanying alterations in flux of larger molecules. Recent data indicating that individual claudin-2-based channels are dynamically gated suggests that modulation of opening and closing of claudin-2 pores is a targetable process for barrier modulation<sup>17</sup>. An alternative potential means of inhibiting claudin-2 function comes from the observation that prevention of casein kinase-2 (CK2) -mediated occludin phosphorylation promotes assembly of a tight junction complex that blocks claudin-2 pore function and can reverse IL-13-induced barrier loss in vitro<sup>18</sup>. Such therapies must, however, be approached with caution, as trans-tight junction Na<sup>+</sup>-recycling, from the lamina propria into the lumen, is necessary to support critical transcellular vectorial transport processes, such as nutrient absorption, which are necessary for life<sup>19–21</sup>.

The ZO family proteins (ZO-1, -2, and -3) are multi-domain scaffolding proteins that interact directly with transmembrane tight junction proteins such as claudins and the tight junction associated Marvel protein (TAMP) family, which includes occludin<sup>11,22–24</sup>. ZO family proteins also interact with the actin cytoskeleton and a variety of actin regulatory elements<sup>25</sup>. ZO-1, -2, and -3 have many similar structural domains, which has led to the hypothesis that they serve similar functions. These proteins must, however, also have unique functions, as either ZO-1 or ZO-2 knockout results in embryonic lethality<sup>26,27</sup>. Nevertheless, studies of human patients have discovered two distinct pathogenic ZO-2 (TJP2) mutations<sup>26–29</sup>. The first mutation impairs ZO-2 binding to with claudin-2 and results in an incompletely penetrant familial hypercholanemia, which presents with elevated serum bile acids, pruritis, and fat malabsorption<sup>28</sup>. The more recently discovered mutation in ZO-2 encodes a truncated protein and is associated with severe cholestatic liver disease that presents early in life and frequently requires liver transplantation<sup>29</sup>. Claudin-1, but not claudin-2, failed to localize to tight junctions within canalicular and cholangiocyte membranes. Interestingly, a study of mice lacking claudin-2, which forms a paracellular Na<sup>+</sup> and water channel, found that these mice generated a more concentrated bile and were susceptible to gallstone disease<sup>30</sup>. The ability of this truncated ZO-2 to support human life, while ZO-2 knockout is lethal in mice, suggests that by oligomerization with ZO-1 the shortened protein may be partially functional. Alternatively, the data may indicate species

differences in redundancy of ZO-2. In either case, these data highlight the importance of tight junction proteins in homeostasis and the avoidance of gastrointestinal diseases. Although barrier function has not been measured in patients with either ZO-2 mutation, the differences in localization of claudin proteins to tight junctions implies that, like in the claudin-2 knockout mouse, altered epithelial barrier function can result in hepatobiliary disease<sup>28,29</sup>.

## Paracellular permeability pathways

The tight junction barrier exhibits both size- and charge-selectivity. There are two distinct routes across tight junctions of an intact epithelial monolayer, termed the “pore” and “leak” pathways (Fig. 2). The pore pathway refers to a high-capacity, size- and charge-selective route, whereas the leak pathway is a low-capacity pathway that has limited selectivity. Pore pathway permeability appears to be determined primarily by the subset of claudins expressed, while leak pathway permeability can be regulated by ZO-1, occludin, and myosin light chain kinase (MLCK)<sup>18,31,32</sup>. At sites of epithelial damage, e.g. erosions and ulcers, tight junctions are lost and, by definition, cannot contribute to local barrier function. Instead, luminal contents cross the intestinal barrier by a third pathway, termed the “unrestricted” pathway. As its name suggests, the unrestricted pathway is high capacity and permissive with respect to solute size and charge. Large proteins and even whole bacteria can cross the unrestricted pathway, which partially explains the severe disease initiated by epithelial damage. In the setting of extensive epithelial injury, such as that occurring in humans with necrotizing enterocolitis or rodents treated with DSS, the unrestricted pathway is often “unsealed” and is the predominant route of transmucosal flux. However, during homeostasis and less active inflammatory disease, the epithelium is generally intact and barrier function reflects flux across the paracellular pore and leak pathways.

## Homeostasis and Physiologic Regulation of the Epithelial Barrier

At homeostasis, the intestinal epithelium is a highly dynamic structure and is estimated to completely self-renew every 4–7 days. Stem cells reside in the intestinal crypts where they proliferate, and daughter cells differentiate as they migrate up the crypt-villus axis and are eventually shed into the intestinal lumen. This constant turnover presents an opportunity for potential breaches in the epithelial barrier and development of an unrestricted pathway. However, both shedding events and oligocellular wounds are accompanied by formation and subsequent contraction of a multicellular actomyosin purse string, which drives tight junction expansion to the basal surface of the extruded cell to rapidly reestablish the contiguous epithelium and the tight junction barrier<sup>33–35</sup>.

The most studied example of physiologic regulation of the tight junction barrier is the regulation that occurs upon activation of sodium-glucose cotransport. This co-transport leads to development of an osmotic gradient as well as activation of epithelial myosin light chain kinase (MLCK). MLCK activity increases paracellular permeability via the size-selective pore pathway, and in the setting of an osmotic gradient, this increased permeability allows for paracellular absorption of small nutrients, e.g. glucose, via solvent drag<sup>36–41</sup>.

## Pathophysiologic Regulation of Leak and Pore Pathways

The pore and leak pathways are also regulated in response to pathophysiologic stimuli. Perhaps the most well-studied is regulation of the pore pathway by interleukin (IL)-13 induction of claudin-2 expression. Notably, IL-13 is not the only immunologic regulator of claudin-2 expression and pore pathway permeability<sup>42-44</sup>. It is however interesting that both claudin-2 expression and IL-13 production appear to be greater in ulcerative colitis relative to Crohn's disease<sup>45-47</sup>. While one study suggested that IL-13 causes barrier loss by inducing claudin-2 expression as well as by increasing apoptosis and inhibiting wound healing<sup>47</sup>, both *in vitro* and *in vivo* studies using lower doses of IL-13 have shown claudin-2 upregulation and claudin-2-dependent pore-pathway activation in response to IL-13 exposure without associated increases in leak or unrestricted pathway flux<sup>48</sup>. This is consistent with biophysical studies demonstrating that claudin proteins, e.g. claudin-2, form paracellular channels with exquisite size- and charge -selectivity and both closed and open states, similar to transmembrane ion channels.<sup>17,49-51</sup> Interestingly, crypt, but not surface, epithelial express claudin-2, consistent with the greater paracellular permeability of the former.<sup>52-54</sup>

Tumor necrosis factor- $\alpha$  (TNF) has also been shown to regulate tight junction function, and the clinically relevant role of TNF to IBD pathogenesis is clearly demonstrated by the efficacy of anti-TNF antibodies in IBD, which reduce disease severity and restore intestinal barrier function<sup>55</sup>. Restoration of epithelial barrier function by anti-TNF therapy may reflect mucosal healing in the setting of a dampened immune system; however, pre-clinical studies have shown that TNF signaling also modulates tight junction barrier function. This relationship was first recognized *in vitro* by the association between barrier loss and increased myosin light chain phosphorylation in response to TNF<sup>56</sup>. Pharmacologic inhibition of MLCK activity rapidly reduced MLC phosphorylation and restored tight junction barrier function<sup>56</sup>. Using both pharmacologic and genetic methods of MLCK inhibition, TNF-induced MLC phosphorylation and tight junction barrier dysfunction was shown to be required for diarrhea *in vivo*<sup>57</sup>. It was subsequently shown that TNF could also upregulate claudin-2 expression, thereby enhancing pore pathway flux. This, however, only occurred after many hours of TNF treatment,<sup>43</sup> in contrast to the rapid regulation of MLCK transcription,<sup>58</sup> and is therefore best considered a secondary phase of TNF-induced barrier regulation. Notably, expression of constitutively-active MLCK within the intestinal epithelium also upregulated claudin-2 expression *in vivo* despite the absence of overt disease<sup>48,59,60</sup>.

Further studies demonstrated the contribution of tight junction barrier loss to the pathogenesis of experimental colitis and are discussed in detail below<sup>59,60</sup>. TNF diminishes epithelial barrier function in large part by inducing occludin internalization via a caveolin-1-dependent process<sup>61</sup>. This was demonstrated *in vivo* as either pharmacologic or genetic inhibition of caveolin-1 function limited both occludin internalization and TNF-mediated diarrhea<sup>61</sup>. Further, intestinal epithelial-specific occludin overexpression limited TNF-induced barrier loss and prevented TNF-induced diarrhea<sup>61</sup>. Because *in vivo* occludin overexpression was associated with increased tight junction and lateral membrane occludin pools and relative preservation of tight junction occludin despite MLCK activation, these

data indicate that it is removal of occludin, and not some other component, from tight junctions that leads to barrier loss. *In vitro* studies have corroborated this study by showing that occludin depletion results in decreased barrier function and that occludin-deficient monolayers are insensitive to further TNF-induced barrier loss<sup>62,63</sup>. Given the greater paracellular permeability of crypt, relative to surface, epithelium, it is notable that crypt epithelia have significant intracellular occludin pools, while surface epithelia, do not.<sup>52–54</sup>

Subsequent domain analyses suggest that barrier regulation by occludin requires direct interactions between occludin and ZO-1<sup>62</sup>. Unlike claudin channels that represent the structural pathway route of pore pathway conductance, the precise sites of paracellular leak pathway flux are not yet defined. The observation that overexpression of the occludin-related protein tricellulin reduces leak pathway conductance without affecting the pore pathway<sup>64,65</sup> suggests that tricellular junctions may be the sites of leak pathway flux. Interestingly, tricellulin is found at both tricellular to bicellular tight junctions, rather than only at the former, after occludin knockdown<sup>62,66</sup>. This raises the possibility that redistribution of tricellulin following occludin endocytosis contributes to TNF-induced barrier loss<sup>62,65–67</sup>. It is, however, worth noting that neither intestinal barrier defects nor intestinal disease have been reported in humans or mice with tricellulin mutations.<sup>68–70</sup> Further, while occludin knockout mice do not have intestinal barrier defects,<sup>71,72</sup> they are deaf and display tricellulin redistribution to bicellular tight junctions within the inner ear.<sup>73</sup>

While the leak and pore pathways represent distinct routes across the paracellular barrier, the two pathways are often impacted in parallel. For example, in the SAMPl/YitFc murine model of colitis, claudin-2 mRNA expression is increased and occludin expression is decreased, indicating that both leak and pore pathways are activated<sup>74</sup>. Mechanistic interplay between the pathways was demonstrated using mice expressing a constitutively-active MLCK (CA-MLCK) within the intestinal epithelium. Colonic mucosae of these mice display increased cation selectivity that could not be explained by MLCK-dependent increases in leak pathway flux<sup>48</sup>. Instead, *in vivo* responses to MLCK activation were shown to result in mucosal immune activation, enhanced IL-13 expression, and subsequent increases in claudin-2 expression that led to increased cation flux across the pore pathway<sup>48</sup>.

## Association of Intestinal Barrier Function with Intestinal disease

Intestinal barrier function has been associated with an increasing variety of diseases – both intestinal and systemic – and has led to the popularization of the catch-all diagnosis of “leaky gut syndrome.” The vast majority of these associations are merely correlative, but experimental evidence relating barrier dysfunction to disease pathogenesis exists in some cases, including inflammatory bowel disease and celiac disease<sup>75</sup>. Some bacterial pathogens are also capable of regulating tight junction barrier function, e.g. secondary to MLCK activation in enteropathogenic *E. coli* infection,<sup>56,76</sup> via direct interactions with specific claudins by *C. perfringens* enterotoxin,<sup>77,78</sup> or by rho inhibition by *C. difficile* toxins.<sup>79,80</sup>

The association between intestinal barrier dysfunction and intestinal disease was first recognized by studies using an *ex vivo* approach that documented increased permeability in

active IBD in both ulcerated and non-ulcerated epithelia<sup>81–83</sup>. Subsequent studies revealed that tight junction function, ultrastructure, and protein composition are altered in active IBD<sup>46,84</sup>. Expression and activity of the tight junction regulatory protein myosin light chain kinase as well as expression of the pore-forming protein claudin-2 are also increased in active disease, suggesting that tight junction dysregulation may play a pathogenic role in IBD, prior to epithelial ulceration<sup>85,86</sup>.

Consistent with this idea, intestinal permeability has been reported as a fairly sensitive prognostic indicator of relapse to active disease in Crohn's disease patients during clinical remission<sup>87,88</sup>. These results were corroborated by a later study of 43 CD patients, which also reported increased levels of intestinal inflammation marker fecal calprotectin prior to relapse<sup>89</sup>. This finding blurs the exact role of intestinal barrier dysfunction in relapse because, as implied by the *in vitro* and *in vivo* studies discussed above, subclinical levels of inflammation may be responsible for increased permeability. Consistent with this, inflammatory cytokine exposure is associated with increased epithelial cell turnover *in vivo*, and a recent clinical study using confocal laser endomicroscopy reported increased epithelial shedding and leakage of fluorescein dye across the intestinal epithelium in patients at risk of relapse within 1 year<sup>34,90</sup>. Despite this, it is worth noting that the fluorescein dye flux is into the lumen, suggesting that any barrier defect results in local fluid efflux and, therefore, limited passive transport luminal materials into the mucosa. Further, many studies have shown relative maintenance of barrier function at sites of epithelial shedding<sup>34,35,91</sup>.

The contribution of increased intestinal permeability to disease pathogenesis was first proposed with the realization that a subset of first-degree relatives of patients with Crohn's disease, also display increased intestinal permeability<sup>92</sup>. While first-degree relatives do have an increased risk of developing CD relative to the overall population, it remains to be determined if the subset with increased intestinal permeability are at greater risk than those without increased intestinal permeability. It is, however, interesting that the relatives with increased intestinal permeability tend to carry a specific disease-associated polymorphism of CARD15/NOD2<sup>93</sup>. While interesting in the context of disease, it is also important to note that these studies demonstrate that increased intestinal permeability alone is insufficient to cause overt clinical disease, as many healthy first-degree relatives harbor these deficits. Nevertheless, one case report has identified a first-degree relative with increased intestinal permeability prior to the clinical presentation of Crohn's Disease, suggesting a pathogenic role for intestinal barrier function in IBD<sup>94</sup>. This single case report must be interpreted with caution given the individual's already increased risk of developing IBD and, as noted above, no studies have assessed long-term disease risk in first-degree relatives with increased intestinal permeability. However, a range of exciting experimental mouse models have provided evidence supporting the idea that intestinal barrier loss can be one component that contributes to a multi-hit mechanism of IBD pathogenesis.

Diminished intestinal barrier function has also been proposed to play a pathogenic role in celiac disease, as immune system exposure to gliadin is necessary for celiac disease to become clinically evident. However, the route by which gliadin is passed from the lumen to the lamina propria is controversial and may involve either the transcellular or paracellular route. Support for this theory first came from the observations that intestinal permeability to

non-metabolizable sugars is increased during active disease and decreases to normal ranges after consumption of a gluten-free diet<sup>95</sup>. Additionally, a gluten challenge can increase intestinal permeability<sup>95</sup>. Later studies found that intestinal permeability positively correlates with disease activity and is increased in both patients and their healthy relatives<sup>96,97</sup>. Moreover, improvements in barrier function have been shown to precede histologic evidence of disease improvement after initiation of a gluten-free diet<sup>98</sup> and have even been reported in diarrhea-predominant irritable bowel syndrome (IBS-D) patients after challenge with gluten-free diet<sup>99</sup>.

Animal models of celiac disease include Irish setter pups, a subset of whom are gluten sensitive. Like patients with celiac disease, gluten-sensitive Irish setter pups display gluten-dependent increases in intestinal permeability that precede histologic enteropathy<sup>100</sup>. These observations are supported by multiple studies showing increased intestinal permeability upon gluten exposure in gluten-sensitive HLA-DQ8 transgenic mice<sup>101,102</sup>. Each of these results can potentially be a result of immune signaling to epithelia that results in increased permeability. Consistent with this, removal of the immune stimulus (i.e. gliadin) restores intestinal barrier function. However, *in vitro* studies indicate that gliadin may have a direct effect on the epithelium, as exposure to gliadin and gliadin peptides produce a significant reduction in barrier function of confluent intestinal epithelial cell (IEC-6) monolayers<sup>103</sup>. A similar result was reproduced using the human intestinal epithelial cell line Caco-2, and in the latter study, size-selectivity of gliadin-induced barrier defect was assessed by measuring flux of both 4 kDa and 70 kDa FITC-dextran across treated monolayers.<sup>104</sup> This revealed that gliadin-exposed Caco-2 monolayers were significantly more permeable to small (4 kDa) but not large (70 kDa) dextrans, indicating an increase in leak pathway flux with preservation of the unrestricted pathway.<sup>104</sup>

The mechanism for gliadin-mediated reductions of epithelial barrier function has been proposed to involve up-regulation of zonulin, a putative regulator of tight junction permeability. Zonulin expression is increased in patients with active celiac disease and a zonulin antagonist, larazotide acetate (AT-1001), inhibits gliadin-induced reductions in epithelial permeability *in vitro* and *in vivo*<sup>105,106</sup>. Unfortunately, clinical trials of larazotide have not produced similar reductions in intestinal permeability<sup>107</sup>.

Other mechanism of barrier loss in celiac disease may reflect polymorphisms in myosin-IXb, which have been linked to celiac disease.<sup>108,109</sup> Myosin-IXb is a Rho-GTPase activating protein (GAP) that plays a role in actin remodeling.<sup>110</sup> The myosin-IXb polymorphisms linked to celiac disease are within the N-terminal portion of myosin-IXb, the region of the protein which confers Rho-GAP activity<sup>110,111</sup>. However, studies of myosin-IXb variants in additional populations have yielded discrepant results with regard to association with celiac disease<sup>112,113</sup>. These conflicting results may be due to population differences, unidentified environmental cofactors, or false positive or negative results. Nevertheless, some support for a pathogenic role of myosin-IXb polymorphisms comes from studies linking these to Crohn's disease and ulcerative colitis<sup>114-116</sup>. While it remains to be tested if the identified myosin-IXb variants are pathogenic, the association of polymorphisms in a single protein with multiple disease entities underscores the hypothesis that common cellular mechanisms may underlie multiple inflammatory diseases. *In vitro*



studies of Caco-2 monolayers have shown an essential role of myosin-IXb in intestinal epithelial wound closure, tight junction protein localization, and epithelial barrier function at steady state <sup>117</sup>. All of these data suggest that myosin-IXb may play an important role in maintaining the barrier by regulating both the tight junction and epithelial repair. Although intestinal permeability was not studied in subjects carrying myosin-IXb polymorphisms, it is interesting to speculate that these variants may increase disease susceptibility by enhancing flux across both tight junction leak and unrestricted pathways. Indeed, myosin IXb KO mice were recently shown to have diminished epithelial barrier function, characterized by increased 40kDa dextran flux <sup>118</sup>. These observations are most likely explained by increased rates of epithelial apoptosis; however, intestinal epithelia from myosin IXb KO mice also display increased sub-apical phosphorylated MLC and reduced ZO-1 at tight junctions <sup>118</sup>.

Other studies have identified changes in claudin protein expression that may also impact flux across the tight junction pore pathway <sup>119,120</sup>. Thus, as in IBD, all three flux pathways likely contribute to permeability increases in celiac disease. One final factor that may affect transmucosal flux in celiac disease is the reduction in mucosal surface area as a result of villous blunting. This is often associated with reactive crypt hyperplasia. Together, this results in a skewing of the crypt:villus surface area ratio. Because the leak pathway of crypt tight junctions is far more permeable than in the villus <sup>52-54</sup>, this likely increases leak pathway flux. However, pore pathway flux may be reduced as a result of the overall loss of surface area. These changes explain the increased lactulose permeability, as it is a leak pathway probe, decreased flux of the pore pathway probe mannitol, and increased lactulose:mannitol ratio in celiac disease <sup>96,121,122</sup>.

## Contribution of mouse models to understanding intestinal barrier function in disease

A variety of mouse models have led to a more sophisticated understanding of the contribution of intestinal barrier function to inflammatory diseases. Dysregulation of adherens junction proteins has been described in human inflammatory bowel disease, and the critical role of epithelial barrier function in homeostasis was demonstrated in a mouse line with chimeric expression of a dominant negative N-cadherin cytoplasmic tail <sup>123,124</sup>. E-cadherin-mediated interactions were disrupted in intestinal epithelial lineages expressing the N-cadherin tail resulted aberrant epithelial differentiation, chronic active inflammation, and dysplasia <sup>123</sup>. A histologically similar inflammatory process characterized by erosions and ulcerations was reported in mice lacking intestinal-epithelial p120-catenin, which display marked E-cadherin downregulation due to enhanced degradation in the absence of p120-catenin <sup>125</sup>. More recently, mice with a targeted, conditional E-cadherin deletion within intestinal epithelium were generated.<sup>126</sup> These also displayed altered differentiation patterns, enhanced epithelial apoptosis, and bloody diarrhea as well as impaired bacterial defense.<sup>126</sup> Disease in each of these models likely reflects marked disruption of tight junctions secondary to adherens junction disassembly, and can therefore be considered a model of disease driven, at least partially, by unrestricted pathway defects. This may be a component of IBD pathogenesis, but is unlikely to reflect a primary mechanism in disease presenting

after the neonatal period. Nevertheless, it is interesting that polymorphisms near the gene *CDH1* that encodes E-cadherin have been linked to ulcerative colitis <sup>127</sup>.

Similar to human patients, colitis development in IL-10 knockout mice is highly variable in penetrance, age of onset, and severity. Environmental stimuli and genetic factors, including both targeted changes and strain-specific differences, contribute to the observed variation <sup>128,129</sup>. Moreover, enteric bacteria are necessary for colitis onset, as germ-free IL-10 knockout mice do not develop disease, and antibiotic treatment can attenuate colitis <sup>128,130,131</sup>. While the primary defect on IL-10 knockout mice is, obviously, immune, it is notable that intestinal barrier defects are present prior to clinical evidence of disease onset and do not develop under germ-free conditions <sup>130</sup>. From these data, it is unclear whether increased intestinal permeability is a key pathogenic component of colitis in IL-10 knockout mice or simply an early marker of mucosal injury. Several studies suggest that the former may be true. First, it is now well appreciated that the non-steroidal anti-inflammatory drug (NSAID) piroxicam can promote uniform disease development in IL-10 knockout mice <sup>132</sup>. Given that NSAIDs are known to result in epithelial damage, it is likely that NSAID treatment provoked disease by increasing flux across the unrestricted pathway. Similarly, administration of a zonulin agonist enhanced intestinal permeability and modestly increased disease severity in IL-10 knockout mice <sup>133</sup>. Conversely, a zonulin antagonist reduced intestinal permeability and disease severity in IL-10 knockout mice <sup>134</sup>. While the mechanism of action of these agents, including their specificity, is unclear, these data do suggest that modulating intestinal permeability can impact colitogenesis in IL-10 knockout mice.

Mouse models with more apical junctional complex defects may shed light onto the role of tight junction-mediated barrier in colitis development and progression. For example, mice lacking the junctional adhesion molecule-A (JAM-A), which facilitates tight junction assembly and leukocyte transmigration, display altered claudin protein expression, and increases in epithelial apoptosis, proliferation, and migration even in the absence of clinically-apparent disease. JAM-A deficient mice are also hypersensitive to DSS injury <sup>135</sup>. This may indicate that JAM-A expression is either protective against intestinal epithelial damage or enhances regenerative capacity, but may also reflect the inability of knockout mice to mount an adequate response to DSS injury given the pre-existing chronic epithelial damage. Further, it is important to note that JAM-A is expressed in many tissues, and a specific role for intestinal epithelial JAM-A has not been assessed. Nevertheless, it is notable that JAM-A expression is downregulated in human disease <sup>135</sup>.

A more targeted approach took advantage of the physiologically- and pathophysiologically-relevant tight junction regulator myosin light chain kinase to increase intestinal paracellular permeability. In this model, constitutively-active myosin light chain kinase (CA-MLCK) was expressed specifically within intestinal epithelia <sup>59</sup>. Notably, this increased intestinal paracellular permeability without impacting epithelial maturation, proliferation, or turnover, much like the subset of healthy first-degree relatives of Crohn's disease patients with increased intestinal permeability. CA-MLCK mice mature normally without developing spontaneous disease, but they do exhibit subclinical immune activation with TH1 polarization. Further, when challenged by adoptive transfer of effector T-lymphocytes,

disease onset is accelerated, severity is worsened, and overall survival is reduced relative to non-transgenic littermates. These experimental data are consistent with clinical data indicating barrier dysfunction alone is insufficient to cause clinically detectable disease, and also provide direct evidence that isolated tight junction dysfunction can contribute to disease pathogenesis in susceptible hosts. As discussed above, it is also notable that the CA-MLCK-induced increase in leak pathway permeability resulted in claudin-2 upregulation and enhanced pore pathway flux<sup>48</sup>.

A subsequent study investigated the interplay between immune activation, TNF signaling, intestinal epithelial MLCK expression, and intestinal barrier function using immune-mediated adoptive transfer colitis model<sup>60</sup>. Similar to human disease<sup>86</sup>, intestinal epithelial MLCK expression increased as colitis progressed<sup>60</sup>. This was accompanied by increased intestinal epithelial transcription and expression of TNFR2, which had been shown to mediate TNF-induced increases in MLCK transcription *in vitro*<sup>136</sup>. Consistent with this, TNFR2-deficient failed to upregulate MLCK expression or myosin light chain phosphorylation within intestinal epithelium. In contrast, deletion of TNFR1, which often regulates signaling on immune cells, had no effect on intestinal epithelial MLCK expression or activity. Further, mice lacking either TNFR2 or epithelial MLCK were significantly protected from increases in mucosal TNF production and permeability, and deletion of either gene markedly delayed onset of colitis<sup>60</sup>. Interestingly, claudin-2 upregulation was also attenuated in MLCK-deficient mice. This was a specific effect of intestinal epithelial MLCK, as intestinal epithelial CA-MLCK expression was able to fully restore all features of disease, including claudin-2 expression, in MLCK-deficient mice<sup>60</sup>. These data indicate that both TNFR2 and MLCK inhibition may be appropriate targets of future biologic therapies. They also raise the possibility that tNFR2 blockade may have advantages over TNF-targeted biologics in terms of reduced overall immunosuppression.

## Intestinal barrier function and systemic disease

Increased intestinal permeability has been reported in patients with an array of autoimmune diseases, suggesting a link between exposure to microbial antigens and development of autoimmune disease. Most notable among these is the link between graft versus host disease, which develops in many patients following allogeneic stem cell (bone marrow) transplantation. For many years it was known that the magnitude of intestinal barrier defects, primarily representing increased flux across the unrestricted pathway, correlated with severity of experimental graft versus host disease<sup>137,138</sup>. It was, however, not clear if this correlation merely represented the correlation between extent of epithelial damage and graft versus host disease severity or whether intestinal barrier loss played a specific causative role. One recent study has shown that intestinal barrier loss is not required for development of graft versus host disease in the context major antigen mismatch-driven bone marrow transplantation, which is the most commonly used experimental model<sup>138-140</sup>. However, in the more clinically-relevant setting of minor antigen mismatch transplantation, intestinal epithelial damage, i.e. increased unrestricted pathway flux, was an essential cofactor in disease pathogenesis<sup>139</sup>. Remarkably, this requirement could be overcome by intraperitoneal delivery of lipopolysaccharide, suggesting that transmucosal flux of bacterial

products may be the key event triggered by intestinal epithelial damage<sup>139</sup>. The specific role of tight junction-mediated barrier loss in graft versus host disease has not been defined.

Decreased intestinal barrier function has also been noted prior to clinical disease onset in patients with type 1 diabetes<sup>141</sup>. The biobreeding diabetes-prone (BBDP) experimental rat model of type 1 diabetes displays increased intestinal permeability prior to the onset of disease<sup>142</sup>. One study comparing the microbiome of BBDP rats to BB diabetes-resistant (BBDR) rats have shown more abundant *Lactobacillus* and *Bifidobacterium* in resistant rats; however, it remains unknown if alterations in microbiome composition are caused by diabetes or play a role in disease development. In another murine model of diabetes, the non-obese diabetic (NOD) mouse model, incidence of diabetes development can be influenced by exposure to and ability to sense luminal microbial stimuli<sup>143,144</sup>. As the primary regulator of interaction between the immune system and luminal antigens, the epithelial barrier is therefore likely to be essential to preventing disease. Indeed, a pathogenic link between barrier dysfunction and diabetes has been proposed to work through the negative tight junction regulator zonulin, as zonulin expression is increased in BBDP rats, and administration of anti-zonulin antibodies decreases incidence of auto-antibody production and development of clinical type 1 diabetes in this model<sup>145</sup>. While one study has reported that increased concentrations of serum zonulin correlate with intestinal permeability in patients with type I diabetes, a causative role in patients has not been demonstrated<sup>146</sup>.

## Targeting the epithelial barrier for therapy

Targeting and restoring the epithelial barrier is a tempting therapeutic goal. Unfortunately, no therapies currently exist to do so clinically, and one molecule shown to restore epithelial barrier function in pre-clinical studies did not replicate barrier-protective effects in clinical trials<sup>106,107,147</sup>. Nevertheless, many promising approaches to target the epithelial barrier have been proposed and are discussed below.

### Epithelial integrity restoration/regenerative strategies: the unrestricted pathway

Engraftment of intestinal stem cells has been proposed as a therapy for repairing damaged intestinal mucosa (i.e. the unrestricted pathway).<sup>148,149</sup> Recent technological advances have made long-term culture and expansion of intestinal stem cells possible and have led many to believe that isolation, expansion, and transplantation of intestinal stem cells can aid in epithelial regeneration<sup>150</sup>. This idea is supported by one study in which mice were subjected to DSS-induced epithelial damage and given either a mock enema or enema with cultured intestinal stem cells during recovery from DSS<sup>151</sup>. Stem cells were able to engraft in areas of ulceration and serve as long-lived intestinal stem cells in vivo. However, in this study, engraftment efficiency was low, resulted in a minimal immediate improvement and no long-term improvement after removal of DSS, suggesting that the most effective way to restore the barrier is to remove the disease stimulus. Moreover, the Lgr5+ intestinal stem cells that are expanded and engrafted have been proposed to serve as cancer stem cells<sup>152,153</sup>, and careful characterization of enteroid gene expression over many passages has not been performed, leaving open the possibility that engrafted enteroids may harbor

malignant potential. While system characterization and improved culture and engraftment methods may make this method more promising, without removal of the underlying stimulus causing epithelial damage (DSS in this case), this approach is unlikely to provide significant benefit.

More targeted approaches have also been proposed and involve potentiating signaling pathways important for epithelial expansion. Two growth factors essential for growth and expansion of intestinal stem cells – EGF and R-spondin – are potential therapeutic agents for restoring damaged epithelia. Activation of EGFR protects against TNF-induced apoptosis<sup>154</sup>, and R-spondin1 reduces disease severity in epithelial damage models of colitis<sup>155</sup>. One may be cautious against this approach because both EGF and R-spondin are mitogens, and both EGF and the R-spondin-augmented Wnt pathway are dysregulated in colon cancer. For example, loss of negative regulator of EGF pathway (Lrig1) results in hyper-proliferation of intestinal cells *in vivo*<sup>156,157</sup>. However, one study indicated that EGFR signaling actually decreased cancer incidence and altered colonic cytokine production in IL-10 knockout colitic mice, reigniting potential for this approach in a subset of colitis patients<sup>158</sup>.

### Tight junction regulation: pore and leak pathways

An alternative approach to barrier maintenance focuses on tight junction regulation and has potential roles in preventing initial IBD development in susceptible individuals and in promoting maintenance of remission. As discussed above, tight junction permeability is physiologically regulated to facilitate nutrient transport, raising concern of potential toxicity of this approach. While further studies are necessary to characterize and mitigate these potential undesired effects, two targets are particularly enticing. One promising target is MLCK, which has a well-defined mechanism of action with respect to barrier function in physiology and pathophysiology *in vitro*, *in vivo*, and in patients. Moreover, studies have shown beneficial effects of MLCK inhibition in mouse models of colitis when inhibition occurs specifically in the intestinal epithelium. However, MLCK inhibition harbors potentially detrimental off-target effects due to the fact that all MLCK isoforms share a common catalytic domain. For example, smooth muscle MLCK is essential for GI motility, blood pressure regulation, and airway contractility.<sup>159–161</sup> While MLCK remains a promising target, more specific means of targeting long MLCK must be developed prior to considering MLCK a drug target for treating human disease. As discussed above, Claudin-2 also offers a potentially druggable target by either modulating claudin-2 mobility or directly targeting dynamic claudin-2 pore opening and closing events.<sup>17,18</sup> Unfortunately, no drug for claudin-2 modulation currently exists.

### Concluding thoughts

As suggested by the above discussion, currently, the best therapy for treating epithelial barrier loss is to treat the underlying disease, as increased permeability is as likely to be a consequence of the disease as it is to be a cause. For example, anti-TNF antibodies, which have been successful therapies for IBD, treat the underlying immune activation while also significantly reducing intestinal permeability to near normal levels<sup>55</sup>. While there is

promise in targeting the epithelial barrier, more research is needed to define mechanisms of epithelial homeostasis and fundamental disease pathogenesis prior to therapeutically targeting the epithelial barrier.

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## Biographies

Matthew A. Odenwald, PhD, is an MD/PhD student at The University of Chicago Pritzker School of Medicine. He completed his PhD in the Department of Pathology under the mentorship of Dr. Jerrold Turner, MD, PhD, where he studied epithelial cell biology. His

work is focused on the role of tight junctions in establishing epithelial barriers and has uncovered novel roles for tight junction proteins as integrators of epithelial morphogenesis.

Jerrold R. Turner, MD, PhD, recently moved to Brigham & Women's Hospital and Harvard Medical School after service as Sara and Harold Lincoln Thompson Professor and Associate Chair of Pathology at The University of Chicago. He is an active gastrointestinal surgical pathologist, author of chapters in leading textbooks of pathology, gastroenterology, and mucosal immunology, and Editor of the new AGA journal *Cellular and Molecular Gastroenterology and Hepatology*. Dr. Turner's laboratory focuses on epithelial cell biology and gastrointestinal pathophysiology using techniques from electrophysiology to intravital microscopy. Major discoveries from his group include the central roles and regulation of myosin light chain kinase (MLCK) in tight junction regulation, the dynamic nature and functional impact of tight junction protein interactions, and molecular underpinnings of distinct high capacity-high selectivity (pore) and low capacity-low selectivity (leak) tight junction flux pathways.

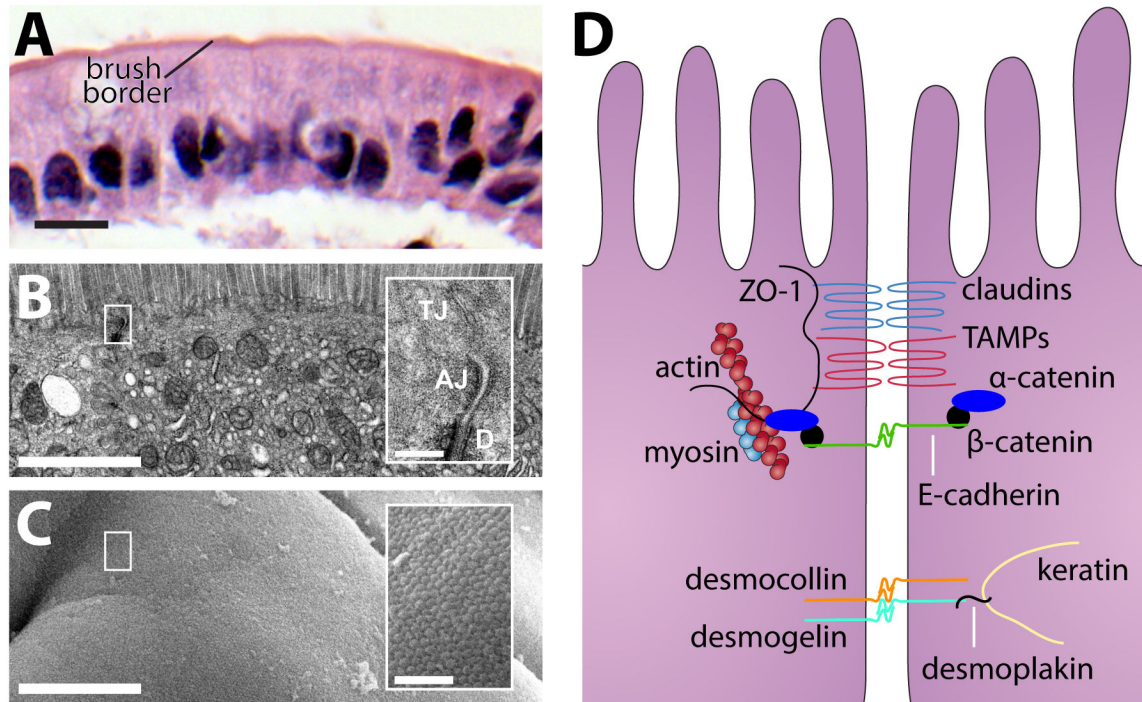
### Future Directions

- Establishing or refuting a pathogenic role for intestinal barrier dysfunction requires further investigation in both clinical studies and experimental models. It will also be important to determine if increased permeability in healthy first-degree relatives of Crohn's disease patients is a risk factor for disease development.
- Delineating the contributions of pore, leak, and unrestricted pathways to observations of increased intestinal permeability in disease will be necessary for mechanistic understanding of barrier function in disease and subsequent rational therapeutic design.
- Claudin-2 and MLCK are potential therapeutic targets for modulation of tight junction pore and leak pathway permeability, respectively. It will, however, be challenging to develop means to inhibit intestinal epithelial MLCK (to limit leak pathway flux increases) without toxicities due to systemic MLCK inhibition. Likewise, modulation of claudin-2 pores (pore pathway) without negatively affecting overall epithelial water and ion transport may be complex.
- Tight junction proteins have roles beyond barrier maintenance, including epithelial morphogenesis and differentiation. Definition of the underlying structure-function relationships and their contributions to diverse processes is a requisite precursor to targeting of barrier function without detrimental effects on other systems.



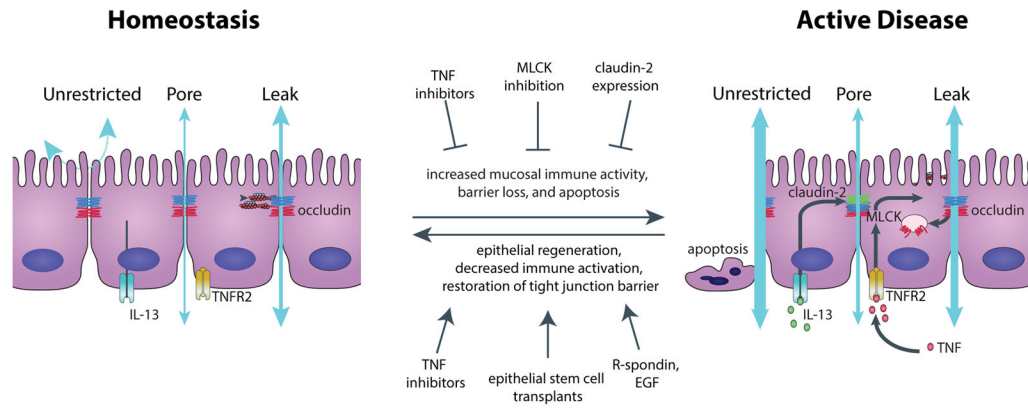
### Key Points

- The intestinal epithelium is a dynamic cellular layer that serves as a barrier between luminal contents and the underlying immune system while simultaneously supporting water, nutrient, and ion transport.
- Tight junctions are the primary determinants of barrier function in intact epithelia and are composed of a complex network of transmembrane and cytosolic proteins accompanied by cytoskeletal and regulatory proteins.
- Two distinct pathways – termed pore and leak – regulate paracellular flux in intact epithelia while the unrestricted flux pathway is the dominant route across ulcerated or denuded epithelia.
- Reduced intestinal epithelial barrier function is associated with a variety of gastrointestinal and systemic diseases, including inflammatory bowel disease and graft-versus-host disease, respectively.
- Experimental evidence for barrier defects contributing to disease is in IBD, where mouse models demonstrate that increased paracellular permeability accelerates experimental colitis and that preservation of tight junction barrier function delays disease progression.
- While no currently available therapeutics specifically modulate epithelial barrier function, promising approaches to target the pore, leak, and unrestricted pathways are being investigated.



**Figure 1. The apical junctional complex is necessary for epithelial barrier formation**

A) Intestinal epithelia consist of a single layer of epithelial cells separating the luminal contents (apical) from the underlying lamina propria (basal). This section of human jejunal epithelium stained with hematoxylin and eosin demonstrates that a series of individual cells form a community apical border which comprises the luminal surface. Bar = 10  $\mu\text{m}$ . B) Transmission electron microscopy (TEM) of small intestinal epithelium demonstrates intercellular junctions and a dense microvillus brush border. Note the exclusion of membranous organelles from the dense band of cortical actin just beneath the brush border. Bar = 1  $\mu\text{m}$ . Inset: Apical junctional complex, composed of the tight junction (TJ), adherens junction (AJ) and desmosome (D). Bar = 200 nm. C) Scanning electron microscopy (SEM) of small intestinal epithelium demonstrates the continuous brush border surface of the small intestine. Bar = 4  $\mu\text{m}$ . Inset: Dense, tightly-packed microvillus array. Bar = 500 nm. D) Individual epithelial cells are held together and communicate with one another through a series of junctions within the apical junctional complex. The apical junctional complex is positioned near the apical surface along the lateral membrane and is comprised of the tight junction, adherens junction, and desmosomes. A simplified cartoon of the apical junctional complex is shown on the right.



**Figure 2. Three distinct paracellular epithelial permeability pathways are disrupted during disease pathogenesis**

During homeostasis (left) there is little underlying mucosal immune activity, and the tight junction-regulated “leak” and “pore” pathways define intestinal permeability. In the presence of an intact epithelium, the tight junction-independent “unrestricted” pathway is sealed. During disease pathogenesis (right), increased mucosal immune activation leads to TNF and IL-13 production, which can lead to increased permeability across the leak and pore pathways, respectively. As disease progresses further, epithelial apoptosis occurs and permeability across the unrestricted pathway dominates. Multiple therapeutic approaches have been proposed to both inhibit disease progression or to restore epithelial barriers after disease onset.

**Table I**

Associations of representative diseases and disease models with intestinal barrier loss

	<b>Inflammatory Bowel Disease</b>	<b>Celiac Disease</b>	<b>Graft vs. Host Disease</b>	<b>Type I Diabetes Mellitus</b>
<b>Structural alterations</b>				
Pore pathway	Increased claudin-2 expression <sup>45,47,60,85</sup>	Increased claudin-2 expression <sup>119,120,162</sup>	Increased claudin-2 expression <sup>139</sup>	
Leak pathway	Reduced occludin expression <sup>47</sup> ; increased MLCK expression and activity <sup>58,60,86</sup> , MLCK inactivation reduces severity <sup>60</sup>	Reduced occludin expression <sup>104,119</sup>	Reduced occludin expression <sup>163</sup>	
Unrestricted pathway	Ulceration, epithelial apoptosis <sup>60,135,164</sup>		Epithelial apoptosis <sup>165</sup>	
<b>Functional alterations</b>				
Pore and/or leak pathways	Increased lactulose:mannitol ratio and PEG-400 permeability in disease, <sup>82,83,166-168</sup> impending relapse, <sup>87-89,169</sup> and some healthy relatives <sup>92,93,170</sup>	Increased lactulose:mannitol ratio in disease <sup>82,96,171</sup>	Increased sucralose permeability <sup>137</sup>	Increased lactulose:mannitol ratio
Leak and/or unrestricted pathways	Increased 4kD dextran and Evan's blue flux in DSS-induced colitis <sup>135,173,174</sup>	Pathogenic bacterial that increase intestinal permeability accelerate disease <sup>175</sup>	Development of experimental minor mismatch disease requires intestinal damage <sup>139</sup> , the extent of barrier loss induced by pre-transplant conditioning correlates with disease severity <sup>176</sup>	