# Clinical determinants of clopidogrel responsiveness in a heterogeneous cohort of Puerto Rican Hispanics

Dagmar F. Hernandez-Suarez, Stuart A. Scott, Matthew I. Tomey, Kyle Melin, Angel Lopez-Candales, Charlotte E. Buckley and Jorge Duconge

## Abstract

**Background:** Clopidogrel is by far the most prescribed platelet adenosine diphosphate (ADP) antagonist in Puerto Rico despite the advent of newer agents (prasugrel and ticagrelor). Given the paucity of data on clopidogrel responsiveness in Hispanics, we sought to determine the association between clinical characteristics and platelet reactivity in Puerto Rican patients on clopidogrel therapy.

**Study population:** A total of 100 Puerto Rican patients on clopidogrel therapy were enrolled and allocated into two groups: Group I, without high on-treatment platelet reactivity (HTPR); and Group II, with HTPR. Platelet function was measured *ex vivo* using the VerifyNow® P2Y12 assay.

**Results:** The cohort was comprised of Hispanic patients with coronary artery disease (57%), peripheral artery disease (32%), carotid artery stenosis (7%), cerebral artery aneurysm (2%), and stroke (2%). Mean platelet reactivity was  $200 \pm 61$  P2Y12 reaction units (PRUs) (range: 8–324), and 35% of patients had HTPR (PRUs  $\ge 230$ ). Multivariable logistic regression analysis determined that diabetes mellitus (DM) [odds ratio (OR) = 3.27; 95% confidence interval (CI): 1.20–8.96], use of proton-pump inhibitors (PPIs) (OR = 3.60; 95% CI: 1.09–11.82), and calcium channel blockers (CCBs) (OR = 3.10; 95% CI: 1.09–8.83) were independent predictors of HTPR (p < 0.05) after adjusting for other clinical variables.

**Conclusions:** In a sample of 100 Puerto Rican Hispanic patients on clopidogrel, 35% had HTPR. Furthermore, DM, PPIs and CCBs predicted HTPR. Clinical outcome data are needed to identify appropriate PRU thresholds for risk prediction in the Puerto Rican population.

Keywords: platelet reactivity, clopidogrel, Hispanics, Puerto Rico

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#### Introduction

Platelet adenosine diphosphate (ADP) receptor antagonists are the standard of care for prevention of recurrent atherothrombotic events. Despite the advent of newer agents (i.e. prasugrel, ticagrelor), clopidogrel remains the most prescribed ADP receptor antagonist used by up to 40 million patients worldwide.<sup>1</sup> However, significant variability in clinical response and platelet inhibition has been observed among individuals, leading to failure in reducing adverse cardiovascular outcomes in some patients.<sup>2</sup> Several clinical and genetic factors have been suggested as possible determinants for clopidogrel response variability.<sup>3–6</sup> Yet, current evidence is inconsistent about whether clinical factors are independent predictors of high on-treatment platelet reactivity (HTPR).<sup>7</sup>

Data on antiplatelet drug response in Hispanics, who are often under-represented in clinical studies are very limited,<sup>8</sup> underscoring the need for studies designed specifically for this high-risk patient population. Moreover, prior studies have Original Research

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Pharmaceutical Sciences Department, University of Puerto Rico Medical Sciences Campus, San Juan, Puerto Rico, USA demonstrated that Hispanics have a proinflammatory risk status that may contribute to their increased propensity to develop atherosclerotic disease,<sup>9,10</sup> as well as a higher prevalence of cardiovascular risk factors, recurrence rate of thrombotic events and worse cardiovascular outcomes when compared to non-Hispanic Whites.<sup>11–13</sup> In addition, clopidogrel is preferred among ADP receptor blockers in Puerto Rico, largely because of its availability as a generic drug and lower cost.

Since more emphasis is necessary on the relevance in a resource-poor setting of determining clopidogrel responsiveness and given that clinical predictors of impaired response to clopidogrel are not currently known in Caribbean Hispanics, we sought to determine potential predictors of high platelet reactivity in a small sample of Puerto Rican patients on clopidogrel therapy.

### Methods

### Study design and ethics

This was a multicenter cross-sectional study of Puerto Rican patients receiving antiplatelet therapy recruited from January to February 2017. The study was approved by the Institutional Review Board (Protocol No. A4070416) and it was conducted in accordance with the Declaration of Helsinki in compliance with Good Clinical Practice. Verbal and written informed consent was obtained from all participants included in the study.

#### Patient population and data collection

A total of 100 patients, males and females, of Hispanic Puerto Rican descent on clopidogrel therapy for any diagnosis, were consecutively recruited from all geographic regions of the island. Patient information was gathered from the medical record by a single physician. The study included Puerto Rican Hispanics >21 years old who were receiving 75 mg/day maintenance dose of clopidogrel for at least 7 consecutive days. Patients taking any oral anticoagulant or who were recently treated with glycoprotein IIb/IIIa inhibitors were excluded. Other exclusion criteria were Hematocrit (Hct)  $\leq 25\%$ , platelet count <100,000/mm<sup>3</sup>, Blood Urea Nitrogen (BUN)/creatinine > 30/1.5 mg/dl or active hepatic disease. The study cohort was divided into two groups based on P2Y12 reaction units (PRUs) cutoff values previously reported in the GRAVITAS

study:<sup>14</sup> Group I (65 patients) without HTPR (PRUs < 230) and Group II (35 patients) with HTPR (PRUs  $\ge$  230).

## Platelet function testing

An initial 2 ml blood sample was collected from each participant and saved for other laboratory tests as part of the preadmission process. A second tube containing 3.2% sodium citrate was then collected with 2 ml blood for platelet function testing. The blood was collected from a peripheral vein and platelet reactivity was assessed within 4 h of blood sampling. Platelet function was measured *ex vivo* using the United Stated Food and Drug Administration (US FDA)-approved point-ofcare VerifyNow P2Y12 analyzer following manufacturer instructions (Accumetrics, Inc. San Diego, CA, USA).

### Statistical analysis

Continuous variables were compared using the two-tailed Student's *t*-test, and categorical data were assessed using either Chi-square or Fisher's exact tests as appropriate. Spearman or Pearson correlation tests were used to determine the association between all measurements. A multiple logistic regression was performed to determine predictors of HTPR and a multiple linear regression was used to describe the contribution of clinical characteristics towards PRU values. Receiver operating characteristic (ROC) analysis was used for the evaluation of predictive models. Statistical analyses were performed using SAS software version 9.4 (SAS Institute, Cary, NC, US.), and *p*-values <0.05 were considered statistically significant.

## Results

#### Study population

The study cohort (n = 100) consisted of patients with coronary artery disease (CAD; 57%), peripheral artery disease (PAD; 32%), carotid artery stenosis (7%), cerebral artery aneurysm (2%), and stroke (2%) on clopidogrel therapy for secondary prevention of thromboembolic events. All patients were on 75 mg/day maintenance dose of clopidogrel for more than 7 days. Among all enrolled patients, the mean platelet reactivity was 200 ± 61 PRUs (range: 8–325) and 35 had HTPR (PRUs  $\geq$  230). Figure 1 illustrate the wide distribution of platelet reactivity in the studied population. Moreover, patient baseline characteristics are

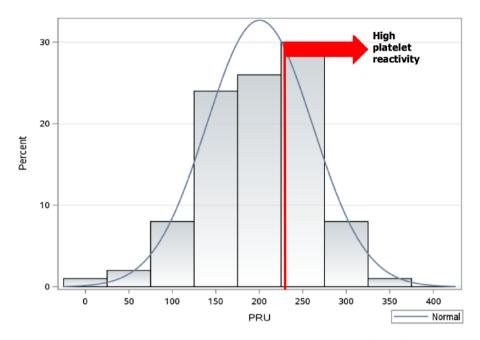


Figure 1. Distribution of platelet reactivity as measured by P2Y12 reaction units (PRUs).

depicted in Table 1. The non-HTPR and HTPR groups significantly differed in their history of diabetes mellitus (DM), use of proton-pump inhibitors (PPIs), and calcium channel blockers (CCBs) (p < 0.05). No patient reported being on morphine or amiodarone.

## Correlation between clinical characteristics and HTPR

Significant univariate correlations were observed between HTPR and DM, as well as use of PPIs and CCBs (p < 0.05). No other clinical variables were associated with HTPR. A total of five clinical characteristics (age, DM, active smoking, PPIs, CCBs) previously reported to affect the pharmacokinetics and pharmacodynamics of clopidogrel among non-Hispanics were included in a multivariable logistic regression analysis. Only history of DM, use of PPIs and CCBs were independently correlated with HTPR [odds ratio (OR) = 3.27, 95% confidence interval (CI): 1.20–8.96; OR = 3.60, 95% CI: 1.09–11.82; OR = 3.10, 95% CI: 1.09–8.83; respectively] after adjusting for all other clinical variables (Table 2). Additionally, 28% of the total variation in PRUs was explained by these five clinical factors ( $R^2 =$ 0.28, p < 0.01).

#### Development of an HTPR predictive model

Several predictive models to estimate the log odds of HTPR were obtained using both simple and

multivariate logistic regression analysis. The best fit model was selected based on the area under the curve (AUC) obtained by a receiver operating characteristic (ROC) analysis and it is represented as follows:

 $Logit \left[ Pr(HTPR = Yes I DM, PPI, CCB) \right] = -1.93 + 1.20 \times DM + 1.19 \times PPI + 1.20 \times CCB$ 

As noticed, the proposed model included all the variables independently associated with HTPR (DM, PPIs and CCBs), as they all together showed the higher AUC (0.7319, 95% CI: 0.6359–0.8278) when compared with the nested simple models (Figure 2).

#### Discussion

The paucity of studies exploring the association between clinical factors and HTPR in non-White populations prompted our study on the clinical variables of on-treatment platelet reactivity, which identified a novel association between selected clinical variables and HTPR in a heterogeneous cohort of Puerto Rican Hispanics on clopidogrel therapy.

Similar to other studied populations, history of DM, the use of PPIs and CCBs were independent predictors of HTPR.<sup>6,15,16</sup> However, other clinical characteristics such as current smoking status and use of statins were not significantly associated with HTPR in our study cohort.

Characteristics*	Non-HTPR ( <i>n</i> = 65)	HTPR ( <i>n</i> = 35)	All patients ( <i>n</i> = 100)	<i>p</i> -value		
Age (years)	67 ± 11	71 ± 12	69 ± 11	0.19		
Males	35 (54)	15 (43)	50	0.30		
BMI (kg/m²)	27 ± 5	$30\pm7$	28 ± 6	0.14		
Medical history						
Hypertension	56 (86)	34 (97)	90	0.16		
Diabetes mellitus	31 (48)	26 (74)	57	0.01		
Dyslipidemia	54 (83)	30 (86)	84	0.73		
Active smoker	13 (20)	2 (6)	15	0.07		
Main cardiovascular diagnosis						
Coronary artery disease	39 (60)	18 (51)	57	0.46		
Peripheral artery disease	18 (27)	14 (40)	32			
Carotid stenosis	6 (9)	1 (3)	7			
Stroke	1 (2)	1 (3)	2			
Cerebral aneurysm	1 (2)	1 (3)	2			
Concomitant therapy						
Aspirin	41 (63)	21 (60)	62	0.76		
Proton-pump inhibitors	8 (12)	11 (32)	19	0.02		
Statins	48 (74)	28 (80)	76	0.49		
Calcium channel blockers	10 (15)	15 (43)	25	< 0.01		

lable 1. Baseline clinica	l characteristics of the stud	ly patients according	g to on-treatment	platelet reactivity.

\*Values are mean  $\pm$  SD or *n* (%).

BMI, Body mass index; HTPR, high on-treatment platelet reactivity.

**Table 2.** Stepwise logistic regression analysis to determine the best predictor of high on-treatment platelet

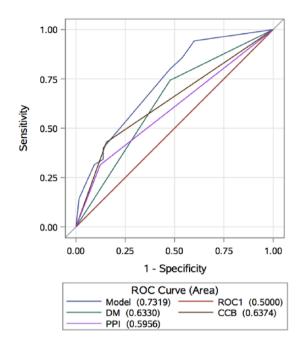
 reactivity.

Clinical variables	OR	95% CI	<i>p</i> -value	$\mathbf{OR}_{adjusted}$	95% CI	<i>p</i> -value	
Age	1.02	0.99-1.07	0.19	1.02	0.97-1.06	0.49	
Diabetes mellitus	3.17	1.29-7.80	0.01	3.27	1.20-8.96	0.02	
Active smoker	0.24	0.05-1.14	0.07	0.24	0.04-1.37	0.11	
Proton-pump inhibitors	3.27	1.17-9.13	0.02	3.60	1.09-11.82	0.03	
Calcium channel blockers	4.13	1.60-10.66	< 0.01	3.10	1.09-8.83	0.03	
CI, confidence interval; OR, odds ratio.							

Clinical variables accounted for ~28% of the observed variation in platelet responsiveness. This predicted value is higher than the addition of both clinical and pharmacogenetic factors, previously reported by Larsen and collaborators.<sup>17</sup> The effect of this interaction may be more prominent

in Puerto Ricans as they are disproportionately affected by DM and other cardiovascular risk factors when compared with other ethnic groups.<sup>18</sup>

High platelet reactivity is an objective measurement of poor clopidogrel responsiveness that has



**Figure 2.** Receiver operating characteristic curves for HTPR predictive model diagnostics. CCB, calcium channel blocker; DM, diabetes mellitus; HTPR, high on-treatment platelet reactivity; PPI, protonpump inhibitors; ROC, receiver operating characteristic.

been associated in several studies with an for thrombotic events.<sup>19-21</sup> increased risk However, due to a lack of consensus on a standard PRU threshold value to define HTPR, comparisons of reported HTPR prevalence rates are difficult to make and are at high risk for bias. Recent systematic reviews have estimated the prevalence of HTPR to be between 16-50% among patients treated with clopidogrel.<sup>21,22</sup> However, proposed HTPR cutoff values are imprecise, and are likely highly dependent on the unique clinical and pharmacogenetic characteristics of each specific studied population.<sup>23</sup> Using the VerifyNow P2Y12 assay, a PRU cutoff value between 230-240 has been reported as prognostic for subsequent major adverse cardiovascular events (MACEs).14,24

We also developed a fitting model to predict HTPR in Puerto Rican Hispanics on 75 mg/day maintenance dose of clopidogrel for at least 7 consecutive days. This model may be useful to target those patients with a high likelihood of having poor response to clopidogrel and consequently, facilitate an early therapy optimization to prevent further adverse cardiovascular events. However, this model, although novel for this population, may benefit from additional clinical, genetic or epigenetic predictors. One of the limitations of this study is its relatively small sample size, which may decrease its power. However, our intent was to make a preliminary exploration about possible trends in the association between clinical variables and platelet reactivity using VerifyNow point-ofcare testing in Puerto Rico. To our knowledge, this is the first time that such a relationship has been studied in the Caribbean. In addition, the cohort does not currently include patients with acute coronary syndromes, so our results may not be generalizable to this specific indication. However, many of our patients were scheduled to undergo percutaneous coronary intervention (PCI) or other vascular stenting procedures shortly after being enrolled in our study. Although most reported studies are focused on the influence of HTPR on MACEs in PCI patients after a loading dose of clopidogrel, the impact of reaching an adequate platelet reactivity value or switching antiplatelet therapy based on clopidogrel responsiveness several days before this procedure remains unclear. Also, despite all patients self-reporting their adherence to clopidogrel, it is possible that some of the enrolled patients may not have been completely adherent. Finally, this study did not include CYP2C19 or other candidate genes reported to influence clopidogrel responsiveness; however, pharmacogenetic analyses are currently underway, which will form the basis of a subsequent manuscript on this important and under-represented population.

#### Conclusion

We identified specific clinical characteristics (DM, use of PPIs and CCBs) to be independently associated with HTPR (PRUs  $\geq 230$ ) in a Hispanic Puerto Rican patient population treated with clopidogrel antiplatelet therapy. Further studies are warranted to determine if *CYP2C19* or other pharmacogenetic determinants of clopidogrel responsiveness are relevant, as well as the role of platelet reactivity in guiding antiplatelet therapy and predicting future adverse cardiovascular events in the Puerto Rican population. Additionally, clinical outcomes data are needed to identify appropriate PRU thresholds for risk prediction in this population.

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#### **Conflict of interest statement**

The authors declare that there is no conflict of interest.

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