

COMMENTARY



Antibody-mediated immunotherapy against chronic hepatitis B virus infection

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ABSTRACT

The currently available drugs to treat hepatitis B virus (HBV) infection include interferons and nucleos(t)ide analogs, which can only induce disease remission and are inefficient for the functional cure of patients with chronic HBV infection (CHB). Since high titers of circulating hepatitis B surface antigen (HBsAg) may be essential to exhaust the host anti-HBV immune response and they cannot be significantly reduced by current drugs, new antiviral strategies aiming to suppress serum hepatitis B surface antigen (HBsAg) could help restore virus-specific immune responses and promote the eradication of the virus. As an alternative strategy, immunotherapy with HBsAg-specific antibodies has shown some direct HBsAg suppression effects in several preclinical and clinical trial studies. However, most described previously HBsAg-specific antibodies only had very short-term HBsAg suppression effects in CHB patients and animal models mimicking persistent HBV infection. More-potent antibodies with long-lasting HBsAg clearance effects are required for the development of the clinical application of antibody-mediated immunotherapy for CHB treatment. Our recent study described a novel mAb E6F6 that targets a unique epitope on HBsAg. It could durably suppress the levels of HBsAg and HBV DNA via Fc γ receptor-dependent phagocytosis *in vivo*. In this commentary, we summarize the current research progress, including the therapeutic roles and mechanisms of antibody-mediated HBV clearance as well as the epitope-determined therapeutic potency of the antibody. These insights may provide some clues and guidance to facilitate the development of therapeutic antibodies against persistent viral infection.

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Introduction

Chronic hepatitis B virus (HBV) infection is a major global public health issue. It is estimated that there are approximately 248 million individuals worldwide that are persistently infected with HBV.¹ Chronic HBV infection (CHB) can cause chronic hepatitis and places patients at high risk of death from liver cirrhosis (LC) and hepatocellular carcinoma (HCC). Approximately 25 to 30% of people who acquire HBV as children will develop LC and/or HCC as adults. Worldwide, approximately 78,000 people die each year because of the acute or chronic consequences of HBV infection.^{1,2}

The successful development of preventive hepatitis B vaccines have effectively reduced new cases of HBV infection globally.³ However, there are still millions people with CHB that need an effective anti-HBV therapy to prevent the complications of the disease.⁴ Interferons (IFNs) and nucleos(t)ide analogs (NAs) have been approved for treatment of CHB alone or in combination therapies. Despite the fact that these drugs have demonstrated clinical benefits for CHB patients, the virus is difficult to eliminate by current available therapeutics. A favorable clinical treatment outcome is the loss of serum hepatitis B surface antigen (HBsAg), which allows therapy to be discontinued and is associated with a significantly reduced risk of developing LC and HCC. Unfortunately, based on the long-

term follow-up of patients, current treatments achieve HBsAg clearance only in a small fraction (< 10%) of patients.^{5–10} This issue with CHB can be attributed to 2 major reasons. First, the virological key is the persistence of the intracellular HBV replication intermediate, covalently closed circular (ccc) DNA, which resides in HBV-infected cells and cannot be suppressed by current treatments.^{11–13} Second, the immunological key is the exhausted host anti-HBV immune response, included the functional exhaustion of either cellular or humoral antiviral immunity.^{14–16} Therefore, there are 2 major approaches in the current research aiming to develop novel anti-HBV therapeutic strategies. Several efforts have been made to develop cccDNA targeting antiviral strategies, but progress is still limited because of the absence of desirable experimental models and an inadequate understanding of the mechanisms of maintenance and regulation of cccDNA.^{17,18} On the other hand, immune restoration is likely indispensable for off-treatment virus control, even if ways to suppress cccDNA are found. A high-titer of serum HBsAg is considered the most important factor responsible for HBV immunotolerance in CHB patients.^{14,15,19,20} The reduction of serum HBsAg may allow the immune system to tame viral infection and promote host immune restoration. Unfortunately, neither IFNs nor NAs can induce a HBsAg reduction efficiently. New therapeutic agents and innovative treatment strategies that

can effectively remove HBsAg are needed to improve the clinical management of this disease.

Antibody-based immunotherapy is widely used to treat cancer, autoimmune diseases and inflammation. For viral infectious diseases, polyclonal antibodies of hyperimmune human IgG preparations are used for the prevention and treatment of acute infections of rabies, vaccinia, varicella-zoster virus (VZV), influenza viruses and HBV. A humanized monoclonal antibody (mAb) against respiratory syncytial virus (RSV, Palivizumab) is used to prevent RSV infection.²¹ Generally, the neutralizing antibodies can block the steps viruses take to enter into cells by several different mechanisms, thus playing a preventive role in viral infection diseases, but they are mostly impotent for established viral infections, particularly for treating persistent viral infections. The therapeutic role of antibodies for persistent viral infections has lacked understanding until recently. This is especially true for HIV, which has dominated most of the reports dedicated to broadly neutralizing monoclonal antibodies (mAbs) during the past 5 y. Several studies have reported that certain unusual neutralizing antibodies could be used therapeutically to treat established simian immunodeficiency virus (SIV) infections. This virus has the same envelope proteins as the human immunodeficiency virus type 1 (HIV-1). Some potent broadly neutralizing antibodies (bnAbs) against HIV can suppress plasma virus titer over a 3-log change in SHIV-infected rhesus monkeys.^{22,23} The latest research in clinical trials further demonstrated that the *in vivo* administration of such bnAbs had potent anti-viral activity in HIV-infected human individuals, which supported the idea that antibody-mediated immunotherapy might be useful for the clinical treatment of HIV-1 infection.²⁴⁻²⁶ These findings underline the therapeutic potential of antibody-based immunotherapy in the fight against persistent viral infections. Similar to HIV, the hepatitis B virus (HBV) causes chronic, even life-long infection. The 2 viruses share several characteristics: they both replicate via reverse-transcription-dependent replication, both viral genomes can integrate into the host genome, they both cause serious public health problems and both require more effective drugs. The early explorations of monoclonal (mAb)-based treatments of chronically HBV-infected humans and animals only demonstrated transient viremia suppression effects that were very similar to the effects of treatments based on hepatitis B immune globulin (HBIG), which is prepared from the plasma of donors who have high counts of HBsAg antibodies.^{27,28} More potent antibodies, particularly those that have more prolonged viral suppression effects, are essentially required for the further development of antibody-based immunotherapy strategies for chronic HBV infection.

Epitope-dependent therapeutic effects of anti-HBsAg mAbs

There are several accessible epitopes on HBV large, middle and small surface proteins that have been identified, including but not limited to those shown in Fig. 1A. One famous epitope has only been presented on the HBV large surface protein surrounding the aa21-aa47 of preS1 region. MAbs specific to this epitope, such as MA18/7, 4D11 and 7H11,^{29,30} usually have potent neutralization activities because this epitope is located in the HBV cellular receptor (NTCP) binding site (RBD).^{31,32}

The mAbs recognizing aa33-aa52 of the preS2 region, which is located at the translocation motif (TLM) of the middle and large surface proteins, were found to have HBV genotype-specific binding activity.³³⁻³⁵ For the small HBsAg, at least 3 epitope clusters on the viral particle surface were noted in previous studies (Fig. 1A and B).^{36,37} The majority of small HBsAg-specific antibodies raised by vaccination or natural infection recovery recognize the conformation-dependent “a” determinant located within the first loop containing aa124-aa137 and the second loop comprising aa139-aa147.³⁸ High-affinity mAbs to “a” determinant (sB mAbs) generally exhibited potent neutralization activities similar to that of mAbs for preS1 RBD because the “a” antigenic loop is responsible for the initial interaction between the virus and cell surface heparin sulfate proteoglycans.³⁹⁻⁴² There are 2 independent linear epitopes located in the surface-exposed antigenic loop in the major hydrophilic region (MHR), which surrounds the “a” determinant region.³⁷ The first one contains aa119-aa125 within the N-terminus of the first loop, which includes a CXXC motif.⁴³ It is usually found in protein-disulfide isomerase-related proteins and is evolutionarily and cross-genotype conserved.^{41,44} The binding activities of mAbs to this epitope (sA mAbs) are highly tolerant to common immune-escape HBV mutants, such as G145R, K141E and D144A.³⁶ The second one contains aa139-aa147 within the second loop. The binding of mAbs to this epitope (sE mAbs) are highly sensitive to immune-escape HBV mutants, similar to those of “a” determinant mAbs.^{36,37} According to previous studies, it is possible that the antibodies in HBIG predominantly recognize the conformational “a” determinant and/or second loop epitope.^{36,40,45}

Most mAbs against the abovementioned HBV surface-exposed epitopes could neutralize HBV infection *in vitro* to various degrees.⁴¹ However, their therapeutic uses still need to be evaluated *in vivo*. Our recent study investigated the therapeutic efficacy of mAbs against various epitopes in HBV transgenic (HBV-Tg) mice.⁴¹ The HBV-Tg mice we used for the study had a terminally redundant 1.3-fold HBV genome insertion that produces viral particles HBsAg and HBeAg at high levels comparable to those of patients with chronic HBV infection.⁴⁶ Although the HBV particles produced by HBV-Tg mice do not enter murine hepatocytes, HBV-Tg mice are a suitable model for evaluating anti-HBV antibody-mediated viral clearance. Our results demonstrated that the anti-HBV therapeutic efficacy of the mAb is highly dependent on its binding epitope, and the efficacy does not predominantly correlate with the mAb's binding activity or *in vitro* neutralizing capability. The mAbs specific to aa119-aa125 within the N-terminal of the first loop (sA mAbs) exhibited more striking therapeutic effects than those that recognize other epitopes. Interestingly, although the mAbs to the preS1 RBD region have very potent *in vitro* HBV-neutralizing capability, little or no viral suppression, either at the HBsAg level or with the virus titer (HBV DNA), was observed in mice that received these mAbs. The mAbs recognizing the “a” determinant and/or second loop had significant suppression effects to HBsAg and HBV DNA, but their effects were more transient than those of sA mAbs. This finding was consistent with the observations found in previous clinical trials where patients with chronic HBV infection were treated with mAbs against “a” determinant and/or second loop. One of

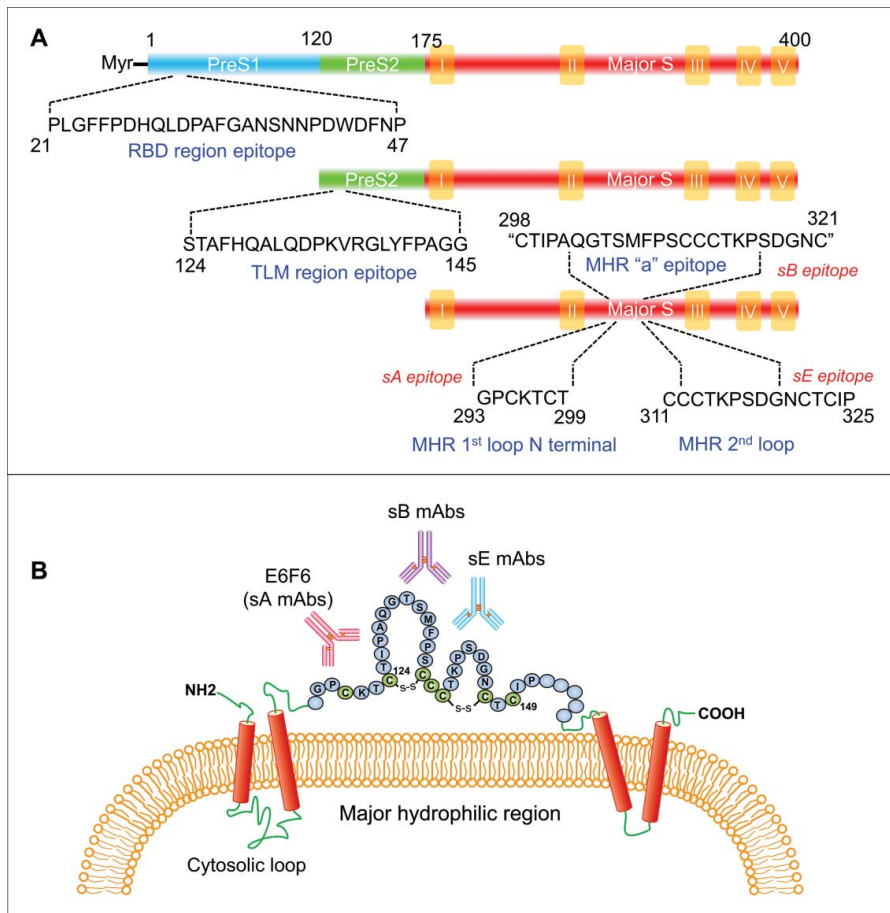


Figure 1. The epitopes and domain characterizations of HBV surface proteins. (A) A schematic diagram depicting the binding sequences of mAbs targeting the HBV surface proteins. (B) The epitope localization of the mAbs targeting HBV small surface protein (HBsAg). TLM = Translocation motif; RBD = receptor binding domain; MHR = major hydrophilic region.

the sA mAbs (E6F6) that completely differs from other mAbs and exhibits striking therapeutic effects in multiple HBV murine models without significant side effects. We demonstrated that a single infusion of E6F6 dramatically suppressed the levels of HBsAg and HBV DNA over 3.0 log-change for several weeks in HBV mice. These results suggested that the binding epitope differences may significantly impact the *in vivo* viral clearance potency of mAbs, thereby underlining the importance of mAb epitope characterization and clustering in the selection and *in vivo* evaluation of antiviral therapeutic antibodies.⁴⁷

Therapeutic effects and mechanisms of E6F6 mAb

Theoretically, the *in vivo* administration of virus-specific antibodies has multiple therapeutic functions. Neutralizing antibodies that bind to and inactivate viral envelope proteins block virus entry and therefore prevent the spread of infection.²¹ Moreover, some antibodies might have intrinsic effector functions that facilitate the direct clearance of circulatory viruses, viral antigens or virus-producing cells via antibody-dependent cell-mediated cytotoxicity (ADCC), complement lysis (CDC) or phagocytosis (ADCP). In addition, antibody-virus complexes bind to Fc receptors that are expressed by immune effector cells that can trigger a multitude of innate and adaptive responses against viruses.^{48,49} In HBV-Tg mice, a neutralization

effect could not be observed because mouse hepatocytes do not support HBV infection. Thus, the HBV suppression effects of E6F6 in HBV-Tg mice should be derived from antibody-dependent viral clearance. In our results, no significant ALT elevation or evidence of histological hepatitis was observed, suggesting that the ADCC and CDC may not be involved in E6F6-mediated HBV suppression. Further experiments in different mouse strains, including nude, SCID, Rag2^{-/-} and NOD-SCID as well as complement-depleted HBV mice, confirmed that the direct antiviral effects of E6F6 were independent of ADCC and CDC. However, phagocyte depletion via λ -Carrageenan significantly reduced the HBV suppression effects of E6F6. Notably, compared with mice treated with isotype-control mAb, both intracellular E6F6 and HBV (immune-complex) were significantly increased in liver Kupffer cells, neutrophils and phagocytes in peripheral blood lymphocytes. Moreover, the abolishment of the interaction between the E6F6 Fc region and the mouse Fc γ receptor via mAb Fc mutations disabled the HBV suppression effects of E6F6 in HBV-Tg mice. These results demonstrate that E6F6 mediates the highly efficient viral immunoclearance via Fc γ receptor-dependent phagocytosis. Consistent with these observations, recent studies on HIV-1 therapeutic antibodies also revealed that the Fc-Fc γ R interactions are essentially required to achieve full therapeutic activity through the clearance of IgG-opsonized virions and the elimination of HIV-infected cells.^{48,50} Taken together, we propose

that Fc-Fc γ R interactions play a key role in antibody-mediated viral clearance (Fig. 2). Therefore, the enhancement of the Fc γ R-mediated effector functions through the augmented activation of the Fc γ R-mediated pathways via mAb Fc modifications may lead to antiviral therapeutic antibodies with improved *in vivo* efficacy.

In addition to the potent immune-clearance effects of E6F6 in HBV-Tg mice, we further demonstrated the immune-modulation effects of this mAb. When a hydrodynamic injection HBV mouse model was used to mimic the adaptive tolerance phase of chronic HBV infection in immuno-competent mice, successive infusions of E6F6 lead to a sustained HBsAg reduction and to an increased number of HBcAg-specific interferon- γ -secreting T-cells and HBsAg-specific and HBcAg-specific CD8+T-cells, suggesting that E6F6 treatment facilitated the restoration of the anti-HBV T-cell response. Given that Fc γ R-mediated immune-clearance has multiple effector functions on several aspects of adaptive immune response, including stimulation of antigen processing and presentation, the modulation of antigen-presenting cell function, and regulation of T-and B-cell responses (Fig. 2), the passive administration of antiviral mAbs with potent viral clearance effects should also stimulate host antiviral immunity, therefore providing the opportunity for the induction of long-term humoral and cellular immune responses.

Although the neutralization activity of E6F6 does not play a predominant role in the treatment of established HBV infection, its significance may be apparent in patients whose viral load had been greatly reduced by pretreatment of E6F6 or other antiviral drugs. Using human-liver chimeric FRG mice, which support robust *in vivo* HBV infection,⁵¹ we demonstrated that regimens of E6F6 efficiently blocked initial HBV infection and viral spreading from infected hepatocytes. This effect of E6F6 is possibly attributed to both its potent viral clearance capacity and its inhibitory activity for viral entry, and it may play an

important role in the prevention of HBV reactivation, thereby facilitating sustained HBV suppression. In summary, E6F6 can suppress HBV via 3 different modes: i) conducting highly efficient viral immune-clearance through Fc γ receptor-dependent phagocytosis, ii) stimulating the restoration of the anti-HBV T-cell response, and iii) blocking the viral entry and propagation of HBV in the liver (Fig. 2).

Challenges and future perspective

There are some unresolved mechanisms that need to be addressed concerning the therapeutic roles of E6F6. Further investigations may focus on investigating the molecular mechanism and structural basis of how and why binding epitope differences impact the *in vivo* viral clearance potency. Our preliminary data revealed a unique characteristic for immune complexes (ICs) of E6F6-like mAbs and HBV viral particles that is completely different from mAbs targeting other epitopes. Examinations of the mAb-viral particle ICs using electron microscopy and low-speed centrifugation demonstrated that the E6F6-like mAbs only form smaller antibody-viral particle ICs and do not induce any viral particle aggregation, whereas mAbs to other epitopes profoundly induce viral particle aggregation. Our recent cryo-electron microscopy (cryo-EM) reconstruction analyses of the E6F6 Fab fragment in complexes with spherical HBsAg particles suggested that the 2 E6F6 arms might directly target 2 adjacent HBsAg monomers on a single HBsAg octahedron particle with limited inter-particle crosslinking, thereby preventing the formation of large antibody-viral particle ICs (unpublished data). As previous reports suggested that the size of antibody-opsionized particles strongly affects their phagocytotic efficacy, it is reasonable to speculate that macrophages phagocytose smaller ICs more efficiently than larger ones because the cell membrane takes more time to enclose larger particles than small

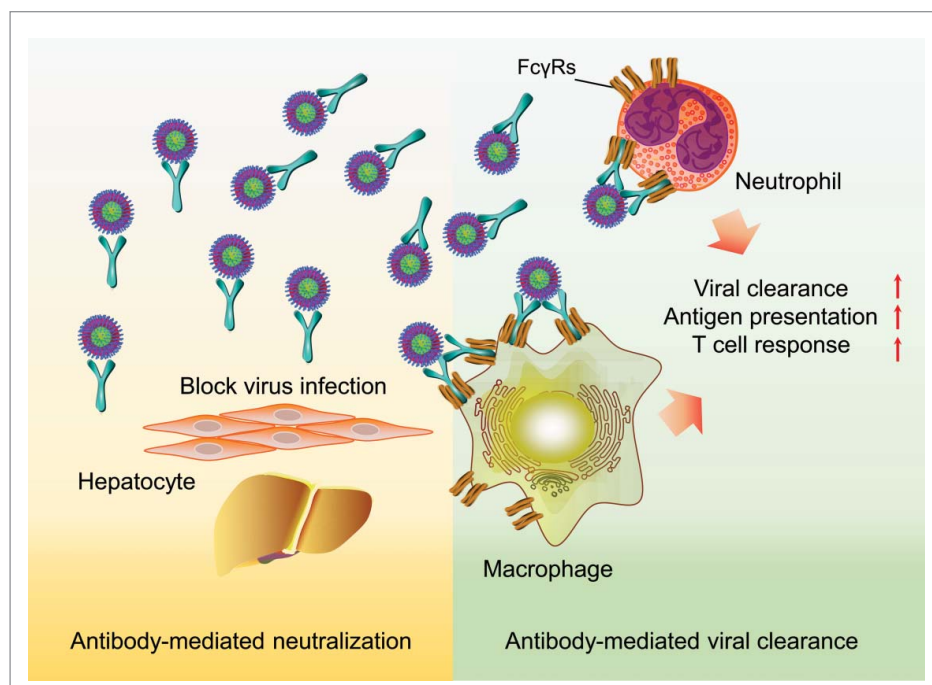


Figure 2. A graphic summary of the therapeutic roles and mechanisms of antibody-mediated immunotherapy for HBV infection.

particles.⁵²⁻⁵⁴ If further experimental evidence validates and supports this hypothesis, perhaps the size of antibody-viral particle ICs and the *in vitro* opsonophagocytotic efficacy would be considered new important parameters in addition to binding affinity and neutralization capabilities for the selection of mAbs with therapeutic potential in the future.

Although we provided a systematic *in vivo* evaluation of the data supporting the therapeutic potential of E6F6 for chronic HBV infection, all results were derived from murine models. Thus far, the therapeutic effects and possible safety concerns in human beings with chronic HBV infection are largely unknown. Moreover, the appearance of escape mutants during mAb treatment has been observed in clinical trials of anti-HIV therapeutic antibodies, suggesting the same possible issue for anti-HBV therapeutic antibodies.²⁵ The E6F6 binding epitope (GPCK((R)TCT) is considered one of the most important motifs required for the infectivity of HBV in previous *in vitro* studies.³⁹ However, the emerging risk of escape mutants should be further evaluated in human-liver chimeric mice, particularly in long-term and multiple-dose treatment procedures. In addition to E6F6, other potent targets (epitopes) and mAbs are required to be explored for the development of a cocktail of anti-HBV antibodies if E6F6-resistant HBV mutants emerged. Although there are several challenges that need to be overcome before the final clinical applications of this antibody and other mAbs with similar potency, the development and use of antibody-mediated immunotherapy in patients with chronic HBV infection are certainly expected.

Disclosure of potential conflicts of interest

No potential conflicts of interest were disclosed.

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