

## Potassium channel blockers as an effective treatment to restore impulse conduction in injured axons

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**Abstract:** Most axons in the vertebral central nervous system are myelinated by oligodendrocytes. Myelin protects and insulates neuronal processes, enabling the fast, saltatory conduction unique to myelinated axons. Myelin disruption resulting from trauma and biochemical reaction is a common pathological event in spinal cord injury and chronic neurodegenerative diseases. Myelin damage-induced axonal conduction block is considered to be a significant contributor to the devastating neurological deficits resulting from trauma and illness. Potassium channels are believed to play an important role in axonal conduction failure in spinal cord injury and multiple sclerosis. Myelin damage has been shown to unmask potassium channels, creating aberrant potassium currents that inhibit conduction. Potassium channel blockade reduces this ionic leakage and improves conduction. The present review was mainly focused on the development of this technique of restoring axonal conduction and neurological function of demyelinated axons. The drug 4-aminopyridine has recently shown clinical success in treating multiple sclerosis symptoms. Further translational research has also identified several novel potassium channel blockers that may prove effective in restoring axonal conduction.

**Keywords:** axon; conduction; potassium channel; injury; demyelination; 4-aminopyridine

### 1 Introduction

The majority of the axons in vertebrate nervous systems are wrapped with an insulating material called myelin. It is this myelin sheath that enables rapid and efficient conduction of action potential by neurons<sup>[1,2]</sup>. However, the unique cellular structure that enables efficient saltatory conduction is vulnerable to mechanical insults which can lead to impulse conduction block. Specifically, myelin damage is associated with axonal conduction loss in trauma and chronic

neurodegenerative diseases<sup>[3-10]</sup>. The mechanisms of myelin damage-induced axonal conduction loss have attracted much attention these years. Unveiling of this question can help overcome the deficits and restore axonal conduction and the overall neurological function in demyelinating diseases and trauma. The translational research has achieved great progresses in developing effective therapeutic interventions to restore function. This review mainly discussed the role of axonal potassium channels in conduction loss in spinal cord mechanical injury as well as in other conditions characterized by myelin damage such as multiple sclerosis. The preclinical development of potassium channel blockers as effective tools for axonal conduction restoration was specially focused on. Recent clinical development was also discussed.

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## 2 Normal structure of myelinated axons in the central nervous system (CNS)

In the vertebrate CNS, most axons are myelinated by oligodendrocytes which enwrap the axons. These sheathe the axon in longitudinal segments that are interrupted by bare portions called nodes of Ranvier. By reducing the capacitance and increasing the transverse resistance, myelin significantly reduces current flow across axonal membranes, and therefore increases longitudinal conduction. This system enhances electrical impulse transmission, as depolarization jumps from node to node in a process called saltatory conduction. Because the action potentials are only generated at the node of Ranvier, conduction through this system is faster and much more efficient than conduction through unmyelinated axons<sup>[2,11,12]</sup>.

Saltatory conduction relies on both myelin encasement and the asymmetric distribution of ionic channels<sup>[2,11,12]</sup>. Compared to the internodal region, the node of Ranvier has a significantly higher density of sodium channels, which enables the generation of action potential at the node. Specifically, when the node membrane is adequately depolarized by incoming current, voltage-dependent sodium channels will open, allowing sodium influx and initiating the action potential. Potassium channels reside at a high density beneath the myelin at the juxtaparanode area, separated from the node by the paranodal region<sup>[2,11,12]</sup>. Although the functions of these potassium channels in normal healthy axons remain entirely unclear, these channels are thought to be involved in stabilizing the axonal membrane potential<sup>[13-16]</sup>.

The paranodal region where myelin is physically connected to the axon, serves as a physical barrier to maintain the asymmetric distribution of sodium and potassium channels, which is essential for saltatory conduction<sup>[12,17,18]</sup>. Tight septate junctions connect the axonal and myelin membranes. This axo-glial structure is composed of several proteins including contactin associated protein (Caspr or paranodin) and F3/contactin from axonal side, and glial neurofascin 155<sup>[12]</sup>. The axo-glial junction is critical for maintaining the properly specialized domains characteristic of normal myelinated axons and insulating the juxtaparanodal potassium channels from the extracellular environment<sup>[12]</sup>.

However, there is evidence that mechanical trauma to the spinal cord can produce significant stress in this axonal region<sup>[19]</sup>. The induced damage to the myelin barrier can produce significant functional consequences in injured axons<sup>[4,9,10,19-22]</sup>.

## 3 Paranodal myelin damage: anatomical and functional evidence

Research over the last several decades has come to a general agreement that mechanical insult to the CNS results in myelin damage, especially in the paranodal region<sup>[10,19,22-26]</sup>. This is consistent with the mathematical modeling showing significant stress in this area under mechanical insults<sup>[19]</sup>. Such myelin damage may result in disruption of the axo-glial junction and cause retraction of myelin away from the node<sup>[10,19]</sup>. This would then induce exposure or unmasking of potassium channels that otherwise reside underneath the myelin and are physically separated from the extracellular environment<sup>[10,12,19]</sup>. The exposure of voltage-dependent potassium channels has been widely demonstrated, using various labeling and imaging techniques. For example, Fehlings and colleagues have observed abnormal distributions of potassium channels following mechanical injury<sup>[23,24]</sup>. However, these studies did not simultaneously image myelin, so the relationship between myelin and the underlying potassium channels remained unclear.

In a recent study, using a combination of traditional (coherent anti-stokes Raman scattering, CARS) and novel multimodal imaging techniques (two photon excitation fluorescence microscopy)<sup>[27]</sup>, Shi and colleagues provided unequivocal anatomical evidence demonstrating the exposure of potassium channels following mechanical insult in guinea pig spinal cord<sup>[10,19]</sup>. By using CARS to image unlabelled myelin and observing immunofluorescence of stained potassium channels, they demonstrated for the first time that myelin recedes away from the node following trauma, and exposes potassium channels<sup>[10,19]</sup>. Besides, it is difficult or even impossible for other methods to obtain such an observation<sup>[23,24,28]</sup>. These findings are consistent with observations under similar situations and further confirm the previous hypothesis<sup>[4,9,20,23,24,29]</sup>.

In addition to the overwhelming evidence of myelin dam-

age in chronic mechanical trauma<sup>[4,20,22-24,26,30]</sup>, acute trauma can also affect myelin<sup>[9,10,19]</sup>. Several studies have revealed myelin displacement immediately following mechanical insults such as stretch and compression<sup>[9,10,19]</sup>. In a recent study, a finite element mathematical model suggested that mechanical compression would produce high stresses in the paranodal axonal-glial junction<sup>[19]</sup>. This is consistent with the reported observations that this region is vulnerable to mechanical injury<sup>[9,10]</sup>. Furthermore, it also confirms that mechanical deformation alone is sufficient to rupture the tight, septate junctions that join myelin to the axonal membrane. However, such acute myelin damage is likely to be exacerbated by the secondary biochemical cascades that are also known to damage myelin<sup>[31,32]</sup>. Therefore, the severe myelin damage observed in chronic nerve injury almost certainly results from both acute, mechanical trauma and the secondary biochemical deterioration.

Parallel to the anatomical findings, electrophysiological evidence from various sources also strongly supports that potassium channel activity plays an important role in axonal conduction loss in demyelinated axons. Bostock *et al.*<sup>[33,34]</sup> were the first to show that 4-aminopyridine (4-AP) application could enhance conduction in rat spinal nerve roots. Similar results were reported by Targ and Kocsis describing restoration of axonal conduction in demyelinated rat sciatic nerves *ex vivo*<sup>[35]</sup>. Blight<sup>[36]</sup> pioneered these treatments in the central nervous system by restoring nerve conduction in cats with chronic spinal cord injury using 4-AP. Blight and colleagues further expanded this line of research showing that 4-AP improved motor behavioral response in chronic spinal-injured cats<sup>[37]</sup>. These studies have paved the way for the extensive laboratory testing of 4-AP<sup>[4,9,20,38]</sup> and the development of 4-AP usage in clinical applications<sup>[39-43]</sup>. These studies have suggested 4-AP as a leading choice of potassium channel blocker to restore axonal conduction in demyelinated peripheral and central nervous system nerves.

#### **4 Suggested mechanisms of 4-AP-mediated restoration of axonal conduction in spinal cord injury**

Exposure of potassium channels in the juxtaparanodal

region is believed to be the underlying mechanism of conduction block in demyelinated axons<sup>[9,10,19]</sup>. Under normal conditions, depolarization at the node of Ranvier will drive potassium ions down to the electrical gradient. As a result, potassium ions flow within the axon, through the internodal region as a major intracellular positive charge carrier, and produce depolarization adequate in degree and duration to trigger an action potential in the next adjacent node. However, when the potassium channels in the juxtaparanodal region are exposed to the extracellular space, the potassium ions moving through the axoplasm will exit through these channels before reaching the nodal region. If this potassium exodus is severe, nodal depolarization may fail to reach a level adequate for sufficient sodium influx that triggers an action potential, thus preventing signal conduction (Fig. 1).

The second proposed mechanism of axonal conduction failure in demyelinated axons may be related to the duration of the Na<sup>+</sup> action current<sup>[40]</sup>. Potassium leakage due to channel exposure may shorten the duration of an action potential, resulting in reduction of the total amount of current, thus lessening the safety factor for conduction across the demyelinated internode. The safety factor is indicated as the ratio of current generated by an action potential to the minimal amount of current that ensures conduction. Reducing the safety factor may increase the likelihood of conduction block. In summary, exposure of potassium channels as a result of myelin damage can shorten circuit normal conduction and prevent adequate depolarization of nodal membrane, leading to conduction failure<sup>[9,20,36]</sup>.

The potential therapeutic roles of potassium channel blockers are therefore obstructing the channels and reducing potassium efflux. These will increase the probability of action potential genesis and the duration of depolarization, therefore, the magnitude of sodium influx. By this way, the likelihood for conduction across the demyelinated internode will be increased. All these factors cooperate to ensure the transmission of action potential through the area of myelin damage, thereby restoring axonal conductivity. In this sense, potassium channel blockers function almost as artificial myelin, insulating the axons and preventing depolarizing transmembrane currents (Fig. 1).

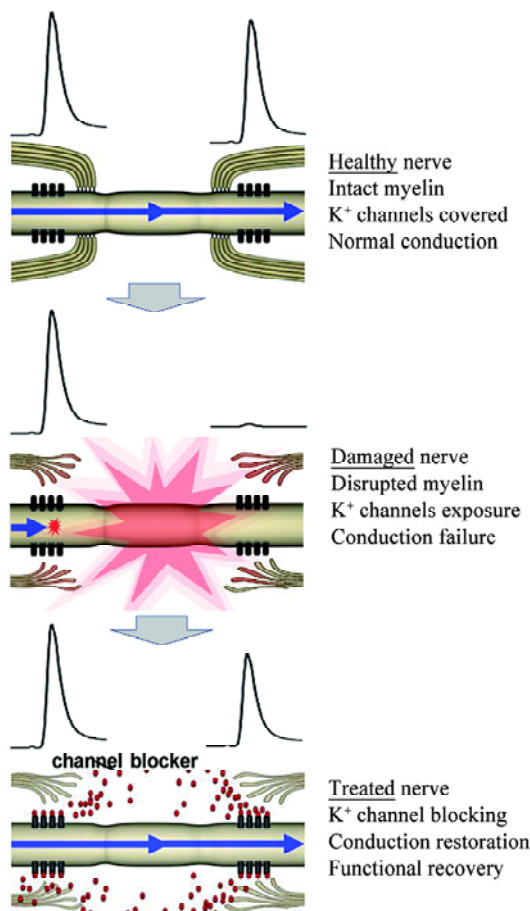


Fig. 1 A diagrammatic depiction of myelin damage in injured axons and the functional restoration by potassium channel blockade.

## 5 Pharmacology and dose-response of 4-AP-mediated conduction restoration

The sensitivity of vertebrate neuronal potassium channels to 4-AP has been explored in a wide array of physiological preparations<sup>[9,33-36,38]</sup>, although only a handful of studies have examined a wide range of concentrations<sup>[4,20]</sup>. Using a double sucrose-gap recording technique suitable for lengthy *ex vivo* examinations, Shi and colleagues have established the dose response curve for 4-AP treatment of both acute and chronic mechanical injury in isolated spinal cord white matter from guinea pig<sup>[20]</sup>. It is shown that concentration between 0.1-1  $\mu\text{mol/L}$  is the threshold for 4-AP to produce significantly beneficial effects against both acute (hours) and chronic (weeks) mechanical injuries. In acute injury, the maxi-

mal effective concentration is 100  $\mu\text{mol/L}$  while 10  $\mu\text{mol/L}$  can maximize conduction restoration against chronic injuries. It has been estimated that the maximum safe serum concentration of 4-AP in human testing is around 0.1-1  $\mu\text{mol/L}$ <sup>[42]</sup>. At this concentration, 4-AP exerts some beneficial neurological effects<sup>[41,42]</sup>. Therefore, the data from *ex vivo* testing support the argument that the clinically observed beneficial effects of 4-AP could be attributed to the enhanced axonal conduction across demyelinated internodes.

Another interesting finding from *ex vivo* experiments is that the effect of 4-AP is biphasic in restoring axonal conduction: 4-AP enhances conduction at micro-molar levels but suppresses it at concentrations above 1  $\text{mmol/L}$ <sup>[4,20]</sup>. Negative effects on axonal conduction produced by 4-AP above 1  $\text{mmol/L}$  were observed in acutely injured guinea pig spinal cord and were even noted in uninjured nerve fiber<sup>[4]</sup>. The concurrent depolarization shown by the sucrose-gap recordings may be due to the blockade of resting potassium conductance by 4-AP, and may partially explain the reduction in compound action potential amplitude. Depolarization of the axon would increase the inactivation of sodium channels in the nodal membrane. In this case, the decrease in amplitude of the compound action potential would result from a decreased amplitude of the action currents themselves, but would not necessarily reflect conduction failure. In fact, higher concentrations of 4-AP may improve conduction in injured axons while simultaneously decreasing the amplitude of the compound potential recorded from the uninjured part of the spinal cord strip. This may explain why 1  $\text{mmol/L}$  4-AP was found to improve conduction in some single-fiber recordings and increase the temperature of conduction block in one study<sup>[36]</sup>, while similar concentrations reduced the compound action potential amplitude in another study<sup>[20]</sup>.

## 6 Change of refractoriness in 4-AP-mediated axonal conduction restoration

Although 4-AP can induce a significant conduction recovery, there seems to be a concomitant increase of refractoriness in recovered spinal cords. This is evident in a study of Jensen *et al.*, showing that 4-AP significantly increased the lengths of both absolute and relative refractory periods

in acutely stretched guinea pig spinal cord<sup>[9]</sup>. In addition, the overall responsiveness to multiple stimuli was also decreased by 4-AP in the same samples<sup>[9]</sup>. Similar phenomena were noted by Targ and Kocsis in sciatic nerve<sup>[44]</sup>. The newly recruited spinal cord axons are likely to be responsible for this change. The primary cause of the enhanced refractoriness is not clear. It is possible that although some axons are able to conduct with blockade of voltage-dependent potassium channels, the safety factor for conduction remains significantly compromised by the damage to paranodal structures and the increased capacitive load provided by the internodal membrane<sup>[45]</sup>. It could also be attributed to the inhibited resting potassium conductance. The subsequent depolarization of axons may increase the inactivation of sodium channels in the nodal membrane and therefore reduces the responsiveness to dual and repetitive stimuli. Such mechanism may not prevent the genesis of the single action potential, but the condition would be exacerbated with multiple action potentials. Nevertheless, the exact cellular mechanism underlying the increase in refractoriness at the maximal effective level of 4-AP remains to be determined.

## 7 The effects of 4-AP in human testing

Due to its success in restoring axonal conduction in demyelinated axons in animal studies, 4-AP has been used in treating human demyelinating diseases, mainly spinal cord injury and multiple sclerosis. Although promising, 4-AP has not yet been approved for clinical use in spinal cord injury, a devastating disease with no established treatments despite years of investigation. It should be noted that 4-AP also fails to elicit statistically significant improvements when tested against a placebo in several clinical trials<sup>[46,47]</sup>, including the 2 recently completed Phase III studies<sup>[47]</sup>. Nevertheless, two 4-AP trials have found statistically significant improvements in functional recovery<sup>[48,49]</sup>, suggesting that potassium channel blockade is still a viable mechanism for potentially restoring signal conduction in patients with spinal cord injury.

Similar to neurotrauma, multiple sclerosis has a long history of clinical testing of 4-AP<sup>[50-61]</sup>. Recently, a series of carefully designed clinical trials have provided significant improvement in walking ability of multiple sclerosis

patients<sup>[43,62-64]</sup>. Since 4-AP has a half life of 3.5 h, frequent dosing is essential for maintaining effective serum concentrations<sup>[65]</sup>. To overcome this problem, a slow release formula has been developed, which significantly facilitates its clinical usage<sup>[66]</sup>. This leads to the final FDA approval of 4-AP for treating multiple sclerosis symptoms<sup>[43,62-64]</sup>. 4-AP is the first and thus far the only drug to improve ambulation in multiple sclerosis patients.

## 8 The limitations of 4-AP clinical use in spinal cord injury

While 4-AP has been demonstrated to be capable of improving sensory and motor functions in both animal and human spinal cord injuries, the overall therapeutic benefit of 4-AP remains modest<sup>[41,42]</sup>. One contributing factor is the discrepancy between the maximum tolerable blood level of 4-AP in human patients (0.5-1  $\mu\text{mol/L}$ ) and the most effective concentrations demonstrated *in vitro* (100  $\mu\text{mol/L}$  in acute injury and 10  $\mu\text{mol/L}$  in chronic injury)<sup>[4,20]</sup>. Concentrations beyond 1  $\mu\text{mol/L}$  may increase the probability of side effects such as respiratory distress, anxiety, and epileptiform seizures<sup>[67-69]</sup>. Possible causes for the negative side effects associated with higher doses of 4-AP include increased synaptic transmission and additional blockade of potassium channel currents associated with the resting membrane potential<sup>[70]</sup>. Therefore, it seems that the narrow therapeutic range of 4-AP limits its clinical effectiveness.

## 9 4-AP derivatives as novel potassium channel blockers to restore axonal function

In order to overcome the limitations of 4-AP, efforts have been made to identify compounds whose structures are similar to, yet distinct from, 4-AP<sup>[10,71]</sup>. Such compounds may enhance conduction in the injured spinal cord as effectively as 4-AP, but with wider therapeutic ranges and fewer side effects<sup>[10,72]</sup>. Shi and colleagues have demonstrated that 4 newly identified analogs are capable of enhancing axonal conduction following *ex vivo* spinal cord trauma<sup>[10,72]</sup>, including N-(4-pyridyl)-methyl carbamate, N-(4-pyridyl)-ethyl carbamate, N-(4-pyridyl)-tertbutyl (tBoc), and 4-aminopyridine-3-methanol (4-AP-3-MeOH). They have also

shown that these compounds are indeed capable of inhibiting 4-AP-sensitive fast potassium channels<sup>[10,73]</sup>. All these compounds can significantly enhance conduction in spinal cord white matter following mechanical injury<sup>[10,72]</sup>. Similar to 4-AP, the derivatives do not preferentially enhance conduction based on axonal caliber<sup>[9,10,72]</sup>. Moreover, unlike 4-AP, the derivatives do not change the overall electrical responsiveness of axons to dual and multiple stimuli. Specifically, the relative and the absolute refractory periods remain the same following the application of the 4 analogs. In addition, the ability to follow multiple stimuli remained unchanged in analog-affected axons, compared with the healthy samples<sup>[10,72]</sup>. These data indicate that axons recruited by the derivatives conduct in a similar manner as healthy axons.

Dose-response studies have shown that the the first 3 compounds have similar thresholds in restoring impulse conduction as that of 4-AP (0.1-1  $\mu\text{mol/L}$ )<sup>[74]</sup>. However, 4-AP-3-MeOH has a higher potency. The observed lowest effective concentration of 4-AP-3-MeOH to enhance conduction is between 0.01-0.1  $\mu\text{mol/L}$ , a 10-fold increase of potency compared with the other 3 derivatives and 4-AP<sup>[10]</sup>. Since the side effects of 4-AP are dose-related<sup>[20]</sup>, it is reasonable to speculate that a lower effective dosage may be accompanied by a reduced probability of inducing serious side effects. These results demonstrate that the novel constructs are promising alternatives of 4-AP for the purpose of reversing conduction deficits.

Besides enhancing action potential conduction in *ex vivo* preparations, 4-AP-3-MeOH and N-(4-pyridyl)-methyl carbamate can also inhibit “fast” or 4-AP-sensitive potassium current, as demonstrated by patch clamp recording techniques<sup>[10,73]</sup>. Interestingly, 4-AP-3-MeOH inhibits approximately 75% of 4-AP sensitive  $\text{K}^+$  current, significantly more than that of methyl carbamate which inhibits only 30%<sup>[10,73]</sup>. As gauged by blocking the 4-AP-sensitive current, 4-AP-3-MeOH is a more effective blocker than methyl carbamate, which is more effective than ethyl carbamate and tBoc in restoring action potential<sup>[10,73]</sup>. This may in part contribute to the 10-fold increase in the potency of 4-AP-3-MeOH compared to methyl carbamate<sup>[10,72,74]</sup>.

## 10 Conclusions

It is well established that the increase in potassium channel activity is an important mechanism of conduction failure in spinal cord injury and multiple sclerosis. Suppression of aberrant potassium current by potassium channel blockade is an effective way for restoring signal conduction in demyelinated axons. 4-AP treatment restores conduction and neurological function *in vitro*, in animal models, and in clinical trials. However, its clinical application is limited by the narrow therapeutic range and the severe side effects. Several new compounds have exhibited efficacy in restoring action potential conduction in injured axons. Of these potassium channel blockers, 4-AP-3-MeOH is more potent than 4-AP. Furthermore, compared with the 4-AP-rescued axons, the derivative-rescued axons conduct electric impulses in a manner more similar to that of healthy axons. Therefore, these new derivatives are potential alternatives to 4-AP in reversing conduction block in spinal cord injury and other demyelinating diseases. Currently, 4-AP is under study for its use in treating spinal cord injury, because blocking potassium channels remains a viable strategy in restoring axonal conduction and improving overall neurological function. Further studies on potassium blockade therapy for treating demyelinating diseases are warranted, as 4-AP has been approved to be capable of improving ambulatory ability in multiple sclerosis patients.

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## 钾通道阻滞剂可以有效修复受伤轴突的冲动传导

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**摘要:** 在脊椎中枢神经系统中, 少突胶质细胞能形成轴突的髓鞘。髓鞘对轴突具有保护作用, 使轴突具有电绝缘的特性, 其独特的节段状结构使髓鞘化的神经轴突能快速、跳跃式地传导神经冲动。髓鞘损伤常见于脊髓损伤和一些慢性神经退行性疾病, 由其引起的轴突传导阻滞被认为是引起损伤相关的神经并发症的主要原因。钾离子通道在发生于脊髓损伤和多发性硬化征的轴突传导阻滞中扮演重要角色。髓鞘损伤后会暴露钾离子通道, 引起钾离子泄漏, 从而阻断神经传导。将钾离子通道阻滞, 离子泄漏得到抑制, 进而能促进神经传导。本综述主要详细介绍了修复轴突神经传导功能技术的研究进展和脱髓鞘轴突的神经功能。最近的研究表明, 4-氨基吡啶能有效治疗多发性硬化征。此外, 转化型研究也筛选出了一些能有效修复轴突神经传导的新型的钾离子通道阻滞剂。

**关键词:** 轴突; 传导; 钾离子通道; 损伤; 脱髓鞘; 4-氨基吡啶