

# Up-Frameshift Protein UPF1 Regulates *Neurospora crassa* Circadian and Diurnal Growth Rhythms

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**ABSTRACT** Nonsense-mediated RNA decay (NMD) is a crucial post-transcriptional regulatory mechanism that recognizes and eliminates aberrantly processed transcripts, and mediates the expression of normal gene transcripts. In this study, we report that in the filamentous fungus *Neurospora crassa*, the NMD factors play a conserved role in regulating the surveillance of NMD targets including premature termination codon (PTC)-containing transcripts and normal transcripts. The circadian rhythms in all of the knockout strains of *upf1-3* genes, which encode the Up-frameshift proteins, were aberrant. The *upf1* knockout strain displays a shortened circadian period, which can be restored by constantly expressing exogenous Up-frameshift protein 1 (UPF1). UPF1 regulates the circadian clock by modulating the splicing of the core clock gene *frequency* (*frq*) through spliceosome and spliceosome-related arginine/serine-rich splicing factors, which partly account for the short periods in the *upf1* knockout strain. We also demonstrated that the clock genes including White Collar (WC)-1, WC-2, and FRQ are involved in controlling the diurnal growth rhythm, and UPF1 may affect the growth rhythms by mediating the FRQ protein levels in the daytime. These findings suggest that the NMD factors play important roles in regulating the circadian clock and diurnal growth rhythms in *Neurospora*.

**KEYWORDS** NMD; *Neurospora crassa*; circadian clock; *frq*; alternative splicing; diurnal rhythm

**N**ONSENSE-MEDIATED RNA decay (NMD) governs the surveillance of aberrantly processed transcripts and controls the expression of normal transcripts (Kervestin and Jacobson 2012; Schweingruber *et al.* 2013; Fatscher *et al.* 2015). UPF1–3 in mammals and *Saccharomyces cerevisiae*, and the homologs SMG (suppressor with morphogenetic effect on genitalia) -2, SMG-3, and SMG-4 in *Caenorhabditis elegans*, are the core NMD components. Some other factors including SMG proteins and eukaryotic release factors (eRFs) factors, which vary in different species, are also critical components or regulators for NMD. Among NMD factors, UPF1 possesses RNA helicase activity and it is the central regulator in NMD. A number of

SMG proteins (SMG-1, SMG5, SMG6, and SMG7) mediate the phosphorylation and dephosphorylation of UPF1 (Rehwinkel *et al.* 2006; Isken and Maquat 2008). During NMD, the target transcripts containing premature termination codons (PTCs) are first recognized by UPF1 after the transition from the nucleus to the cytoplasm. In mammals, during the pioneer round of translation, the exon junction complex (EJC) located downstream of the termination codon retains its association with the PTC-containing mRNA and recruits UPF1 and other NMD factors. UPF1 then recruits the machineries responsible for mRNA decay to eliminate the aberrant mRNAs. The pioneer round of translation mechanism involves the cap-binding complex (CBC), which binds to the 5′-m<sup>7</sup>GpppN cap structure of newly synthesized mRNAs. CBC interacts with UPF1 and enhances NMD. In contrast, in *S. cerevisiae*, NMD appears to occur during any round of translation (Chang *et al.* 2007; Chamieh *et al.* 2008; Maquat *et al.* 2010). Recently, in the filamentous fungus *Neurospora crassa*, it has been demonstrated that NMD could be elicited by transcripts bearing upstream open reading frames (uORFs) or 3′-UTR introns. During RNA surveillance,

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NMD factors are sufficient to eliminate transcripts with uORFs, while additional factors including EJC and CBC factors are required to eliminate transcripts harboring 3'-UTR introns (Zhang and Sachs 2015).

Circadian clocks control a broad spectrum of physiological and behavioral processes including development and growth, in which circadian clocks have been identified in most of the interrogated organisms across different kingdoms (Bellpedersen *et al.* 2005; Baker *et al.* 2012). In *Neurospora*, White Collar 1 (WC-1) and WC-2 are the two positive components of the circadian clock, and FREQUENCY (FRQ) is the negative element (Baker *et al.* 2012). The positive and negative elements constitute a transcription–translational negative feedback loop to drive circadian rhythms at the molecular level (Guo *et al.* 2010; Hunt *et al.* 2010; Baker *et al.* 2012; Hurley *et al.* 2013; Lauinger *et al.* 2014).

In recent years, increasing data have shown that post-transcriptional regulation plays multiple roles in mediating the expression of clock genes and maintaining the proper rhythmicity. Post-transcriptional regulation occurs after or along with the process of transcription at the RNA level, which includes splicing, 5' and 3' processing, transporting, localization, surveillance, and turnover. Almost all these processes have been linked to the regulation of the circadian clock in various species (Guo *et al.* 2009; Kojima *et al.* 2011; Lim and Allada 2013; Nolte and Staiger 2015; Zhang *et al.* 2015).

It has been reported that the NMD is involved in the regulation of the circadian clock in various species (Morgan and Feldman 1997, 2001; Schoning *et al.* 2007; Weischenfeldt *et al.* 2012). In *Neurospora*, the *prd-6* mutant bears a mutation in the locus of *upf1*, which exhibits a short circadian period and abnormality in the temperature compensation of its circadian rhythms (Morgan and Feldman 1997, 2001; Adhvaryu *et al.* 2016). The involvement of UPF1/PRD-6 in FRQ-less oscillator(s) (FLOs) has also been investigated. On mediums supplemented with choline or geraniol, lack of PRD-6 led to shorter FRQ-less conidiation periods in a *frq*-null background (Lombardi *et al.* 2007; Adhvaryu *et al.* 2016). Whereas, when grown on low choline medium or treated with heat pulse, no remarkable effects on FRQ-less rhythms were observed in the strain lacking *prd-6* (Lombardi *et al.* 2007; Adhvaryu *et al.* 2016).

In *Arabidopsis*, *Atgrp7* or *Atgrp8*, both of which encode glycine-rich RNA-binding proteins, are two clock-controlled genes. These two genes harbor PTCs and their expression is under NMD control (Heintzen *et al.* 1997; Staiger *et al.* 2003; Schoning *et al.* 2007). A transcriptomic analysis revealed a widespread occurrence of PTCs in *Arabidopsis* clock genes that is well-conserved across different plants (Filichkin and Mockler 2012). For instance, CCA1, which encodes a negative element of the *Arabidopsis* clock, comprises a PTC and retention of the PTC-containing intron leads to the translation of nonfunctional products (Filichkin *et al.* 2010), and the retention of the PTC-containing intron of CCA1 transcripts is subject to change under some specific environmental stress conditions (Filichkin and Mockler 2012). Additionally, the

3'-UTR regions of transcripts of the clock genes *LHY*, *LCL1*, and *REV8/LCL5* contain introns, indicating that they are potential NMD substrates (Filichkin and Mockler 2012). These findings suggest that the NMD factors may play an important role in regulating the expression and function of both clock and clock-controlled genes.

Although certain NMD-targeted clock genes have been identified, the role and mechanism of NMD factors in controlling circadian rhythms remain largely unclear. In this work, we demonstrate that the NMD factors play conserved roles in regulating the elimination of PTC-containing transcripts, and we also show that NMD factors, especially UPF1, are also critical for the regulation of the circadian clock in a complex fashion.

## Materials and Methods

### Strains, constructs, and race tube assay

301-5 (*bd, a*) was used as the wild-type (WT) strain in this study. The *ku70<sup>RIP</sup>* strain was used as the host strain to generate different *Hygromycin B* (*hph*) knockout transformants (Zhao *et al.* 2009). Microconidia purification to obtain homokaryon progeny was conducted with 0.5- $\mu$ m filter membranes (Ebbolle and Sachs 1990). For the strains that require the addition of quinic acid (qa) in the mentioned experiments (Geever and Giles 1983), the concentration of qa is 0.01 M. The *Neurospora crassa* unit (NCU) numbers of the *Neurospora upf1*, *upf2*, and *upf3* genes are NCU04242, NCU05267 and NCU03435.

To generate the *upf1<sup>KO</sup>*, *bar-qa-upf1* strain, which constantly expresses *upf1* in the background of a *upf1*-null strain, a fragment bearing the *qa-2* promoter and *upf1* ORF was inserted into the *Bam*HI–*Not*I sites of pBARKS1 (Morgan *et al.* 2003). The derived *qa-upf1-bar* construct was transformed into the *upf1<sup>KO</sup>* strains and transformants (*upf1<sup>KO</sup>*, *bar-qa-upf1*) were selected on the media supplemented with basta/ignite (200  $\mu$ g/ml). The resistance against basta/ignite was conferred by the *bar* gene (Larrondo *et al.* 2012). The transformants were further validated by a series of biochemical analyses described in context below.

The promoter region of the *vivid* (*vvd*) gene was used to drive the light-induced expression of either FRQ protein (Hurley *et al.* 2012). For these purposes, the *vvd* promoter was fused with the *frequency* (*frq*) ORF and cloned into pRMP62. The derived constructs of *Pvvd-frq* was transformed into *frq<sup>10</sup>* (*bd, his-3*) at the *his-3* locus, to generate the *frq10*, *Pvvd-frq* strain (Aronson *et al.* 1994).

Race tubes are long glass tubes containing a layer of solid media. In a race tube assay, the *Neurospora* conidia is inoculated on the surface of the solid media at one end of the race tube and it grows toward the other end. During growth, *Neurospora* yields conidiation bands, which are controlled by the circadian clock. In this way, the race tube assay allows for the calculation of the circadian parameters including periods, amplitudes, and phases (Baker *et al.* 2012). For the study of growth rhythms in light/dark (LD)12:12 cycles (light 12 hr vs. dark 12 hr, repeatedly),

the growth fronts of *Neurospora* hyphae were labeled at the time points of LD transition points, twice a day.

All the mentioned strains are listed in Supplemental Material, Table S1 in File S1.

### DNA, RNA, and protein analysis

DNA was extracted with CTAB solution (Rehman *et al.* 2008). RNA extraction and northern blot analyses were performed as described previously (Aronson *et al.* 1994). Primers spanning the PTC-containing introns were synthesized to amplify the spliced and unspliced transcripts that contain PTCs in the unspliced transcripts. In measuring the mRNA stability of *frq*, thiolutin (Sigma [Sigma Chemical], St. Louis, MO) was used to inhibit transcription and the concentration was 12  $\mu\text{g/ml}$  (Guo *et al.* 2009).

Protein extraction, quantification, western blot analysis, and co-immunoprecipitation (Co-IP) assays were performed as described previously (Görl *et al.* 2001). To inhibit translation, cycloheximide (CHX; Sigma) was used to treat samples for 3 hr and the final concentration was 200  $\mu\text{g/ml}$  (Linde *et al.* 2007).

### RNA-seq and analysis

The *upf1*<sup>KO</sup> and WT strains were grown and the RNA samples were from independent triplicate pools, respectively. The pooled RNA samples were processed to prepare the mRNA-seq library using the standard Illumina protocol. The sequencing was performed on an Illumina HiSeq 2000 at Shanghai Biotechnology Corporation, China. The read lengths were > 90 nt. The parameters of number of clean reads, clean reads ratio, and mapping ratio were 3.12G, 84.1%, and 94.7% for WT and 6.24G, 85.8%, and 95.6% for the *upf1*<sup>KO</sup> strain, respectively. Tophat was used as the aligner to map the reads to the reference genome [*N. crassa* OR74A (NC12)]. Cufflinks was used to reconstruct the transcripts and estimate the gene expression levels (Trapnell *et al.* 2010).

### Generation and validation of UPF1 and UPF2 antibodies

The entire ORFs of the *Neurospora upf1* and *upf2* genes were cloned into pET-28a (+) and expressed in the BL21 (DE3) *Escherichia coli* strain. Purified His-tagged proteins were used to immunize rabbits. The obtained antibodies were validated by western blot analysis with the knockout strains.

### Sucrose fractionation analysis

Sucrose density gradients (10–30%) were prepared, and 4 mg total protein samples were loaded for each analysis. The gradients were centrifuged at 175,000  $\times g$  (SW-40 Rotor; Beckman) for 18 hr at 4°. Twelve equal fractions were collected, and 450  $\mu\text{l}$  of each fraction was subjected to an RNA analysis. The samples were treated with DNase I prior to RT-PCR to determine the *U5* levels (Fury and Zieve 1996; Zhang *et al.* 2015).

### Luciferase reporter assay

The luciferase reporter construct (*frq-luc-bar*) (from Yi Liu, and originally from Jay Dunlap) was transformed into the corresponding sites of pBARKS1 of the described strains.

The transformants were screened using basta/ignite (200  $\mu\text{g/ml}$ ) resistance conferred by the bar gene (Zhou *et al.* 2013). The luciferase signal was recorded with LumiCycle (Actimetrics) and analyzed as previously described (Gooch *et al.* 2008). The luciferase signals were normalized and analyzed as previously described (Zhou *et al.* 2013).

### Statistics

Significant differences were determined by applying the Student's *t*-tests and two-way ANOVA analysis. Data are mean  $\pm$  SD or mean  $\pm$  SE as indicated.  $n \geq 3$ . \* represents the *P*-value of the statistical tests being less than a significance level of 0.05 ( $P < 0.05$ ); \*\*  $P < 0.01$ , and #  $P < 0.001$ . n.s. denotes no significance.

### Data availability

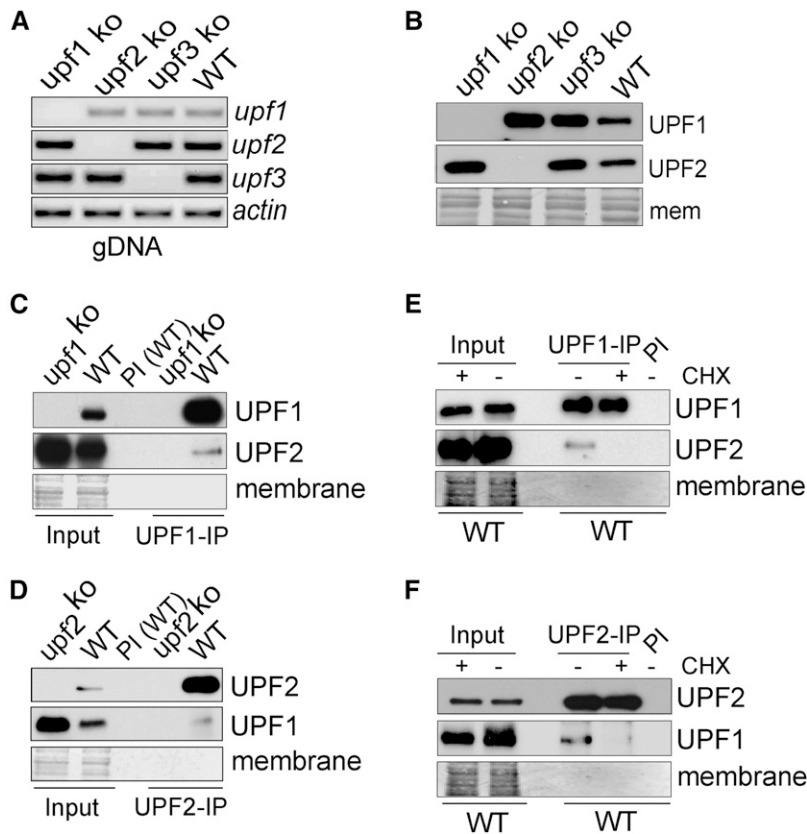
Strains used are available upon request. The RNA-seq data sets are available at the GEO database (GSE97157).

## Results

### Roles of UPF genes in the NMD pathway

We first validated the involvement of UPF genes in the *Neurospora* NMD pathway. To this end, the knockout strains of *upf1–3* were constructed by a homologous recombination strategy and referred to as *upf1*<sup>KO</sup>, *upf2*<sup>KO</sup> and *upf3*<sup>KO</sup>. The obtained knockout strains were further purified by microconidia filtration to obtain homeokaryons (Ebbole and Sachs 1990). PCR amplification of the genomic DNA showed that *upf1–3* genes were deleted, respectively (Figure 1A), and RT-PCR amplification of cDNAs from the knockout strains showed no detectable expression of these genes in corresponding knockout strains (Figure S1 in File S1). Western blot analyses were further conducted to measure the expression of UPF1 and UPF2 proteins with the antibodies generated in this work, and the results consistently showed no detectable expression (Figure 1B). The *Neurospora* strains with individual deletion of *upf1*, *upf2* and *upf3* were viable, although they grew slowly. Similarly, UPF1 is not required for the viability of *S. cerevisiae* and *C. elegans*, but a lack of UPF1/SMG-2 led to defects in fermentation in *S. cerevisiae* and reproduction abnormality in *C. elegans* (Culbertson *et al.* 1980; Hodgkin *et al.* 1989).

The association between NMD factors is required for the processing of PTC-containing transcripts (He *et al.* 1997; Kurosaki *et al.* 2014; Fatscher *et al.* 2015). We used the UPF1 and UPF2 antibodies to test the association between UPF1 and UPF2, and the Co-IP results showed that UPF1 and UPF2 can be reciprocally pulled down (Figure 1, C and D and Figure S2 in File S1). The first run of translation is essential for NMD, and the inhibition of translation, *e.g.*, by CHX, causes the repression of NMD (Ishigaki *et al.* 2001; Zhang and Sachs 2015). We treated the cultured strains with CHX and then extracted proteins and conducted Co-IP, and the results showed that the association between UPF1 and UPF2 was repressed (Figure 1, E and F). These data suggest



**Figure 1** Characterization of UPF1 and UPF2 interaction. (A) Results of PCR amplification of gDNA samples from the indicated ko strains. Actin served as control. (B) Western blot results of UPF1 and UPF2 in *upf1–3* knockout strains and WT. Membrane stained with amido black served as control. (C and D) Co-IP results demonstrating that UPF1 is in complex with UPF2. The results were IP with UPF1 antibody (C) and UPF2 antibody (D). IP with the preimmune (PI) serum was conducted as a control. (E and F) CHX treatment inhibits the association between UPF1 and UPF2. The results were IP with UPF1 antibody (E) and UPF2 antibody (F) IP with the PI serum was conducted as a control. Amido black staining served as a loading control. CHX, cycloheximide; Co-IP, co-immunoprecipitation; gDNA, genomic DNA; IP, immunoprecipitation; ko, knockout; PI, preimmune; WT, wild-type.

that *Neurospora* UPF1 and UPF2 are in a complex to exert their function.

One important role of NMD factors is to eliminate aberrant PTC-containing transcripts. To identify potential NMD substrates, we conducted RNA-seq of *upf1<sup>KO</sup>* and WT control strains, which identified ~654 genes showing upregulation in the *upf1<sup>KO</sup>* strain (Figure 2A). Among the total genes (654) upregulated by depletion of UPF1, near half (319) are potential NMD targets as they contain at least one of the key features: premature termination codons, 5'-uORFs, long 3'-UTRs, or introns located in 3'-UTR region. Gene ontology classification identified a variety of pathways that are influenced by the depletion of UPF1 (Figure 2B, Figures S3 and S4 and Table S2 in File S1).

Several genes containing potential PTCs identified from RNA-seq results, including NCU08034, NCU04191, NCU02044 and NCU06226, were subjected to RT-PCR analysis. The results showed that the unspliced species harboring PTCs were significantly elevated in *upf1<sup>KO</sup>* and *upf2<sup>KO</sup>* but not *upf3<sup>KO</sup>* strains. As expected, CHX treatment in the WT strain also resulted in an increase in the levels of PTC-containing transcripts (Figure 2C and Figure S3 in File S1). Together, these data demonstrate that UPF1 and UPF2 are required for the surveillance and decay of PTC-containing transcripts.

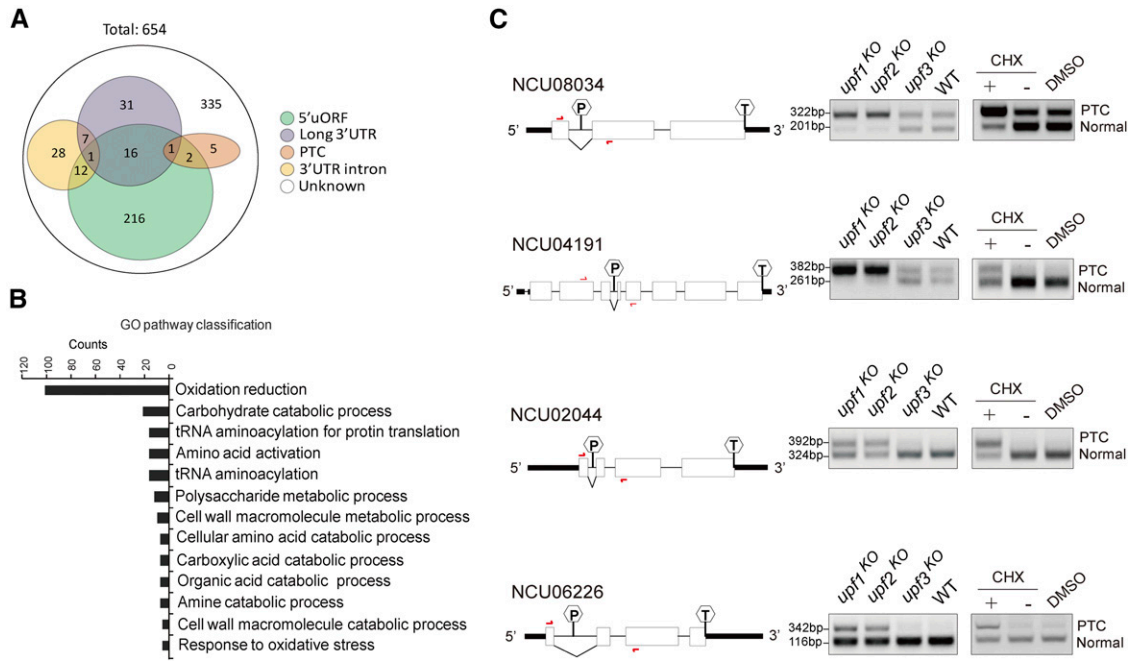
### UPF1 mediates circadian and diurnal rhythms

To probe the effects of NMD factors on the circadian clock, these knockout strains were grown in race tubes to compare

the circadian periods of conidia banding rhythms in constant dark (DD), and the race tube assay results showed that all the *upf1–3* knockout strains displayed shorter circadian periods compared to WT. Among these strains, *upf2<sup>KO</sup>* showed the shortest period, followed by *upf1<sup>KO</sup>* (Figure 3A).

We introduced a luciferase reporter construct that is under the control of the *frq* promoter into the *upf1<sup>KO</sup>* and WT strains, respectively. As shown in Figure 3B, *upf1<sup>KO</sup>* consistently showed a period that was much shorter than that of WT. The short periodicity of *upf1<sup>KO</sup>* is consistent with the *prd-6* strain, as previously described, which bears a mutation in the *upf1* locus (Morgan and Feldman 1997, 2001).

To preclude that our *upf1<sup>KO</sup>* strain contains additional mutations affecting circadian rhythms, we generated a strain that constantly expresses *upf1* in the background of the *upf1<sup>KO</sup>* strain upon addition of *qa*. The obtained *upf1<sup>KO</sup>, qa-upf1* strain was validated by PCR amplification of the *hph* gene, endogenous *upf1* gene, and transformed cassette-containing *upf1* gene with the *qa-2* promoter, respectively (Figure 3, C and D). The expression of UPF1 in *upf1<sup>KO</sup>, qa-upf1* was lower than that in WT, as revealed by western blot (Figure 3E); however, such a level of UPF1 was able to overtly reduce the ratio of PTC-containing transcripts of NCU08034 and NCU04191 (Figure 3F), suggesting that the expressed UPF1 driven by *qa* has normal functions. The *upf1<sup>KO</sup>, qa-upf1* strain exhibits a circadian period of conidiation that is comparable to that of WT despite growing more slowly (Figure 3G). These data demonstrate



**Figure 2** Characterization of genes regulated by *Neurospora* UPF-1 (A) Distribution of genes with different features upregulated (fold change  $\geq 2$ ) in the *upf1*<sup>KO</sup> strain. The results were obtained from RNA-seq data. (B) Distribution of the GO categories of genes upregulated in the *upf1*<sup>KO</sup> strain revealed by RNA-seq analysis. The genes showing expression with fold change  $\geq 2$  were analyzed. (C) RT-PCR of spliced and unspliced transcripts of representative PTC-containing genes. The unspliced transcripts contain typical PTCs. The diagrams of these genes are shown. The hexagons with "P" indicate PTCs and the hexagons with "T" indicate normal termination codons. Red arrows denote the primer locations. CHX, cycloheximide; GO, gene ontology; ko, knockout; PTC, premature termination codon; RNA-seq, RNA sequencing; WT, wild-type.

that the loss of *upf1* accounts for the severe disruption of the circadian phenotype in *upf1*<sup>KO</sup> strain.

We measured the expression of clock genes in *upf1*–3 knockout strains, and the results showed that the levels of clock genes were affected in these strains (data not shown). To further assess the impacts of UPF1 on the circadian clock, we performed northern blots and western blots to analyze the expression of *frq* mRNA and FRQ protein over the course of 48 hr. The results showed that both the RNA and protein rhythms were significantly altered, and the *upf1*<sup>KO</sup> and *upf2*<sup>KO</sup> strains showed short periods (Figure 4, A and B).

We next grew the *upf1*<sup>KO</sup> and WT strains in LD12:12 condition and compared their phases, and the results reveal a  $\sim 3$  hr advanced phase in the *upf1*<sup>KO</sup> strain (Figure 4, C and D). We also measured the growth length of WT, which grew faster in the dark than in the light. In contrast, the growth difference of *upf1*<sup>KO</sup> between in the dark and in the light is flat and shows marginal rhythmicity (Figure 4, C, E, and F).

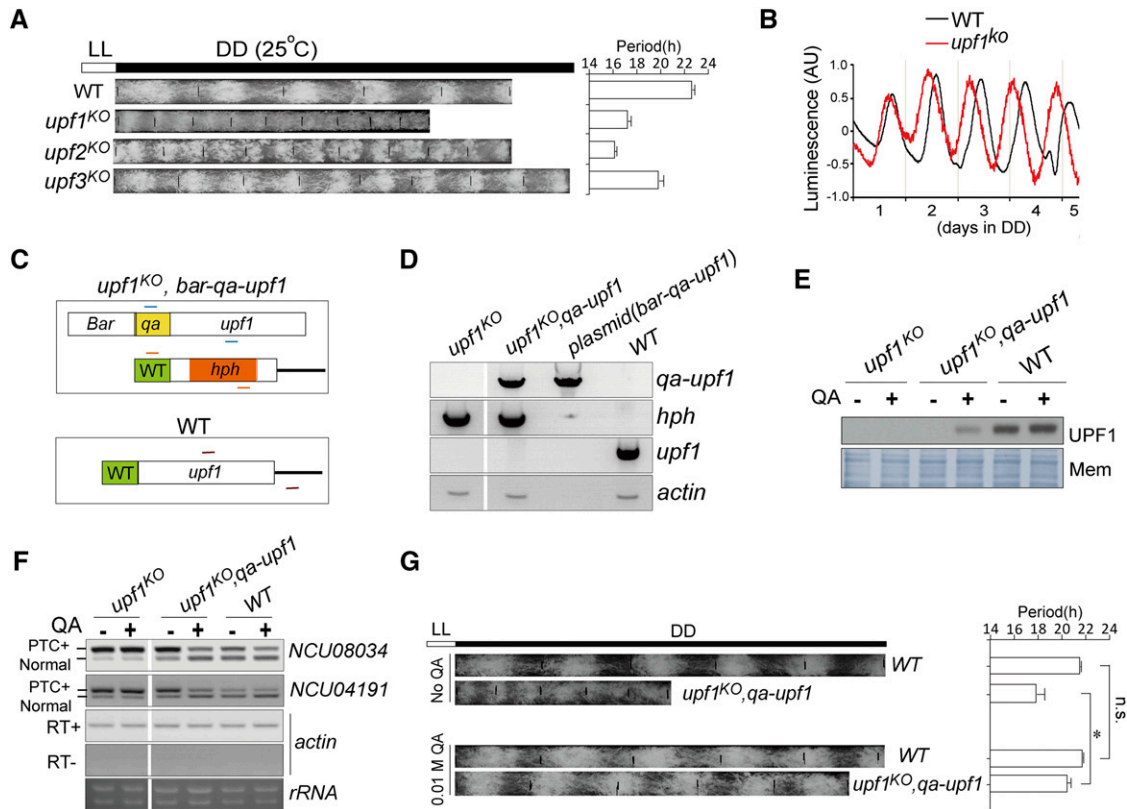
### UPF genes regulate the splicing of clock gene *frq*

Since regulation of the splicing of PTC-containing transcripts of spliceosome-associated factors by NMD factors has been observed in a variety of organisms including *Neurospora* (Lareau *et al.* 2007; Saltzman *et al.* 2008; Weischenfeldt *et al.* 2012; Lareau and Brenner 2015), it is possible that NMD factors also regulate the splicing of clock genes in this fashion. In *Neurospora*, the core negative component *frq* gene does not contain a PTC but is instead comprised of eight

splicing variants, and splicing of the sixth intron (intron 6, I-6) determines the synthesis ratio of two FRQ isoforms: large FRQ (l-FRQ) and small FRQ (s-FRQ) (Liu *et al.* 1997). *frq* also contains uORFs in the 5'-UTR (Liu *et al.* 1997), suggesting that *frq* might be an NMD target, though the turnover of *frq* mRNA is not significantly affected by the knockout of *upf* genes (Figure S5 in File S1).

We measured the expression of *frq* I-6 splicing in the *upf* knockout strains by RT-PCR, and the results showed that the ratios of spliced variants vs. total transcripts containing I-6 were significantly decreased in the knockout strains. The ratio of spliced/total transcripts was the lowest in *upf1*<sup>KO</sup> (Figure 5, A and B). We also extracted proteins from the knockout strains and treated them with  $\lambda$  phosphatase to dephosphorylate FRQ, which allows for the observation of the expression of s-FRQ and l-FRQ (Liu *et al.* 1997). The western blot results showed that, consistent with *frq* I-6 splicing, the ratios of s-FRQ/total were significantly attenuated in the knockout strains (Figure 5C). Because s-FRQ supports a longer period in *Neurospora* (Liu *et al.* 1997), these data suggest that decreased splicing of *frq* I-6 might account for the short periods of *upf* knockout strains.

To validate this possibility, we first investigated the expression of a number of spliceosome genes in *upf1*–3 knockout strains, which include *prp3*, *prp39*, *snp1*, *snu66*, *snu71*, *snu114*, *snu23* and *urn1*. The quantitative RT-PCR (qRT-PCR) results showed that, compared to WT, the levels of all of the tested genes except *prp39* were decreased in *upf1*<sup>KO</sup>,

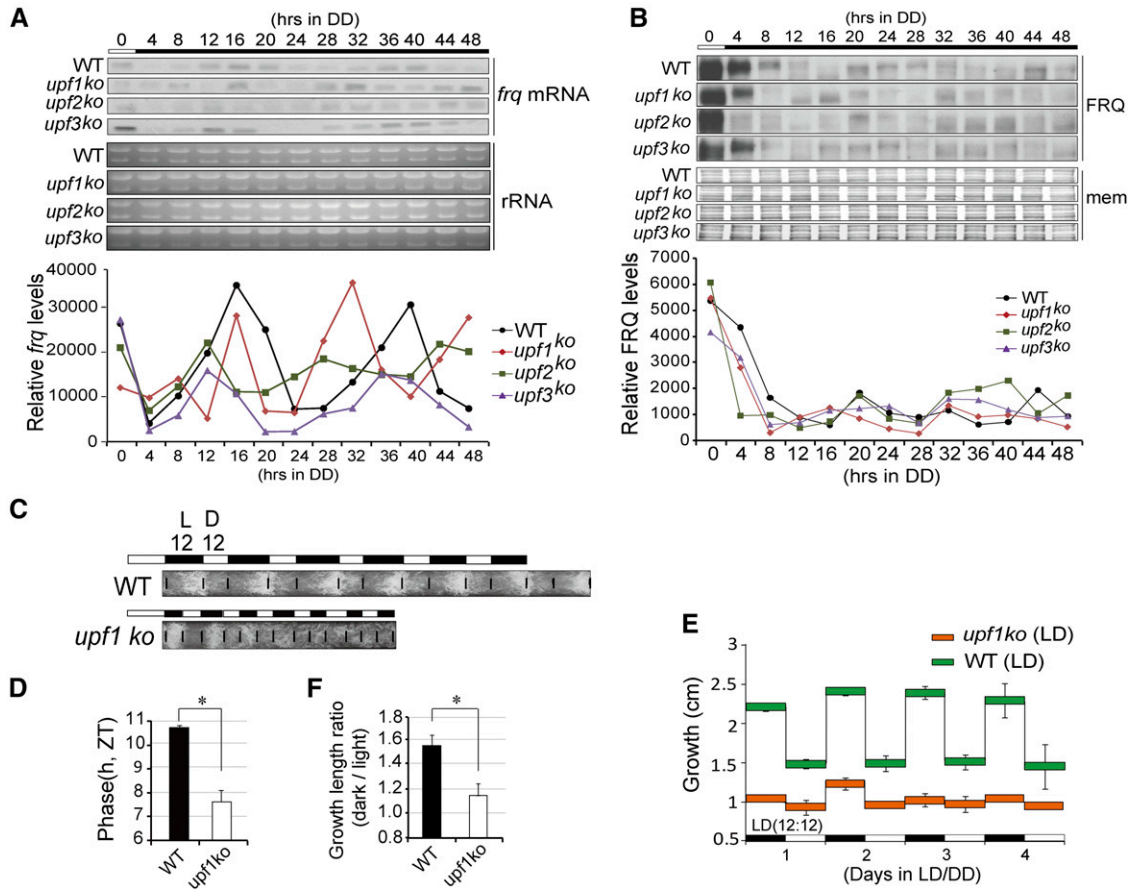


**Figure 3** Depletion of UPF1 leads to altered circadian rhythms. (A) Conidiation banding rhythms of WT and *upf1–3* ko strains revealed by race tube assay. The temperature was 25°. The white bar on the top denotes the LL condition and the black bar denotes the DD condition. (B) Representative results of luciferase reporter assays showing the *frq* promoter activity of the indicated strains in constant darkness. The measurement of luciferase activity was normalized to subtract the baseline luciferase signal. AU denotes arbitrary unit. Data are mean  $\pm$  SD. (C) Diagram of strategy to generate constructs and transformants to express *upf1* in the *upf1*<sup>KO</sup> strain. In the *upf1*<sup>KO</sup> strain, the locus of *upf1* gene has been replaced with the *hph* gene. The *Upf1* ORF was linked to *qa* promoter so that the expression of *upf1* can be driven by the addition of QA, to generate the *upf1*<sup>KO</sup>, *bar-qa-upf1* transformants. Transformants were selected by bialaphos resistance conferred by the *bar* gene. The short lines in different colors denote three primer sets for validation of the obtained transformants. (D) PCR validation of the transformants. The gDNA was isolated and subject to PCR analysis. *Upf1*<sup>KO</sup> strain and the *bar-qa-upf1* plasmid serve as controls. Actin serves as the PCR control. (E) Western blot results showing the expression of UPF1 in the *upf1*<sup>KO</sup>, *bar-qa-upf1* strain in the presence or absence of QA (0.01 M). Membrane stained with amido black serves as loading control. (F) Expression of *qa-upf1* in *upf1*<sup>KO</sup> leads to increased elimination of PTC-containing transcripts. RT-PCR results of NCU008034 and NCU04191 are shown. Data are mean  $\pm$  SD. (G) Left: race tube assay of *upf1*<sup>KO</sup>, *qa-upf1*. WT and *upf1*<sup>KO</sup> served as controls. Right: quantification of the circadian periods. Data are mean  $\pm$  SD. Significance was detected by Student's *t*-test. DD, continuous dark; gDNA, genomic DNA; ko, knockout; LL, continuous light; Mem, membrane; n.s., not significant; PTC, premature termination codon; QA, quinic acid; WT, wild-type.

while they were mostly upregulated in *upf2*<sup>KO</sup> and *upf3*<sup>KO</sup> strains (Figure 5D), suggesting that NMD factors are involved in the regulation of spliceosome components, though the roles of UPF1 might differ from those of UPF2 and UPF3. We next conducted sucrose density gradient sedimentation to fractionate the total protein extracts from the *upf1*<sup>KO</sup> and WT strains. The small nuclear RNA (snRNA) *U5* is critical for cell viability and pre-mRNA splicing (O'Keefe and Newman 1998). The distribution of *U5* in the sucrose density gradient fractions was evaluated by RT-PCR, and the results revealed a different distribution pattern of *U5* snRNA in the fractionated samples from that in WT (Figure 5E).

Arginine/serine-rich splicing factors (SR proteins) control splicing specificity, and in species of different kingdoms including *Neurospora*, the transcripts of some SR genes, e.g., *SRSF5*, harbor PTCs that are NMD targets (Lareau and Brenner

2015). In humans, all of the SR family members have splice forms and contain PTCs or alternative introns in the 3'-UTR (Lareau *et al.* 2007). We identified several SR homologs in *Neurospora*, including NCU07069, NCU03491 and NCU04772, and sequence analysis indicates that NCU07069, a homolog of *SRSF4*, bears a potential PTC (Figure S6 in File S1). RT-PCR results showed that the expression of NCU07069 and NCU04772 was significantly higher in *upf1*<sup>KO</sup> and *upf2*<sup>KO</sup> strains compared to that in WT (Figure 5F and Figure S7 in File S1). With primers spanning the PTC-containing intron, RT-PCR results showed that the inclusion of this intron was induced in the *upf1*<sup>KO</sup> strain. In addition, CHX treatment also led to induced inclusion of this intron (Figure 5G). Together, these data suggest that UPF1 may affect splicing, through regulating the expression of spliceosome components and related factors.



**Figure 4** UPF1 regulates *Neurospora* circadian rhythm. (A) Northern blot results of *frq* mRNA over 48 hr in WT and *upf1-3* ko strains. (B) Western blotting results of FRQ protein over 48 hr in WT and *upf1-3* ko strains. (C) Race tube results of WT and *upf1<sup>ko</sup>* in LD12:12. (D) Quantification of phase difference between WT and *upf1<sup>ko</sup>* in LD12:12. Data are mean  $\pm$  SD. (E) Quantification of growth length of WT and *upf1<sup>ko</sup>* strains in LD12:12 over 4 days. Black and white bars denote the LD conditions. Data are mean  $\pm$  SD. (F) Quantification of growth ratios of WT and *upf1<sup>ko</sup>* of strains in LD. The ratios represent the growth lengths in dark vs. that in the light. Data are mean  $\pm$  SD. Significance was detected by Student's *t*-test. DD, continuous dark; ko, knockout; mem, membrane; WT, wild-type.

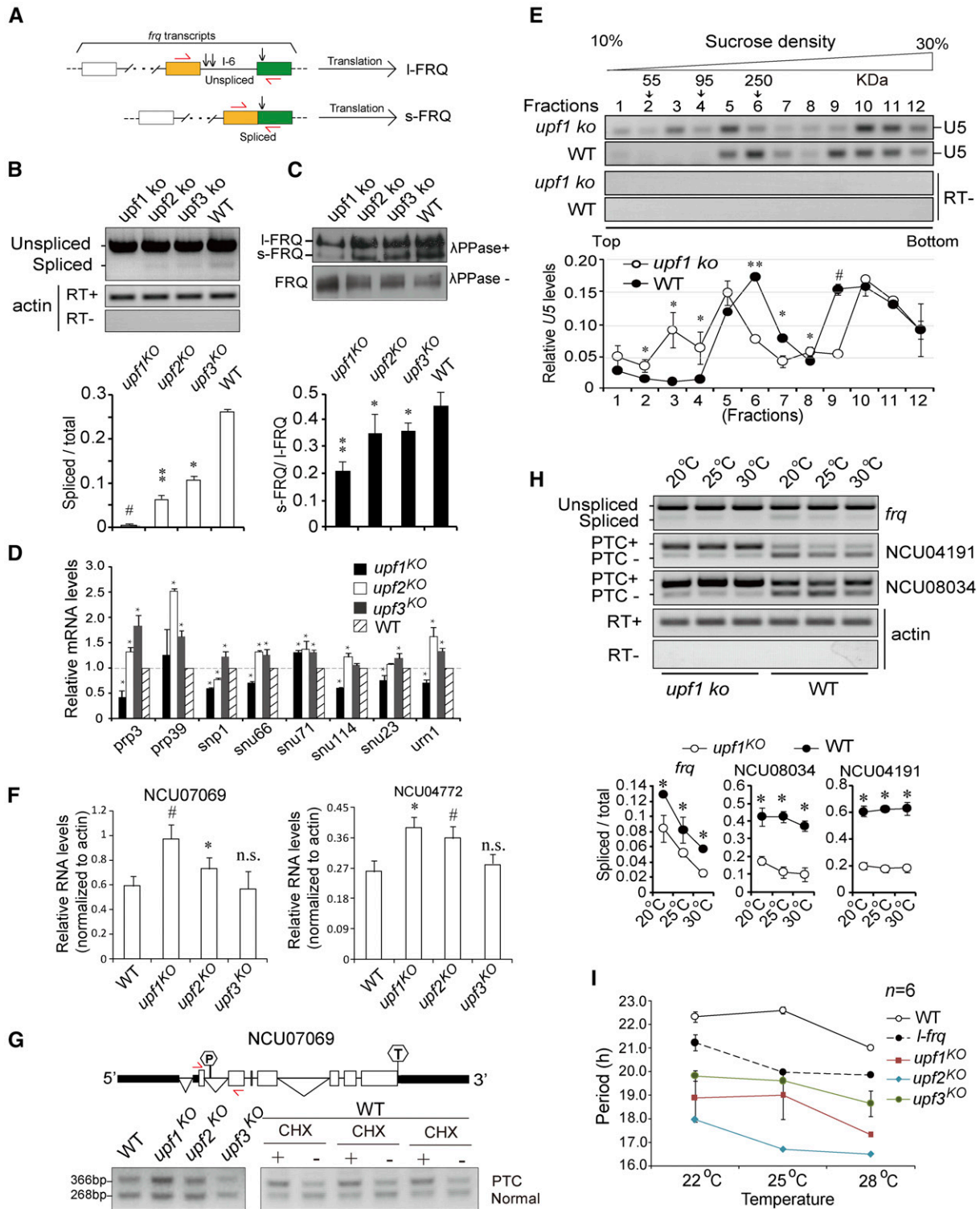
We next measured the splicing of *frq* I-6 and two representative PTC-containing genes, NCU04191 and NCU08034, and the results showed that the ratios of the spliced species of these three gene transcripts decreased at different temperatures in *upf1<sup>ko</sup>* (Figure 5H). At three different temperatures, all of the *upf1-3<sup>ko</sup>* strains exhibit shorter periods than the WT control (Figure 5I). A previous study reported that the *prd-6* strain showed conidiation rhythms even at very low or high temperatures (Morgan and Feldman 1997, 2001). However, we failed to observe discernable rhythms at temperatures lower than 22° and higher than 28° in the *upf1<sup>ko</sup>* strain. Even at 25°, *upf1<sup>ko</sup>* showed conidiation rhythmicity for only the first few days on the race tube and became arrhythmic afterward (Figure 3A). Moreover, as shown in Figure 5I, the circadian period of *upf1<sup>ko</sup>* was significantly shorter than that of the *l-frq* strain, which exclusively expresses l-FRQ but not s-FRQ.

#### UPF1 regulates LD growth rhythm in LD conditions

As shown in Figure 4, the *upf1<sup>ko</sup>* strain displayed an altered growth rhythm in LD conditions. To verify whether UPF1 mediates growth through the circadian clock or not, we first

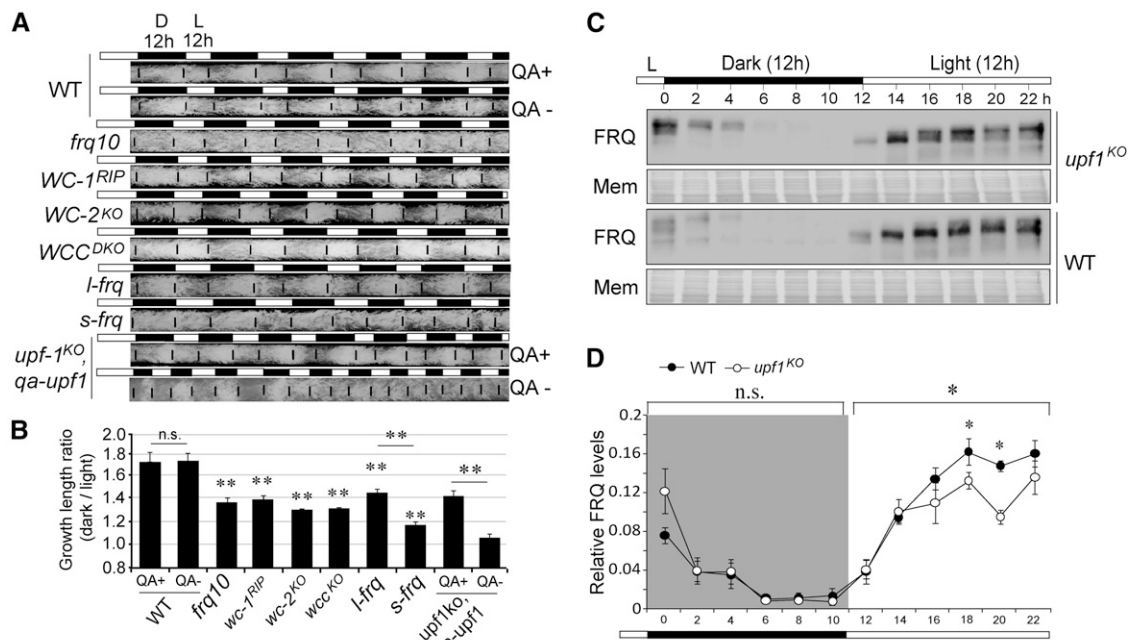
looked at the growth rhythms of a series of clock mutants including *frq<sup>10</sup>* (*frq* knockout strain), *wc-1<sup>RIP</sup>* (a strain with the *wc-1* gene silenced), *wc-2<sup>KO</sup>* (*wc-2* knockout strain), and *wcc<sup>DKO</sup>* (a strain in which both *wc-1* and *wc-2* are depleted) in LD12:12 conditions by calculating the growth length during night vs. that during daytime. According to the race tube results, the LD growth ratio in the night vs. daytime in the WT strain was  $\sim$ 1.7, while the LD growth ratios in the clock mutants were significantly lower (Figure 6, A and B). It is noteworthy to mention that, even in these mutant strains in which the circadian oscillator was disrupted, the growth rates at night were still higher than in the day. These data suggest that the circadian clock participates in the regulation of the daily diurnal growth rhythm in *Neurospora*.

In addition, we analyzed the diurnal growth rhythms of the *l-frq* and *s-frq* strains, and the results showed that a lack of either l-FRQ in the *s-frq* strain or s-FRQ in the *l-frq* strain led to a decreased LD growth ratio, and the *s-frq* strain displayed a lower growth ratio than *l-frq* (Figure 6, A and B). We also compared the growth rhythms in the *upf1<sup>ko</sup>*, *qa-upf1* strain, and the results showed that, upon the addition of QA, the LD



**Figure 5** UPF1 regulates the splicing of *frq* transcripts. (A) Schematic representation of *frq* I-6 splicing and the corresponding protein products I-FRQ and s-FRQ. Arrows in red denote the relative location of PCR primers flanking *frq* I-6. Vertical arrows denote the locations of the three initiation codons. (B) Top: RT-PCR results of *frq* I-6 splicing in *upf1-3* knockout and WT strains. Actin amplified in the presence/absence of reverse transcription served as control. Below: densitometric quantification of the results. Data are mean  $\pm$  SD. (C) Top: western blot results showing the ratio of s-FRQ protein in *upf1-3* knockout and WT strains. The proteins were either treated or untreated with  $\lambda$  phosphatase before running electrophoresis. Below: densitometric quantification of the results. Data are mean  $\pm$  SD. (D) qRT-PCR results showing the expression of snRNP genes in *upf1-3* knockout strains. The levels were normalized to that in WT. The expression data were normalized to *actin*. Data are mean  $\pm$  SD. (E) Top: RT-PCR results of the levels of U5 snRNA in the fractions from sucrose gradients of *upf1*<sup>KO</sup> and WT strains. Upper panel: representative U5 snRNA profiles. Locations of the protein markers (55, 95, and 250 kDa) from parallel gradients are indicated above the panel. Below: densitometric quantification of U5 distribution. Data are





**Figure 6** *upf1<sup>KO</sup>* strain displays a changed growth rhythm. (A) Clock gene mutants exhibit altered diurnal growth rhythms in LD12:12. The results were obtained from race tube assay. The growth front of mycelia growth was labeled at the L/D transition time points, twice a day. QA+ denotes the presence and QA– denotes absence of qa in the culture, respectively. (B) Quantification of the data from (A). Data are mean  $\pm$  SD. (C) Representative western blot results of FRQ in *upf1<sup>KO</sup>* and WT strains in LD12:12. Black and white bars denote the LD conditions. (D) Quantification of FRQ levels in *upf1<sup>KO</sup>* and WT strains in LD12:12 cycles. The total FRQ amount of each strain was normalized to be 1. Black and white bars denote the LD conditions. Data are mean  $\pm$  SE. Significance was detected by two-way repeated-measures ANOVA. D, dark; ko, knockout; mem, membrane; n.s., not significant; QA, quinic acid; WT, wild-type.

growth ratio was significantly increased (Figure 6, A and B), which confirms the idea that UPF1 controls the diurnal growth rhythm.

We next conducted western blots to analyze the changes in FRQ levels in LD12:12, and the results showed that during light, the FRQ protein levels of *upf1<sup>KO</sup>* are significantly lower than that in WT. In contrast, the FRQ levels in the dark are comparable between these two strains (Figure 6, C and D). These results suggest that the changes in the amount of FRQ in light might be associated with the altered growth rhythms.

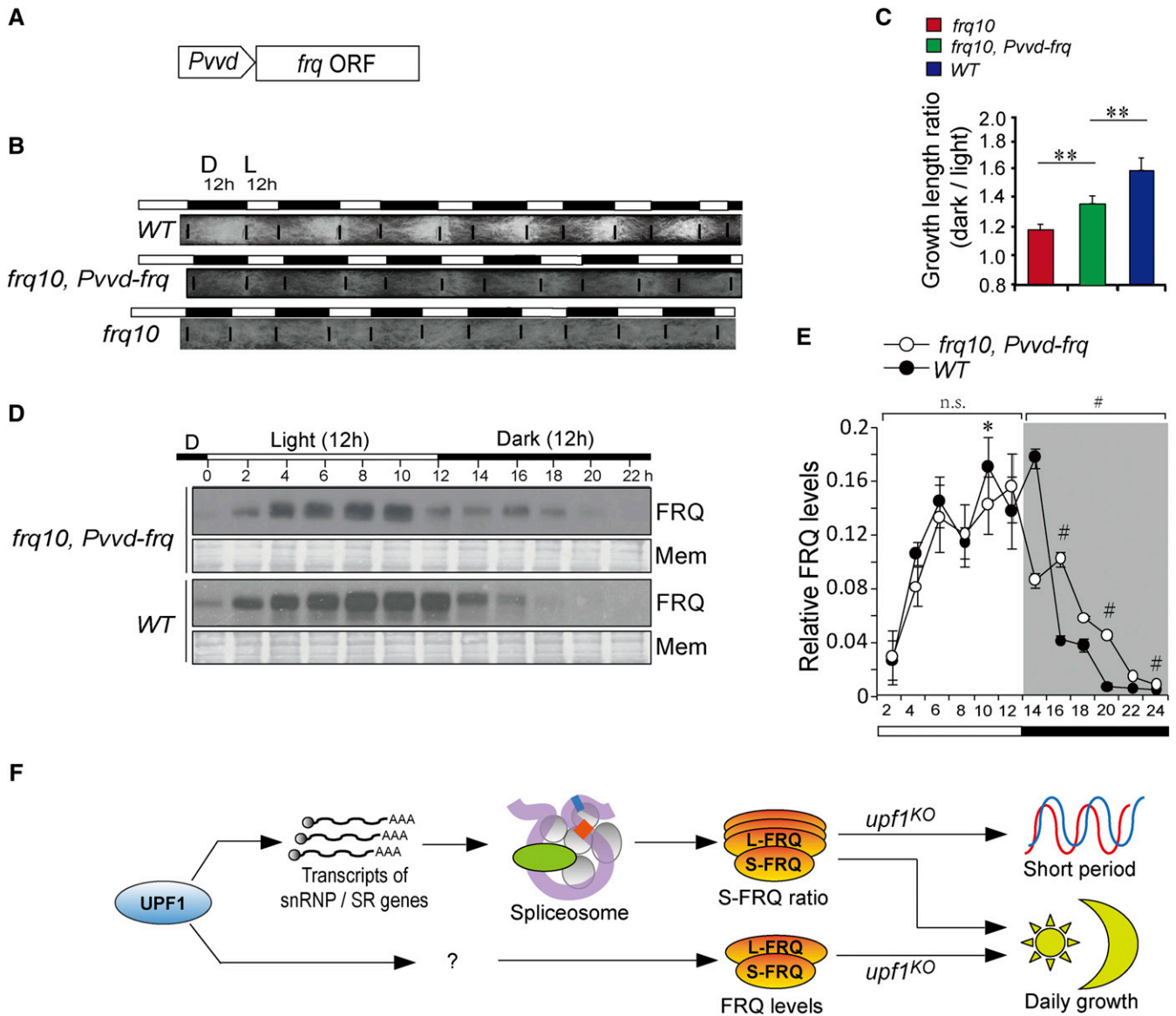
VIVID (VVD) is a blue light receptor that controls the entrainment and adaptation to light change in the *Neurospora* circadian clock (Heintzen *et al.* 2001; Schwerdtfeger and Linden 2003; Elvin *et al.* 2005; Hunt *et al.* 2007; Malzahn *et al.* 2010). The expression of *vvd* can be rapidly induced by the light, but it is only weakly expressed in the dark (Heintzen *et al.* 2001; Elvin *et al.* 2005). To verify whether the lower FRQ levels in the light can explain the changed growth rhythm in the *upf1<sup>KO</sup>* strain, we

generated a strain *frq10*, *Pvvd-frq*, which expresses *frq* under the control of the *vvd* promoter (Figure 7A). As the expression of *vvd* is predominantly occurs in the light (Hurley *et al.* 2012), the FRQ profiles would be expected to be different from that of WT. As expected, the race tube assays in LD12:12 showed that the LD growth ratio of *frq10*, *Pvvd-frq* was significantly increased compared to *frq10* (Figure 7, B and C). In LD12:12, the *frq10*, *Pvvd-frq* strain showed an altered FRQ profile in which FRQ was relatively higher in the dark (Figure 7, D and E).

## Discussion

NMD is a quality control mechanism that degrades a variety of aberrant mRNAs, including harboring premature termination (nonsense) codons and certain other types of aberrant transcripts. In addition, NMD also regulates the expression of a substantial set of normal transcripts (Kervestin and Jacobson 2012; Schweingruber *et al.* 2013). When translated, these

mean  $\pm$  SD. The total value from 12 densitometric analyses of each experiment was normalized to be 1. Data are mean  $\pm$  SD. (F) Quantification of relative RNA levels of NCU07069 and NCU04772 in indicated strains. The data were normalized to the expression of *actin*. Data are mean  $\pm$  SD. (G) RT-PCR of spliced and unspliced transcripts of NCU07069. Top: schematic representation of exon/intron structure of NCU07069. Arrows in red denote the relative location of PCR primers. Below: RT-PCR results of NCU07069 expression in indicated strains or with/without CHX treatment. (H) Top: splicing of *frq* and two PTC-containing genes is repressed in *upf1<sup>KO</sup>* strain at three temperatures. Upper panel: RT-PCR results of *frq* I-6, NCU04191, and NCU08034. Below: densitometric quantification of the spliced and unspliced transcripts. Data are mean  $\pm$  SD. (I) Quantification of circadian periods of *upf1-3* KO and WT strains at various temperatures. Data are mean  $\pm$  SD. Significance was detected by Student's *t*-test. CHX, cycloheximide; ko, knockout; PTC, premature termination codon; qRT-PCR, quantitative RT-PCR; WT, wild-type.



**Figure 7** Effects of diurnal levels of FRQ on *Neurospora* growth rhythm. (A) Schematic diagrams showing the cassette to overexpress the *frq* gene in *frq10*. The transcription of *frq* is controlled by the *vvd* promoter. (B) Race tube results of WT and *frq10*, *PvvD-frq* strains. (C) Quantification of growth ratios of WT, *PvvD-frq*, *frq10*, and WT strains in LD. The ratios represent the growth lengths in dark vs. that in the light. (D) Diurnal profile of FRQ protein is altered in *frq10*, *PvvD-frq*, and WT strains. Representative western blot results of FRQ in *upf1<sup>KO</sup>* and WT strains in LD12:12. (E) Quantification of FRQ levels in *upf1<sup>KO</sup>* and WT strains in an LD12:12 cycle. The total FRQ amount of each strain was normalized to be 1. Data are mean  $\pm$  SE. Significance detected by two-way repeated-measures ANOVA. (F) Model for UPF1 in the regulation of circadian and diurnal growth rhythms. UPF1 mediates the ratio of I-FRQ/s-FRQ through the spliceosome, which further contributes to the shorter period in the *upf1<sup>KO</sup>* strain. UPF1 also regulates the day/night FRQ levels through unidentified factors, which account for the altered diurnal growth rhythms in the *upf1<sup>KO</sup>* strain. Mem, membrane; n.s., not significant; SR gene, arginine/serine-rich splicing factor gene; WT, wild-type.

aberrant mRNAs can produce truncated proteins with dominant-negative or deleterious gain-of-function activities. In humans,  $\sim 30\%$  of all known diseases result from mutations in the production of mRNAs with PTCs (Khajavi *et al.* 2006).

It has been shown that EJC-mediated NMD and NMD factors participate in the regulation of mRNA stability of target genes in *Neurospora* (Zhang and Sachs 2015). In this work, we first showed that UPF1 can bind to UPF2 and that this process is compromised if the translation is repressed (Figure 1, C–F). In addition, for several representative genes, the

ratio of unspliced to spliced transcripts containing PTCs is significantly increased in the *upf1<sup>KO</sup>* and *upf2<sup>KO</sup>* strains (Figure 2C). These findings together suggest that these factors play conserved roles in regulating the NMD in *Neurospora* and, according to RNA-seq data, the predominant NMD target genes are 5'-uORF-containing transcripts in *Neurospora*.

In *Neurospora*, the depletion of UPF1 led to a very short circadian period in the first few days (Figure 3, A and B). The depletion of UPF1 resulted in a change in the spliceosome gene expression and assembly. As a consequence, a decrease

in the proportion of spliced *frq* transcripts containing *frq* I-6 was observed in the depletion strains of UPFs (Figure 5B). In *Neurospora*, s-FRQ supports a longer circadian period while l-FRQ supports a shorter circadian period (Liu *et al.* 1997). As such, the decreased s-FRQ ratio may account for the shortened periods of the *upfs* deletion strains. The expression of *upf1* driven by the *qa* promoter restored the circadian period (Figure 3G), which is consistent with the model that UPF1 mediates the circadian clock through the alternative splicing of *frq*. NMD factors may affect splicing events of *frq* and other genes through targeting the transcripts of spliceosomal components including SR proteins. As it has been shown that *frq* transcribes eight splicing variants including the unspliced pre-mRNA, splicing and turnover of which are differentially regulated (Zhang *et al.* 2015), it is possible that in addition to the spliceosome, NMD might also affect the ratio of *frq* transcripts with I-6 to those lacking I-6 by regulating the turnover of *frq* splicing variants.

Despite the increase in l-FRQ through alternative splicing accounting for the short period, the period of the *upf1<sup>KO</sup>* strain was still much shorter than that in *l-frq*, suggesting that the alteration of *frq* I-6 splicing only partially explains the short period in the *upf1<sup>KO</sup>* strain. Together with the fact that the rhythmicity of *upf1<sup>KO</sup>* is disrupted after the first few days, these data suggest that NMD also regulates the circadian oscillator in *Neurospora* through additional pathways other than splicing. As UPF1 mediates a number of downstream pathways (Figure 2B), it is possible that it regulates the gene expression of oscillator components in both direct and indirect ways. For instance, among the influenced gene upon the depletion of *upf* genes, several protein kinases and protein phosphatases that are known regulators of the circadian clock are under NMD control and their levels are altered in the knockout strains of *upf* genes. Moreover, as *Neurospora* NMD factors control mRNA turnover (Zhang and Sachs 2015), certain clock regulators could be mediated in this fashion.

The *prd-6* strain showed conidiation rhythms under temperatures ranging from 17 to 34°, and the temperature compensation was aberrant compared to the WT strain (Morgan and Feldman 1997). In contrast, in this work no discernable rhythms in the *upf1<sup>KO</sup>* strain were observed at temperatures beyond 22–28°. According to unpublished data, the original *prd-6* strain bears a spontaneous frameshift mutation in *upf1* resulting in a premature termination codon in the UPF1 coding region (Zhang and Sachs 2015). As such, gain-of-function mutation might be an explanation accounting for the difference in conidiation rhythmicity at lower and higher temperatures and the temperature compensation in the *prd-6* strain from those in the *upf1<sup>KO</sup>* strain.

The circadian clocks efficiently coordinate growth and development with respect to time of day in various species, and light interacts with the circadian systems, which results in diurnal growth rhythms (Dowson-Day and Millar 1999). In lower organisms, the dominant growth at night might be explained by the “escape of light” hypothesis (Parker and Rossman 1971; Matos *et al.* 2014). *Arabidopsis* hypocotyl

elongation is under the control of both light and the circadian clock, and mutations in clock genes caused impairment in the growth rhythms (Dowson-Day and Millar 1999; Nozue *et al.* 2007). In *Brachypodium distachyon*, the daily temperature change plays an important role in regulating the growth rhythm instead of the circadian clock (Matos *et al.* 2014). At the hormonal level, the amounts of some hormones closely oscillate in a circadian fashion. In plants, the response to auxin is under circadian control (Nozue and Maloof 2006; Covington and Harmer 2007). In animals, it is known that the growth hormones undergo a diurnal control that oscillates with a period near 24 hr (Leatherland *et al.* 1974; Brandenberger and Weibel 2004). Overall, the growth rhythms seem to represent a crucial adaptation to the environment, the disruption of which may undermine their fitness in the environment. However, the underlying mechanisms are not yet clearly understood.

The diurnal vs. nocturnal growth of *Neurospora* shows obvious rhythmicity, shown by faster growth in dark (Figure 4C). Depletion of UPF1 resulted in dramatic abolishment of this growth rhythmicity, suggesting that UPF1 may have a role in the establishment of the diurnal growth rhythm. In a series of clock gene mutants, the growth ratio (LD) of all these strains was significantly decreased (Figure 6), suggesting the involvement of clock components in regulating growth rhythmicity. In *frq<sup>10</sup>*, *Pvvd-frq* strain, the LD growth ratios were significantly altered, suggesting that the FRQ levels affect the diurnal growth rhythm. In addition to its influence on the circadian period and phase, the deletion of *upf1* caused altered diurnal levels of FRQ protein and a flattened diurnal growth rhythm. Together, these data demonstrate that UPF1 may control the diurnal growth rhythm by regulating the expression of clock genes including FRQ (Figure 7F). As in those strains in which the circadian system is disrupted, including *frq<sup>10</sup>*, *wc-1<sup>RIP</sup>*, *wc-2<sup>KO</sup>* and *wcc<sup>DKO</sup>*, the diurnal growth ratios are significantly higher than that in *upf1<sup>KO</sup>*, suggesting that certain other photoreceptors or light-interacting factors are implicated in the regulation of diurnal growth rhythmicity, and that such potential factors should be under NMD control. In *Neurospora*, established and putative photoreceptors comprise WC-1, VVD, Phytochrome orthologs PHY-1/2, the CRYPTOCHROME homolog CRY, and NEW EUKARYOTIC OPSIN-1 (NOP-1) (Chen *et al.* 2010). Whether these factors regulate *Neurospora* growth rhythmicity as well as the mechanisms by which they may do this remains to be investigated.

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