

# **HHS Public Access**

Author manuscript JAMA Psychiatry. Author manuscript; available in PMC 2017 August 18.

Published in final edited form as:

JAMA Psychiatry. 2017 April 01; 74(4): 379–386. doi:10.1001/jamapsychiatry.2017.0017.

# **Association Between Baseline Corticothalamic-Mediated Inhibitory Control and Smoking Relapse Vulnerability**

**Brett Froeliger, PhD**, **Patrick A. McConnell, MS**, **Spencer Bell, BS**, **Maggie Sweitzer, PhD**, **Rachel V. Kozink, MS**, **Christie Eichberg, BS**, **Matt Hallyburton, BA**, **Nicole Kaiser, BA**, **Kevin M. Gray, MD**, and **F. Joseph McClernon, PhD**

Department of Neuroscience, Medical University of South Carolina, Charleston (Froeliger, McConnell, Bell, Eichberg); Department of Psychiatry, Medical University of South Carolina, Charleston (Froeliger, Gray); Hollings Cancer Center, Medical University of South Carolina, Charleston (Froeliger); Center for Biomedical Imaging, Medical University of South Carolina, Charleston (Froeliger); Department of Psychiatry and Behavioral Sciences, Duke University Medical Center, Durham, North Carolina (Sweitzer, Kozink, Hallyburton, Kaiser, McClernon); Brain Imaging and Analysis Center, Duke University Medical Center, Durham, North Carolina (McClernon)

# **Abstract**

**IMPORTANCE—**Tobacco use disorder is associated with dysregulated neurocognitive function in the right inferior frontal gyrus (IFG)—one node in a corticothalamic inhibitory control (IC) network.

**OBJECTIVE—**To examine associations between IC neural circuitry structure and function and lapse/relapse vulnerability in 2 independent studies of adult smokers.

**DESIGN, SETTING, AND PARTICIPANTS—In study 1, treatment-seeking smokers (n = 81)** completed an IC task during functional magnetic resonance imaging (fMRI) before making a quit

Corresponding Author: Brett Froeliger, PhD, Department of Neuroscience, Medical University of South Carolina, 96 Jonathan Lucas St, Clinical Science Bldg, MSC 606, Charleston, SC 29425, (froelige@musc.edu).

**Conflict of Interest Disclosures:** None reported.

**Role of the Funder/Sponsor:** The funders had no role in the design and conduct of the study; collection, management, analysis, and interpretation of the data; preparation, review, or approval of the manuscript; and decision to submit the manuscript for publication.

**Disclaimer:** The content is solely the responsibility of the authors and does not necessarily represent the official views of the National Institutes of Health.

**Author Contributions:** Drs Froeliger and McClernon had full access to all the data in the study and take responsibility for the integrity of the data and the accuracy of the data analysis.

Study concept and design: Froeliger, McConnell, McClernon.

Acquisition, analysis, or interpretation of data: All authors.

Drafting of the manuscript: Froeliger, McConnell, Bell, Sweitzer, Kaiser.

Critical revision of the manuscript for important intellectual content: Froeliger, McConnell, Sweitzer, Kozink, Hallyburton, Gray, McClernon.

Statistical analysis: Froeliger, McConnell, Bell, Sweitzer, McClernon.

Obtained funding: Froeliger, McClernon.

Administrative, technical, or material support: Froeliger, Eichberg, Hallyburton, McClernon. Study supervision: Froeliger, Eichberg, McClernon.

**Additional Contributions:** James Purl, BS, and Jayce Doose, MEng (Center for Biomedical Imaging, Medical University of South Carolina), assisted with image acquisition and provided technical support. Susan Music, RT(R)(MR), Luke Pool, RT(R)(MR), and Natalie Goutkin, RT(R)(MR) (Duke University Medical Center), assisted with image acquisition.

attempt and then were followed up for 10 weeks after their quit date. In study 2, a separate group of smokers ( $n = 26$ ) performed the same IC task during fMRI, followed by completing a laboratory-based smoking relapse analog task. Study 1 was performed at Duke University Medical Center between 2008 and 2012; study 2 was conducted at the Medical University of South Carolina between 2013 and 2016.

**MAIN OUTCOMES AND MEASURES—**Associations between corticothalamic-mediated IC, gray-matter volume, and smoking lapse/relapse.

**RESULTS—Of** the 81 study participants in study 1 (cessation study), 45 were women (56%), with mean (SD) age, 38.4 (10.2) years. In study 1, smoking relapse was associated with less graymatter volume ( $F_{1,74} = 28.32$ ; familywise error *P* threshold = 0.03), greater IC task-related blood oxygenation level–dependent (BOLD) response in the right IFG ( $F_{1,78}$  = 14.87) and thalamus  $(F<sub>1.78</sub> = 14.97)$  (P < .05), and weaker corticothalamic task-based functional connectivity (tbFC)  $(F_{1,77} = 5.87; P = .02)$ . Of the 26 participants in study 2 (laboratory study), 15 were women (58%), with mean (SD) age, 34.9 (10.3). Similar to study 1, in study 2, greater IC-BOLD response in the right IFG ( $t_{23} = -2.49$ ; β = -0.47; P = .02), and weaker corticothalamic tbFC ( $t_{22} = 5.62$ ; β = 0.79;  $P < 0.001$ ) were associated with smoking sooner during the smoking relapse-analog task. In both studies, corticothalamic tbFC mediated the association between IC performance and smoking outcomes.

**CONCLUSIONS AND RELEVANCE—**In these 2 studies, baseline differences in corticothalamic circuitry function were associated with mediated IC and smoking relapse vulnerability. These findings warrant further examination of interventions for augmenting corticothalamic neurotransmission and enhancing IC during the course of tobacco use disorder treatment.

> Executive function and behavioral inhibition deficits play significant roles in substance use disorders.<sup>1</sup> Converging evidence from animal and human models of addiction demonstrates that chronic exposure to psychoactive drugs produces neuroplasticity in prefrontal circuitry underlying executive function<sup>2,3</sup> and inhibitory control  $(IC)$ .<sup>1</sup> Inhibitory control is subserved, in part, by a corticothalamic circuit including the right inferior frontal gyrus  $(IFG)$ ,  $4-6$ presupplementary motor area,<sup>5,6</sup> and the subthalamic nucleus,<sup>5</sup> with the right IFG modulating the strength of the presupplementary motor area's excitatory action on the subthalamic nucleus, in turn inhibiting motor output during  $IC<sup>5</sup>$

Compared with nonsmokers, smokers exhibit less gray-matter volume(GMV) in the corticothalamic pathway, including the  $IFG<sup>7-9</sup>$  and thalamus,  $^{10,11}$  and greater functional magnetic resonance imaging (fMRI) blood oxygenation level-dependent (BOLD) response in the right IFG during neurocognitive tasks.<sup>12,13</sup> Acute smoking abstinence further increases BOLD response in right IFG during  $IC^{14}$  and other neurocognitive tasks.<sup>13,15,16</sup> Abnormalities in IFG structure and function implicate a compensatory mechanism by which smokers "overrecruit" the right IFG in an attempt to exert IC. These contrasting patterns of neural structure and function between smokers and nonsmokers may underlie differences in cognitive functioning<sup>12,17,18</sup> and impulsivity,<sup>19</sup> as well as withdrawal-induced cognitive disturbances in smokers; however, the effect of these neurophysiological disparities on inhibiting smoking behavior remains unknown.

Across 2 studies, we measured baseline GMV and fMRI BOLD response during a wellvalidated measure of  $IC^{20}$  to examine structural and functional correlates of the ability to resist smoking in adult daily smokers (Table 1 and Table 2). In study 1 (cessation study), 81 participants underwent a baseline fMRI session 1 month before a quit attempt, and smoking behavior was assessed during a 10-week postquit period. In a separate sample of smokers (study 2, laboratory study), 26 participants first completed an fMRI visit and then performed a smoking relapse analog task (SRT). We hypothesized that less GMV and greater IC taskrelated BOLD response in corticothalamic circuitry would be associated with smoking relapse (study 1), as well as ad lib smoking during the SRT (study 2).

# **Methods**

#### **Participants and Procedures**

**Across Studies—**Inclusion criteria were being in good health, right-handed, aged 18 to 55 years, and smoking 10 cigarettes or more per day. Exclusion criteria were significant health problems, contraindications for MRI, use of psychoactive medications, use of smokeless tobacco or nicotine replacement therapy, current drug or alcohol abuse, afternoon expired carbon monoxide level less than 10 ppm (Vitalograph Inc), and positive urine illicit drug screen, breath alcohol level (Alert breathalyzer; Columbia Laboratory Supplies), or urine pregnancy test.

The study was approved by the institutional review boards of Duke University School of Medicine for study 1 (cessation study) and the Medical University of South Carolina for study 2 (laboratory study). Participants gave written informed consent and received financial compensation.

**Study 1—**Smokers (n = 95) in the smoking cessation study (conducted at Duke University from 2008 to 2012) who were interested in quitting smoking were recruited via newspaper and internet advertisements. Participants underwent fMRI scanning 30 minutes after smoking a cigarette, were randomized to an experimental cessation treatment for 30 days before a quit attempt, and then returned to the laboratory to report their smoking behavior at 1, 3, 6, and 10 weeks after quitting (eMethods in the Supplement). Daily diaries of cigarette use, as well as levels of expired carbon monoxide, were collected at each visit to confirm abstinence (carbon monoxide level <8 ppm) or relapse. The primary smoking-cessation outcome variable was relapse defined as 7 consecutive days of smoking at least 1 cigarette per day.21,22 Following the baseline prequit fMRI scan, data from 14 participants were excluded from analyses: 8 withdrew before initiating a quit attempt, 3 for image artifacts, and 3 for incomplete imaging data, resulting in a final sample of 81 smokers (Table 1). Forty participants either met criteria for relapse or were lost to contact and were grouped as relapsed. Forty-one participants who did not meet the relapse criterion were grouped as abstinent. To promote truthful reports, participation and payment were not contingent upon abstinence.

**Study 2—**Smokers (n = 30) in the laboratory study (conducted at the Medical University of South Carolina from 2013 to 2016) were recruited via newspaper and internet advertisements and expressed no interest in quitting smoking. Participants underwent fMRI

scanning twice: once 30 minutes after smoking a cigarette (sated) and once following 24 hours of abstinence (order randomized). To replicate study 1 methods, all study 2 data reported herein are from the sated condition. Immediately following scanning, participants performed a smoking relapse analog task (SRT). During the SRT, participants were presented with positive, negative, and neutral emotional images on a computer screen over 6-minute time blocks while also being provided with an open pack of their preferred brand of cigarettes, ashtray, and lighter. Participants received \$1 for each 6-minute block that they did not smoke, up to 10 blocks over a 1-hour period. Following the first block, participants could stop the task and forfeit earning money to smoke 1 cigarette. Latency to initiate ad lib smoking was recorded and smoking topography (puff count and volume) was measured using the Clinical Research Support System. eTable 1 in the Supplement provides the SRT performance results. Four participants were excluded due to poor IC task accuracy (<75% Go trials correct, as described below). The final sample was 26 participants (Table 2).

Across studies, all participants completed a training session during which they practiced the IC task and completed a smoking-history questionnaire and the Fagerstrom Test of Nicotine Dependence (FTND); FTND scores ranged between 1 (low) and 9 (high).<sup>23</sup> In addition, the participants were familiarized with the scanning environment using a mock MRI scanner.

#### **Image Acquisition**

**Study 1—**Neuroimaging data were collected on two 3T scanners (Signa Excite HD and MR750; GE Healthcare). A 3-dimensional, spoiled gradient recalled acquisition anatomical sequence was collected (field of view [FOV],  $25.6 \text{ cm}^2$ ; flip angle,  $12^{\circ}$ ; 166 sections; 1-mm isotropic voxel), followed by an fMRI SENSE sequence (repetition time [TR], 1500 milliseconds; echo time [TE], 30 milliseconds; flip angle,  $60^\circ$ ; 32 sections; voxel size,  $4 \times 4$  $\times$  3.8 mm).

**Study 2—In the laboratory study, MRI scanning was conducted on a 3T scanner** (Magnetom TrioTim; Siemens). A 3-dimensional MPRAGE anatomical sequence was collected (FOV, 25.6 cm<sup>2</sup>; flip angle,  $9^{\circ}$ ; 192 sections; and 1-mm isotropic voxel), followed by an fMRI EP2D-BOLD sequence (TR, 2000 milliseconds; TE, 30 milliseconds; flipangle, 90°; 36 sections; and voxel size,  $3.3 \times 3.3 \times 3.0$  mm).

# **IC Task**

The validated, fixed-jittered, event-related IC task $^{20}$  included randomly presented colored circles of 3 types of trial: frequent gray  $(Go, 75.4\%;n = 388)$ , rare yellow(RareGo, 12.3%; n  $= 65$ ), and rare blue (NoGo, 12.3%; n = 65) (eFigure 1 in the Supplement). Participants were instructed to press a button with their right index finger as quickly as possible following each Go and RareGo trial; and to refrain from pressing in response to a NoGo trial.

#### **Image Processing**

**Voxel-Based Morphometry Data Processing—Structural images (study 1: n = 41)** abstinent,  $n = 40$  relapsed; study  $2:n = 26$ )were preprocessed using the voxel-based morphometry 8 (VBM8) toolbox (<http://dbm.neuro.uni-jena.de/vbm8>) and statistical parametric mapping 12 (SPM12) (<http://www.fil.ion.ucl.ac.uk/spm>) according to a standard

pipeline. Forward-deformation fields were calculated from each participant's skull-stripped and rigid-body registered T1 image to warp functional data into Montreal Neurological Institute space.<sup>24</sup>

**fMRI Data Processing—**Preprocessing of functional images included slice-time correction and realignment<sup>25</sup>; motion outlier detection (framewise displacement  $>4$  mm (approximately 1 acquisition voxel) [http://www.nitrc.org/projects/artifact\\_detect\)](http://www.nitrc.org/projects/artifact_detect) and correction (via nearest-neighbor interpolation); despiking at 4%of global mean ([http://](http://cibsr.stanford.edu/tools/human-brain-project/artrepair-software.html) [cibsr.stanford.edu/tools/human-brain-project/artrepair-software.html](http://cibsr.stanford.edu/tools/human-brain-project/artrepair-software.html)); coregistration of functional images to structural image; warping to Montreal Neurological Institute space using forward deformations, resampling to 1.5-mm<sup>3</sup> voxel size (ie,  $3.375 \mu L$ ) and smoothing with a 10-mm<sup>3</sup> full width at half maximum (FWHM) Gaussian filter. Exclusion threshold for rapid motion was 20% of run length, but no participants exceeded this threshold (eTable 2 in the Supplement).

**Task-Based Functional Connectivity Data Processing—**Preprocessed fMRI data were uploaded into the conn14 toolbox [\(http://www.nitrc.org/projects/conn](http://www.nitrc.org/projects/conn)) for denoising and connectivity analyses. Using unsmoothed segmented tissue images, along with functionally defined regions of interest (ROIs), significant clusters were exported using MarsBaR [\(http://marsbar.sourceforge.net\)](http://marsbar.sourceforge.net) from the NoGo<sub>correct</sub>–RareGo<sub>correct</sub> analysis of covariance fMRI model (study 1; eTable 3 in the Supplement). Mean time courses from the unsmoothed BOLD signal from each ROI were characterized with no additional principal components. Confounds (mean white matter, cerebrospinal fluid signal, and motion parameters) were regressed out of the mean signal for each ROI. A high-pass filter of 0.008 Hz was performed after confound regression (with no detrending).

**Inhibitory Control Network Mask—**An IC network mask was created in WFU PickAtlas (<http://fmri.wfubmc.edu/software/pickatlas>), including the right IFG, bilateral thalamus, subthalamic nucleus, presupplementary motor area, and left primary motor cortex (eFigure 2 in the Supplement). The ROI mask was used as an explicit mask in all analyses.

#### **Statistical Analysis**

**General Statistical Considerations—**For both studies, significance was defined at α  $= .05$ , with a cluster-determining threshold of  $P < .001$ , as determined by Monte Carlo simulations individually for each statistical parametric mapping SPM model (3dClustSim; [https://afni.nimh.nih.gov/pub/dist/doc/program\\_help/3dClustSim.html,](https://afni.nimh.nih.gov/pub/dist/doc/program_help/3dClustSim.html) May 2016). Specifically, 3dcalc was used to take the square root of the SPM model's error variance image (ResMS) and 3dFWHMx was used to empirically determine the spatial smoothness of residual error in the model using the newly developed non-Gaussian autocorrelation function. Data from the National Institutes of Health, replicating the Beijing data sets,  $2^6$ show that these settings, combined with a cluster-determining threshold of  $P < .001$  and a 10-mm<sup>3</sup> smoothing kernel, maintain a true false-positive rate of 5% for regular event-related designs.27 The required cluster extent (cubic millimeters) for each model is noted in the respective table footnotes. Due to nonstationarity in VBM data, we thresholded at  $P < .05$ familywise error at the voxel level. When significant clusters were observed at this cluster-

determining threshold, cluster-level familywise error P values and cluster extent threshold values are reported.

**Covariates—**In study 1, differences in demographic characteristics and baseline self-report measures between the two groups (abstinent, relapsed) were analyzed with  $\chi^2$  and independent-sample, 2-tailed t tests (Table 1). Significant group differences were observed for nicotine dependence (FTND) and cigarettes per day. Cigarettes per day and FTND were positively correlated ( $r = 0.58$ ;  $P < .001$ ). The FTND results were associated with BOLD response in a priori ROIs, including presupplementary motor area  $(P = .004)$ , right IFG (P  $=$  .03), and thalamus ( $P = .02$ ), and was therefore included as a nuisance covariate in all models. Before hypothesis testing in study 1, we assessed whether the scanner used was a confound in the GMV or BOLD signal. A main effect of the scanner was observed in GMV (eTable 4 in the Supplement) and therefore was included as a covariate. No effect of the scanner was observed in the fMRI data or in the task-based functional connectivity (tbFC) ROI-ROI analysis (all  $P > 0.01$ ). Visit order was included as a covariate in all study 2 models. Age, sex, and educational level are known confounds for morphometric analyses and thus were included as nuisance covariates in both studies. Functional connectivity is known to be particularly sensitive to rapid motion; therefore, the total number of interpolated volumes from motion-outlier detection was covaried at the second level in both studies.

**Voxel-Based Morphometry—**The VBM data were modeled at the second level in SPM12 using analysis of covariance for study 1 and time to smoke on the SRT in a regression analysis for study 2. For VBM analytic strategy, see the eMethods in the Supplement.

#### **Experimental IC Task**

Session data were entered into a first-level analysis using the general linear model<sup>25</sup> to examine the BOLD response to each of 5 trial types: NoGo<sub>correct</sub>, NoGo<sub>incorrect</sub>, RareGo<sub>correct</sub>, RareGo<sub>incorrect</sub>, and Go<sub>incorrect</sub>. Each event was modeled as a delta regressor at the onset of the event and convolved with a canonical hemodynamic response function. Intrarun motion was removed through rigid body rotation and translation and parameters were included as covariates. A high-pass filter (0.008 Hz) was applied to remove slow signal drift. Finally, to examine successful IC-BOLD response, controlling for novelty detection, a NoGo<sub>correct</sub>-RareGo<sub>correct</sub> contrast image was generated (henceforth, IC-BOLD) and used for hypothesis testing. Within- and between-participants (study 2 only) main effects of trial type on accuracy, within-participants main effects of rare trial type on BOLD response, and between-participants main effects of group (study 1 only) on IC-BOLD response were each assessed via analysis of covariance. Next, the functional ROIs obtained from study 1 (right IFG and right thalamus) were used to obtain mean percent signal change from first-level models during IC (via MarsBaR). The main effect of task on BOLD response is shown in eFigure 3 and eTable 5 in the Supplement.

#### **Task-Based Functional Connectivity**

Corticothalamic tbFC was assessed at the second level based on first-level, voxelwise, Fisher-transformed correlation-coefficient maps.<sup>28</sup> In study 1, tbFC between right IFG and

right thalamus functional ROIs from the IC-BOLD analysis was assessed using the conn14 ROI-ROI explorer (via between participant analysis of covariance). In study 2, a secondlevel seed-voxel strategy was implemented, seeding the right IFG functional ROI from study 1 to examine tbFC within a right thalamus automated anatomical labeling mask, and regression was performed to examine corticothalamic tbFC as a function of time to smoke on the SRT.

#### **Mediation Path and Post hoc Analysis**

Mediation analyses were performed to test the a priori hypothesis that corticothalamic tbFC during IC mediates the association between IC accuracy and smoking outcomes, using bootstrapping (10000) with bias-corrected and accelerated (BCa) 95% CI.29 Associations between GMV, BOLD, and tbFC findings, as well as with behavioral measures, were assessed (eMethods in the Supplement).

# **Results**

#### **Inhibitory Control Task**

In study 1, inhibitory control task performance did not differ between the abstinent and relapsed groups (eFigure 4A and eTable 6A in the Supplement). A significant main effect of group demonstrated that, compared with those who remained abstinent, smokers who relapsed exhibited greater IC-BOLD responses in the right IFG ( $F_{1,78} = 14.87$ ) and right thalamus ( $F_{1,78}$  = 14.97) ( $P < .05$ ) (Figure 1A and eTable 3 in the Supplement). Furthermore, greater IC-BOLD response in the right IFG was associated with worse IC accuracy ( $\beta_{78}$  =  $-0.234$ ;  $R^2 = 0.037$ ;  $P = .04$ ), but not in the right thalamus ( $P = .47$ ).

In study 2, successful IC accuracy predicted time to smoke during the SRT (eFigure 4B in the Supplement). Analogous to study 1, greater IC-BOLD response in the right IFG predicted a shorter time to smoke during the SRT (β = -0.468; P = .02; adjusted  $R^2$  = 0.151)  $(t_{23} = -2.49; \beta = -0.47; P = 0.02)$  (Figure 1B and eTable 3 in the Supplement).

#### **Corticothalamic tbFC**

In study 1, stronger IC tbFC between the right IFG and thalamus (henceforth, corticothalamic) predicted successfully maintaining abstinence ( $F_{1,77} = 5.87$ ;  $P = .02$ ) (Figure 2A and eTable 7 in the Supplement) and IC accuracy ( $\beta_{77} = 0.229$ ;  $R^2 = 0.03$ ;  $P =$ . 04). In study 2, stronger ICtbFC in the corticothalamic circuit predicted a longer time to initiate smoking on the SRT ( $t_{22} = 5.62$ ; β = 0.79; P < .001, adjusted  $R^2 = 0.538$ ) (Figure 2B and eTable 7 in the Supplement) and greater IC accuracy ( $\beta_{22} = 0.530$ ;  $R^2 = 0.249$ ;  $P =$ . 009).

#### **Mediation Path Analysis**

Corticothalamic tbFC mediated the association between IC accuracy and smoking relapse outcomes in study 1 (β<sub>i</sub> = −0.0078; BCa 95% CI, −0.022 to −0.0002) (Figure 3 and eTable 8A in the Supplement). In study 2, corticothalamic tbFC mediated the association between IC accuracy and time to smoke on the SRT, accounting for 51.7% of the total effect ( $\beta_i$  = 0.25; BCa 95% CI, 0.02 to 0.66) (Figure 3 and eTable 8B in the Supplement).

#### **Gray-Matter Volume**

In study 1, compared with those who remained abstinent, smokers who relapsed exhibited significantly lower baseline GMV in the right IFG ( $F_{1,74}$  = 28.32; familywise error P threshold  $= 0.03$ ; a 1.7% difference) (eFigure 5 and eTable 9 in the Supplement). Graymatter volume was not associated with IC accuracy. No significant associations between SRT and GMV were found in study 2.

## **Post hoc Analyses**

Post hoc analysis findings evaluating associations between VBM, BOLD response, and behavior are presented in eResults in the Supplement. In study 1, the right IFGBOLD response during IC was found to be negatively associated with right IFGGMV ( $r_{74} = -0.353$ ,  $P = .002$ ). In study 2, semipartial correlation revealed a moderate negative association between IC task-related corticothalamic BOLD response and tbFC ( $r_{22} = -0.434$ ,  $P = .03$ ).

# **Discussion**

These studies reveal that IC task-related BOLD response in the right IFG and corticothalamic tbFC are associated with the ability to resist smoking; also, right IFGGMV was associated with maintaining abstinence. Moreover, corticothalamic tbFC mediated the association between IC task accuracy and both smoking relapse and time to lapse. To our knowledge, these are the first studies to directly link corticothalamic-mediated IC to the ability to resist smoking.

The right IFG plays an important role in attentional control processes, including novelty detection,  $30$  sustained attention,  $31$  emotion-cognition interactions,  $32$  and resolving emotional distraction during goal-directed processes.<sup>33–35</sup> Smoking is an over-learned, prepotent response and smoking abstinence requires suppressing this response. Prior work has demonstrated that smoking abstinence disrupts the right IFGBOLD response during a broad array of neurocognitive tasks.<sup>13–16</sup> However, previous studies did not report on whether the effects of acute abstinence on frontally mediated neurocognition predicted inhibiting subsequent smoking behavior.

In addition to the effect in the right IFG, we found that increased activity in the right thalamus, along with weaker tbFC between the IFG and thalamus, is associated with smoking lapse and relapse. The thalamus serves as an information relay<sup>36</sup> between distinct neuroanatomical systems that subserve arousal and attention.<sup>37</sup> Thalamic nuclei share reciprocal connections with<sup>38</sup> and are functionally connected to<sup>39</sup> the lateral prefrontal cortex. Corticothalamic loops mediate IC and executive function,<sup>40</sup> whereas dysregulated corticothalamic circuitry is posited to represent a transdiagnostic factor in neuropsychiatric disorders.41 Weaker FC in corticothalamic circuitry has been reported across a spectrum of psychiatric disorders.42,43 With regard to smoking, chronic exposure to nicotine during development produces neuroplasticity in corticothalamic circuitry posited to mediate deficits in executive function.<sup>44</sup>

Finally, we found in the cessation study that greater right IFG GMV was associated with maintaining smoking abstinence. Morphometric data from smokers has shown that less

GMV in bilateral IFG,  $9$  and reduced GMV in the right dorsolateral prefrontal cortex more broadly, is associated with greater cue-induced craving.45 Together, these findings suggest that reduced prefrontal GMV may reduce IC over behavioral response to conditioned drugcues. This finding is consistent with the extant literature implicating drug addiction– related neuroplasticity in frontostriatal circuitry, which mediates cue-induced relapse,  $2,46$  as being associated with frontally mediated behavioral inhibition.<sup>3</sup>

In addition to replicating the extant literature on dysregulated prefrontally mediated IC in substance use disorders, $<sup>1</sup>$  the present studies extend the literature by providing convergent</sup> findings that corticothalamic circuitry function mediates associations between IC and resisting smoking. Moreover, findings herein suggest that individual differences in corticothalamic circuitry function have important implications for smoking cessation and relapse vulnerability. Further research is needed to evaluate the therapeutic benefit of delivering precessation interventions (eg, brain stimulation,  $47$  cognitive training,  $48,49$  and combined medications<sup>50</sup>) to treat the neuropathophysiology of corticothalamic circuitry involved in smoking behavior.

#### **Limitations**

It remains unclear whether structural and functional differences among smokers reflect the effects of chronic exposure to toxic compounds found in combustible tobacco on neurovasculature,<sup>51</sup> are a product of epigenetic changes<sup>52</sup> or neuroplasticity<sup>53</sup> following chronic nicotine use, or reflect a smoking endophenotype with impaired inhibitory control of behavior. Therefore, despite controlling for a number of variables known to affect GMV and neurocognition, longitudinal studies are needed that examine the effects of smoking/nicotine across development and following smoking cessation.

# **Conclusions**

Findings from the present studies provide new insight into associations between corticothalamic-mediated IC and tobacco use disorder and suggest value in assessing the efficacy of novel treatments for IC deficits that undergird the maintenance of cigarette smoking.

# **Supplementary Material**

Refer to Web version on PubMed Central for supplementary material.

# **Acknowledgments**

**Funding/Support:** This research was supported by National Institute of Drug Abuse grants R01DA025876 for clinicaltrials.gov NCT00831155 (Dr McClernon) and R01DA033459 (Dr Froeliger); data analysis and manuscript preparation by grants R01DA033459 and R01DA038700 (Dr Froeliger), and support from T32DA07288 (Mr Bell) and K23 DA039294 (Dr Sweitzer).

# **References**

1. Moeller SJ, Bederson L, Alia-Klein N, Goldstein RZ. Neuroscience of inhibition for addiction medicine: from prediction of initiation to prediction of relapse. Prog Brain Res. 2016; 223:165–188. [PubMed: 26806776]

- 2. Kalivas PW, Volkow ND. The neural basis of addiction: a pathology of motivation and choice. Am J Psychiatry. 2005; 162(8):1403–1413. [PubMed: 16055761]
- 3. Goldstein RZ, Volkow ND. Drug addiction and its underlying neurobiological basis: neuroimaging evidence for the involvement of the frontal cortex. Am J Psychiatry. 2002; 159(10):1642–1652. [PubMed: 12359667]
- 4. Aron AR, Robbins TW, Poldrack RA. Inhibition and the right inferior frontal cortex. Trends Cogn Sci. 2004; 8(4):170–177. [PubMed: 15050513]
- 5. Rae CL, Hughes LE, Anderson MC, Rowe JB. The prefrontal cortex achieves inhibitory control by facilitating subcortical motor pathway connectivity. J Neurosci. 2015; 35(2):786–794. [PubMed: 25589771]
- 6. Swann NC, Cai W, Conner CR, et al. Roles for the pre-supplementary motor area and the right inferior frontal gyrus in stopping action: electrophysiological responses and functional and structural connectivity. Neuroimage. 2012; 59(3):2860–2870. [PubMed: 21979383]
- 7. Brody AL, Mandelkern MA, Jarvik ME, et al. Differences between smokers and nonsmokers in regional gray matter volumes and densities. Biol Psychiatry. 2004; 55(1):77–84. [PubMed: 14706428]
- 8. Fritz HC, Wittfeld K, Schmidt CO, et al. Current smoking and reduced gray matter volume—a voxel-based morphometry study. Neuropsychopharmacology. 2014; 39(11):2594–2600. [PubMed: 24832823]
- 9. Gallinat J, Meisenzahl E, Jacobsen LK, et al. Smoking and structural brain deficits: a volumetric MR investigation. Eur J Neurosci. 2006; 24(6):1744–1750. [PubMed: 17004938]
- 10. Franklin TR, Wetherill RR, Jagannathan K, et al. The effects of chronic cigarette smoking on gray matter volume: influence of sex. PLoS One. 2014; 9(8):e104102. [PubMed: 25090480]
- 11. Liao Y, Tang J, Liu T, Chen X, Hao W. Differences between smokers and non-smokers in regional gray matter volumes: a voxel-based morphometry study. Addict Biol. 2012; 17(6):977–980. [PubMed: 20731627]
- 12. Froeliger B, Modlin LA, Kozink RV, et al. Frontoparietal attentional network activation differs between smokers and nonsmokers during affective cognition. Psychiatry Res. 2013; 211(1):57–63. [PubMed: 23154092]
- 13. Froeliger B, Modlin LA, Kozink RV, Wang L, McClernon FJ. Smoking abstinence and depressive symptoms modulate the executive control system during emotional information processing. Addict Biol. 2012; 17(3):668–679. [PubMed: 22081878]
- 14. Kozink RV, Kollins SH, McClernon FJ. Smoking withdrawal modulates right inferior frontal cortex but not presupplementary motor area activation during inhibitory control. Neuropsychopharmacology. 2010; 35(13):2600–2606. [PubMed: 20861830]
- 15. Froeliger B, Modlin L, Wang L, Kozink RV, McClernon FJ. Nicotine withdrawal modulates frontal brain function during an affective Stroop task. Psychopharmacology (Berl). 2012; 220(4):707–718. [PubMed: 21989805]
- 16. Kozink RV, Lutz AM, Rose JE, Froeliger B, McClernon FJ. Smoking withdrawal shifts the spatiotemporal dynamics of neurocognition. Addict Biol. 2010; 15(4):480–490. [PubMed: 21040240]
- 17. Ernst M, Matochik JA, Heishman SJ, et al. Effect of nicotine on brain activation during performance of a working memory task. Proc Natl Acad Sci U S A. 2001; 98(8):4728–4733. [PubMed: 11274349]
- 18. Jacobsen LK, Krystal JH, Mencl WE, Westerveld M, Frost SJ, Pugh KR. Effects of smoking and smoking abstinence on cognition in adolescent tobacco smokers. Biol Psychiatry. 2005; 57(1):56– 66. [PubMed: 15607301]
- 19. Yakir A, Rigbi A, Kanyas K, et al. Why do young women smoke? III: attention and impulsivity as neurocognitive predisposing factors. Eur Neuropsychopharmacol. 2007; 17(5):339–351. [PubMed: 17141485]
- 20. Chikazoe J, Jimura K, Asari T, et al. Functional dissociation in right inferior frontal cortex during performance of go/no-go task. Cereb Cortex. 2009; 19(1):146–152. [PubMed: 18445602]

- 21. Hughes JR, Keely JP, Niaura RS, Ossip-Klein DJ, Richmond RL, Swan GE. Measures of abstinence in clinical trials: issues and recommendations. Nicotine Tob Res. 2003; 5(1):13–25. [PubMed: 12745503]
- 22. Shadel WG, Martino SC, Setodji C, et al. Lapse-induced surges in craving influence relapse in adult smokers: an experimental investigation. Health Psychol. 2011; 30(5):588–596. [PubMed: 21574708]
- 23. Heatherton TF, Kozlowski LT, Frecker RC, Fagerstrom KO. The Fagerstrom test for nicotine dependence: a revision of the Fagerstrom Tolerance Questionnaire. Br J Addict. 1991; 86(9):1119– 1127. [PubMed: 1932883]
- 24. Froeliger B, McConnell PA, Stankeviciute N, McClure EA, Kalivas PW, Gray KM. The effects of <sup>N</sup>-acetylcysteine on frontostriatal resting-state functional connectivity, withdrawal symptoms and smoking abstinence: a double-blind, placebo-controlled fMRI pilot study. Drug Alcohol Depend. 2015; 156:234–242. [PubMed: 26454838]
- 25. Friston KJ, Jezzard P, Turner R. Analysis of functional MRI time-series. Hum Brain Mapp. 1994; 1(2):153–171.
- 26. Eklund A, Nichols TE, Knutsson H. Cluster failure: why fMRI inferences for spatial extent have inflated false-positive rates. Proc Natl Acad Sci U S A. 2016; 113(28):7900–7905. [PubMed: 27357684]
- 27. Cox RW, Reynolds RC, Taylor PA. AFNI and clustering: false positive rates redux. [published online July 26, 2016]. bioRxiv.
- 28. Whitfield-Gabrieli S, Nieto-Castanon A. Conn: a functional connectivity toolbox for correlated and anticorrelated brain networks. Brain Connect. 2012; 2(3):125–141. [PubMed: 22642651]
- 29. Hayes, AF. Introduction to Mediation, Moderation, and Conditional Process Analysis: A Regression-Based Approach. New York, NY: Guilford Press; 2013.
- 30. Strange BA, Henson RN, Friston KJ, Dolan RJ. Brain mechanisms for detecting perceptual, semantic, and emotional deviance. Neuroimage. 2000; 12(4):425–433. [PubMed: 10988036]
- 31. Shallice T, Stuss DT, Alexander MP, Picton TW, Derkzen D. The multiple dimensions of sustained attention. Cortex. 2008; 44(7):794–805. [PubMed: 18489960]
- 32. Pessoa L. On the relationship between emotion and cognition. Nat Rev Neurosci. 2008; 9(2):148– 158. [PubMed: 18209732]
- 33. Dolcos F, Diaz-Granados P, Wang L, McCarthy G. Opposing influences of emotional and nonemotional distracters upon sustained prefrontal cortex activity during a delayed-response working memory task. Neuropsychologia. 2008; 46(1):326–335. [PubMed: 17765933]
- 34. Wang L, LaBar KS, Smoski M, et al. Prefrontal mechanisms for executive control over emotional distraction are altered in major depression. Psychiatry Res. 2008; 163(2):143–155. [PubMed: 18455373]
- 35. Dolcos F, McCarthy G. Brain systems mediating cognitive interference by emotional distraction. J Neurosci. 2006; 26(7):2072–2079. [PubMed: 16481440]
- 36. Portas CM, Rees G, Howseman AM, Josephs O, Turner R, Frith CD. A specific role for the thalamus in mediating the interaction of attention and arousal in humans. J Neurosci. 1998; 18(21): 8979–8989. [PubMed: 9787003]
- 37. Luria, AR. The Working Brain: An Introduction to Neuropsychology. New York, NY: Basic Books; 1976.
- 38. Giguere M, Goldman-Rakic PS. Mediodorsal nucleus: areal, laminar, and tangential distribution of afferents and efferents in the frontal lobe of rhesus monkeys. J Comp Neurol. 1988; 277(2):195– 213. [PubMed: 2466057]
- 39. Buchsbaum MS, Buchsbaum BR, Chokron S, Tang C, Wei TC, Byne W. Thalamocortical circuits: fMRI assessment of the pulvinar and medial dorsal nucleus in normal volunteers. Neurosci Lett. 2006; 404(3):282–287. [PubMed: 16860474]
- 40. Heyder K, Suchan B, Daum I. Cortico-subcortical contributions to executive control. Acta Psychol (Amst). 2004; 115(2–3):271–289. [PubMed: 14962404]
- 41. Schulman JJ, Cancro R, Lowe S, Lu F, Walton KD, Llinas RR. Imaging of thalamocortical dysrhythmia in neuropsychiatry. Front Hum Neurosci. 2011; 5:69. [PubMed: 21863138]

- 42. Nair A, Treiber JM, Shukla DK, Shih P, Muller RA. Impaired thalamocortical connectivity in autism spectrum disorder: a study of functional and anatomical connectivity. Brain. 2013; 136(pt 6):1942–1955. [PubMed: 23739917]
- 43. Welsh RC, Chen AC, Taylor SF. Low-frequency BOLD fluctuations demonstrate altered thalamocortical connectivity in schizophrenia. Schizophr Bull. 2010; 36(4):713–722. [PubMed: 18990709]
- 44. Heath CJ, Picciotto MR. Nicotine-induced plasticity during development: modulation of the cholinergic system and long-term consequences for circuits involved in attention and sensory processing. Neuropharmacology. 2009; 56(suppl 1):254–262.
- 45. Zhang X, Salmeron BJ, Ross TJ, et al. Anatomical differences and network characteristics underlying smoking cue reactivity. Neuroimage. 2011; 54(1):131–141. [PubMed: 20688176]
- 46. Kalivas PW, Volkow N, Seamans J. Unmanageable motivation in addiction: a pathology in prefrontal-accumbens glutamate transmission. Neuron. 2005; 45(5):647–650. [PubMed: 15748840]
- 47. Fattore L, Diana M. Drug addiction: an affective-cognitive disorder in need of a cure. Neurosci Biobehav Rev. 2016; 65:341–361. [PubMed: 27095547]
- 48. Garland EL, Froeliger B, Howard MO. Mindfulness training targets neurocognitive mechanisms of addiction at the attention-appraisal-emotion interface. Front Psychiatry. 2014; 4:173. [PubMed: 24454293]
- 49. McConnell PA, Froeliger B. Mindfulness, mechanisms and meaning: perspectives from the cognitive neuroscience of addiction. Psychol Inq. 2015; 26(4):349–357. [PubMed: 26924915]
- 50. McClure EA, Baker NL, Gipson CD, et al. An open-label pilot trial of N-acetylcysteine and varenicline in adult cigarette smokers. Am J Drug Alcohol Abuse. 2015; 41(1):52–56. [PubMed: 25062287]
- 51. Hanlon CA, Owens MM, Joseph JE, et al. Lower subcortical gray matter volume in both younger smokers and established smokers relative to non-smokers. Addict Biol. 2016; 21(1):185–195. [PubMed: 25125263]
- 52. Mychasiuk R, Muhammad A, Ilnytskyy S, Kolb B. Persistent gene expression changes in NAc, mPFC, and OFC associated with previous nicotine or amphetamine exposure. Behav Brain Res. 2013; 256:655–661. [PubMed: 24021241]
- 53. Viswanath H, Velasquez KM, Thompson-Lake DG, et al. Alterations in interhemispheric functional and anatomical connectivity are associated with tobacco smoking in humans. Front Hum Neurosci. 2015; 9:116. [PubMed: 25805986]

# **Key Points**

# **Question**

What is the association between corticothalamic-mediated inhibitory control and smoking relapse vulnerability?

## **Findings**

In 2 functional magnetic res onance imaging studies, a smoking cessation study  $(n = 81)$ and a laboratory-based smoking assessment ( $n = 26$ ), corticothalamic circuitry function during inhibitory control was associated with smoking relapse vulnerability.

#### **Meaning**

Findings that baseline differences in corticothalamic circuitry function mediate inhibitory control and smoking relapse vulnerability warrant further examination of interventions for augmenting corticothalamic neurotransmission during the course of tobacco use disorder treatment.



## **Figure 1. Associations Between Inhibitory Control (IC) Blood Oxygenation Level–Dependent (BOLD) Response and Smoking Outcomes**

A, In study 1 (cessation study), abstinent smokers exhibited less baseline (ie, prequit attempt) IC task-related BOLD response in the right inferior frontal gyrus (IFG) (yellow cluster in top figure) and right thalamus (yellow cluster in bottom figure) than did smokers who relapsed ( $P \le 0.001$  cluster-determining threshold, cluster extent >186 mm<sup>3</sup>; 3dClustSim autocorrelation function) (eTable 3 in the Supplement). Error bars represent 1 SE. B, In study 2 (laboratory study), greater BOLD response in the right IFG during IC predicted a shorter time to smoke during the smoking relapse analog task (SRT) ( $\beta = -0.468$ ;  $P = .02$ ; adjusted  $R^2 = 0.151$ ) (eTable 3 in the Supplement). All imaging data were analyzed within an IC network mask (eFigure 2 in the Supplement).



**Figure 2. Associations Between Corticothalamic Task-Based Functional Connectivity (tbFC) During Inhibitory Control (IC) and Smoking Outcomes**

A, In study 1 (cessation study), smokers who remained abstinent exhibited stronger baseline corticothalamic tbFC during IC than smokers who relapsed at baseline (ie, prequit attempt)  $(P = .02)$  (eTable 7 in the Supplement). Error bars represent 1 SE. B, In study 2 (laboratory study), stronger corticothalamic tbFC during inhibitory control predicted a longer time to initiate smoking on the smoking relapse analog task (SRT) (β = 0.791; P < .001, adjusted  $R^2$  $= 0.538$ ) (eTable 7 in the Supplement).



**Figure 3. Corticothalamic Task-Based Functional Connectivity (tbFC) During Inhibitory Control (IC) Mediates the Association Between IC Task Accuracy and Smoking Outcomes** A, In study 1 (cessation study), there was a significant indirect effect of IC task accuracy on smoking relapse outcomes via corticothalamic task-based functional connectivity (tbFC) such that increasing IC task accuracy and corticothalamic tbFC predicted maintaining abstinence ( $\beta_i = -0.0078$ ; bias-corrected and accelerated [BCa] 95%CI,  $-0.022$  to  $-0.0002$ [binary coding: abstinent, 0; relapsed,1]). B, In study 2 (laboratory study), the indirect effect of IC task accuracy via tbFC accounted for 51.7%of the total effect on time to smoke during the smoking relapse analog task ( $\beta$ <sub>i</sub> = 0.25; BCa 95%CI, 0.02 to 0.66). eTable 8 in the Supplement provides additional details.

<sup>a</sup>Significant at  $P < .05$ .  $bP = .07$ .

**Table 1**

Study 1 Demographics and Baseline Self-Report Measures Study 1 Demographics and Baseline Self-Report Measures



JAMA Psychiatry. Author manuscript; available in PMC 2017 August 18.

Abbreviations: CESD, Center for Epidemiological Studies-Depression; FTND, Fagerstrom Test of Nicotine Dependence. Abbreviations: CESD, Center for Epidemiological Studies–Depression; FTND, Fagerstrom Test of Nicotine Dependence.

## **Table 2**

# Study 2 Demographics and Baseline Self-Report Measures



Abbreviations: CESD, Center for Epidemiological Studies–Depression; FTND, Fagerstrom Test of Nicotine Dependence.