Alpha-1 Antitrypsin PiMZ Genotype Is Associated with Chronic Obstructive Pulmonary Disease in Two Racial Groups

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Abstract

Rationale: Alpha-1 antitrypsin deficiency, caused primarily by homozygosity for the Z allele of the *SERPINA1* gene, is a well-established genetic cause of chronic obstructive pulmonary disease (COPD). Whether the heterozygous PiMZ genotype for alpha-1 antitrypsin confers increased risk for COPD has been debated.

Objectives: We analyzed 8,271 subjects in the Genetic Epidemiology of COPD (COPDGene) Study, hypothesizing that PiMZ would independently associate with COPD and COPD-related phenotypes.

Methods: The COPDGene Study comprises a multiethnic, crosssectional, observational cohort of non-Hispanic white and African American current and former smokers with at least 10 pack-years of smoking who were enrolled for detailed clinical and genetic studies of COPD and COPD-related traits. We performed multivariate logistic regression analysis for moderate to severe COPD and assessed Pi genotype with other relevant covariates in models stratified by race. We analyzed quantitative characteristics on the basis of volumetric computed tomography with generalized linear models controlling for genotype, scanner type, and similar covariates. **Results:** White PiMZ COPDGene subjects had significantly lower lung function, FEV₁ percent predicted (68 ± 28 vs. 75 ± 27; P = 0.0005), and FEV₁/FVC ratio (0.59 ± 0.18 vs. 0.63 ± 0.17; P = 0.0008), as well as more radiographic emphysema (P = 0.001), than subjects without alpha-1 antitrypsin Z risk alleles. Similarly, African American PiMZ subjects had lower lung function, FEV₁ percent predicted (65 ± 33 vs. 84 ± 25; P = 0.009) and FEV₁/FVC (0.61 ± 0.21 vs. 0.71 ± 0.15; P = 0.03).

Conclusions: In the COPDGene Study, we demonstrate that PiMZ heterozygous individuals who smoke are at increased risk for COPD and obstructive lung function impairment compared with Z-allele noncarriers, regardless of race. Although severe alpha-1 antitrypsin deficiency is uncommon in African Americans, our study adds further support for initial targeted detection of all subjects with COPD for alpha-1 antitrypsin deficiency, including African Americans.

Clinical trial registered with www.clinicaltrials.gov (NCT00608784).

Keywords: alpha-1 antitrypsin deficiency; chronic obstructive pulmonary disease; emphysema; African Americans; genetics

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Severe alpha-1 antitrypsin (AAT) deficiency, a well-established genetic risk factor for chronic obstructive pulmonary disease (COPD), is relatively common in populations of European ancestry, occurring in about 1 in 3,000 individuals (1). Most commonly, patients with severe AAT deficiency inherit two copies of the protease inhibitor (SERPINA1) Z allele; they have a PiZZ genotype and a PiZ protein phenotype. PiZZ individuals have approximately 15% of the normal plasma AAT levels because the Z protein polymerizes in the endoplasmic reticulum of hepatocytes (2). In addition to the rare Z allele, a large number of additional genetic variants in the SERPINA1 gene have been described. The most common alleles are the family of M alleles, which confer normal serum AAT levels, although the S allele, which confers mildly reduced AAT levels, is also relatively common. Individuals who inherit one Z allele and one of the heterogeneous group of very rare Pi-null alleles (PiZ-null genotype and PiZ protein phenotype) are also at substantially increased risk for COPD. Individuals with the PiZ protein phenotype are at especially increased risk for developing COPD if they smoke (3, 4). Though African Americans, admixed with varying proportions of European and African ancestry, are infrequently affected by severe AAT deficiency, the frequency is not negligible (5).

Whether there is increased risk for the development of COPD in individuals who are heterozygous for the SERPINA1 PiMZ genotype is debatable. The literature to date is inconclusive, with divergent results (6-10). A metaanalysis demonstrated increased risk for COPD among PiMZ individuals in casecontrol studies but no reduction in FEV1 in PiMZ individuals in population-based studies (11). We analyzed subjects with the PiMZ genotype from two racial groups in the Genetic Epidemiology of COPD (COPDGene) Study. We hypothesized that the PiMZ genotype would be associated with COPD affection status, lung function level, and percent emphysema based on volumetric chest computed tomography (CT) in both racial groups.

Methods

Study Recruitment

The COPDGene Study is a multicenter, longitudinal study of 10,192 non-Hispanic white (NHW) and non-Hispanic African American (NHAA) smokers with and without COPD. The COPDGene Study was designed to characterize subjects with COPD clinically, physiologically, genetically, and longitudinally. The present analysis was restricted to smoking control subjects and those classified as Global Initiative for Chronic Obstructive Lung Disease (GOLD) spirometric grades 1–4 from both racial groups (12). Study subjects with preserved ratio impaired spirometry (n = 1,257) were excluded (13).

Study participants were between the ages of 45 and 80 years at enrollment and had a smoking history of at least 10 pack-years. Spirometry was performed before and after bronchodilator administration with an EasyOne spirometer (ndd Medical, Andover, MA) using the Third National Health and Nutrition Examination Survey reference prediction equations (14). We report only post-bronchodilator spirometric values. Slicer quantitative image analysis software (www.slicer.org) was used to determine percent emphysema and percent gas trapping from multidetector volumetric CT scans of the thorax. Total lung emphysema was measured using density mask analysis at -950 Hounsfield units on inspiratory CT (15). Percent gas trapping was defined as the total percentage of both lungs with attenuation values less than -856Hounsfield units on expiratory images. The CT scanner models used in this analysis are as follows: Brilliance 40 and Brilliance 64 (Philips Healthcare, Andover, MA); Discovery CT750 HD (GE Healthcare, Chicago, IL); LightSpeed 16, LightSpeed Pro 16, LightSpeed VCT, and LightSpeed VCT 64 (GE Healthcare); and Biograph 40, SOMATOM Definition, SOMATOM Definition AS+, SOMATOM Definition Flash, SOMATOM Sensation 16, and SOMATOM Sensation 64 (Siemens Healthcare USA, Malvern, PA). Three scanner models (Biograph 40, Brilliance 40, and Brilliance 64) were collapsed into one category because of small sample size. Detailed descriptions of the study design and procedures are published elsewhere (16, 17).

Genotyping

Genotyping for the SERPINA1 Z (rs28929474) and S (rs17580) alleles was performed with 5' to 3' exonuclease assays implemented using the TaqMan assay (Applied Biosystems, Foster City, CA) with at least 99% genotype passing rates. The assays were compared with known PiZZ, PiMZ, and Coriell Institute for Medical Research (Camden, NJ) control samples. All PiZZ and PiMZ calls were confirmed by repeat genotyping. All non-S and non-Z subjects were considered to be PiMM, although we recognize that some rare deficiency and nondeficiency alleles were not appropriately classified using this approach.

Pi Protein Phenotyping

As additional confirmation of the *SERPINA1* genotyping results and to assess for rare genotypes (e.g., Z-null), isoelectric focusing was performed on plasma samples from subjects with the PiZZ, PiSZ, and PiMZ genotypes (18, 19). Discordance between the genotyping and protein phenotyping was resolved by medication review for AAT augmentation therapy as well as by repeat genotyping and protein phenotyping. Two subjects with irreconcilable discrepancies were deleted from the analysis.

Statistical Analysis

Univariate comparisons of normally distributed quantitative values, reported as mean \pm SD, were performed with Student's t test. Categorical variables were compared with chi-square tests. Logarithmic transformation was used as necessary to approximate normality. Stratified by race, multiple regression models were constructed to analyze qualitative (COPD case status) and quantitative phenotypes (percent emphysema on CT scan, FEV₁ percent predicted, and FEV₁/FVC ratio) as dependent variables. COPD case status was based on the GOLD spirometric criteria for COPD severity (12). COPD cases, defined as GOLD grades 2-4, were compared with individuals with normal spirometry. For COPD affection status, PiMZ genotype, age, sex, pack-years of smoking, body mass index (BMI), and current smoking were the predictor variables. Generalized linear models for post-bronchodilator lung function included PiMZ genotype, age, sex, pack-years of smoking, and current smoking as the independent predictor variables. Generalized linear models for quantitative radiographic phenotypes were regressed on PiMZ genotype, age, sex, pack-years of smoking, BMI, current smoking, and scanner type. Statistical analyses were performed with SAS version 9.3 software (SAS Institute, Cary, NC). The study received institutional review board

approval at the institutions of the parent grant holders and at each clinical center.

Results

AAT genotyping for the Pi*Z and Pi*S alleles was performed for all subjects enrolled in the COPDGene Study. Genetic samples were excluded from analysis for sample quality issues or subject ineligibility. Samples with discrepancies due to race or sex mismatch (mixed race, n = 7), mislabeling or failed sample (n = 14), insufficient samples (n = 41), or duplications based on genome-wide association analysis were excluded. Subjects with significant bronchiectasis or interstitial lung disease detected on volumetric CT scans (n = 64) were also excluded. Two PiMZ subjects, one each with bronchiectasis or interstitial lung disease, were excluded. One subject with PiZZ AAT deficiency had bronchiectasis.

To best discriminate the association of the PiMZ genotype on COPD and COPDrelated traits, this study was limited to 8,827 individuals spirometrically classified as smoking control subjects (n = 4,374) and GOLD spirometric grades 1–4 (n = 4,453) (12). Chest CT scans were available for 8,571 (97%) of these subjects. Of the 8,827 subjects with genotypes passing stringent quality controls, 8,271 had the PiMM or PiMZ genotype. Chest CT scans were available for 8,035 (97%) subjects identified as PiMM or PiMZ.

Of 8,271 subjects with PiMZ and PiMM genotypes, 3% were PiMZ by genotype (Table 1). Although known severe AAT deficiency was an exclusion criterion for the COPDGene Study, we identified seven PiZZ subjects and one PiZ-null subject. Medication review revealed that six of these subjects were receiving AAT augmentation therapy, indicating that they had previously been diagnosed with severe AAT deficiency. PiMZ and PiMM subjects were similar with regard to age at smoking initiation, pack-years of smoking, and smoking duration (P > 0.05, respectively). Despite similar smoking intensities, PiMZ subjects were less likely to be current cigarette smokers (P < 0.0001), were older (age, 63 yr [SD, 9] vs. 60 yr [SD, 9]; P < 0.0001), and exhibited lower lung function. FEV1 percent predicted was 10% lower in PiMZ subjects, on average (68% [SD, 28] vs. 78% [SD, 27]; P < 0.0001). FEV₁/FVC was significantly reduced in PiMZ subjects (0.60 [SD, 0.2] vs. 0.66 [SD, 0.2]; *P* < 0.0001), **Table 1.** Demographic characteristics in non-Hispanic white and non-Hispanic African

 American COPDGene subjects

		All Subjects				
	P	PiMZ (<i>n</i> = 261	')	PiMM (<i>n</i> =	8,010)	P Value
Age, yr Pack-years of smoking Male sex, % African American race, 9 Current smoking, %	%	$\begin{array}{cccc} 63 \pm 9 & 60 \pm 9 \\ 46 \pm 25 & 44 \pm 25 \\ 53 & 55 \\ 8 & 34 \\ 27 & 53 \end{array}$		-	<0.0001 0.2 0.6 <0.0001 <0.0001	
		NHW		NHAA		
	PiMZ (n = 239)	PiMM (n = 5,279)	P Value	PiMZ (n = 22)	Pi MM (<i>n</i> = 2,731)	<i>P</i> Value
Age, yr	64 ± 9	62 ± 9	0.01	56 ± 11	55 ± 7	0.6

Definition of abbreviations: NHAA = non-Hispanic African American; NHW = non-Hispanic white.

similarly to the forced expiratory flow, midexpiratory phase (FEF_{25-75%}) (1.41 [SD, 1.3] vs. 1.82 [SD, 1.3]; P < 0.0001). Globally assessed by volumetric CT scanning of the thorax, PiMZ subjects had 4% more radiographic emphysema, on average (P < 0.0001), and 4% more emphysema in the upper third and lower third of the lung zones (P < 0.0001), respectively. PiMZ subjects exhibited 7% more gas trapping (P < 0.0001) concurrent with an increased estimated FRC (P = 0.0006). The distribution of SERPINA1 genotypes in all subjects is displayed in Table 2. The COPDGene Investigators enrolled larger numbers of African American smoking control subjects than subjects with COPD; therefore, some of these observed differences in lung function and CT parameters could be related to lower PiMZ prevalence in NHAA subjects, who were also, on average, healthier than the NHW subjects. Thereafter, the remaining analyses were performed after stratification by racial group.

Characteristics of NHW Subjects with PiMZ versus PiMM Genotype

Of 5,518 NHW subjects in this analysis, 4% were PiMZ by genotype. Characteristics of NHW versus NHAA PiMZ subjects are displayed in Table 3. Compared with PiMM subjects, PiMZ subjects did not differ in age of onset of smoking, pack-years of smoking, duration of smoking, BMI, or BODE Index (body mass index, airflow obstruction,

dyspnea, and exercise capacity) (P > 0.05, respectively) (20). However, NHW PiMZ subjects included 14% fewer current smokers than NHW PiMM subjects (P < 0.0001). NHW PiMZ subjects also had significantly lower lung function, FEV₁ percent predicted (68 ± 28 vs. 75 ± 27; P = 0.0005) and FEV₁/FVC ratio (0.59 ± 0.18 vs. 0.63 ± 0.17; P = 0.0008). In

Table 2. Distribution of alpha-1antitrypsin genotypes and phenotypes inGenetic Epidemiology of COPD(COPDGene) Study (n = 8,828)

	NHW	NHAA
FZ MF MI MS MS SS SS SZ Z-null ZZ	1 1 5,279 473 239 9 14 1 7	0 0 2,731 49 22 0 1 0 0

Definition of abbreviations: NHAA = non-Hispanic African American; NHW = non-Hispanic white.

Note: Although only the S and Z alleles were detected by genotyping, other rare alleles (e.g., F, I, and null) were identified in the subset of individuals who underwent protein phenotyping. One of the seven PiZZ subjects was subsequently removed from the COPDGene data set based on the presence of significant bronchiectasis. **Table 3.** Comparison of PiMZ subjects in the Genetic Epidemiology of COPD

 (COPDGene) Study, by race

	NHW (n = 239)	NHAA (<i>n</i> = 22)	P Value
Age, yr, mean	64 ± 9	56 ± 11	0.0001
Pack-years of smoking	47 ± 26	40 ± 20	0.3
Current smoking, %	26	41	0.1
Duration of smoking, yr	36 ± 11	37 ± 8	0.7
FEV ₁ , % predicted, mean	68 ± 28	65 ± 33	0.6
FEV ₁ /FVC	0.60 ± 0.20	0.61 ± 0.21	0.8
BMI	29 ± 6	28 ± 8	0.5
BODE Index, median \pm IQR	1.0 ± 3.0	2.0 ± 6.0	0.02
Exacerbation frequency CT phenotypes, log transformed	0.5 ± 1.0	0.7 ± 1.2	0.3
CT emphysema % at -950	1.52 ± 1.54 (n = 228)	$1.06 \pm 1.73 (n = 21)$	0.2
HU, mean	$1.52 \pm 1.54 (11 - 220)$	$1.00 \pm 1.70 (1 - 21)$	0.2
CT emphysema % at -950	1.34 ± 1.81 (n = 224)	0.90 ± 1.87 (n = 20)	0.3
HU, mean, upper one-third			
of lungs			
CT emphysema at -950 HU,	1.48 ± 1.49 (n = 224)	$0.72 \pm 1.74 (n = 20)$	0.03
lower one-third of lungs,			
mean			
CT emphysema upper	-0.14 ± 1.06 (n = 224)	$0.18 \pm 1.10 \ (n = 20)$	0.2
one-third/lower			
one-third ratio	2.02 ± 1.07 (p. 012)	0.75 ± 1.00 (p 0.01)	0.2
CT percent gas trapping at -856 HU, mean	$3.02 \pm 1.07 (n = 213)$	2.15 ± 1.55 (1=21)	0.3

Definition of abbreviations: BMI = body mass index; BODE Index = body mass index, airflow obstruction, dyspnea, and exercise capacity; CT = computed tomography; HU = Hounsfield units; IQR = interquartile range; NHAA = non-Hispanic African American; NHW = non-Hispanic white.

generalized linear models controlling for age, sex, and pack-years of smoking, analyzed with (P = 0.001) and without current smoking (P = 0.0008), PiMZ genotype in NHW subjects was associated with a 5% mean reduction in FEV₁ percent predicted (Table 4).

The proportion of subjects with severe and very severe COPD, spirometrically classified as GOLD grade 3 or GOLD grade 4, was higher in PiMZ subjects than in PiMM subjects (18 vs. 15% and 12 vs. 8%, respectively; P = 0.04 for difference in distribution across GOLD grades). (Figure 1). A higher proportion of NHW PiMZ subjects met the GOLD spirometric criteria for moderate or greater COPD (60 vs. 52%; P = 0.02). PiMZ subjects had increased odds for COPD affection status in univariate analysis (odds ratio, 1.4; 95% confidence interval [CI], 1.01–1.9; *P* = 0.02). In a model controlling for age, sex, packyears of smoking, and BMI, NHW with the PiMZ genotype had nearly 40% greater odds for COPD (1.4; 95% CI, 1.03–1.9; *P* = 0.03). Similar results were obtained in a model including current smoking (Table 4).

As shown in Figure 2, NHW PiMZ subjects exhibited absolute increases in radiographic emphysema (globally, 11% [SD, 13] vs. 8% [SD, 10]; P = 0.0002), greater

emphysema localized in the upper one-third of the lungs (12% [SD, 16] vs. 9% [SD, 14]; P = 0.004), and greater emphysema localized in the lower one-third of the lungs (10% [SD, 12] vs. 7% [SD, 9]; *P* < 0.001). These subjects also exhibited more expiratory gas trapping (30% [SD, 22] vs. 26% [SD, 21]; P = 0.0007) (Figure 2). In generalized linear models with log-transformed, CT-derived imaging phenotypes, controlling for sex, pack-years of smoking, BMI, current smoking, and scanner type, PiMZ genotype was significantly associated with CT emphysema in NHW subjects (0.30 [SE, 0.09]; P = 0.001). In generalized linear models with identical covariates, PiMZ subjects had significantly more radiographic emphysema when the analysis was confined to the upper one-third of the lung fields (0.32 [SE, 0.12]; *P* = 0.006). PiMZ genotype was similarly associated with significantly more emphysema in the lower one-third of lung fields in the same model (0.31 [SE, 0.09]; P = 0.0007). Our data suggest that, compared with PiMM subjects, NHW individuals with the PiMZ genotype exhibited more gas trapping in multivariable models controlling for the same covariates (0.13 [SE, 0.06]; P = 0.052), but this was of borderline statistical significance with current smoking adjustment.

Characteristics of NHAA Subjects with PiMZ versus PiMM

Of 2,753 NHAA current or former smokers, spirometrically classified as exhibiting normal lung function through GOLD grade 4, the PiMZ genotype occurred in 0.8% (n = 22). NHAA PiMZ subjects did not differ in age, pack-years of smoking, duration of smoking, or BMI (P > 0.05, respectively) from NHAA PiMM subjects. PiMZ subjects were less likely to be current smokers (80 vs. 41%; P < 0.0001). BODE Index was higher, on average (2.8 vs. 1.4; P = 0.02), in NHAA PiMZ subjects than in NHAA subjects with the PiMM genotype.

In univariate analysis, FEV1 percent predicted was reduced by nearly 20% in NHAA PiMZ subjects (65% [SD, 33] vs. 84% [SD, 25]; P = 0.009), and FEV₁/FVC was also significantly reduced (0.61 [SD, 0.21] vs. 0.71 [SD, 0.15]; P = 0.03). In generalized linear models with NHAA subjects, controlling for age, sex, and pack-years of smoking, with or without current smoking, we observed that PiMZ genotype was independently associated with FEV1 percent predicted (P = 0.009 and P = 0.0002, respectively)and FEV₁/FVC (P = 0.02 and P = 0.0008, respectively) (Table 5). In generalized linear models with NHAA subjects, controlling for age, sex, pack-years of smoking, BMI, and scanner type (and without current smoking as a covariate), we observed that PiMZ genotype was associated with radiographic emphysema; however, this association was not significant after controlling for current smoking. In logistic regression analysis for COPD affection status in NHAA subjects, we found that PiMZ genotype was associated with COPD in a model controlling for age, sex, pack-years of smoking and BMI (odds ratio, 2.9; 95% CI, 1.1–7.4; P = 0.03), but this effect was lost when current smoking was added as an additional covariate. The regression models for PiMZ genotype and lung function showed significant associations with PiMZ genotype, regardless of whether current smoking was included as a covariate in the models (Table 5).

Discussion

In our analysis of COPDGene Study subjects, we demonstrated associations of PiMZ genotype with COPD, lung function, and CT emphysema. Compared with PiMM subjects, NHW PiMZ current or former smokers had 1.4 times greater odds of having COPD. **Table 4.** Multivariate models for non-Hispanic whites in the Genetic Epidemiology of

 COPD (COPDGene) Study

Regressing PiMZ Genotype on Qualitative and Quantitative Traits with and without Current Smoking Adjustment				
Model		OR (95% CI) or Estimate (SE)	PiMZ P Value	
1	COPD affection status	1.40 (1.03–1.90)	0.03	
2	COPD affection status (current smoking)	1.42 (1.05–1.93)	0.02	
3	CT emphysema	0.37 (0.10)	0.0001	
4	CT emphysema (current smoking)	0.30 (0.09)	0.0001	
5	CT emphysema, upper one-third of lung fields	0.40 (0.12)	0.0008	
6	CT emphysema, upper one-third of lung fields (current smoking)	0.32 (0.12)	0.006	
7	CT emphysema, lower one-third of lung fields	0.37 (0.09)	<0.0001	
8	CT emphysema, lower one-third of lung fields (current smoking)	0.31 (0.1)	0.0007	
9	CT gas trapping	0.20 (0.1)	0.02	
10	CT gas trapping (current smoking)	0.13 (0.1)	0.0524	
11	FEV ₁ , % predicted	-5.6 (1.7)	0.0007	
12	FEV ₁ , % predicted (current smoking)	-5.5 (1.7)	0.001	
13	FEV ₁ /FVC	-0.03 (0.0 [´] 1)	0.002	
14	FEV ₁ /FVC (current smoking)	−0.03 (0.01)́	0.003	

Definition of abbreviations: CI = confidence interval; COPD = chronic obstructive pulmonary disease; CT = computed tomography; OR = odds ratio.

Models 3–14 alternately regress PiMZ genotype on quantitative phenotypes with or without current smoking. Models 3–10 include adjustment for CT scanner model and body mass index with log transformation. All models are adjusted for age, sex, and pack-years of smoking.

There were strong associations between PiMZ genotype, CT emphysema, and gas trapping in NHW. Adjustment for current smoking did not substantially change the associations between COPD affection status, spirometric phenotypes, or CT phenotypes and PiMZ in the NHW COPDGene subjects. However, the association of COPD with PiMZ was attenuated with current smoking adjustment in the NHAA COPDGene subjects. We suspect that this relates to the small number of African American PiMZ individuals in the COPDGene Study. Of interest, FEV1 and FEV1/FVC continued to show associations with PiMZ among African Americans, even after current smoking adjustment. The association of PiMZ genotype with reduction in lung function is robust, with consistency and concordance in both racial groups. An additional strength of our findings is that several radiographic associations were unchanged after controlling for current smoking, because lung tissue density on CT scans may be increased by active smoking in a dosedependent fashion (21). We also found lower rates of current smoking among PiMZ subjects, which likely relates to their higher rates of COPD.

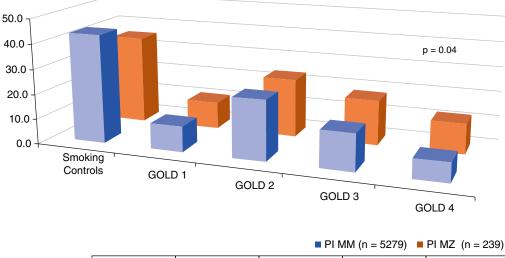
PiMZ individuals have intermediate AAT levels compared with PiMM individuals, at approximately 60% of normal levels (22). There has been uncertainty whether the PiMZ genotype is a risk factor for the development for COPD (8, 10). Other studies are supportive of the association (9, 11, 23-26). Several recent larger studies have supported a significant COPD risk in PiMZ subjects (27). In the International COPD Genetics Network and Genetics of Chronic Obstructive Lung Disease (GenKOLS) populations, Sørheim and colleagues demonstrated reduced FEV₁/FVC in PiMZ subjects (24). In a subset of subjects in the Swiss Cohort Study on Air Pollution and Lung and Heart Diseases in Adults (SAPALDIA), a Swiss population study of air pollution and heart or lung disease, PiMZ heterozygosity was associated with steeper declines in FEF_{25-75%} over time in subjects who were deemed to have chronically elevated lowgrade inflammation (28). Molloy and colleagues recently performed a familybased study of PiMZ heterozygosity and risk for COPD in Irish families ascertained through PiMZ probands with COPD. They found that PiMZ was associated with an

odds ratio of 5.18 (95% CI, 1.27–21.15; P = 0.02) that increased to 10.65 (95% CI, 2.17–52.29; P = 0.004) in ever smokers (29). The conclusions drawn from this family-based study are concordant with our results, which is important because family-based studies have a reduced likelihood of false-positive results due to unrecognized population stratification (8, 26, 30, 31).

Genome-wide association studies (GWASs) of COPD have identified multiple genomic regions associated with COPD susceptibility with genome-wide significance (32-36). However, likely owing to the low frequency of the Z allele (approximately 1% in white populations), these COPD GWASs have not detected a genome-wide significant signal for the SERPINA1 locus. Of interest, in a collaborative GWAS of quantitative CT emphysema (including COPDGene), a more specific phenotype related to AAT deficiency, researchers did find a genomewide significant association located near the SERPINA1 locus, and the association evidence was substantially reduced with adjustment for the imputed Z-allele genotypes (17). A collaborative exome chip study (including COPDGene), which included uncommon coding variants, did find nominal evidence for association of the SERPINA1 Z allele with COPD susceptibility (37). The validated PiMZ genotypes in this study extend these previous observations and confirm that the PiMZ genotype is likely a significant risk factor for COPD susceptibility.

Though we provide substantial additional support for the association of PiMZ heterozygosity and COPD risk, we acknowledge potential limitations. Because the focus of the study is on the genetic determinants for COPD, ascertainment was based on smoking status. We did not evaluate risk for, and the results are therefore not generalizable to, nonsmokers. Additionally, our data do not provide an accurate assessment of the prevalence of severe AAT deficiency, because known subjects with AAT deficiency were not eligible for inclusion.

It is recommended that all individuals with COPD be tested for AAT deficiency, but targeted detection has not been widely embraced by practicing physicians (18). These guidelines suggest that targeted detection in nontraditional populations (i.e., nonwhite) should be further



	Smoking Controls	GOLD 1	GOLD 2	GOLD 3	GOLD 4
PI MM (n = 5279)	43.4	10.2	23.8	14.8	7.8
PI MZ (n = 239)	35.6	10.9	23.4	18.0	12.1

Figure 1. Distribution of PiMZ genotypes in non-Hispanic white Genetic Epidemiology of COPD (COPDGene) subjects based on Global Initiative for Chronic Obstructive Lung Disease (GOLD) spirometry grades. Genotypes are displayed as percentages in chart.

investigated (38). Our data suggest that identification of PiMZ heterozygosity is important in African Americans, who often have not been considered for targeted detection. Our findings also support the use of AAT tests that provide genotype information (e.g., molecular genotyping or protein phenotyping) in testing symptomatic subjects, also optimizing the detection of PiMZ subjects (27). The identification of PiMZ heterozygosity should lead to counseling against smoking

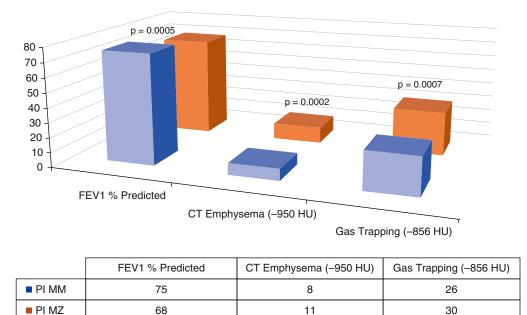


Figure 2. Lung function, computed tomography (CT)-measured emphysema, and gas trapping in non-Hispanic white Genetic Epidemiology of COPD (COPDGene) subjects by PiMZ genotype. HU = Hounsfield units. Mean, untransformed values are displayed in chart.

Table 5. Statistically significant multivariate models in non-Hispanic African Americans

 in the Genetic Epidemiology of COPD (COPDGene) Study

Regressing PiMZ Genotype on Qualitative and Quantitative Traits with and without Current Smoking				
Model		OR (95% CI) or Estimate (SE)	PiMZ P Value	
1 2 3 4 5 6	COPD affection status CT emphysema FEV ₁ , % predicted FEV ₁ , % predicted (current smoking) FEV ₁ /FVC FEV ₁ /FVC (current smoking)	2.9 (1.11-7.40) 0.74 (0.31) -18.42 (5.01) -12.86 (4.89) -0.10 (0.28) -0.07 (0.03)	0.03 0.02 0.0002 0.009 0.0008 0.02	

Definition of abbreviations: CI = confidence interval; COPD = chronic obstructive pulmonary disease; CT = computed tomography; OR = odds ratio.

Model 1 regresses COPD affection status on PiMZ genotype, age, sex, pack-years, and body mass index. Models 2 regresses log-transformed CT emphysema (–950 HU) on PiMZ genotype, age, sex, pack-years, and scanner. Models 3–6 regress quantitative phenotypes controlling for PiMZ genotype, age, sex, and pack-years, with or without current smoking.

and other environmental exposures that may increase the risk for COPD. However, these results do not alter the recommendations regarding the inappropriateness of AAT augmentation therapy in PiMZ individuals (39). Understanding the risks associated with heterozygous states has public health implications because those genotypes are substantially more prevalent than severe AAT deficiency. Our data substantiate the evolving paradigm that AAT PiMZ heterozygosity is a significant risk factor for COPD in smokers.

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