

## Review



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Recruitment of pre-existing networks  
during the evolution of  $C_4$  photosynthesis

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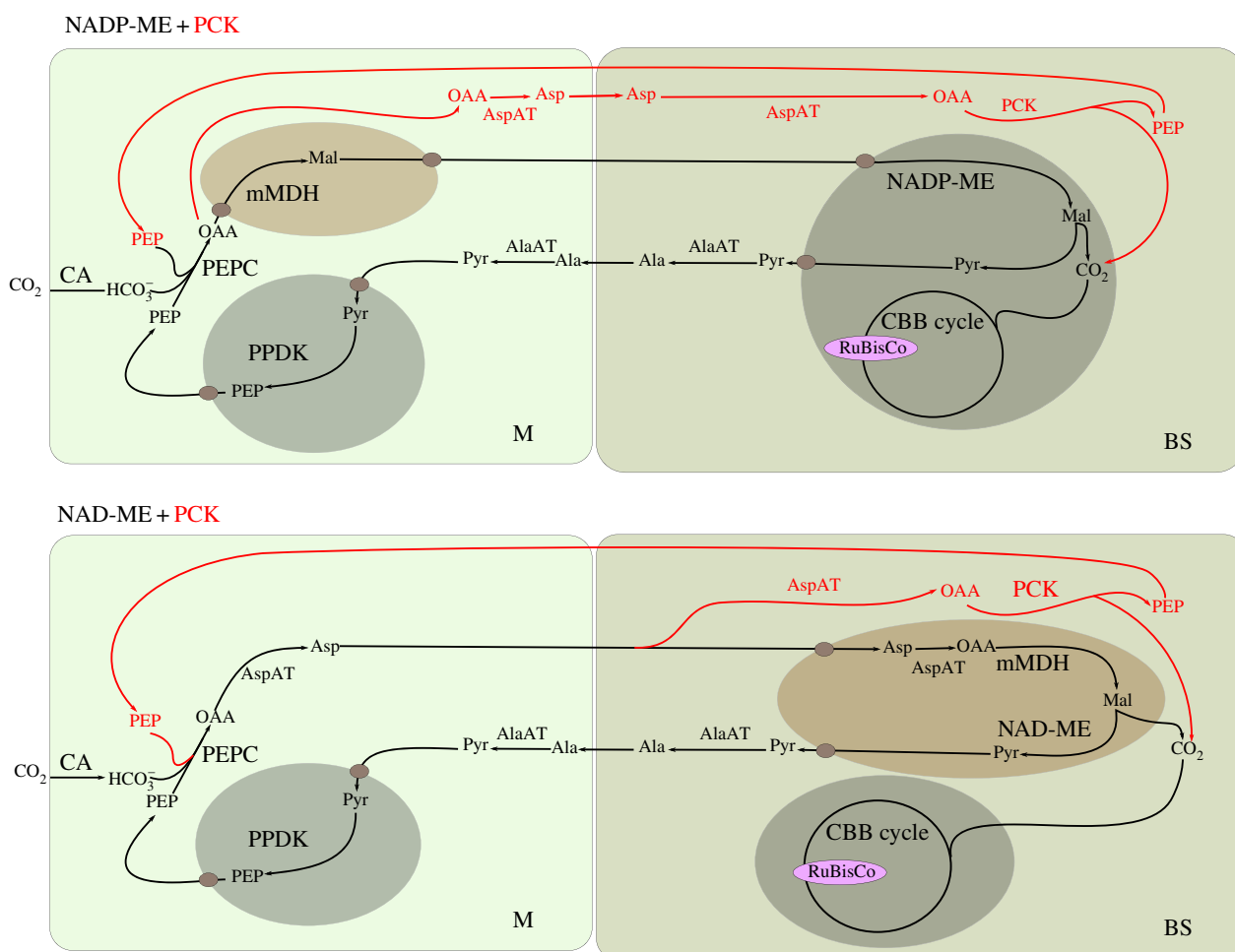
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During  $C_4$  photosynthesis,  $CO_2$  is concentrated around the enzyme RuBisCO. The net effect is to reduce photorespiration while increasing water and nitrogen use efficiencies. Species that use  $C_4$  photosynthesis have evolved independently from their  $C_3$  ancestors on more than 60 occasions. Along with mimicry and the camera-like eye, the  $C_4$  pathway therefore represents a remarkable example of the repeated evolution of a highly complex trait. In this review, we provide evidence that the polyphyletic evolution of  $C_4$  photosynthesis is built upon pre-existing metabolic and genetic networks. For example, cells around veins of  $C_3$  species show similarities to those of the  $C_4$  bundle sheath in terms of  $C_4$  acid decarboxylase activity and also the photosynthetic electron transport chain. Enzymes of  $C_4$  photosynthesis function together in gluconeogenesis during early seedling growth of  $C_3$  *Arabidopsis thaliana*. Furthermore, multiple  $C_4$  genes appear to be under control of both light and chloroplast signals in the ancestral  $C_3$  state. We, therefore, hypothesize that relatively minor rewiring of pre-existing genetic and metabolic networks has facilitated the recurrent evolution of this trait. Understanding how these changes are likely to have occurred could inform attempts to install  $C_4$  traits into  $C_3$  crops.

This article is part of the themed issue 'Enhancing photosynthesis in crop plants: targets for improvement'.

## 1. Introduction

Photosynthesis has shaped life on the Earth by allowing the energy from sunlight to be harvested and used for the assimilation of carbon dioxide. The process of carbon assimilation via the Calvin–Benson–Bassham cycle [1] requires initial fixation of  $CO_2$  by the enzyme ribulose-1,5-bisphosphate carboxylase/oxygenase (RuBisCO) to form the three-carbon molecule 3-phosphoglycerate (3-PGA). RuBisCO is thought to have evolved in bacteria under anoxic conditions approximately 3.5 billion years ago [2,3]. However, approximately 2.3 billion years ago, the proliferation of oxygenic photosynthetic organisms together with an increase in carbonate deposition due to weathering started to deplete atmospheric  $CO_2$  concentrations [3–5]. Today, rather than RuBisCO being saturated by  $CO_2$ , it is now surrounded by 21% oxygen and only 0.04%  $CO_2$ . Under these conditions,  $O_2$  competitively inhibits the carboxylation reaction of RuBisCO to produce 2-phosphoglycolate (2-PG) [6]. 2-PG is toxic and so is rapidly metabolized to prevent its accumulation [7]. The metabolism of PG is known as photorespiration and is energetically costly, especially at high temperatures when rates of oxygenation increase [8]. It has been proposed that high rates of oxygenation by RuBisCO led to the evolution of increased specificity for  $CO_2$ , but also that an inescapable trade-off between specificity and the rate of catalysis led to a lower turnover rate [9]. Owing to the relatively low rate of catalysis of RuBisCO,  $C_3$  species are associated with significant losses of water via stomata, and large investments in nitrogen are required to produce the amounts of RuBisCO needed to maintain reasonable rates of photosynthesis [10].



**Figure 1.** Biochemical subtypes of  $C_4$  photosynthesis. Boxes represent the M and BS cells. Chloroplasts are in green and mitochondria brown. NADP-ME, NADP-dependent malic enzyme; PCK, phosphoenolpyruvate carboxykinase; NAD-ME, NAD-dependent malic enzyme; mMDH, mitochondrial malate dehydrogenase; CA, carbonic anhydrase; PEPC, phosphoenolpyruvate-carboxylase; PPK, pyruvate, orthophosphate dikinase; AspAT, aspartate aminotransferase; RuBisCo, ribulose-1,5 biphosphate carboxylase/oxygenase; AlaAT, alanine aminotransferase; CBB cycle, Calvin–Benson–Basham cycle; Asp, aspartate; Mal, malate;  $CO_2$ , carbon dioxide;  $HCO_3^-$ , bicarbonate; PEP, phosphoenolpyruvate; OAA, oxaloacetate; Pyr, pyruvate.

It would therefore appear logical for photosynthetic organisms to have been subject to significant selection pressures to decrease rates of oxygenation at the active site of RuBisCO. Although there is considerable natural variation in the activity of RuBisCO [11] in photosynthetic lineages as diverse as the cyanobacteria, algae and land plants, it is thought that low  $CO_2$  concentrations before the Anthropocene led to the evolution of carbon concentrating mechanisms. These include the carboxysome in cyanobacteria [12], the pyrenoid in algae and hornworts [13], as well as crassulacean acid metabolism [14] and  $C_4$  photosynthesis in angiosperms.

The  $C_4$  pathway results from a series of metabolic and structural adjustments to leaves that together concentrate  $CO_2$  around RuBisCO. In doing so, photorespiration is reduced, less water is lost per unit of carbon fixed, and considerably lower amounts of RuBisCO and therefore nitrogen are accumulated per unit leaf area [15]. Despite its complexity, the  $C_4$  pathway has evolved independently in more than 60 lineages that span 18 plant families [16], making it one of the most remarkable examples of convergent evolution found in biology. It is thought that the evolution of  $C_4$  photosynthesis relied on a series of coordinated modifications to leaf anatomy, cell biology and biochemistry [17]. However, the basic components, including enzymes of the  $C_4$  pathway, are present in species that use the ancestral  $C_3$  pathway [18].

In this review, we summarize our current understanding of the role of  $C_4$  proteins in  $C_3$  species and the regulation of genes encoding these proteins. From these findings, we propose that rewiring of pre-existing metabolic and genetic networks has facilitated the evolution of this novel metabolic pathway.

## 2. The biochemistry and evolution of $C_4$ photosynthesis

In the majority of  $C_4$  plants,  $CO_2$  assimilation is divided between mesophyll (M) and bundle sheath (BS) cells [15].  $CO_2$  is first converted to  $HCO_3^-$  by carbonic anhydrase (CA) and then combined with phosphoenolpyruvate (PEP) by PEP-carboxylase (PEPC) in the M to generate the four-carbon acid oxaloacetate (OAA). Metabolism of OAA to either aspartate or malate is followed by diffusion to the BS where RuBisCO is localized. Decarboxylation of  $C_4$  acids typically releases a three-carbon acid and high concentrations of  $CO_2$  (figure 1). The three-carbon acid diffuses back to the M where conversion to PEP by pyruvate, orthophosphate dikinase (PPDK) allows the  $C_4$  cycle to continue.  $O_2$  does not react with PEPC and so  $CO_2$  fixation occurs in the absence of oxygenation. Three different  $C_4$  acid decarboxylase enzymes are known to operate in the  $C_4$  pathway:

NADP-dependent malic enzyme (NADP-ME), NAD-dependent malic enzyme (NAD-ME) and PEP-carboxykinase (PCK) (figure 1). Although there is some dominance in the use of individual C<sub>4</sub> acid decarboxylases, apparently associated with different C<sub>4</sub> lineages, most species use a mixture of the three decarboxylases, the make-up of which varies depending on environmental conditions [19–21].

Most estimates suggest that in both monocots and eudicots, the earliest origins of C<sub>4</sub> photosynthesis occurred approximately 25–30 Ma during the mid-Oligocene [22,23]. An abrupt reduction in the concentration of atmospheric CO<sub>2</sub> during this period is thought to have favoured natural selection for the C<sub>4</sub> pathway [2,23]. However, over the next 20–30 Myr, the C<sub>4</sub> pathway continued to evolve in other lineages, suggesting that low CO<sub>2</sub> concentrations acted as a preconditioning event rather than the sole trigger for C<sub>4</sub> evolution [16]. Other factors such as high temperatures, salinity and fire frequency in tropical and subtropical regions have been proposed to contribute to the polyphyletic evolution of C<sub>4</sub> photosynthesis [24].

Gene duplication followed by selection or genetic drift are considered important sources for the appearance of new traits [25]. After duplication, most redundant genes tend to be lost as they do not reach sufficient frequencies to become fixed in a population [26,27]. However, those genes that are retained can acquire new functions (neofunctionalization) or mutate to control more than one function (subfunctionalization). Mechanistically, either can occur via changes in *cis*-regulatory control or through alterations to coding regions resulting in the production of new function [25,28–31]. Gene duplications may therefore have occurred prior to the appearance of the C<sub>4</sub> pathway and facilitated its evolution [2,32]. However, until recently the lack of genome sequences for closely related C<sub>3</sub> and C<sub>4</sub> species precluded accurate assessments of these phenomena, and so evidence for gene duplication followed by neofunctionalization playing a major role in the evolution of core C<sub>4</sub> genes was lacking [33–35]. Subsequently, approaches that accurately localized gene duplication events across gene families [36,37] have revealed that in monocotyledons, many C<sub>4</sub> cycle genes appear to have duplicated in the last common ancestor of lineages containing C<sub>4</sub> plants [38]. There is also evidence that C<sub>4</sub> photosynthesis is built on pre-existing components. For example, it makes use of M and BS cells, both of which exist in ancestral C<sub>3</sub> leaves. Furthermore, all the enzymes of the C<sub>4</sub> pathway identified to date operate in C<sub>3</sub> species [18]. Indeed, a number of models depicting evolutionary trajectories from C<sub>3</sub> to C<sub>4</sub> photosynthesis have been developed in recent years [2,16,39–41]. Although these models take contrasting approaches and focus on slightly different aspects of the C<sub>4</sub> system, overall they support the notion that anatomical modifications tended to precede a series of modular changes to metabolic networks that led to evolution of the full C<sub>4</sub> pathway. The ancestral role of C<sub>4</sub> enzymes in C<sub>3</sub> metabolism, from which these evolutionary changes take place, will next be discussed.

### 3. Characteristics of the C<sub>4</sub> pathway in C<sub>3</sub> plants

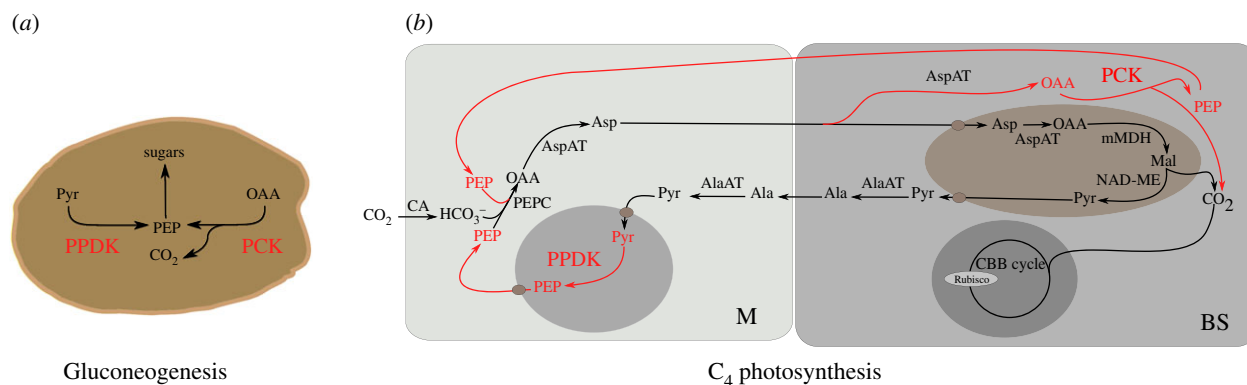
BS cells of C<sub>3</sub> species such as rice and barley are capable of carrying out photosynthesis and starch synthesis [42–46]. It is estimated that chloroplasts in BS and M cells of rice contain similar amounts of RuBisCO [47]. Downregulation of

chlorophyll synthase in cells associated with the vasculature of C<sub>3</sub> *Arabidopsis thaliana* showed that photosynthetic capacity of these cells makes an important contribution to plant growth and seed production [48]. Thus, although the BS in C<sub>3</sub> species is most commonly associated with controlling fluxes of nitrogen, sulfur and water into and out of the leaf [49,50], these results suggest photosynthetic activity contributes significantly to plant fitness. In fact, in a number of species widely distributed from across the land plant phylogeny, cells associated with the vasculature show some characteristics of the C<sub>4</sub> pathway. In stems and petioles of celery and tobacco, cells of the mid-vein allow the decarboxylation of organic acids coming from the vasculature and thus release CO<sub>2</sub> around RuBisCO for use in photosynthesis [51]. These attributes have also been observed in *Arabidopsis* and rice leaves [52,53]. In each case, cells associated with veins are photosynthetically active and contain significant activities of C<sub>4</sub> acid decarboxylases [51–53]. In the case of rice, just as with the BS of certain C<sub>4</sub> species, linear electron transport from photosystem II to photosystem I is reduced in these veinal cells [53]. Thus, BS cells around veins of C<sub>3</sub> plants are photosynthetic, but they also contain multiple characteristics more commonly associated with the C<sub>4</sub> pathway.

### 4. The ancestral role of C<sub>4</sub> proteins in C<sub>3</sub> plants

The fact that core C<sub>4</sub> enzymes are present in C<sub>3</sub> species meant that they did not need to evolve *de novo* and so likely facilitated the recurrent evolution of the C<sub>4</sub> pathway across land plants. The role of these proteins in C<sub>3</sub> species prior to their recruitment into C<sub>4</sub> photosynthesis has been addressed recently [18,54]. We therefore next focus on discussing how groups of C<sub>4</sub> proteins could have been recruited from pre-existing metabolic networks occurring in C<sub>3</sub> species.

Gluconeogenesis is fundamental to all life, and in plants is particularly important in allowing conversion of storage lipids and proteins into sugars during germination and seedling establishment. Traditionally, it was considered that a single route mediated by PCK allowed the conversion of OAA to PEP, and thus for carbon to enter gluconeogenesis in plants [55–58]. However, disruption of PCK1 function in *A. thaliana* has only a small effect on early seedling growth [56]. Transcripts derived from the *PPDK* gene, which encodes the protein catalysing the last committed step of the C<sub>4</sub> pathway, are also abundant during seedling establishment [59], and the timing and location of expression within the germinating seed are broadly similar to those derived from *PCK* [60]. A double *ppdk-pck1* mutant showed compromised movement of labelled carbon from storage lipids and proteins into sugars compared with wild-type, and also compared with each single mutant. In addition, seedling establishment was compromised [60]. Based on these findings, it is concluded that two routes into gluconeogenesis operate in C<sub>3</sub> plants, both involving proteins associated with C<sub>4</sub> photosynthesis (figure 2). It therefore appears that expression of the *PCK* and *PPDK* genes is coordinated to ensure proper functioning of gluconeogenesis in C<sub>3</sub> plants. We propose that an ancestral gene regulatory system present in C<sub>3</sub> species is used to ensure their high and coordinate activity in C<sub>4</sub> plants. Clearly, this regulatory system must alter somewhat as C<sub>4</sub> evolves. First, it must become operational in mature leaves rather than cotyledons. Second, enhancers of expression must move from the internal promoter that drives expression of cytosolic PPDK in



**Figure 2.** Genes associated with  $C_4$  photosynthesis are coordinated in the ancestral  $C_3$  state. (a) The enzymes PPDK and PCK (red) both act during gluconeogenesis in germinating *Arabidopsis* seedlings [60]. Both genes have been co-opted into the  $C_4$  pathway (b) where PPDK regenerates PEP from pyruvate in the M and PCK acts as a  $C_4$  acid decarboxylase releasing  $CO_2$  around RuBisCO in the BS cells. Abbreviations as for figure 1.

$C_3$  seedlings to the distal promoter driving expression of chloroplastic PPDK in  $C_4$  plants. Third, additional regulation must evolve to ensure that expression of the PPDK and PCK genes is restricted to M and BS cells, respectively. If additional genes encoding  $C_4$  proteins are co-regulated in the ancestral  $C_3$  state to allow the proteins they encode to function together in other metabolic pathways, this may well have further facilitated the evolution of this highly complex state. We next consider our understanding of mechanisms regulating  $C_4$  genes in both  $C_3$  and  $C_4$  plants.

## 5. Recruitment of pre-existing gene regulatory networks

As with most traits, gene expression associated with the  $C_4$  pathway is regulated at multiple levels, including epigenetic, transcriptional, post-transcriptional and post-translational [61,62]. However, it is unclear to what extent these mechanisms are already associated with  $C_4$  genes in the ancestral  $C_3$  state. It has long been clear that genes encoding proteins of the  $C_4$  pathway respond to light [63–66]. Recently, it has become apparent that this key characteristic is found in the ancestral state. In  $C_3$  *A. thaliana*, most genes encoding core  $C_4$  proteins are regulated by light [67]. Furthermore, some  $C_4$  genes are also subject to control by chloroplast-to-nucleus signalling [67]. Thus, two basic characteristics required for  $C_4$  cycle genes to be coordinately expressed with other genes of  $C_3$  photosynthesis are already in place in the ancestral  $C_3$  state. Again, these networks need to be modified for an efficient  $C_4$  system. First, compared with  $C_3$  *A. thaliana*, in  $C_4$  *Gynandropsis gynandra* (formerly designated *Cleome gynandra*), more  $C_4$  cycle genes are controlled by the chloroplast. Second, although an existing system of light-regulation operates in  $C_3$  species, this would need to be amplified in order that  $C_4$  genes are expressed at sufficiently high levels in leaves undertaking  $C_4$  photosynthesis.

In  $C_4$  leaves, expression of  $C_4$  genes is typically restricted to either M or BS cells [61]. For this to happen, *trans*-factors must recognize elements *in cis* in a cell-specific manner. For

many years, it appeared that cell-specific expression in  $C_4$  leaves was mediated by *cis*-elements that were not present in orthologous genes from  $C_3$  leaves. For example, while the maize *PEPC* and *PPDK* genes are expressed in M cells, and *RbcS1A* expression is limited to BS cells, this was not the case for homologous genes in rice [64,68–71]. In addition, preferential expression of *PEPC* in the M cells of  $C_4$  *Flaveria bidentis* is associated with two modifications *in cis* that generate an M-enhancing module (MEM1) [72]. However, it is now clear that multiple genes are expressed preferentially in M or BS cells of  $C_4$  *G. gynandra* because of pre-existing *cis*-elements located in orthologous genes from *A. thaliana*. For example, both genes encoding the heterodimeric NAD-ME in *G. gynandra* contain elements in the coding sequence that determine BS expression, and these elements are found in the orthologues from *A. thaliana* [73,74]. The genes from *A. thaliana* are not preferentially expressed in the BS in the ancestral  $C_3$  state, but they are when placed into leaves of  $C_4$  *G. gynandra*. A similar situation has been found with *PPDK* and *CA* genes. Here, *cis*-regulatory elements located in untranslated regions generate preferential expression in M cells of  $C_4$  *G. gynandra* [75,76]. Orthologous *CA* and *PPDK* genes from  $C_3$  *A. thaliana* contain the same elements, and although they are silent in terms of cell specificity in  $C_3$  leaves, when placed into  $C_4$  *G. gynandra*, they lead to expression in the BS. In all these cases, the *cis*-elements are highly conserved in  $C_3$  *A. thaliana*, suggesting that they carry out an important, but as yet undefined regulatory function. Taken together, these findings indicate that  $C_4$  photosynthesis has on multiple occasions made use of *cis*-regulators found in  $C_3$  species, and therefore that its evolution is based on alterations *in trans* as well as *in cis*.

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**Authors' contributions.** I.R.-L. and J.M.H. conceived and wrote the manuscript.

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