

## SURVEY AND SUMMARY

# Comparison of the RNA polymerase III transcription machinery in *Schizosaccharomyces pombe*, *Saccharomyces cerevisiae* and human

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### ABSTRACT

Multi-subunit transcription factors (TF) direct RNA polymerase (pol) III to synthesize a variety of essential small transcripts such as tRNAs, 5S rRNA and U6 snRNA. Use by pol III of both TATA-less and TATA-containing promoters, together with progress in the *Saccharomyces cerevisiae* and human systems towards elucidating the mechanisms of actions of the pol III TFs, provides a paradigm for eukaryotic gene transcription. Human and *S.cerevisiae* pol III components reveal good general agreement in the arrangement of orthologous TFs that are distributed along tRNA gene control elements, beginning upstream of the transcription initiation site and extending through the 3' terminator element, although some TF subunits have diverged beyond recognition. For this review we have surveyed the *Schizosaccharomyces pombe* database and identified 26 subunits of pol III and associated TFs that would appear to represent the complete core set of the pol III machinery. We also compile data that indicate *in vivo* expression and/or function of 18 of the fission yeast proteins. A high degree of homology occurs in pol III, TFIIB, TFIIA and the three initiation-related subunits of TFIIC that are associated with the proximal promoter element, while markedly less homology is apparent in the downstream TFIIC subunits. The idea that the divergence in downstream TFIIC subunits is associated with differences in pol III termination-related mechanisms that have been noted in the yeast and human systems but not reviewed previously is also considered.

### INTRODUCTION

RNA polymerase III (pol III) synthesizes a large variety of small RNAs of cellular and viral origin (1). In order to produce

sufficient amounts of small RNAs of the correct specific structure, the initiation, termination and recycling phases of transcription by pol III must be accurate and efficient. This requires an elaborate array of cooperating proteins that comprise pol III and its associated transcription factors (TF). The variety of TATA-less and TATA-containing promoters that direct pol III transcription, together with the multi-subunit TFs that recognize them, provide a paradigm for eukaryotic gene transcription (1–5).

Recent studies suggest that the fission yeast *Schizosaccharomyces pombe* represents an attractive model of eukaryotic transcription because it exhibits similarities to and differences from other pol III systems (6–8). For example, unlike the TATA-less tRNA promoters that have been extensively characterized in human, *Xenopus*, *Drosophila* and *Saccharomyces cerevisiae* (1–3), many tRNA genes in *S.pombe* contain an upstream TATA sequence (9), which comprises an essential promoter element (M.Hamada and R.Maraia, manuscript submitted) suggesting function similar to the U6 snRNA gene (10). Another example is the differential  $\alpha$ -amanitin sensitivity of *S.pombe*, *S.cerevisiae* and human pols III, the pattern of which correlates with the sensitivity of these polymerases to a minimal length termination signal (6,8). Moreover, an essential subunit of *S.cerevisiae* TFIIC, TFC6p, and the human regulatory factor (h)TFIIC $\beta$  were shown to be orthologs only after Sfc6p, a subunit of *S.pombe* TFIIC, was characterized (7).

We have been systematically searching through *S.pombe* genomic sequences for pol III and related components and have previously reported on four subunits of TFIIC (7). The recent completion of the sequencing of the *S.pombe* DNA and annotation of a protein database provides an opportunity to compile the *S.pombe* homologs of all of known pol III components in *S.cerevisiae* and humans.

### OVERVIEW OF POL III TRANSCRIPTION: COMBINATORIAL USE OF PROMOTER ELEMENTS

Although a short summary of pol III transcription is appropriate here, the reader is referred to several excellent reviews

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Dedicated to the memory of Dr Alan P. Wolffe

for more detailed information (1–5,11). Based on promoter structures and factor requirements, genes transcribed by pol III have been divided into three types. The promoters of 5S rRNA genes (type 1) are composed of a major internal element, the C box, as well as additional elements that vary among species (11). tRNA genes, adenovirus VA genes, Alu sequences and other short interspersed elements constitute type 2 genes. The promoters of these genes are also internal, consisting of two highly conserved sequence elements, a proximal A box and a more distal B box, within the transcribed region. While the A box resides within 10–20 bp of the start site of transcription, the distance between the A and B boxes is variable, including a range of different length introns and variable stem regions found in eukaryotic tRNA genes (11). A separate control element, the pol III terminator, resides 20–25 bp downstream of the B box of tRNA genes. TFIIC binds along the entire length of a tRNA gene, beginning just upstream of the start site of transcription and extending through the terminator (3). The ability to accommodate the variety of different A box–B box distances usually found in tRNA genes (30–60 bp) is mediated, at least in part, by TFC4p, a large protein with multiple tetratricopeptide repeats (TPR) that appears to provide the flexibility required for such elasticity (12; see below).

Whereas recognition of the type 2 promoter is responsible for direct recruitment of the TFIIC complex, recognition of the 5S promoter is principally mediated by a single polypeptide, TFIIIA. While TFIIC also binds along the length of the 5S gene in the presence of TFIIIA and is required for transcription, the exact mechanism of TFIIC recruitment to the type 1 promoter remains unclear (3). For type 1 and 2 promoters the proximal subunits of TFIIC direct TFIIB to bind upstream of the transcription start site (3,13,14). TFIIB then recruits and positions pol III over the initiation site and remains stably bound to the DNA through multiple rounds of re-initiation by pol III (15).

As mentioned above, accurate and efficient termination as well as rapid re-initiation are important aspects of pol III transcription. The pol III termination signal is comprised of oligo(dT) in the non-template strand and has been reported to stimulate re-initiation by pol III on transcription complexes in the *S.cerevisiae* and human systems (16,17). TFIIC or associated components contact the terminator regions of tRNA (and other) genes in *S.cerevisiae* and humans (3,18–21). In the human system this binding by TFIIC components is mediated in part by the partially characterized activities referred to as TFIIC1 and TFIIC0 and is associated with increased termination and re-initiation (17–20,22). Several factors have been reported to stimulate termination and/or re-initiation by pol III in the human system; these include topoisomerase I, positive factor 4 (PC4), a pol II co-activator, nuclear factor 1 (NF1, see below) and the La antigen, a RNA UUU-OH-terminus-binding protein (17,19,23–26), although this function of La has recently been disputed (27,28). As alluded to above, a commonality of these latter proteins is that they also appear to function in aspects of nucleic acid metabolism apart from pol III transcription. It is important to note for the purposes of this review that, in contrast to the human system, no evidence of TFIIC involvement in termination and/or re-initiation in *S.cerevisiae* has been observed and TFIIB alone can direct multiple round transcription in the budding yeast (15).

Type 3 genes include the vertebrate U6, 7SK, hY4, hY5 and H1 snRNA genes, which utilize an upstream TATA element that functions as one component of an entirely upstream multipartite promoter (29–32). The well-characterized promoter of the human U6 snRNA gene consists of an upstream TATA box, a proximal sequence element (PSE) and a distal sequence element (DSE). The PSE functions with the TATA element to recruit the TFs SNAPc/PTF and a TFIIB-like activity (TFIIB- $\alpha$ , see below) (30,33–35). It is noteworthy that the SNAPc complex is also used by pol II and associated general TFs to promote transcription of U1 (and several other) snRNA genes (36–39), although the latter do not contain TATA elements (40,41). The transcriptional activator Oct-1 is recruited by the upstream DSE (42–45) and functions in part by promoting binding of the SNAPc complex to the PSE (37,46). A stable initiation complex is formed by cooperative interactions between TFIIB, SNAPc and Oct-1 bound to their respective promoter elements, in part mediated by a nucleosome that is positioned between the DSE and PSE (44,45,47).

In contrast to the vertebrate U6 snRNA gene, the promoter structure of the *S.cerevisiae* (sc)U6 gene lacks a PSE and DSE but instead includes a downstream B box, the latter of which resides ~120 bp beyond the terminator (48,49). Thus, although the scU6 snRNA gene (*SNR6*) uses TATA and B box elements that are external to the transcribed region and are therefore not considered as type 1 or 2, it is to be distinguished from the vertebrate type 3 genes, which use TATA and other promoter elements that are entirely upstream. It is also noteworthy that although the unusually lengthy A box–B box distance in the scU6 gene may be sub-optimal for transcription complex assembly, it appears that scTFIIC nonetheless participates in recruiting TFIIB to the TATA element, apparently in conjunction with Nhp6p, a non-histone chromatin protein of the HMG1 class (50,51). While *S.cerevisiae* TFIIB (scTFIIB) can be recruited directly by the upstream TATA of the scU6 gene *in vitro* (52), scTFIIC is required to activate U6 transcription *in vivo* (53).

Some pol III-transcribed genes do not fit into types 1, 2 or 3 because they use combinations of promoter elements different from those described above (1). However, in many if not all of the above cases an important function of the various promoter elements and their associated TFs (e.g. PSE/SNAPc versus B box/TFIIC) is to bring TFIIB to the start site of transcription and stabilize it there; in some cases this involves interactions between the TATA-binding protein (TBP) of TFIIB and a TATA promoter element, while in other cases TBP, with associated TFIIB subunits, is induced to bind the upstream DNA in the absence of a TATA motif (54).

## TRANSCRIPTION FACTOR IIC

scTFIIC, historically referred to as  $\tau$  (55), is composed of six essential subunits, TFC1p/ $\tau$ 95, TFC3p/ $\tau$ 138, TFC4p/ $\tau$ 131/PCF1, TFC6p/ $\tau$ 90, TFC7p/ $\tau$ 55 and TFC8p/ $\tau$ 60 (21,56–62). The spatial organization of scTFIIC subunits on an intron-containing tRNA<sup>Tyr</sup> gene and a 5S rRNA gene has been determined by photocrosslinking (63–65). Comprehensive results obtained using genetic methods (4,66) and results for human TFIIC (14,67) are in general agreement with this model, which is schematically represented in Figure 1.

**Table 1.** Comparison of TFIIC subunits of *S.pombe*, *S.cerevisiae* and human<sup>a</sup>

<i>S.pombe</i> TFIIC			<i>S.cerevisiae</i> homolog				Human homolog				<i>S.pombe</i> cosmid		
Subunit	Mass (kDa)	Length (amino acids)	Subunit	Length	Identity (%)	Similarity (%)	Alignment <sup>b</sup>	Subunit	Length	Identity (%)	Similarity (%)	Alignment <sup>b</sup>	EMBL locus <sup>c</sup>
Sfc1p	53	456	TFC1p	649	23	41	366	TFIIC6	519	28	44	319	SPAC6F12.11c3
Sfc3p	154	1339	TFC3p	1160	20	41	891	TFIIC2	2140	<sup>d</sup>	<sup>d</sup>	<sup>d</sup>	SPBC336.07
Sfc4p	106	1006	TFC4p	1025	26	45	1017	TFIIC1	886	21	37	892	SPCC16C4.14c02
Sfc6p	66	582	TFC6p	672	25	41	411	TFIIC1	911	26	46	133	SPBC21H7.0510
Sfc9p	75	673	None <sup>e</sup>					TFIIC9	822	22	39	144	SPBC8D20

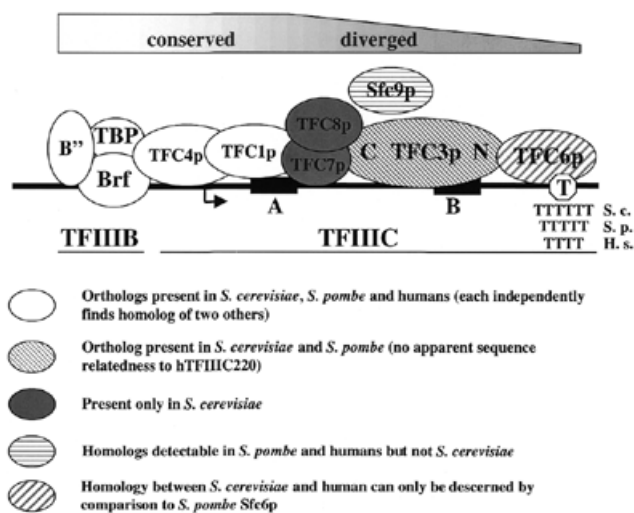
<sup>a</sup>Comparisons were conducted using the BLAST 2 Sequences program at NCBI with default parameters. The percent identity and percent similarity over the region of greatest match was recorded.

<sup>b</sup>The number of positions in the alignment, including gaps.

<sup>c</sup>Cosmid no./EMBL locus is accessible at [http://www.sanger.ac.uk/Projects/S\\_pombe/FUNCAT/trna\\_syn.shtml](http://www.sanger.ac.uk/Projects/S_pombe/FUNCAT/trna_syn.shtml).

<sup>d</sup>No significant sequence similarity (see Note Added in Proof).

<sup>e</sup>No homolog in *S.cerevisiae* is apparent by the sequence homology search performed here.



**Figure 1.** Highly schematized cartoon of TFs assembled onto a tRNA gene (3) that also reflects the relative evolutionary conservation of individual TFIIB and TFIIC subunits, as described in the text. For most of the subunits the nomenclature follows that for *S.cerevisiae* (4). The positions of the A box and B box promoter elements are indicated. The arrow indicates the direction and site of initiation of transcription. T indicates the site of transcription termination. The minimal numbers of T sites required for efficient termination by *S.cerevisiae* (6, S. c.), *S.pombe* (5, S. p.) and human (4, H. s.) pols III are indicated schematically (8).

Human TFIIC (hTFIIC) has been chromatographically separated into two sub-complexes, hTFIIC2, which exhibits B box-specific binding, and hTFIIC1, which stimulates binding by hTFIIC2 and is required for transcription activity (20,22,68). hTFIIC2 is similar to scTFIIC, composed of five subunits that together recognize the type 2 promoter (hTFIIC220/TFIIC $\alpha$ , hTFIIC110/TFIIC $\beta$ , hTFIIC102/TFIIC $\gamma$ , hTFIIC90/TFIIC $\delta$  and hTFIIC63/TFIIC $\epsilon$ ) (14,67,69–72). Although the molecular composition of hTFIIC1 activity has not been defined, recent studies suggest

that NF1 may be a component of hTFIIC1 and TFIIC0 (19). NF1 binds near the terminator, interacts with the two TFIIC downstream subunits, TFIIC $\alpha$  and TFIIC $\beta$ , and stimulates transcription of the VA1 RNA gene at levels stoichiometrically similar to TFIIC (19).

Five subunits of *S.pombe* TFIIC (spTFIIC) were identified by BLAST, four of which have been characterized biochemically and/or genetically (7; Table 1). Sfc1p is homologous to TFC1p and hTFIIC63, orthologs that bind near the A box (3,14,65). In *S.cerevisiae* TFC1p forms a sub-complex with another subunit that appears to be involved in responding to carbon nutrients (56), suggesting a role for TFC1 (and, by association, hTFIIC102) in the integration of environmental stimuli.

Sfc4p is homologous to TFC4p/PCF1 and hTFIIC102 (7). These proteins are characterized by N-terminal acidic regions, multiple copies of a TPR and a basic helix–loop–helix motif (14,59; Y.Huang and R.Maraia, unpublished observation). TFC4p/hTFIIC102 are large proteins that interact with various other subunits of the pol III machinery, including at least one component each of TFIIC, TFIIB and pol III (14,66). Part of TFC4p/PCF1 lies upstream of the transcription start site and recruits TFIIB (65,73–75) by interacting principally with scBrf and also with scB'' (75,76). TFC4p/ $\tau$ 131 has also been reported to interact with the pol III subunit scRPC53 (66). The evolutionary conservation of this factor appears to be the highest of all the TFIIC subunits, as its sequence homology extends over the entire length of all three species (Table 1). An archaeal homolog of TFC4p has also been noted in *Methanococcus jannaschii*, although the significance of this homology, if any, and the function of the putative polypeptide is unknown (accession no. E64417, PID g2129310).

As suggested by the network of interactions mentioned above, TFC4p/ $\tau$ 131/PCF1p appears to be of central importance to the initiation factor activity of TFIIC. Intriguingly, several mutations of TFC4p stimulate pol III transcription *in vivo* and in cell-free extracts (77–79). The PCF1-2 dominant

mutation does not affect TFIIC DNA-binding affinity but leads to increased recruitment of TFIIB70/Brf1p by a non-equilibrium binding mechanism (79). It is noteworthy for the purpose of this review that the mutated residue in PCF1-2, Thr167, is also found in hTFIIC102 (corresponding to Thr188) but not in Sfc4p (corresponding to Met172). Accordingly, it is tempting to speculate that since mutation of this residue in scTFC4p leads to increased association of TFIIC and TFIIB, the lack of conservation at this position in Sfc4p may reflect a relatively unique aspect of TFIIC-TFIIB interactions in fission yeast although this remains to be determined.

Sfc3p is a sequence homolog of TFC3p, the B box-binding subunit. While it is clear that Sfc3p and TFC3p are orthologs, neither exhibits recognizable sequence homology to the B box-binding subunit, hTFIIC220/hTFIIC $\alpha$ , even after multiple reiterations using PSI-BLAST (7). Nonetheless, there may be similarities in the overall architecture of these sequence-specific DNA-binding proteins that hint at a related ancestry. The position of a mutation that creates a conditional allele of TFC3p suggests interaction with TFC6p through the N-terminal third of TFC3p (21). Somewhat similarly, physical evidence indicates that the N-terminal fragment of hTFIIC220 remains bound to hTFIIC $\beta$  while the C-terminal fragment associates with the A box-related hTFIIC subunits (67). Thus, in a broad general sense, the B box-binding subunits of yeast and human TFIIC would appear to be orientated such that their C-termini face towards the initiation end of the template while their N-termini face towards the termination end. Moreover, the two domain 'dumb-bell' structure noted for yeast TFIIC may also be true for human TFIIC, as reflected in the sensitivity of the B box-binding subunit to proteolysis, somewhat similar to the protease sensitivity of  $\tau$ 138 (67,80,81). Interestingly, this feature is apparently used by poliovirus protease 3C to inactivate TFIIC during infection by poliovirus (67). Therefore, from these comparisons it would seem as if the apparently similar architectures, interactions with the homologous subunits TFC6p and hTFIIC $\beta$  in an ostensible orientation-specific manner and ability to recognize the highly conserved tRNA B box promoter element indicate analogous functions and suggest a common ancestry for TFC3p/ $\tau$ 138/Sfc3p and hTFIIC220, even though no detectable homology is at present apparent for the yeast and human sequences (Table 1, see below and Note Added in Proof).

Sfc6p was identified as a homolog of TFC6p, the *S.cerevisiae* protein that cooperates with TFC3p in tRNA gene binding and is crosslinked to the terminator regions of tRNA and 5S rRNA genes (3,21). PSI-BLAST revealed that Sfc6p and TFC6p exhibit sequence homology to hTFIIC $\beta$  (7). A temperature-sensitive substitution in TFC3p, Gly349→Glu, which decreases scTFIIC binding to the tRNA promoter, is suppressed by a compensating substitution, Glu330→Lys, in TFC6p (21,82). It is noteworthy here that while Gly349 in TFC3p is aligned with Gly361 in Sfc3p, suggesting conservation, the immediately surrounding region is also moderately conserved (Y.Huang and R.Maraia, unpublished observation). Likewise, although Glu330 of TFC6p is not exactly aligned with Sfc6p, the surrounding regions are moderately conserved (EHL $\underline{E}$ MFDK in TFC6p and  $\underline{E}$ TTESV $\underline{F}$ MR in Sfc6p), suggesting that similar surfaces may interact in both yeasts. In summary, while four subunits of scTFIIC have homologs in

*S.pombe*, only three of these share sequence homology with hTFIIC subunits (5; Fig. 1 and Table 1).

Two scTFIIC subunits, TFC7p and TFC8p, have no apparent homologs in *S.pombe* or human TFIIC subunits. One of these, TFC7p, forms a sub-complex with TFC1p *in vivo* that exists in stoichiometric excess over other TFIIC subunits (56). It was suggested that TFC7p functions as a nutritional response factor since a deletion of its N-terminal region impairs growth in glycerol- or ethanol-containing media (56). It is therefore conceivable that this subunit may be related to a unique metabolic adaptability of *S.cerevisiae*. The other scTFIIC subunit with no apparent counterpart in *S.pombe* or human, TFC8p, has been shown to interact with scTBP (57). Moreover, a mutant form of scTFIIC containing functionally impaired TFC8p exhibited a deficiency in scTBP recruitment, suggesting that TFC8p may function with TFC4p to recruit scTFIIB (57).

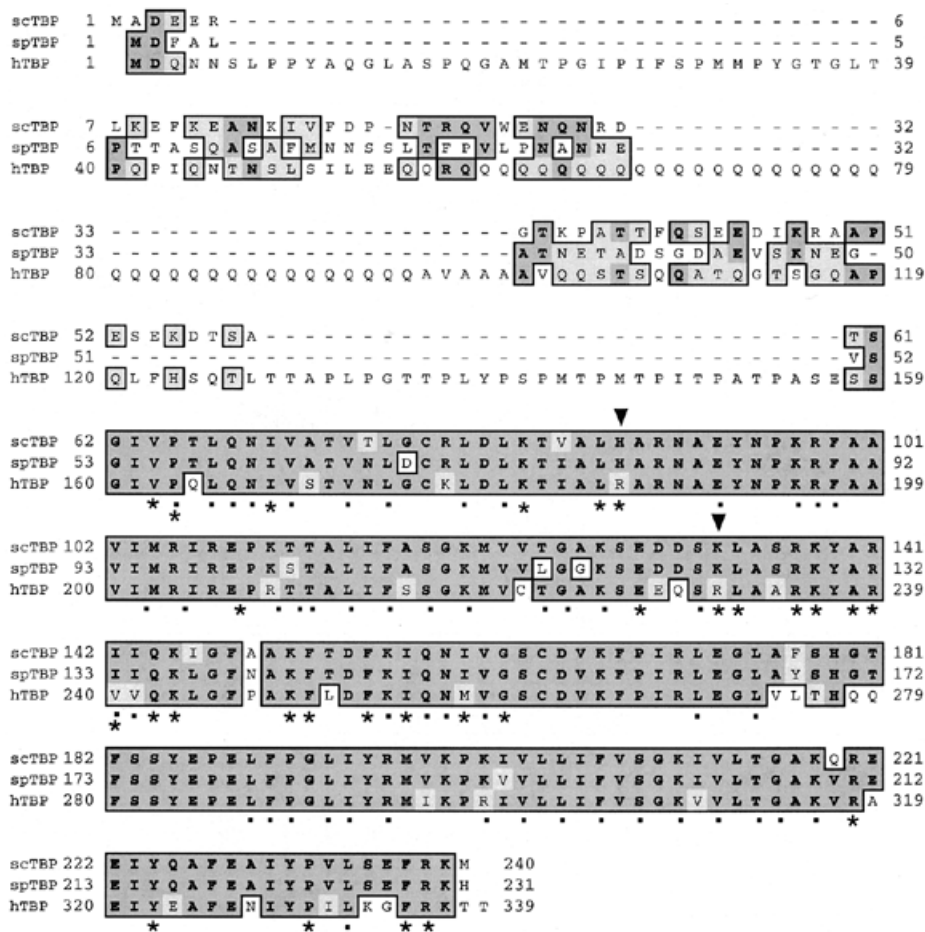
A putative fifth *S.pombe* TFIIC subunit, tentatively designated Sfc9p, was identified by BLAST using hTFIIC90 as the query (71). Although the sequence relatedness is among the lowest of the TFIIC homologs, limited to 144 residues, the significance of the homology is strengthened by the similar lengths of the proteins and more so by the similar position of the homologous regions at the C-termini of both proteins (Table 1 and unpublished observations). Interestingly, hTFIIC90 shows *in vitro* direct interactions with the pol III subunit hRPC39, the hTFIIB subunit hBRF/hTFIIB90 and the hTFIIC subunits hTFIIC220, hTFIIC110 and hTFIIC63 (71). In this regard, hTFIIB90 is reminiscent of scTFC8p in its TFIIB recruitment function, although no significant sequence homology is apparent at the present time.

## INITIATION FACTOR IIB SUBUNITS AND THEIR INTERACTIONS WITH TFIIC AND POL III ARE HIGHLY CONSERVED

scTFIIB has been well characterized, consisting of three components, scTBP (13), TFIIB-related factor (scBrf/PCF4/TFIIB70) (83–85) and scB''/TFIIB90/TFC5 (86–89). The activity, subunit composition and mechanism of recruitment of TFIIB to upstream DNA by TFIIC has been highly conserved. As will be detailed in a later section, in the human system this activity has been referred to simply as TFIIB or, more recently, TFIIB- $\beta$  (90–92).

### TATA-binding protein

While TBP is required for transcription by all three nuclear RNA polymerases, B'' and Brf are pol III specific, although the latter is a homolog of the functionally analogous pol II initiation factor, TFIIB, and the archaeal initiation factor, TFB. Since human TBP could not substitute for *S.cerevisiae* TBP in genetic rescue experiments but *S.pombe* TBP could (below), the latter provided an important intermediate in obtaining recombinant hTBP (93,94). As alluded to above, TBP and Brf are physically associated in the absence of DNA and this presumably accounts at least in part for the ability of TBP to bind to upstream DNA in the absence of a TATA element (13,95,96; also see 97). TBPs are very highly conserved in their C-terminal regions, while the N-terminal regions are more species specific (Fig. 2). Studies in the *S.cerevisiae* and human systems revealed that the TBP residues that are important



**Figure 2.** Alignment of TBPs from *S.cerevisiae*, *S.pombe* and human. The alignment was performed by Clustal W (177). Asterisks designate residues involved in Brf interaction and dots designate residues involved in DNA binding. Inverted triangles designate the residues, which have been determined to be important for TBP interaction with Brf, but are not conserved, as described in the text.

for interaction with Brf are located in the conserved region of TBP (87,98–100; for details see the TFIIB–DNA model in 101). While all of the residues known to be important for Brf interaction are conserved in the two yeast TBPs, two of these residues are different in human TBP (Fig. 2). The amino acid corresponding to Lys133 and Lys124 in scTBP and spTBP, respectively, is an arginine, Arg231, in hTBP (Fig. 2). Although Lys→Arg would appear to be a conservative substitution, this difference is critical since substitution of just this single residue, Arg231→Lys, converts hTBP from inactive to active in supporting growth of TBP-deficient *S.cerevisiae* and in supporting pol III-dependent tRNA transcription in the *S.cerevisiae* *in vitro* system (102,103). These incisive studies indicate that the species-specific TBP function that is required for yeast viability which cannot be supplied by hTBP (but can be supplied by hTBP-R231K) is transcription of TATA-less (but not TATA-containing) genes, including tRNA genes (102,103). Moreover, this also suggests that TBP interacts with TATA elements in a different manner than with TATA-less promoters.

**The initiation factor IIIB subunit Brf**

A candidate subunit of spTFIIIB, spBrf, was readily identified by BLAST (Table 2). Comparable to scBrf and hBRF, the

N-terminal region of spBrf is related to TFIIB, while the C-terminal region of spBrf is homologous to the corresponding regions of scBrf and hBRF (74,96,104,105). The three Brf proteins are most homologous in their N-terminal regions, i.e. in their TFIIB-related domains, while their C-terminal domains, including the three conserved regions noted by others (104), are moderately conserved (Fig. 3).

As a TF of central importance, scBrf interacts with several initiation-specific subunits of the pol III machinery, TBP, B", TFC4p and the pol III subunits C34 and C17 (4,5,104,106,107). While the Brf–TFC4p interaction is thought to constitute a principal mechanism of scTFIIIB recruitment, the Brf–C34 interaction is similarly important for pol III recruitment (74,75,108), and these functions are conserved in the corresponding human orthologs (14). Conserved region II in the C-terminal domain of scBrf is important for interaction with C34 (100,101,104,106). It appears that Brf regions II and III (indicated by boxed areas labeled Brf 2 and Brf 3 in Fig. 3) function to bridge TBP and C34 (106). Two residues in the Brf 2 region of scBrf, L462 and D464, which appear critical for interaction with TBP, are conserved in spBrf (106), although, as will be detailed below, adjacent regions vary significantly in spBrf (Fig. 3).

**Table 2.** Comparison of TFIIB subunits of *S.pombe*, *S.cerevisiae* and human<sup>a</sup>

<i>S.pombe</i> TFIIB			<i>S.cerevisiae</i> homolog				Human homolog				<i>S.pombe</i> cosmid no.		
Subunit	Mass (kDa)	Length (amino acids)	Subunit	Length	Identity (%)	Similarity (%)	Alignment <sup>b</sup>	Subunit	Length	Identity (%)	Similarity (%)	Alignment <sup>b</sup>	EMBL locus <sup>c</sup>
spTBP	25	231	scTBP	240	93	95	179	hTBP	339	80	90	179	SPAC30.12
spBrf	56	500	scBrf	596	37	52	601	hBRF	675	47	64	294	SPBC13E7
spB''	58	507	scB''	594	34	57	138	hB''	1388	35	55	148	SPCC1919.14c

<sup>a</sup>Comparisons were conducted using the BLAST 2 Sequences program at NCBI with default parameters. The percent identity and percent similarity over the region of greatest match was recorded.

<sup>b</sup>The number of positions in the alignment, including gaps.

<sup>c</sup>Cosmid no./EMBL locus is accessible at [http://www.sanger.ac.uk/Projects/S\\_pombe/FUNCAT/trna\\_syn.shtml](http://www.sanger.ac.uk/Projects/S_pombe/FUNCAT/trna_syn.shtml).

The Brf protein in *S.pombe* is significantly different from the human and *S.cerevisiae* proteins in sequences flanking each of the three conserved regions (Fig. 3). While this is least apparent for the Brf 1 region, it is more significant for conserved regions Brf 2 and Brf 3. Sequences upstream of the Brf 1 and Brf 2 regions are conserved in *S.cerevisiae* and human but absent in the *S.pombe* Brf protein (Fig. 3). The significance of these differences remains to be discerned.

#### The initiation factor IIB subunit B''

Sequence homology of spB'', scB'' and hB'' is limited to their SANT domains and surrounding regions (90). The SANT domain was initially identified in several proteins, including SWI3, ADA2, N-Cor and TFIIB'', and had been compared to the myb DNA-binding domain (109). In particular, conserved Trp residues in the myb and SANT domains correspond to residues that contribute to the hydrophobic core structure of the myb domain (109). spB'' exhibits sequence homology to scB'' and hB'' in a region substantially more extensive than and including the SANT domain (90). spB'' and scB'' are more similar to each other than either is to hB'' in overall structure and other features; SpB'' and scB'' exhibit similar lengths with homology at their termini, lacking the very long extension at the C-terminus of hB'', and share both of the myb-related Trp residues (90). B'' contacts DNA both upstream and downstream of the TATA box, involving the highly conserved SANT domain region (110). Despite differences in structure outside the SANT domain, scB'' can functionally substitute for hTFIIB- $\alpha$  or hB'' in a human cell-derived reconstituted *in vitro* transcription system (91,103).

scB'' has been well characterized biochemically. It functions in assembly of the pre-initiation complex and is necessary for formation of the heparin-resistant DNA-TFIIB complex that reflects the stability of the pol III transcription complex (107,111). Indeed, multiple lines of evidence suggest that B'' serves as a scaffold that also provides one aspect of a clamp around the TBP-DNA complex, with another aspect provided by Brf; the resulting clamp may provide the physical basis for the renowned stability of the TFIIB-DNA complex (54,100,107,110). Regions of scB'' required for TATA-dependent, TFIIC-independent transcription of the scU6 gene are less extensive than the regions required for TFIIC-

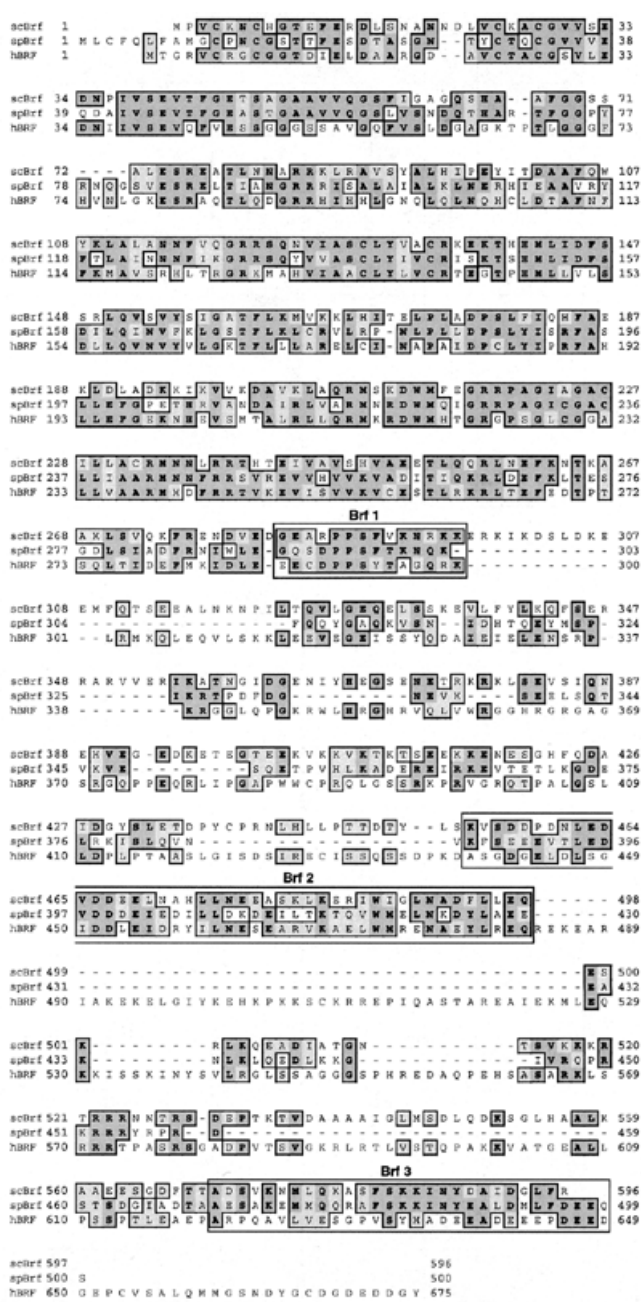
dependent transcription of a TATA-less tRNA gene (107), consistent with contacts between TFC4p and B'' (66).

B'' does more than simply establish conditions to recruit pol III and stabilize the complex. scB'' also functions in upstream promoter melting, in a pol III-dependent manner, a fundamental step in transcriptional activation that is shared by other polymerases, including bacterial RNA polymerase (86,88). Evidence that B'' interacts directly with pol III has been noted (cited as unpublished observations in 107).

#### A TFIIB-RELATED ACTIVITY, TFIIB- $\alpha$ , IS USED FOR TYPE 3 GENE TRANSCRIPTION IN HIGHER EUKARYOTES BUT DOES NOT APPEAR IN YEAST

Unlike the situation in *S.cerevisiae*, in which a single TFIIB complex directs transcription of tRNA, 5S rRNA and U6 snRNA genes, two different TBP-dependent TFIIB activities exist in humans, hTFIIB- $\alpha$  and hTFIIB- $\beta$  (92). As alluded to above, hTFIIB- $\beta$  is required for type 2 gene transcription and is composed of hTBP in association with hBRF and (loosely associated) hB'' (103) and appears to completely correspond in its subunit composition to *S.cerevisiae* TFIIB. As reviewed above, U6 and other pol III-dependent genes use TFIIC to recruit TFIIB to the upstream DNA in *S.cerevisiae*. Since the *S.cerevisiae* U6 gene uses a B box to recruit TFIIC, it appears similar to type 2 genes. In contrast, the type 3 genes use entirely upstream promoters, which have only been found in metazoa.

The entirely upstream promoter elements of the human U6 snRNA gene, as well as the TFs involved in their recognition, and therefore the mechanisms of transcription complex assembly on the yeast and human U6 genes appear to be fundamentally different. In type 3 genes there is no B box. Instead, the upstream TF, SNAPc, binds to the proximal sequence element and recruits a distinct TFIIB-like activity, referred to as hTFIIB- $\alpha$  comprised of hTBP, hB'' and hBRFU/hTFIIB50, and possibly other factors to the core promoter (90,91). A critical difference between conventional hTFIIB and hTFIIB- $\alpha$  is that the latter does not contain hBRF but instead contains a distinct homolog of hBRF referred to as BRFU or TFIIB50 (90,91). For the purposes of this review it is noteworthy that while homologs of TBP, B'', Brf and TFIIB are readily apparent in the *S.pombe* and *S.cerevisiae* databases,



**Figure 3.** Alignment of Brf from *S.cerevisiae*, *S.pombe* and human, performed by Clustal W (177).

a sequence corresponding to BRFU/TFIIIB50 could not be found in either yeast (Table 2 and unpublished observations).

**TRANSCRIPTION FACTOR IIIA**

The first eukaryotic TF purified to homogeneity and cloned was *Xenopus* TFIIIA, the prototypical C<sub>2</sub>H<sub>2</sub> zinc finger protein (112–114; reviewed in 115). TFIIIA contains nine zinc fingers and binds extensively to >50 bp of 5S rDNA. This factor also binds 5S transcripts and this RNA-binding activity can be used as part of a feedback regulatory loop to control 5S rRNA

synthesis *in vivo* (116–118). *Saccharomyces cerevisiae* TFIIIA (scTFIIIA) was cloned (119,120) and characterized biochemically (121–123). A comparison of the sequences of spTFIIIA, scTFIIIA and hTFIIIA (115,124) revealed that similarity is limited to their zinc finger domains (spTFIIIA is 30% identical and 45% similar to scTFIIIA and is 35% identical and 49% similar to hTFIIIA). Interestingly, spTFIIIA is characterized by unique features. *Schizosaccharomyces pombe* TFIIIA appears to contain 10 C<sub>2</sub>H<sub>2</sub> zinc fingers as annotated in the database, compared to nine fingers identified in other TFIIIA molecules (115,124). While most zinc fingers in spTFIIIA exhibit similar spacing, the last two appear more distant, with little distance between the last finger and the C-terminus. It would be interesting to know how the additional finger in *S.pombe* affects DNA binding, transcription, RNA binding and/or feedback regulation (117).

**RNA POLYMERASE III**

The three eukaryotic nuclear RNA polymerases, historically referred to as polymerases I (A), II (B) and III (C), are principally involved in the transcription of large rRNA, mRNA and tRNA/5S rRNA, respectively (125). All three show homology: some subunits are polymerase specific but exhibit clear homology to subunits in one or both of the other polymerases; some subunits are actually shared, i.e. polypeptides produced from the same gene are distributed to two or three polymerases; some subunits are highly specific with no identifiable homolog in the other polymerases. The different compositions of the three polymerases, including their different but homologous two largest subunits, likely reflect their specialized functional requirements at the initiation, elongation and termination stages of the transcription cycle. Pol III appears the most complex of the nuclear RNA polymerases as it contains the largest number of subunits, 17 in *S.cerevisiae* as compared to 12 pol II subunits and 14 pol I subunits. Of the 17 scPol III subunits, five are shared among polymerases I, II and III (ABC27, ABC23, ABC14.5, ABC10α and ABC10β), another two are shared with pol I (AC19 and AC40), four are homologous to subunits found in pol I and/or pol II (C160, C128, C25 and C11) and six are unique to pol III (C82, C53, C37, C34, C31 and C17) with no apparent homologs in the other polymerases. Most of the latter group, the pol III-specific subunits, appear to function in recognizing the TFIIIC–TFIIIB–DNA initiation complex.

The crystal structure of a scPol II complex containing the two largest subunits together with eight additional subunits, several of which are shared with or are homologous to pol III subunits, has been solved (126). An interaction map of the scPol III subunits appears to exhibit good agreement with the crystal structure (66) and with crosslinking studies that located several subunits around the transcribed DNA (127). The core structure is very likely conserved in the pols III of many if not all eukaryotes.

Homologs of all 17 scPol III subunits (4,66,128) were identified in *S.pombe* by BLAST (Table 3). Among them, the two largest subunits (Rpc158 and Rpc130) are homologous to C160 and C128/RET1 in *S.cerevisiae* and also exhibit strong and extensive homology to the two largest subunits of RNA polymerases of bacteria, archaea, pol I and pol II. The largest subunit contains several highly conserved regions, including

**Table 3.** Comparison of pol III subunits of *S.pombe*, *S.cerevisiae* and human<sup>a</sup>

<i>S.pombe</i> pol III			<i>S.cerevisiae</i> homolog				Human homolog				Relationship to pols <sup>c</sup>	<i>S.pombe</i> cosmid no.
Subunit	Mass (kDa)	Length (amino acids)	Subunit	Identity (%)	Similarity (%)	Alignment <sup>b</sup>	Subunit	Identity (%)	Similarity (%)	Alignment <sup>b</sup>		EMBL locus <sup>c</sup>
Rpc158	158	1405	C160	57	72	1455	hRPC155	51	68	1407	Conserved <sup>d</sup> I, II, III	SPBC651.08c
Rpc130	130	1165	C128	71	83	1142	nd				Conserved <sup>d</sup> I, II, III	SPAC4G9.08c
Rpc66	66	571	C82	22	43	549	hRPC62	32	53	188	Specific <sup>e</sup>	pB1E7.00669
Rpc39	39	348	AC40	57	73	330	hRPC40	50	68	307	Shared <sup>d</sup> I, III	SPBC1289.07c
Rpc53	37	330	C53	23	47	163	BN51	25	42	365	Specific	SPCC18.07
Rpc27	27	242	C37	30	50	146	nd				Specific	SPCC330.13
Rpc34	34	301	C34	42	62	263	hRPC39	30	55	267	Specific <sup>e</sup>	SPCC290.02
Rpc24a	24	210	C31	28	44	164	hRPC32	29	58	55	Specific <sup>e</sup>	SPBC839.12
Rpc16	16	142	ABC23	85	93	81	hRPC15	76	87	143	Shared <sup>d</sup> I, II, III	SPCC1020.04c
Rpc24b	24	210	ABC27	56	75	211	hRPC25	43	63	210	Shared <sup>d</sup> I, II, III	SPAC23C4.15
Rpc25	23	203	C25	43	63	217	nd				Conserved II, III	SPBC2G5.07c
Rpc19	14	125	AC19	62	72	109	hRPC16	58	78	79	Shared <sup>d</sup> I, III	SPAPYUL23.01
Rpc14	14	125	ABC14.5	37	54	144	hRPC14	36	54	144	Shared <sup>d</sup> I, II, III	SPBC14C8.12
Rpc11	13	109	C11	48	63	111	hRPC11	50	62	110	Conserved I, II, III	SPAC22A12.05
Rpc10	7.3	63	ABC10 $\alpha$	50	78	42	hRPC10	56	78	50	Shared <sup>d</sup> I, II, III	SPBC19C2.03
Rpc8	8.3	71	ABC10 $\beta$	75	86	69	hRPC8	71	85	67	Shared <sup>d</sup> I, II, III	SPAC1B3.12c
Rpc17	14.9	129	C17	26	44	158	hRPC17	31	52	129	Specific	pB1E7.00669

nd, not determined.

<sup>a</sup>Comparisons were conducted using the BLAST 2 Sequences program at NCBI with default parameters. The percent identity and percent similarity over the region of greatest match was recorded.

<sup>b</sup>The number of positions in the alignment, including gaps.

<sup>c</sup>Cosmid no./EMBL locus is accessible at [http://www.sanger.ac.uk/Projects/S\\_pombe/FUNCAT/trna\\_syn.shtml](http://www.sanger.ac.uk/Projects/S_pombe/FUNCAT/trna_syn.shtml).

<sup>d</sup>Subunits that may form a core enzyme complex comparable to the core pol II recently crystallized (126).

<sup>e</sup>Specific subunits that form a subcomplex required for promoter-dependent initiation (see text).

one that corresponds to the  $\alpha$ -amanitin sensitivity domain (129). Since the pol II enzymes of many species are highly sensitive to  $\alpha$ -amanitin, the action of this toxin has been investigated in the pol II system. Inhibition of transcriptional elongation by  $\alpha$ -amanitin is associated with inhibition of factor-stimulated 3'→5' hydrolytic cleavage of the elongating RNA (130), a cleavage activity that is intrinsic to all multisubunit polymerases including *Escherichia coli* RNA polymerase (131). As alluded to above, the pols III of *S.cerevisiae*, *S.pombe* and human exhibit distinct sensitivity profiles to  $\alpha$ -amanitin; no sensitivity, intermediate sensitivity and greater sensitivity, respectively (6,8). Although the three pols III are highly conserved in the  $\alpha$ -amanitin-sensitive domain, amino acid differences are noted upon alignment with each other and with pol II mutants that exhibit altered  $\alpha$ -amanitin sensitivity (129,132–134), revealing candidate side chains in *S.pombe* pol III that may affect  $\alpha$ -amanitin sensitivity. As will be reviewed in a later section, the pattern of differential  $\alpha$ -amanitin sensitivity is correlated with the differential sensitivity of the three pols III to the minimal length termination signals used in these organisms (8).

Although  $\alpha$ -amanitin inhibits factor-stimulated 3'→5' RNA cleavage activity and mutations that confer resistance to  $\alpha$ -amanitin have been observed only in the largest polymerase subunits, alterations of either of the two largest pol III subunits affects 3'→5' RNA hydrolytic cleavage (129,135). This, and a wealth of other data, are consistent with the idea that the two largest subunits together define the catalytic center for RNA polymerization and mediate effects on initiation, elongation and termination (126,136). The second largest subunit, also known as Ret1p, was identified genetically by its effects on pol III termination (137,138). Ret1p plays a complex role in elongation and termination, apparently interacting with the nascent RNA as well as the template DNA (135,139,140).

Five *S.pombe* subunits (Rpc24, Rpc16, Rpc14, Rpc10 and Rpc8) are homologous to the *S.cerevisiae* common subunits, ABC27, ABC23, ABC14.5, ABC10 $\alpha$  and ABC10 $\beta$ , which are shared by all three polymerases. In the recently solved pol II crystal structure ABC27 (rpb5) appears to form part of a jaw on the leading edge of the polymerase that is proposed to open and close on the advancing DNA as the polymerase moves along the template (126). A role for ABC27 in responding to activators has also been noted (141). ABC23 (rpb6) contributes



to a clamp near the active site of the polymerase (126). The *S.pombe* homolog of ABC14.5 can substitute for the *S.cerevisiae* homolog in pol III if RPC160 is overexpressed (142). Consistent with this, the ABC14.5 (rpb8) subunit interacts with the largest pol III subunit, although no specific function has yet been ascribed to it (66,126). ABC10 $\alpha$  and ABC10 $\beta$  would appear to associate with the AC19–AC40 heterodimer (below) as part of a conserved component of the polymerase (126). It should also be noted that although the above subunits are shared by the three nuclear polymerases, the pol III interaction map shows that most of these make contact with the two largest pol III-specific subunits (66). In addition, an interaction between the TFIIB-recruiting subunit of TFIIC ( $\tau$ 131) and ABC10 $\alpha$  *in vivo* and *in vitro* has been noted (143). Thus, even though the shared subunits may provide similar functions in the three polymerases, their function could be modulated in a polymerase-specific manner.

Two *S.pombe* subunits, Rpc40 and Rpc19, are the apparent orthologs of the *S.cerevisiae* pol III subunits AC40 and AC19, which are homologous to the two pol II subunits Rpb3 (144) and Rpb11 (145), respectively. The *S.pombe* genes encoding both of these subunits can functionally replace the corresponding *S.cerevisiae* genes (146–148). AC19 and AC40 contain an  $\alpha$ -motif, a short region homologous to a functionally important domain of the  $\alpha$  subunit of *E.coli* RNA polymerase (149). In *E.coli* RNA polymerase the  $\alpha$  subunit is a target for activators (150). This  $\alpha$ -motif is also conserved in spRpc40 and spRpc19 (unpublished observation). The proposal that the AC40–AC19 heterodimer in *S.cerevisiae* represents a functional counterpart of the  $\alpha_2$  homodimer of *E.coli* RNA polymerase may have been borne out by the pol II crystal structure (126). In this case the pol II homologs Rpb3 and Rpb11 were compared to the  $\alpha_2$ -like structure visualized on the face of the polymerase that is oriented towards upstream DNA.

Another small subunit, spRpc11, which is a homolog of scRPC11, was identified as part of a study that led to a wonderful insight into transcription termination by pol III (151). A *S.cerevisiae* pol III chimera in which the essential C11 subunit was replaced *in vivo* with *S.pombe* C11 exhibited a temperature-sensitive phenotype (151). Upon biochemical purification of the resulting pol III from *S.cerevisiae* the heterologous subunit, spC11, dissociates from the enzyme complex. This feature was exploited as part of an elegant marriage of genetics and biochemistry (151). C11 is conserved from yeast to humans and is homologous to the pol I subunit A12.2 and the pol II subunit B12.6. Most significantly, C11 was also noted to exhibit homology to TFIIS, a pol II transcription elongation factor that functions by stimulating the polymerase-intrinsic 5'→3' RNA cleavage activity mentioned above. In this case the TFIIS-stimulated 3'→5' cleavage activity allows pol II to overcome pausing and other blocks in elongation (reviewed in 131). In *S.cerevisiae* C11 stimulates the intrinsic pol III 3'→5' RNA cleavage activity and in this case this is important for termination (151). It was proposed that C11 may function in the transition between RNA elongation and termination and that the C11-stimulated RNA cleavage activity of pol III removes kinetic barriers to termination (151). It is intriguing that C11 and C17, factors that function in termination and initiation, were found to interact in the yeast two hybrid assay (128).

The remaining six small subunits are pol III specific, homologs of which are not found in pol I or pol II. Three of these, Rpc66, Rpc34 and Rpc24, appear to be the orthologs of the *S.cerevisiae* pol III-specific subunits C82, C34 and C31 and the human pol III-specific orthologs hRPC62, hRPC39 and hRPC32, respectively, which are recruited by TFIIB and function in initiation (136,152,153). These three subunits associate with each other and, under certain conditions, can be detected as a sub-complex dissociated from the core pol III enzyme (136,153,154). Human pol III lacking this sub-complex and yeast enzymes with mutations in C34 or C31 can polymerize RNA from non-specific template DNA and terminate appropriately, but are specifically deficient in initiation (108,136,154). Based on their homology it seems likely that Rpc66, Rpc34 and Rpc24 would form an orthologous initiation-related sub-complex in *S.pombe*.

As noted above in the section on TFIIB, specific recruitment of pol III to the transcription start site is mediated in large part by the C34–scBrf interaction in *S.cerevisiae* and by the orthologous hRPC39–hBrf interaction in humans. This is consistent with a wealth of data, including photocrosslinking studies of a pre-initiation complex which revealed that a region of RPC34 is the most upstream of all of the detectable pol III subunits, in a position compatible with interaction with TFIIB (127). Sequence comparisons revealed that the C34 residue, Lys135, which is important for the C34–scBrf interaction, is conserved in the *S.pombe* and human counterparts, Rpc34 and hRPC39, respectively (108; unpublished observations). Also noteworthy is that an acidic segment in C31, which has been implicated as functioning in transcription initiation, is also conserved in the *S.pombe* and human orthologs, spRpc31 and hRPC32 (154). Additional contacts that contribute to the network of communications that specify initiation include interactions of C17 with C31 and Brf (128). scRPC17 has recently been shown to interact with scBrf *in vivo*, suggesting that C17 may function in initiation (128).

One subunit, spRpc53, appears to be the ortholog of *S.cerevisiae* C53 and human hRPC53/BN51. Mapping of interaction domains revealed that scRPC53 interacts with TFC4p, the TFIIC subunit that lies over the transcription start site (66), suggesting a role in initiation. In *S.cerevisiae* inactivation of C53 leads to G<sub>1</sub> arrest (155). This cell cycle arrest can be reversed by supplementation with BN51 cDNA, which encodes the human RPC53 subunit (155,156). Indeed, mammalian BN51 cDNA was initially isolated on the basis of its effect on the cell cycle, as its inactivation leads to G<sub>1</sub> arrest (156,157). Consistent with this, it was recently found that expression of BN51 (hRPC53) is regulated by the c-Myc oncoprotein (158). Although the scRPC37 and scRPC53 subunits have been found to interact, the functional significance of this remains to be determined (66).

A human pol III holoenzyme capable of transcribing the VA1 and tRNA genes has been affinity purified from a HeLa cell line using epitope-tagged BN51, the human homolog of RPC53 (159). This holoenzyme contains pol III, hTFIIB, hTFIIC and La antigen, but not hTFIIIA or pol II-related proteins (159). We used a similar approach to affinity purify *S.pombe* pol III, independently tagging Rpc53 and Ret1p. The affinity purified *S.pombe* pol III is able to conduct promoter-dependent initiation and recycling on a pre-assembled *S.pombe* transcription complex, but lacks holoenzyme activity

**Table 4.** Status of expression or function

<i>S.pombe</i>	Status	<i>S.cerevisiae</i> gene	Synonym(s)	Reference
TBP	X,C	TBP1	SPT15, TFIID	(90,91)
Brf	X	BRF1	PCF4, TDS1	Unpublished
B''	X	TFC5	TFIIB''	Unpublished
TFIIIA	N	TFC2	PZF1	
Sfc1	X	TFC1	τ95	(7)
Sfc3	X	TFC3	τ138	(7)
Sfc4	X	TFC4	PCF1, τ131	(7)
Sfc6	X	TFC6	τ91	(7)
Sfc9	N			
Rpc158	N	RPC160	C160	
Rpc130	X	RET1	C128	Unpublished
Rpc66	N	RPC82	C82	
Rpc39	C	RPC40	AC40	(146)
Rpc53	X	RPC53	C53	Unpublished
Rpc27	N	RPC37	C37	
Rpc34	X	RPC34	C34	Unpublished
Rpc24a	N	RPC31	C31	
Rpc16	C	RPO26	ABC23	(178)
Rpc24b	C	RPB5	ABC27	(179)
Rpc25	N	RPC25	C25	
Rpc19	C	RPC19	AC19	(146)
Rpc14	C	RPB8	ABC14.5	(142)
Rpc11	C	RPC11	C11	(151)
Rpc10	C	RPC10	ABC10α	(180)
Rpc8	C	RPB10	ABC10β	(181)
Rpc17	N	RPC17	C17	

X, immunodetection as described in the text; C, complementation of *S.cerevisiae*; N, none reported.

(unpublished results). Efforts to isolate a pol III holoenzyme from *S.cerevisiae* have also been unsuccessful (4).

#### A PRESENT LIST OF *S.POMBE* POL III MACHINERY FOR WHICH THERE IS EVIDENCE OF EXPRESSION IN FISSION YEAST OR FUNCTIONAL COMPLEMENTATION IN *S.CEREVISIAE*

Table 4 lists the set of 26 pol III and associated TF subunits that can be identified in the *S.pombe* sequence database. Two *S.cerevisiae*-specific TFIIC subunits were not found, while a human TFIIC subunit not present in *S.cerevisiae* was found in the *S.pombe* database.

We have used two approaches to identify proteins corresponding to predicted *S.pombe* proteins: (i) detection by immunoblotting using antibodies raised against synthetic peptides corresponding to the N- and/or C-termini of the predicted subunit; (ii) isolation of the corresponding gene or cDNA and epitope tag cloning followed by expression in

*S.pombe* and detection with anti-epitope or anti-peptide antibody (7). In some cases we detected one predicted protein after co-immunoprecipitation with antibody directed to another pol III-associated factor (7; unpublished observations).

Table 4 indicates expression in *S.pombe* (designated X) or functional complementation of a *S.cerevisiae* strain that lacks the corresponding subunit (designated C) for 18 of the *S.pombe* subunits. The former category, evidence of expression in *S.pombe*, includes four TFIIC subunits, all three of the scTFIIB subunits and three subunits of pol III, while the latter category, complementation, includes eight subunits of pol III plus TBP. To our knowledge, neither Brf, B'', TFIIIA nor any TFIIC subunits derived from *S.pombe* has been reported to complement a *S.cerevisiae* strain.

#### POL III-RELATED TFs NOT IDENTIFIED IN THE *S.POMBE* DATABASE

Human SNAPc, a complex of five subunits, and Oct-1 are specifically required for type 3 gene transcription (34). No apparent homologs of the SNAPc subunits, Oct1 or BRFU/TFIIB50 could be identified in *S.pombe*, consistent with the A box–B box promoter (i.e. type 2-like) structure of *Schizosaccharomyces* U6 snRNA genes (160). This is also consistent with the idea that there are no entirely upstream type 3 genes in *S.pombe*, as is the case in *S.cerevisiae*. In addition, no homologs of the hTFIIC-associated terminator-binding protein NF1 or the *S.cerevisiae* TFIIC subunits TFC7 or TFC8 could be found.

#### CHROMATIN-RELATED FACTORS

Three subunits of human TFIIC2 (hTFIIC220, hTFIIC110 and hTFIIC90) each harbor intrinsic histone acetylation activity (HAT) that enables TFIIC to combat the repressive effects of chromatin (71,161). These hTFIIC subunits exhibit the least homology with the scTFIIC and spTFIIC subunits. Human TFIIC220 is not detectable in either yeast, hTFIIC90 exhibits low level homology with the putative Sfc9p but not with any *S.cerevisiae* protein and, as noted previously, hTFIIC110 and TFC6p appear related only when Sfc6p is included in the comparison (7). There is no evidence of HAT activity in recombinant Sfc6p or in affinity purified *S.pombe* TFIIC (7), apparently similar to the lack of HAT activity in scTFIIC (4). Yet, in *S.cerevisiae* the B box–scTFIIC relationship is comparable to an enhancer–activator system that is able to relieve chromatin-mediated repression of U6 snRNA transcription *in vitro* and *in vivo* (53,162,163). It is important to recall here that the A box–B box distance in the U6 gene is unusually long (>200 bp) as compared to tRNA genes (typically <70 bp). Indeed, a nucleoprotein complex appears to organize this gene in a manner that facilitates TFIIC-dependent binding of TFIIB (164). Recent work has identified the HMG1 protein Nhp6 as essential for U6 transcription in yeast (51,165). This HMG1 protein appears to structure the scU6 gene (*SNR6*) *in vivo* in a manner that supports TFIIC-dependent recruitment of TFIIB, presumably overcoming the otherwise occlusive effect of the lengthy A box–B box distance (51). In *S.cerevisiae* U6 may be the only pol III-dependent gene that requires Nhp6 for essential transcription, again consistent with its unusually long A box–B box spacing

(51), although effects of Nhp6Bp on decreased levels of certain tRNAs have also been noted (165).

Interestingly, although the *S.pombe* U6 snRNA gene also contains A box and B box promoter elements, the B box is within a relatively short distance of the A box, in an intron (160), such that the functional promoter is similar to that of *S.pombe* tRNA genes. Therefore, although a Nhp6 homolog is present in *S.pombe*, it is not clear that it would be required for or involved in U6 RNA expression in fission yeast. Likewise, while a nucleosome upstream of the core promoter of the human U6 (type 3) gene is positioned between the PSE and the DSE and facilitates activator function (47,166), this would not necessarily be expected for the *S.pombe* U6 snRNA gene since the latter uses an internal B box element for transcriptional activation (M.Hamada and R.J.Maraia, unpublished observations).

### TERMINATION BY POL III

As alluded to in the Introduction, since multiple lines of evidence suggest that termination and re-initiation appear to be stimulated by TFIIC-associated and other components in the human but not the *S.cerevisiae* system, this aspect of RNA production may represent a significant divergence in the pol III systems in these organisms. Examination of termination signal recognition by the human, *S.cerevisiae* and *S.pombe* pols III revealed that *S.pombe* is intermediate between human and *S.cerevisiae* (8; Fig. 1). In addition, hints of qualitative differences in the termination mechanisms are also apparent (8). Evidence from *in vivo* and *in vitro* experiments indicated that fewer dT residues are required to signal pol III termination in *S.pombe* as compared to *S.cerevisiae* (8). This is consistent with earlier studies in *S.cerevisiae* (167) and the fact that several 5S rRNA genes in *S.pombe* end in four consecutive T residues, significantly fewer T residues than in *S.cerevisiae* (168; R.J.Maraia, unpublished observations). *Saccharomyces cerevisiae* pol III appears to require a minimum of six or seven dT residues for efficient termination, while vertebrate pol III can terminate very efficiently at four dT residues (2,167,169). For vertebrate pol III the identity of the nucleotides surrounding a T<sub>4</sub> tract can have substantial effects on termination and termination-associated activities that include RNA 3' processing and re-initiation, while such effects have not been reported for yeast pol III (26,169–172). Thus, in addition to the difference in the minimal dT length that can serve as a terminator in these species, there may be additional differences in the mechanisms used for termination and termination-associated activities in the three species (8), although this remains to be explored further.

While it is intriguing to consider that differential sensitivity of *S.pombe*, *S.cerevisiae* and human pols III to  $\alpha$ -amanitin correlates with the sensitivity of these polymerases to a minimal length termination signal, the mechanistic significance of this observation remains to be explored (8). Although the three pols III are highly conserved in the  $\alpha$ -amanitin-sensitive domain, as are the pols II from various organisms, alignment with pol II mutants with altered  $\alpha$ -amanitin sensitivity (129,132–134) reveals candidate amino acids in the *S.pombe* pol III that may affect  $\alpha$ -amanitin sensitivity. Therefore, site-directed mutagenesis can be used to examine the potential relationship between  $\alpha$ -amanitin sensitivity and pol III

termination. Since termination can be an important point of regulation and differences in this phase of transcription may afford opportunities for differential regulation (173), this aspect of pol III transcription deserves further attention.

As mentioned above, TFIIC1, topoisomerase I, PC4, NF1 and La protein have all been reported to stimulate termination and re-initiation by human pol III (17,19,23–26). In addition, the effects of termination signal flanking sequences on termination, post-transcriptional 3' cleavage of the nascent RNA and re-initiation by pol III have been documented and in some cases demonstrated to be dependent on the activity of La (23,25,26,172). Although evidence of termination-dependent facilitated recycling of scPol III has been reported, this activity has not been linked to TFIIC (16).

In summary, evidence for three classes of mechanistic differences in the pol III termination systems of yeasts and humans has been emerging: (i) the minimal length of the dT tract length that signals termination; (ii) the effects of flanking sequence on dT tract function; (iii) the effects of TFIIC-associated and other factors on termination and/or re-initiation. The striking evolutionary divergence in the downstream TFIIC subunits noted above and in Figure 1, in conjunction with the apparent species-specific termination-related activities of pol III noted above (23,25,26,172,174), suggests that these downstream TFIIC subunits may have co-evolved with differences in pol III termination and in humans, and perhaps to some extent in yeasts, can accommodate versatile and regulatory transcriptional systems (175). The possibility that TFIIC subunits may be involved in pol III termination can be explored in the genetically tractable system represented by *S.pombe*.

### CONCLUDING REMARKS

We have surveyed the genomic and protein sequence databases of *S.pombe* for pol III, TFIIA, TFIIB and TFIIC components. All 17 subunits of pol III, plus TFIIA, all three of the scTFIIB (equivalent to hTFIIB- $\beta$ ) subunits and five TFIIC subunits (three homologs of *S.cerevisiae* subunits and two homologs of subunits present in humans but not *S.cerevisiae*) were identified. There is a striking degree of similarity in the pol III systems in all three organisms, most readily apparent in the pol III, TFIIA, TFIIB and those TFIIC subunits that interact near the transcription initiation site, while the subunits that interact with downstream regions of the tRNA gene, including the transcription termination element, are more divergent (Fig. 1). Although the polymerases from these organisms exhibit a high degree of homology, they also exhibit mechanistic variances in termination and termination-associated activities. This raises the possibility that these differences may be mediated in part by the divergent downstream TFIIC subunits.

The human pol III system also includes an additional class of factors not found in either yeast that function in the transcription of metazoan-specific type 3 genes, which include a distinct Brf-homologous protein known as BRFU/TFIIB50, a component of hTFIIB- $\alpha$  that has no apparent homolog in either *S.pombe* or *S.cerevisiae*. Therefore, in overall complexity the *S.pombe* TFIIB/pol III gene system appears most similar to that of *S.cerevisiae* since it lacks type 3 genes and the TFIIB- $\alpha$  components that are used for type 3 gene-specific transcription in higher eukaryotes.

A total of 26 predicted polypeptides in the *S.pombe* database that correspond to pol III and associated TFs were identified. In comparison to the *S.cerevisiae* and human systems it would appear that this may represent the complete set of core pol III factors in fission yeast. Experimental data derived in part from expression in *S.pombe* and in part from complementation of a defect in the corresponding *S.cerevisiae* is available for 18 of the 26 predicted *S.pombe* proteins. Recent findings regarding some apparently unique aspects of the *S.pombe* pol III system, including the widespread use of upstream TATA elements as mentioned in the Introduction, leave open the possibility that additional pol III-associated factors and mechanisms that are not presently apparent may also emerge from the study of fission yeast.

#### NOTE ADDED IN PROOF

Very limited sequence homology has recently been discerned between hTFII220, TFC3p and Sf3p (176).

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