

SHORT COMMUNICATION

Spontaneous mutations of a model heterotrophic marine bacterium

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Heterotrophic marine bacterioplankton populations display substantive genomic diversity that is commonly explained to be the result of selective forces imposed by resource limitation or interactions with phage and predators. Here we use a mutation-accumulation experiment followed by whole-genome sequencing of mutation lines to determine an unbiased rate and molecular spectrum of spontaneous mutations for a model heterotrophic marine bacterium in the globally important Roseobacter clade, *Ruegeria pomeroyi* DSS-3. We find evidence for mutational bias towards deletions over insertions, and this process alone could account for a sizable portion of genome size diversity among roseobacters and also implies that lateral gene transfer and/or selection must also play a role in maintaining roseobacters with large genome sizes. We also find evidence for a mutational bias in favor of changes from A/T to G/C nucleobases, which explains widespread occurrences of G/C-enriched Roseobacter genomes. Using the calculated mutation rate of 1.39×10^{-10} per base per generation, we implement a ‘mutation-rate clock’ approach to date the evolution of roseobacters by assuming a constant mutation rate along their evolutionary history. This approach gives an estimated date of Roseobacter genome expansion in good agreement with an earlier fossil-based estimate of ~250 million years ago and is consistent with a hypothesis of a correlated evolutionary history between roseobacters and marine eukaryotic phytoplankton groups.
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The ability of heterotrophic marine bacterioplankton lineages to drive critical transformations in global carbon and nutrient cycles is commonly attributed to their biochemical interactions with organic matter in dilute waters and transient micro-environments (Azam and Malfatti, 2007; Giovannoni, 2016). These adaptive strategies are backed up by substantive bacterioplankton genomic diversity manifested as large variations in metabolic and regulatory pathways, genome size and G+C content (Swan *et al.*, 2013; Giovannoni *et al.*, 2014; Luo and Moran, 2015). While it is often acknowledged that this diversity is the result of a long and complex evolutionary history through interactions between natural selection and mutation among other mechanisms, only selection has been subject to intensive discussions (Morris *et al.*, 2012; Giovannoni *et al.*, 2014; Luo and Moran, 2015). Mutation is often appreciated as a way to provide raw materials on

which selection can work, but mutation itself may also respond to environmental changes such as acquisition of antibiotic resistance enhanced solely by increased mutation rate (Long *et al.*, 2016). Despite their significant role in evolutionary dynamics and microbial adaptation, how the rate and spectrum of spontaneous mutations contribute to genetic diversity has not been assessed for any ecologically dominant marine bacterioplankton lineage.

Mutation-accumulation (MA) experiments followed by whole-genome sequencing (WGS) of derived MA lines are being used to determine spontaneous mutations of both prokaryotic and eukaryotic organisms (Sung *et al.*, 2012; Dillon *et al.*, 2016). This approach allows all but lethal mutations to accumulate and thus is considered an approximately unbiased method for mutation determination (Eyre-Walker and Keightley, 2007). Here we apply the MA/WGS strategy to characterize the genomic pattern of spontaneous mutations arising in the model heterotrophic marine bacterium *Ruegeria pomeroyi* DSS-3 (Moran *et al.*, 2004), a member of the alphaproteobacterial Roseobacter clade. Roseobacters constitute a substantial proportion of marine bacterioplankton communities (5–20%), and are among the major

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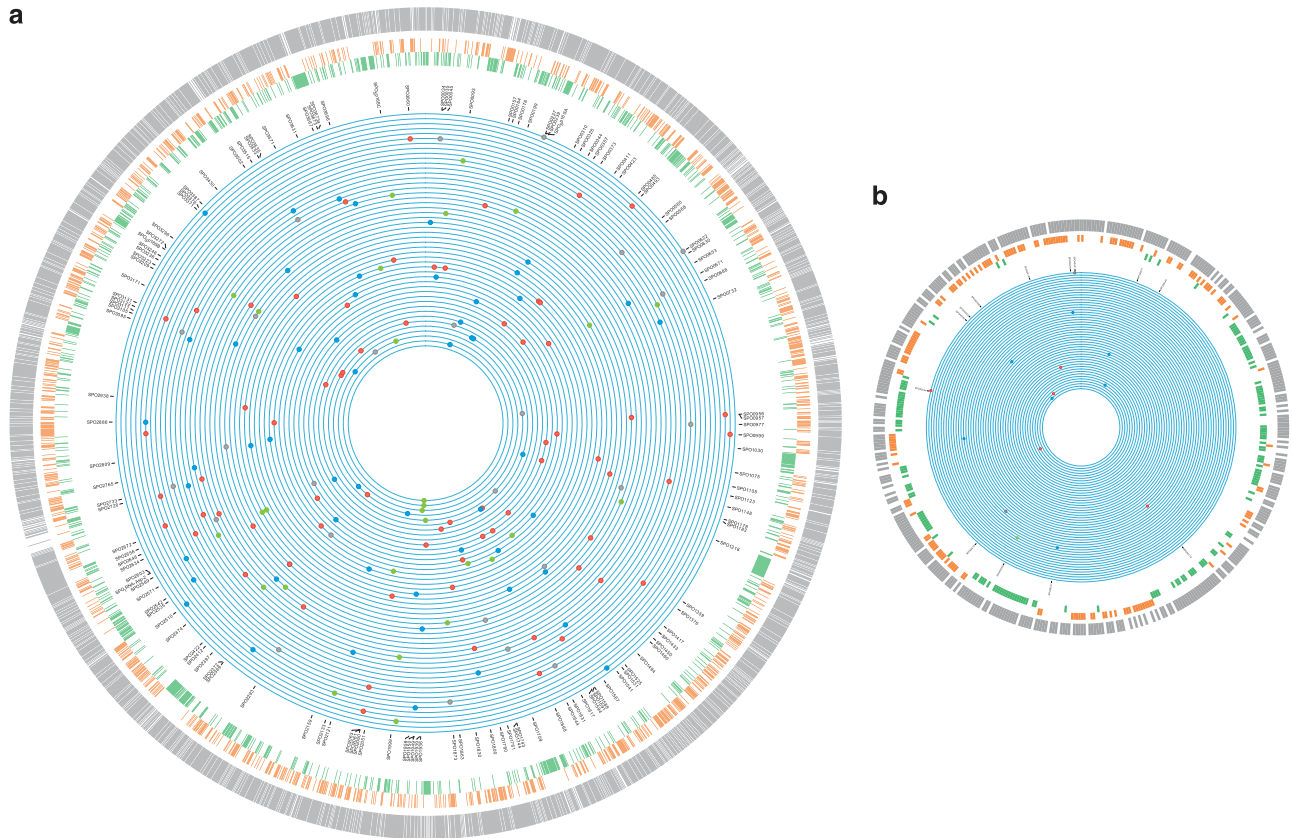


Figure 1 The genomic locations of the base-substitution mutations and insertion/deletion mutations in the 48 independent mutation accumulation (MA) lines of *Ruegeria pomeroyi* DSS-3. From outer to inner rings scaled to genome size: (1)–(3) The three outermost rings represent gene density (gray), G/C content (orange) and A/T content (green), respectively, calculated in non-overlapping 1 kb blocks. If the value of the 1 kb block is above the genome-wide mean, the 1 kb block is colored; (4) locus tag of genes with mutations; (5) position of each base-substitution mutation (A/T → G/C (red), G/C → A/T (blue) and A ↔ T or G ↔ C (grey)), as well as insertion/deletions (green) in MA lines, with each ring representing the genome of an individual MA line. The chromosome (a) and megaplasmid (b) of DSS-3 are not plotted in proportion to their number of nucleotides in order to make the features of the latter visible.

drivers of global carbon and sulfur cycles (Luo and Moran, 2014; Voget *et al.*, 2015). There is a considerable diversity among the clade members in genome size, genome content and base composition.

Our MA experiment allowed accumulation of mutations over 5,386 cell divisions in 80 independent MA lines initiated from a single founder colony of *R. pomeroyi* DSS-3 and passed through a single-cell bottleneck every two days. By sequencing genomes of 48 randomly selected lines at the end of the MA experiment and using a robust consensus method shown to achieve a low false-positive rate (Sung *et al.*, 2012, 2015), we identified 161 base-substitution mutations over these sequenced lines (Figure 1 and Supplementary Table S1). The ratio of nonsynonymous to synonymous mutations did not significantly differ from the ratio of nonsynonymous to synonymous sites in the DSS-3 genome ($\chi^2 = 1.66$, $P = 0.20$, $df = 1$; Supplementary Table S2), which is evidence for minimal selective elimination of deleterious mutations during the MA process, confirming faithful representation of mutational pattern for DSS-3. Base-substitution mutation (BSM) was slightly biased toward intergenic regions compared to coding regions ($\chi^2 = 11.73$, $P = 0.0006$,

$df = 1$; Supplementary Table S2), and the same trend was observed in other bacterial MA/WGS analyses (Lee *et al.*, 2012; Sung *et al.*, 2015; Dillon *et al.*, 2016). One explanation is that mismatch repair may be more active in coding regions, though a minor role of selection in eliminating coding mutations cannot be excluded (Long *et al.*, 2014; Dillon *et al.*, 2016).

The data derived from this MA/WGS procedure have important implications for understanding marine *Roseobacter* evolution. First, the base changes gave an average mutation rate (μ) of 1.39×10^{-10} per base per generation. Direct, unbiased estimates of natural mutation rates have been determined for only a handful of bacteria thus far, and this is among the first determinations for a marine bacterial species (see also Dillon *et al.*, 2016). Previous studies have measured rates that vary from 1.28×10^{-10} per base per generation (for *Bacillus anthracis*) to 9.78×10^{-9} (for *Mesoplasma florum*) (Sung *et al.*, 2012). Our estimate for DSS-3 is at the lower end of this range. On the basis of this mutation rate, a genome size of 4 601 048 bp, and an average growth rate of 45 generations per year inferred based on a well-articulated linear relationship between growth rate and rRNA/rDNA ratio in a laboratory culture of

DSS-3 and measures of this ratio in field populations (Lankiewicz *et al.*, 2016), it takes ~ 35 years for a Roseobacter cell in the surface ocean to gain one base-substitution mutation. If the DSS-3 natural population has an effective population size of 3.0×10^8 (Supplementary Methods; Supplementary Table S3), an average of 8.7×10^6 mutations are expected to arise in the population each year. The frequency of selectively advantageous mutations from this pool of spontaneous mutations is likely to be extremely low (Eyre-Walker and Keightley, 2007) but may make an important contribution to the evolution of this lineage.

A second implication of the MA/WGS data emerges from evidence of a nucleobase bias in spontaneous mutations. The 161 base-substitution mutations included 61 from G/C to A/T and 73 from A/T to G/C. Correcting for the genomic base composition (A/T:G/C=0.56:1), a significantly higher rate of mutations from A/T to G/C was verified using a binomial test for comparison of proportions with continuity correction (prop.test function of R, $P < 0.001$, $df = 1$). The most abundant surface ocean bacterioplankton lineages such as the alphaproteobacterial SAR11 clade, the gammaproteobacterial SAR86 clade and the cyanobacterial *Prochlorococcus* clade that is adapted to a high light environment, have genomic G+C contents of only 29–32% (Giovannoni *et al.*, 2014). Roseobacters are unusual in this respect because many members have genomic G+C content above 50% (Zhang *et al.*, 2016), including *R. pomeroyi* DSS-3 with 64.1%. Reduced G+C content in many major bacterioplankton lineages has been explained as an adaptive strategy to cope with N-limited surface waters (since one AT pair uses one less nitrogen than one GC pair) (Swan *et al.*, 2013; Giovannoni *et al.*, 2014; Luo *et al.*, 2015). It was thus perplexing to find a prevalence of roseobacters with higher G+C content. Our MA/WGS data provide a proximal mechanism for this contrasting pattern of G+C content between roseobacters and other successful lineages. In fact, a mutational bias from A/T to G/C was recently shown through the MA/WGS data of a soil bacterium *Burkholderia cenocepacia* HI2424 (Dillon and Cooper, 2016), which also has high genomic G+C content (66.7%).

A third implication of the study is that it provides a new type of data for molecular dating of Roseobacter lineages. Like most free-living heterotrophic bacterial lineages, roseobacters do not have lineage-specific fossil records, and thus studying their evolutionary history relies on geochemical fossil evidence from cyanobacteria (Luo *et al.*, 2013). Using this approach, a previous analysis predicted a major genome expansion event of roseobacters, coincident with the start of marine dinoflagellate and coccolithophore radiation around 250 million years ago (Mya) (Falkowski *et al.*, 2004). This was consistent with the present-day ecological associations between these microbial groups (Gonzalez *et al.*, 2000; Jasti *et al.*, 2005) and subsequent hypotheses regarding

reciprocal exchanges of metabolites between phytoplankton and roseobacters such as organic matter and vitamins (Luo *et al.*, 2013; Luo and Moran, 2014; Durham *et al.*, 2015). However, the substantial evolutionary distance between roseobacters and cyanobacteria suggests that this estimate may have large uncertainties (Nei *et al.*, 2001; Smith and Peterson, 2002; Bromham and Penny, 2003). Furthermore, new evidence from ultraclean Archaean samples argues against the validity of the previous cyanobacterial fossils used in various analyses (French *et al.*, 2015), including the Roseobacter dating study.

For these reasons, it is useful to have an approach that does not rely on fossils and instead makes use of an unbiased measure of the DSS-3 mutation rate. Here the molecular sequence divergence time (T) was derived from mutation rate (μ ; per base per generation) of *R. pomeroyi* DSS-3 and growth rate of oceanic samples of roseobacters (γ ; number of generations per year), based on $T = \frac{d_s}{2 \cdot \mu \cdot \gamma} \cdot 10^{-6}$ (Myr ago), where d_s is the number of synonymous substitutions per synonymous site and meaningful only for closely related sequences (here, $d_s \leq 0.74$ (± 0.42); Supplementary Figures S1B, S2). This principle is based on the assumption that mutations at silent sites are largely invisible to natural selection and thus accumulate freely, though there is evidence for selection on these sites and that the strength and nature of this selection varies among lineages (Hildebrand *et al.*, 2010; Raghavan *et al.*, 2012; Luo *et al.*, 2015). With the estimated T , an iterative linear regression approach (Supplementary Methods) was used to identify Roseobacter gene families showing evidence of a local molecular clock (Supplementary Figure S2), manifested as a linear correlation between T and genetic distance of these closely related proteins ($T = k \cdot d_{PC}$, where k is the slope and unique for each clock-like family and d_{PC} is the Poisson-corrected distances of protein sequences).

A local clock derived from closely related sequences does not guarantee that there exists a global clock in which sequence evolutionary rate remains constant throughout the evolutionary history of the Roseobacter clade. To test whether these families showing evidence of a local clock also fit the global clock model, the likelihood of each gene phylogeny was calculated using PAML (Yang, 2007) with and without a global clock model, and the Bayesian Information Criterion (BIC) was used to assess whether the global clock model was superior. Subsequently, the linear models for gene families showing evidence of a global clock were used to calculate separation time of more divergent sequences (T'), according to $T' = k \cdot d_{PC}'$, where d_{PC}' is the Poisson-corrected distances of divergent protein sequences. This procedure generated T' for all possible pairs of sequences in each clock-like family and construction of a chronogram for each using the UPGMA (Michener and Sokal, 1957) method. By averaging T' from these clock-like

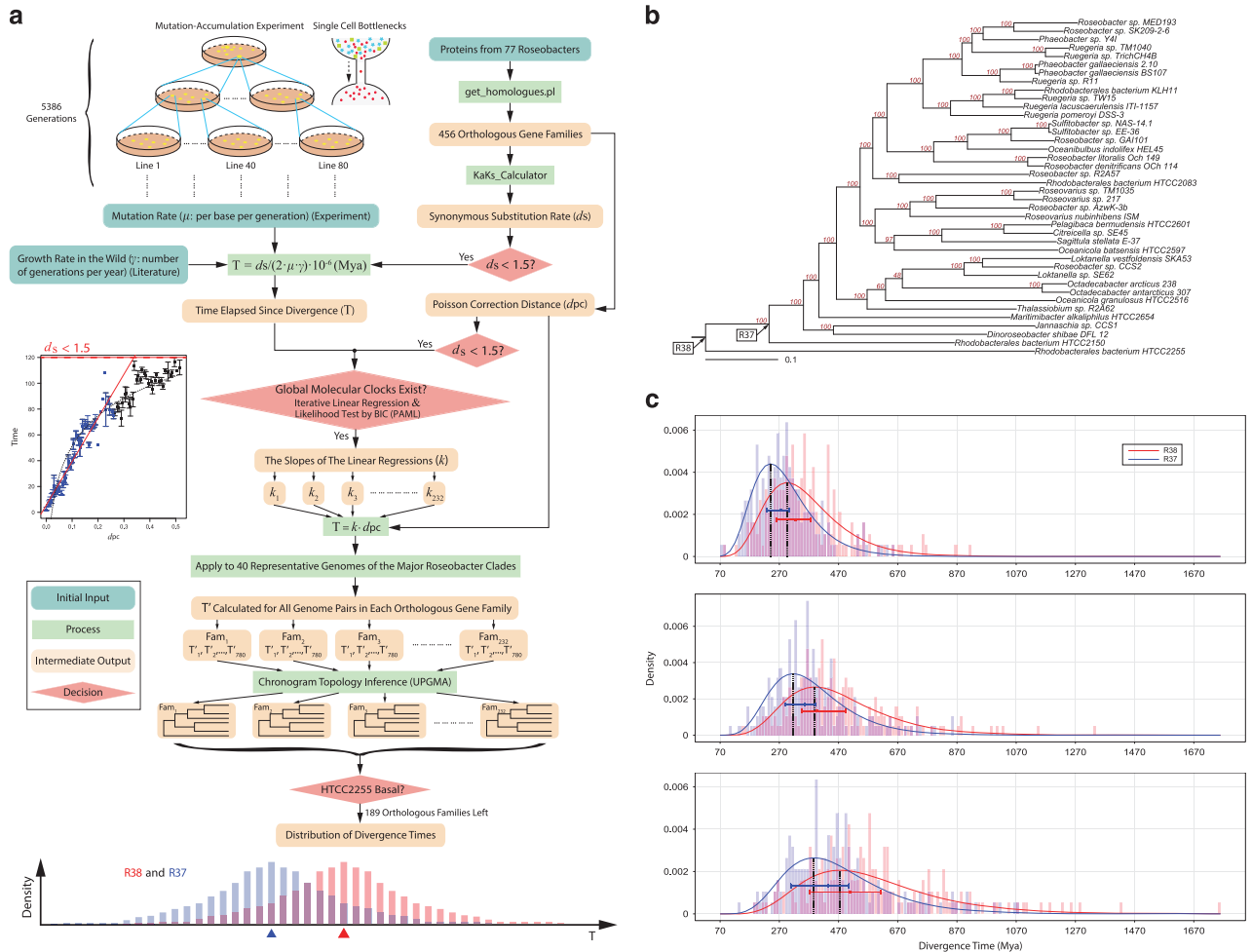


Figure 2 The molecular dating pipeline developed in this study, and the lognormal distribution of time estimates for the most ancient Roseobacter ancestors. **(a)** Of the three main inputs required (growth rate, mutation rate and the sequence data of orthologous gene families), the growth rate (γ : number of generations per year) of oceanic roseobacters was obtained from recent literature (Lankiewicz *et al.*, 2016); the mutation rate (μ : per base per generation) was determined using a mutation accumulation experiment followed by whole-genome sequencing of derived mutation lines; and the sequence data were downloaded from NCBI. For the molecular dating calculation, 456 shared single-copy orthologous genes were used to determine the number of synonymous substitutions per synonymous site (d_s) and the Poisson-corrected distances (d_{pc}) of protein sequences. Note that d_s is meaningful only for closely related sequences (here $d_s \leq 1.5 \pm 0.42$). The time since separation (T) was calculated by $T = \frac{d_s}{2 \cdot \mu \cdot \gamma} \cdot 10^{-6}$ (Myr ago). An iterative linear regression analysis was performed using T against d_{pc} , along with the likelihood test of a global molecular clock, which led to the identification of 232 clock-like families (Figure 2; Supplementary Figure S1A). Next, slope (k) was obtained from the regression model and used to calculate the divergence time (T') of more divergent sequences using $T' = k \cdot d_{pc}$. This procedure estimated divergence time for all possible pairs of sequences in each of the clock-like families, which allowed for construction of a chronogram for each of these families using UPGMA, and a distribution was obtained for the timing of a given ancestral node by pooling chronograms at this node. Among the 232 clock-like families, 189 correctly placed HTCC2255 as the basal lineage of the Roseobacter clade (Supplementary Figure S1A). **(b)** A Roseobacter phylogenomic tree illustrates the ancestral nodes R38 and R37. The notation of these two most ancient nodes was used in a previous publication (Luo *et al.*, 2013). **(c)** The consensus divergence times of R38 and R37 estimated with a growth rate of 45 generations per year calculated based on recent data (Lankiewicz *et al.*, 2016). From top to bottom, each panel represents: the lower boundary of the 95% prediction interval derived from the linear regression models (R38: MODE=296 Myr ago; R37: MODE=240 Myr ago); the estimated T' calculated directly from the linear equations $T' = k \cdot d_{pc}$ (R38: MODE=390 Myr ago; R37: MODE=317 Myr ago); and the upper boundary of the 95% prediction interval derived from the linear regression models (R38: MODE=474 Myr ago; R37: MODE=387 Myr ago). The lower and upper boundaries of the 95% prediction interval represent the uncertainty associated with the estimates of T' . The dotted vertical lines in all three panels point to the mode values derived from the fitted models for the divergence times obtained from the 189 clock-like families. The horizontal bars correspond to the 95% confident interval for the Half-Range Modes (HRM) calculated by 100 bootstrap replicates. The dot on each bar displays the mean of the bootstrapped mode estimates (HRM-BME) (Hedges and Shah, 2003).

families, we were able to estimate the divergence time of any two Roseobacter lineages (Figure 2a; Supplementary Methods).

From chronograms of 189 protein families (Supplementary Figure S1; Supplementary Table S4) among 77 Roseobacter genomes (Supplementary

Table S5) that show evidence of a global clock and that correctly place the phylogenetically basal lineage of the Roseobacter clade at strain HTCC2255 (Newton *et al.*, 2010; Luo *et al.*, 2014) (Supplementary Figure S3), a lognormal distribution was generated for each of the two most ancient

ancestral nodes of the clade according to a model selection procedure (Supplementary Figure S4); these nodes correspond to R38 and R37 (Figure 2b) in a previous study (Luo *et al.*, 2013). Using the mode of the distribution as the divergence time estimate (Figure 2c) (Kumar and Hedges, 1998; Hedges and Shah, 2003; Hedges *et al.*, 2015) and taking a growth rate of 45 cell divisions per year corresponding to the upper limit of the measures in the Delaware estuary Roseobacter populations (Lankiewicz *et al.*, 2016), the model predicted that the R38 and R37 nodes occurred at 296 and 240 Myr ago, respectively, taking the values corresponding to the lower boundary of the 95% prediction intervals (Figure 2c; upper panel). This is consistent with the fossil-based prediction (Luo *et al.*, 2013).

One caveat of this analysis is that it assumes a constant mutation rate through the evolutionary history of the clade (that is, a ‘mutation-rate clock’). A future analysis should determine the mutation rate for other representative strains across the phylogeny and use the derived timing information for each major lineage through the above approach to calibrate the phylogeny of the Roseobacter clade using r8s and other conventional dating programs, which also allows varying evolutionary rate among lineages of the clade (Supplementary Figure S5). Another caveat is that it does not consider growth rate variation among lineages. If a lower growth rate of 26 generations per year derived from the average of Lankiewicz’s measures (Lankiewicz *et al.*, 2016) was used instead, for instance, the mutation-rate clock model predicts a more ancient origin of the roseobacters (513 Myr ago and 416 Myr ago for R38 and R37, respectively). A more accurate estimate of the Roseobacter diversification time will thus require improved measures of growth rates for each major Roseobacter lineage and incorporating this variation into the mutation-rate clock model. In general, this mutation-rate clock method is useful to date more recently evolved lineages and thus is complementary to the traditional estimates based on the cyanobacterial fossils.

A fourth implication of the MA/WGS study comes from the evidence that DSS-3 displays a mutational bias toward deletion over insertion, shown by both the number of deletion versus insertion events (18 versus 12) and the number of deleted versus inserted nucleobases (67 versus 23) over the 48 sequenced MA lines (Supplementary Table S6). This observation is consistent with previous findings that deletion bias is a near universal trend (Mira *et al.*, 2001; Kuo and Ochman, 2009). Assuming 45 generations per year for Roseobacters in the ocean (Lankiewicz *et al.*, 2016) and a 296 million years’ evolutionary history for the lineage, the measured net loss rate translates to a deletion of 2.27 Mb. In other words, if mutation would have been the only evolutionary force giving rise to genome size diversity, the ~300 million years’ evolution would have transformed a typical Roseobacter (that is, DSS-3) to a streamlined

genome (2.33 Mb) that has a similar size as the basal strain HTCC2255 (2.43 Mb). Therefore, mutation alone could account for the occurrences of several streamlined Roseobacter lineages in today’s ocean. On the other hand, most sequenced roseobacters have a large genome size. For instance, a CheckM (Parks *et al.*, 2015) analysis of the 122 Roseobacter genomes (Supplementary Table S7) deposited in the NCBI RefSeq database showed that the mean genome size of roseobacters is 4.33 (± 0.72) Mb. The prevalence of roseobacters with large genomes despite deletion bias suggests that other evolutionary mechanisms such as lateral gene transfer and/or natural selection act to increase genome size in this bacterial clade.

The MA/WGS experiments, while performed on rich media under laboratory conditions, are valuable for estimating the rate and spectrum of spontaneous mutations in bacteria, with implications for understanding bacterial ecological diversification and evolutionary history. Comparing this mutation accumulation study for a marine heterotrophic bacterium with similar analyses of other major bacterial groups in the ocean will improve understanding of the evolutionary history of marine microbial plankton.

Conflict of Interest

The authors declare no conflict of interest.

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